Search for nucleon decay with final states $l^+ \eta^0$, $\bar{\nu} \eta^0$, and $\bar{\nu} \pi^{+,0}$ using Soudan 2

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We have searched for nucleon decay into five two-body final states using a 4.4 kiloton-year fiducial exposure of the Soudan 2 iron tracking calorimeter. For proton decay into the fully visible final states $\mu^+ \eta^0$ and $e^+ \eta^0$, we observe zero and one event, respectively, that satisfy our search criteria for nucleon decay. The lifetime lower limits (τ/B) thus implied are 89×10^{30} years and 81×10^{30} years at 90% confidence level. For neutron decay into $\bar{\nu}\eta^0$, we obtain the lifetime lower limit 71×10^{30} years. Limits are also reported for neutron decay into $\bar{\nu}\pi^0$, and for proton decay into $\bar{\nu}\pi^+$.

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I. INTRODUCTION

A. SUSY predictions for nucleon decay

The decay of the nucleon is a possible experimental window into fundamental processes at high mass scales. Calculations carried out in supersymmetric (SUSY) grand unified theories (GUTs), such as SUSY SU(5) and SUSY SO(10), have become increasingly more detailed, and predicted lifetimes are within a range which may be accessible to experiment. In contrast with their non-SUSY precursors, SUSY GUT models generally favor decay modes with final state K⁰ or K⁺ mesons. Some of these models also predict that other decay modes may exhibit a significant branching fraction. The latter modes include the lepton plus eta meson and the $\bar{\nu}\pi$ modes. In SUSY SU(5) models, lifetimes predicted for $l^+\eta$ and $\bar{\nu}\eta$ are longer than those for $\bar{\nu}K$ or $\bar{\nu}\pi$ modes by factors which vary from 2-3 to one or two orders of magnitude [1-3]. Eta mode lifetimes which are hundreds of times longer than $\overline{\nu}$ K or l^+ K lifetimes have also been predicted in SUSY SO(10) [4,5]. However, it has been proposed [6,7]that in SUSY GUTs such as SO(10), there exists a new set of color triplets and thereby a new source of d=5 operators which may allow $p \rightarrow l^+ \eta$ to become prominent (along with $\bar{\nu}K^+$ and $\bar{\nu}\pi^+$). In SUSY GUTs the $\bar{\nu}\pi$ modes are usually predicted to have decay lifetimes which are longer than $\overline{\nu}K$ modes. In specific models, however, it is possible for interference between third and second generation amplitudes to alter this situation so that $\overline{\nu}\pi$ modes become dominant [1,3].

B. Previous searches for $l^+\eta$, $\bar{\nu}\eta^0$, and $\bar{\nu}\pi$ modes

Two-body $l^{+,0}\eta$ and $\bar{\nu}\pi$ decays of nucleons were regarded as interesting in the context of non-SUSY GUT models, and experimental searches were reported at various times during the past two decades. Multiple ring topologies were investigated for $l^+\eta$, $\bar{\nu}\eta$, and $\bar{\nu}\pi^0$ final states by the IMB and Kamiokande water Cherenkov experiments [8-10]. An event of note was observed in Kamiokande-1 (see Ref. [10], footnote 5). It is a candidate for $p \rightarrow \mu^+ \eta(\gamma \gamma)$ for which the expected background is ≤ 0.02 events. However, the event net momentum is (396 ± 71) MeV/c which is improbably large for a proton in a light nucleus. In the HPW water Cherenkov experiment, events exhibiting a two muon decay signature were examined for compatibility with $p \rightarrow \mu^+ \eta$, with η decaying to $\pi^+\pi^-\pi^0$ or $\pi^+\pi^-\gamma$ [11]. The $l^+\eta$, $\bar{\nu}\eta$, and $\bar{\nu}\pi$ modes were also investigated using the planar iron tracking calorimeter of Fréjus [12,13]. More recently, substantial improvement of lifetime limits for the two-body eta modes has been reported, based upon the 7.6 kton-yr exposure of the IMB-3 water Cherenkov detector [14]. Generally, these experiments observed zero or modest numbers of candidate events. Understandably, the more loosely constrained $n \rightarrow \overline{\nu} \eta$ and $n \rightarrow \overline{\nu} \pi^0$ modes were found to exhibit more background and consequently to yield less stringent lifetime lower limits.

C. Search using Soudan 2

Previously, we searched for nucleon decay final states having strangeness S = +1 using the Soudan 2 iron tracking calorimeter and reported the results as lifetime lower limits [15,16]. We are motivated by developments in SUSY GUT models to extend our investigations to encompass most

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TABLE I. Survival fractions through event selections applied in succession, for the MC generated nucleon decay final states of this study. The column labeled BR refers to the η or π decay. The effect of intranuclear rescattering (INR) can be seen by comparing the two rightmost columns.

Decay mode	Hard- ware trigger	Contain- ment filter	Event quality	Topology selection scans	Kinem. cuts	BR	$\epsilon \times BR$ with INR	$\epsilon \times BR$ without INR
$\overline{ \mathrm{p} \! ightarrow \! \mu^+ \eta, \hspace{0.2cm} \eta \! ightarrow \! \gamma \gamma }$	0.56	0.56	0.79	0.75	0.94	0.39	0.07 ± 0.01	0.12
$\mathrm{p}{ ightarrow}\mu^+\eta,\ \eta{ ightarrow}\pi^0\pi^0\pi^0$	0.57	0.60	0.71	0.81	0.94	0.32	0.06 ± 0.01	0.11
$p \rightarrow e^+ \eta, \ \eta \rightarrow \gamma \gamma$	0.56	0.62	0.72	0.87	0.94	0.39	0.08 ± 0.01	0.14
$\mathrm{p}{ ightarrow}\mathrm{e}^{+}\eta,\ \eta{ ightarrow}\pi^{0}\pi^{0}\pi^{0}$	0.56	0.62	0.71	0.88	0.93	0.32	0.07 ± 0.01	0.12
$n \rightarrow \overline{\nu} \eta, \eta \rightarrow \gamma \gamma$	0.54	0.69	0.70	0.73	0.89	0.39	0.07 ± 0.01	0.12
$\mathrm{n}{ ightarrow}ar{ u}\eta,\ \eta{ ightarrow}\pi^0\pi^0\pi^0$	0.54	0.67	0.63	0.80	0.93	0.32	0.05 ± 0.01	0.09
$n \rightarrow \overline{\nu} \pi^0, \ \pi^0 \rightarrow \gamma \gamma$	0.45	0.73	0.77	0.57	0.71	1.0	0.11 ± 0.01	0.21
$p \rightarrow \overline{\nu} \pi^+, \ \pi \rightarrow \mu \rightarrow e$	0.37	0.67	0.88	0.25	0.85	1.0	0.05 ± 0.01	0.10

nucleon decay channels predicted to be prominent. We have searched for the strangeness zero final states $l^+ \eta^0$, $\bar{\nu} \eta^0$, $\bar{\nu} \pi^0$, and $\bar{\nu} \pi^+$, the results of which are reported in this work.

