Parametric amplification of metric fluctuations during reheating in two field models

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We study the parametric amplification of super-Hubble-scale scalar metric fluctuations at the end of inflation in some specific two-field models of inflation, a class of which is motivated by hybrid inflation. We demonstrate that there can indeed be a large growth of fluctuations due to parametric resonance and that this effect is not taken into account by the conventional theory of isocurvature perturbations. Scalar field interactions play a crucial role in this analysis. We discuss the conditions under which there can be nontrivial parametric resonance effects on large scales.

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I. INTRODUCTION

It was recently suggested [1] that parametric resonance during the reheating phase of an inflationary universe [2-4]may lead to an exponential amplification of super-Hubble scale gravitational fluctuations. If true, this would affect the usual predictions of inflationary models for observables such as the matter power spectrum and the spectrum of cosmic microwave anisotropies. In particular, it would require the coupling constants in the particle physics model of inflation to be exponentially smaller than previously thought in order that the theory does not generate a too large amplitude for the fluctuations.

In Ref. [5] it was shown that, although there are no causality constraints which prohibit the amplification of super-Hubble (but sub-horizon) modes during reheating, the effect does not occur in a simple massive scalar field model of chaotic inflation based on the potential $V(\phi) = m^2 \phi^2/2$ (here, ϕ is the inflaton field). This is true even beyond the linear analysis [6]. Similarly, there is no effect for a quartic potential [7] $V(\phi) = \lambda \phi^4/4$, nor for a potential containing both quadratic and quartic terms [8]. These results agree with the earlier analyses in Refs. [9] and [10]. Very recently, it has been shown [11] that for single scalar field matter even the addition of non-minimal coupling to gravity does not lead to parametric resonance of long wavelength metric fluctuations.

It was then suggested [12] that the amplification of super-Hubble-scale modes would occur for two field models of inflation, e.g. for a model with potential

$$V(\phi,\chi) = \frac{1}{2}m^2\phi^2 + \frac{1}{2}g^2\phi^2\chi^2,$$
 (1)

where as before ϕ is the inflaton field and χ is a second scalar matter field. This model had earlier been analyzed by Taruya and Nambu [13] who claimed that the isocurvature mode of the fluctuations will be parametrically amplified during reheating. However, as was shown in Refs. [14] and [15] (and more recently in [16]), the fluctuations in the χ field are exponentially suppressed during inflation for values of the coupling constant for which the equation of motion of the metric fluctuations corresponds to broad resonance, thus rendering the effect studied in Ref. [12] completely inefficient.

The suppression of fluctuations in the χ field which renders the parametric amplification of gravitational fluctuations ineffective in the model given by Eq. (1) occurs since during inflation the induced mass m_{χ} of the χ field which is given by $m_{\chi} = g |\phi|$ is larger than the Hubble expansion parameter *H*, and hence, as can be easily seen by considering the equation of motion

$$\ddot{\delta}\chi + 3H\dot{\delta}\chi + \left(\frac{k^2}{a^2} + g^2\phi^2\right)\delta\chi = 0$$
(2)

for the linearized fluctuation of the χ field with comoving wave number k [the scale factor is denoted by a(t)], $\delta\chi$ undergoes damped oscillatory motion.

A model in which $m_{\chi} < H$ during the stage of inflation when scales of cosmological interest today exit the Hubble radius was recently studied by Bassett and Viniegra [17]. It is a two field model given by the potential

$$V(\phi,\chi) = \frac{1}{4}\lambda \phi^4 + \frac{1}{2}g^2 \phi^2 \chi^2.$$
 (3)

In the absence of metric fluctuations, this model was studied in detail in Ref. [18] (see also [19]), where it was shown that for values of the coupling constants satisfying

$$g^2 \simeq 2\lambda$$
 (4)

long wavelength modes ($k \approx 0$) are in the first broad instability band of the Floquet-type equation of motion derived from Eq. (2) after field rescaling which describes the parametric resonance of matter fluctuations in an unperturbed expanding space-time. Bassett and Viniegra [17] showed that in this model the quantity ζ [20] increases exponentially during the initial stages of reheating. Note that ζ is a measure of the curvature fluctuations and is believed to be conserved on super-Hubble scales in the absence of isocurvature fluctuations (see e.g. [21] for a review of the theory of cosmological fluctuations). However, since the model given by Eq. (3) admits isocurvature fluctuations, a growth of ζ on super-Hubble modes is expected also in the "usual" analysis of the evolution of fluctuations in inflationary cosmology.

In this paper we take a closer look at the theory given by the potential (3). Subject to certain assumptions on initial conditions of the background field dynamics we recover results similar to Bassett and Viniegra: exponential growth of ζ during the initial stages of reheating. Furthermore, we demonstrate that this effect is not taken into account by the conventional theory of isocurvature perturbations. We then discuss some criteria which an inflationary Universe model must satisfy in order to have substantial parametric growth of ζ during reheating via scalar field interactions. We argue that these conditions are naturally satisfied in some models of hybrid inflation, and we study a couple of concrete examples in which super-Hubble-scale gravitational fluctuations grow exponentially during reheating (some other examples where exponential growth of super-Hubble-scale modes could occur are given in [22]).

II. MASSLESS TWO FIELD MODEL RECONSIDERED

In this section we will consider the two-field model with potential (3) in which Bassett and Viniegra [17] showed the parametric resonance of super-Hubble scale gravitational modes. This model has been studied in detail in [18] in the absence of gravitational perturbations (see also [19]).

As in our previous paper [5], we shall work in longitudinal gauge in which the metric including linearized scalar metric fluctuations takes on the form

$$ds^{2} = (1+2\Phi)dt^{2} - a^{2}(t)(1-2\Psi)dx^{i}dx_{i}$$
(5)

where the x^i are the comoving spatial coordinates and t is physical time. Since for the matter model considered the offdiagonal components of the spatial part of the energymomentum tensor vanish, the corresponding components of the Einstein equations imply [21] $\Psi = \Phi$. For the moment we will write down the equations for a general system with multiple scalar fields (i = 1, ..., n), and only at a later stage will we specialize to the specific model considered in this section.

The remaining independent equations of motion for linearized perturbations in this Einstein-Higgs system are the perturbed energy constraint and momentum constraint equations as well as the equations of motion for the Higgs field perturbations $\delta \phi_i$:

$$-3H\Phi - \left(\frac{k^2}{a^2} + 3H^2\right)\Phi$$
$$= 4\pi G \sum_{i=1}^{n} \left[\dot{\phi}_i \dot{\delta} \phi_i - \Phi \dot{\phi}_i^2 + V_{,i} \delta \phi_i\right], \qquad (6)$$

$$\dot{\Phi} + H\Phi = 4\pi G \sum_{i=1}^{n} \dot{\phi}_i \delta \phi_i , \qquad (7)$$

$$\ddot{\delta}\phi_i + 3H\dot{\delta}\phi_i + \left[\frac{k^2}{a^2}\delta\phi_i + \sum_{j=1}^n V_{,ij}\delta\phi_j\right]$$
$$= 4\dot{\Phi}\dot{\phi}_i - 2V_{,i}\Phi, \qquad (8)$$

where k denotes the comoving wave number, $V_{,i}$ indicates the derivative of V with respect to ϕ_i , and G is Newton's constant.

