# Can the SO(10) model with two Higgs doublets reproduce the observed fermion masses?

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It is usually considered that the SO(10) model with one **10** and one **126** Higgs scalar cannot reproduce the observed quark and charged lepton masses. Against this conventional conjecture, we find solutions of the parameters which can give the observed fermion mass spectra. The SO(10) model with one **10** and one **120** Higgs scalar is also discussed.

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## I. INTRODUCTION

The grand unification theory (GUT) is very attractive as a unified description of the fundamental forces in nature. Especially, the SO(10) model is the most attractive to us when we take the unification of the quarks and leptons into consideration. However, in order to reproduce the observed quark and lepton masses and mixings, usually a lot of Higgs scalars are brought into the model. We think that nature is simple. What is of the greatest interest to us is to know the minimum number of the Higgs scalars which can give the observed fermion mass spectra. A model with one Higgs scalar is obviously ruled out for the description of the realistic quark and lepton mass spectra. Then, how is a model with two different types of Higgs scalars (e.g., **10** and **126** scalars)?

In the SO(10) GUT scenario, a model with one **10** and one **126** Higgs scalar leads to the relation [1]

$$M_e = c_u M_u + c_d M_d, \qquad (1.1)$$

where  $M_e$ ,  $M_u$  and  $M_d$  are the charged lepton, up-quark, and down-quark mass matrices, respectively. It is widely accepted that there will be almost no solution of  $c_u$  and  $c_d$ which give the observed fermion mass spectra. The reason is as follows: We take a basis on which the up-quark mass matrix  $M_u$  is diagonal  $(M_u = D_u)$ . Then, the relation Eq. (1.1) is expressed as

$$\tilde{M}_e = c_u D_u + c_d \tilde{M}_d. \tag{1.2}$$

Considering that  $\tilde{M}_d$  is almost diagonal and the mass hierarchy of the up-quark sector is much more severe than that of the down-quark sector, we observe that the contribution to the first and the second generation part of  $\tilde{M}_e$  from the upquark part  $D_u$  is negligible so that it is proportional to that of  $\tilde{M}_d$ . Thus, the relation Eq. (1.1) which predicts  $m_e/m_\mu \simeq m_d/m_s$  does not reproduce the observed hierarchical structure of the down-quark and charged lepton masses [2] such as predicted by Georgi-Jarlskog mass relations  $m_b = m_{\tau}$ ,  $m_s = m_{\mu}/3$  and  $m_d = 3m_e$  at the GUT scale [3]. However, the above conclusion is a somewhat impatient one. (i) It is too simplified to regard  $\tilde{M}_d$  as almost diagonal. (ii) We must check the possibility that the mass relations are satisfied with the opposite signs, i.e.,  $m_b = \pm m_{\tau}$ ,  $m_s = \pm m_{\mu}/3$  and  $m_d = \pm 3m_e$ . (iii) The mass values at the GUT scale, which are evaluated from the observed values by using the renormalization group equations. The purpose of the present paper is to investigate systematically whether there are solutions of  $c_u$  and  $c_d$  which give the realistic quark and lepton masses or not.

#### **II. OUTLINE OF THE INVESTIGATION**

In the SO(10) GUT model with one **10** and one **126** Higgs scalar, the down-quark and down-lepton mass matrices  $M_d$  and  $M_e$  are given by

$$M_d = M_0 + M_1, \quad M_e = M_0 - 3M_1, \quad (2.1)$$

where  $M_0$  and  $M_1$  are mass matrices which are generated by the **10** and **126** Higgs scalars  $\phi_{10}$  and  $\phi_{126}$ , respectively. Inversely, we obtain

$$M_0 = \frac{1}{4} (3M_d + M_e), \quad M_1 = \frac{1}{4} (M_d - M_e).$$
 (2.2)

On the other hand, the up-quark mass matrix  $M_u$  is given by

$$M_u = c_0 M_0 + c_1 M_1, \qquad (2.3)$$

where

$$c_{0} = v_{0}^{u} / v_{0}^{d} = \langle \phi_{10}^{u0} \rangle / \langle \phi_{10}^{d0} \rangle,$$
  
$$c_{1} = v_{1}^{u} / v_{1}^{d} = \langle \phi_{126}^{u0} \rangle / \langle \phi_{126}^{d0} \rangle,$$
 (2.4)

and  $\phi^{u}$  and  $\phi^{d}$  denote Higgs scalar components which couple with up- and down-quark sectors, respectively. Therefore, by using the relations Eq. (2.2), we obtain the relation

$$M_e = c_d M_d + c_u M_u, \qquad (2.5)$$

where

$$c_d = -\frac{3c_0 + c_1}{c_0 - c_1}, \quad c_u = \frac{4}{c_0 - c_1}.$$
 (2.6)