The Soudan 2 detector uses a honeycomb lattice geometry to achieve fine-grained tracking and imaging of individual tracks and showers. The level of imaging detail offers event identification capability not available in planar iron calorimeters or in water Cherenkov experiments. The vertex location of each event is established to within a few centimeters. A prompt e[±] shower can then be discriminated from photoninitiated showers according to proximity of initial shower hits to the vertex (useful, for example, in $p \rightarrow e^+ \eta$ and $n \rightarrow \overline{\nu} \pi^0$ searches). Ionizing particles having non-relativistic as well as relativistic momenta are recorded with dE/dxsampling. In particular, recoil proton tracks are detected and are readily distinguished by ionization from charged pion and muon tracks. Since prompt protons are commonplace in neutrino reactions but are highly improbable as products of nucleon decay, a useful neutrino background rejection is thereby afforded. Most importantly, Soudan 2 has the capability to provide compelling images of individual occurrences of the nucleon decay modes targetted by this search, if their partial lifetimes are in the range 10^{31} to 10^{32} years.

For reliable determination of nucleon stability, it is important that measurements be carried out with more than one technique. The methods and sources of systematic error in a tracking calorimeter experiment such as Soudan 2 are completely different from those of water Cherenkov experiments, and so the lifetime lower limits obtained in this work should be regarded as complementary to previous measurements by Kamiokande and by IMB-3. The observations reported here will hopefully facilitate future applications of tracking calorimeters to nucleon decay searches. The tracking calorimeter approach has been chosen by the long baseline neutrino oscillation experiments MINOS and ICANOE, currently under design and construction. We note that a nucleon decay search is one of the physics goals of the ICANOE experiment [17].

Nucleon decay involving final state η and π mesons is expected to be affected by intranuclear rescattering of these mesons within the parent nuclei. Sizable inelastic rescattering arises due to excitation of low-lying Δ and N* baryonic resonances. This situation is rather different from that which arises with nucleon decay into SUSY-favored strangeness modes involving K^0 or K^+ mesons, for which the absence of low-lying KN(S = +1) states implies relatively small inelastic rescattering. For the Soudan 2 search reported here, we estimate that η and π intranuclear rescattering within iron nuclei reduces detection efficiencies for individual channels by as much as 50% compared to efficiencies of lepton $+ K^0$ modes of similar topology. A comparable reduction in detection efficiency for eta and pion modes relative to kaon modes will occur with any iron medium detector; efficiencies for the water Cherenkov experiments are similarly affected albeit to lesser degree since intranuclear rescattering is less probable in oxygen (A = 16) than in iron (A = 56). Thus, intranuclear rescattering poses a complication for modes of this search which sets them apart; an explicit accounting of this effect is included (see Sec. III A and Table I).

II. DETECTOR AND EVENT SAMPLES

A. Detector and test beam calibration

Soudan 2 is a massive 963 (809 fiducial) metric-ton iron tracking calorimeter. It operates as a slow-drift, fine-grained, time projection chamber of honeycomb-lattice geometry and with dE/dx imaging of tracks and showers. As such, it differs considerably from water Cherenkov detectors and from planar iron tracking calorimeters.

The construction and performance of the Soudan calorimeter are described in previous publications [18,19]. In brief, charged particles are imaged via one meter long, slightly conductive, plastic drift tubes. Electrons liberated by throughgoing charged particles drift to the tube ends under the action of a voltage gradient applied along the tubes. The tubes are sandwiched between mylar sheets so as to comprise a "bandolier" assembly. Corrugated steel sheets with interleaved bandolier are then stacked to form a massive lattice. A stack is packaged with wireplanes and cathode pickup strips at the drift tube ends and surrounded by thin steel skins which provide the gas enclosure. The resulting assemblies are $1 \times 1 \times 2.5$ m, 4.3 ton calorimeter modules. The tracking calorimeter is constructed building-block fashion, with contiguous "walls" which are two modules high and eight modules wide. The calorimeter is surrounded on all sides by a double-layer, cavern-liner proportional tube active shield of 1700 m² total tracking area [20]. Shield augmentations in the form of additional single layers and a double-layer top cover of proportional tubes have been operational since 1995.

The detector is located at a depth of 713 meters (2070 mwe) in the Tower-Soudan Underground Mine State Park in northern Minnesota. Data-taking is still underway, having commenced in April 1989 when the total mass was 275 tons, and continued as more modules were installed. Operation with the calorimeter at full mass was first achieved in November 1993. The analysis reported in this work is based on a total (fiducial) exposure of 5.52 (4.41) kiloton-years, obtained with data taken through October 1998.

At Rutherford Laboratory's ISIS spallation neutron facility, a Soudan 2 calorimeter module was exposed to beams of positive and negative pions, electrons, and muons at momenta between 140 and 400 MeV/c. Runs were taken with the beam incident on the module over a range of angles; additional runs were taken using 700 and 850 MeV/c protons. Using these data the detector's dE/dx response was measured [19]. The energy resolution $\Delta E/E$ for electromagnetic showers, obtained by counting tube crossings, is found to be represented by $7.0 \times E^{-1/2} + 13.5\%$, where E is in GeV and the second term reflects the saturation of the number of hits. The shower energy resolution exhibits small variations as a function of the orientation of the shower with respect to the module drift stack. A sample of π^0 's produced by charge exchange reactions in a 236 MeV/c π^- beam was isolated. The π^0 mass is reconstructed to be 136±3 MeV/ c^2 with an rms width of 40 MeV/ c^2 . Distributions and further details are reported in Ref. [21]; more extensive discussion of module test beam calibration can be found in Ref. [22].

