

Gluing 4-simplices: A derivation of the Barrett-Crane spin foam model for Euclidean quantum gravity

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We derive the Barrett-Crane spin foam model for Euclidean 4-dimensional quantum gravity from a discretized BF theory, imposing the constraints that reduce it to gravity at the quantum level. We obtain in this way a precise prescription of the form of the Barrett-Crane state sum, in the general case of an arbitrary manifold with boundary. In particular we derive the amplitude for the edges of the spin foam from a natural procedure of gluing different 4-simplices along a common tetrahedron. The generalization of our results to higher dimensions is also shown.

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I. INTRODUCTION

In recent years, many different approaches to the problem of finding a complete theory of quantum gravity have been converging to the formalism of the so-called spin foams [1,2]. These kind of models are obtained by translating the geometric information about a (triangulated) manifold into the language of combinatorics and group theory, so that the usual concepts of a metric and of metric properties are somehow emerging from them, and not regarded as fundamental. In some sense this implements in a precise way the idea of a sum over geometries, but now we are summing over labeled 2-complexes (spin foams), i.e. collections of faces, edges and vertices combined together and labeled by representations of a group (or a quantum group). A spin foam emerges when considering the evolution in time of spin networks [3–5], which were discovered to represent states of quantum general relativity at the kinematical level [6–10]. Spin foam models exist also for topological field theories in different dimensions [11–14], and many different spin foam models have been developed for gravity [15–18]. One of the most promising spin foam models for gravity in 4 dimensions was proposed in [19] and is known as the Barrett-Crane state sum model. It was shown [20] to be related at the classical level to gravity, and more exactly to correspond to the Plebanski action [21,22], which contains gravity as a sector of the solutions. This in turn can be considered as a constrained topological field theory, namely a BF theory [23], plus a constraint on the B field. Another result which suggests that the Barrett-Crane model is indeed related to quantum gravity is that the semiclassical limit of the amplitude for a 4-simplex (so in a sense the simplest possible manifold) gives a path integral with the action given by a form of the Regge calculus action with the areas of the triangles of the triangulated manifold as variables instead of the edges of the triangulation [24,25]. The Barrett-Crane model was originally obtained through a quantization of a 4-simplex, meaning a

study of the correct way to translate the conditions that determine the classical geometry of a 4-simplex into the quantum language of representations of $SO(4)$, which is the local gauge symmetry group of Euclidean gravity in 4 dimensions. In this way the quantum amplitude for a 4-simplex was obtained and a state sum (discrete partition function) deduced from it, leaving some ambiguity regarding the amplitudes to be associated to the lower dimensional simplices (tetrahedra and triangles) in the spin foam model. In this sense the Barrett-Crane state sum was more guessed at than derived (for an attempt to set up a general formalism for deriving a spin foam model from a classical action, see [26]).

We will try to derive the Barrett-Crane model for Euclidean gravity in 4 dimensions from a discretization of the $SO(4)$ BF theory, imposing the constraints that reduce this theory to gravity (the Barrett-Crane constraints) at the quantum level, i.e. at the level of the representations of $SO(4)$ used, and not starting from a discretization of the Plebanski action, i.e. from a constrained action at the classical level.

The reasons for this approach are several: at the continuum (and classical) level the relation between the Plebanski action and the BF action already mentioned; at the discrete (and quantum) level, the fact that a complete discretization of $SU(2)$ BF theory in 4 dimensions has been carried out [27], and leads to the Crane-Yetter discrete topological theory, the Barrett-Crane model being a “constrained doubling” of it.

Of course the best thing to do would be to discretize directly the Plebanski action, obtaining directly the Barrett-Crane state sum model in this way, but this is very difficult due to the non-linearity of the additional term in the B field (similar problems exist for the discretization of the BF theory with a cosmological constant; see [28]), and requires further investigation.

II. BARRETT-CRANE MODEL

Let us first recall the basic elements of the Barrett-Crane work [19].

A geometric 4-simplex is completely and uniquely characterized (up to parallel translation and inversion through the origin) by a set of 10 bivectors, each corresponding to a

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triangle in the 4-simplex and satisfying the following properties:

- (i) The bivector changes sign if the orientation of the triangle is changed.
- (ii) Each bivector is simple, i.e. given by a wedge product of two vectors.
- (iii) If two triangles share a common edge, the sum of the two bivectors is simple.
- (iv) The sum (considering orientations) of the 4 bivectors corresponding to the faces of a tetrahedron is zero.
- (v) The assignment of bivectors is non-degenerate.
- (vi) The bivectors (thought of as operators) corresponding to triangles meeting at a vertex of a tetrahedron satisfy the inequality $\text{tr} b_1[b_2, b_3] \geq 0$.

The crucial observation now is that bivectors can be thought of as being elements of the Lie algebra $\mathfrak{so}(4)$, so we can label the triangles in the triangulation with representations of $\mathfrak{so}(4)$, i.e. considering the splitting $\mathfrak{so}(4) \simeq \mathfrak{su}(2) \oplus \mathfrak{su}(2)$, with pairs of spins (j, k) and the tetrahedra in the triangulation with tensors in the product of the four spaces on its triangles. The point is to translate the conditions above into conditions on the representations of this algebra.

The corresponding conditions on the representations were found to be the following:

- (i) Different orientations of a triangle correspond to dual representations.
- (ii) The representations of the triangles are ‘‘simple representations’’ of $\text{SO}(4)$ of the form (j, j) , i.e. representations of class 1 with respect to the subgroup $\text{SO}(3)$ [29].
- (iii) Given two triangles, if we decompose the pair of representations into its Clebsch-Gordan series, the tensor for the tetrahedron is decomposed into summands which are non-zero only for simple representations.
- (iv) The tensor for the tetrahedron is invariant under $\text{SO}(4)$.

It was then proved [33] that the intertwiner proposed in the original paper is unique up to normalization.

Out of these conditions, an amplitude for a quantum 4-simplex can be deduced and calculated [30], and it is possible to write down a spin foam model (for fixed triangulation) from these amplitudes:

$$Z(\Delta) = \sum_{j_f} \prod_f A_f \prod_e A_e \prod_v A_v^{BC} \quad (1)$$

where the products are over the faces, dual to triangles, edges, dual to tetrahedra, and vertices, dual to 4-simplices, of the 2-complex representing the spin foam and which is dual to the triangulation Δ of the 4-dimensional manifold. The sum is over the spins labeling the triangles, and the amplitudes are the Barrett-Crane amplitude for the vertices, and suitable amplitudes for edges and faces of the spin foam. Since there is no complete derivation from a classical theory so far for this state sum, the exact amplitudes for edges and faces are not determined, but different models with the same Barrett-Crane amplitude for the vertices are proposed in [31] and [32]. The problem of the choice of the amplitudes for the interior tetrahedra is also related to the problem of how to

glue two 4-simplices along a common tetrahedron inside the manifold, a problem not addressed in these works.

In this paper we try to derive the complete state sum from a constrained discretization of a classical theory, so that the way of gluing different 4-simplices is natural, and the corresponding amplitude for the edges of the spin foam is obtained automatically.

III. BF THEORY, PLEBANSKI ACTION, AND THE BARRETT-CRANE MODEL

We now review briefly the relationship between Plebanski action, BF theory and the Barrett-Crane model. The $\mathfrak{so}(4)$ -Plebanski action [21] (without cosmological constant) is given by

$$S = S(\omega, B, \phi) = \int_{\mathcal{M}} \left[B^{IJ} \wedge F_{IJ}(\omega) - \frac{1}{2} \phi_{IJKL} B^{KL} \wedge B^{IJ} \right] \quad (2)$$

where ω is an $\mathfrak{so}(4)$ -valued connection 1-form, $\omega = \omega_{\mu}^{IJ} X_{IJ} dx^{\mu}$, X_{IJ} are the generators of $\mathfrak{so}(4)$, $F = d\omega$ is the corresponding two-form curvature, B is an $\mathfrak{so}(4)$ -valued 2-form, $B = B_{\mu\nu}^{IJ} X_{IJ} dx^{\mu} \wedge dx^{\nu}$, and ϕ_{IJKL} is a Lagrange multiplier. The associated equations of motion are

$$\frac{\delta S}{\delta \omega} \rightarrow DB = dB + [\omega, B] = 0 \quad (3)$$

$$\frac{\delta S}{\delta B} \rightarrow F^{IJ}(\omega) = \phi^{IJKL} B_{KL} \quad (4)$$

$$\frac{\delta S}{\delta \phi} \rightarrow B^{IJ} \wedge B^{KL} = e \epsilon^{IJKL} \quad (5)$$

where $e = (1/4!) \epsilon_{IJKL} B^{IJ} \wedge B^{KL}$.