The Sasaki-Mukhanov [23] variables for the n matter fields are

$$Q_i = \delta \phi_i + \frac{\dot{\phi}_i}{H} \Phi \tag{9}$$

and satisfy the following system of equations:

$$\ddot{Q}_{i}+3H\dot{Q}_{i}+\frac{k^{2}}{a^{2}}Q_{i}+\sum_{j=1}^{n}\left[V_{,ij}-\frac{8\pi G}{a^{3}}\left(\frac{a^{3}}{H}\dot{\phi}_{i}\dot{\phi}_{j}\right)^{'}\right]Q_{j}=0.$$
(10)

We will now specialize to our two field model. If the homogeneous part of the second scalar field χ vanishes (which will not be true if parametric resonance is to excite gravitational fluctuations—see later), then the inflaton ϕ during the initial stages of reheating (when back-reaction effects are negligible) oscillates as follows [18]:

$$\phi(\eta) = a^{-1}\phi_0 c n \left(x - x_0, \frac{1}{\sqrt{2}}\right), \tag{11}$$

where ϕ_0 is the amplitude of ϕ at the end of the slow-rolling period, cn is the Jacobi elliptic cosine function, η is conformal time and $x = \sqrt{\lambda} \phi_0$ is a rescaled dimensionless conformal time coordinate. Following [18], it is insightful to rescale all the fields f by the scale factor and use new fields $\tilde{f} = af$. The equations of motion (10) then become

$$\widetilde{Q}_{\phi}^{\prime\prime} + \left[\kappa^{2} - \frac{a^{\prime\prime}}{a} + 3cn^{2}\left(x - x_{0}, \frac{1}{\sqrt{2}}\right)\right] \widetilde{Q}_{\phi}$$

$$= -2\frac{g^{2}}{\lambda\phi_{o}}cn\left(x - x_{0}, \frac{1}{\sqrt{2}}\right) \widetilde{\chi} \widetilde{Q}_{\chi} + M_{\phi\phi} \widetilde{Q}_{\phi} + M_{\phi\chi} \widetilde{Q}_{\chi}$$
(12)

$$\begin{split} \widetilde{Q}_{\chi}^{\prime\prime} + \left[\kappa^2 - \frac{a^{\prime\prime}}{a} + \frac{g^2}{\lambda} c n^2 \left(x - x_0, \frac{1}{\sqrt{2}} \right) \right] \widetilde{Q}_{\chi} \\ = -2 \frac{g^2}{\lambda \phi_o} c n \left(x - x_0, \frac{1}{\sqrt{2}} \right) \widetilde{\chi} \widetilde{Q}_{\phi} + M_{\phi\chi} \widetilde{Q}_{\phi} + M_{\chi\chi} \widetilde{Q}_{\chi}, \end{split}$$
(13)

where the derivative with respect to the conformal time is denoted by a prime, where, following the notation of [17] (up to a prefactor containing a), we have used the abbreviation

$$M_{\phi_1\phi_2} = \frac{8\pi G}{a^2} \left[\frac{1}{aH} \left(\tilde{\phi}_1' - \frac{a'}{a} \tilde{\phi}_1 \right) \left(\tilde{\phi}_2' - \frac{a'}{a} \tilde{\phi}_2 \right) \right]', \quad (14)$$

and where

$$\kappa^2 = \frac{k^2}{\lambda \phi_0^2}.$$
 (15)

In the model considered, the time-averaged equation of state is that of radiation and hence the scale factor is linear in η and thus a''=0.

Neglecting for a moment the terms on the right hand side of the equations, both Eqs. (12) and (13) are of the form of Lamé equations. Lamé equations in the context of reheating were first noticed in [3] and then studied in detail in [24], [19] and [18]. The coefficient in front of the cn^2 term [which in the case of Eq. (13) is g^2/λ] is crucial to the resonance structure of the equation. Since we are interested in super-Hubble modes, we need to know for which values of the coefficient the mode $\kappa=0$ lies in the first instability band of the equation. This is the case for values¹

$$1 < \frac{g^2}{\lambda} < 3. \tag{16}$$

This implies that resonance occurs only in Eq. (13) for \tilde{Q}_{χ} , and then only for values of g^{2}/λ in the above range (or in the range corresponding to other instability bands). However, if the condition (16) is satisfied, and if the χ field is indeed excited, then parametric amplification of \tilde{Q}_{χ} is expected, and via the terms on the right hand side of Eq. (12), induced exponential growth of \tilde{Q}_{ϕ} should occur.

Note, however, that it is not sufficient to show that an amplification of \tilde{Q}_{ϕ} or \tilde{Q}_{χ} occurs in order to demonstrate that parametric resonance during reheating will have a crucial effect on the amplitude of gravitational fluctuations. Note that also in the conventional treatment of fluctuations [21] the amplitude of the \tilde{Q} variable grows during the interval in which the equation of state of the background changes. As emphasized in [5], a straightforward way to check if the effect discussed here is a new effect is to consider the time evolution of the "traditional conserved quantity" ζ [20] which gives a measure of the adiabatic component of the metric fluctuations. In the multi-field case, ζ is given by [13]

$$\zeta = \frac{H}{\sum_{j} \dot{\phi}_{j}^{2}} \sum_{i} \dot{\phi}_{i} Q_{i}.$$
(17)

In the two field case, the evolution equation for ζ is [25]

$$\dot{\zeta} = -\frac{H}{\dot{H}}\frac{\nabla^2}{a^2}\Phi + \frac{H}{2}\left[\frac{Q_{\phi}}{\dot{\phi}} - \frac{Q_{\chi}}{\dot{\chi}}\right]\frac{d}{dt}\left(\frac{\dot{\phi}^2 - \dot{\chi}^2}{\dot{\phi}^2 + \dot{\chi}^2}\right).$$
 (18)

In the case of a single scalar field, the second term vanishes, but the equation cannot be applied during reheating since $\dot{H}=0$ at times when $\dot{\phi}=0$.