B. Data and Monte Carlo event samples

Four distinct event samples have been assembled for this analysis; two of the samples are generated as Monte Carlo (MC) simulations with full detector response, and two are comprised of data events recorded in the experiment. These samples, details of which have been presented elsewhere [15,16], are:

(i) *Nucleon decay MC sample*: For each of the nucleon decay processes investigated, we generate a set of simulated events. Our Monte Carlo program uses the codes EGS and GEISHA to propagate all produced particles and daughter particles through a precise representation of the detector geometry. Electronic hits are generated, and detector noise is included, in the same format as with real data.

(ii) Atmospheric neutrino MC sample: Events are generated representing charged current and neutral current reactions which are initiated by atmospheric neutrinos. Details of the neutrino event generation and a comparison with all available low energy ν N data are given in Ref. [23]. The neutrino MC program is based upon the flux calculation of the Bartol group for the Soudan site [24]. The neutrino MC sample analyzed here corresponds to an exposure of 24.0 fiducial kton-years.

(iii) *Rock events*: We analyze events for which the veto shield recorded coincident, double-layer hits. Such events originate with inelastic cosmic-ray muon interactions in the cavern rock surrounding the detector. These shield-tagged "rock" events provide a reference sample by which to gauge cosmic-ray induced background events which are included among the shield-quiet data events. The latter events arise either from shield inefficiency or from instances where an energetic neutral particle emerged from the cavern walls with no accompanying charged particles.

(iv) *Data events*: Events for which the cavern-liner active shield array was quiet during the allowed time window comprise our "shield-quiet data events." These events are mostly reactions initiated by atmospheric neutrinos but may contain nucleon decays, as well as the muon induced rock events with no shield hits (described above). The events of interest for our study are those with a "multiprong" topology, having two or more particles (other than recoil nucleons) emerging from the primary vertex. They are distinct from the more populous single-track and single-shower events which originate predominantly from neutrino quasielastic reactions.

Concerning the verity of simulations (i) and (ii), the Monte Carlo program has been tested using particle test beam data [22] and using cosmic ray muons [19]. MC distributions for neutrino event E_{vis} , invariant mass, event net momentum, and inclusive particle momentum spectra are found to exhibit the general features of distributions from bubble chamber neutrino data and from our atmospheric neutrino event sample [25].

Events of all four samples are required to be fully contained in a fiducial volume which is everywhere 20 cm or more from the outer surfaces of the calorimeter. In order for an event to be included in any of the above four samples it must survive the selections of a standard processing chain. At the head of the chain are the requirements imposed by the hardware trigger; events satisfying these requirements are subjected to a containment filter code. Events which survive are subjected to two successive scanning passes carried out by physicists; each pass involves three complete, independent scans. In the first scan pass, events with multiprong topologies used in this study are found with an overall efficiency of $0.98^{+0.02}_{-0.04}$. Descriptions of our hardware and software selections, and of our scanning procedures are given elsewhere [15,16,26]. Our procedures ensure that MC simulation events pass through identical or otherwise equivalent (e.g. the hardware trigger is implemented via software for MC events) steps in the chain [16].

Events which emerge from the second scan pass with a multiprong topology assignment are then reconstructed using an interactive graphics package. The set of reconstructed tracks and showers which comprises each event is subsequently entered into an event summary file from which kinematic quantities are calculated.

Decay mode	Final state	$\epsilon \times B.R.$	В		Data	N ₉₀	$\tau/B \times 10^{30}$ yr		
			ν	Rock	Total				
$p \rightarrow \mu^+ \eta$	γγ	0.07	0.9(1.1)	0.1	1.0	0	2.3	89	
$\mathrm{p}\! ightarrow\!\mu^+\eta$	$\pi^0\pi^0\pi^0$	0.06	0.5(0.6)	< 0.06	0.6	0			
$p \rightarrow e^+ \eta$	$\gamma\gamma$	0.08	0.7	0.1	0.9	1	2.9	81	
$p \rightarrow e^+ \eta$	$\pi^0\pi^0\pi^0$	0.07	0.6	0.2	0.8	0			
$n \rightarrow \overline{\nu} \eta$	$\gamma\gamma$	0.07	1.5	0.2	1.7	0	2.9	71	
$n \rightarrow \overline{\nu} \eta$	$\pi^0\pi^0\pi^0$	0.05	1.5	0.6	2.0	2			
$n \rightarrow \overline{\nu} \pi^0$	$\gamma\gamma$	0.11	2.9	0.9	3.8	4	4.8	39	
$p \rightarrow \overline{\nu} \pi^+$	π^+	0.05	5.0(8.8)	1.7	6.7	6	4.0	16	

TABLE II. Background-subtracted lifetime lower limits at 90% confidence level from Soudan 2. Atmospheric ν_{μ} depletion due to neutrino oscillations has discernible effect for neutrino background to p $\rightarrow \bar{\nu}\pi^+$, and also to $p \rightarrow \mu^+ \eta$; background rates for null oscillations are shown in parentheses.

C. Background from rock events and from neutrino interactions

Among the fully contained, multiprong events of our shield-quiet data sample, a small contribution may arise from cosmic ray induced rock events. These are events initiated by neutrons emerging from the cavern rock which impinge upon the central detector and which are unaccompanied by coincident hits in the surrounding shield array. In contrast to neutrino interactions or to nucleon decay, these shield-quiet rock events tend to occur at relatively shallow penetration depths into the calorimeter. Their depth distribution, and their distribution in visible energy and in other variables, can be inferred from rock events tagged by coincident shield hits. To estimate the amount of zero-shield-hit rock background in our multiprong event sample, distributions of shield-quiet data have been fitted to neutrino MC and shield-tagged rock samples using a multivariate discriminant analysis [16,27]. We find that the fraction f of all multiprong rock events which have at least one shield hit is $f = 0.94 \pm 0.04$.

For each individual nucleon decay channel, the same event selections applied to data multiprongs are also applied to the shield-tagged rock multiprongs. The zero-shield-hit rock background is then estimated as the product (1-f)/f = 0.064 times the number of shield-tagged rock events which satisfy the channel selections.

