

Leptogenesis in a realistic supersymmetric model of inflation with a low reheat temperature

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We discuss leptogenesis in a realistic supersymmetric model of inflation with a low reheat temperature 1–10 GeV. The lepton asymmetry is generated by a decaying right-handed sneutrino, which is produced after inflation during preheating. The inflationary model is based on a simple variant of the next-to-minimal supersymmetric standard model (NMSSM) which solves the μ problem, called ϕ NMSSM, where the additional singlet ϕ plays the role of the inflaton in hybrid (or inverted hybrid) type models. The model is invariant under an approximate Peccei-Quinn symmetry which also solves the strong CP problem, and leads to an invisible axion with interesting cosmological consequences. We show how the baryon number of the universe and the nature of cold dark matter are determined by the same parameters controlling the strong CP problem, the μ problem, and the neutrino masses and mixing angles.

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I. INTRODUCTION

Leptogenesis is an attractive mechanism which has been proposed to generate the observed baryon asymmetry of the Universe (BAU) [1,2]. The mechanism involves the out-of-equilibrium decay¹ of a heavy right-handed neutrino N_R (or sneutrino \tilde{N}_R [3,4]). The net lepton number L produced in the decay is then reprocessed into baryon number B by anomalous $(B+L)$ violating sphaleron interactions, which otherwise conserve $(B-L)$ [6]. The same physics that allows the right-handed neutrinos to decay into light leptons is also responsible for a seesaw neutrino mass matrix. Combining the seesaw mechanism with the latest experimental data on neutrino masses [7] seems to favor a scale for the right-handed neutrino mass M_R in the range 10^7 – 10^{14} GeV.

What mainly distinguishes the different scenarios of leptogenesis that can be found in the literature is the production mechanism for the heavy N_R (or \tilde{N}_R). The lepton (baryon) asymmetry has to be produced at some early stage in the cosmological evolution of the Universe at some point after inflation ends and before the time of nucleosynthesis. In a sense, leptogenesis or baryogenesis is closely related to the inflationary dynamics and the post-inflationary reheating era. The right-handed sneutrino could be itself the inflaton [3], with L generated during the reheating period. If this is not the case, and the reheating temperature (T_{RH}) after inflation is larger than M_R , the heavy (s)neutrinos can be thermally produced after reheating and the final lepton asymmetry will depend on the out-of-equilibrium conditions at the time they decay [1,2,8]. However, in supersymmetric models such a large T_{RH} may be in conflict with the standard bound $T_{RH} < 10^9$ GeV in order to avoid an overabundance of gravitinos

[9]. On the other hand, if $T_{RH} < M_R$ the right-handed (s)neutrinos would have to be produced² by the out-of-equilibrium inflaton decay, either in perturbative decays [12] or by parametric resonance [13] during preheating. In this way, the out-of-equilibrium condition for baryogenesis or leptogenesis is automatically satisfied. The other two requirements, baryon number violation and C and CP violation, will be provided by the sphaleron interactions and complex phases in the neutrino Yukawa couplings, respectively.

In this paper we shall extend the model for inflation proposed in Ref. [14] in order to include neutrino masses and implement leptogenesis. The inflation model is based on the next-to-minimal supersymmetric model and provides an intermediate scale solution to the μ problem, and the strong CP problem via the Peccei-Quinn mechanism [14]. The supergravity version of the model [15] solves the η problem via the implementation of a no-scale mechanism. It provides F term inflation from the moduli fields which are stabilized before and after inflation and for which there is no moduli problem or gravitino problem. The inflationary model is of the hybrid type, characterized by a not too large scale for the vacuum energy $V(0)^{1/4} \simeq 10^8$ GeV and a very low reheating temperature $O(1)$ GeV. It is interesting to study leptogenesis within models with such a low reheat temperature since in such models thermal production of Majorana neutrinos during or after reheating is impossible, so the production mechanism will rely on preheating the fields which occurs during

¹Models of leptogenesis based instead in the cosmological evolution of flat directions which carry lepton number can be found, for example, in Refs. [4,5].

²Strictly speaking, the condition $T_{RH} < M_R$ does not rule out thermal production of heavy neutrinos. In most inflationary models reheating is not instantaneous, and the maximum temperature T^{max} reached is usually much larger than T_{RH} [10], and we could have $T_{RH} < M_R < T^{max}$. Like in grand unified theory (GUT) baryogenesis, there could be models where the decay of the inflaton into heavy neutrinos may be suppressed or forbidden, but still they could be thermally produced during the long period of reheating [11].

the oscillatory period following the end of inflation. This model is particularly interesting since the oscillating inflaton fields at the end of inflation do not couple directly to the sneutrinos, but only indirectly via a coupling to the Higgs doublets. Thus the production of sneutrinos during preheating is linked also to the production of Higgs scalars, and since the Higgs scalars decay into both radiation and neutralinos it becomes possible to relate the relic density of the lightest neutralinos to the baryon number of the Universe. Relativistic axions are also produced during reheating but these are redshifted away, although later on nonrelativistic axions are additionally produced by the usual misalignment mechanism and will contribute to cold dark matter.

The main advantage of studying a realistic supersymmetric particle physics model of inflation is that questions such as the nature of cold dark matter and baryogenesis via leptogenesis are related and determined by the same parameters which control the particle physics questions of the μ problem, the strong CP problem, and neutrino masses although, as we shall see, there are many uncertainties at present and many of our estimates will have errors of one or two orders of magnitude.

The layout of the rest of the paper is as follows. In Sec. II we summarize the main properties of the model, and introduce the right-handed neutrinos with the usual superpotential suitable for neutrino physics and leptogenesis. An estimation of the lepton asymmetry produced is given in Sec. III. Because the right-handed neutrinos will decay in less than a Hubble time, much before the inflaton has time to decay, we need to check how much of the asymmetry survive the reheating era. This is done in Sec. IV. In Sec. V we present our conclusions.