In the single field case, the evolution equation for ζ which applies also during reheating is (for long-wavelength perturbations for which the spatial gradient term can be neglected) [5]

$$(1+w)\dot{\zeta} = 0,$$
 (19)

where $w = p/\rho$, p and ρ being pressure and energy density, respectively. This shows that ζ is conserved unless w = -1. Unless matter is given by an oscillating scalar field (in which case w = -1 will occur at the turnaround points when $\dot{\phi}$ =0), Eq. (19) implies that the variable ζ is conserved on scales outside the Hubble radius [20]. However, reheating corresponds to an oscillating inflaton field, in which case the conclusion that ζ is constant may break down, as discussed in [5]. Nevertheless, in the specific single field models which have been analyzed [10,9,5–8] it was found that no net increase of ζ occurs during the initial stages of reheating, and a general proof of the constancy of ζ in single field models was suggested in [8] and recently in [11].

If matter is described, more realistically, in terms of multiple scalar fields (each of which is given by a conventional action), then it appears extremely unlikely that w = -1 will occur at all, since at the points in time when $\dot{\phi}=0$, the other fields will not all also be at rest, and thus the net value of wwill be greater than -1. Therefore, the only realistic possibility for growth of ζ is as a consequence of the second term on the right hand side of Eq. (18), a term which corresponds to an isocurvature perturbation. Inspection of Eq. (18), however, immediately shows that during reheating there is the chance of having a very large increase in ζ as a consequence of the zeros in $\dot{\phi}$ which arise periodically in time. This effect is missed if the scalar fields are treated in the slow-roll approximation, or if the change in the equation of state during reheating is modelled as a monotonic change from a nearly de Sitter equation of state during inflation to a radiative equation of state after reheating.

However, to see if there is indeed an exponential growth of isocurvature perturbations, it is important to take a closer look at the equations. In the specific two field model of Eq. (3), symmetric initial conditions for the homogeneous part of χ give $\chi = 0$ and $\dot{\chi} = 0$. In this case, it follows from Eq. (17) that ζ only depends on Q_{ϕ} , and, since by Eq. (12) there is no parametric amplification of super-Hubble modes of Q_{ϕ} given that the coupling to Q_{χ} vanishes, that there will therefore be no parametric amplification of ζ . The same result can also be seen from Eq. (18) since for symmetric initial conditions, the time derivative on the right hand side of the equation acts on a constant. The result is confirmed by our numerical analysis (see Fig. 1).

¹Note that this condition and the corresponding conditions in all the other examples discussed in this paper are stable against perturbative coupling constant renormalizations, the reason being that we consider $g^2 \sim \lambda$ and λ should be constrained by the cosmic microwave background (CMB) anisotropy results to be a small number $\ll 1$. Thus, the perturbative correction terms which are of order g^4 are much smaller than either g^2 or λ .

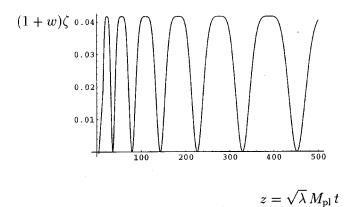
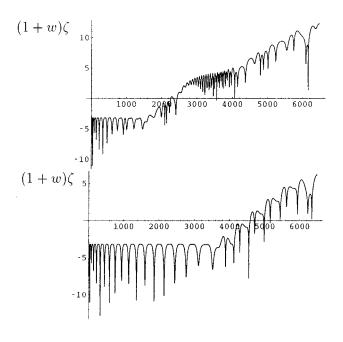


FIG. 1. Evolution of $(1+w)\zeta$ for the model of Eq. (3) as a function of the a-dimensional time $z = \sqrt{\lambda}M_{\rm pl}t$ for $\chi_0 = \dot{\chi}_0 = 0$ and $\phi_0 = 3.5M_{\rm pl}$, $\dot{\phi}_0 = -.1M_{\rm pl}$ as initial conditions for the background. The fluctuation Q_{ϕ} and Q_{χ} start in the adiabatic vacuum 40 e-foldings before inflation ends. The wave number is $k = 10^2 \sqrt{\lambda}M_{\rm pl}$, which corresponds to five times the Hubble radius at the beginning of the simulation. Note that the mode is far outside the Hubble radius at the end of inflation.

However, due to quantum fluctuations we expect that the average of χ over a volume corresponding to a particular super-Hubble (but sub-horizon) mode will not vanish.² It is reasonable [26,27] to use for the homogeneous value of χ the r.m.s. value of the renormalized quantum fluctuations. It follows from Eq. (13) that Q_{χ} will experience parametric amplification during the initial stages of reheating. It will grow as $\exp[\mu_0 \eta]$, where μ_0 is the Floquet index of k=0 (which for continuity reasons cannot be too different from the Floquet exponent for long wavelengths). Via the source terms in Eq. (12), this will induce parametric growth of Q_{ϕ} , and both will contribute to the parametric growth of ζ . In fact, since the homogeneous value of χ (defined in the above way) also grows parametrically with the exponent μ_0 , the resulting growth of ζ will have a Floquet exponent of $2\mu_o$,³ i.e. a growth similar to the one expected in perturbation theory at the second order from terms as $\delta \chi^2$. Our numerical analysis confirms the above considerations. In Fig. 2 we depict the growth of ζ during the initial stages of reheating for two different values of the homogeneous component of χ , which shows how the onset of the parametric growth of ζ is dependent on the value of χ . In Fig. 3 we show the time evolution of the Sasaki-Mukhanov variables Q_{ϕ} and Q_{χ} .

III. ISOCURVATURE PERTURBATIONS

Having determined in the previous section that in the model (3) there is indeed amplification of ζ during reheating, we must now show that this effect is indeed a consequence of parametric resonance, and not just an effect due to the



 $z = \sqrt{\lambda} M_{\rm pl} t$

FIG. 2. Evolution in logarithmic scale of $(1+w)\zeta$ for $\chi_0=2 \times 10^{-2}M_{\rm pl}$, $\dot{\chi}_0=0$ (top) and $\chi_0=2\times 10^{-8}M_{\rm pl}$, $\dot{\chi}_0\sim\lambda M_{\rm pl}\chi_0$ (bottom) as initial value for χ . The initial condition for ϕ and $\dot{\phi}$ are the same as in Fig. 1 in both of the panels. The fluctuations Q_{ϕ} and Q_{χ} start in the adiabatic vacuum 40 e-foldings before inflation ends. The wave number is $k=10^2\sqrt{\lambda}M_{\rm pl}$, which corresponds to five times the Hubble radius at the beginning of the simulation. The growth of ζ is delayed in the second case because the background field, and consequently the mixing terms in Eq. (12), are smaller than in the first case: in this way Q_{χ} takes longer to feed the growth of Q_{ϕ} and ζ . The initial conditions for the second case correspond to the values obtained through renormalization arguments.

change in the equation of state between the inflationary era and the post-inflationary era, an effect which is already taken care of in the "usual" theory of isocurvature perturbations in inflationary cosmology, which we define as the results obtained when the transition in the equation of state between the inflationary slow-rolling phase $(p \approx -\rho)$ and the postinflationary radiation-dominated phase $(p = \frac{1}{3}\rho)$ is taken to be monotonic. We will show that in the "usual" analysis there can be no exponential increase in the isocurvature perturbation, and that therefore the exponential increase we obtain here is a result of parametric resonance.