To calculate rates for background events in our nucleon decay search which arise from interactions of atmospheric neutrinos in the detector, we use our realistic neutrino MC simulation which is based upon atmospheric fluxes with null oscillations. The neutrino Monte Carlo program has been described in previous publications [16,26].

During the past decade, evidence for depletion of the atmospheric muon-neutrino flux as described by $\nu_{\mu} \rightarrow \nu_{x}$ oscillations has become increasingly extensive; especially compelling are observations by Super-Kamiokande of zenith angle distortions in fluxes of both sub-GeV and multi-GeV muon neutrinos [28]. The disappearance of ν_{μ} flavor neutrinos by oscillations effectively reduces background in our search arising from $(\nu_{\mu} + \bar{\nu}_{\mu})$ charged-current reactions, and so a correction for this effect to our null oscillation estimates is warranted. To implement a correction we assume, as indicated by recent data, that ν_x is an active neutrino which is not ν_e (i.e. $\nu_x = \nu_{\tau}$) [29]. Then, atmospheric neutrino oscillations do not affect background arising from $(\nu_e + \bar{\nu}_e)$ charged-current reactions, nor do they affect background from neutral current reactions (initiated by any flavor). Consequently, to correct for ν_{μ} flavor disappearance, we simply scale the number of $(\nu_{\mu} + \bar{\nu}_{\mu})$ charged-current background events estimated from the null oscillation MC for each nucleon decay channel by the ν_{μ}/ν_e flavor ratio *R* measured in the Soudan 2 experiment: $R = 0.64 \pm 0.13$ [30]. As noted below in Secs. IV and V, and in Table II, this correction yields small reductions in null oscillation background rates for $p \rightarrow \mu^+ \eta$ and $p \rightarrow \bar{\nu} \pi^+$ final states. For $p \rightarrow e^+ \eta$ and $n \rightarrow \bar{\nu} \pi^0$ channels however, the neutrino oscillation correction has negligible effect.

III. NUCLEON DECAY SIMULATION AND SEARCH METHOD

A. Event generation

For each nucleon decay final state, a Monte Carlo sample is created and processed as described in Sec. II B. This sample is then used to determine the topological and kinematic properties that differentiate it from the atmospheric neutrino and the rock event backgrounds.

For each final state, about 500 events are generated and embedded into pulser trigger events from the detector which are taken at regular intervals throughout the exposure. In this way, the detector's evolving size and the background from natural radioactivity and cosmic rays are accurately incorporated into the simulation.

For two-body decay of a nucleon at rest, the final state momenta are uniquely determined. However, Fermi motion within parent iron and other nuclei of the calorimeter medium smears these momenta and thereby complicates final state identification. In our simulations, Fermi motion effects are modeled according to the parametrization of Ref. [31].

A Monte Carlo event for $p \rightarrow \mu \eta$, $\eta \rightarrow \gamma \gamma$, which illustrates the search topology for this mode, is shown in Fig. 1. Here, the μ^+ appears as a single non-scattering track. The



FIG. 1. Monte Carlo event with full detector response for proton decay $p \rightarrow \mu^+ \eta^0, \eta^0 \rightarrow \gamma \gamma$. Here, the anode (*X*) versus drift time (*Z*) projection has been selected from the three scanning views with anode-time, cathode-time, and anode-cathode projections. The open square denoted "MARK 1" indicates the reconstructed primary vertex.

two gammas from η decay give rise to two showers which are spatially well separated and which point to the event vertex which is also the origin of the muon. The μ^+ endpoint decay—discernible in approximately 60% of events appears in Fig. 1 as extra ionization "hits" (tube crossings) in the vicinity of the track's range-out point [32].

In each nucleon decay mode, the meson can undergo intranuclear rescattering within the parent nucleus. For nuclei which have interior as well as surface nucleons $(A \ge 12)$, there is significant probability for event final states to be altered. For final state pions (in neutrino MC interactions as well as in nucleon decay), intranuclear rescattering is treated using a phenomenological cascade model [33]. Energy dependence of the pion-nucleus total cross section and of the component cross sections (elastic, inelastic, absorption, and charge exchange) is based upon the data of Ref. [34]. The total reaction rate of the model was set by requiring that threshold pion production observed in ν_{μ} -deuteron (A=2) and ν_{μ} -neon (A = 20) reactions be reproduced [35]. To account for the intranuclear rescattering of η mesons within iron nuclei we have adopted the survival fraction of 0.57 estimated by the Fréjus Collaboration [12] using a detailed balance calculation. This value for the η^0 survival is similar to the survival fraction of 0.52 which we calculate for the π^0 of $n \rightarrow \overline{\nu} \pi^0$ in iron nuclei. We note that a recent analysis of η meson photoproduction in nuclei finds the in-medium ηN crosssection to be nearly independent of η momentum between 0 and 500 MeV/c [36].

B. Search contour in the M_{inv} versus $|\vec{p}_{net}|$ plane

Two quantities that are useful for selection of nucleon decay candidates and the rejection of backgrounds are the



FIG. 2. For $p \rightarrow \mu^+ \eta$, $\eta \rightarrow \gamma \gamma$, event samples and the kinematic selection contour in the M_{inv} versus $|\vec{p}_{net}|$ plane. The distributions show single track plus two shower events (solid circles) for (a) the proton decay simulation, (b) the atmospheric neutrino Monte Carlo, (c) the rock events, and (d) the shield-quiet data events.

invariant mass, M_{inv} , and the magnitude of the net threemomentum, $|\vec{p}_{net}|$, of the reconstructed final state.

We create a scatter plot of invariant mass versus net event momentum for the reconstructed final states for each simulation. Then we choose a region in this plane whose boundary defines a kinematical selection which can be applied to the data and to the background samples. We observe that, for most nucleon decay final states, event distributions in each of these variables are approximately Gaussian. Consequently, the density distribution of points on the invariant mass versus momentum plane can be well represented by a bi-variate Gaussian probability distribution function. A detailed description of this construction is given elsewhere [16,37].