For convenience, first we investigate the case where the matrices  $M_u$ ,  $M_d$ , and  $M_e$  are symmetrical matrices at the unification scale because we assume that they are generated by the **10** and **126** Higgs. Then, we can diagonalize those by unitary matrices  $U_u$ ,  $U_d$ , and  $U_e$ , respectively, as

$$U_{u}^{T}M_{u}U_{u}=D_{u}, \quad U_{d}^{T}M_{d}U_{d}=D_{d}, \quad U_{e}^{T}M_{e}U_{e}=D_{e},$$
(2.7)

where  $D_u$ ,  $D_d$ , and  $D_e$  are diagonal matrices. Since the Cabibbo-Kobayashi-Maskawa (CKM) matrix V is given by

$$V = U_u^T U_d^* , \qquad (2.8)$$

the relation Eq. (2.5) is rewritten as follows:

$$(U_{e}^{\dagger}U_{u})^{T}D_{e}(U_{e}^{\dagger}U_{u}) = c_{d}VD_{d}V^{T} + c_{u}D_{u}.$$
(2.9)

At present, we almost know the experimental values of  $D_e$ ,  $D_u$ , and  $VD_dV^{\dagger}$ . Therefore, we obtain the three independent equations:

$$\operatorname{Tr} D_{e} D_{e}^{\dagger} = |c_{d}|^{2} \operatorname{Tr}[(V D_{d} V^{T} + \kappa D_{u})(V D_{d} V^{T} + \kappa D_{u})^{\dagger}],$$
(2.10)

$$\operatorname{Tr}(D_e D_e^{\dagger})^2 = |c_d|^4 \operatorname{Tr}[((V D_d V^T + \kappa D_u)(V D_d V^T + \kappa D_u)^{\dagger})^2],$$
(2.11)

$$\det D_e D_e^{\dagger} = |c_d|^6 \det [(V D_d V^T + \kappa D_u)(V D_d V^T + \kappa D_u)^{\dagger}],$$
(2.12)

where  $\kappa = c_u/c_d$ . By eliminating the parameter  $c_d$ , we have two equations for the parameter  $\kappa$ :

$$\frac{(m_e^2 + m_\mu^2 + m_\tau^2)^3}{m_e^2 m_\mu^2 m_\tau^2} = \frac{(2.10)^3}{(2.12)},$$
(2.13)

$$\frac{(m_e^2 + m_\mu^2 + m_\tau^2)^2}{2(m_e^2 m_\mu^2 + m_\mu^2 m_\tau^2 + m_\tau^2 m_e^2)} = \frac{(2.10)^2}{(2.10)^2 - (2.11)},$$
(2.14)

where  $(2.10)^3$ , for instance, means the right-hand side of Eq. (2.10) to the third power. Let us denote the parameter values of  $\kappa$  evaluated from Eqs. (2.13) and (2.14) as  $\kappa_A$  and  $\kappa_B$ , respectively. If  $\kappa_A$  and  $\kappa_B$  coincide with each other, then we have the possibility that the SO(10) GUT model can reproduce the observed quark and lepton mass spectra. If  $\kappa_A$  and  $\kappa_B$  do not do so, the SO(10) model with one **10** and one **126** Higgs scalar is ruled out, and we must bring more Higgs scalars into the model. Of course, in the numerical evaluation, the values  $\kappa_A$  and  $\kappa_B$  will have sizable errors, because the observed values  $D_e$ ,  $D_u$ ,  $D_d$ , and V have experimental errors, and the values at the GUT scale also have errors. The values  $\kappa_A$  and  $\kappa_B$  are not so sensitive to the renormalization

group equation effect (evolution effect), because those are almost determined only by the mass ratios. (More details will be discussed in Sec. III.) Therefore, we will evaluate  $\kappa_A$  and  $\kappa_B$  by using the center values at  $\mu = m_Z$  in Sec. IV. If we find  $\kappa_A \simeq \kappa_B$ , we will give a further detailed numerical study only for this case.