The fact that isocurvature perturbations can induce an adiabatic component on super-Hubble scales has been known for a long time [28–32,21]. Entropy perturbations act as a source for ζ even on scales larger than the Hubble radius. This is true even in the case when matter is given by a single scalar field. In this case, the evolution equation for ζ becomes [33]

$$\dot{\zeta} = 3H\left(\frac{\dot{p}}{\dot{\rho}} - \frac{\delta p}{\delta \rho}\right). \tag{20}$$

²Note that the condition of nonvanishing of the spatial average of χ is not necessary if we work to higher order in perturbation theory.

³We thank Jim Zibin for comments on this point.

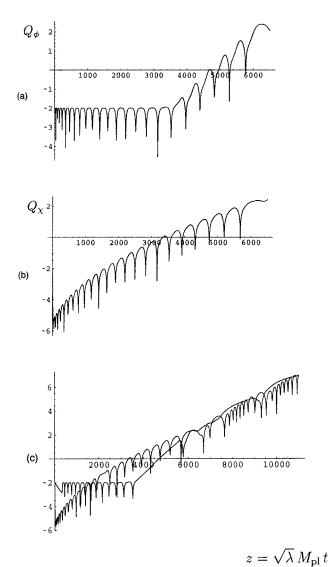


FIG. 3. Evolution in logarithmic scale of Q_{ϕ} [panel (a)] and Q_{χ} [panel (b)] for the second set of initial conditions of Fig. 2. In panel (c) we show the behavior of Q_{ϕ} and Q_{χ} for the same initial conditions, but for a longer period which correspond to 22 oscillations of the homogeneous field ϕ .

These perturbations, however, are suppressed on scales larger than the Hubble radius.

In models with two or more scalar fields, the equation for ζ is given by Eq. (18), and it is thus clear that even on super-Hubble scales one should expect $\zeta \neq 0$. In the approximation in which both fields are slowly rolling, the time evolution of ζ on scales larger than the Hubble radius was studied in detail in [25,33,34], with particular emphasis on calculating the deviations from scale-invariance of the resulting power spectrum of density fluctuations. However, since the analyses made use of the slow-rolling approximation, no effects of the dynamics of reheating were considered.

More recently, Taruya and Nambu [13] and Bassett *et al.* [12] considered the effect of reheating on the spectrum of density fluctuations and discovered a large growth of ζ due to the initial isocurvature perturbations, however in a model in which the necessary initial χ field fluctuations are exponentially suppressed during inflation. Bassett and Viniegra [17] then pointed out that the suppression would be absent in the model (3).

It has been known for a long time that isocurvature perturbations can be produced in inflationary models with more than one scalar field. This issue was initially considered in the context of axion perturbations in [35], extended to more general two field models in [36] and studied in detail in [37]. It was discovered that initial super-Hubble-scale isocurvature perturbations induce an adiabatic component by the time that the scales re-enter the Hubble radius.

The gauge invariant expression for the total isocurvature perturbation in a multi-fluid system is [32]

$$p\Gamma \equiv \sum_{i} (\delta p_{i}^{\mathrm{gi}} - c_{s}^{2} \delta \rho_{i}^{\mathrm{gi}}), \qquad (21)$$

where δp_i^{gi} and $\delta \rho_i^{\text{gi}}$ are the gauge invariant pressure and density perturbations with respect to the total matter rest frame and the total speed of sound c_s^2 is defined as the weighted sum of the i-th speed of sound [32]:

$$c_{s}^{2} \equiv \frac{\dot{p}}{\dot{\rho}} = \frac{1}{\sum_{j} \dot{\phi}_{j}^{2}} \sum_{i} c_{si}^{2} \dot{\phi}_{i}^{2}$$
(22)

with

$$c_{si}^2 = 1 + 2\frac{V_{,i}}{3H\dot{\phi}_i}.$$
 (23)

The total isocurvature perturbation can be written as the sum of the non-adiabatic pressure component of the single component and of the relative isocurvature perturbation S_{ij} as

$$p\Gamma = \sum_{i} (\delta p_{i} - c_{si}^{2} \delta \rho_{i}) + \frac{1}{\sum_{i} \dot{\phi}_{i}^{2}} \sum_{i,j} \frac{\dot{\phi}_{i}^{2} \dot{\phi}_{j}^{2}}{2} S_{ij} (c_{si}^{2} - c_{sj}^{2})$$
(24)

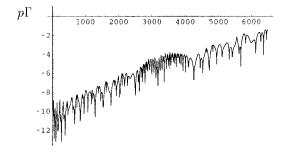
where

$$S_{ij} \equiv \frac{\delta \rho_i^{\text{gi}}}{\dot{\phi}_i^2} - \frac{\delta \rho_j^{\text{gi}}}{\dot{\phi}_i^2}.$$
 (25)

The relative isocurvature perturbation S_{ij} with respect to the total matter frame can be written for our two field model as

$$S_{\phi\chi} = \frac{\delta\rho_{\phi}}{\dot{\phi}^{2}} - \frac{\delta\rho_{\chi}}{\dot{\chi}^{2}} - \frac{av}{k}q_{\phi}\left(\frac{1}{\dot{\phi}^{2}} + \frac{1}{\dot{\chi}^{2}}\right)$$
$$= \frac{\delta\rho_{\phi}}{\dot{\phi}^{2}} - \frac{\delta\rho_{\chi}}{\dot{\chi}^{2}} - \frac{3g^{2}}{8\pi G}\frac{\phi\chi}{\dot{\phi}^{2}\dot{\chi}^{2}}(\dot{\phi}\chi - \dot{\chi}\phi)\frac{\dot{H}}{H}(\zeta - \Phi),$$
(26)

where v is the total perturbed velocity for matter, q_{ϕ} is the homogeneous energy transfer to the ϕ component ($q_{\phi}+q_{\chi}$



 $z = \sqrt{\lambda} M_{\rm pl} t$

FIG. 4. Evolution in logarithmic scale of the total non-adiabatic pressure $p\Gamma$ for the first set of initial conditions of Fig. 2.

=0 because of total energy conservation), and now $\delta \rho_i$ are the density perturbations in the longitudinal gauge:

$$\delta \rho_i = \dot{\phi}_i \delta \dot{\phi}_i - \Phi \dot{\phi}_i^2 + V_{,i} \delta \phi_i \,. \tag{27}$$

From Eq. (26) it follows that the parametric resonance from the matter sector of the theory (the Q variables to be specific) induces exponential growth of the relative isocurvature perturbation, and hence also of the total isocurvature perturbation (see Fig. 4).