Projections of bi-variate Gaussian surfaces onto the M_{inv} versus $|\vec{p}_{net}|$ plane for representative modes of this search are shown in Figs. 2–4. In these figures, the distribution of a nucleon decay event population is depicted using five nested, elliptical boundaries. Proceeding outward from the innermost contour, the bounded regions contain respectively 10%, 30%, 50%, 70%, and 90% of the MC nucleon decay sample. From the five regions delineated we choose the 90%-ofsample contour—the outermost, solid-curve ellipse in Figs. 2–4—to define our "primary" kinematic selection. That is, a candidate nucleon decay event has reconstructed $(M_{inv}, |\vec{p}_{net}|)$ values which lie within the outer contour. The



FIG. 3. For $p \rightarrow \mu^+ \eta$, $\eta \rightarrow \pi^0 \pi^0 \pi^0$, event samples and kinematic selection contour in the M_{inv} versus $|\vec{p}_{net}|$ plane. The distributions show one track plus 3–6 shower events (solid circles) for (a) the proton decay simulation, (b) the atmospheric neutrino MC, (c) the rock events, and (d) the data events.

interior contours (dashed ellipses in Figs. 2–4) are helpful to gauge whether an event sample as a whole exhibits the kinematics of nucleon decay, and for this reason we display them. For our search involving the $\eta \rightarrow \gamma \gamma$ decay, containment within the 90% contour is our sole kinematic constraint; no subsidiary condition, such as a cut on the $\gamma \gamma$ invariant mass, has been used.

All nucleon decay simulation events are subjected to the triggering requirements, the detector containment requirements, and to the scanning and topology cuts. Subsequently, kinematic cuts and additional topology cuts, designed to reduce the background on a mode-by-mode basis, are applied. The cumulative effect of these selections is to reduce the overall detection efficiency significantly. Typically, the product of the triggering, containment, and scanning selections reduces the survival fraction to below 30% for any mode. Table I shows the successive survival fractions (including η decay branching ratios) for all modes studied. Note that the effects of intranuclear rescattering processes (INR) are included in the survival fractions listed in Table I. For comparison, the rightmost column of Table I shows our estimates of $\epsilon \times BR$ in the absence of INR within the iron and lighter nuclei (atomic masses 12 to 56) of the calorimeter medium.

Sections IV and V below describe the analysis for each nucleon decay mode that we studied. In each case, the particular characteristics of the decay are reviewed and the ki-



FIG. 4. For $n \rightarrow \bar{\nu} \eta$, $\eta \rightarrow \gamma \gamma$, event samples and kinematic selection contour in the $\gamma \gamma M_{inv}$ versus $|\vec{p}_{net}|$ plane. Distributions show (a) the neutron decay simulation, (b) two-shower events of the atmospheric neutrino MC, (c) two-shower events of the shield-tagged "rock" sample, and (d) two-shower data events.

nematic cuts designed to eliminate background are presented. The signal and background events which pass the cuts are then tallied. Then, using the mode detection efficiency and detector total exposure, a lifetime limit τ/B at 90% confidence level is calculated.

IV. SEARCH FOR NUCLEON DECAY INTO $l\eta$ AND $\bar{\nu}\eta$

A. Search for $p \rightarrow l^+ \eta$

We have searched for proton decay into $\mu^+ \eta$ and $e^+ \eta$ and for neutron decay into $\bar{\nu}\eta$. The decay sequences involving the two largest branching modes of the η , namely $\eta \rightarrow \gamma\gamma$ and $\eta \rightarrow \pi^0 \pi^0 \pi^0$, have been investigated. The results from both of these η decay modes are included in the calculation of the limits for all three nucleon decay modes. We also explored the possibility of inclusion of $\eta \rightarrow \pi^+ \pi^- \pi^0$ and $\eta \rightarrow \pi^+ \pi^- \gamma$ into our search, but we found the resulting events to be difficult to identify with topology criteria. Since the potential gain is modest, we have not included these processes.

To calculate partial lifetime lower limits, τ/B , we use a formalism common to previous analyses by us [15,16] and by the Fréjus tracking calorimeter experiment [12]. The mode $p \rightarrow \mu^+ \eta$, the first to be discussed below and which involves two daughter processes for the η , provides an ex-

$$(\tau/B)_{\mathbf{p}\to\mu^{+}\eta^{0}} > N_{p} \times T_{f} \times \frac{[\epsilon_{1} \times B_{1}(\eta) + \epsilon_{2} \times B_{2}(\eta)]}{\mu_{1} + \mu_{2}}.$$
(1)

Here $N_{p(n)} = 2.87(3.15) \times 10^{32}$ protons (neutrons) in a kiloton of the Soudan 2 detector, $T_f = 5.52$ kiloton years is the full detector exposure, and $\epsilon_i \times B_i(\eta)$ are the selection efficiencies given in Table I. The μ_i are the constrained 90% CL upper limits on the numbers of observed events, and are found by solving the equation

$$0.10 = \frac{\sum_{n_1=0}^{n_{ev;1}} \sum_{n_2=0}^{n_{ev;2}} P(n_1, b_1 + \mu_1) P(n_2, b_2 + \mu_2)}{\sum_{n_1=0}^{n_{ev;1}} \sum_{n_2=0}^{n_{ev;2}} P(n_1, b_1) P(n_2, b_2)}$$
(2)

subject to the constraint

$$\frac{\epsilon_1 \times B_1(\eta)}{\mu_1} = \frac{\epsilon_2 \times B_2(\eta)}{\mu_2} = \frac{\sum_{i=1}^2 \epsilon_i \times B_i(\eta)}{\sum_{i=1}^2 \mu_i}.$$
 (3)

In Eq. (2), $P(n,\mu)$ is the Poisson function, $e^{-\mu}\mu^n/n!$, and the b_i are the estimated backgrounds.

$p \rightarrow \mu^+ \eta$

We have searched for proton decay into $\mu^+ \eta$, where the η decays into two photons or into three π^0 mesons. With final states involving either of these η decays, the μ^+ is easily distinguished from the η decay products in the Soudan 2 tracking calorimeter (see Fig. 1). For the $p \rightarrow \mu^+ \eta$ mode, the μ^+ momentum is 296 MeV/*c* in the proton rest frame. In the laboratory frame, μ^+ momenta are smeared about the two-body nominal value as a result of the Fermi motion of bound protons.