II. A MODEL FOR INFLATION AND LEPTOGENESIS

The model of inflation we have proposed is based on the superpotential [14]

$$W = \lambda N H_1 H_2 + \kappa \phi N^2, \quad (1)$$

where H_1, H_2 are the Higgs doublets and ϕ, N are gauge singlets. The superpotential is invariant under a $U(1)_{PQ}$ Peccei-Quinn symmetry, which is broken during and after inflation by the vacuum expectation values (VEVs) of ϕ and N . The vacuum energy $V(0)$, needed during inflation, originates from an F term of the effective sugra theory [15]. The Higgs doublets play no role during inflation, ϕ is the inflaton, and N is the second singlet needed to end hybrid inflation. Imposing the slow-rolling and Cosmic Background Explorer (COBE) constraints for inflation gives the order of magnitude results

$$\begin{aligned} \kappa \sim 10^{-10}, \quad \langle \phi \rangle \sim \langle N \rangle \sim 10^{13} \text{ GeV}, \\ V(0)^{1/4} \sim 10^8 \text{ GeV}, \quad H(0) \sim \mathcal{O}(\text{MeV}), \end{aligned} \quad (2)$$

with $H(0)$ as the Hubble parameter during inflation. In order to have an effective μ term in the Higgs sector of the correct order of magnitude, we require $\lambda \sim \kappa$. The smallness of the couplings is accounted for by the use of higher dimensional

operators, so the superpotential in Eq. (1) should be viewed as an effective superpotential which originates from some intermediate scale solution to the μ problem, as discussed elsewhere [14]. Because of the smallness of the (effective) couplings, the fields ϕ and N are very long-lived, decaying mainly into axions with a decay rate $\Gamma_\phi \sim 10^{-17} \text{ GeV}$. Considering only the standard perturbative reheating period following inflation, this will give rise to a reheating temperature of order a few GeVs, much below the electroweak scale.

Right-handed majorana neutrinos are introduced in the model with the usual superpotential

$$W = M_{R_i} N_{R_i} N_{R_i} + \lambda_{LR_{ij}} L_i H_2 N_{R_j}, \quad (3)$$

written in the eigenstate basis for the N_{R_i} . The right-handed neutrino masses and Yukawa couplings λ_{LR} has to be such that they reproduced the observed properties of the light neutrino spectrum. The recent data from Super-Kamiokande supports $\nu_\mu \leftrightarrow \nu_\tau$ oscillations with $\sin^2 2\theta_{23} > 0.88$ and a mass squared splitting $\Delta m_{23}^2 \simeq (1.5 - 5) \times 10^{-3} \text{ eV}^2$. It also favors the large mixing angle solution for solar neutrino mixing, with $\sin^2 2\theta_{12} \sim 0.75$ and $\Delta m_{12}^2 \sim 2.5 \times 10^{-5} \text{ eV}^2$, although other solutions are not excluded. For numerical estimations, and as a working example, we will use the results of a recent estimate of all quark and lepton masses and mixing angles based on a string-inspired Pati-Salam model [16], although we shall only be concerned with the leptonic part of this model. Because of the gauged $SU(2)_R$ symmetry the model predicts three right-handed neutrinos, and the heaviest one is the one associated with the third family with a mass of 10^{14} GeV . Although this is the heaviest it nevertheless plays the dominant role in generating the atmospheric masses and mixing angle, due to the Yukawa structure of the model, leading to an automatic neutrino mass hierarchy according to the single right-handed neutrino dominance mechanism [17], and bimaximal mixing. In terms of the heaviest right-handed scale of the order $M_{R_3} \simeq 10^{14} \text{ GeV}$ and the Wolfenstein expansion parameter $\lambda \simeq 0.22$, the right-handed neutrino masses and couplings are given by

$$M_{R_i} \sim 10^{14} (\lambda^9, \lambda^5, 1) \text{ GeV} \sim (10^8, 10^{10}, 10^{14}) \text{ GeV}, \quad (4)$$

$$\lambda_{LR} \sim \begin{pmatrix} \lambda^8 & \lambda^4 & \lambda^2 \\ \lambda^7 & \lambda^4 & \lambda \\ \lambda^7 & \lambda^4 & 1 \end{pmatrix}. \quad (5)$$

Note that the lightest right-handed neutrino of mass 10^8 GeV is significantly lighter than 10^{14} GeV but does not give the dominant contribution to physical neutrino masses due to its suppressed Yukawa couplings, although it is light enough to be produced through preheating.

Given that in the inflationary model $T_{RH} \ll V(0)^{1/4} < M_{R_i}$, the N_{R_i} (\tilde{N}_{R_i}) fields cannot be produced thermally at any stage, nor in the perturbative decay of ϕ and N fields. Therefore, we depend upon preheating for that.

Because of the lack in the superpotential of a direct Yukawa coupling between the singlets superfields ϕ, N , and N_R , preheating of the right-handed neutrinos does not look

possible. The situation is different for the scalar components, because of the coupling λ_{LR} with the Higgs doublet H_2 and the leptons L_i . This will induce a term in the scalar potential of the form

$$V = \dots + |\lambda_{LR}|_{kj}^2 |H_2|^2 \tilde{N}_{R_j} \tilde{N}_{R_k}^* + \dots \quad (6)$$

Large oscillations in the Higgs fields will be induced through their coupling to the singlets in Eq. (1), and in turn we expect this to trigger the preheating of the sneutrinos. The lepton asymmetry will be generated by the decay of the sneutrinos instead of that of the neutrinos. We notice also that the maximum possible T that we can reach during reheating is going to be smaller than the M_{R_i} masses (at most $T^{max} \sim 10^8$ GeV $\sim M_{R_1}$), so once produced, the sneutrinos will remain out of equilibrium and will decay faster than the inflaton.