#### **III. EVOLUTION EFFECT**

The relations Eqs. (2.13) and (2.14) hold only at the unification scale  $\mu = \Lambda_X$  On the other hand, we know only the experimental values of the fermion masses  $m_f$  and CKM matrix parameters  $V_{ij}$  at the electroweak scale  $\mu = m_Z$ . For a model that does not have any intermediate energy scales, we can straightforwardly estimate the values of  $m_f$  and  $V_{ij}$  at  $\mu = \Lambda_X$  from those at  $\mu = m_Z$  by the one-loop renormalization equation

$$\frac{dY_f}{dt} = \frac{1}{16\pi^2} (T_f - G_f + H_f) Y_f, \qquad (3.1)$$

where  $T_f$ ,  $G_f$ , and  $H_f$  denote contributions from fermionloop corrections, vertex corrections due to the gauge bosons, and vertex corrections due to the Higgs boson(s), respectively. Therefore, we can directly check the relations Eqs. (2.13) and (2.14) by substituting the observable quantities  $m_f$ and  $V_{ij}$  at  $\mu = \Lambda_X$ . However, for a model which has an intermediate energy scale such as a non-supersymmetric (SUSY) model, the values of  $m_f$  and  $V_{ij}$  at  $\mu = \Lambda_X$  are highly model-dependent, so that a check of Eqs. (2.13) and (2.14) cannot be done so straightforwardly.

In this section, we will show that we can approximately check Eqs. (2.13) and (2.14) by using the values of  $m_f$  and  $V_{ij}$  at  $\mu = m_Z$ , without knowing the explicit values of  $m_f$  and  $V_{ij}$  at  $\mu = \Lambda_X$ , as long as the evolutions of  $m_f$  and  $V_{ij}$  are not singular.

It is well known that in such a conventional model the evolution effects are approximately described as [4]

$$\frac{m_{u}^{0}/m_{t}^{0}}{m_{u}/m_{t}} \approx \frac{m_{c}^{0}/m_{t}^{0}}{m_{c}/m_{t}} \approx 1 + \varepsilon_{u},$$

$$\frac{m_{d}^{0}/m_{b}^{0}}{m_{d}/m_{b}} \approx \frac{m_{s}^{0}/m_{b}^{0}}{m_{s}/m_{b}} \approx 1 + \varepsilon_{d},$$

$$\frac{|V_{ub}^{0}|}{|V_{ub}|} \approx \frac{|V_{cb}^{0}|}{|V_{cb}|} \approx \frac{|V_{td}^{0}|}{|V_{td}|} \approx \frac{|V_{ts}^{0}|}{|V_{ts}|} \approx 1 + \varepsilon_{d},$$

$$\frac{m_{u}^{0}/m_{c}^{0}}{m_{u}/m_{c}} \approx \frac{m_{d}^{0}/m_{s}^{0}}{m_{d}/m_{s}} \approx \frac{|V_{us}^{0}|}{|V_{us}|} \approx \frac{|V_{cd}^{0}|}{|V_{cd}|} \approx 1, \qquad (3.2)$$

where  $m_q^0$  and  $V_{ij}^0$  ( $m_q$  and  $V_{ij}$ ) denote the values at  $\mu = \Lambda_X$  ( $\mu = m_Z$ ). The relations, Eq. (3.2), hold only for a model where the Yukawa coupling constant of top quark,  $y_t \equiv (Y_u)_{33}$ , satisfies  $y_t \gg (Y_d)_{ij}$  (i, j = 1, 2, 3). The relations Eq. (3.2) also hold even in a model that has an intermediate energy scale  $\Lambda_I$  because, for example, when we denote  $(m_u/m_t)_{\mu=\Lambda_X}/(m_u/m_t)_{\mu=\Lambda_I}$  and  $(m_u/m_t)_{\mu=\Lambda_I}/$ 

 $(m_u/m_t)_{\mu=m_Z}$  as  $1 + \varepsilon_{u1}$  and  $1 + \varepsilon_{u2}$ , respectively, we can obtain  $(m_u/m_t)_{\mu=\Lambda_X}/(m_u/m_t)_{\mu=m_Z} \approx 1 + \varepsilon_u$  with  $\varepsilon_u = \varepsilon_{u1} + \varepsilon_{u2}$ .