For $p \rightarrow \mu^+ \eta$, $\eta \rightarrow \gamma \gamma$, the kinematic region in the invariant mass versus net momentum plane which contains 90% of the reconstructed MC proton decay events, is delineated by the outer contour displayed in Fig. 2. Since the entire final state is visible, the invariant mass will approximate the nucleon mass and the net event momentum will be distributed in accordance with the convolution of Fermi motion with detector resolution. Figure 2 shows the distribution of events within this plane, for the proton decay simulation sample, for the atmospheric neutrino MC sample, for the shield-tagged rock events, and for the shield-quiet data sample. For Fig. 2, and similarly for Figs. 3 and 4, the rock contamination in Fig. 2d can be estimated to be 6.4% of the event rate depicted in Fig. 2c; it is less than one event. Consequently, Fig. 2 provides a direct comparison of our atmospheric neutrino Monte Carlo simulation (Fig. 2b) with our data (Fig. 2d) for a region in the event invariant mass vs net momentum plane which is approximately five times larger than the nucleon decay search region. Taking into account that the neutrino Monte Carlo distribution is shown for a fiducial exposure which is 5.45 times the data exposure, Figs. 2b,d show the neutrino MC to agree in rate and in kinematic distribution for events having the single track plus two showers topology.

Based upon the rates of events which occur within the search contour shown in Figs. 2b, 2c, and 2d, we estimate the total background which arises from neutrino interactions and from the cosmic ray induced rock events is 0.9+0.1=1.0 events. The neutrino background originates from assorted multiple pion production channels which, after correction for ν_{μ} oscillations, is comprised of equal portions of ν_{μ} and ν_{e} inelastic charged-current events.

No events are observed to pass the primary kinematic contour. Due to the small background expectation, no additional kinematic constraints (e.g. on the momentum of the η or the μ^+) were applied. With an overall detection efficiency of 6.9% we establish a limit of $\tau/B>48\times10^{30}$ years at 90% C.L. for this decay sequence.

In the other decay sequence of $p \rightarrow \mu^+ \eta$, namely $\eta \rightarrow \pi^0 \pi^0 \pi^0$, we search for a decay signature of one track and three to six decay showers from the three π^0 s. The vertex is defined as the end of the track from which the showers emerge. In this case, the rate of the rock background is small and the background expectation of 0.5 events arises almost entirely from atmospheric neutrino interactions. Figure 3 shows the kinematic search regions in the M_{inv} versus $|\vec{p}_{nel}|$ plane, together with the event distributions from the four samples. The detection efficiency for this decay sequence is 5.9%. No data events are observed to pass the kinematic cuts. The limit for this decay sequence is calculated to be $\tau/B > 41 \times 10^{30}$ yrs at 90% C.L. Combining the two daughter processes we obtain an overall limit for $p \rightarrow \mu^+ \eta$ of $\tau/B > 89 \times 10^{30}$ yrs at 90% C.L.

$p \rightarrow e^+ \eta$

For proton decay into $e^+ \eta$ with $\eta \rightarrow \gamma \gamma$, we searched for an event topology of three distinct showers emanating from a common vertex. We found it was not advantageous to distinguish the primary positron shower from the decay photons of the η ; rather, an overall search in the M_{inv} versus $|\vec{p}_{net}|$ plane was conducted. Proceeding in the same way as with our $\mu^+ \eta$ search, a kinematic selection region was used to evaluate three-shower events in each of the proton decay MC, atmospheric neutrino MC, rock, and shield-quiet data samples. The total background estimate is 0.9 events, of which 0.7 events are calculated to be initiated by atmospheric neutrinos. The background events are mostly due to ν_{e} and $\overline{\nu}_{e}$ inelastic charged current interactions. The number of nucleon decay candidates observed is one event. From this we deduce a partial lifetime limit of $\tau/B > 38 \times 10^{30}$ yrs at 90% C.L.

For the $\eta \rightarrow \pi^0 \pi^0 \pi^0$ mode, the signal topology is taken to be four to seven showers, all emerging from a common vertex. The detection efficiency is calculated to be 6.5%. As with $\eta \rightarrow \gamma \gamma$, no attempt is made to identify which of the several showers in the event was from the prompt positron. Most of the background expectation of 0.6 events can be attributed to ν_e charged current multiple π^0 production. No data events were observed to pass the kinematic cuts for this mode, and we obtain a limit of $\tau/B > 48 \times 10^{30}$ yrs at 90% C.L. Combining the two η decay modes gives an overall limit for $p \rightarrow e^+ \eta$ of $\tau/B > 81 \times 10^{30}$ yrs at 90% C.L.

B. Search for $n \rightarrow \overline{\nu} \eta$

Neutron decay $n \rightarrow \overline{\nu} \eta$ with $\eta \rightarrow \gamma \gamma$ involves a twoshower final state originating from a "sharp" invariant mass of 547 MeV/ c^2 . We define for $n \rightarrow \overline{\nu} \eta$, $\eta \rightarrow \gamma \gamma$, a kinematically-allowed elliptical region in the $m_{\gamma\gamma}$ versus $|p_{net}|$ plane, as shown in Fig. 4. The four diplots of Fig. 4 show the effect of the kinematic contour selection on the neutron decay simulation (Fig. 4a) and on the two-shower events from the atmospheric neutrino MC, from the rock event sample, and from the shield-quiet data. The kinematic contour selection is satisfied by 90% of the MC $\bar{\nu}\eta$ events, whereas the neutrino and rock backgrounds are almost entirely eliminated. With the loss due to intranuclear rescattering, our detection efficiency for $n \rightarrow \overline{\nu} \eta$, $\eta \rightarrow \gamma \gamma$ becomes 7%. For this mode, there are no candidate events. The background from neutrino and from rock events is estimated to be 1.7 events. Nearly half of the neutrino-induced background arises from neutral-current production of η and π^0 's. A significant contribution also arises from v_e charged current single π^0 production events in which one of the showers is not discernible in scanning. For $n \rightarrow \overline{\nu} \eta_{\gamma\gamma}$ we obtain a partial lifetime lower limit of $\tau/B > 53 \times 10^{30}$ yrs at 90% C.L.