Thus it is evident that this theory is like a BF topological field theory, with a type of source term and with a non-linear constraint on the B field. In turn the relation with gravity arises because the constraint (5) is satisfied if and only if there exists a real tetrad field $e^I = e^I_{\mu} dx^{\mu}$ so that one of the following equations holds:

$$\text{I} \quad B^{IJ} = \pm e^I \wedge e^J \quad (6)$$

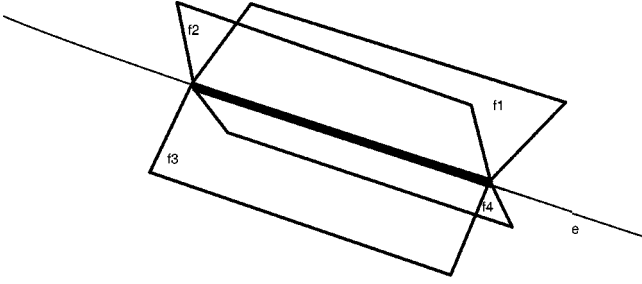
$$\text{II} \quad B^{IJ} = \pm \frac{1}{2} \epsilon_{KL}^{IJ} e^K \wedge e^L. \quad (7)$$

If we restrict the field B to be always in sector II (with the plus sign), and substitute the expression for B in terms of the tetrad field into the action, we obtain

$$S = \int_{\mathcal{M}} \epsilon_{IJKL} e^I \wedge e^J \wedge F^{KL} \quad (8)$$

which is just the action for general relativity in the first order Palatini formalism.

The restriction on the B field is always possible classically, so the two theories do not differ at the classical level, but they are different at the quantum level, since in the quan-


 FIG. 1. A dual edge e with the four dual faces meeting on it.

tum theory one cannot avoid interference between different sectors. This is discussed in [20].

It was shown in [20] that a discretization of the constraints (5) which give gravity from BF theory proves that they are the classical analogue of the Barrett-Crane constraints. Consequently, we can look at the Barrett-Crane state sum model as a (tentative) quantization of the Plebanski action and thus strongly related (even if somewhat different) to gravity.

IV. DISCRETIZED $SU(2)$ BF THEORY

Let us now sketch the discretization of $SU(2)$ BF theory as given in [27]. (Baez has pointed out some ambiguities in this discretization procedure; we refer to [2] and [26] for alternative approaches.)

Consider the $SU(2)$ BF theory action, which can be thought of as being the self-dual (or anti-self-dual) part of an $SO(4)$ BF theory action, as we will see later,

$$S = \int_M B \wedge F \quad (9)$$

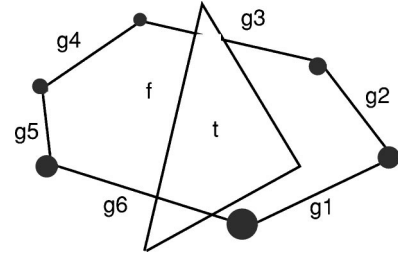
where B is an $\mathfrak{su}(2)$ -valued 2-form field, and F is the 2-form curvature of an $\mathfrak{su}(2)$ -valued connection 1-form.

Consider now a piecewise linear 4-dimensional simplicial manifold, which is given by a triangulation of the manifold M . According to the Regge calculus picture, the curvature is located at the different triangles t [($d-2$)-dimensional simplices]. Consider also the complex which is dual to the triangulation, having a vertex for each 4-simplex of the triangulation, an edge (dual link) for each tetrahedron connecting the two different 4-simplices that share it, and a (dual) face for each triangle in the triangulation (see Fig. 1). (An earlier work using the complex dual to the triangulation is [51].)

The 2-dimensional surface bounded by the dual links connecting the 4-simplices that share the same triangle is called a dual plaquette. It is easy to see that the correspondence between a triangle in the original triangulation and a dual plaquette is 1-1 (see Fig. 2).

We introduce a dual link variable $U(\tilde{l}) = e^{i\omega(\tilde{l})}$ for each dual link \tilde{l} . Consequently the product of dual link variables along the boundary $\partial\tilde{P}$ of a dual plaquette \tilde{P} leads to a curvature located at the center of the dual plaquette, i.e. at the center of the triangle t .

We define the curvature $F(t)$ located on the triangle t by the equation


 FIG. 2. The dual plaquette f for the triangle t .

$$\prod_{\tilde{l} \in \partial\tilde{P}} U(\tilde{l}) \equiv e^{iF(t)}. \quad (10)$$

We then approximate the 2-form field B with a distributional field $B(t)$ with values on the triangles of the original triangulation. Note that this gives an exact theory for a topological field theory like the BF one, but it represents only an approximation for a non-topological theory like gravity. Nevertheless, this approximation would be better and better when we refine the triangulation or sum over all the possible different triangulations which would be the next step after constructing a spin foam model for a given triangulation.

The discretized action for BF theory is then

$$S = \frac{1}{2} \sum_t B^I F^I = \sum_t \text{tr} \left(-i B(t) \left[\ln \prod_{\tilde{l} \in \tilde{P}} U(\tilde{l}) \right] \right) \quad (11)$$

where now the indices I refer to $\mathfrak{su}(2)$ algebra values.

We then impose the following constraint:

$$\left[\left(\prod_{\tilde{l} \in \tilde{P}} U(\tilde{l}) \right) B \left(\prod_{\tilde{l} \in \tilde{P}} U(\tilde{l}) \right)^\dagger \right]^{\alpha\beta} = B^{\alpha\beta} \quad (12)$$

which is equivalent to imposing on the discrete partition function the BF equation of motion on the F field which says that the holonomy of the curvature vanishes.

This constraint is equivalent to the relation

$$[F, B] = i \epsilon_{IJK} F^I B^J \frac{\sigma^K}{2} = 0 \quad (13)$$

or $B^I \propto F^I$. Taking into account the parallel and antiparallel nature of B^I and F^I this constraint can be rewritten as

$$\frac{B^3}{|B|} \left[\prod_{I=1}^2 \delta \left(\frac{F^I}{|F|} + \frac{B^I}{|B|} \right) + \prod_{I=1}^2 \delta \left(\frac{F^I}{|F|} - \frac{B^I}{|B|} \right) \right] \quad (14)$$

where the term $B^3/|B|$ is needed to keep rotational invariance of the expression.

The necessity of another kind of constraint is clear from the following argument. Consider the identity

$$e^{i4\pi nL} = 1 \quad (15)$$

where

$$L = \frac{F^I \sigma^I}{|F| 2}.$$

Inserting this into the expression for the action and using the fact that F and B are parallel leads to

$$\begin{aligned} S &= \sum_t \text{tr}(-i B(t) \ln e^{iF(t)}) \\ &= \sum_t \text{tr}(-i B(t) \ln e^{iF(t)+i4\pi n l}) \\ &= S + \frac{1}{2} \sum_t 4\pi n |B(t)|. \end{aligned} \quad (16)$$

Thus, imposing the single valuedness of e^{iS} (and hence of the partition function) we have an additional constraint for the B field to be of integer absolute value $N=2J$, with J half-integer.

Finally we can write down the partition function for the SU(2) lattice theory with the above constraints as

$$\begin{aligned} Z &= \int \mathcal{D}U \mathcal{D}B \delta\left[\left(\prod_{\tilde{l} \in \tilde{P}} U(\tilde{l})\right) B \left(\prod_{\tilde{l} \in \tilde{P}} U(\tilde{l})\right)^\dagger - B\right] \\ &\quad \times \sum_N \delta(|B| - N) e^{iS}. \end{aligned} \quad (17)$$

It is possible to prove [27] that this partition function is invariant under gauge transformation on the lattice.

Evaluating the B integral, we obtain

$$Z = \int \mathcal{D}U \sum_J 8J \cos(J|F|). \quad (18)$$

Using the known formula for the character χ_J of the spin- J representation of SU(2),

$$\chi_J(e^{iF l J}) = \frac{\sin\left((2J+1)\frac{|F|}{2}\right)}{\sin\frac{|F|}{2}}, \quad (19)$$

we can recast it in the form

$$Z = \int \mathcal{D}U \prod_t \sum_J (2J+1) \chi_J\left(\prod_{\tilde{l} \in \tilde{P}} U(\tilde{l})\right). \quad (20)$$

This expression is just formal because the summation is not convergent, but can be easily regularized. We will discuss the regularization issue later.

V. DISCRETIZED SO(4) BF THEORY

Let us now turn to the case of the SO(4) BF theory.