By using the approximate relations Eq. (3.2) the diagonalized up-quark mass matrix  $D_u^0$  at  $\mu = \Lambda_X$  is presented as

$$D_{u}^{0} = m_{t}^{0} \begin{pmatrix} m_{u}^{0}/m_{t}^{0} & 0 & 0 \\ 0 & m_{c}^{0}/m_{t}^{0} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\approx m_{t}^{0} \begin{pmatrix} m_{u}/m_{t} & 0 & 0 \\ 0 & m_{c}/m_{t} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 + \varepsilon_{u} & 0 & 0 \\ 0 & 1 + \varepsilon_{u} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$= \frac{m_{t}^{0}}{m_{t}} (1 + \varepsilon_{u}S) D_{u}, \qquad (3.3)$$

where

$$S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$
 (3.4)

Similarly, the matrix  $D_d^0$  is given by

$$D_d^0 \simeq \frac{m_b^0}{m_b} (1 + \varepsilon_d S) D_d \,. \tag{3.5}$$

The CKM matrix  $V^0$  at  $\mu = \Lambda_X$  is given by

$$V^{0} \simeq \begin{pmatrix} 1 & V_{us} & V_{ub}(1+\varepsilon_{d}) \\ V_{cd} & 1 & V_{cb}(1+\varepsilon_{d}) \\ V_{td}(1+\varepsilon_{d}) & V_{ts}(1+\varepsilon_{d}) & 1 \end{pmatrix}$$
$$\simeq (1+\varepsilon_{d}S_{3})V(1+\varepsilon_{d}S_{3})-2\varepsilon_{d}S_{3}, \qquad (3.6)$$

where  $S_3 = 1 - S$  and 1 is a  $3 \times 3$  unit matrix. By using the relations Eqs. (3.4)–(3.6), we can obtain the approximate expression

$$V^0 D_d^0 V^{0T} \simeq \frac{m_b^0}{m_b} [(1 + \varepsilon_d) V D_d V^T - \varepsilon_d m_b S_3], \quad (3.7)$$

where we have used the observed hierarchical relations among the quark mass ratios and CKM matrix parameters. Therefore, the matrix  $VD_dV^T + \kappa D_u$  in Eqs. (2.10)–(2.12) is given by

$$K^{0} \equiv V^{0} D_{d}^{0} V^{0T} + \kappa^{0} D_{u}^{0} \simeq (1 + \varepsilon_{d}) \frac{m_{b}^{0}}{m_{b}} (V D_{d} V^{T} + \kappa D_{u}$$
$$-\varepsilon_{d} m_{b} S_{3} + \varepsilon_{u} \kappa D_{u} S), \qquad (3.8)$$

where

$$\kappa = \frac{m_t^0/m_t}{m_b^0/m_b} \frac{\kappa^0}{1 + \varepsilon_d}.$$
(3.9)

Since the solutions  $\kappa$  are on the order of  $10^{-2}$  as we show in Sec. IV, we can neglect the term  $\kappa D_u S$  compared with  $VD_d V^T$  [note that in order to neglect the component  $(D_u S)_{11}$ it is essential that the sign of  $m_d/m_s$  is positive, because  $(VD_d V^T)_{11} \simeq m_d + V_{us}^2 m_s$  and  $V_{us}^2 \simeq |m_d/m_s|$ ]. On the other hand, for such a small value of  $\kappa$ , the term  $m_b S_3$  cannot be neglected compared with the term  $\kappa D_u$ . However, for a small value of  $\varepsilon_d$ , we can find that the solutions  $\kappa$  are substantially not affected by the term  $\varepsilon_d m_b S_3$ . As a result, we obtain the approximate expression

$$K^{0} \simeq (1 + \varepsilon_{d}) \frac{m_{b}^{0}}{m_{b}} (V D_{d} V^{T} + \kappa D_{u}).$$
(3.10)