For the other η decay mode considered, $\eta \rightarrow \pi^0 \pi^0 \pi^0$, the signal topology is four to six showers emerging from a common vertex. The detection efficiency for $n \rightarrow \bar{\nu} \eta_{\pi^0 \pi^0 \pi^0}$ is 5.4% including the intranuclear correction. The total neutrino background is estimated to be 1.5 events, of which nearly half is ν_e charged current interactions with multiple pion production. The remaining background is due to inelastic neutral current interactions. Another 0.6 events are expected from rock events, bringing the total background expectation to 2.0 events. We observe two data events and this gives a partial lifetime limit of $\tau/B > 22 \times 10^{30}$ yrs at 90% C.L. Combining the two submodes together yields a limit for $n \rightarrow \bar{\nu} \eta$ of $\tau/B > 71 \times 10^{30}$ yrs at 90% C.L.

V. SEARCH FOR $n \rightarrow \overline{\nu} \pi^0$ AND $p \rightarrow \overline{\nu} \pi^+$

We have searched for the two-body nucleon decay modes which yield a final-state (anti-)neutrino together with a π meson. For the neutron decay into $\bar{\nu}\pi^0$, the observable final state consists of two photon showers having a restricted invariant mass, and discrimination from background can be carried out similarly to $n \rightarrow \bar{\nu}\eta$, $\eta \rightarrow \gamma\gamma$.

For proton decay into $\bar{\nu}\pi^+$, the observable final state is simply a single charged track which can scatter and/or range to stopping with an endpoint decay $(\pi^+ \rightarrow \mu^+ \rightarrow e^+)$. A restricted allowed range of pion momentum is implied by the two-body nature of this proton decay. However, the background from quasi-elastic ν_{μ} and $\bar{\nu}_{\mu}$ neutrinos with unobserved proton and neutron recoils is substantial, since muon tracks are indistinguishable from π^+ tracks in the Soudan calorimeter, unless of course a distinct scatter is present. Consequently, for the $p \rightarrow \bar{\nu}\pi^+$ search reported below, we require that an endpoint decay be present on candidate single track events. This requirement discriminates against the background from quasi-elastic $\nu_{\mu}n \rightarrow \mu^-p$ reactions (though $\bar{\nu}_{\mu}p \rightarrow \mu^+n$ background still remains).

$$n \rightarrow \overline{\nu} \pi^0$$

Neutron decay into $\bar{\nu}\pi^0$ yields a two-shower final state. The $\gamma\gamma$ invariant mass distribution obtained by reconstructing event shower pairs from the nucleon decay MC simulation peaks close to the π^0 mass, however it is rather broad (a mean of 142 MeV/ c^2 with a sigma of 45 MeV/ c^2 from a Gaussian fit). For $\bar{\nu}\pi^0$, a bi-variate Gaussian does not provide a good characterization of the event distribution in the M_{inv} versus $|\vec{p}_{net}|$ plane. For the purpose of accommodating the data, a two-dimensional Gaussian was multiplied by a sigmoid function (a smoothed step function). In fitting the data, parameters governing the orientation of the sigmoid and the slope of its step were allowed to vary, in addition to the parameters of the Gaussian. As an additional constraint, we require that the two showers have an opening angle smaller than 90°.

In our $n \rightarrow \overline{\nu} \pi^0$ simulation, 86% of events lie within the kinematic selection contour and also satisfy the $\gamma\gamma$ opening angle requirement. The overall detection efficiency for this mode is 11%. For a simulation in which the intranuclear effects are not included we find a detection efficiency of 21%. The bulk of the INR losses can be attributed to intranuclear absorption and inelastic scattering processes which lower the trigger rate for the simulation from 87% to 45%. A similar INR effect is observed for $p \rightarrow \overline{\nu} \pi^+$.

For $n \rightarrow \overline{\nu} \pi^0$ we calculate that 3.8 background events are expected, with 0.9 events from rock processes and 2.9 events from neutrino background. Inspection of the neutrino background reveals that 75% of the events are neutral-current inelastic single π^0 production events in which the recoil baryon is either a neutron that escapes detection or a proton that is produced below threshold. The remaining 25% of the background is due to ν_e charged-current single charged pion production events in which the pion is misidentified as a shower and recoil baryons are undetected. In the data we observe 4 candidate events. The background-subtracted lifetime lower limit at 90% C.L. is then $\tau/B > 39 \times 10^{30}$ yrs.

$$p \rightarrow \bar{\nu} \pi^+$$

The two-body decay $p \rightarrow \overline{\nu} \pi^+$, of an unbound stationary proton would produce a π^+ with a momentum of 459 MeV/c. In the Soudan 2 calorimeter, however, protons are mostly to be found within iron nuclei. Pions which are created inside such a nucleus can undergo intranuclear rescattering before they emerge; and, even if they emerge with their identity intact, the π^+ mesons traverse a dense medium where they can undergo (further) large energy degradation



FIG. 5. For $p \rightarrow \bar{\nu} \pi^+$, the track momentum distribution from (a) the proton decay simulation, (b) single track events of the atmospheric neutrino MC, (c) single track rock events, and (d) single track data events. Events having visible endpoint decays on candidate tracks are shown with shaded histogramming.

due to scattering processes. The net result is that π^+ mesons of this decay mode exhibit only half of their initial momentum on average, as can be seen from the result of our full simulation shown in Fig. 5a. For our search we require candidate events to have one and only one track (no recoil proton or neutron) with ionization compatible with a pion or muon mass assignment. The pion momentum as reconstructed from range is required to fall within 140 to 420 MeV/c. Additionally, the track is required to have a visible endpoint decay consisting of two or more decay shower hits. These cuts reduce the final-state detection efficiency by roughly 50% but remove enough of the neutrino background rate to make a search feasible (see Fig. 5). The total detection efficiency for this mode is 4.6%.

To gauge the effects on efficiency of intranuclear rescattering within the parent nuclei of the calorimeter medium, a proton decay simulation that does not include intranuclear effects has been compared with the full simulation. We observe that the trigger efficiency increases from 47% to 72% in the absence of intranuclear effects. Additionally, the average momentum of the reconstructed pions of the simulation increases from 284 MeV/*c* to 356 MeV/*c*. Evidently, part of the discrepancy between the predicted two body decay momentum of 459 MeV/*c* and the average momentum of the reconstructed pion tracks of the simulation can be attributed to intranuclear scattering. Hadronic scattering processes in the detector medium account for the remaining difference. Finally, in the absence of intranuclear effects, the overall efficiency for $p \rightarrow \overline{\nu} \pi^+$ would be increased from 4.6% to 8.8%.