It is well known that the double covering of the SO(4) algebra, the Spin(4) algebra, is isomorphic to a direct product of two SU(2) algebras:

$$\text{Spin}(4) \simeq \text{SU}(2)_L \times \text{SU}(2)_R. \quad (21)$$

Since we are interested in the connection with gravity, and so in only some representations of this group, the simple representations, we can use this decomposition also in our case,

and so work with the Spin(4) group, because at the end the imposition of the constraints will give us the same result as if we had started from an SO(4) theory, the reason being that the set of simple representations of SO(4) coincides with the set of simple representations of Spin(4).

Thus we can split the Spin(4) BF theory action into a sum of the SU(2) chiral parts:

$$S = \int_{\mathcal{M}} B_{IJ} F^{IJ} = \int_{\mathcal{M}} B_I^+ F_I^+ + \int_{\mathcal{M}} B_I^- F_I^-. \quad (22)$$

Consequently the Spin(4) BF partition function gets factorized into a product of two SU(2) partition functions,

$$Z(\text{Spin}(4)) = Z(\text{SU}(2))_L Z(\text{SU}(2))_R, \quad (23)$$

and at the discretized level we can write (dropping the L and R subscripts)

$$\begin{aligned} Z(\text{Spin}(4)) &= Z(\text{SU}(2)) Z(\text{SU}(2)) \\ &= \int \mathcal{D}U \prod_t \sum_j (2j+1) \chi_j\left(\prod_{\tilde{l} \in \tilde{P}} U(\tilde{l})\right) \\ &\quad \times \int \mathcal{D}U' \prod_t \sum_k (2k+1) \chi_k\left(\prod_{\tilde{l} \in \tilde{P}} U'(\tilde{l})\right) \\ &= \int \mathcal{D}U \mathcal{D}U' \prod_t \sum_{j,k} (2j+1)(2k+1) \chi_j \\ &\quad \times \left(\prod_{\tilde{l} \in \tilde{P}} U(\tilde{l})\right) \chi_k\left(\prod_{\tilde{l} \in \tilde{P}} U'(\tilde{l})\right), \end{aligned} \quad (24)$$

so we are assigning two independent SU(2) variables to each dual link.

Now the product of characters of two representations j and k is given by the character of the direct product representation $j \times k$ of the group $\text{SU}(2) \times \text{SU}(2)$:

$$\chi_{j \times k}\left(\prod (U, U')\right) = \chi_j\left(\prod U\right) \chi_k\left(\prod U'\right). \quad (25)$$

Thus we have

$$\begin{aligned} Z(\text{Spin}(4)) &= \int dU dU' \prod_t \sum_{j,k} (2j+1)(2k+1) \chi_{j \times k} \\ &\quad \times \left(\prod (U, U')\right). \end{aligned} \quad (26)$$

Now we note that the double integral over SU(2) is equivalent, because of the isomorphism mentioned, to an integral over Spin(4) and the sum above is

$$\begin{aligned} &\sum_{j,k} (2j+1)(2k+1) \chi_{j \times k}\left(\prod (U, U')\right) \\ &= \sum_j \dim_j \chi_j\left(\prod g\right) \end{aligned} \quad (27)$$

where J is the highest weight of the general (j, k) representation of $\text{Spin}(4)$ [29], and the assignment of the pair of $\text{SU}(2)$ elements (U, U') is equivalent to an assignment of a $\text{Spin}(4)$ group element g .

In the end, we have the following expression for the discretized partition function of $\text{Spin}(4)$ BF theory:

$$Z_{BF}(\text{Spin}(4)) = \int_{\text{Spin}(4)} dg \prod_{\sigma} \sum_{J_{\sigma}} \dim_{J_{\sigma}} \chi_{J_{\sigma}} \left(\prod_e g_e \right) \quad (28)$$

where the first product is over the plaquettes in the dual complex (remember the 1-1 correspondence between triangles and plaquettes), the sum is over (the highest weight of) the representations of $\text{Spin}(4)$, and the last product is over the edges of the dual complex to which the group element is assigned.

The partition function for the $\text{SO}(4)$ BF theory is consequently obtained considering only the representation for which the components of the vectors J_{σ} are all integers.

VI. CONSTRAINING OF THE BF THEORY AND THE BARRETT-CRANE MODEL FOR A SINGLE 4-SIMPLEX

Before going on we clarify what is exactly the location of the g variables; consider a 4-simplex; it has 5 different 3-simplices (tetrahedra) in it, (1-2-3-4), (4-5-6-7), (7-3-8-9), (9-6-2-10), (1-5-8-10) (the numbers label the triangles in the tetrahedra of the 4-simplex), each of which is given by 4 2-simplices (triangles), and each d -simplex is glued to another one along a common $(d-1)$ -simplex. Thus a generic 4-simplex has 5 tetrahedra and 10 triangles in it (see Fig. 3). Each dual link goes from a 4-simplex to a neighboring one through the shared tetrahedron, so we have 5 dual links coming out from a 4-simplex.

We can assign two dual link variables to each dual link dividing it into two segments going from the center of each 4-simplex to the center of the boundary tetrahedron; i.e., we assign one group element g to each of them (see Fig. 4).

Consider now a dual plaquette. It is given by a number, say, m of dual links each divided into two segments, so there are $2m$ dual link variables on the boundary of each plaquette. When a tetrahedron sharing the triangle to which the plaquette corresponds is on the boundary of the manifold, the plaquette results in being truncated by the boundary, and there will be edges exposed on it (not connecting 4-simplices). To each of these exposed edges we also assign a group variable.

We now make use of the character decomposition formula which decomposes the character of a given representation of a product of group elements into a product of (Wigner) D functions in that representation:

$$\begin{aligned} \chi_{J_{\sigma}} \left(\prod_{\tilde{l} \in \partial \tilde{P}} g_{\tilde{l}} \right) \\ = \sum_{\{k\}} \prod_i D_{k_i, k_{i+1}}^{J_{\sigma}}(g_{e_i}) \quad \text{with} \quad k_1 = k_{2m+1} \end{aligned} \quad (29)$$

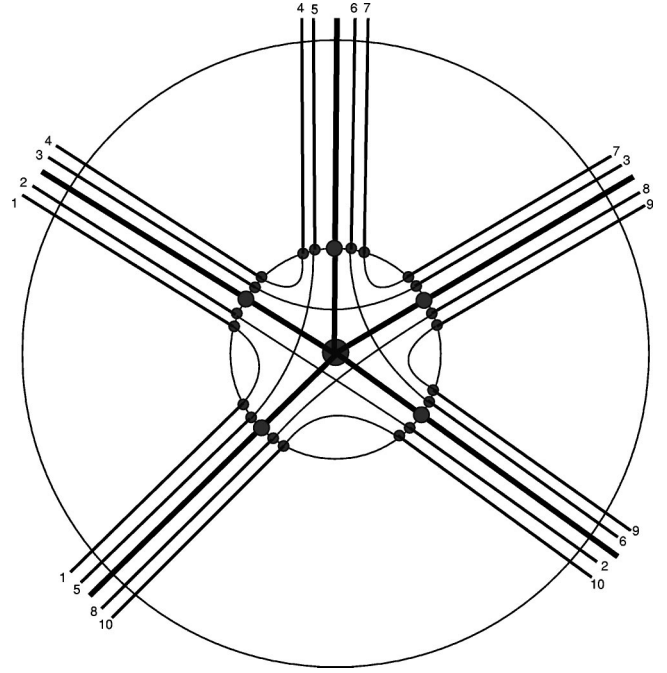


FIG. 3. Schematic representation of a 4-simplex; the thick lines represent the 5 tetrahedra and the thin lines the triangles.

where the product on the i index goes around the boundary of the dual plaquette surrounding the triangle labeled by J_{σ} , and there is a D function for each group element assigned to a dual link and to the edges exposed on the boundary.

