Direct *CP*, *T*, and/or *CPT* violations in the K^0 - $\overline{K^0}$ system: Implications of the recent KTeV results on 2π decays

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The recent results on the *CP* violating parameters $\operatorname{Re}(\varepsilon'/\varepsilon)$ and $\Delta\phi \equiv \phi_{00} - \phi_{+-}$ reported by the KTeV Collaboration are analyzed with a view to constrain *CP*, *T*, and *CPT* violations in decay processes. Combined with some relevant data compiled by the Particle Data Group, we find $\operatorname{Re}(\varepsilon_2 - \varepsilon_0) = (0.85 \pm 3.11) \times 10^{-4}$ and $\operatorname{Im}(\varepsilon_2 - \varepsilon_0) = (3.2 \pm 0.7) \times 10^{-4}$, where $\operatorname{Re}(\varepsilon_I)$ and $\operatorname{Im}(\varepsilon_I)$ represent respectively *CP/CPT* and *CP/T* violations in the decay of K^0 and \overline{K}^0 into a 2π state with isospin *I*.

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Although it has been well established since 1964 [1] that *CP* symmetry is violated in the $K^0-\overline{K}^0$ system, the origin or mechanism of *CP* violation is not well understood yet, on the one hand, and no evidence of *CP* violation has been established in any other systems or processes, on the other hand. Experimental, phenomenological, and theoretical studies of this and related (i.e., *T* and *CPT*) symmetries need to be continued with much effort.

The KTeV Collaboration [2] recently reported

$$\operatorname{Re}(\varepsilon'/\varepsilon) = (2.80 \pm 0.41) \times 10^{-3},$$
 (1a)

$$\Delta \phi = (0.09 \pm 0.46)^{\circ}, \tag{1b}$$

and claimed that $\operatorname{Re}(\varepsilon'/\varepsilon) \neq 0$ definitively established the existence of *CP* violation in decay processes. In the present Brief Report, we will analyze in detail what the KTeV results imply and see in particular how well *CPT* symmetry is tested compared to *T* symmetry.

The K^0 - \overline{K}^0 mixing and 2π decays. Let $|K^0\rangle$ and $|\overline{K}^0\rangle$ be eigenstates of the strong interaction with strangeness S = +1 and -1, related to each other by (*CP*) and (*CPT*) operations as [3,4]

$$(CP)|K^{0}\rangle = e^{i\alpha_{K}}|\overline{K^{0}}\rangle, \quad (CPT)|K^{0}\rangle = e^{i\beta_{K}}|\overline{K^{0}}\rangle, \quad (2)$$

where α_K and β_K are arbitrary real parameters. When the weak interaction H_w is switched on, K^0 and $\overline{K^0}$ decay into other states, generically denoted as *n*, and get mixed. The states with definite mass $(m_{S,L})$ and width $(\gamma_{S,L}; \gamma_S > \gamma_L)$ by definition) are linear combinations of K^0 and $\overline{K^0}$:

$$|K_{S}\rangle = \frac{1}{\sqrt{|p_{S}|^{2} + |q_{S}|^{2}}} (p_{S}|K^{0}\rangle + q_{S}|\overline{K^{0}}\rangle), \qquad (3a)$$

$$|K_L\rangle = \frac{1}{\sqrt{|p_L|^2 + |q_L|^2}} (p_L|K^0\rangle - q_L|\overline{K^0}\rangle).$$
 (3b)

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The ratios of the mixing parameters, $q_{S,L}/p_{S,L}$, as well as $\lambda_{S,L} \equiv m_{S,L} - i \gamma_{S,L}/2$, are related to H_w ; the explicit expressions can be found in the literature [3,5]. We are interested in 2π decays and specifically in the following quantities:

$$\eta_{+-} = |\eta_{+-}| e^{i\phi_{+-}} \equiv \frac{\langle \pi^+ \pi^-, \text{outgoing} | H_w | K_L \rangle}{\langle \pi^+ \pi^-, \text{outgoing} | H_w | K_S \rangle},$$
(4a)

$$\eta_{00} = |\eta_{00}| e^{i\phi_{00}} \equiv \frac{\langle \pi^0 \pi^0, \text{outgoing} | H_{\text{w}} | K_L \rangle}{\langle \pi^0 \pi^0, \text{outgoing} | H_{\text{w}} | K_S \rangle},$$
(4b)

$$r \equiv \frac{\gamma_{S}(\pi^{+}\pi^{-}) - 2\gamma_{S}(\pi^{0}\pi^{0})}{\gamma_{S}(\pi^{+}\pi^{-}) + \gamma_{S}(\pi^{0}\pi^{0})},$$
(5)

where $\gamma_{S,L}(n)$ denotes the partial width for $K_{S,L}$ to decay into the final state *n*.