Therefore, Eqs. (2.13) and (2.14) at  $\mu = \Lambda_X$ , i.e.,

$$\frac{\left[(m_e^0)^2 + (m_\mu^0)^2 + (m_\tau^0)^2\right]^3}{(m_e^0)^2 (m_\mu^0)^2 (m_\tau^2)^2} = \frac{\left[\operatorname{Tr}(K^0 K^{0\dagger}])\right]^3}{\det(K^0 K^{0\dagger})}, \quad (3.11)$$

$$\frac{[(m_e^0)^2 + (m_\mu^0)^2 + (m_\tau^0)^2]^2}{2[(m_e^0)^2 (m_\mu^0)^2 + (m_\mu^0)^2 (m_\tau^0)^2 + (m_\tau^0)^2 (m_e^0)^2]} = \frac{[\mathrm{Tr}(K^0 K^{0\dagger})]^2}{[\mathrm{Tr}(K^0 K^{0\dagger})]^2 - \mathrm{Tr}(K^0 K^{0\dagger})^2},$$
(3.12)

are approximately replaced by the relations at  $\mu = m_Z$ :

$$\frac{(m_e^2 + m_\mu^2 + m_\tau^2)^3}{m_e^2 m_\mu^2 m_\tau^2} = \frac{[\text{Tr}(KK^{\dagger})]^3}{\det(KK^{\dagger})},$$
(3.13)

$$\frac{(m_e^2 + m_\mu^2 + m_\tau^2)^2}{2(m_e^2 m_\mu^2 + m_\mu^2 m_\tau^2 + m_\tau^2 m_e^2)} = \frac{[\operatorname{Tr}(KK^{\dagger})]^2}{\operatorname{Tr}[(KK^{\dagger})]^2 - \operatorname{Tr}(KK^{\dagger})^2},$$
(3.14)

where



FIG. 1. The relations between Eqs. (2.13) and (2.14) on the complex plane of  $\kappa$ . The solid (dotted) line shows the solution of Eqs. (2.13) [Eq. (2.14)].

TABLE I. The combinations of the signs of  $(m_t, m_c, m_u)$ ,  $(m_b, m_s, m_d)$  and  $(m_\tau, m_\mu, m_e)$ . The notation  $(m_t, m_c, m_\mu) = (+$ (-+) denotes  $m_t > 0$ ,  $m_c < 0$  and  $m_u > 0$ . Equations (2.13) and (2.14) are not affected by the signs of charged leptons.

(a) $(+-+)$ $(+)$ $(+\pm\pm)$ (b) $(+)$ $(+)$ $(+\pm\pm)$	Num.	$(m_t, m_c, m_u)$	$(m_b, m_s, m_d)$	$(m_{\tau},m_{\mu},m_{e})$
	(a)	(+ - +)	(+)	(+ ± ±)
	(b)	(+)	(+)	(+ ± ±)

$$K = V D_d V^{\dagger} + \kappa D_u \,, \tag{3.15}$$

and  $\kappa$  is given by Eq. (3.9). This means that when we find the solution  $\kappa$  at  $\mu = m_Z$ , the solution at  $\mu = \Lambda_X$  also exists, no matter whether the model is a SUSY one or a non-SUSY one. Then, we can obtain the value  $\kappa^0$  at  $\mu = \Lambda_x$  from the relation Eq. (3.9) with the solution  $\kappa$  at  $\mu = m_Z$ .

### IV. NUMERICAL STUDY AT $\mu = m_Z$

As mentioned in Sec. III, if the solution  $\kappa$  exists at the energy scale  $\mu = m_Z$ , the one at  $\mu = \Lambda_X$  also exists. Therefore, we investigate the relations Eqs. (2.13) and (2.14) at  $\mu = m_Z$ . Note that Eqs. (2.13) and (2.14) are realized by the GUT scale because Eq. (2.7) is broken at  $\mu = m_Z$ . In the present section, tentatively, we assume that the Yukawa coupling constant  $Y_{10}$  and  $Y_{126}$  at  $\mu = m_Z$  keep their forms symmetrical, so that we can put the observed values  $D_u$ ,  $D_d$ , and V at  $\mu = m_Z$  into the relations Eqs. (2.13) and (2.14). For the fermion masses at  $\mu = m_Z$ , we use the following values [5]:

$$m_t = 181 \pm 13 \text{ GeV}, \quad m_b = 3.00 \pm 0.11 \text{ GeV},$$
  

$$m_c = 677^{+56}_{-61} \text{ MeV}, \quad m_s = 93.4^{+11.8}_{-13.0} \text{ MeV},$$
  

$$m_u = 2.33^{+0.42}_{-0.45} \text{ MeV}, \quad m_d = 4.69^{+0.60}_{-0.66} \text{ MeV}, \quad (4.1)$$
  