We choose real representations of $\text{Spin}(4)$ (this is always possible). Note that this can be seen as a way to implement automatically the first of the Barrett-Crane constraints, so that there will be no need to impose it explicitly in the following. Thus we have

$$\begin{aligned} Z_{BF}(\text{Spin}(4)) \\ = \left(\prod_e \int_{\text{Spin}(4)} dg_e \right) \prod_{\sigma} \sum_{J_{\sigma}, \{k\}} \dim_{J_{\sigma}} \prod_i D_{k_i, k_{i+1}}^{J_{\sigma}}(g_{e_i}). \end{aligned} \quad (30)$$

Consider now a single 4-simplex. Note that in this case all the tetrahedra are on the boundary of the manifold, which is

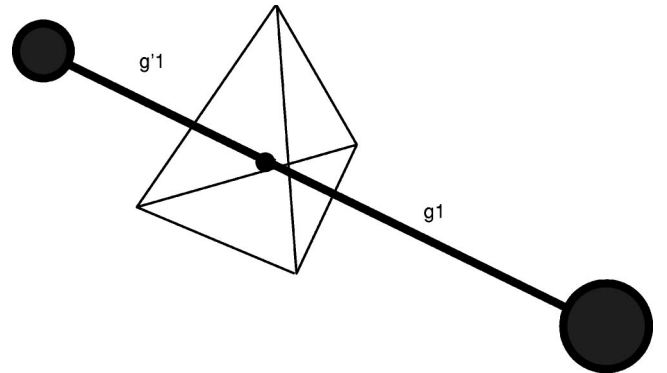


FIG. 4. The dual link corresponding to the tetrahedron on which two 4-simplices meet.

given by the interior of the 4-simplex. Writing down explicitly all the products of D functions and labeling the indices appropriately, we can write down the partition function for the Spin(4) BF theory on a manifold consisting of a single 4-simplex in the following way:

$$\begin{aligned} Z_{BF}(\text{Spin}(4)) &= \sum_{\{J_\sigma\}, \{k_e\}} \left(\prod_\sigma \dim_{J_\sigma} \right) \\ &\times \prod_e \int_{\text{Spin}(4)} dg_e D_{k_{e1}m_{e1}}^{J_1^e} D_{k_{e2}m_{e2}}^{J_2^e} \\ &\times D_{k_{e3}m_{e3}}^{J_3^e} D_{k_{e4}m_{e4}}^{J_4^e} \left(\prod_e D_{il}^J \right). \end{aligned} \quad (31)$$

The situation is now as follows: we have a contribution for each of the 5 edges of the dual complex, corresponding to the tetrahedra of the triangulation, each of them made of a product of the 4 D functions for the 4 representations labeling the 4 faces incident to an edge, corresponding to the 4 triangles of the tetrahedron. There is an extra product over the faces with a weight given by the dimension of the representation labeling that face, and the indices of the Wigner D functions refer one to the center of the 4-simplex, one end of the dual edge, and the other to a tetrahedron on the boundary, the other end of the dual edge. There is also an additional product of D functions, one for each group element assigned to an edge exposed on the boundary, and not integrated over because we are working with fixed connection on the boundary.

Now we want to go from BF theory to gravity (Plebanski) theory by imposing the Barrett-Crane constraints on the BF partition function. These are quantum constraints on the representations of $\text{SO}(4)$ which are assigned to each triangle of the triangulation, so they can be imposed at this ‘‘quantum’’ level. The constraints are essentially two: the simplicity constraints, saying that the representations by which we label the triangles are to be chosen from the simple representations of $\text{SO}(4)$ [$\text{Spin}(4)$], and the closure constraint, saying that the tensor assigned to each tetrahedron has to be an invariant tensor of $\text{SO}(4)$ [$\text{Spin}(4)$]. As we have chosen real representations, there is no need to impose the first constraint of Sec. III, and the third one will be imposed automatically in the following. We can implement the second constraint at this level by requiring that all the representation functions have to be invariant under the subgroup $\text{SO}(3)$ of $\text{SO}(4)$, so realizing these representations in the space of harmonic functions over the coset $\text{SO}(4)/\text{SO}(3) \simeq S^3$, which was proved in [38,42] to be a complete characterization of the simple representations of $\text{SO}(D)$ for any dimension D . We then implement the fourth constraint by requiring that the amplitude for a tetrahedron is invariant under a general $\text{SO}(4)$ transformation. We note that these constraints have the effect of breaking the topological invariance of the theory. Moreover, from now on we can replace the integrals over $\text{Spin}(4)$ with integrals over $\text{SO}(4)$, and the sum with a sum over the $\text{SO}(4)$ representations only.

Consequently we write

$$\begin{aligned} Z_{BC} &= \sum_{J_\sigma, \{k_e\}} \left(\prod_\sigma \dim_{J_\sigma} \right) \prod_e \int_{\text{SO}(4)} dg_e \int_{\text{SO}(3)} dh_1 \int_{\text{SO}(3)} dh_2 \int_{\text{SO}(3)} dh_3 \int_{\text{SO}(3)} dh_4 \int_{\text{SO}(4)} \\ &\times dg'_e D_{k_{e1}m_{e1}}^{J_1^e}(g_e h_1 g'_e) D_{k_{e2}m_{e2}}^{J_2^e}(g_e h_2 g'_e) D_{k_{e3}m_{e3}}^{J_3^e}(g_e h_3 g'_e) D_{k_{e4}m_{e4}}^{J_4^e}(g_e h_4 g'_e) \left(\prod_e D \right) \\ &= \sum_{J_\sigma, \{k_e\}} \left(\prod_\sigma \dim_{J_\sigma} \right) \prod_e A_e \left(\prod_e D \right). \end{aligned} \quad (32)$$

Let us consider now the amplitude for each edge e of the dual complex:

$$\begin{aligned} A_e &= \int_{\text{SO}(4)} dg_e \int_{\text{SO}(3)} dh_1 \int_{\text{SO}(3)} dh_2 \int_{\text{SO}(3)} dh_3 \int_{\text{SO}(3)} dh_4 \int_{\text{SO}(4)} \\ &\times dg'_e D_{k_{e1}m_{e1}}^{J_1^e}(g_e h_1 g'_e) D_{k_{e2}m_{e2}}^{J_2^e}(g_e h_2 g'_e) D_{k_{e3}m_{e3}}^{J_3^e}(g_e h_3 g'_e) D_{k_{e4}m_{e4}}^{J_4^e}(g_e h_4 g'_e) \end{aligned} \quad (33)$$

for a particular tetrahedron (edge) made out of the triangles 1,2,3,4, say, and write the integrals using the decomposition property for the representation function of a product of group elements:

$$\begin{aligned} A_e &= \int_{\text{SO}(4)} dg_1 D_{k_1 l_1}^{J_1}(g_1) D_{k_2 l_2}^{J_2}(g_1) D_{k_3 l_3}^{J_3}(g_1) D_{k_4 l_4}^{J_4}(g_1) \\ &\times \int_{h_1} dh_1 D_{l_1 i_1}^{J_1}(h_1) \int_{\text{SO}(3)} dh_2 D_{l_2 i_2}^{J_2}(h_2) \int_{\text{SO}(3)} dh_3 D_{l_3 i_3}^{J_3}(h_3) \int_{\text{SO}(3)} dh_4 D_{l_4 i_4}^{J_4}(h_4) \\ &\times \int_{\text{SO}(4)} dg'_1 D_{i_1 m_1}^{J_1}(g'_1) D_{i_2 m_2}^{J_2}(g'_1) D_{i_3 m_3}^{J_3}(g'_1) D_{i_4 m_4}^{J_4}(g'_1) \end{aligned} \quad (34)$$

where the sum over repeated indices is understood. We have now to perform the different integrals.

The integral of a product of 4 D functions is given by

$$\int_{SO(4)} dg D_{\alpha_1\beta_1}^{j_1}(g) D_{\alpha_2\beta_2}^{j_2}(g) D_{\alpha_3\beta_3}^{j_3}(g) D_{\alpha_4\beta_4}^{j_4}(g) = \sum_J C_{\alpha_1\alpha_2\alpha_3\alpha_4}^{j_1j_2j_3j_4J} C_{\beta_1\beta_2\beta_3\beta_4}^{j_1j_2j_3j_4J} \quad (35)$$

where the C functions for all J 's are an orthonormal basis for the space of the $SO(4)$ invariant tensors that are intertwiners between the 4 different representations j_1, j_2, j_3, j_4 , so Eq. (35) results. They are given by

$$C_{\alpha_1\alpha_2\alpha_3\alpha_4}^{j_1j_2j_3j_4J} = \sqrt{\dim_J} C_{\alpha_1\alpha_2\alpha}^{j_1j_2J} C_{\alpha_3\alpha_4\alpha}^{j_3j_4J} \quad (36)$$

where the $C_{\alpha_i\alpha_k}^{j_ikJ}$ are Wigner $3j$ symbols for $SO(4)$, normalized so that

$$C_{\alpha_i\alpha_k\alpha}^{j_ikJ} C_{\alpha_i\alpha_k\beta}^{j_ikK} = \delta_{JK} \delta_{\alpha\beta}.$$

The integral over the subgroup $SO(3)$ of a representation function of a subgroup element in a representation J of the group $SO(4)$ is given by [29]

$$\int_{SO(3)} dh D_{\alpha\beta}^J(h) = w_{\alpha}^J w_{\beta}^J \quad (37)$$

where w_{α}^J is a normalized $SO(3)$ invariant vector in 4 dimensions in the irreducible representation J of $SO(4)$. Since such a vector exists (and is non-vanishing) only if the representation J is simple, the effect of the integrations over $SO(3)$ is to project the intertwiners C into the one-dimensional vector space of intertwiners between simple representations of $SO(4)$.

