Supersymmetric Yang-Mills theory on the lattice with domain wall fermions

George T. Fleming

Physics Department, Ohio State University, Columbus, Ohio 43210

John B. Kogut and Pavlos M. Vranas *Physics Department, University of Illinois, Urbana, Illinois 61801* (Received 16 February 2001; published 10 July 2001)

The dynamical $\mathcal{N}=1$, SU(2) super Yang-Mills theory is studied on the lattice using a new lattice fermion regulator, domain wall fermions. This formulation even at nonzero lattice spacing does not require fine-tuning, has improved chiral properties and can produce topological zero-mode phenomena. Numerical simulations of the full theory on lattices with the topology of a torus indicate the formation of a gluino condensate which is sustained at the chiral limit. The condensate is nonzero even for small volume and small supersymmetry breaking mass where zero mode effects due to gauge fields with fractional topological charge appear to play a role.

DOI: 10.1103/PhysRevD.64.034510 PACS number(s): 11.15.Ha, 02.70. - c, 11.30.Pb, 11.30.Rd

I. INTRODUCTION

It is believed that supersymmetric (SUSY) field theories may play an important role in describing the physics beyond the standard model. Nonperturbative studies of these theories are of great interest. First-principles numerical simulations may be able to provide additional information and confirmation of existing analytical calculations. Typically first principles numerical simulations of field theories are done within the framework of the lattice regulator. A host of results have been produced in this way for many field theories and most notably QCD. Several SUSY theories can also be formulated on the lattice and be studied numerically. To be more specific consider the problems of putting a SUSY theory on the lattice (see, for example, Refs. $[1-3]$):

 (1) Since space-time is discrete only a subgroup of the Poincaré group survives and as a result SUSY is broken. This problem is not severe and is of the same nature as in QCD. The symmetry breaking operators that are allowed by the remaining symmetries are irrelevant. One can calculate at several lattice spacings *a* and then take the $a \rightarrow 0$ limit. No fine-tuning is needed.

 (2) If the SUSY theory under consideration involves scalar fields one can have scalar mass terms that break SUSY since typically they are not forbidden by some symmetry. Since these operators are relevant fine-tuning will be needed in order to cancel their contributions. The four-dimensional $N=1$ super Yang-Mills (SYM) theory does not involve scalars and therefore it does not have this problem.

 (3) A naive regularization of fermions results in the well known doubling problem $[4]$. For each fermion species in the four-dimensional continuum 16 are generated on the lattice with total chirality of zero. This results in the wrong number of degrees of freedom and therefore breaks SUSY. However, this problem may be possible to treat as in QCD. This is the case for $\mathcal{N}=1$ SYM.

One possible way to remove the unwanted fermion degrees of freedom is to add an irrelevant operator (Wilson term $\lceil 5 \rceil$ that gives them heavy masses of the size of the cutoff. This term unavoidably breaks the chiral symmetry $[4]$ and as a result a gluino mass term is no longer forbidden. Since such a term is relevant, fine tuning of the bare fermion mass is necessary as the continuum limit is approached in order to cancel its contribution. Although fine-tuning is not a welcomed property this method makes it possible to recover the continuum target theory.

Therefore, it is possible to simulate numerically the $\mathcal N$ $=1$ SYM theory using existing lattice "technology" since all three difficulties can be circumvented. This observation was made some time ago $[1]$. In particular, it was argued that, using a standard lattice gauge theory action with a pure gauge Wilson plaquette term and Wilson fermions in the adjoint representation, numerical simulations could be done. Pioneering work using these methods has already produced very interesting numerical results $[6,7]$. Also, for proposed lattice tests of SYM see Ref. $[8]$. For a supersymmetric formulation on the lattice using Kogut-Susskind $[9]$ fermions see Ref. $[10]$.

There are two unwelcomed difficulties in using Wilson fermions. The first has already been mentioned and it is the need for fine-tuning. The second is of a technical nature. It turns out that the Pfaffian resulting from the fermionic integration is not positive definite $[6]$ at finite lattice spacing. However, it does become positive definite as the continuum limit is approached and therefore as a ''cure'' only the absolute value of the Pfaffian is used $[6,7]$. However, this introduces nonanalyticities that may make the approach to the continuum limit difficult.

Both of these difficulties can be brought under control by using an alternative fermion lattice regulator, domain wall fermions (DWFs). The use of DWFs in supersymmetric theories has been explored in the very nice work of Refs. $[2,$ 3]. The methods in this paper are along the lines of these references. Domain wall fermions were introduced in Ref. $[11]$, were further developed in Refs. $[12]$ and $[13,14]$. They provide a new way for treating the unwelcomed chiral symmetry breaking that is introduced when the fermion doubler species are removed. Here a variant of this approach will be

used $\vert 13,14 \vert$. For reviews on the subject please see Ref. $\vert 15 \vert$, and references therein. DWF have already been used for numerical simulations of the two flavor dynamical Schwinger model $[16]$, dynamical QCD $[17]$, quenched QCD $[18-23]$, as well as for simulations of 4-Fermi models $[24]$. The use of DWF in supersymmetric theories has also been explored in a different fashion in Refs. $[25,26]$. Furthermore, the use of overlap $\lceil 12 \rceil$ type fermions has been explored in Refs. $[12, 27, 28]$, and the use of other related types of fermions has been explored in Refs. $[29,30]$.

In the lattice DWF formulation of a vector-like theory the fermionic fields are defined on a five-dimensional space-time lattice using a local action. The fifth direction can be thought of as an extra space-time dimension or as a new internal flavor space. The gauge fields are introduced in the standard way in the four-dimensional space-time and are coupled to the extra fermion degree of freedom in a diagonal fashion. The key ingredient is that the boundary conditions of the Dirac operator along the fifth direction are taken to be free. As a result, although all fermions are heavy, two chiral, exponentially bound surface states appear on the boundaries (domain walls) with the plus chirality localized on one wall and the minus chirality on the other. The two chiralities mix only by an amount that is exponentially small in L_s , where *Ls* is the number of lattice sites along the fifth direction, and form a Dirac spinor that propagates in the four-dimensional space-time with an exponentially small mass. Therefore, the amount of chiral symmetry breaking that is artificially induced by the regulator can be controlled by the new parameter L_s . In the $L_s \rightarrow \infty$ limit the chiral symmetry is exact, even at finite lattice spacing, so there is no need for finetuning.

For the first time the approach to the chiral limit has been separated from the approach to the continuum limit. Furthermore, the computing requirement is linear in L_s . This is to be contrasted with traditional lattice fermion regulators where the chiral limit is approached only as the continuum limit is taken, a process that is achieved at a large computing cost. Specifically, because of algorithmic reasons, the computing cost to reduce the lattice spacing by a factor of 2 grows by a factor of 2^{8-10} in four dimensions. Therefore, the unique properties of DWF provide a way to bring under control the systematic chiral symmetry breaking effects using today's supercomputers.

The purpose of this paper is twofold. First, the techniques for performing a numerical simulation of the full $\mathcal{N}=1$ $SU(2)$ SYM theory using DWF are collected and it is demonstrated that they work as expected by performing numerical simulations of the full theory. Second, the gluino condensate is measured. It is expected that a nonzero gluino condensate must form $[31-34]$. However, there are also arguments that the theory has a phase where a gluino condensate does not form $[35]$. In the numerical simulations performed here it is found that a nonzero gluino condensate is sustained in the limit of zero gluino mass. This result is at a finite lattice spacing and therefore SUSY is still broken albeit by irrelevant operators.

It must be emphasized that in this work due to limited computer resources no attempt has been made to extrapolate to the continuum limit. It is possible that in this limit the gluino condensate may vanish. Future work using larger computer resources could calculate the gluino condensate at several lattice spacings and extract the continuum value. But even then, it will never be possible to numerically prove that the finite lattice spacing theory is not separated from the continuum theory by a phase transition. This problem is not particular to the case at hand but is in the nature of numerical investigations. They can provide strong evidence but not unquestionable proof. A well known case with similar problems relates to the question of confinement and chiral symmetry breaking in QCD.

This paper is organized as follows. In Sec. II, the DWF lattice formulation of $\mathcal{N}=1$ SU(2) SYM theory is presented. In Sec. III, analytical considerations relating to the gluino mass, the Ward identities, and the effects of topology in the patterns of chiral symmetry breaking are given. The numerical methods used in the simulations are discussed in Sec. IV. The numerical results are presented in Sec. V and the paper is concluded in Sec. VI.

II. LATTICE FORMULATION

In this section, the $\mathcal{N}=1$, SU(2) SYM lattice action and operators are presented. The approach is similar in spirit as to the case of Wilson fermions $[1,6,7]$. The DWF formulation for this theory is identical to Refs. $[2]$ and $[3]$. It is presented below for the convenience of the reader and in order to establish notation.

The $\mathcal{N}=1$, SU(2) SYM theory is an SU(2) gauge theory with Majorana fermions in the adjoint representation. As such, the fermionic path integral results in the analytic square root of the corresponding Dirac determinant. This then is the Pfaffian of an antisymmetric matrix that has the same determinant as the Dirac operator. On the lattice, the Dirac operator can be defined using Wilson's approach as in Refs. $[1,6,7]$ or the DWF approach as in Refs. $[2]$ and $[3]$.