III. LEPTON AND BARYON ASYMMETRY

Preheating of right-handed sneutrinos provides the seed we need for leptogenesis, i.e., a nonzero number density of the order

$$n_{\tilde{N}_{R_i}} \sim c_i \frac{V(0)}{M_{R_i}}, \quad (7)$$

where c_i parametrizes the fraction of the total vacuum energy which is transferred to the sneutrinos during preheating. Given the hierarchy in masses, it is not unreasonable to assume $c_3 \ll c_2, c_1$, whilst we will take $c_2 \sim c_1 \sim c \sim \mathcal{O}(1 - 0.1)$. CP violation in the decay of \tilde{N}_{R_i} comes from the interference between the tree level and one-loop amplitudes [2,8,18,19]. The CP asymmetries given by the interference with the one-loop vertex amplitude are [2,8]

$$\begin{aligned} \epsilon_i &= \frac{\Gamma(\tilde{N}_{R_i} \rightarrow \tilde{l} + H_2) - \Gamma(\tilde{N}_{R_i}^\dagger \rightarrow \tilde{l}^\dagger + H_2^\dagger)}{\Gamma(\tilde{N}_{R_i} \rightarrow \tilde{l} + H_2) + \Gamma(\tilde{N}_{R_i}^\dagger \rightarrow \tilde{l}^\dagger + H_2^\dagger)} \\ &= \frac{1}{8\pi(\lambda_{LR}^\dagger \lambda_{LR})_{11}} \sum_j (\text{Im}[(\lambda_{LR}^\dagger \lambda_{LR})_{1j}]^2) f(M_{R_j}^2/M_{R_i}^2), \end{aligned} \quad (8)$$

where

$$f(x) = \sqrt{x} \left[1 - (1+x) \log\left(\frac{1+x}{x}\right) \right]. \quad (9)$$

The interference with the absorptive part of the one-loop self-energy also gives a contribution to the asymmetry, which in general is the same order as those given above, unless the (s)neutrinos were almost degenerate, in which case it could be much larger [18,19].

As an example in order to estimate the values of ϵ_i , we will consider the model given in Eqs. (4) and (5). Assuming maximal CP violation ($\text{Im}[\dots]^2 \sim |\dots|^2$), we can see that the asymmetries will be dominated by the larger couplings to the third generation of leptons, with

$$\epsilon_1 \sim \frac{|\lambda_{LR}|_{33}^2}{8\pi} \frac{M_{R_1}}{M_{R_3}} \sim \frac{\lambda^9}{8\pi} \sim 10^{-7} - 10^{-8}, \quad (10)$$

$$\epsilon_2 \sim \frac{|\lambda_{LR}|_{33}^2}{8\pi} \frac{M_{R_2}}{M_{R_3}} \sim \frac{\lambda^4}{8\pi} \sim 10^{-4} - 10^{-5}, \quad (11)$$

$$\epsilon_3 \sim \frac{|\lambda_{LR}|_{32}^2}{8\pi} \frac{M_{R_2}}{M_{R_3}} \sim \frac{\lambda^{13}}{8\pi} \sim 10^{-10} - 10^{-11}. \quad (12)$$

We remark again that these are only order of magnitudes estimations, with large uncertainties in their values. In addition, the values of ϵ_i are model dependent. Other texture models with values of the Yukawas consistent with the experimental data on neutrinos, and similar hierarchy among the right-handed neutrino masses, could give rise to a larger asymmetry such as $\epsilon_1 \sim 10^{-6}$ [20].

The decay of the sneutrinos occurs fast enough to neglect any effect due to the expansion of the Universe, and the lepton asymmetry is then given by

$$n_{B-L} \simeq \epsilon_i n_{\tilde{N}_{R_i}} \simeq \epsilon_i c_i \frac{V(0)}{M_{R_i}}. \quad (13)$$

The decay of the heaviest right-handed sneutrino (if produced) will give rise to a negligible lepton asymmetry, whilst that generated in the decay of \tilde{N}_{R_1} and \tilde{N}_{R_2} are comparable because $\epsilon_1/M_{R_1} \sim \epsilon_2/M_{R_2} \sim 1/M_{R_3}$. This is then converted into a baryon number by $B+L$ violating sphalerons interactions (which are in equilibrium for temperatures in the interval $\sim [200, 10^{12}]$ GeV [21]),

$$n_B = -\frac{8}{23} n_{B-L}, \quad (14)$$

and finally at the time of nucleosynthesis we will have

$$\left. \frac{n_B}{s} \right|_{\text{nucl.}} \simeq \frac{8}{23} \gamma \epsilon_i \frac{[cV(0)]^{1/4}}{M_{R_i}} \sim \frac{\gamma}{23\pi} |\lambda_{LR}|_{33}^2 \frac{[cV(0)]^{1/4}}{M_{R_3}}, \quad (15)$$

where we have used $s = (2\pi^2/45) g_* T^3$ evaluated at the time the leptons are produced, i.e., $T \approx 0.3 [cV(0)]^{1/4}$, with the effective number of relativistic degrees of freedom $g_* \sim 100$. The factor γ accounts for the dilution due to possible entropy production during reheating. Substituting the values of $V(0)$ and M_{R_3} of Eqs. (2) and (4) with $\lambda_{LR_{33}} \simeq 1$, we obtain

$$\left. \frac{n_B}{s} \right|_{\text{nucl.}} \sim c^{1/4} \times 10^{-8} \gamma. \quad (16)$$

In order to explain the observed baryon asymmetry $n_B/s \sim 10^{-10}$ [22] we cannot allow much entropy (radiation) production during the reheating era. In the next section, we will try to estimate the factor γ based on simple assumptions.

IV. PREHEATING AND REHEATING

During the oscillations of the background fields ϕ and N , particles can be produced by parametric resonance (preheating) [23–25] much before the inflaton has time to decay perturbatively, being in general a more efficient mechanism of particle production than standard perturbative decay.