In turn, isocurvature perturbations determine the change in ζ via Eq. (18). This shows that in the presence of scalar field interaction terms, there is a correlated exponential growth of ζ and of the relative isocurvature perturbation $S_{\phi\chi}$. This exponential growth is a consequence of parametric resonance and is absent if the phase transition is modelled with a monotonically increasing value of w.

Analogously to Eq. (17) for the Bardeen parameter, the total nonadiabatic pressure $p\Gamma$ can be expressed in terms of the Sasaki-Mukhanov variables in the following way:

$$p\Gamma = \sum_{i} \left[\frac{\dot{V}}{\rho} \dot{\phi}_{i} Q_{i} - 2V_{,i} Q_{i} - 2 \frac{\dot{V}}{3H \sum_{i} \dot{\phi}_{i}^{2}} (\dot{\phi}_{i} \dot{Q}_{i} + V_{,i} Q_{i}) \right].$$

$$(28)$$

As mentioned above, the exponential growth of $S_{\phi\chi}$ and ζ during reheating is a new effect due entirely to parametric resonance. The growth of fluctuations in inflationary models with two uncoupled fields were studied in [37] in an approximation in which the oscillations of the inflaton field were neglected. In this case there is no growth of $S_{\phi\chi}$. An initial isocurvature perturbation does induce the growth of an adiabatic component on super-Hubble scales, but the final amplitude of the adiabatic mode is not much larger than the initial amplitude of the isocurvature perturbation, in agreement with the earlier analysis in [35].

IV. THREE GOLDEN RULES

Based on the analysis of Sec. II, it appears that several conditions are required in order to have efficient parametric resonance of super-Hubble-scale metric fluctuations. (1) In the absence of gravitational perturbations there must be broad-band parametric resonance in the matter sector of the theory corresponding to isocurvature fluctuations, and k=0 must be part of the resonance band.

(2) The fluctuations in the matter field which undergoes parametric resonance must be effectively massless during inflation. More precisely, there should be no large net suppression of these fluctuations before the phase of parametric resonance.

(3) The homogeneous value of the matter field which undergoes resonance must be non-vanishing. This is the weakest of the three conditions since it is only required if we work strictly to first order in perturbations.⁴

We show how these three rules are satisfied in another model with massless fields, but now based on *negative coupling instability* [39]. The potential is the following:

$$V(\phi,\chi) = \frac{1}{4}\lambda\phi^4 - \frac{1}{2}g^2\phi^2\chi^2 + \frac{1}{4}\lambda_{\chi}\chi^4$$
(29)

with the parameter $r \equiv \lambda \lambda_{\chi}/g^4 > 1$ in order to have a potential bounded from below [39]. This model has an attractor for χ in the point which minimize the potential for χ [39]

$$\bar{\chi}(t) \sim \frac{g}{\sqrt{\lambda_{\chi}}} \phi(t).$$
 (30)

In this way even the third and weakest of the above conditions is satisfied. The second one is easily satisfied because of the negative effective mass for the χ fluctuations when the background χ is small. In order to find the unstable bands one can use the attractor solution and estimate the frequency of the inflaton ϕ and of the fluctuations $\delta\chi$ during the period of coherent oscillations:

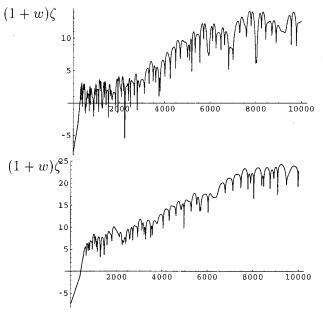
$$\omega_{\phi}^{2} = \lambda \phi^{2} - g^{2} \chi^{2} \sim \lambda \phi^{2} \left(1 - \frac{1}{r} \right) \equiv \tilde{\lambda} \phi^{2}$$
$$\omega_{\delta\chi}^{2} = \frac{k^{2}}{a^{2}} + 3\lambda_{\chi} \chi^{2} - g^{2} \phi^{2} \sim \frac{k^{2}}{a^{2}} + 2g^{2} \phi^{2}.$$

If χ is small compared to the inflaton ϕ , then an unstable band for k=0 should be located at $2g^2=2\tilde{\lambda}$. This gives a second order equation for g^2 whose positive root is

$$g^2 = \lambda_{\chi} \frac{-1 + \sqrt{1 + 4\lambda/\lambda_{\chi}}}{2}.$$
 (31)

We confirm numerically this analytical estimate in Fig. 5 for two allowed values of g^2 : $g^2 = \lambda$ with $\lambda \ll \lambda_{\chi}$ and $g^2 = \lambda/2(\sqrt{5}-1)$ with $\lambda = \lambda_{\chi}$. The reason for the growth of ζ

⁴We are assuming that back-reaction on the inflaton by scalar particle creation does not shut off the resonance early. This issue is under consideration [38]. However, when the above three rules are verified and the maximum Floquet index is on long wavelength we expect that backreaction will not be able to prevent some changes to the standard predictions.



$$z = \sqrt{\lambda} M_{\rm pl} t$$

FIG. 5. Evolution in logarithmic scale of $(1+w)\zeta$ for the model (29) for $g^2 = \lambda$, $\lambda_{\chi} = 10^2 \lambda$ (top) and $\lambda = \lambda_{\chi}$, $g^2 = \lambda/2(\sqrt{5}-1)$ (bottom). The initial conditions for the background are $\phi_0 = 3.5M_{\rm pl}$, $\dot{\phi}_0 = -0.1\sqrt{\lambda}M_{\rm pl}$, $\chi_0 = 2 \times 10^{-8}M_{\rm pl}$ and $\dot{\chi}_0 = 0$. The fluctuations Q_{ϕ} and Q_{χ} start in the adiabatic vacuum 40 e-foldings before inflation ends. The wavenumber is $k = 10^2 \sqrt{\lambda}M_{\rm pl}$, which roughly corresponds to five times the Hubble radius at the beginning of the simulation.

is similar to the previous case: Q_{χ} is parametrically amplified, feeds the growth of Q_{ϕ} and in this case both contribute to the growth of ζ .

V. A MODEL MOTIVATED BY HYBRID INFLATION

Another natural scenario in which the above conditions can all be satisfied is hybrid inflation [40]. Hybrid inflation is also an attractive framework for implementing inflation in the context of supergravity models [41]. Since (at least) two fields are involved in the dynamics of hybrid inflation, the generation of isocurvature perturbations is rather natural. In hybrid models, the phase of inflation during which the inflaton field ϕ is slowly rolling towards $\phi=0$ is terminated by a phase transition in the second scalar field χ , a field with the double-well potential. This implies that during the oscillations of ϕ , the background value of χ is non-vanishing, leading to an obvious realization of condition (3) above.