$$m_\tau = 1746.7 \pm 0.3 \text{ MeV},$$
  

$$m_\mu = 102.75138 \pm 0.00033 \text{ MeV},$$
  

$$m_e = 0.48684727 \pm 0.0000014 \text{ MeV}.$$

The input values for the CKM matrix parameters have been taken as 6

$$\theta_{12} = 0.219 - 0.226, \quad \theta_{23} = 0.037 - 0.043,$$
  
 $\theta_{13} = 0.002 - 0.005, \quad (4.2)$ 

where

1

$$V = \begin{pmatrix} c_{13}c_{12} & c_{13}s_{12} & s_{13}e^{-i\delta} \\ -c_{23}s_{12} - s_{23}c_{12}s_{13}e^{i\delta} & c_{23}c_{12} - s_{23}s_{12}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{23}s_{12} - c_{23}c_{12}s_{13}e^{i\delta} & -s_{23}c_{12} - c_{23}s_{12}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix},$$
(4.3)

with  $c_{ij} \equiv \cos \theta_{ij}$  and  $s_{ij} \equiv \sin \theta_{ij}$ . The calculation has been performed allowing all the combinations of the quark mass signatures. Here it should be noted that since  $m_u$  is much smaller than  $m_c$  and  $m_t$ , the difference of the sign of  $m_u$ scarcely makes a change of allowed regions. In this calculation, we have selected  $\theta_{23}$  and  $\delta$  as input parameters and  $m_s$ ,  $c_d$ , and  $\kappa$  as output parameters because the calculation is sensitive to these parameters. We give the numerical results in Fig. 1. Here, except for  $m_s$ ,  $\theta_{23}$ , and  $\delta$ , we have adopted the center values of Eq. (4.1) as input values. Moving  $\theta_{23}$  at intervals of 0.0005 rad and fixing  $\delta = 60^{\circ}$ , we search the solutions where  $\kappa_A$  and  $\kappa_B$  become coincident. Our numerical analysis shows that the solutions exist in the combinations of Table I. In Table II, we show the nearest solution of  $m_s$ ,  $\theta_{23}$ , and  $\delta$  to the center values of Eq. (4.1).

In the following we perform data fitting for the case of the top line of Table II. Equations (2.10)-(2.12) can constrain only the absolute value of  $c_d$ . The argument of the parameter  $c_d$  may be decided by taking the neutrino sector into consideration in the future. For the time being, we set  $c_d = |c_d| e^{i\sigma}$  $=e^{0.107i}$  so that  $c_0$  becomes a real number:

$$c_0 = \frac{1 - c_d}{c_u} = 34.7,\tag{4.4}$$

$$c_1 = -\frac{3+c_d}{c_u} = 101.8 - 10.8i.$$
(4.5)

In this case, the mass matrices in MeV are

$$\begin{split} M_{0} &= \frac{3VD_{d}V^{T} + c_{d}(\kappa D_{u} + VD_{d}V^{T})}{4} \\ &= \begin{pmatrix} -12.4 - 0.7i & -23.0 - 1.8i & 9.6 - 13.2i \\ -23.0 - 1.8i & -91.5 - 3.9i & 194.0 + 10.5i \\ 9.6 - 13.2i & 194.0 + 10.5i & 1874.9 - 180.0i \end{pmatrix}, \end{split}$$

$$M_{1} = \frac{VD_{d}V^{T} - c_{d}(\kappa D_{u} + VD_{d}V^{T})}{4} = \begin{pmatrix} 4.19 + 0.69i & 7.68 + 1.43i & -3.72 + 4.09i \\ 7.68 + 1.43i & 24.14 + 3.88i & -65.05 - 10.48i \\ -3.72 + 4.09i & -65.05 - 10.48i & 1119.67 + 179.98i \end{pmatrix}.$$

$$(4.7)$$

Here, using the condition  $\sqrt{|v_0^u|^2 + |v_0^d|^2 + |v_1^u|^2 + |v_1^d|^2} = 246$ GeV, we can get VEV's as

$$v_0^d = \frac{246 [\text{GeV}]}{\sqrt{(|c_0|^2 + 1) + (|c_1|^2 + 1)|\rho|^2}},$$
(4.8)

with  $\rho \equiv v_1^d / v_0^d$ . Then, the Yukawa couplings about **10** and **126** become

$$Y_{10} = \frac{M_0}{v_0^d}, \quad Y_{126} = \frac{M_1}{v_1^d}.$$
 (4.9)

We consider that the model should be calculable perturbativly. We can see that every element of the Yukawa coupling constants Eq. (4.9) is smaller than one if we take a suitable value of  $|\rho|$ .