The partition function is

$$
Z = \int [dU] \int [d\Psi] \int [d\Phi] e^{-S}.
$$
 (1)

 $U_{\mu}(x)$, $\mu=1, 2, 3, 4$ is the four-dimensional gauge field in the fundamental representation, $\Psi(x, s)$ is a (real) fivedimensional Majorana field in the adjoint representation, and $\Psi(x, s)$ is a (real) five-dimensional bosonic Pauli Villars (PV) type field with the same indices as the Majorana field. x is the coordinate in the four-dimensional space-time box with extent *L* along each of the four directions. The boundary conditions along these directions are taken to be periodic for all fields. The coordinate of the fifth direction is *s* $=0,1,\ldots,L_s-1$, where L_s is the size of that direction and is taken to be an even number. The action *S* is given by

$$
S = S_G(U) + S_F(\Psi, U) + S_{\text{PV}}(\Phi, U). \tag{2}
$$

 $S_G(U)$ is the pure gauge part and is defined using the standard single plaquette action of Wilson:

where $\beta = 4/g^2$ and *g* is the gauge coupling. The fermion part $S_F(\Psi, U)$ is given by

$$
S_F = -\sum_{x,x',s,s'} \Psi(x,s) D_F(x,s;x',s') \Psi(x',s'), \tag{4}
$$

where D_F is the DWF Dirac operator in the form of Ref. [14]. Specifically it is

$$
D_F(x, s; x', s') = \delta(s - s')D(x, x') + D^{\perp}(s, s')\delta(x - x'),
$$
\n(5)

$$
\mathcal{D}(x,x') = \frac{1}{2} \sum_{\mu=1}^{4} \left[(1+\gamma_{\mu}) V_{\mu}(x) \delta(x+\hat{\mu}-x') + (1-\gamma_{\mu}) V_{\mu}^{\dagger}(x') \delta(x'+\hat{\mu}-x) \right] + (m_{0}-4) \delta(x-x'), \tag{6}
$$

$$
\mathcal{D}^{\perp}(s,s') = \begin{cases} P_R \delta(1-s') - m_f P_L \delta(L_s - 1 - s') - \delta(0-s'), & s = 0, \\ P_R \delta(s + 1 - s') + P_L \delta(s - 1 - s') - \delta(s - s'), & 0 < s < L_s - 1, \\ -m_f P_R \delta(0 - s') + P_L \delta(L_s - 2 - s') - \delta(L_s - 1 - s'), & s = L_s - 1, \end{cases}
$$
(7)

$$
P_{R,L} = \frac{1 \pm \gamma_5}{2},\tag{8}
$$

where *V* is the gauge field in the adjoint representation. It is related to the field in the fundamental representation by (see, for example, Ref. $[6]$

$$
[V_{\mu}(x)]_{a,b} = 2 \text{Tr} [U_{\mu}^{\dagger}(x) T^{a} U_{\mu}(x) T^{b}] \tag{9}
$$

and

$$
V_{\mu}(x) = V_{\mu}^{*}(x) = [V_{\mu}^{-1}(x)]^{T}, \qquad (10)
$$

where $T^a = \frac{1}{2} \sigma^a$ with σ^a the Pauli matrices. In the above equations m_0 is a five-dimensional mass representing the ''height'' of the domain wall and it controls the number of light flavors in the theory. In order to get one light species in the free theory one must set $0 < m₀ < 2$ [11]. The parameter m_f explicitly mixes the two chiralities and as a result it controls the bare fermion mass of the four-dimensional effective theory. The dependence of the bare fermion mass on m_0 and *Ls* is discussed in Sec. III A.

The fermion field Ψ is not independent but is related to Ψ by the equivalent of the Majorana condition for this fivedimensional theory $[3]$:

$$
\overline{\Psi} = \Psi^T C R_5,\tag{11}
$$

where R_5 is a reflection operator along the fifth direction and *C* the charge conjugation operator in Eucledean space which can be set to

$$
C = \gamma_0 \gamma_2. \tag{12}
$$

Therefore, the fermion action can also be written as

$$
S_F = -\sum_{x,x',s,s'} \Psi^T(x,s) M_F(x,s;x',s') \Psi(x',s'), \quad (13)
$$

where

$$
M_F(x, s; x', s') = CR_5 D_F(x, s; x', s') \tag{14}
$$

is an antisymmetric matrix as can be easily checked $[2]$. As a result the fermionic integral gives the anticipated Pfaffian:

$$
\int [d\Psi]e^{-S_F} = \text{Pf}(M_F). \tag{15}
$$

Because $\det(CR_5)=1$ one also has that $\det(M_F)=\det(D_F)$ and therefore,

$$
\text{Pf}(M_F) = \sqrt{\det(D_F)}.
$$
 (16)

The Pauli-Villars action S_{PV} is designed to cancel the contribution of the heavy fermions $[12]$. Viewing the extra dimension as an internal flavor space $[12]$ one can see that there are L_s-1 heavy fermions with masses near the cutoff and one light fermion. The PV subtraction subtracts the *Ls* heavy particles. As was pointed in Ref. $[2]$ this amounts to a ''double'' regularization of the light degree of freedom, first by the lattice and then by the PV field. The form of the PV subtraction used here is as in Ref. $[16]$ and is given by

$$
S_{\rm PV} = \sum_{x,x',s,s'} \Phi^{T}(x,s) M_{F} [m_{f} = 1](x,s;x',s') \Phi(x',s'). \tag{17}
$$

The integral over the PV fields results in

$$
\int [d\Phi]e^{-S_{\text{PV}}} = \frac{1}{\text{Pf}(M_F[m_f=1])}.
$$
 (18)

Green's functions in this work are measured using fourdimensional fermion fields constructed from fivedimensional fermion fields using the projection prescription $|14|$

$$
\chi(x) = P_R \Psi(x,0) + P_L \Psi(x,L_s - 1),
$$

$$
\bar{\chi}(x) = \bar{\Psi}(x,L_s - 1)P_R + \bar{\Psi}(x,0)P_L.
$$
 (19)

In the $L_s \rightarrow \infty$ limit of the theory these operators directly correspond to insertions in the overlap of appropriate creation and annihilation operators $\lfloor 12 \rfloor$.

Using Eqs. (11) and (19) the Majorana condition on the four-dimensional fermion field is

$$
\bar{\chi} = \chi^T C. \tag{20}
$$

Because this is the correct condition for a four-dimensional field one can see that the definition in Eq. (11) not only produces an antisymmetric fermion matrix M_F but is also consistent with the projection prescription in Eq. (19) as expected.

III. ANALYTICAL CONSIDERATIONS

In this section some analytical considerations are presented. In the $\mathcal{N}=1$ SYM theory, a gluino mass term is the only relevant operator that can break supersymmetry and is also the only relevant operator that can break (at the classical level) the $U(1)_A$ symmetry. Therefore, the two symmetries are intimately related to the mechanisms that can introduce a bare gluino mass term. These mechanisms depend on the "extra" regulator parameters m_0 and L_s . This is discussed below. Next the fate of the $U(1)_A$ chiral symmetry and the effects of topology are presented. The chiral and supersymmetric Ward identities are derived in the last subsection.

A. The ''extra'' DWF parameters

DWFs introduce two extra parameters, the size of the fifth direction L_s and the domain wall height or five-dimensional mass m_0 . These two parameters together with the explicit mass m_f control the bare fermion mass m_{eff} . In the free theory one finds $[16]$

$$
m_{\text{eff}} = m_0 (2 - m_0) [m_f + (1 - m_0)^{L_s}], \quad 0 < m_0 < 2. \tag{21}
$$

In the interacting theory one would expect that m_0 as well as its range of values will be renormalized. From the above equation one can see that for the free theory the value of m_0 =1 is optimal in the sense that finite L_s effects do not contribute to m_{eff} . In the interacting theory one would expect that there is no such ''optimal value'' since, in a Heuristic sense, m_0 will fluctuate. For a more detailed analysis please see Ref. [36]. Then one would like L_s to be large enough so that the second term in Eq. (21) will be small allowing for simulations at reasonably small masses and/or for dependable extrapolations to the $m_f \rightarrow 0$, $L_s \rightarrow \infty$ limit.

The effects of finite L_s on the chiral symmetry can be best understood in the overlap formalism $\lceil 12 \rceil$. In that formalism a transfer matrix *T* along the extra direction is constructed. Because the gauge fields are not changing along that direction the product of transfer matrices simply results in T^{L_s} . For $L_s=\infty$ this is a projection operator that projects the reference vacuum state to a ground state. The fermion determinant is then the overlap of the reference vacuum state with that ground state. In Ref. $[12]$ it was shown that, as a lattice gauge field configuration changes, from say the zero topological sector to sector one, an eigenvalue (or a degenerate set of eigenvalues) of the corresponding Hamiltonian *H* changes sign. As a result, the filling level of the ground state becomes different from that of the reference vacuum state. Then the overlap is zero indicating the presence of an exact zero mode. This remarkable property is maintained to a good degree even at finite L_s as was found in Ref. [20]. Unfortunately, this property is also the reason for most of the difficulties with DWFs. As the eigenvalue of the Hamiltonian *H* changes sign it crosses zero. In such a configuration the transfer matrix has an eigenvalue equal to 1 and therefore even at $L_s = \infty$ there is no decay along the extra direction, the two chiralities do not decouple, and chiral symmetry cannot be restored. Fortunately, configurations for which *H* has an exact zero eigenvalue (for a given m_0) are of measure zero $[12,14]$ and therefore are of no consequence. However, configurations in their neighborhood are not of measure zero and such configurations will exhibit very slow decay rates. Therefore, in order to restore chiral symmetry, very large values of L_s may be needed. Since one would expect that the neighborhoods of such configurations are suppressed closer to the continuum limit this problem should become less severe as that limit is taken. This has been observed in numerical simulations of the Schwinger model $[16]$, of full QCD [17], and of quenched QCD $[18, 19, 22, 23]$.