Parametric resonance in the matter sector of hybrid inflation models was studied in detail by Garcia-Bellido and Linde [42], and, in supersymmetric hybrid inflation, by Bastero-Gil et al. [43]. The resonance of the fluctuations of the two fields ϕ and χ is inefficient for a large set of the parameter space since both the $\delta\phi$ and $\delta\chi$ fields are effectively massive during the regime of coherent oscillations ($\delta\chi$ becomes massive through the Higgs mechanism). Quite generically, parametric resonance could be much more efficient if there is a third field ψ which couples to both ϕ and χ . Another interesting possibility is to consider a doublet for the field χ . Then, even in the phase of coherent oscillations, there is a massless degree of freedom, namely the "Goldstone" mode. Such a situation arises naturally in supergravity models [41].

Therefore, as a toy model we will consider the following potential for the inflaton field ϕ and the doublet $\chi = (1/\sqrt{2}) \times (\chi_1, \chi_2)$:

$$V = \lambda \left(\frac{M^2}{2\lambda} - |\chi|^2\right)^2 + \frac{1}{2}m^2\phi^2 + g^2\phi^2|\chi|^2$$

= $\frac{1}{2}m^2\phi^2 + \frac{1}{2}g^2\phi^2(\chi_1^2 + \chi_2^2) + \frac{M^4}{4\lambda} - \frac{1}{2}M^2(\chi_1^2 + \chi_2^2)$
+ $\frac{\lambda}{2}\chi_1^2\chi_2^2 + \frac{\lambda}{4}(\chi_1^4 + \chi_2^4).$ (32)

For supersymmetric hybrid inflation, there is only one independent coupling constant since

$$\lambda = \frac{g^2}{2}.$$
 (33)

The values of the masses and coupling constants are constrained by the amplitude of density fluctuations at Hubble radius crossing, which is given by [40]

$$\frac{\delta\rho}{\rho} \sim \frac{g}{\lambda^{3/2}} \left(\frac{M}{M_{pl}}\right)^3 \left(\frac{M}{m}\right)^2 \tag{34}$$

which should be about 10^{-5} . In our numerical simulations, we choose $g^2 = 10^{-3}$, $\lambda = g^2/2$ (as in a supersymmetric model), $M^2/M_{pl}^2 = 10^{-12}$ and $M^2/m^2 = 10^{10}$. With these values, the Hubble parameter during the late stages of inflation is much larger than *m* which ensures slow rolling of ϕ .

In this model, slow-roll inflation takes place while the value of the inflaton ϕ is larger than $\phi_c = M/g$. For these values, the effective square mass m_{χ}^2 of χ (evaluated at $\chi = 0$) is positive. Once ϕ drops below ϕ_c , the m_{χ}^2 turns negative and inflation ends (at time t_c) via a symmetry breaking transition in the matter sector. We choose the basis of the χ fields such that the order parameter of the transition is χ_1 . However, since in general the initial ratio of χ fields and χ velocities at t_c is not the same

$$\frac{\chi_2}{\dot{\chi}_1}(t_c) \neq \frac{\chi_2}{\chi_1}(t_c), \tag{35}$$

we can with no loss of generality assume that the value of χ_2 at the time t_r , when the χ transition is complete and the ϕ oscillations start, does not vanish (as in the previous case a reasonable value for χ_2 is the rms of the renormalized quantum fluctuations). Thus, we have argued that the third of the conditions mentioned at the beginning of this section (non-vanishing background matter fields) is naturally satisfied in

this model (in contrast to the model considered in Sec. II). The initial values of the matter fields at the beginning of the period of oscillation will be

$$\chi_1(t_r) \simeq M / \sqrt{\lambda}$$
$$0 \neq \chi_2(t_r) \leq M / \sqrt{\lambda}. \tag{36}$$

In contrast to the model (1) in which the matter fluctuations are exponentially suppressed during inflation, the effective negative coupling instability in the matter fields in the time interval between t_c and t_r leads to the conclusion that the χ fluctuations are not suppressed. In fact, they are suppressed during slow-roll inflation, but build up again exponentially fast in the time interval $t_c < t < t_r$. To see this, we focus on the evolution of the fluctuations in the χ field since, as we shall see below, these are essential for the effectiveness of parametric resonance. We will consider field fluctuations neglecting metric perturbations and the mixing terms deriving from particle interactions (even if in the hybrid models these mixing terms are not perturbatively small and their importance has been emphasized in [43]). Under these approximations the evolution equation for $\delta \chi_2$ is

$$\ddot{\delta}\chi_2 + 3H\dot{\delta}\chi_2 = -\left(\frac{k^2}{a^2} + g^2\phi^2 + \lambda\chi_1^2 + 3\lambda\chi_2^2 - M^2\right)\delta\chi_2.$$
(37)

For $\phi > \phi_c$, the effective square mass is larger than H^2 and positive, thus leading to damped oscillatory solutions

$$\delta \chi_2 \sim a^{-3/2}(t) \exp(i\omega t), \qquad (38)$$

with $\omega = g \phi$ (in the adiabatic limit). During this time interval, however, the homogeneous components of the matter fields are also damped. The evolution equation for the order parameter χ_1 is

$$\ddot{\chi}_1 + 3H\dot{\chi}_1 = -(g^2\phi^2 + \lambda\chi_1^2 + \lambda\chi_2^2 - M^2)\chi_1.$$
 (39)

Since (up to the contributions from χ_2^2 which are negligible during inflation) the effective masses in Eqs. (39) and (37) are the same, the damping rates of χ_1 and $\delta\chi_2$ are also the same for $t < t_c$. In the time interval between t_c and t_r , the signs of the effective square masses in both Eq. (39) for χ_1 and Eq. (37) for $\delta\chi_2$ are reversed. In both cases, the effective m^2 is now $-M^2$, leading to exponential increase in both χ_1 and $\delta\chi_2$. This period ends when χ_1 reaches the minimum of the potential at time t_r . To summarize the above discussion, the evolution of χ_1 follows:

$$\frac{M}{\sqrt{\lambda}} \approx \chi_1(t_r) \sim e^{M(t_r - t_c)} \chi_1(t_c) \sim e^{M(t_r - t_c)} e^{-(3/2)H(t_c - t_i)} \chi_1(t_i)$$
(40)

where t_i is the time at the beginning of inflation and *H* is the Hubble constant during inflation, assumed to be constant to make the equation simple (this assumption does not affect the basic point we are making). In comparison, the evolution of $\delta \chi_2$ obeys

$$\delta\chi_{2}(t_{r}) \sim e^{M(t_{r}-t_{c})} \delta\chi_{2}(t_{c}) \sim e^{M(t_{r}-t_{c})} e^{-(3/2)H(t_{c}-t_{i})} \delta\chi_{2}(t_{i})$$
(41)

which shows that the exponential growth of $\delta \chi_2$ for $t_c < t < t_r$ precisely makes up for the exponential decay during the period $t_i < t < t_c$, exactly as it does for the evolution of χ_1 . Equations (40) and (41) can be combined to give

$$\delta\chi_2(t_r) \sim \frac{M/\sqrt{\lambda}}{\chi_1(t_i)} \delta\chi_2(t_i).$$
 (42)

This demonstrates that there is no overall suppression of the fluctuations in $\delta \chi_2$ before the onset of parametric resonance, showing that the second condition for the effectiveness of parametric amplification of super-Hubble gravitational modes mentioned at the beginning of this section is satisfied.