Consequently we obtain

$$A_e = \sum_{I,L} C_{k_1k_2k_3k_4}^{J_1J_2J_3J_4I} C_{l_1l_2l_3l_4}^{J_1J_2J_3J_4L} w_{l_1}^{J_1} w_{l_2}^{J_2} w_{l_3}^{J_3} w_{l_4}^{J_4} w_{i_1}^{J_1} w_{i_2}^{J_2} w_{i_3}^{J_3} w_{i_4}^{J_4} \times C_{i_1i_2i_3i_4}^{J_1J_2J_3J_4L} C_{m_1m_2m_3m_4}^{J_1J_2J_3J_4L}. \quad (38)$$

As we said, the projection of the intertwiner $C_{l_1l_2l_3l_4}^{J_1J_2J_3J_4I} w_{l_1}^{J_1} w_{l_2}^{J_2} w_{l_3}^{J_3} w_{l_4}^{J_4}$ vanishes unless all the J 's and the I (or the L) are simple. When this happens, the result is given by [29]

$$C_{\alpha_1\alpha_2\alpha_3\alpha_4}^{J_1J_2J_3J_4I} w_{\alpha_1}^{J_1} w_{\alpha_2}^{J_2} w_{\alpha_3}^{J_3} w_{\alpha_4}^{J_4} = \frac{1}{\sqrt{\Delta_{J_1}\Delta_{J_2}\Delta_{J_3}\Delta_{J_4}}} \quad (39)$$

where $\Delta_j = \dim_j$, so the amplitude for a single tetrahedron on the boundary is

$$A_e = \sum_{\text{simple } I,L} \frac{1}{\Delta_{J_1}\Delta_{J_2}\Delta_{J_3}\Delta_{J_4}} C_{k_1k_2k_3k_4}^{J_1J_2J_3J_4I} C_{m_1m_2m_3m_4}^{J_1J_2J_3J_4L} = \frac{1}{\Delta_{J_1}\Delta_{J_2}\Delta_{J_3}\Delta_{J_4}} B_{k_1k_2k_3k_4}^{J_1J_2J_3J_4} B_{m_1m_2m_3m_4}^{J_1J_2J_3J_4}, \quad (40)$$

where the B 's are the Barrett-Crane intertwiners, defined in [19], and shown to be unique up to scaling in [33], and from now on the sums are over simple representations only.

Note that the simplicity of the representations labeling the tetrahedra (the third of the Barrett-Crane constraints) comes automatically, without the need to impose it explicitly.

We note also that because of the projection above and the consequent restriction to the simple representations of the group, the result we end with is independent of having started from the $Spin(4)$ or the $SO(4)$ BF partition function, as we anticipated.

We see that each tetrahedron on the boundary of the 4-simplex contributes with two Barrett-Crane intertwiners, one with indices referring to the center of the 4-simplex and the other indices referring to the center of the tetrahedron itself (see Fig. 5).

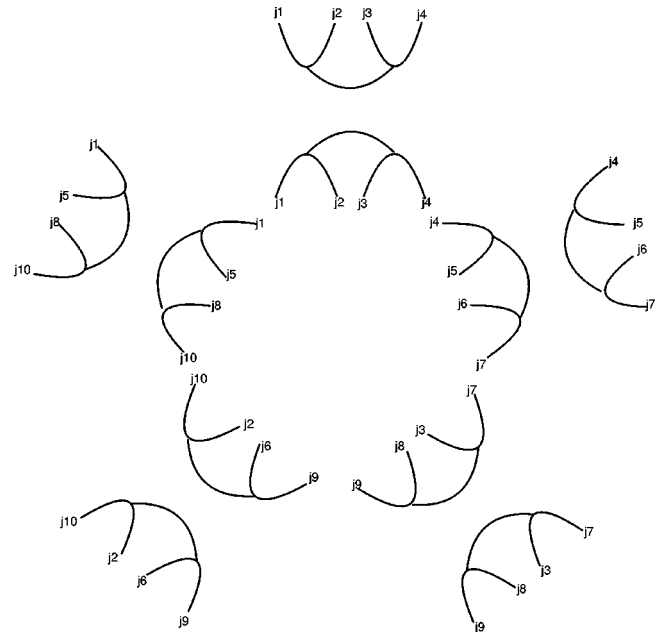


FIG. 5. Diagram of a 4-simplex, indicating the two Barrett-Crane intertwiners assigned to each tetrahedron.

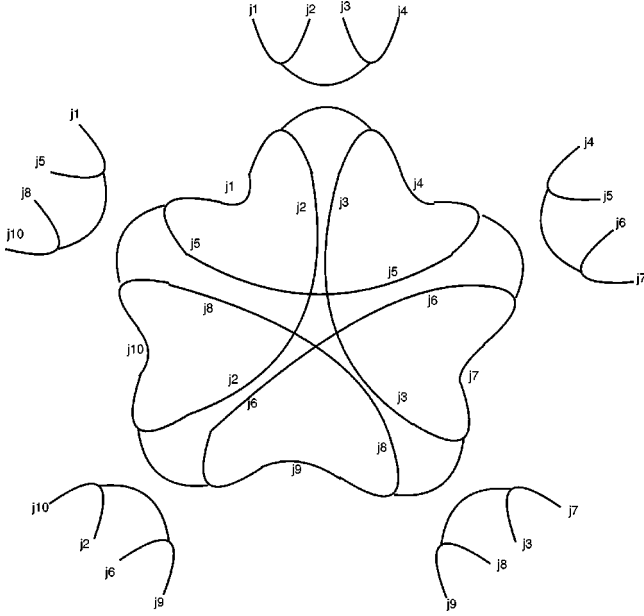


FIG. 6. Schematic representation of the Barrett-Crane amplitude for a 4-simplex.

The partition function for this theory (taking into account all the different tetrahedra) is then given by

$$\begin{aligned}
 Z_{BC} = & \sum_{\{J\}, \{k\}, \{n\}, \{l\}, \{i\}, \{m\}} \Delta_{J_1} \cdots \Delta_{J_{10}} \frac{1}{(\Delta_{J_1} \cdots \Delta_{J_{10}})^2} \\
 & \times B_{k_1 k_2 k_3 k_4}^{J_1 J_2 J_3 J_4} B_{l_4 l_5 l_6 l_7}^{J_4 J_5 J_6 J_7} B_{n_7 n_8 n_9}^{J_7 J_3 J_8 J_9} B_{h_9 h_6 h_2 h_{10}}^{J_9 J_6 J_2 J_{10}} \\
 & \times B_{i_{10} i_8 i_5 i_1}^{J_{10} J_8 J_5 J_1} B_{m_1 m_2 m_3 m_4}^{J_1 J_2 J_3 J_4} B_{m_4 m_5 m_6 m_7}^{J_4 J_5 J_6 J_7} B_{m_7 m_3 m_8 m_9}^{J_7 J_3 J_8 J_9} \\
 & \times B_{m_9 m_6 m_2 m_{10}}^{J_9 J_6 J_2 J_{10}} B_{m_{10} m_8 m_5 m_1}^{J_{10} J_8 J_5 J_1} \left(\prod_e D \right). \quad (41)
 \end{aligned}$$

Now the product of the five Barrett-Crane intertwiners with indices m gives just the Barrett-Crane amplitude for the 4-simplex which the indices refer to, given by a $15j$ symbol constructed out of the 10 labels of the triangles and the 5 labels of the tetrahedra (see Fig. 6), so that we can write down explicitly the state sum for a manifold consisting of a single 4-simplex as

$$\begin{aligned}
 Z_{BC} = & \sum_{\{j_f\}, \{k_{e'}\}} \prod_f \Delta_{j_f} \prod_{e'} \frac{B_{k_{e'}^1 k_{e'}^2 k_{e'}^3 k_{e'}^4}^{j_{e'}^1 j_{e'}^2 j_{e'}^3 j_{e'}^4}}{\Delta_{j_{e'}^1} \Delta_{j_{e'}^2} \Delta_{j_{e'}^3} \Delta_{j_{e'}^4}} \\
 & \times \prod_v \mathcal{B}_{BC} \left(\prod_e D \right) \quad (42)
 \end{aligned}$$

where it is understood that there is only one vertex, \mathcal{B}_{BC} is the Barrett-Crane amplitude for a 4-simplex, and the notation $e'i$ means that we are referring to the i th face (in some given ordering) of the tetrahedron e' , which is on the boundary of the 4-simplex, or equivalently to the i th 2-simplex of the four which are incident to the dual edge (1-simplex) e' of the spin