In the region where it makes sense to parametrize these effects by a residual mass in an effective action it has been found that

$$
m_{\text{eff}} = c_0 m_f + m_{\text{res}}, \quad m_{\text{res}} = c_1 \exp(-c_2 L_s), \quad (22)
$$

where for dynamical QCD at the currently accessible lattice spacings the decay is found to be $c_2 \approx 0.02$ [17]. For quenched QCD the situation is better because current computing resources can simulate lattices with smaller lattice spacing. There, a value of $c_2 \approx 0.1$ is found [18,19,22]. Also in these studies the value of c_2 was a weakly changing function of m_0 indicating that for practical purposes there is no optimal value of m_0 .

In the case of the $N=1$ SYM SU(2) theory the Hamiltonian corresponding to the five dimensional transfer matrix has eigenvalues that are doubly degenerate because the fermion fields are in the adjoint representation $[28]$. Therefore when there is a ''topology'' change two eigenvalues will have to cross through zero (as compared to one for fundamental fermions). This may make this theory harder to study than QCD in the sense that larger L_s values may be required. On the other hand, since no massless Goldstone particles are expected, the sensitivity of the spectrum on L_s may be considerably milder. In any case, in this paper the only fermionic observable that will be discussed is the gluino condensate. This quantity is known to approach its $L_s \rightarrow \infty$ limit with faster decay rates than the ones in m_{res} (for a discussion and results for full QCD see Ref. $[17]$; there the decay rate for the chiral condensate was about five times faster than that for m_{res}).

As was discussed above, the range of m_0 is renormalized by the interactions. It has been found that as the lattice spacing increases and one moves away from the continuum limit this range shrinks in size and for currently accessible spacings in QCD that range is about $[1.4, 2.0]$. As one moves even farther away from the continuum limit this range can shrink to zero and then it will not be possible to have light DWF modes [2,39]. However, it must be emphasized that for as long as the range of allowed values of m_0 is not of zero size the overlap formalism, although it does not specify how it is approached, guarantees the existence of the $L_s \rightarrow \infty$ limit. In this work, m_0 =1.9 and, as it will be shown in Sec. V, the behavior of the gluino condensate vs. L_s is consistent with an exponential ansatz.

B. Chiral symmetry and topology

Fermions in the adjoint representation of the SU(*N*) gauge group have a Dirac operator with index

$$
2N\nu \tag{23}
$$

where ν is the winding of the background field configuration. Classical instantons have integer winding and they cause condensation of operators with 2*N* Majorana fermions. This results in the breaking of the $U(1)_A$ chiral symmetry down to the Z_{2N} symmetry by the corresponding anomaly. The remaining Z_{2N} symmetry may break spontaneously down to Z_2 [31]. Mechanisms for this further breaking have been explored for example in Refs. $[32-34]$ where instantons and fractionally charged objects such as torons $[37]$ or caloron monopole constituents [38] were investigated as the source of this symmetry breaking.

Since in a toroidal geometry fractional winding numbers are possible $[37]$, the partition function of the full theory can be expressed as

$$
Z(\theta) = \sum_{\nu} e^{i\nu\theta} Z_{\nu}, \quad \nu = 0, \pm 1/N, \pm 2/N, \dots,
$$
 (24)

where θ is the vacuum angle and Z_{ν} is the partition function on the sector with winding ν . For the theory with a soft breaking by a mass m_f the interplay of the volume and mass in the formation of the gluino condensate has been analyzed in Ref. $[40]$. The reader is referred to that reference for a very nice presentation on the subject. Assuming a mass gap is present in the theory the authors of Ref. $[40]$ show that non-zero contributions to the gluino condensate $\langle \bar{\chi} \chi \rangle$ come almost exclusively from the $\nu=1/N$ sector if $m_f \times V \times \langle \bar{\chi} \chi \rangle$ ≤ 1 . On the other hand, if $m_f \times V \times \langle \bar{\chi} \chi \rangle \geq 1$ all sectors contribute to a nonzero condensate.

The above considerations result in an unusual picture. If the infinite volume limit is taken (followed by the massless limit) it is possible that a gluino condensate will form due to spontaneous breaking of the discrete symmetry Z_{2N} down to *Z*² . On the other hand, at a finite volume and zero mass a gluino condensate can form due to the presence of fractional winding configurations. Since the volume is finite, this cannot be the result of spontaneous symmetry breaking. Instead, it is similar to symmetry breaking due to topological effects as, for example, in one flavor QCD. As pointed out above the size of $m_f \times V \times \langle \bar{\chi} \chi \rangle$ controls which "scenario" takes place.

On the lattice there is no clear definition of topology. The path integral over the $SU(N)$ group space generates configurations of all possible windings. In order for the lattice theory to be able to reproduce phenomena that relate to topology it is essential that the lattice Dirac operator obeys the index theorem in a statistical sense. This is highly nontrivial since it is obviously related to the doubling problem. Traditional fermions (Wilson or staggered) do not exhibit exact zero modes at finite lattice spacing. On the other hand, as mentioned in Sec. III A, DWF at $L_s=\infty$ have exact zero modes and at finite L_s have robust zero modes to a good approximation $[20]$. An approximate form of the index theorem has been found to be obeyed for fundamental fermions in the overlap formulation in quenched $SU(2)$ [41] and in quenched $SU(3)$ [42].

The index of adjoint fermions in the overlap formulation in quenched $SU(2)$ has been studied in Ref. $\lfloor 28 \rfloor$. In that work it was pointed out that the overlap Dirac operator for adjoint fermions in the $SU(2)$ gauge group is necessarily even valued. Then the question posed by the authors of Ref. [28] was whether or not all even values are realized or only values that are multiples of four are present. The latter case corresponds to configurations with instantons. The former case corresponds to fractional winding numbers. Configurations with fractional winding were found and their presence persisted as the lattice spacing was decreased.

In this paper DWFs are used at finite L_s and therefore some of the clarity present in the $L_s = \infty$ case will be lost. However, the full theory (including the fermion determinant) is studied here. Furthermore, it is interesting to see if at a small volume and zero mass the gluino condensate still forms and if it does to what extent its value is due to zero mode effects. The numerical results are presented in Sec. V.

C. Ward identities

As discussed in the introduction and in Sec. III, the DWF formulation of the $\mathcal{N}=1$ SU(2) SYM theory at the $L_s \rightarrow \infty$ limit is expected to preserve the $U(1)_A$ chiral symmetry (at the classical level) and break supersymmetry only by irrelevant operators. Since the DWF formulation contains many more fields than the continuum theory, one may naturally wonder what are the SUSY transformations in terms of these fields. In particular, while the continuum theory has a single Majorana fermion the DWF lattice theory contains *Ls* Majorana fermions and *Ls* corresponding PV fields. Since all these fields, except for one Majorana fermion, have masses near the cutoff, one can expect that the SUSY transformations should only transform the gauge field and the light Majorana fermion represented by the boundary field χ of Eq. (19). Similarly, the chiral symmetry transformations should only involve the field χ . However, one should expect that this choice of SUSY and chiral transformations is not unique. For example, see Ref. $[14]$ for a different choice of QCD chiral transformations that involve all fermion fields in one half of the fifth direction transforming vectorially and all fermions in the other half also transforming vectorially but with opposite charge. That choice could also be appropriate here for the chiral transformations, but it may make the SUSY ones more complicated.

