## **Direct** *CP*, *T*, and/or *CPT* violations in the  $K^0$ - $\overline{K}^0$  system: Implications of the recent KTeV results on  $2\pi$  decays

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The recent results on the *CP* violating parameters Re( $\varepsilon'/\varepsilon$ ) and  $\Delta \phi = \phi_{00} - \phi_{+-}$  reported by the KTeV Collaboration are analyzed with a view to constrain *CP*, *T*, and *CPT* violations in decay processes. Combined with some relevant data compiled by the Particle Data Group, we find Re( $\varepsilon_2 - \varepsilon_0$ ) = (0.85 ± 3.11)  $\times$  10<sup>-4</sup> and  $\text{Im}(\varepsilon_2 - \varepsilon_0) = (3.2 \pm 0.7) \times 10^{-4}$ , where  $\text{Re}(\varepsilon_1)$  and  $\text{Im}(\varepsilon_1)$  represent respectively *CP/CPT* and *CP/T* violations in the decay of  $K^0$  and  $\overline{K^0}$  into a  $2\pi$  state with isospin *I*.

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Although it has been well established since  $1964$  [1] that *CP* symmetry is violated in the  $K^0$ - $\bar{K}^0$  system, the origin or mechanism of *CP* violation is not well understood yet, on the one hand, and no evidence of *CP* violation has been established in any other systems or processes, on the other hand. Experimental, phenomenological, and theoretical studies of this and related (i.e., *T* and *CPT*) symmetries need to be continued with much effort.

The KTeV Collaboration  $[2]$  recently reported

$$
Re(\varepsilon'/\varepsilon) = (2.80 \pm 0.41) \times 10^{-3}, \tag{1a}
$$

$$
\Delta \phi = (0.09 \pm 0.46)^{\circ},\tag{1b}
$$

and claimed that  $\text{Re}(\varepsilon'/\varepsilon)\neq 0$  definitively established the existence of *CP* violation in decay processes. In the present Brief Report, we will analyze in detail what the KTeV results imply and see in particular how well *CPT* symmetry is tested compared to *T* symmetry.

*The*  $K^0$ - $\bar{K}^0$  *mixing and*  $2\pi$  *decays.* Let  $|K^0\rangle$  and  $|\overline{K^0}\rangle$  be eigenstates of the strong interaction with strangeness *S*  $=+1$  and  $-1$ , related to each other by (*CP*) and (*CPT*) operations as  $[3,4]$ 

$$
(CP)|K^0\rangle = e^{i\alpha_K}|\overline{K^0}\rangle, \quad (CPT)|K^0\rangle = e^{i\beta_K}|\overline{K^0}\rangle, \quad (2)
$$

where  $\alpha_K$  and  $\beta_K$  are arbitrary real parameters. When the weak interaction  $H_w$  is switched on,  $K^0$  and  $\overline{K^0}$  decay into other states, generically denoted as *n*, and get mixed. The states with definite mass  $(m_{S,L})$  and width ( $\gamma_{S,L}$ ;  $\gamma_S > \gamma_L$  by definition) are linear combinations of  $K^0$  and  $\overline{K^0}$ :

$$
|K_S\rangle = \frac{1}{\sqrt{|p_S|^2 + |q_S|^2}} (p_S|K^0\rangle + q_S|\overline{K^0}\rangle), \tag{3a}
$$

$$
|K_L\rangle = \frac{1}{\sqrt{|p_L|^2 + |q_L|^2}} (p_L |K^0\rangle - q_L | \overline{K^0}\rangle). \tag{3b}
$$

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The ratios of the mixing parameters,  $q_{S,L}/p_{S,L}$ , as well as  $\lambda_{S,L} = m_{S,L} - i \gamma_{S,L}/2$ , are related to  $H_w$ ; the explicit expressions can be found in the literature  $[3,5]$ . We are interested in  $2\pi$  decays and specifically in the following quantities:

$$
\eta_{+-} = |\eta_{+-}| e^{i\phi_{+-}} = \frac{\langle \pi^+ \pi^-, \text{outgoing} | H_{\rm w} | K_L \rangle}{\langle \pi^+ \pi^-, \text{outgoing} | H_{\rm w} | K_S \rangle}, \tag{4a}
$$

$$
\eta_{00} = |\eta_{00}| e^{i\phi_{00}} \equiv \frac{\langle \pi^0 \pi^0, \text{outgoing} | H_w | K_L \rangle}{\langle \pi^0 \pi^0, \text{outgoing} | H_w | K_S \rangle},
$$
(4b)

$$
r \equiv \frac{\gamma_S(\pi^+\pi^-) - 2\,\gamma_S(\pi^0\pi^0)}{\gamma_S(\pi^+\pi^-) + \gamma_S(\pi^0\pi^0)},\tag{5}
$$

where  $\gamma_{S,L}(n)$  denotes the partial width for  $K_{S,L}$  to decay into the final state *n*.