Even if the couplings are very small, the amplitude of the oscillations is large enough to preheat the modes of the scalar fields ϕ and N . In addition, the value of Hubble parameter is small in the model, which allows for a large number of oscillations in a Hubble time, before they start to feel the effect of the expansion. Because hybrid inflation ends in a phase transition, with the effective squared mass of the N field changing sign, production of ϕ and N quanta is very efficient during the first few oscillations of the background fields [26]. Due to the coupling λ between the Higgs fields and the N field, we also expect to preheat the Higgs fields H_i in a similar way. The evolution equations for the Higgs quantum fluctuations are indeed analogous to those of the singlets, and we can assume similar number densities for both. The sneutrino fields \tilde{N}_{R_i} are therefore preheated through the Higgs, with the lightest one more likely to be produced, and they will be clearly out of equilibrium. We may also preheat axions, fermions, etc., but with much smaller number densities.³

Preheating is efficient only in producing very low frequency modes. Nevertheless, rescattering effects will allow to excite higher frequency modes and redistribute the energy density. Based on the results for the singlets [26], we may estimate that after just 3 to 4 oscillations a fraction of the vacuum energy has been transferred to the singlets and the other fields, quanta, with more or less equal energy densities. The typical time scale for this to happen is given by

$$\Delta t_{preh} \approx \frac{2\pi\Delta N_{osc}}{M_\phi} \sim 10^{-2} \text{ GeV}^{-1}, \quad (17)$$

where ΔN_{osc} counts the number of oscillations, and M_ϕ is the mass of the fields ϕ and N in the global minimum (and therefore the typical frequency of their oscillations):

$$M_\phi = \kappa\langle N \rangle = O(1 \text{ TeV}). \quad (18)$$

The decay rates of the fields involved, $\tilde{N}_{R_{1,2}}$, H_i , and singlets ϕ and N , can be estimated as

$$\Gamma_{\tilde{N}_{R_1}} \approx \frac{|\lambda_{LR}|_{11}^2}{8\pi} M_{R_1} \approx O(0.01) \text{ GeV}, \quad (19)$$

$$\Gamma_{\tilde{N}_{R_2}} \approx \frac{|\lambda_{LR}|_{22}^2}{8\pi} M_{R_2} \approx O(10^4) \text{ GeV}, \quad (20)$$

³Because of the smallness of the couplings, nonthermal production of gravitinos [27] is not a problem [28].

$$\Gamma_{H_i} \approx \frac{g^2}{8\pi} M_H \approx O(10) \text{ GeV}, \quad (21)$$

$$\Gamma_\phi \approx \frac{\kappa^2}{8\pi} M_\phi \approx O(10^{-17}) \text{ GeV}, \quad (22)$$

where g is the electroweak coupling constant, and the mass of the Higgs fields are $M_{H_i} \approx O(100 \text{ GeV} - 1 \text{ TeV})$. The sneutrinos \tilde{N}_{R_2} will tend to decay immediately after they are produced, $\Gamma_{\tilde{N}_{R_2}}^{-1} \ll \Delta t_{preh}$, and its decay products quickly thermalize by scattering from each other [29], given that,

$$\Delta n_l \sigma_{sc} > H \quad (23)$$

where Δn_l is the number density of the light degrees of freedom $\Delta n_l \approx n_{\tilde{N}_2}$, $\sigma_{sc} \propto M_{R_2}^{-2}$, and H is the Hubble parameter of the order $O(MeV)$. No back reaction is expected from them, except that part of the vacuum energy is converted into radiation with a temperature $T_0 \approx 0.3c_2^{1/4} V(0)^{1/4}$. The fields H_i and \tilde{N}_{R_1} do not decay before Δt_{preh} . Therefore, back reaction effects due not only to the singlets but also to the Higgs fields (and eventually \tilde{N}_{R_1}) will soon slow down and suppress the rate of the production of particles during preheating in less than a Hubble time H^{-1} . At this point we can consider that the Universe has been reheated up to a temperature $T_0 \sim O(10^8 \text{ GeV})$, but with a nonnegligible fraction of the energy still in the form of cold oscillations and singlets, Higgs and \tilde{N}_{R_1} . The right-handed sneutrinos \tilde{N}_{R_1} also decay out-of-equilibrium in a time $\delta t \approx \Gamma_{\tilde{N}_{R_1}}^{-1} \ll H^{-1}$, transferring its energy to the thermal bath. On the other hand, the decay rate of the Higgs fields will now be suppressed by the factor M_H/T_0 , rendering it quite inefficient. Therefore, after preheating becomes inefficient, back reaction and rescattering effects take place, and both \tilde{N}_{R_2} and \tilde{N}_{R_1} decay, we are left with the vacuum energy distributed among the singlets, Higgs fields, and radiation.

Preheating can become very inefficient, but will not necessarily stop as long as ϕ and N continue to oscillate (that is, there is some energy density left in these fields), and production of Higgs fields from the singlets might continue in a very narrow resonance regime [23] at the same time than standard reheating. On the other hand, we do not expect this effect to be enough to further induce the production of the much heavier right-handed sneutrinos. The preheating or reheating era will end when the singlets finally decay, at a time $t \approx \Gamma_\phi^{-1}$.

If the masses of the singlets are smaller than the lightest Higgs boson mass, the fields ϕ and N decay predominantly into axions, with the branching ratio into other particles being much smaller. The axions behave as relativistic particles, but they do not thermalize [30], that is, their interaction rate always remains smaller than the Hubble expansion parameter. The axion interaction rate Γ_{AI} is given by

$$\Gamma_{AI} = \langle \sigma_a | v \rangle n_R \quad (24)$$

where n_R is the radiation number density $n_R \approx T^3/\pi^2$, and σ_a is the axion cross section for scattering off the thermalize radiation. On dimensional grounds, the cross section can be written as⁴ $\sigma_a = \alpha_a/f_a^2$, f_a being the axion decay constant $10^{12} - 10^{13}$ GeV.

Let us define t_0 as the initial time after the initial burst of radiation produced by the sneutrinos decay. At this time, the Universe is at a temperature $T_0 \sim O(10^8)$ GeV but still $H \sim V(0)^{1/2}/\sqrt{3}M_P$. The ratio Γ_{AI}/H is then

$$\frac{\Gamma_{AI}}{H} \Big|_{t_0} \approx \frac{\alpha_a}{f_a^2} \frac{T_0^3}{\pi^2} \frac{\sqrt{3}M_P}{V(0)^{1/2}} \approx 10\alpha_a c^{3/4} \left(\frac{10^{12} \text{ GeV}}{f_a} \right)^2 < 1. \quad (25)$$