As a first step in deriving the Ward identities, the fermionic part of the action in Eq. (4) is rewritten in terms of the boundary field χ :

$$
S_F = S_{F_0} + S_{F_\chi},\tag{25}
$$

where S_{F_0} does not depend on the field χ and

$$
S_{F_{\chi}} = -\sum_{x,x'} \left[\bar{\chi}(x) \mathcal{D}_N(x,x') \chi(x') - m_f \bar{\chi}(x) \delta(x,x') \chi(x') - \bar{\chi}(x) \mathcal{B}(x,x') \phi(x') - \bar{\phi}(x) \mathcal{B}(x,x') \chi(x') \right], \qquad (26)
$$

where

$$
\phi(x) = P_R \Psi(x, L_s - 1) + P_L \Psi(x, 0),
$$

$$
\overline{\phi}(x) = \overline{\Psi}(x, 0) P_R + \overline{\Psi}(x, L_s - 1) P_L
$$
 (27)

are the ''wrong'' projected fields in the sense that they are defined on the opposite wall from where the corresponding light mode is localized. If indeed there is localization one would expect that in the $L_s \rightarrow \infty$ limit these fields will have no overlap with the light mode. The operator \mathbf{D}_N is the naive part of the four-dimensional Wilson operator in Eq. (6) and *B* is the symmetry breaking part $(B$ is the equivalent of B in Refs. $[12,14]$:

$$
\mathcal{D}_N(x, x') = \frac{1}{2} \sum_{\mu=1}^4 \gamma_{\mu} [V_{\mu}(x) \, \delta(x + \hat{\mu} - x') - V_{\mu}^{\dagger}(x') \, \delta(x' + \hat{\mu} - x)], \tag{28}
$$

$$
B(x,x') = (5 - m_0) \delta(x - x') - \frac{1}{2} \sum_{\mu=1}^{4} [V_{\mu}(x) \delta(x + \hat{\mu} - x') + V_{\mu}^{\dagger}(x') \delta(x' + \hat{\mu} - x)].
$$
\n(29)

These operators have the following properties:

$$
\{\mathcal{D}_N, \gamma_5\} = 0, \quad \mathcal{D}_N^{\dagger} = -\mathcal{D}_N, \n[B, \gamma_5] = 0, \quad B^{\dagger} = B^T = B.
$$
\n(30)

First the Ward identity corresponding to the $U(1)_A$ symmetry is derived. The symmetry transformations are

$$
\delta_A \chi(x) = i \alpha(x) \gamma_5 \chi(x),
$$

$$
\delta_A \bar{\chi}(x) = i \alpha(x) \bar{\chi}(x) \gamma_5,
$$
 (31)

where $\alpha(x)$ is an infinitesimal real number and δ_A symbolizes the change under the chiral transformation. Then the Ward identity is

$$
\langle \Delta_{\mu} J_{\mu}(x) \mathcal{O}(y) \rangle = 2m_f \langle J_5(x) \mathcal{O}(y) \rangle + 2 \langle J_B(x) \mathcal{O}(y) \rangle + i \langle \delta_A \mathcal{O}(y) \rangle, \tag{32}
$$

where the backward difference is defined as $\Delta_{\mu} f(x) \equiv f(x)$ $-f(x - \mu)$. The currents are

$$
J_{\mu}(x) = \overline{\chi}(x) \gamma_5 \gamma_{\mu} V_{\mu}(x) \chi(x + \mu), \tag{33}
$$

$$
J_5(x) = \overline{\chi}(x) \gamma_5 \chi(x), \tag{34}
$$

$$
J_B(x) = \sum_{y} \overline{\chi}(x) \gamma_5 B(x, y) \phi(y). \tag{35}
$$

If in the above Ward identity $\mathcal{O}(y) = J_5(y)$ one gets

$$
\Delta_{\mu} \langle J_{\mu}(x) J_5(y) \rangle = 2m_f \langle J_5(x) J_5(y) \rangle + 2 \langle J_B(x) J_5(y) \rangle - 2 \langle \overline{\chi}(y) \chi(y) \rangle.
$$
 (36)

In this identity the term with J_B will be responsible for producing the ABJ anomaly in the $L_s \rightarrow \infty$ limit. On the other hand, if L_s is kept finite this term is similar to the one for Wilson fermions which, besides producing the ABJ anomaly, also produces a mass redefinition. For an analysis of QCD with DWF at finite L_s see Ref. [19].

As mentioned earlier these chiral transformations are different than the ones in Ref. $[14]$. If the transformations relevant for a nonsinglet current in QCD were done on the fields $\bar{\chi}$, χ , one obtains a Ward identity exactly as in Ref. [14] but with the currents $A^a_\mu(x)$ and $J^a_{5q}(x)$ replaced with

$$
A_{\mu}^{a}(x) = \frac{1}{2} [\bar{\chi}(x) \gamma_{5} \gamma_{\mu} \lambda^{a} U_{\mu}(x) \chi(x + \mu) + \bar{\chi}(x + \mu) \gamma_{5} \gamma_{\mu} \lambda^{a} U_{\mu}^{\dagger}(x) \chi(x)], \qquad (37)
$$

$$
J_{5q}^{a}(x) = \frac{1}{2} \sum_{y} \left[\bar{\chi}(x) \gamma_5 \lambda^{a} B(x, y) \phi(y) + \bar{\phi}(y) \gamma_5 \lambda^{a} B(y, x) \chi(x) \right].
$$
 (38)

The derivation of the SUSY Ward identity is similar to the one for Wilson fermions. One can use the existing calculations for Wilson fermions $[1,7,43]$ to elucidate the differences between the two formalisms. Here the derivation in Ref. [43] will be followed. The symmetry transformations are as in Ref. $[43]$ and commute with parity.

The change of the pure gauge action with respect to the transformation of the gauge field is of course the same. In terms of the symmetry breaking part of the Ward identity it contributes a term denoted below by $X_2(x) + X_3(x)$ where X_2 , X_3 are as in Ref. [43]. This term breaks SUSY because of the explicit breaking of the Lorentz symmetry. Using improved pure gauge lattice actions can alleviate the effects of this breaking. Such an improvement is not considered here.

The change of the fermion and Pauli-Villars parts of the DWF action with respect to the transformation of the gauge fields produces terms for all L_s slices. In particular the variation of the fermion matrix D_F of Eq. (5) with respect to the gauge field is

$$
\delta_U D_F(x, x'; s, s')(m_f) = \delta(s - s') \delta_U D(x, x'). \tag{39}
$$

One sees that δD_F is independent of m_f and is diagonal in the fifth direction. Furthermore $\delta D(x, x')$ is the same as the variation of the Wilson operator. Therefore, this variation contributes to the symmetry breaking part of the Ward identity the terms

$$
X_4^F(x) = \sum_s X_4^F(x, s)
$$
 (40)

and

$$
X_4^{\text{PV}}(x) = \sum_s X_4^{\text{PV}}(x, s), \tag{41}
$$

where $X_4^F(x, s)$ is as X_4 in Ref. [43] except that the fourdimensional Wilson fermion fields that have their spin indices contracted are replaced by $\Psi(x, s)$, $\Psi(x, s)$ while the other Wilson fermion field is replaced by $\chi(x)$. Similarly $X_4^{PV}(x,s)$ is as X_4 in Ref. [43] except that the fourdimensional Wilson fermion fields that have their spin indices contracted are replaced by the Pauli-Villars fields $\Phi^{T}(x,s)CR_{5}$, $\Phi(x,s)$, the other Wilson fermion field is replaced by $\chi(x)$ and the sign of the second term in X_4 is minus instead of plus due to the commutativity of the Pauli-Villars fields.

The change of the action with respect to the fermion field transformations can be partially deduced from the corresponding Wilson fermion calculation. Since this transformation only involves the action S_{F_v} in Eq. (26), one can observe that the first two terms of that action are identical with the action of naive fermions (Wilson fermions with $r=0$). These will contribute identical terms as the $r=0$ part of the Wilson action. They contribute to the divergence of the SUSY current and to the mass term of the Ward identity given below. Finally, the transformation of the last term of the action S_{F_x} in Eq. (26) is easy to calculate and is denoted by $X_1(x)$:

$$
X_1(x) = -P^a_{\rho\sigma}(x)\sigma_{\rho\sigma}B^{ab}(x,x')\phi^b(x'). \tag{42}
$$

This term is closely related to X_1 of Ref. [43].

The Ward identity is

$$
\langle \Delta_{\mu} S_{\mu}(x) \mathcal{O}(y) \rangle = m_f \langle D_s(x) \mathcal{O}(y) \rangle + \langle X_S(x) \mathcal{O}(y) \rangle
$$

- $\langle \delta_S \mathcal{O}(y) \rangle,$ (43)

where the supersymmetric current S_μ and the quantity D_S are as in Ref. [43]. The symmetry breaking term $X_S(x)$ is also similar to the one in Ref. $[43]$:

$$
X_{S}(x) = X_{1}(x) + X_{2}(x) + X_{3}(x) + X_{4}^{F}(x) - X_{4}^{PV}(x).
$$
 (44)

As mentioned above the symmetry breaking term $X_2(x)$ $+X_3(x)$ is due to the breaking of Lorentz symmetry by the lattice. The $X_4^F(x)$ and $X_4^{\text{PV}}(x)$ terms break the symmetry as in Wilson fermions. These terms do not cancel each other exactly.¹ However, one would expect large cancellations of heavy modes. The terms in $X_4^F(x, s)$ that are proportional to the Wilson parameter involve fields that couple to the light modes by an amount that is exponentially small in L_s . One would expect these terms to be nearly canceled by the corresponding Pauli-Villars terms resulting in exponentially small contributions. The remaining terms that involve fields away from the relevant domain walls should also yield similar cancellations. As a result the only terms that should make significant contributions should be the ones that involve fields of the ''correct'' chirality near the domain walls. These few terms would couple to the light modes and be further regularized by the corresponding Pauli-Villars terms. Clearly this analysis of cancellations is heuristic. A detailed calculation using, for example, perturbation theory or transfer matrix methods would be interesting but it is beyond the scope of this paper.