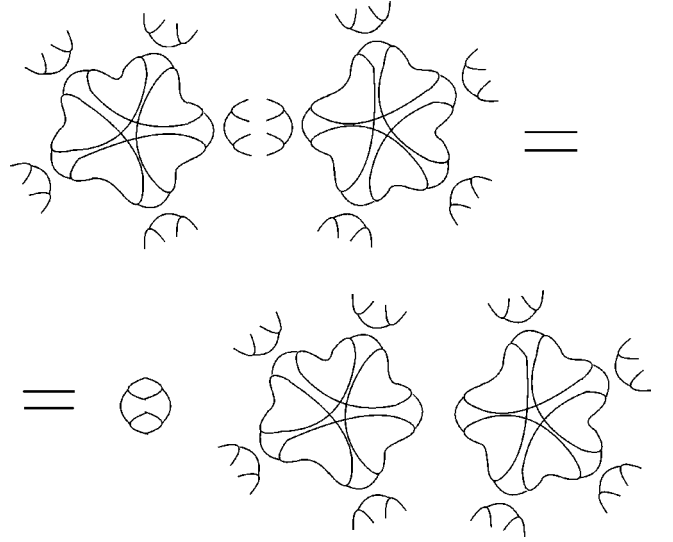


FIG. 7. The gluing of two 4-simplices along a common tetrahedron.

foam (dual 2-complex), which is open, i.e. not ending on any other 4-simplex. Also the D functions for the exposed edges are constrained to be in the simple representation.

VII. GLUING 4-SIMPLICES AND THE STATE SUM FOR A GENERAL MANIFOLD WITH BOUNDARY

Now consider the problem of gluing two 4-simplices together along a common tetrahedron, say, 1234.

The most natural way to do it, having already the state sum for a single 4-simplex, so for the simplest manifold with boundary, is to consider the two 4-simplices separately, thus considering the common tetrahedron in the interior twice, and glue them together along it. So we are considering the state sum for a single 4-simplex as the basic and unique building block for constructing more complex state sums for more complex manifolds.

The gluing is done by multiplying the two single partition functions, and imposing that the values of the spins and of the projections (the $k_{e'i}$'s) of the common tetrahedron are of course the same in the two partition functions. This comes from the integration over the group elements assigned to the exposed edges that are being glued and become part of the interior, and thus have to be integrated out.

Everything in the state sum is unaffected by the gluing, except for the common tetrahedron, which now is in the interior of the manifold. In this naive sense we could say that this way of gluing is local, because it depends only on the parameters of the common tetrahedron, i.e. it should be determined only by the two boundary terms which are associated with it when it is considered as part of the two different 4-simplices that are being glued.

What exactly happens for the amplitude of this interior tetrahedron is

$$\begin{aligned}
 & \sum_{\{m\}} \frac{B_{m_1 m_2 m_3 m_4}^{J_1 J_2 J_3 J_4}}{\Delta_{J_1} \Delta_{J_2} \Delta_{J_3} \Delta_{J_4}} \frac{B_{m_1 m_2 m_3 m_4}^{J_1 J_2 J_3 J_4}}{\Delta_{J_1} \Delta_{J_2} \Delta_{J_3} \Delta_{J_4}} \\
 &= \sum_{\{m\}, I, L} \frac{C_{m_1 m_2 m_3 m_4}^{J_1 J_2 J_3 J_4 I} C_{m_1 m_2 m_3 m_4}^{J_1 J_2 J_3 J_4 L}}{(\Delta_{J_1} \Delta_{J_2} \Delta_{J_3} \Delta_{J_4})^2} \\
 &= \sum_{I, L} \frac{\sqrt{\Delta_I \Delta_L} C_{m_1 m_2 m}^{J_1 J_2 I} C_{m_3 m_4 m}^{J_1 J_2 I} C_{m_1 m_2 n}^{J_3 J_4 L} C_{m_3 m_4 n}^{J_3 J_4 L}}{(\Delta_{J_1} \Delta_{J_2} \Delta_{J_3} \Delta_{J_4})^2} \\
 &= \sum_{I, L} \frac{\Delta_I \delta_{IL} \delta_{mn}}{(\Delta_{J_1} \Delta_{J_2} \Delta_{J_3} \Delta_{J_4})^2} = \sum_I \frac{\Delta_I}{(\Delta_{J_1} \Delta_{J_2} \Delta_{J_3} \Delta_{J_4})^2} \quad (43)
 \end{aligned}$$

where we have used the orthogonality between the intertwiners, and I labels the interior edge (tetrahedron).

We see that the result of the gluing is the insertion of an amplitude for the tetrahedra (dual edges) in the interior of the triangulated manifold, and of course the disappearing of the boundary terms B since the tetrahedron is not anymore part of the boundary of the new manifold (see Fig. 7).

We can now write down explicitly the state sum for a manifold with boundary which is then constructed out of an arbitrary number of 4-simplices, and has some tetrahedra on the boundary and some in the interior:

$$\begin{aligned}
 Z_{BC} &= \sum_{\{j_f\}, \{k_{e'}\}, \{J_e\}} \prod_f \Delta_{j_f} \prod_{e'} \frac{B_{k_{e'}^1 k_{e'}^2 k_{e'}^3 k_{e'}^4}^{j_{e'}^1 j_{e'}^2 j_{e'}^3 j_{e'}^4}}{\Delta_{j_{e'}^1} \Delta_{j_{e'}^2} \Delta_{j_{e'}^3} \Delta_{j_{e'}^4}} \\
 &\times \prod_e \frac{\Delta_{j_e}}{(\Delta_{j_{e1}} \Delta_{j_{e2}} \Delta_{j_{e3}} \Delta_{j_{e4}})^2} \prod_v \mathcal{B}_{BC} \left(\prod_{\tilde{e}} D \right) \quad (44)
 \end{aligned}$$

where the $\{e'\}$ and the $\{e\}$ are the sets of boundary and interior edges of the spin foam, respectively, while the \tilde{e} are the remaining exposed edges.

It is important to note that the number of parameters which determine the gluing and that in the end characterize the tetrahedron in the interior of the manifold is 5 (4 labels for the faces and one for the tetrahedron itself), which is precisely the number of parameters necessary in order to determine a first quantized geometry of a tetrahedron [34].

Moreover, the partition function with which we ended, apart from the boundary terms, is the one obtained in [32], studying a generalized matrix model, and shown to be finite at all orders in the sum over the representations [32,52].

We see that our procedure of inserting the Barrett-Crane constraints at the level of the representations starting from a discretized BF theory has led us exactly to the Barrett-Crane model for Euclidean quantum gravity, with a precise prescription for the amplitudes of the faces and, more important, the edges of the spin foam, coming directly and naturally from the gluing of the different 4-simplices, and also with sensible boundary terms.

Several comments are opportune at this point.

(i) The gluing procedure used above is consistent with the formalism developed for general spin foams [1,2], saying

that when we glue two manifolds \mathcal{M} and \mathcal{M}' along a common boundary, the partition functions associated to them and to the composed manifold satisfy $Z(\mathcal{M})Z(\mathcal{M}') = Z(\mathcal{M}\mathcal{M}')$, as is easy to verify; see [1,2] for more details.

(ii) In the original Barrett-Crane paper [19] two different ways of considering the tetrahedra in the interior of the manifold were mentioned: one is to consider them separately as part of two different 4-simplices, and then label them with two Barrett-Crane intertwiners; the other one is to consider them each as an autonomous element of the triangulation, and so label them with only one simple representation of $SO(4)$. Consequently there should have been two different models. Our result suggest that the two models are in fact the same one, because if we consider an interior tetrahedron as belonging to two different 4-simplices, then it is separately on the boundary of the two and should be assigned a boundary term as in Eq. (44) for each of the 4-simplices. The gluing then will give us a term for an interior edge, as in Eq. (44). If on the other hand we consider the whole manifold and a tetrahedron in it as an independent element, we should assign to it the term for an interior edge as given again in Eq. (44); consequently the state sum will be the same in both cases at the end.