After the Universe becomes radiation dominated at a temperature T_{RD} we will have instead

$$\begin{aligned} \frac{\Gamma_{AI}}{H} \Big|_{t_0} &\approx \frac{\alpha_a}{f_a^2} \frac{1}{\pi^2} \left(\frac{90}{\pi^2 g_T} \right)^{1/2} T M_P \\ &< 10^{-5} \alpha_a \left(\frac{10^{12} \text{ GeV}}{f_a} \right)^2 T_{RD} \\ &< 1, \end{aligned} \quad (26)$$

where we have used $H \approx (\pi^2 g_T/90)T^2/M_P$, and g_T is the effective number of relativistic degrees of freedom at T . The last inequality in Eq. (26) follows because the factor α_a becomes $O(1)$ only when $T \sim O(1)$ GeV. If radiation is being produced while the Universe is matter dominated, the number density and Hubble parameter evolve as $n_R \propto a^{-1/2}$ and $H \propto a^{-3/2}$, with a the scale factor, so the ratio Γ_{AI}/H increases in time. In a radiation dominated Universe they will both scale as $a^{-1/2}$. In either case, Eqs. (25) and (26) ensure that the axions never come into equilibrium. This means that we do not expect radiation to be produced from the singlets (inflaton), contrary to most models of inflation. Any extra radiation will come eventually from the Higgs bosons decay.

Let us now briefly summarize the above discussion. We have argued that right-handed sneutrinos are produced during the initial period of preheating, but they decay rapidly into leptons and Higgs bosons. We are now interested in the evolution of the Universe from this time until the time of reheating t_{RH} , defined as the time at which the singlets completely decay.⁵ In this interval there is an interplay between

the energy density of the oscillating inflaton fields ρ_ϕ , the number density of Higgs fields n_H , the energy of the axion fields ρ_{axion} , and the energy density in radiation ρ_R . We shall model this as follows. ρ_ϕ will be steadily reduced due to continual production of axions (through the standard perturbative rate $\Gamma_{\phi a}$) and Higgs fields (through inefficient preheating). The axions behave as relativistic matter, but they stay out of equilibrium. Higgs fields are created and annihilate into radiation with a thermal-averaged cross section $\langle \sigma_H | v \rangle$ and decay rate $\langle \Gamma_H \rangle$. Therefore, the radiation density ρ_R receive contributions from the Higgs fields but not from the singlets. On the other hand, among the decay products of the Higgs fields we will find also neutralinos, the lightest of them being a candidate for cold dark matter. The standard calculation of their relic abundance [31] depends mostly on their freezeout temperature, the temperature at which they decouple from the plasma, and it is usually assumed that this happens while the Universe is radiation dominated. That is the case when the reheating temperature is much larger than the typical mass scale of the particle, that is, reheating ended much before they freezeout. However, as shown in Ref. [32], the situation changes in a scenario with a low reheating temperature, such that freezeout takes place when the Universe is still matter dominated. In fact it is shown that, for a B -ino like lightest neutralino, the cosmological constraints on the B -ino mass and/or the right-handed slepton mass are relaxed and even disappear once a reheating temperature below the B -ino mass is allowed. In our type of scenario, moreover, the Higgs fields will be kept for a while out-of-equilibrium, due to preheating, and therefore neutralinos will be produced also out-of-equilibrium, initially most likely in a matter dominated universe. If they do not re-enter equilibrium before reheating is complete, their relic abundance may be different than that obtained in other scenarios.

Under the above assumptions, the evolution of the energy densities (singlets, axions, and radiation) and number densities (Higgs fields and neutralinos) during reheating can be described by a simple set of equations [10,11,32]:

$$\dot{\rho}_\phi = -3H\rho_\phi - \Gamma_{\phi a}\rho_\phi - \Gamma_{preh}\rho_\phi, \quad (27)$$

$$\dot{\rho}_{axion} = -4H\rho_{axion} + \Gamma_{\phi a}\rho_\phi, \quad (28)$$

$$\begin{aligned} \dot{n}_H &= -3Hn_H - (1 - B_\chi)\langle \Gamma_H \rangle (n_H - n_H^{eq}) \\ &\quad - B_\chi \langle \Gamma_H \rangle \left(n_H - \frac{n_\chi^2}{n_\chi^{eq^2}} n_H^{eq} \right) \\ &\quad - \langle \sigma_H | v \rangle (n_H^2 - n_H^{eq^2}) + \Gamma_{preh} \frac{\rho_\phi}{M_H}, \end{aligned} \quad (29)$$

$$\begin{aligned} \dot{n}_\chi &= -3Hn_\chi + B_\chi \langle \Gamma_H \rangle \left(n_H - \frac{n_\chi^2}{n_\chi^{eq^2}} n_H^{eq} \right) \\ &\quad - \langle \sigma_\chi | v \rangle (n_\chi^2 - n_\chi^{eq^2}), \end{aligned} \quad (30)$$

⁴The coupling α_a is either due to tree level interactions, and therefore further suppressed by a factor T^{-2} when the temperature is larger than the typical mass scale of the particle exchanged, or due to loops effects, which are suppressed by a factor $1/(8\pi^2)^2$. Therefore, until the temperature drops near $O(1)$ GeV we have $\alpha_a \sim 10^{-4}$.

⁵In general, the reheating time will coincide with the time at which the Universe becomes radiation dominated t_{RD} . However in the present context this may not be the case, see below.

$$\begin{aligned} \dot{\rho}_R = & -4H\rho_R + (1 - B_\chi)\langle\Gamma_H\rangle\langle E_H\rangle(n_H - n_H^{eq}) \\ & + 2\langle\sigma_H|v\rangle\langle E_H\rangle(n_H^2 - n_H^{eq^2}) + 2\langle\sigma_\chi|v\rangle \\ & \times\langle E_\chi\rangle(n_\chi^2 - n_\chi^{eq^2}), \end{aligned} \quad (31)$$

where Γ_{preh} models the rate of production of Higgs fields through ‘‘inefficient’’ preheating, $\langle E_H\rangle$ and $\langle E_\chi\rangle$ are the average energy per Higgs field and neutralino, respectively, with

$$\langle E_i\rangle \simeq \sqrt{m_i^2 + T^2}, \quad i = H, \chi, \quad (32)$$

and in the numerical calculations we have set the masses $m_H = m_\phi = 10m_\chi = 1$ TeV, and

$$\langle\Gamma_H\rangle \simeq \alpha_H m_H [1 - \exp(-m_H/T)], \quad (33)$$

$$\langle\sigma_i|v\rangle \simeq \frac{\alpha_i^2}{T^2} [1 - \exp(-T^2/m_i^2)], \quad (34)$$

with $\alpha_H = \alpha_\chi = 10^{-3}$. The preheating period would set the initial conditions to solve these equations, and at $t_0 = 0$ we will take

$$\rho_\phi \simeq \rho_R \simeq \langle E_H\rangle n_H \simeq \frac{V(0)}{3}. \quad (35)$$

When reheating ends at $t = t_{RH}$, the temperature of the Universe will be $T_{RH} \propto \sqrt{\Gamma_\phi M_P}$, where M_P is the reduced Planck mass and $\Gamma_\phi = \Gamma_{\phi a} + \Gamma_{preh}$ the total decay rate of the singlets.