Finally, the symmetry breaking term $X_1(x)$ involves the field $\phi(x)$ that is expected to have no overlap with the light mode in the $L_s \rightarrow \infty$ limit. If L_s is finite then DWFs are similar to Wilson fermions and an analysis as in Ref. $[1]$ should indicate that this term is responsible for the same mass redefinition as the one in the chiral Ward identity.

IV. NUMERICAL METHODS

As can be seen from Sec. II the $N=1$ SU(2) SYM theory can be simulated as a theory with 0.5 flavors of Dirac fermions in the adjoint representation. An efficient and popular algorithm that can be used to simulate any number of flavors is the hybrid molecular dynamics R (HMDR) algorithm of Ref. [44]. Because of the Grassmann nature of fermions these algorithms need to invert the matrix D_F of Eq. (5) . That matrix is not Hermitian. This is a problem since some of the more efficient inversion algorithms require the matrix to be Hermitian. However, because

$$
\gamma_5 R D_F R \gamma_5 = D_F^{\dagger} \tag{45}
$$

one has that

$$
\det[D_F]^2 = \det[D_F D_F^{\dagger}].\tag{46}
$$

Then one can invert the Hermitian matrix $D_F D_F^{\dagger}$ and then use the HMDR algorithm to take the appropriate power so that the desired number of flavors is simulated. This method is adopted here and the 0.25 power is taken in order to go from a theory with two Dirac fermions to a theory with one Majorana fermion. In other words, the fermion determinant that is used in the simulation is

$$
(\det[D_F D_F^{\dagger})]^{0.25} = |\det[D_F]|^{0.5} = \det[D_F]^{0.5}, \quad (47)
$$

¹We thank Y. Shamir for pointing this out to us.

where in the last equality use was made of the fact that for non-negative m_f det $[D_F]$ is also non-negative [2]. This approach was also taken in Ref. [7] for Wilson fermions. For an approach that uses Wilson fermions and the multibosonic algorithm $[45]$ instead of the HMDR algorithm see Ref. $[6]$. However, as mentioned earlier in the case of Wilson fermions the last equality in Eq. (47) is not true for all gauge field configurations.

The HMDR algorithm uses molecular dynamics methods in order to produce the correct statistical ensembles. Because the molecular dynamics step size $\delta\tau$ is finite discretization errors are introduced. There are two ways one can deal with this problem. One is to simulate at various values of $\delta\tau$ and then extrapolate to $\delta\tau=0$. Another method is to use $\delta\tau$ small enough so that the errors are negligible when compared with the statistical errors.

In order to ensure this, one can simulate the two Dirac flavor theories at the same parameters and same $\delta\tau$. For the two flavor theory, one has a local action and therefore, at the end of the evolution, one can employ a Metropolis acceptreject step. Then the finite $\delta\tau$ errors are "converted" to a nonideal acceptance rate and in effect they are reflected in the final statistical errors. This is the exact hybrid Monte Carlo Φ (HMC Φ) algorithm of Refs. [46,44]. Therefore the acceptance rate is an indication of the size of the finite $\delta\tau$ errors in the HMD integration. By simulating the two Dirac flavor theory with (HMC Φ) one can set $\delta\tau$ so that the acceptance rate is high, say $\approx 90\%$. Since the coefficient of the finite $\delta\tau$ errors is proportional to the number of flavors one would expect that for 0.25 flavors the errors would be small and at the few percent level.

The only fermion observable measured in this work is the gluino condensate. By inserting appropriate source terms as in Ref. $[7]$ the gluino condensate was measured as the trace of D_F^{-1} with spin and fifth-direction indices restricted as dictated by Eq. (19) . The trace was calculated using a standard stochastic method. All inversions in this work were done using the conjugate gradient (CG) algorithm. An even-odd preconditioned form of the matrix $D_F^{\dagger}D_F$ was inverted. For more details on the numerical algorithms and methods employed to DWF simulations see Refs. $[16,17]$.

V. NUMERICAL RESULTS

A. Simulation parameters

In all simulations the domain wall height was chosen to be m_0 =1.9. As mentioned in the previous section, the finite $\delta\tau$ errors were kept to the few percent level by using a small $\delta\tau$. For all simulations the step size was set to $\delta\tau=0.01$ and the trajectory length to $\tau=0.5$. In order to confirm that this choice introduces finite step size errors that are small compared to the statistical errors an $HMC\Phi$ simulation for two Dirac flavors was run for $L_s=12$ and $m_f=0.04$. It produced an acceptance rate of $\approx 90\%$ suggesting that the finite $\delta\tau$ errors of the 0.5 flavor theory are small. Furthermore, an HMDR simulation was also run for two Dirac flavors using the exact same parameters. The value of the gluino condensate obtained from these two simulations was the same within statistical errors.

FIG. 1. The magnitude of the Wilson line $\langle |W| \rangle$ in an 8⁴ lattice. The diamonds are from the quenched theory. The cross is from the dynamical theory at β =2.3 with *L_s*=24, m_f =0.0 and m_0 =1.9.

The CG stopping condition for all simulations was set to 10^{-6} for the evolution and to 10^{-8} for the calculation of $\bar{\chi}\chi$. The number of CG iterations varied between \approx 100 for m_f $= 0.08, L_s = 12$ and 250 for $m_f = 0.0, L_s = 24$.

The 8⁴ volume simulations were done with β =2.3. The value of β was chosen so that one is not close to the point where the box size becomes too small and a thermal transition takes place, but also not too deep in the strong coupling regime where the finite L_s effects become severe. The transition point of the $N_t=8$ quenched theory is at β $=$ 2.5115(40) [47]. In Fig. 1 the magnitude of the fundamental Wilson line $\langle W \rangle$ measured in quenched simulations in an $8⁴$ volume is plotted vs β . In the quenched theory this is an order parameter. As can be seen from that figure, a rapid crossover takes place around β =2.5. In the same figure the value of $\langle W \rangle$ from a simulation of the dynamical theory at β =2.3 is also shown (cross). The quenched and dynamical values are very similar indicating that at β =2.3 the dynamical theory is in a phase that ''confines'' fundamental sources. Therefore, the box size is large enough to avoid finite temperature effects that would of course spoil SUSY. Using the quenched theory as a guide the $4⁴$ simulations were done at β =2.1 since the quenched transition at *N_t*=4 is known to take place at β =2.2986(6) [47]. At β =2.1 the lattice spacing is larger than at β =2.3. However, the lattice sizes are small and do not allow a reliable measurement of the lattice spacing. According to Ref. [48], β =2.1-2.3 is in the beginning of the weak coupling regime. Then if one uses the weak coupling form in Ref. $[48]$ one finds that the lattice spacing at β =2.1 is about a factor of 2 larger than the one at β $= 2.3.$

In order to estimate the necessary number of thermalization sweeps two simulations were run on an 8^4 lattice at β = 2.3, L_s = 12, and m_f = 0.04. The first simulation used an

FIG. 2. The gluino condensate values generated by the computer starting from an ordered initial configuration (solid line) and from a dis-ordered initial configuration (dotted line). The x axis is the configuration number and corresponds to ''computer time.'' This is from a simulation of the full theory on an 8^4 lattice at β =2.3 with L_s =12, m_f =0.04, and m_0 =1.9.

initial configuration with all gauge links set to the identity (ordered) and the other used an initially random configuration (disordered). The evolutions in "computer time" are shown in Fig. 2. As can be seen, the two ensembles converged after about 100 sweeps. This number of thermalization sweeps was then used in all other simulations which were started from an ordered initial configuration. The number of measurements after thermalization for all simulations is about 200 with measurements done in every trajectory. The gluino condensate was measured with a single ''hit'' stochastic estimator.

B. The gluino condensate at the chiral limit

In order to be able to extrapolate to the chiral limit, corresponding to $L_s \rightarrow \infty$ and $m_f = 0$, the mass m_f and the size of the fifth direction L_s was varied. The results of all simulations are given in Tables I and II. Three different methods were used to analyze the data and calculate the gluino condensate in the chiral limit.

(i) For fixed L_s , the data for $m_f = 0.08, 0.06, 0.04, 0.02$ were fit to a function

$$
b_0 + b_1 m_f. \tag{48}
$$

This functional form is valid provided m_f is small enough. Otherwise, higher order terms must also be included. The data and fits are shown in Fig. 3 and the results of the fits are given in Table III. Then the extrapolated values b_0 were fit to a form

TABLE I. The values of $\langle \bar{\chi} \chi \rangle$ for the 8⁴ simulations at β $=2.3, m₀=1.9.$

$L_{\rm s}$	m_f	$\langle \bar{\chi} \chi \rangle$
12	0.00	0.00902(4)
12	0.02	0.01052(4)
12	0.04	0.01223(5)
12	0.06	0.01370(4)
12	0.08	0.01519(3)
16	0.00	0.00694(7)
16	0.02	0.00863(5)
16	0.04	0.01026(4)
16	0.06	0.01183(4)
16	0.08	0.01324(4)
20	0.00	0.00588(5)
20	0.02	0.00735(10)
20	0.04	0.00897(7)
20	0.06	0.01071(3)
20	0.08	0.01221(3)
24	0.00	0.00516(6)
24	0.02	0.00691(4)
24	0.04	0.00827(7)
24	0.06	0.00992(3)
24	0.08	0.01142(3)

This functional form is approximate but it is expected to be valid close enough to the continuum and has been found to be consistent in simulations of the Schwinger model $\lceil 16 \rceil$ and of QCD even at relatively large lattice spacings (see, for example, Ref. $[17]$. The data and fit are shown in Fig. 4 and the results of the fit are given in Table IV.