The final conditions to discuss are the criteria for parametric resonance of the k=0 modes of the matter perturbations. To do this, we consider the mode equation for $\delta \chi_2$ during the period in which the inflaton ϕ oscillates. For general hybrid models, the background dynamics is chaotic since both ϕ and χ_1 oscillate with different frequencies. However, in the supersymmetric case [43], the frequencies coincide and the background dynamics becomes non-chaotic. Both ϕ and χ_1 oscillate with the frequency $\sqrt{2M}$. To simplify the analysis, we shall neglect the back-reaction of particle production and expansion on the inflaton, and neglect the Hubble damping term in the equation of motion (this is a good approximation since we are considering a case in which $H \ll \sqrt{2M}$ and the fields oscillation are not damped by the expansion of the universe). Therefore, we take the inflaton to oscillate with amplitude $\phi_a < \phi_c$, and χ_1 will oscillate about its ground state as

$$\chi_1(z) = \frac{M}{\sqrt{\lambda}} [1 + f(z)], \qquad (43)$$

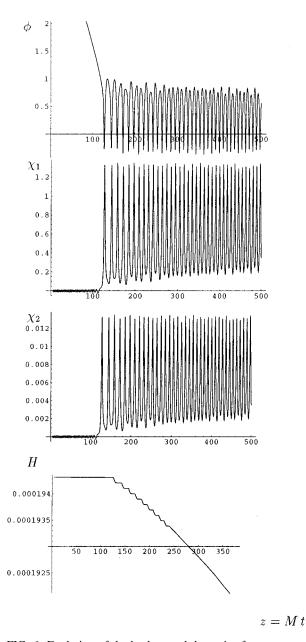
where f(z) is periodic with period 2π . It is convenient to introduce the dimensionless time $z = \sqrt{2}Mt$. Denoting the derivative with respect to z by a prime, the equation for the Fourier mode χ_{2k} of $\delta\chi_2$ becomes

$$\chi_{2k}'' + \chi_{2k} \left(\frac{k^2}{2a^2 M^2} + \frac{g^2 \phi_a^2}{4M^2} + \frac{g^2 \phi_a^2}{4M^2} \cos(2z) + f + \frac{f^2}{2} \right) = 0,$$
(44)

where we have neglected the terms in χ_2 . In the absence of the final term [the term containing f(z)], this has the form of the Mathieu equation

$$\chi_{2k}'' + \chi_{2k}[A(k) - 2q\cos(2z)] = 0.$$
(45)

The value of *q* is $q \le 1/8$, the maximal value being taken on if $\phi_a = \phi_c$, and for long wavelengths $A(k) \approx 2q$. As can be seen from the Floquet instability charts (see e.g. Fig. 1 in [39]), these values do not correspond to efficient resonance. From the evolution of the background fields obtained from the full numerical solution of the background field equations (see Fig. 6) it follows that the amplitude of oscillation ϕ_a is



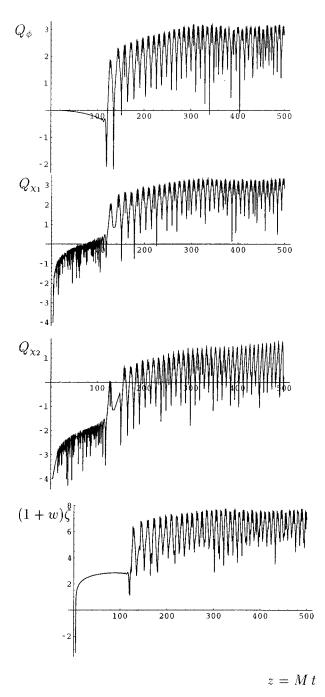


FIG. 6. Evolution of the background dynamics for a supersymmetric model of hybrid inflation with $g^2 = 10^{-3}$, $M^2/M_{pl}^2 = 10^{-12}$ and $M^2/m^2 = 10^{10}$. The initial conditions are $\phi_0 = 3M_{\rm pl}$, $\chi_1 = 10^{-2}M_{\rm pl}$, $\chi_2 = 10^{-4}M_{\rm pl}$ and all the field velocities set to zero.

in fact substantially smaller than ϕ_c . In contrast, χ_1 oscillates with a large amplitude. Hence, the term containing f(z) in Eq. (44) is more important. This term leads to negative coupling instability (see [39] for a general discussion of resonant particle production by negative coupling instability) for small values of k. Hence, we expect parametric amplification of long wavelength gravitational fluctuations in our model.

The above considerations are supported by our numerical results. In Fig. 6 we show the evolution of the background fields ϕ , χ_1 , χ_2 and H as a function of time in a simulation with parameters mentioned after Eq. (34), and with initial conditions $\phi_0 = 3M_{\rm pl}$, $\chi_1 = 10^{-2}M_{\rm pl}$, $\chi_2 = 10^{-4}M_{\rm pl}$.

As is evident, following an initial transient period the three scalar fields oscillate with the same frequency. The

FIG. 7. Evolution in logarithmic scale for the fluctuation variables Q_{ϕ} , Q_{χ_1} , Q_{χ_2} and $(1+w)\zeta$. The initial perturbation amplitudes were chosen to be $Q_{\phi}(t_0) = 1$, $Q_{\chi_1}(t_0) = Q_{\chi_1}(t_0) = 10^{-4}M_{\rm pl}$, and all their derivatives set to zero for a wavelength outside the Hubble radius (k=0).

results for the fluctuation variables Q_{ϕ} , Q_{χ_1} , Q_{χ_2} and ζ are shown in Fig. 7. The initial perturbation amplitudes were chosen to be $Q_{\phi}(t_0)=1$, $Q_{\chi_1}(t_0)=Q_{\chi_1}(t_0)=10^{-4}$, and all their derivatives set to zero for a wavelength outside the Hubble radius (k=0). As is evident, after the initial transient period, all four quantities grow almost with the same Floquet exponent, as expected from our analytical analysis.