#### V. 10 AND 120

In the SO(10) GUT scenario, we can also discuss the model with one **10** and one **120** by the same method. The Yukawa couplings of **10** and **120** are symmetric and antisymmetric, respectively. If we consider the case where the Yukawa coupling constants of **10** are real and **120** pure imaginary, we can make them Hermitian, i.e.,  $Y_{10}^{\dagger} = Y_{10}$  and  $Y_{120}^{\dagger} = Y_{120}$ . Therefore, by considering the real vacuum expectation values  $v_{10}$  and  $v_{120}$ , we can obtain the Hermitian mass matrices  $M_u$ ,  $M_d$ , and  $M_e$ :

$$M_{d} = M_{0} + M_{2}, \quad M_{e} = M_{0} - 3M_{2},$$
  
$$M_{u} = c_{0}M_{0} + c_{2}M_{2}. \quad (5.1)$$

Then, we can diagonalize those by unitary matrices  $U_u$ ,  $U_d$ , and  $U_e$  as

$$U_{u}^{\dagger}M_{u}U_{u} = D_{u}, \quad U_{d}^{\dagger}M_{d}U_{d} = D_{d}, \quad U_{e}^{\dagger}M_{e}U_{e} = D_{e}.$$
(5.2)

TABLE II. Four sets of parameters giving good data fitting at  $\mu = m_Z$  for one **10** and one **126** Higgs scalar. (a) and (b) correspond to the mass signatures in Table I, and the upper and lower lines to the two intersections in Fig. 1.

	Input			Output		
	$ \theta_{23} $ [rad]	$\delta$ [°]	$m_s$ [MeV]	$ c_d $	к	
(a)	0.0420	60.0	76.3	3.15698	-0.01928 - 0.00089i	
	0.0420	60.0	76.3	3.03577	-0.01937 - 0.00101i	
(b)	0.0420	60.0	76.3	3.13307	-0.01929 - 0.00092i	
	0.0420	60.0	76.3	3.00558	-0.01939 - 0.00105i	

Since the CKM matrix V is given by

$$V = U_{\mu}^{\dagger} U_{d}, \qquad (5.3)$$

the relation Eq. (5.1) is rewritten as follows:

$$(U_{u}^{\dagger}U_{e})D_{e}(U_{u}^{\dagger}U_{e})^{\dagger} = c_{d}VD_{d}V^{\dagger} + c_{u}D_{u}.$$
(5.4)

As stated previously, we almost know the experimental values of  $D_e$ ,  $D_u$ , and  $VD_dV^{\dagger}$ . Therefore, we obtain the independent three equations:

$$\operatorname{Tr} D_e = c_d [\operatorname{Tr} D_d + \kappa \operatorname{Tr} D_u], \qquad (5.5)$$

$$\operatorname{Tr} D_e^2 = c_d^2 [\operatorname{Tr} D_d^2 + 2\kappa \operatorname{Tr} (D_u V D_d V^{\dagger}) + \kappa^2 \operatorname{Tr} D_u^2],$$
(5.6)

$$\det D_e = c_d^3 \det (V D_d V^{\dagger} + \kappa D_u), \qquad (5.7)$$

where  $\kappa = c_u / c_d$ . For the parameter  $\kappa$ , we have two equations:

$$\frac{m_e^2 + m_\mu^2 + m_\tau^2}{(m_e + m_\mu + m_\tau)^2} = \frac{\operatorname{Tr} D_d^2 + 2\kappa \operatorname{Tr} (D_u V D_d V^{\dagger}) + \kappa^2 \operatorname{Tr} D_u^2}{(\operatorname{Tr} D_d + \kappa \operatorname{Tr} D_u)^2},$$
(5.8)

$$\frac{m_e m_{\mu} m_{\tau}}{(m_e + m_{\mu} + m_{\tau})^3} = \frac{\det(V D_d V^{\dagger} + \kappa D_u)}{(\mathrm{Tr} D_d + \kappa \,\mathrm{Tr} D_u)^3}.$$
 (5.9)

Equations (5.8) and (5.9) are simpler than Eqs. (2.13) and (2.14).  $c_d$  and  $\kappa$  are real since we have assumed the  $M_u$ ,  $M_d$ , and  $M_e$  to be Hermitian. So the calculation is easier than the case for **10** and **126**. The numerical results are listed in Tables III–IV.