(ii) For fixed m_f the data for L_s =12, 16, 20, 24 were fit to the form of Eq. (49) . The data and fits are shown in Fig. 5 and the results of the fit are given in Table IV. Then the extrapolated values c_0 were fit to the form of Eq. (48) . The data and fit are shown in Fig. 6 and the results of the fit are in Table III.

(iii) Additional simulations were done for $m_f=0$ and L_s $= 12, 16, 20, 24$. The data were fit to the form of Eq. (49) . The data and fits are shown in Fig. 7 and the results of the fit are in Table IV.

The $m_f \rightarrow 0$ and $L_s \rightarrow \infty$ extrapolated values of the gluino condensate for each one of the above three methods are summarized in Table V. As can be seen, all values are consistent within the statistical errors. This suggests that the systematic errors inherent to the limited statistics and to fits onto func-

TABLE II. The values of $\langle \bar{\chi} \chi \rangle$ for the 4⁴ simulations at β $=2.1, m_0=1.9.$

L.	m_f	$\langle \bar{\chi} \chi \rangle$
16	0.00	0.00743(14)
24	0.00	0.00474(10)
32	0.00	0.00351(7)
40	0.00	0.00308(11)

FIG. 3. The gluino condensate vs m_f for various values of L_s from the dynamical theory on an 8^4 lattice at β =2.3 and m_0 $=$ 1.9. The fits are to the function in Eq. (48).

tions that represent the data only for a limited range are small. Furthermore, it suggests that the fitting functions used are consistent (please see Sec. V D for more discussion on the validity of these fitting functions).

C. The telltale signals of topology in numerical simulations

In order to investigate the issues discussed in Sec. III B the gluino condensate was also calculated in a smaller $4⁴$ lattice volume at β =2.1. It was measured only for m_f =0 and method (iii) above was used to extrapolate to the L_s $\rightarrow \infty$ limit. The data and fit are shown in Fig. 8 and the fit results are given in Table IV. The $8⁴$ data from Fig. 7 are presented again in this figure to aid comparison. The value has decreased indicating that scaling is violated. However, without more simulations at other lattice spacings and volumes one cannot conclude much from this result. The β $=$ 2.1 coupling is in the strong coupling region and furthermore the $4⁴$ lattice volume is rather small.

However, it is interesting to notice that the parameter *V* $\langle \langle \bar{\chi} \chi \rangle_{L_{\gamma}} \rangle_{\gamma} \approx 8.4$ (a factor of 12 coming from the normalization of $\langle \bar{\chi} \chi \rangle$ has been included). Since $m_f = 0$ the effective mass m_{eff} gets its value from finite L_s effects. As L_s is

TABLE III. The results of the linear fits presented in the various figures to the function $b_0 + b_1 m_f$.

Figure	b_0	b ₁	$\chi^2/N_{\rm DF}$
3(a)	0.00904(5)	0.0772(8)	3.6
3(b)	0.00717(6)	0.0767(10)	3.8
3(c)	0.00585(9)	0.0799(13)	3.5
3(d)	0.00538(5)	0.0755(8)	2.2
6	0.00455(21)	0.0704(37)	2.6

FIG. 4. The extrapolated values of the fits in Fig. 3 vs L_s . The fit is to the function in Eq. (49) .

increased m_{eff} becomes small. From analysis of m_{eff} in strong coupling QCD [17] one would roughly guess that m_{eff} $<$ 0.1. Then $[m_{\text{eff}}\times V \times (\bar{\chi}\chi)_{L_s\to\infty}]$ $<$ 1. In that case, the analysis of Ref. [40] can be followed and one would expect the value of the condensate in the $4⁴$ lattice to be mostly supported by configurations with total winding of $\pm \frac{1}{2}$. Indeed, this can be seen from Fig. 9. In that figure the evolutions in ''computer time'' are shown. The ''spikes'' in the evolution are apparent and they become more pronounced and less frequent as L_s is increased (and in effect m_{eff} is decreased). This is exactly how the effect of zero modes for small $[m_{\text{eff}} \times V \times (\bar{\chi} \chi)_{L_s \to \infty}]$ would present itself in a numerical simulation of the dynamical theory. As the fermion mass is made smaller, $\langle \bar{\chi} \chi \rangle$ is expected to receive most of its value from sectors with winding $\pm \frac{1}{2}$. However, in these sectors the fermion determinant is very small because of the zero mode. Since the probability for the algorithm to generate a gauge field configuration is proportional to the fermion determinant one would expect that these sectors will be visited less and less frequently as the effective mass is decreased. When these sectors are visited the value of $\bar{\chi}\chi$ will be very large (spikes) in order to

TABLE IV. The results of the exponential fits presented in the various figures to the function $c_0 + c_1 \exp(-c_2 L_s)$.

Figure	c_0	c ₁	c ₂	$\chi^2/N_{\rm DF}$
4	0.00444(21)	0.023(3)	0.135(13)	3.9
5(a)	0.01034(16)	0.021(1)	0.123(8)	6.2
5(b)	0.00857(19)	0.019(1)	0.111(8)	1.1
5(c)	0.00700(25)	0.022(2)	0.119(10)	0.6
5(d)	0.00611(16)	0.025(3)	0.143(12)	2.9
7	0.00432(22)	0.025(3)	0.141(13)	1.4
8	0.00268(19)	0.026(4)	0.107(12)	0.4

FIG. 5. The gluino condensate vs L_s for various values of m_f from the dynamical theory on an 8^4 lattice at β =2.3 and m_0 $=$ 1.9. The fits are to the function in Eq. (49).

compensate for the infrequent sampling. In this way the presence of the zero mode in the observable ''balances'' the presence of the zero mode in the determinant. As the mass is made smaller one would have to increase the size of the statistical sample in order to include enough of these increasingly ''rare'' but very large fluctuations. For similar results in the Schwinger model and QCD see Refs. $[16,20]$.

A histogram of the values of $\bar{\chi}\chi$ is presented in Fig. 10 (solid line). For small L_s the effective mass is larger and $\bar{\chi}\chi$ is distributed with a symmetric looking distribution around

FIG. 6. The extrapolated values of the fits in Fig. 5 vs m_f . The fit is to the function in Eq. (48) .

FIG. 7. The gluino condensate vs L_s from the dynamical theory on an 8^4 lattice at β =2.3, m_f =0.0, and m_0 =1.9. The fit is to the function in Eq. (49) .

the mean value. However, for $L_s=40$ the effective mass is smaller and the distribution has a more pronounced "tail" towards larger values. In order to investigate this further numerical simulations at exactly the same parameters, but without the fermion determinant (quenched theory) were done. The histograms from these simulations are shown in the same figure for comparison (dotted lines). One can observe that the absence of the fermion determinant had the effect of shifting the distributions to higher values. This is expected since configurations with small eigenvalues that produce larger values of $\bar{\chi}\chi$ are not suppressed anymore and are produced more frequently. Also, one can observe that the number of configurations with $\bar{\chi}\chi$ larger than ≈ 0.007 that appeared as spikes in Fig. 9 have now increased in number. These observations lend support to the presence of small near-zero eigenvalues. Furthermore, configurations with fractional topological charge have already been found in quenched $SU(2)$ simulations at similar couplings [28]. It would be very interesting to calculate the index for the configurations of Fig. 9 using the methods of Ref. $[28]$ and see to what extent there is a correlation between fractional topological charge and the observed spikes. This correlation should be exact at $L_s \rightarrow \infty$ but it will be obscured at finite L_s by the presence of nonzero m_{eff} . This investigation is beyond the scope of this work.

TABLE V. The $m_f \rightarrow 0$, $L_s \rightarrow \infty$ extrapolated values of $\langle \bar{\chi} \chi \rangle$ using the three different extrapolation methods described in the text.

Method	$\langle \bar{\chi} \chi \rangle (m_f \rightarrow 0, L_s \rightarrow \infty)$
(i)	0.00444(21)
(ii)	0.00455(21)
(iii)	0.00432(22)

FIG. 8. The gluino condensate vs L_s from the dynamical theory on a 4⁴ lattice at β =2.1, m_f =0.0, and m_0 =1.9 (crosses). The 8⁴ data of Fig. 7 are also plotted for comparison (diamonds). The fits are to the function in Eq. (49) .

Furthermore, it should also be noted that on the $8⁴$ lattice there are no visible spikes up to $L_s=24$. This can be seen in Fig. 11. Presumably this is because the product $\left[m_{\text{eff}}\times V\right]$ $\times \langle \bar{\chi} \chi \rangle_{L_s \to \infty}$ is probably much larger than in the 4⁴ lattice. Again, this statement is not exact since the value of m_{eff} was not measured.