To start with, the Higgs fields will soon approach equilibrium due to scattering process, with $\langle\sigma_H|v\rangle n_H^{eq} > H(t_0)$. If $\Gamma_{preh} \ll \Gamma_\phi$, no appreciable amount of Higgs fields/radiation is further produced and the Higgs fields will later decay in equilibrium. This means that ρ_R will be redshifted as a^{-4} , faster than the axions which are produced in singlets decay, $\rho_{axion} \propto a^{-3/2}$, and when reheating ends we will have an axion-dominated universe. Given that the entropy would be conserved, and that $\rho_{axion}(t_{RH}) \simeq \rho_\phi(t_{RH})$, one can estimate the final ratio of the energy density of axions to radiation as

$$\frac{\rho_{axion}(T_{RH})}{\rho_R(T_{RH})} \simeq \frac{\rho_\phi(t_0)}{\rho_R(t_0)} \left(\frac{T_0}{T_{RH}} \right) \approx 10^8. \quad (36)$$

The relativistic axions will behave as an extra generation of neutrinos at the time of nucleosynthesis, with the number of extra generations constraint by $\delta N_\nu \leq 1.8$ [22]. This translates into a bound for the energy density of axions relative to that in radiation:

$$\left. \frac{7}{8} \frac{\rho_{axion}}{\rho_R} \right|_{nucl.} \leq 1.8. \quad (37)$$

Comparing this bound with Eq. (36), it is clear that we need the Higgs fields out of equilibrium and start decaying into radiation at some time t_1 much before reheating is complete. This will be achieved taking $\Gamma_{preh} > \Gamma_{\phi a}$. This also means

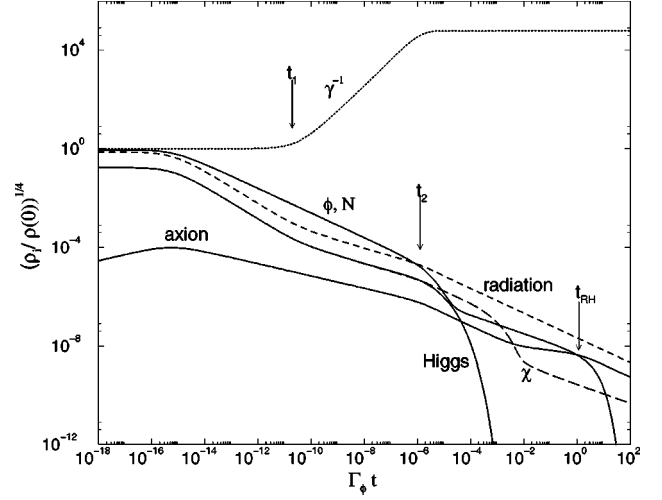


FIG. 1. Evolution of the energy densities of the singlets (ϕ, N), axions, Higgs fields, radiation (dashed line) and neutralinos (long-dashed line), when $\Gamma_{preh}(t_0) = 10^{-12}$ GeV, and $b = 10^5 \Gamma_\phi$. We have taken $B_\chi = 0.5$. We have also included the factor $\gamma^{-1} = S_f/S_i$ (dotted line).

that allowing the standard perturbative decay of the singlets into Higgs fields does not solve the problem of axion dominance, since one expects the respective branching ratios into axions and Higgs fields to be at most of the same order. We need some extra effect apart from perturbative decay. However, if we take Γ_{preh} as a constant parameter, and larger than $\Gamma_{\phi a}$, we are forcing the singlets to decay completely through preheating, which in a realistic scenario is unlikely to happen. It is more reasonable to consider this parameter as a decreasing function of time: as the energy density of the oscillating singlets decreases, the rate of production through preheating will also diminish. We will consider a simple ansatz where Γ_{preh} follows an exponential law,

$$\Gamma_{preh}(t) = \Gamma_{preh}(t_0) e^{-bt}, \quad (38)$$

with b a constant such that $b < \Gamma_{preh}(t_0)^{-1}$. We can imagine this like $\Gamma_{preh}(t)$ being switched on for a while, until the energy density ρ_ϕ diminishes enough to make the parametric resonance completely negligible at $t > b^{-1}$, when $\Gamma_{preh}(t)$ is switched off.

The situation now is as follows: the Higgs fields start decaying at a time $t_1 < t_{RH}$, which can be estimated as

$$t_1^{-1} \sim H(t_1) \sim \frac{\rho_\phi(t_1)}{n_H(t_1)} \frac{\Gamma_{preh}(t_0)}{M_H} \sim \frac{T_0}{M_H} \Gamma_{preh}(t_0), \quad (39)$$

and given that until this time the entropy is constant, and $T \propto a^{-1}$, we have

$$T_1 \approx \left(0.1 \frac{M_P}{M_H} \right)^{2/3} T_0^{1/3} \Gamma_{preh}^{2/3} \sim (10^{20} \Gamma_{preh})^{2/3} \text{ GeV}. \quad (40)$$

Higgs fields/radiation production stops at $t_2 \simeq \Gamma_{preh}(t_0)^{-1}$. Immediately after, the Higgs bosons decay. Therefore, entropy is only released between T_1 and T_2 , and in that interval the ratio ρ_{axion}/ρ_R is frozen. After t_2 we are left still with

the singlets producing more axions, so the ratio of axions to radiation again increases until t_{RH} is frozen again.