At this point, an obvious question is whether the field χ_2 is essential in order to obtain parametric resonance of super-

Hubble-scale cosmological fluctuations. In fact, the field fluctuations $\delta \chi_1$ also will experience an effective negative coupling instability [43], and therefore the presence of χ_2 is not essential for this supersymmetric choice of the parameters. The equation of motion for $\delta \chi_1$ for a hybrid model with two field is

$$\ddot{\delta}\chi_1 + 3H\dot{\delta}\chi_1 = -\left(\frac{k^2}{a^2} + g^2\phi^2 + 3\lambda\chi_1^2 - M^2\right)\delta\chi_1.$$
 (46)

The effective squared mass is large and positive during slowrolling. At the beginning of the transient period (when χ_1 starts rolling down its potential but is not yet close to the minimum of the potential) the effective squared mass turns negative. Once χ_1 gets close to its equilibrium position, the effective squared mass will again be large and positive [the factor 3 in the third term on the right hand side (RHS) of Eq. (46) is crucial]. However, since χ_1 is oscillating with a large amplitude, the effect of the large mass will be periodically cancelled out by these oscillations. Neglecting the expansion of the background, Eq. (46) can be written as

$$\chi_{1k}'' + \chi_{1k} \left(\frac{k^2}{2a^2 M^2} + \frac{g^2 \phi^2}{2M^2} + 1 + 3f + \frac{3}{2}f^2 \right) = 0.$$
 (47)

From our numerical results (Fig. 6) we expect that the amplitude of f(z) will be only slightly smaller than 1. Hence, we expect negative coupling instability for long wavelength metric perturbations also in the two field case [43]. However, as demonstrated below for values of the coupling constants which do not correspond to the supersymmetric point, the Floquet index in the two field case will be smaller than in the three field model.

Other interesting effects happen if we go away from the supersymmetric point $g^2 = 2\lambda$. In analogy with the results of [44] which show that random noise in the inflaton leads to an increase in the strength of the parametric instability, we expect that the chaotic background dynamics will not eliminate but rather strengthen the resonance. Chaotic background dynamics is expected for $g^2 \sim \lambda$ in hybrid models [42]. This effect is shown by our numerical simulations (Fig. 8 and Fig. 9) which show that the parametric resonance of super-Hubble-scale gravitational fluctuations for the choice $g^2 = \lambda$ is larger than in the supersymmetric case, where no chaoticity is present [43]. Figure 10 shows how the presence of the "Goldstone" mode χ_2 changes the development of the resonance in this chaotic case. In the two field case the Floquet index with which ζ grows is smaller than the corresponding index in the three field case.

VI. DISCUSSION

We have studied the parametric amplification of long wavelength gravitational fluctuations during reheating in two field inflationary Universe models. We have confirmed the results of Bassett and Viniegra [17] and shown that this effect is possible for certain models. We have established criteria under which an exponential increase in the amplitude of cosmological perturbations during the period when the infla-

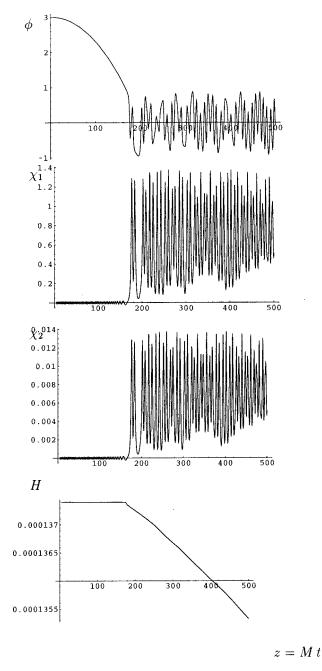
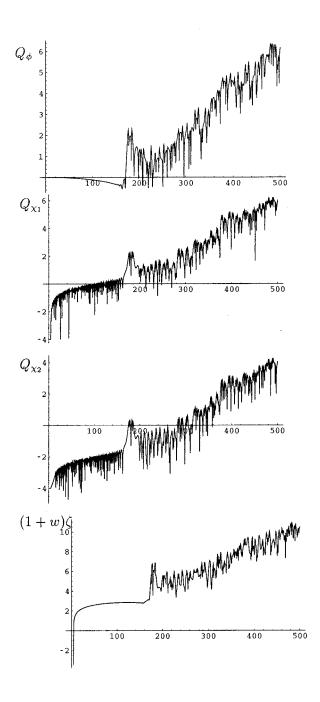


FIG. 8. Evolution of the background dynamics for a model of hybrid inflation with $g^2 = \lambda$. The parameters and the initial conditions for the fields are the same as in Fig. 6.

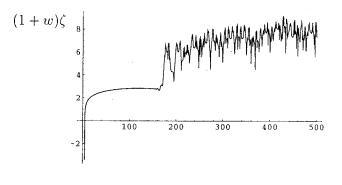
ton field oscillates should be expected. It is crucial that there must be either broad-band parametric instability or negative coupling instability in the matter sector of the theory (i.e., in the absence of gravitational perturbations). This will excite isocurvature fluctuations during reheating. It is important that there be no net exponential damping in the amplitude of the isocurvature fluctuations before reheating. The resonance in the matter sector then induces a resonance in the gravitational sector. Since large coupling constants are not necessary in order to have efficient resonance, the effect is stable against perturbative coupling constant renormalizations. We have shown that in this case the resulting increase in the



z = M t

FIG. 9. Evolution in logarithmic scale for the fluctuation variables Q_{ϕ} , Q_{χ_1} , Q_{χ_2} and $(1+w)\zeta$ for the case $g^2 = \lambda$ of Fig. 8. The initial perturbation amplitudes are the same as in Fig. 7.

amplitude of the adiabatic mode, conveniently tracked in terms of the variable ζ , and of isocurvature fluctuations, tracked in terms of the nonadiabatic pressure $p\Gamma$, is exponential and is due to the oscillations in the inflaton field. This



$$z = M t$$

FIG. 10. Evolution in logarithmic scale of $(1+w)\zeta$ for the choice $g^2 = \lambda$ for a two field hybrid model $(\chi_2 = \delta \chi_2 = 0)$. The parameters, initial conditions for the background and for the perturbation amplitudes are the same as in Figs. 8 and 9.

means that the effect is absent if the phase transition is modelled by a monotonic change in $w = p/\rho$.

We discussed several models in which parametric amplification of long wavelength gravitiational fluctuations can occur. In the simple double field model of [17] we found a sensitive dependence of the effect on the initial conditions of the second field χ . In a model with negative coupling, the initial condition dependence is weakend since there is an attractor for χ corresponding to a nonvanishing value of this field. The same is true for the model of hybrid inflation, where a nonvanishing value of χ is also necessary to model the symmetry breaking dynamics at the homogeneous level.

We argue that the conditions under which parametric amplification of long wavelength gravitational fluctuations occurs are naturally satisfied in a class of models of hybrid inflation. The presence of a complex matter scalar field enhances the resonance, since it ensures the existence of a field which is massless in the true vacuum of the theory, but it is not crucial if there is negative coupling instability. However, note that the existence of massless modes is helpful for the effect to occur. Such massless modes arise quite generically in string theory (see e.g. [45] for a recent review). Thus, the parametric amplification of long wavelength fluctuations may be also present in models of inflation based on string theory.

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