*Parametrization and conditions imposed by CP*, *T*, *and CPT symmetries.* We shall parametrize  $q_S / p_S$  and  $q_L / p_L$  as  $\lceil 3 \rceil$ 

$$
\frac{q_S}{p_S} = e^{i\alpha_K} \frac{1 - \varepsilon - \delta}{1 + \varepsilon + \delta},\tag{6a}
$$

$$
\frac{q_L}{p_L} = e^{i\alpha_K} \frac{1 - \varepsilon + \delta}{1 + \varepsilon - \delta},\tag{6b}
$$

and the amplitudes for  $K^0$  and  $\overline{K^0}$  to decay into  $2\pi$  states with isospin  $I = 0$  or 2 as [3,6]

$$
\langle (2\pi)_I | H_{\rm w} | K^0 \rangle = F_I (1 + \varepsilon_I) e^{i\alpha_K/2}, \tag{7a}
$$

$$
\langle (2\pi)_I | H_{\rm w} | \bar{K}^0 \rangle = F_I (1 - \varepsilon_I) e^{-i\alpha_K/2}.
$$
 (7b)

Our parametrization is very unique in that it is invariant under rephasing of the initial states,  $|K^0\rangle$  and  $|\overline{K^0}\rangle$ . It is, however, not invariant under rephasing of the final states,  $|(2\pi)_I\rangle$ . By making use of the phase ambiguity, one may, without loss of generality, set  $[6]$ 

$$
\operatorname{Im}(F_I) = 0.\tag{8}
$$

One can readily verify [3,6] that *CP*, *T*, and *CPT* symmetries impose such conditions as

*CP* symmetry: 
$$
\varepsilon = 0
$$
,  $\delta = 0$ ,  $\varepsilon_I = 0$ ,  
\n*T* symmetry:  $\varepsilon = 0$ , Im $(\varepsilon_I) = 0$ , (9)  
\n*CPT* symmetry:  $\delta = 0$ , Re $(\varepsilon_I) = 0$ .

Observed and expected smallness of symmetry violation allows one to treat all these parameters as small.

*Formulas relevant for analysis.* Defining

$$
\eta_I = |\eta_I| e^{i\phi_I} = \frac{\langle (2\pi)_I | H_w | K_L \rangle}{\langle (2\pi)_I | H_w | K_S \rangle},
$$
\n(10a)

$$
\omega = \frac{\langle (2\,\pi)_2 | H_{\rm w} | K_S \rangle}{\langle (2\,\pi)_0 | H_{\rm w} | K_S \rangle},\tag{10b}
$$

one finds  $[7,8]$ , from Eqs.  $(3a)$ ,  $(3b)$ ,  $(6a)$ ,  $(6b)$ , and  $(7a)$ ,  $(7b)$ ,

$$
\eta_I = \varepsilon - \delta + \varepsilon_I, \qquad (11a)
$$

$$
\omega = \text{Re}(F_2) / \text{Re}(F_0),\tag{11b}
$$

and, by means of isospin decomposition,

$$
\eta_{+-} = \eta_0 + \varepsilon', \qquad (12a)
$$

$$
\eta_{00} = \eta_0 - 2\varepsilon',\tag{12b}
$$

$$
r = 4\operatorname{Re}(\omega'),\tag{13}
$$

where

$$
\varepsilon' \equiv (\eta_2 - \eta_0) \omega', \tag{14a}
$$

$$
\omega' = \frac{1}{\sqrt{2}} \omega e^{i(\delta_2 - \delta_0)},\tag{14b}
$$

 $\delta$ <sub>*l*</sub> being the *S*-wave  $\pi \pi$  scattering phase shift for the isospin *I* state at an energy of the rest mass of  $K^0$ . Note that we have treated  $\omega'$ , which is a measure of deviation from the  $\Delta I$  $=1/2$  rule, as well as a small quantity. From Eqs.  $(12a)$ ,  $(12b)$ , it follows that

$$
\eta_{00}/\eta_{+-} = 1 - 3\,\varepsilon'/\eta_0 \tag{15}
$$

or

$$
Re(\varepsilon'/\eta_0) = (1/3)(1 - |\eta_{00}/\eta_{+-}|), \qquad (16a)
$$

$$
\operatorname{Im}(\varepsilon'/\eta_0) = -(1/3)\Delta \phi,\tag{16b}
$$

where

$$
\Delta \phi \equiv \phi_{00} - \phi_{+-} \,. \tag{17}
$$

*Implications of the KTeV results.* With the help of the formulas derived above, we now look into implications of the latest results reported by the KTeV Collaboration  $[2]$ . We first note that, since  $\varepsilon$  in their notation corresponds exactly to