This can be seen in Fig. 1, where we have plotted the evolution of the energy densities for the case $\Gamma_{preh}(t_0) = 10^{-12} > \Gamma_\phi$ and $b = 10^5 \Gamma_\phi$ as an example. The time scale is given in units of Γ_ϕ . The singlets start decaying through Γ_{preh} at $t \approx t_1$, but still we have assumed that they initially dominated the energy density of the Universe. The Higgs fields initially are in equilibrium, but due to the contribution from Γ_{preh} they start to decay into radiation and neutralinos. At this point the ratio S_f/S_i starts to increase as can be seen in the figure. Entropy production stops at t_2 , when the singlets energy density is partially depleted due to Γ_{preh} . The Universe becomes radiation dominated at a temperature $T_2 \approx T_{RD} \approx 10^3$ GeV; this is larger than the lower reheating temperature we would expect only from $\Gamma_{\phi a}$. Soon after, inefficient preheating is switched off and the Higgs bosons decay. Until $\Gamma_\phi t \approx O(1)$ we still produced more axions from the remaining singlets. The final ratio of the axions to radiation is $\rho_{axion}/\rho_R \sim 10^{-3}$, which is consistent with nucleosynthesis. The neutralinos follow the same evolution than the Higgs fields as far as both are relativistic. Once the Higgs bosons decay, they go into equilibrium and when they become nonrelativistic the ratio n_χ/s freezes out. At T_{RH} we have $(n_\chi/s)|_{RH} \approx 4 \times 10^{-11}$, which would imply a relic abundance of neutralinos of order one today; they would dominate the dark matter in the Universe. We notice that in this example the neutralinos enter into equilibrium and freezeout in a radiation dominated universe, so the calculation of their relic abundance would not differ from the standard one, and the usual bounds would apply.

In the above example, the Universe becomes radiation dominated at a temperature $T_2 < T_1$. However, from Eq. (40) we see that had we taken $\Gamma_{preh}(t_0) \geq 10^{-8}$, then $T_1 \sim T_0$, and the Universe would be radiation dominated indeed during the whole period of what we call reheating, that is to say, until the singlets completely disappear.

With this in mind, we now turn to the calculation of the entropy dilution factor γ :

$$\gamma^{-1} = \frac{S(T_2)}{S(T_1)} = \left(\frac{T_2}{T_1}\right)^3 \left(\frac{a(t_2)}{a(t_1)}\right)^3, \quad (41)$$

which will depend on the initial value of $\Gamma_{preh}(t_0)$, but not on its time dependence. If $\Gamma_{preh}(t_0) \leq 10^{-8}$, then the value of T_2 is given by the condition $\rho_\phi(t_2) \approx \rho_R(t_2)$, and we obtain,

$$\gamma^{-1} \approx 0.4 \left(\frac{T_2}{T_1}\right)^3 \frac{\rho_\phi(t_1)}{\rho_R(t_2)} \approx \frac{T_0}{\sqrt{\Gamma_{preh}(t_0)} M_P} \leq 10^8. \quad (42)$$

The smaller the value of $\Gamma_{preh}(t_0)$, the larger the dilution becomes. On the other hand, for large values of $\Gamma_{preh}(t_0)$, entropy production takes place when the Universe is already radiation dominated, the radiation energy density scales as $\propto a^{-1}$, and the time t_2 is given by the condition $\rho_\phi(t_2) \approx \rho_H(t_2)$ instead. In this case, γ tends to a constant value, given by

$$\gamma^{-1} = \left(\frac{a(t_2)}{a(t_0)}\right)^3 \approx \left(\frac{\rho_\phi(t_0)}{\rho_H(t_0)}\right)^{3/2} \approx 10^4 \times \left(\frac{\rho_\phi(t_0)}{\rho_R(t_0)}\right)^{3/2}. \quad (43)$$

Due to entropy production, the final ratio of axions to radiation given in Eq. (36) is also diluted, such that

$$\frac{\rho_{axion}(T_{RH})}{\rho_R(T_{RH})} \approx \gamma \frac{\rho_\phi(t_0)}{\rho_R(t_0)} \left(\frac{T_0}{T_{RH}}\right) \times \exp\left\{-F\left[\frac{\Gamma_{preh}(t_0)}{b}\right]\right\}. \quad (44)$$

The last factor in the above equation is due to the partial depletion in the energy density of the singlets around the time $t \approx \Gamma_{preh}(t_0)^{-1}$, with

$$F[x] = x[1 - \exp(1/x)]. \quad (45)$$

To summarize, in order to avoid axion dominance at the end of the reheating period, we have allowed the singlets to decay into Higgs fields through inefficient preheating, which will decay into radiation. The effect on the final ratio of axions to radiation is twofold: on one hand it reduces the ratio because part of the singlets have been converted into Higgs fields instead of axions; on the other hand, the final ratio also gets diluted by a factor γ due to entropy production. However, the same factor γ will dilute the initial lepton asymmetry produced in the (s)-neutrinos decays, which was our main concern. The lower dilution factor is obtained when what we have called reheating starts directly with a radiation dominated universe instead of the usual matter-inflaton dominated universe. Based on the simplest assumptions, we have obtained an upper bound on γ ,

$$\gamma \sim 10^{-4} \times \frac{\rho_R(t_0)}{\rho_\phi(t_0)}, \quad (46)$$

which means that the baryon asymmetry at the end of reheating will be at most

$$\frac{n_B}{s} \sim 10^{-12 \pm 2}, \quad (47)$$

a couple of orders of magnitude below the observational data. Given that we have only considered a kind of toy model to study reheating and the uncertainties in it, we regard this result as quite promising. In particular, we remark again that the value of Γ_{preh} is an unknown in the model, controlled by the physics of preheating. Moreover, we have taken $\rho_R(t_0) \approx \rho_\phi(t_0)$, but it may happen that we could produce more radiation than expected before reheating starts. Finally, we have considered a particular model for neutrinos masses as a working example, such that the values of the Yukawa couplings generate an asymmetry of the order $\epsilon_1 \sim 10^{-8}$. Other texture models with values of the Yukawas consistent with the experimental data on neutrinos, and similar hierarchy among the right-handed neutrinos, could give rise to a larger asymmetry such as $\epsilon_1 \sim 10^{-6}$ [20], which would give then the correct order of magnitude for the baryon asymmetry. In any case, the less dilution we can have, the better, and this translates into the Universe becoming radiation dominated as soon as possible. In general, this

means that neutralino freezeout will take place in a radiation dominated universe, and the standard bound on their relic abundance will apply.