These results are consistent with the discussion in Sec. III B. However, since even with $m_f=0$, an L_s extrapolation is

FIG. 9. The gluino condensate values generated by the computer for the full theory on a 4⁴ lattice at β =2.1 with m_f =0.0, m_0 = 1.9, and for various values of L_s . The *x* axis is the configuration number and corresponds to ''computer time.''

FIG. 10. The histogram of the values of the gluino condensate from Fig. 9 (solid lines). The dotted lines are from an identical set of simulations, except that the fermion determinant has been set to 1 (quenched theory). The time evolutions for the quenched simulations are not shown in this paper. The area under the curves is normalized to one. All sets have 300 data points.

essentially an extrapolation from nonzero masses these results are not necessarily the results of a simulation at exactly $L_s=\infty$. It is still possible that if such a simulation were done one could have found that the gluino condensate is zero. This could happen since in a finite volume and zero mass the

FIG. 11. The gluino condensate values generated by the computer for the full theory on an 8^4 lattice at β =2.3 with m_f =0.0, m_0 =1.9, and L_s =24. The *x* axis is the configuration number and corresponds to ''computer time.''

TABLE VI. The results of the power law fits in the data of Figs. 7 and 8 to the function $d_0 + d_1 L_s^{d_2}$.

Figure	a ₀	a ₁	$d_{\mathcal{D}}$	χ^2/N_{DF}
	0.000(2)	0.063(19)	$-0.78(20)$	
	$-0.016(21)$	0.043(12)	$-0.23(24)$	35

effects of spontaneous symmetry breaking are absent and the zero mode effects alluded to above may not be sufficient to sustain a nonzero vacuum expectation value. This type of simulation is possible and can be done using the overlap formalism $[12]$ or exact Neuberger fermions $[2]$. However, if one is to maintain exact chiral symmetry these methods will demand large computing resources.

D. The fine print

Perhaps the largest uncertainty in the analysis presented in the previous subsections has to do with the assumption of exponential decay as in Eq. (49) . For small enough lattice spacings and large enough L_s this behavior is expected to be true. All data presented in this work were well represented by this ansatz. However, as with any numerical investigation, one can never completely disprove all other possibilities. While such an exercise over all possible functions would clearly be fruitless there are some alternative forms that may be reasonable to consider since they are based on analytical considerations.

Far form the continuum limit, the approach to the chiral limit may become power law $[42]$ or even completely disappear [2,39]. In order to explore the possibility of power law behavior the $m_f=0$ data for the 8^4 and 4^4 volumes were fit to the form

$$
d_0 + d_1 L_s^{d_2}.\t\t(50)
$$

The results of the fit are given in Table VI (the fits are not presented in any of the figures). As can be seen from that table the χ^2/N_{DF} of these fits is significantly larger than the one of the corresponding exponential fits to the same data.

Another possibility is decay to zero with two different exponential decay rates. Such a behavior was found to be consistent with investigations of the two flavor Schwinger model $\lfloor 16 \rfloor$ for a quantity that is expected to vanish in the chiral limit. There it was argued that the fast decay rate is due to fluctuations within a given topological sector while the slow decay rate is due to the presence of topology changing configurations. Therefore, for $m_f=0$ one could try to fit the largest three L_s points to the form

$$
e_0 \exp(-e_1 L_s). \tag{51}
$$

The results of the fit for the 8^4 , β =2.3, m_f =0, and L_s = 16, 20, 24 points as well as for the 4^4 , β = 2.1, m_f = 0, and $L_s=24, 32, 40$ points are shown in Table VII. The fit to the $8⁴$ data is acceptable. However, the fit to the $4⁴$ data has a rather large χ^2/N_{DF} . Because this fit is for larger L_s than the 8⁴ fit one would expect that if there were a second exponential decaying to zero its effect would be more pronounced in

TABLE VII. The results of the exponential fits without a constant using the function $e_0 \exp(-e_1 L_s)$.

Lattice	β m_f	$L_{\rm c}$	e_0	e ₁	$\chi^2/N_{\rm DF}$
8 ⁴			2.3 0.0 16, 20, 24 0.0125(5) 0.037(2)		2.8
4 ⁴			2.1 0.0 24,32,40 0.0094(7) 0.030(2)		9.1

the 4⁴ fit. Therefore, the large χ^2/N_{DF} of the 4⁴ fit suggests that the presence of a second exponential decaying to zero is not likely. This could be made more precise if simulations with larger L_s values for the 8^4 and 4^4 lattices were done. However, such simulations are beyond the computing resources of this project. Also, the analysis in Ref. $\left[36\right]$ suggests functional forms with more parameters. It would be interesting to fit to these forms but that would require more data points and better statistics both of which are also beyond the computing resources of this project.

Finally, the SUSY breaking by the irrelevant terms may have non-negligible effects at the lattice spacings studied here. Although it was found that the chiral condensate is nonzero at the chiral limit in two lattice spacings, this is not enough to estimate its value in the continuum limit.

VI. CONCLUSIONS

The formulation of $\mathcal{N}=1$, SU(2) supersymmetric Yang-Mills theory on the lattice with domain wall fermions ~DWFs! has several advantages over more traditional lattice fermion regulators. Even at nonzero lattice spacing the chiral limit can be taken by letting $L_s \rightarrow \infty$, where L_s is the number of sites along the fifth auxiliary direction. Since in that limit there is no gluino mass term, supersymmetry is broken only by irrelevant operators and there is no need for fine-tuning. Also, in that limit the theory has exact zero modes on nontrivial topological backgrounds.

However, even at finite L_s , where numerical simulations are done, these properties are maintained to a good degree allowing extrapolations to the $L_s \rightarrow \infty$ limit. Furthermore, the Pfaffian resulting from the integration of Majorana fermions is positive definite at finite L_s , nonzero lattice spacing and for any background gauge field configuration. As a result, one can unambiguously interpret it as a probability measure to be used by the numerical simulation for importance sampling. This property also allows the use of standard numerical algorithms where any number of flavors N_f can be simulated. By contrast, Wilson fermions have this positivity property only at the continuum limit.

In this work, the full $\mathcal{N}=1$, SU(2) supersymmetric Yang-Mills theory was numerically simulated on the lattice using DWFs. The gluino condensate $\langle \bar{\chi} \chi \rangle$ was measured. These simulations did not present any unexpected technical difficulties.

A finite value of *Ls* breaks chiral symmetry and induces a small gluino mass. In addition, an explicit gluino mass m_f was used to provide extra control. Several m_f and L_s values were used (all corresponding to positive gluino mass) and the value of $\langle \bar{\chi} \chi \rangle$ was extrapolated to the chiral limit using three different methods. All methods gave consistent results indicating small systematic effects and suggesting that the functions used for the fits are consistent. These simulations were done on a lattice with $8⁴$ lattice sites.

Additional simulations on a lattice with $4⁴$ lattice sites but approximately double the lattice spacing were done. Again, extrapolations to the chiral limit gave a nonzero $\langle \bar{\chi} \chi \rangle$. In this lattice $[\text{mass}\times \text{volume}\times \langle \bar{\chi}\chi \rangle]$ < 1. Then analytical considerations suggest that the value of $\langle \bar{\chi} \chi \rangle$ must come mostly from topological sectors with fractional topological charge of $\pm \frac{1}{2}$. Indeed, as the mass was made smaller unusually large values (spikes) were observed in the statistical sample of $\bar{\chi}\chi$ indicating the singular contribution of these sectors.

The spectrum of the theory is of great interest but it was not possible to measure on the small lattices considered here. Also, the gluino condensate was measured only on two different lattice spacings and therefore it was not possible to extrapolate to the continuum limit where comparisons with analytical results would be possible. Future work would explore these very interesting topics.

ACKNOWLEDGMENTS

This research was supported in part by NSF under Grant No. NSF-PHY96-05199 (J.K. and P.V.). All numerical simulations were done on an 18 GFlops part of the QCDSP supercomputer at Columbia University and on the 6 GFlops QCDSP supercomputer at Ohio State University. We would like to thank N. Christ and R. Mawhinney for providing us with the Columbia University resource and G. Kilcup for providing us with the Ohio State University resource. Also, we would like to thank Y. Shamir and T. Schaefer for useful comments. P.V. would like to thank D. B. Kaplan, A. Kovner, A. Nyffeler, and E. Weinberg for enlightening discussions.