### VI. SUMMARY AND DISCUSSION

In conclusion, we have investigated whether or not an SO(10) model with two Higgs scalars can reproduce the observed mass spectra of the up- and down-quark sectors and charged lepton sector. What is of great interest is to see

TABLE III. The combinations of the signs of  $(m_t, m_c, m_u)$ ,  $(m_b, m_s, m_d)$ , and  $(m_\tau, m_\mu, m_e)$  for one **10** and one **120** Higgs scalar.

Num.	$(m_t, m_c, m_u)$	$(m_b, m_s, m_d)$	$(m_{\tau},m_{\mu},m_{e})$
(a-1)	(+ - +)	(+)	(+ + +)
(a-2)	(+ - +)	(+)	(+ + -)
(b-1)	(+)	(+)	(+ + +)
(b-2)	(+)	(+)	(+ + -)

TABLE IV. Four sets of parameters giving good data fitting at  $\mu = m_Z$  for one **10** and one **120** Higgs scalar. (a-i) and (b-i) correspond to the mass signatures in Table III.

	Input		Output		
	$ \theta_{23} $ [rad]	$\delta$ [°]	$m_s$ [MeV]	$c_d$	к
(a-1)	0.0415	60.0	79.551	0.05905	-0.01957
(a-2)	0.0415	60.0	79.238	0.06124	-0.01942
(b-1)	0.0415	60.0	79.673	0.05855	-0.01960
(b-2)	0.0415	60.0	79.316	0.06080	-0.01945

whether or not we can find reasonable values of the parameters  $c_{\mu}$  and  $c_{d}$ , which satisfy the SO(10) relation Eq. (2.5) or not. For the case with one 10 and one 126 scalar, in a parameter  $\kappa = c_{\mu}/c_{d}$ , we have obtained two equations (2.13) and (2.14) which hold at the unification scale  $\mu = \Lambda_X$  and which are described in terms of the observable quantities (the fermion masses and CKM matrix parameters). We have sought the approximate solution of  $\kappa$  by using the observed fermion masses and CKM matrix parameters at  $\mu = m_Z$ , instead of the observable quantities at  $\mu = \Lambda_X$ . Although we have found no solution for real  $\kappa$ , we have found four solutions for complex  $\kappa$  which satisfy Eqs. (2.13) and (2.14) within the experimental errors. Similarly, we have found four solutions for a model with one 10 and one 120 scalar. It should be worthwhile noting that the solutions in the latter model are real. The latter model is very attractive because the origin of the CP violation is attributed only to the 120

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scalar. In both models, we can make the magnitudes of all the Yukawa coupling constants smaller than one, so that the models are safely calculable under the perturbation theory.

By the way, note that the numerical results are very sensitive to the values of  $m_s$  and  $\theta_{23}$ . For numerical fittings, it is favorable that the strange quark mass  $m_s$  is somewhat smaller than the center value  $m_s = 93.4$  MeV which is quoted in Ref. [5].

Also note that the relative sign of  $m_d$  to  $m_s$  in each solution is positive, i.e.,  $m_d/m_s > 0$  as seen in Tables I and III. It is well known that a model with a texture  $(M_d)_{11}=0$  on the nearly diagonal basis of the up-quark mass matrix  $M_u$  leads to the relation  $|V_{us}| = \sqrt{-m_d/m_s}$  [7], where the relative sign is negative, i.e.,  $m_d/m_s < 0$ . On the contrary, we can conclude that in the SO(10) model with two Higgs scalars, we cannot adopt a model with the texture  $(M_d)_{11}=0$ .

In the present paper, we have demonstrated that the unified description of the quark and charged lepton masses in the SO(10) model with two Higgs scalars is possible. However, we have not referred to the neutrino masses. Concerning this problem, Brahmachari and Mohapatra have recently showed that one **10** and one **126** model is incompatible with the large  $\nu_{\mu}$ - $\nu_{\tau}$  mixing angle [8]. Since there are many possibilities for the neutrino mass generation mechanism, we are optimistic about this problem too. Investigating whether an SO(10) model with two Higgs scalars can give a unified description of quark and lepton masses including neutrino masses and mixings is our next big task.

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