Finally, we mention that we could also avoid axion dominance, allowing the singlets to decay into other light degrees of freedom apart from axions. However, if the singlets decay into radiation this will imply a too large dilution factor, with [10]

$$\gamma \sim s_0 \frac{\sqrt{\Gamma_\phi M_P}}{\rho_\phi(0)} \sim 10^{-8}, \quad (48)$$

where the entropy density is $s_0 \sim T_0^3$, and the energy density in singlets ρ_ϕ are taken as the initial values at t_0 .

V. CONCLUSION

In this paper we have discussed a realistic supersymmetric model of inflation [14] which couples the inflaton to the Higgs field, and when enlarged to include right-handed neutrinos, allows leptogenesis as the mechanism to generate the observed baryon asymmetry of the Universe. From the particle physics point of view, the model is an extension of the next-to-minimal supersymmetric model and solves the μ problem via an intermediate scale which generates the vev for the singlets. The interaction between the singlets and matter fields are dictated by an approximate $U(1)$ Peccei-Quinn symmetry, providing also a solution for the strong CP problem, and the axions as a candidate for dark matter. As a hybrid inflationary model, it has a quite low scale for inflation $O(10^8 \text{ GeV})$, and it predicts a spectral index $n=1$ consistent with the recent Boomerang and Maxima-1 data [33], and in principle a very low reheating temperature of the order of a few GeV, barring the possibility of both GUT and electroweak baryogenesis. Therefore we have extended the model to include right handed neutrinos, and have appealed to preheating to produce the lightest right handed sneutrino so that baryogenesis may proceed via leptogenesis.

Extending the model to include heavy right-handed neutrinos is one of the preferred solutions not only for the sake of leptogenesis, but in order to generate a light neutrino mass spectrum through the seesaw mechanism, given the strong evidence from experiments in support of such light masses and mixings. In this paper we have used as an example a realistic model of all quark and lepton masses and mixing angles [16] based on single right-handed neutrino dominance [17]. We have chosen this particular example because it predicts not only a hierarchical spectrum for the light neutrinos but also for the heavy right-handed neutrinos/sneutrino and, in particular, involves a relatively light right-handed state which is available for preheating, although we emphasize that this spectrum came out of an analysis of neutrino masses and mixing angles which was not performed with leptogenesis in mind. The right-handed neutrinos and sneutrinos are too heavy to be produced thermally at any stage in our inflationary model. However, we have argued that at least the lightest right-handed sneutrino can be produced through parametric resonance during preheating, due to its couplings

to the Higgs doublets. Its CP -violating decay gives rise to a lepton asymmetry, later converted by sphalerons into baryon number. Note that the right-handed sneutrinos do not couple directly to the oscillating inflaton fields, but rather indirectly via the Higgs doublets. Thus Higgs scalars are also expected to be produced during reheating, and since these decay into radiation and neutralinos we may estimate the amount of neutralinos and entropy that is produced during preheating as shown in Fig. 1. Note that relativistic axions are also produced during reheating but these are redshifted away, although later on nonrelativistic axions are additionally produced by the usual misalignment mechanism and these will contribute to cold dark matter.

Because the heavy sneutrinos decay long before reheating is completed, the lepton asymmetry will be subsequently diluted by the entropy produced in the decays of singlets and Higgs fields, before the time of nucleosynthesis. In order to avoid too much dilution, we first required the singlets to decay only into axions, which do not thermalize and do not contribute to the radiation energy. The radiation energy density has its origin in the out-of-equilibrium decay of Higgs bosons and sneutrinos, which have been previously produced during preheating. If no more radiation is produced, by the time the singlets completely decay the Universe becomes axion-dominated, violating by many orders of the magnitude the bound on the number of extra relativistic neutrino-like species at nucleosynthesis. We argue then that inefficient preheating of the Higgs fields is required in order to allow their out-of-equilibrium decay and some extra production of radiation. Because of the long lifetime of the singlets, it is possible that, while they are oscillating, they could preheat other fields at a rate similar or even larger than the perturbative decay rate. To illustrate this point we have presented a simplified analysis of the reheating period, parametrizing inefficient preheating by a rate Γ_{preh} , in order to compare it with the perturbative decay rate Γ_ϕ , and it is the result of this simplified analysis which is presented in Fig. 1. The main qualitative conclusion is that in order to avoid axion dominance we would require $\Gamma_{preh} > \Gamma_\phi$. Therefore, the main parameter controlling the analyses is the ratio of these decay rates (the perturbative one and through inefficient preheating), which will also depend to some extent on the ratio of the Higgs boson and singlets masses. Note that the lighter the Higgs fields are, the easier they will be produced. However, this may modify the period of preheating following the end of inflation. The more radiation which is produced the less the dilution we will have later [Eq. (46)].

To summarize, we have presented a semi-quantitative scenario for leptogenesis in the context of a realistic supersymmetric low scale hybrid inflationary model. A novelty of the model is that during the reheating period, the dilution of the lepton-baryon asymmetry is not due to entropy produced in the *inflaton* decays but due to Higgs boson decay. This in turn is controlled by how many Higgs fields we are able to preheat from the singlets before reheating is finally completed, and it will help to avoid an axion-dominated universe at the end of reheating. In a model with a hierarchy in the masses of the heavy right-handed neutrinos, the combination of the small asymmetry ϵ with some later dilution could give

rise to the correct order of magnitude for the final value of n_B/s . Note that in a realistic supersymmetric model such as this the value of the baryon number is related to the question of the nature and abundance of cold dark matter, and that these questions are in turn related to the questions of the μ problem, the strong CP problem, and neutrino masses.

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