With the cuts optimized as described above, we observe 6 candidate events and we estimate the background to be 10.5 events in the absence of oscillations by atmospheric neutrinos. However, in the presence of atmospheric $\nu_{\mu} \rightarrow \nu_{\tau}$ oscillations, our background estimate must be scaled by the Soudan-2 flavor ratio (see Sec. II C) and is thereby reduced to 6.7 events. The lifetime lower limit at 90% C.L. is then $\tau/B > 16 \times 10^{30}$ yrs.

VI. UNCERTAINTIES AND LIFETIME LIMITS

The lifetime lower limits reported here are affected by statistical and systematic uncertainties which arise with detection of each nucleon decay final state and with background estimation. The various error sources, and the corresponding fractional variation $\Delta \tau_N / \tau_N$ thereby introduced, are similar to those detailed for our lepton $+K^0$ modes search in Soudan 2 (see Ref. [16], Sec. V). The one exception lies with treatment of intranuclear rescattering losses within parent nuclei for the η and π modes studied here. In contrast to produced K^0s (strangeness = +1), eta mesons and pions may have sizeable rescattering probability for which there is also significant uncertainty. Based upon uncertainties which arise in our phenomenological cascade model [33], with extrapolation to heavier nuclei of pion production observed in ν_{μ} deuteron (A=2) and ν_{μ} neon (A=20) reactions [35], we estimate an uncertainty of 30% for our rescattering treatment. This error is to be added in quadrature to the errors (see below) listed for individual channel detection efficiencies, $\epsilon \times BR$, in Table I. As can be seen from Eq. (1), the INR uncertainty enters directly into $\Delta \tau_N / \tau_N$ via the detection efficiencies ϵ_i .

For each channel there is accumulated error on the survival efficiency through selections imposed by triggering, software filtering, scanning, and kinematic cuts; this can be as large as 18%, as indicated by the next-to-rightmost column in Table I. For the $\bar{\nu}\pi$ modes, and also for $\bar{\nu}\eta$, errors enter the lifetime limit through the estimates of background from atmospheric neutrino events and from cosmic ray induced rock events. Propagation of background errors through relations (1), (2), and (3) for individual channels gives $\Delta \tau_N / \tau_N \leq 20\%$. We conclude that the uncertainty $\Delta \tau_N / \tau_N$ on the lifetime lower limits reported in this work may be as large as 40%. Of course, comparable uncertainties also apply to other published limits on the nucleon lifetime.

VII. SUMMARY AND CONCLUSIONS

A search for five distinct lepton $+ \eta(\pi)$ nucleon decay channels has been carried out using a fiducial exposure of 4.4 kiloton years recorded by the Soudan 2 fine-grained iron tracking calorimeter. The modes considered are among those proposed in supersymmetric grand unified models. The final state mesons of these modes are affected by intranuclear re-

	$- (p(10^{30} \text{ yrc}))$											
Decay mode		Condon	2	Ená	Suna [12	$\tau/D(1$	V-mi-landa [10]			IMD 2 [14]		
	Evts	Bkd	Limit	Evts	Bkd	Limit	Evts	Bkd	Limit	Evts	Bkd	Limit
$p \rightarrow \mu^+ \eta$	0	1.6	89	1	0.8	26	1	< 0.08	69	3	2.8	126
$p \rightarrow e^+ \eta$	1	1.7	81	0	0.1	44	0	< 0.04	140	0	0.2	313
$n \rightarrow \overline{\nu} \eta$	2	3.7	71	0	0.9	29	2	0.9	54	0	1.2	158
$n \rightarrow \overline{\nu} \pi^0$	4	3.8	39	1	1.2	13	1	3	100	6	6.6	112
$\mathrm{p}{ ightarrow}ar{ u}\pi^+$	6	6.7	16	11	14	10	32	32.8	25	15	20.3	10

TABLE III. Comparison of reported candidate events, estimated background, and 90% confidence level background-subtracted limits in nucleon decay experiments.

scattering within the parent nuclei. For our iron calorimeter, we estimate nuclear rescattering to reduce channel detection efficiencies by 40-50 %. For each mode, cuts have been designed which minimize cosmic-ray neutrino-, photon- and hadron-induced background while maintaining sufficient detection efficiency to allow a sensitive search. For the $l^+\eta$, $\bar{\nu}\eta$, and $\bar{\nu}\pi^0$ searches, we have examined corresponding kinematic distributions for our data (Figs. 2d, 3d, 4d) and for our Monte Carlo of the predominant background source, namely the interactions of atmospheric neutrinos (Figs. 2b, 3b, 4b). The distributions allow comparison of event populations over a region of the invariant mass versus net momentum plane which encompasses, but is distinctly larger than, the nucleon decay search region. For each channel, the neutrino MC agrees with the data in rate and in event kinematic distribution to a degree which is adequate for purposes of our searches. From the neutrino MC events which occur within our search contours, we have identified the neutrino reaction categories which are the background for each nucleon decay search.

In the lepton+pseudoscalar meson modes investigated, the occurrence of candidates is compatible with expectations for background in all cases. In particular, for the fully visible proton decay channel $p \rightarrow \mu^+ \eta$, for which single or few can-

didates have been reported by Kamiokande, Fréjus, and IMB-3, Soudan 2 finds zero events. A summary of our partial lifetime lower limits τ/B at 90% C.L. obtained for each channel is given in Table II.

A comparison of Soudan 2 findings for candidates, background estimates, and lifetime limits with results published by the Fréjus, Kamiokande, and IMB-3 experiments, is provided by Table III. Our results are seen to agree with the observations of these experiments. The Soudan 2 lifetime limits are the most stringent limits achieved using iron tracking calorimeters. For the two-body lepton-plus-eta decay modes $p \rightarrow \mu^+ \eta$, $p \rightarrow e^+ \eta$, and $n \rightarrow \bar{\nu} \eta$, we observe zero, one, and two candidates respectively. For these channels, the results suggest that the two-body eta modes are relatively background-free and may be fertile ground for searches with larger exposure.

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