 $\eta_0$  in our notation,<sup>1</sup> their results (1a),(1b) give, either immediately or with the help of Eqs.  $(16a)$ ,  $(16b)$ ,

$$
Re(\varepsilon'/\eta_0) = (2.80 \pm 0.41) \times 10^{-3}, \tag{18a}
$$

Im(
$$
\varepsilon'/\eta_0
$$
) = (-0.52±2.68) $\times$ 10<sup>-3</sup>, (18b)

$$
|\eta_{00}/\eta_{+-}| = 0.9916 \pm 0.0012. \tag{18c}
$$

From Eqs.  $(11a)$  and  $(14a)$ , we immediately conclude that  $\varepsilon' \neq 0$  implies that either  $\varepsilon_0$  or  $\varepsilon_2$  (or both) is nonvanishing,<sup>2</sup> confirming the assertion that the KTeV result on  $\text{Re}(\varepsilon'/\varepsilon)$ established the existence of *CP* violation in a decay process  $|2|$ .

To go one step further, we need to know the value of  $\eta_0$ . Since the KTeV collaboration has not yet reported their results on  $\eta_{+}$  and  $\eta_{00}$  separately, we shall input the Particle Data Group (PDG) [9] values for  $\eta_{+-}$ ,

$$
|\eta_{+-}| = (2.285 \pm 0.019) \times 10^{-3}, \tag{19a}
$$

$$
\phi_{+-} = (43.5 \pm 0.6)^{\circ}, \tag{19b}
$$

along with Eqs.  $(1b)$  and  $(18c)$ , into

$$
\eta_0 \approx (2/3) \eta_{+-} + (1/3) \eta_{00}, \qquad (20)
$$

which follows from Eqs.  $(12a)$ ,  $(12b)$ , to get

$$
|\eta_0| = (2.28 \pm 0.02) \times 10^{-3},\tag{21a}
$$

$$
\phi_0 = (43.53 \pm 0.94)^\circ. \tag{21b}
$$

We shall also use the PDG [9] values for  $\gamma_S(\pi^+\pi^-)$  and  $\gamma_S(\pi^0\pi^0)$  to get, with the help of Eqs. (5) and (13),

$$
Re(\omega') = (1.46 \pm 0.16) \times 10^{-2}.
$$
 (22)

In order to interpret Eqs. $(18a)$ , $(18b)$ , we derive from Eqs.  $(14a)$ ,  $(14b)$ , with the aid of Eqs.  $(11a)$ ,  $(11b)$ ,

$$
\varepsilon'/\eta_0 = -i \text{Re}(\omega') (\varepsilon_2 - \varepsilon_0) e^{-i\Delta \phi'}/[\eta_0 | \cos(\delta_2 - \delta_0)]
$$
\n(23)

or

$$
\varepsilon_2 - \varepsilon_0 = i(\varepsilon'/\eta_0) |\eta_0| \cos(\delta_2 - \delta_0) e^{i\Delta \phi'}/\text{Re}(\omega'), (24)
$$

where

$$
\Delta \phi' \equiv \phi_0 - \delta_2 + \delta_0 - \pi/2. \tag{25}
$$

<sup>1</sup>For the correspondence between our parametrization and the (more conventional) rephasing-dependent parametrizations, see Refs.  $[3,8]$ .

<sup>&</sup>lt;sup>2</sup>Note that the reverse is however not necessarily true; a nonvanishing but equal value for both  $\varepsilon_0$  and  $\varepsilon_2$  could yield  $\varepsilon' = 0$ .

Inputting Eqs. (18a), (18b), (21a), (21b), and (22), and  $\delta_2$  $-\delta_0$  as well, into Eq. (24), we are able to derive constraints to Re( $\varepsilon_2 - \varepsilon_0$ ) and Im( $\varepsilon_2 - \varepsilon_0$ ):

$$
Re(\varepsilon_2 - \varepsilon_0) = (0.85 \pm 3.11) \times 10^{-4}, \tag{26a}
$$

$$
\operatorname{Im}(\varepsilon_2 - \varepsilon_0) = (3.2 \pm 0.7) \times 10^{-4},\tag{26b}
$$

where, as  $\delta_2 - \delta_0$ , we have tentatively used the Chell-Olsson value  $(-42 \pm 4)$ ° [10].