Parametrization and conditions imposed by CP, T, and CPT symmetries. We shall parametrize q_S/p_S and q_L/p_L as [3]

$$\frac{q_S}{p_S} = e^{i\alpha_K} \frac{1 - \varepsilon - \delta}{1 + \varepsilon + \delta},\tag{6a}$$

$$\frac{q_L}{p_L} = e^{i\alpha_K} \frac{1 - \varepsilon + \delta}{1 + \varepsilon - \delta},\tag{6b}$$

and the amplitudes for K^0 and $\overline{K^0}$ to decay into 2π states with isospin I = 0 or 2 as [3,6]

$$\langle (2\pi)_I | H_{\rm w} | K^0 \rangle = F_I (1 + \varepsilon_I) e^{i\alpha_K/2}, \tag{7a}$$

$$\langle (2\pi)_I | H_{\rm w} | \bar{K}^0 \rangle = F_I (1 - \varepsilon_I) e^{-i\alpha_K/2}.$$
 (7b)

Our parametrization is very unique in that it is invariant under rephasing of the initial states, $|K^0\rangle$ and $|\overline{K^0}\rangle$. It is, however, not invariant under rephasing of the final states, $|(2\pi)_I\rangle$. By making use of the phase ambiguity, one may, without loss of generality, set [6]

$$\operatorname{Im}(F_I) = 0. \tag{8}$$

One can readily verify [3,6] that *CP*, *T*, and *CPT* symmetries impose such conditions as

CP symmetry:
$$\varepsilon = 0$$
, $\delta = 0$, $\varepsilon_I = 0$,
T symmetry: $\varepsilon = 0$, $\operatorname{Im}(\varepsilon_I) = 0$, (9)
CPT symmetry: $\delta = 0$, $\operatorname{Re}(\varepsilon_I) = 0$.

Observed and expected smallness of symmetry violation allows one to treat all these parameters as small.

Formulas relevant for analysis. Defining

$$\eta_I = |\eta_I| e^{i\phi_I} \equiv \frac{\langle (2\pi)_I | H_w | K_L \rangle}{\langle (2\pi)_I | H_w | K_S \rangle}, \tag{10a}$$

$$\omega \equiv \frac{\langle (2\pi)_2 | H_{\rm w} | K_S \rangle}{\langle (2\pi)_0 | H_{\rm w} | K_S \rangle},\tag{10b}$$

one finds [7,8], from Eqs. (3a),(3b), (6a), (6b), and (7a),(7b),

$$\eta_I = \varepsilon - \delta + \varepsilon_I, \qquad (11a)$$

$$\omega = \operatorname{Re}(F_2) / \operatorname{Re}(F_0), \qquad (11b)$$

and, by means of isospin decomposition,

$$\eta_{+-} = \eta_0 + \varepsilon', \qquad (12a)$$

$$\eta_{00} = \eta_0 - 2\varepsilon', \qquad (12b)$$

$$r = 4 \operatorname{Re}(\omega'), \tag{13}$$

where

$$\varepsilon' \!=\! (\eta_2 \!-\! \eta_0) \omega', \qquad (14a)$$

$$\omega' \equiv \frac{1}{\sqrt{2}} \, \omega e^{i(\delta_2 - \delta_0)}, \tag{14b}$$

 δ_I being the *S*-wave $\pi\pi$ scattering phase shift for the isospin *I* state at an energy of the rest mass of K^0 . Note that we have treated ω' , which is a measure of deviation from the $\Delta I = 1/2$ rule, as well as a small quantity. From Eqs. (12a),(12b), it follows that

$$\eta_{00}/\eta_{+-} = 1 - 3\varepsilon'/\eta_0$$
 (15)

or

$$\operatorname{Re}(\varepsilon'/\eta_0) = (1/3)(1 - |\eta_{00}/\eta_{+-}|), \quad (16a)$$

$$\operatorname{Im}(\varepsilon'/\eta_0) = -(1/3)\Delta\phi, \qquad (16b)$$

where

$$\Delta \phi \equiv \phi_{00} - \phi_{+-} \,. \tag{17}$$

Implications of the KTeV results. With the help of the formulas derived above, we now look into implications of the latest results reported by the KTeV Collaboration [2]. We first note that, since ε in their notation corresponds exactly to

 η_0 in our notation,¹ their results (1a),(1b) give, either immediately or with the help of Eqs. (16a),(16b),

$$\operatorname{Re}(\varepsilon'/\eta_0) = (2.80 \pm 0.41) \times 10^{-3}, \quad (18a)$$

$$\operatorname{Im}(\varepsilon'/\eta_0) = (-0.52 \pm 2.68) \times 10^{-3},$$
(18b)

$$|\eta_{00}/\eta_{+-}| = 0.9916 \pm 0.0012.$$
 (18c)

From Eqs. (11a) and (14a), we immediately conclude that $\varepsilon' \neq 0$ implies that either ε_0 or ε_2 (or both) is nonvanishing,² confirming the assertion that the KTeV result on Re(ε'/ε) established the existence of *CP* violation in a decay process [2].