- [1] G. Curci and G. Veneziano, Nucl. Phys. **B292**, 555 (1987).
- $[2]$ H. Neuberger, Phys. Rev. D **57**, 5417 (1998) .
- [3] D. B. Kaplan and M. Schmaltz, in *Chiral '99*, Taipei, 1999 [Chin. J. Phys. $(Taipei)$ **38**, 543 (2000)], hep-lat/0002030.
- [4] H. B. Nielsen and M. Ninomiya, Nucl. Phys. **B185**, 20 (1981).
- @5# K. G. Wilson, *New Phenomena in Subnuclear Physics*, edited by A. Zichichi (Plenum Press, New York, 1975), Pt. A, p. 69.
- [6] I. Montvay, Nucl. Phys. B (Proc. Suppl.) **83–84**, 188 (2000); DESY-Mu¨nster Collaboration, A. Feo *et al.*, *ibid.* **83–84**, 661 ~2000!; DESY-Mu¨nster Collaboration, A. Feo *et al.*, *ibid.* **83-** 84, 670 (2000); DESY-Münster Collaboration, I. Campos *et al.*, Eur. Phys. J. C 11, 507 (1999); DESY-Münster Collaboration, R. Kirchner et al., Phys. Lett. B 446, 209 (1999); G. Koutsoumbas *et al.*, Nucl. Phys. B (Proc. Suppl.) 63, 727 (1998); I. Montvay, *ibid.* **63**, 108 (1998); G. Koutsoumbas and I. Montvay, Phys. Lett. B 398, 130 (1997); I. Montvay, Nucl. Phys. B (Proc. Suppl.) **53**, 853 (1997); I. Montvay, Nucl. Phys. **B466**, 259 (1996).
- @7# A. Donini, E. Gabrielli, and M. B. Gavela, Nucl. Phys. **B546**, 119 (1999); A. Donini, M. Guagnelli, P. Hernandez, and A. Vladikas, *ibid.* **B523**, 529 (1998); A. Donini and M. Guagnelli, Phys. Lett. B 383, 301 (1996).
- [8] N. Evans, S. D. H. Hsu, and M. Schwetz, hep-th/9707260.
- [9] J. Kogut and L. Susskind, Phys. Rev. D 11, 395 (1975).
- [10] T. Banks and P. Windey, Nucl. Phys. **B198**, 226 (1982).
- [11] D. B. Kaplan, Phys. Lett. B 288, 342 (1992); Nucl. Phys. B (Proc. Suppl.) 30, 597 (1993).
- $[12]$ R. Narayanan and H. Neuberger, Phys. Lett. B 302 , 62 (1993) ; Phys. Rev. Lett. **71**, 3251 (1993); Nucl. Phys. **B412**, 574 (1994) ; **B443**, 305 (1995) .
- [13] Y. Shamir, Nucl. Phys. **B406**, 90 (1993).
- [14] V. Furman and Y. Shamir, Nucl. Phys. **B439**, 54 (1995).
- [15] P. Vranas, Nucl. Phys. B (Proc. Suppl.) 94, 177 (2001); H. Neuberger, *ibid.* 83-84, 67 (2000); T. Blum, *ibid.* 73, 167 ~1999!; Y. Shamir, *ibid.* **47**, 212 ~1996!; M. Creutz, *ibid.* **42**, 56 (1995); R. Narayanan, *ibid.* **34**, 95 (1994).
- [16] P. M. Vranas, "Lattice 96" [Nucl. Phys. B (Proc. Suppl.) **53**, 278 (1997)]; Phys. Rev. D **57**, 1415 (1998).
- [17] P. Chen *et al.*, Phys. Rev. D (to be published), hep-lat/0006010; G. Fleming, Nucl. Phys. A663, 979 (2000); P. Vranas, NATO Workshop, Dubna, Russia, hep-lat/0001006; R. Mawhinney, Nucl. Phys. B (Proc. Suppl.) 83, 57 (2000); P. Vranas *et al.*, *ibid.* **83**, 414 ~2000!; P. Vranas, DPF99, Los Angeles, hep-lat/9903024; P. Vranas *et al.*, Nucl. Phys. B (Proc. Suppl.) **73**, 456 (1999).
- $[18]$ G. Fleming, Nucl. Phys. B (Proc. Suppl.) 83, 363 (2000) ; A. Kaehler *et al.*, *ibid.* **73**, 405 (1999); N. Christ *et al.*, ICHEP 98, Vol. 2, Vancouver, 1998, High Energy Physics, p. 1802 hep-lat/9812011; R. Mawhinney et al., Nucl. Phys. B (Proc. Suppl.) **73**, 204 (1999); G. Fleming *et al.*, *ibid.* **73**, 207 (1999).
- [19] Riken-BNL-Columbia Collaboration, T. Blum *et al.*, Phys. Rev. D (to be published), hep-lat/0007038.
- [20] P. Chen *et al.*, Phys. Rev. D 59, 054508 (1999).
- [21] T. Blum, A. Soni, and M. Wingate, Phys. Rev. D 60, 114507 (1999); T. Blum and A. Soni, Phys. Rev. Lett. **79**, 3595 (1997); Phys. Rev. D **56**, 174 (1997).
- [22] CP-PACS Collaboration, A. Ali Khan *et al.*, Phys. Rev. D 63, 114504 (2001); S. Aoki et al., *ibid.* **62**, 094502 (2000); S. Aoki *et al.*, Nucl. Phys. B (Proc. Suppl.) 83, 624 (2000); CP-PACS Collaboration, A. Ali Khan et al., *ibid.* 83, 591 (2000).
- [23] C. Jung, V. Gadiyak, X. Ji, and R. G. Edwards, Phys. Rev. D **63**, 054509 (2001).
- [24] P. M. Vranas, I. Tziligakis, and J. Kogut, Phys. Rev. D 62, 054507 (2000).
- [25] T. Hotta, T. Izubuchi, and J. Nishimura, Mod. Phys. Lett. A 13, 1667 (1998); T. Hotta, T. Izubuchi, and J. Nishimura, Nucl. Phys. B (Proc. Suppl.) **63**, 685 (1998).
- [26] S. Aoki, K. Nagai, and S. V. Zenkin, Nucl. Phys. **B508**, 715 $(1997).$
- [27] P. Huet, R. Narayanan, and H. Neuberger, Phys. Lett. B 380, 291 (1996); J. Nishimura, *ibid.* 406, 215 (1997); N. Maru and J. Nishimura, Int. J. Mod. Phys. A 13, 2841 (1998); T. Aoyama and Y. Kikukawa, Phys. Rev. D 59, 054507 (1999).
- [28] U. M. Heller, R. Edwards, and R. Narayanan, Phys. Lett. B **438**, 96 (1998); Nucl. Phys. B (Proc. Suppl.) 73, 497 (1999); Chiral '99, Taipei, 1999, hep-lat/0001013.
- [29] W. Bietenholz, Mod. Phys. Lett. A 14, 51 (1999).
- [30] H. So and N. Ukita, Phys. Lett. B 457, 314 (1999).
- [31] E. Witten, Nucl. Phys. **B202**, 253 (1982); G. Veneziano and S. Yankielowicz, Phys. Lett. B 113, 231 (1982); T. R. Taylor, G. Veneziano, and S. Yankielowicz, Nucl. Phys. **B218**, 493 ~1983!; I. Affleck, M. Dine, and N. Seiberg, *ibid.* **B241**, 493 ~1984!; **B256**, 557 ~1985!; S. F. Cordes, *ibid.* **B273**, 629 ~1986!; M. A. Shifman and A. I. Vainshtein, *ibid.* **B296**, 445 (1988); D. Amati et al., Phys. Rep. 162, 169 (1988); D. Finnel and P. Pouliot, Nucl. Phys. **B453**, 225 (1995); K. Intriligator, R. G. Leigh, and N. Seiberg, Phys. Rev. D 50, 1092 (1994); T. Appelquist, A. Nyffeler, and S. B. Selipsky, Phys. Lett. B **425**, 300 (1998).
- [32] E. Cohen and C. Gomez, Phys. Rev. Lett. **52**, 237 (1984).
- [33] T. Schaefer, Phys. Rev. D 62, 035013 (2000).
- [34] T. Hollowood, V. Khoze, W. Lee, and M. Mattis, Nucl. Phys. **B570**, 241 (2000); N. M. Davies, T. Hollowood, V. Khoze, and M. Mattis, *ibid.* **B559**, 123 (1999).
- [35] A. Kovner and M. Shifman, Phys. Rev. D **56**, 2396 (1997).
- [36] Y. Shamir, Phys. Rev. D 62, 054513 (2000).
- [37] G. 't Hooft, Nucl. Phys. **B153**, 141 (1979); Commun. Math. Phys. **81**, 267 (1981).
- [38] T. Kraan and P. van Baal, Phys. Lett. B 435, 389 (1998).
- [39] R. Brower and B. Svetitsky, Phys. Rev. D **61**, 114511 (2000).
- [40] H. Leutwyler and A. Smilga, Phys. Rev. D 46, 5607 (1992).
- [41] R. Narayanan and P. Vranas, Nucl. Phys. **B506**, 373 (1997).
- [42] R. G. Edwards, U. M. Heller, and R. Narayanan, Nucl. Phys. **B522**, 285 (1998); **B535**, 403 (1998); Phys. Lett. B 438, 96 $(1998).$
- [43] Y. Taniguchi, Phys. Rev. D 63, 014502 (2001).
- [44] S. Gottlieb *et al.*, Phys. Rev. D 35, 2531 (1987).
- [45] M. Lüscher, Nucl. Phys. **B418**, 637 (1994).
- [46] S. Duane and J. Kogut, Phys. Rev. Lett. **55**, 2774 (1985); S. Duane, A. D. Kennedy, B. Pendleton, and D. Roweth, Phys. Lett. B 195, 216 (1987).
- [47] J. Fingberg, U. M. Heller, and F. Karsch, Nucl. Phys. **B392**, 493 (1993).
- [48] M. Creutz, Phys. Rev. D **21**, 2308 (1980).