(iii) If we had imposed on our representation functions invariance under the left action of $SO(3)$ (instead of the right one we used in the above) and then to the edge amplitude the invariance under the left action of $SO(4)$ (again, instead of the right one used before), we would have ended up with exactly the same result, i.e. the same spin foam model with the same amplitude for the simplices of different dimensionality. There are two other possible cases. We could have imposed the invariance under the right action of $SO(3)$ and the left action of $SO(4)$, thus having

$$\begin{aligned}
 A_e &= \int_{SO(4)} dg_e \int_{SO(3)} dh_1 \int_{SO(3)} dh_2 \int_{SO(3)} dh_3 \int_{SO(3)} dh_4 \\
 &\times \int_{SO(4)} dg'_e D_{k_{e1} m_{e1}}^{j_{e1}^e} (g'_e g_e h_1) D_{k_{e2} m_{e2}}^{j_{e2}^e} (g'_e g_e h_2) \\
 &\times D_{k_{e3} m_{e3}}^{j_{e3}^e} (g'_e g_e h_3) D_{k_{e4} m_{e4}}^{j_{e4}^e} (g'_e g_e h_4), \quad (45)
 \end{aligned}$$

but in this case we would have ended up with a trivial state sum model with amplitude 1 for each 4-simplex, because the multiplication of all the terms for the tetrahedra leads to a product of the norms of the unit vectors w , which are by definition 1, as could be verified performing the integrals and carrying out the same steps as above. Otherwise we could have imposed the invariance under the left action of $SO(3)$ and then, on the whole amplitude, the right invariance under $SO(4)$:

$$\begin{aligned}
 A_e &= \int_{SO(4)} dg_e \int_{SO(3)} dh_1 \int_{SO(3)} dh_2 \int_{SO(3)} dh_3 \int_{SO(3)} dh_4 \\
 &\times \int_{SO(4)} dg'_e D_{k_{e1} m_{e1}}^{j_{e1}^e} (h_1 g_e g'_e) D_{k_{e2} m_{e2}}^{j_{e2}^e} (h_2 g_e g'_e) \\
 &\times D_{k_{e3} m_{e3}}^{j_{e3}^e} (h_3 g_e g'_e) D_{k_{e4} m_{e4}}^{j_{e4}^e} (h_4 g_e g'_e) \quad (46)
 \end{aligned}$$

obtaining, after the integrations,

$$A_e = \sum_{\Lambda} \frac{\Delta_{\Lambda}}{\sqrt{\Delta_{j_1} \Delta_{j_2} \Delta_{j_3} \Delta_{j_4}}} w_{k_1}^{j_1} w_{k_2}^{j_2} w_{k_3}^{j_3} w_{k_4}^{j_4} C_{m_1 m_2 m_3 m_4}^{j_1 j_2 j_3 j_4} \quad (47)$$

and, for a 4-simplex,

$$Z = \sum_{\{j\}\{j_{e'}\}\{k\}} \prod_f \Delta_{j_f} \prod_{e'} \frac{\Delta_{j_{e'}}}{\sqrt{\Delta_{j_{e'1}} \Delta_{j_{e'2}} \Delta_{j_{e'3}} \Delta_{j_{e'4}}}} \times w_{k_{e'1}}^{j_{e'1}} w_{k_{e'2}}^{j_{e'2}} w_{k_{e'3}}^{j_{e'3}} w_{k_{e'4}}^{j_{e'4}} \{15j\}_v \quad (48)$$

where the $15j$ symbol is an ordinary $15j$ symbol constructed from a product of five C functions. The whole partition function for this model (gluing different 4-simplices as above) is then

$$Z = \sum_{\{j\}\{j_{e'}\}\{k\}} \prod_f \Delta_{j_f} \prod_{e'} \frac{\Delta_{j_{e'}}}{\sqrt{\Delta_{j_{e'1}} \Delta_{j_{e'2}} \Delta_{j_{e'3}} \Delta_{j_{e'4}}}} \times w_{k_{e'1}}^{j_{e'1}} w_{k_{e'2}}^{j_{e'2}} w_{k_{e'3}}^{j_{e'3}} w_{k_{e'4}}^{j_{e'4}} \times \prod_e \frac{\Delta_{j_e}^2}{\Delta_{j_{e1}} \Delta_{j_{e2}} \Delta_{j_{e3}} \Delta_{j_{e4}}} \prod_v \{15j\}_v. \quad (49)$$

This looks like case A in [31], but with different amplitudes for the 2 and 3 dimensional simplices, and of course with additional boundary terms.

Note, however, that in this case the amplitudes for the interior tetrahedra are not really coming from the gluing, which gives just a multiplication of pre-existent factors, without any new contribution, so we could say that this alternative model somehow makes the gluing more trivial, because of more trivial boundary terms. In fact we could absorb all the boundary terms for a 4-simplex except the vectors w in the vertex amplitude, as a rescaling, and then, after the gluing, the final state sum would not have any amplitude ($A_e = 1$) for the interior tetrahedra.

In addition, our result suggests that the correct and complete way of deriving a state sum that implements the Barrett-Crane constraints from a generalized matrix model is like in [32], i.e. imposing the constraints on the representations only in the interaction term of the field over a group manifold, because this derivation leads to the correct edge amplitudes coming from the gluing, and these amplitudes are not present in [31].

(iv) Regarding the regularization issue, it seems that even starting from a discretized action in which the sum over the representations is not convergent, we end up with a state sum which is finite, at all orders, according to the results of [32,52]. Anyway another way to regularize completely the state sum model, making it finite at all orders, is to use a quantum group at a root of unity so that the sum over the representations is automatically finite due to the finiteness of the number of representations of any such quantum group; in this case we have only to replace the elements of the state

sum coming from the recoupling theory of $SO(4)$ (intertwiners and $15j$ symbols) with the corresponding objects for the quantum deformation of it.

(v) The semiclassical limit of this state sum can be studied with the same methods as [24], leading to the similar results.

(vi) The structure of the state sum and the form of the boundary terms is a very close analogue of that discovered in [35,36] for $SU(2)$ topological field theories, like the Crane-Yetter model in 4 dimensions, in any number of dimensions, the difference being the group used, of course, and the absence of any constraints on the representations so that the topological invariance is maintained.

(vii) In these works, and also in ours, the boundary conditions are chosen so that the connection is fixed on the boundary; if another boundary condition is chosen, for example if we fix the B field to be constant on the boundary, or we choose a mixed situation where we fix part of the connection and part of the B field on the boundary, then we have to add another term in the action, and consequently the state sum will be different; an analysis of these problems was carried out in [28] for the 3-dimensional case (Turaev-Viro model).

VIII. GENERALIZATION TO THE CASE OF AN ARBITRARY NUMBER OF DIMENSIONS

It is quite straightforward to generalize our procedure and results to an arbitrary number of dimensions of spacetime. Much of what we need in order to do it is already at our disposal. It was shown in [38] that it is possible to consider gravity as a constrained BF theory in any dimension (incidentally, the same was proposed for supergravity [39–41]), and also the concept of simple spin networks was generalized to any dimensions in [42], with the representations of $SO(D)$ [$Spin(D)$] required to be invariant under a general transformation of $SO(D-1)$, so that they are realized as harmonic functions over the homogeneous space $SO(D)/SO(D-1) \simeq S^{D-1}$, and so that the spin network itself can be thought as a kind of Feynmann diagram for spacetime.

Also the construction of a complete hierarchy of discrete topological field theories in every dimension of spacetime performed in [36], with a structure similar to that one we propose for the Barrett-Crane model, represents an additional motivation for doing this.

What is missing for applying our procedure in this general case is just a discretization of a BF theory with general gauge group $SO(D)$, where we cannot make use of any decomposition of the algebra in terms of the $SU(2)$ one.

Anyway, we can guess that the structure of the discretized partition function found in Sec. V for the group $SO(4)$ in 4 dimensions of spacetime, using the splitting of this group into a product of two $SU(2)$ groups, is indeed the general form of a discretized partition function for BF theory for any compact group in any dimension [interestingly, it is analogous to that found in [27] for the case of $SU(2)$ in 4 dimensions, and in [37] for $SU(2)$ in 3 dimensions].

So we are saying that it is reasonable to think that the discretized partition function for BF theory in an arbitrary

D -dimensional (Euclidean) (triangulated) spacetime for any compact group G and in particular for $\text{SO}(D)$ is

$$Z_{BF} = \left(\prod_e \int_G dg_e \right) \prod_f \sum_{J_f} \Delta_{J_f} \chi_{J_f} \left(\prod_{e' \in \partial f} g_{e'} \right) \quad (50)$$

where e' is a dual link on the boundary of the dual plaquette f (face of the spin foam) associated with a triangle t in the triangulation, e indicates the set of dual links, and the character is in the representation J_f of the group G .

A way to justify this heuristically (for a more rigorous discretization leading to the same result, see [26]) is the following.