*Discussion.* If, as the value of  $\text{Re}(\varepsilon'/\varepsilon)$ , one uses, instead of Eq.  $(1a)$ ,

$$
Re(\varepsilon'/\varepsilon) = (2.59 \pm 0.36) \times 10^{-3}, \tag{27}
$$

which is an average of the KTeV result  $[2]$  and the more recent result from the NA48 experiment  $[11]$ , one will get

$$
Re(\varepsilon_2 - \varepsilon_0) = (0.84 \pm 3.11) \times 10^{-4}, \tag{28a}
$$

Im(
$$
\varepsilon_2 - \varepsilon_0
$$
) = (3.0±0.6)×10<sup>-4</sup>. (28b)

Our results  $(26b)$  and  $(28b)$  indicate that a combination of the parameters which signal direct *CP* and *T* violations, Im( $\varepsilon_2 - \varepsilon_0$ ), is definitely nonzero and of the order of  $10^{-4}$ . The other results  $(26a)$  and  $(28a)$  on the other hand indicate that a combination of the parameters which signal direct *CP* and *CPT* violations,  $\text{Re}(\varepsilon_2 - \varepsilon_0)$ , is not well determined yet; though consistent with being zero, a value comparable to  $\text{Im}(\epsilon_2-\epsilon_0)$  is not ruled out.

The procedure of our analysis is rather similar to that done by Dib and Peccei  $[12]$ , except that they have focused on *CPT* test and have hesitated to use the value of  $Re(\varepsilon'/\varepsilon)$ as one of inputs, in view of experimental controversy on this quantity at that time. Thus, one of the results they have derived,  $\text{Re}(B_2)/\text{Re}(A_2) - \text{Re}(B_0)/\text{Re}(A_0) = (1.3 \pm 8.4)$  $\times 10^{-4}$ , corresponds exactly to our results Eqs. (26a) and  $(28a)$ , and a conclusion on direct *CP/CPT* violation qualitatively similar to ours has already been reached by them.

With the help of the Bell-Steinberger relation  $[13]$ , one may derive constraints to the indirect and mixed *CP*, *T*, and/or  $CPT$  violating parameters  $[7,8,14,15]$ . It turns out that the values of the direct *CP*/*T* violating parameter we have obtained, Eqs. (26b) and (28b), are almost one order smaller than those of the indirect and mixed *CP*/*T* violating parameters,  $\text{Re}(\varepsilon)$  and  $\text{Im}(\varepsilon+\varepsilon_0)$ ,<sup>3</sup> while the constraints on the direct *CP*/*CPT* violating parameter we have found, Eqs.  $(26a)$  and  $(28a)$ , are roughly one order weaker than those on the indirect and mixed  $CP/CPT$  violating parameters,<sup>4</sup> Im( $\delta$ ) and Re( $\delta-\varepsilon_0$ ).

To conclude, we recall that the numerical results  $(26a)$ ,  $(26b)$ , and  $(28a)$ ,  $(28b)$  depend much on the value of  $\delta_2-\delta_0$ , and that this quantity, which features strong interaction effects, is still not well determined.<sup>5</sup> In order to obtain a better constraint on  $\varepsilon_2 - \varepsilon_0$ , a better determination of  $\delta_2$  $-\delta_0$ , along with a more precise measurement of Re( $\varepsilon'/\varepsilon$ ) and  $\Delta \phi$ , are required.

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 ${}^{5}$ See, for example, Refs. [17,18], and references cited therein for theoretical problems related to determination of  $\delta_2 - \delta_0$ . If, as the value of this quantity, one uses  $(-56.7 \pm 3.9)$ ° quoted in Ref. [17], instead of  $(-42\pm4)$ ° used previously, one would find

$$
Re(\varepsilon_2 - \varepsilon_0) = (0.01 \pm 2.27) \times 10^{-4}, \tag{29a}
$$

Im(
$$
\varepsilon_2 - \varepsilon_0
$$
) = (2.44 ± 0.65) × 10<sup>-4</sup>, (29b)

in place of Eqs.  $(26a)$ ,  $(26b)$ .

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 $3$ See also Alvalez-Gaume, Kounnas, Lola, and Pavlopoulos [16], in which it is claimed that the recent CPLEAR data allow one to definitively conclude that  $\text{Re}(\varepsilon)$  is  $\neq 0$  without invoking the Bell-Steinberger relation and that *T* is violated independent of whether *CP* and/or *CPT* are violated or not.

 ${}^{4}\varepsilon_0$  and  $\varepsilon_2$  ( $\varepsilon$  and  $\delta$ ) are referred to as a direct (indirect) parameter here. Note that, as emphasized in [3], classification of symmetry-violating parameters into ''direct'' and ''indirect'' ones makes sense only when they are defined in a rephasing-invariant way, i.e., in such a way that they are invariant under rephasing of  $\vert K^0\rangle$  and  $\vert \bar{K}^0\rangle$ .

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