We start from a discretized action like the one we used before (of course the same remarks concerning the approximation used apply also here),

$$S_{BF} = \sum_t B(t) F(t), \quad (51)$$

having again approximated the B field with a distributional field with values only on the $(D-2)$ -simplices t of the original triangulation, and with $e^{iF(t)} = \prod_{e' \in \partial f} g_{e'}$. With this action the partition function for the theory becomes

$$\begin{aligned} Z_{BF} &= \int_G \mathcal{D}A \int_G \mathcal{D}B(t) \exp \left(i \sum_t B(t) F(t) \right) \\ &= \int_G \mathcal{D}A \int_G \mathcal{D}B(t) \prod_t e^{iB(t)F(t)} \\ &= \int_G \mathcal{D}A \prod_t \delta(e^{iF(t)}) \\ &= \prod_e \int_G dg_e \prod_f \delta \left(\prod_{e' \in \partial f} g_{e'} \right) \end{aligned} \quad (52)$$

with the notation as above, and having replaced the product over the $(D-2)$ -simplices with a product over the faces of

the dual triangulation (plaquette), which is possible because they are in 1-1 correspondence.

Now we can use the decomposition of the delta function of a group element into a sum of characters, obtaining

$$Z_{BF} = \left(\prod_e \int_G dg_e \right) \prod_f \sum_{J_f} \Delta_{J_f} \chi_{J_f} \left(\prod_{e' \in \partial f} g_{e'} \right), \quad (53)$$

i.e. the partition function we were trying to derive.

From now on we can proceed as for $\text{SO}(4)$ in 4 dimensions. Consider $G = \text{SO}(D)$ and the J 's as the highest weight labeling the representations of that group.

We can decompose the characters into a product of D functions, and rearrange the sums and products in the partition function to obtain

$$Z_{BF} = \sum_{\{J_f\}, \{k\}, \{m\}} \prod_f \Delta_f \prod_e A_e \left(\prod_e D \right) \quad (54)$$

where

$$A_e = \int_{\text{SO}(D)} dg_e D_{k_{e1} m_{e1}}^{J_{e1}}(g_e) \cdots D_{k_{eD} m_{eD}}^{J_{eD}}(g_e) \quad (55)$$

where ei labels the i th of the D faces incident on the edge e .

Now we can apply our procedure and insert here the Barrett-Crane constraints:

$$\begin{aligned} A_e &= \int_{\text{SO}(D)} dg_e \int_{\text{SO}(D-1)} dh_1 \cdots \int_{\text{SO}(D-1)} dh_D \\ &\times \int_{\text{SO}(D)} dg'_e D_{k_{e1} m_{e1}}^{J_{e1}}(g_e h_1 g'_e) \cdots D_{k_{eD} m_{eD}}^{J_{eD}}(g_e h_D g'_e). \end{aligned} \quad (56)$$

Performing the integrals and carrying on the same steps as in Secs. VI and VIII, leads to the analogue of the formula (44) in higher dimensions (or alternatively to the analogue of case A in [31]):

$$\begin{aligned} Z_{BC}^D &= \sum_{\{j_f\}, \{J_e\}, \{J_{e'}\}, \{k_{e'}\}, \{K_{e'}\}} \prod_f \Delta_{J_f} \prod_{e'} \sqrt{\Delta_{J_{e'1}} \cdots \Delta_{J_{e'(D-3)}}} \\ &\times \frac{C_{k_{e'1} j_{e'2} K_{e'1}}^{j_{e'1} j_{e'2} J_{e'1}} C_{k_{e'3} j_{e'2} K_{e'1}}^{j_{e'3} j_{e'2} J_{e'1}} \cdots C_{k_{e'(D-2)} j_{e'(D-4)} K_{e'(D-3)}}^{j_{e'(D-2)} j_{e'(D-4)} J_{e'(D-3)}} C_{k_{e'(D-1)} j_{e'D} K_{e'(D-3)}}^{j_{e'(D-1)} j_{e'D} J_{e'(D-3)}}}{\Delta_{j_{e'1}} \cdots \Delta_{j_{e'D}}} \prod_e \frac{\Delta_{J_{e1}} \cdots \Delta_{J_{e(D-3)}}}{(\Delta_{j_{e1}} \cdots \Delta_{j_{eD}})^2} \prod_v \mathcal{B}_{BC}^D \left(\prod_e D \right). \end{aligned} \quad (57)$$

There are D faces [corresponding to $(D-2)$ -dimensional simplices] incident on each edge [corresponding to $(D-1)$ -dimensional simplices] e [($D-1$)-simplex in the interior] or e' [($D-1$)-simplex on the boundary]. There are $D+1$ edges for each vertex (corresponding to a D -dimensional simplex), and consequently $D(D+1)/2$ faces

for each D -simplex. Each edge is labeled by a set of $(D-3)$ J 's. Here \mathcal{B}_{BC}^D is the higher dimensional analogue of the Barrett-Crane amplitude, i.e. [the $\text{SO}(D)$ analogue of] the $\frac{3}{2}(D+1)(D-2)J$ symbol constructed out of the $D(D+1)/2$ labels of the faces and the $(D-3)(D+1)$ labels of the edges.

Again, this result is a very close analogue of the state sum for a topological field theory in general dimension, obtained in [36].

Of course, everywhere we are summing over only simple representations of $SO(D)$, i.e. representations of $SO(D)$ that are of class 1 with respect to the subgroup $SO(D-1)$ [29].

IX. CONCLUSIONS

We conclude with a summary of our procedure and results, and with some comments on possible directions of future work.

In this paper we have proposed a way to derive the Barrett-Crane spin foam model for Euclidean quantum gravity in 4 dimensions, starting from a discretization of a $SO(4)$ BF theory [$SO(4)$ is the local symmetry group in the Euclidean case]. The trick is to discretize the (unconstrained) classical BF theory, and then impose the constraints that lead to the gravity theory at the quantum level, which means at the level of the representations of the gauge group by which we label the elements of the spin foam. In this way, we argue, it is possible to circumvent the difficulties in discretizing and then quantizing directly the Plebanski action for gravity, which is the classical counterpart of the Barrett-Crane model. The result we end with is exactly the Barrett-Crane spin foam model with a precise prescription of the form the Barrett-Crane state sum should have, in the general case of an arbitrary manifold with boundary. In particular we derived the amplitude for the edges of the spin foam, from a clear and natural procedure of gluing different 4-simplices together along a common tetrahedron. The fact that our result coincides with that derived in [32] from a generalized matrix model, and shown to be necessary to make the sum over colorings finite, seems to reinforce our proposal. Moreover, our results and the state sum we obtain can be easily generalized to higher dimensions.

We can now say something about possible ways to improve and develop our results and to apply them to some physical problem.

Of course a very important thing to do would be to discretize the Plebanski action, so to start with a constrained BF theory at the classical level, and quantize it in the same way we did, to see if we really end up with the same result, as we expect.

Another thing that should be studied more and that should be quite straightforward is a derivation, along the same lines, of a Barrett-Crane state sum for a different choice of boundary conditions, for example with the B field kept fixed on the boundary instead of the connection. To obtain this we have only to discretize the additional boundary action in the BF theory and apply again our procedure.

Having at hand both kinds of state sum, a careful analysis of the more appropriate boundary conditions in the case of a spacetime representing a black hole should be carried out, and then in principle it should be possible (apart from the technical problems of the calculations) to use the derived state sum model for a calculation of black hole entropy, along the lines of [43] for the 3-dimensional case.

Of course, before trying to apply the state sum model to any physical problem, another thing is necessary, which is the implementation in a precise way of a sum over triangulations or over spin foams (2-complexes), which is necessary to restore an infinite number of degrees of freedom to the theory. Only after this is done can we start to consider this model as a concrete proposal for a quantum theory of gravity, thus regarding it as an approach to a complete quantization of Einstein theory, leading to the possibility of studying physical aspects of gravitational field in a sensible way. A very promising approach to this problem is represented by the generalized matrix models proposed in [31,32] and generalized to any kind of spin foam (also not related to gravity) in [44,45], but more study is necessary to settle it down definitely.

Still to understand and develop is a Lorentzian (causal) version of these models; some work in this direction was carried out in [46], and recently important results were found in [47,53]. Our procedure should be in principle (apart from all the technical difficulties) applicable also to the case in which the local symmetry group is the Lorentz group, and this possibility will be investigated in the future. A very different but related solution to the problem of implementing causality in these models was proposed and developed in [48–50].

Also still to understand is the question of the semiclassical limit of the model. In fact, apart from the connection with discrete gravity obtained in [24], a great deal of work is still necessary to understand how a classical background metric can emerge from a theory of this kind, and if it is possible, as has to be possible, to develop a picture of perturbations of this background from this model, proving that it contains gravitons as is necessary for any satisfactory theory of quantum gravity.

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