To go one step further, we need to know the value of η_0 . Since the KTeV collaboration has not yet reported their results on η_{+-} and η_{00} separately, we shall input the Particle Data Group (PDG) [9] values for η_{+-} ,

$$|\eta_{+-}| = (2.285 \pm 0.019) \times 10^{-3},$$
 (19a)

$$\phi_{+-} = (43.5 \pm 0.6)^{\circ}, \tag{19b}$$

along with Eqs. (1b) and (18c), into

$$\eta_0 \simeq (2/3) \,\eta_{+-} + (1/3) \,\eta_{00}, \qquad (20)$$

which follows from Eqs. (12a),(12b), to get

$$|\eta_0| = (2.28 \pm 0.02) \times 10^{-3},$$
 (21a)

$$\phi_0 = (43.53 \pm 0.94)^\circ. \tag{21b}$$

We shall also use the PDG [9] values for $\gamma_S(\pi^+\pi^-)$ and $\gamma_S(\pi^0\pi^0)$ to get, with the help of Eqs. (5) and (13),

$$\operatorname{Re}(\omega') = (1.46 \pm 0.16) \times 10^{-2}.$$
 (22)

In order to interpret Eqs.(18a),(18b), we derive from Eqs. (14a),(14b), with the aid of Eqs. (11a),(11b),

$$\varepsilon'/\eta_0 = -i\operatorname{Re}(\omega')(\varepsilon_2 - \varepsilon_0)e^{-i\Delta\phi'}/[|\eta_0|\cos(\delta_2 - \delta_0)]$$
(23)

or

$$\varepsilon_2 - \varepsilon_0 = i(\varepsilon'/\eta_0) |\eta_0| \cos(\delta_2 - \delta_0) e^{i\Delta\phi'} / \operatorname{Re}(\omega'), \quad (24)$$

where

$$\Delta \phi' \equiv \phi_0 - \delta_2 + \delta_0 - \pi/2. \tag{25}$$

¹For the correspondence between our parametrization and the (more conventional) rephasing-dependent parametrizations, see Refs. [3,8].

²Note that the reverse is however not necessarily true; a nonvanishing but equal value for both ε_0 and ε_2 could yield $\varepsilon' = 0$.

Inputting Eqs. (18a),(18b), (21a),(21b), and (22), and $\delta_2 - \delta_0$ as well, into Eq. (24), we are able to derive constraints to Re($\varepsilon_2 - \varepsilon_0$) and Im($\varepsilon_2 - \varepsilon_0$):

$$\operatorname{Re}(\varepsilon_2 - \varepsilon_0) = (0.85 \pm 3.11) \times 10^{-4},$$
 (26a)

$$Im(\varepsilon_2 - \varepsilon_0) = (3.2 \pm 0.7) \times 10^{-4}, \qquad (26b)$$

where, as $\delta_2 - \delta_0$, we have tentatively used the Chell-Olsson value $(-42\pm 4)^{\circ}$ [10].

Discussion. If, as the value of $\operatorname{Re}(\varepsilon'/\varepsilon)$, one uses, instead of Eq. (1a),

$$\operatorname{Re}(\varepsilon'/\varepsilon) = (2.59 \pm 0.36) \times 10^{-3},$$
 (27)

which is an average of the KTeV result [2] and the more recent result from the NA48 experiment [11], one will get

$$\operatorname{Re}(\varepsilon_2 - \varepsilon_0) = (0.84 \pm 3.11) \times 10^{-4},$$
 (28a)

$$Im(\varepsilon_2 - \varepsilon_0) = (3.0 \pm 0.6) \times 10^{-4}.$$
 (28b)

Our results (26b) and (28b) indicate that a combination of the parameters which signal direct CP and T violations, $Im(\varepsilon_2 - \varepsilon_0)$, is definitely nonzero and of the order of 10^{-4} . The other results (26a) and (28a) on the other hand indicate that a combination of the parameters which signal direct CPand CPT violations, $Re(\varepsilon_2 - \varepsilon_0)$, is not well determined yet; though consistent with being zero, a value comparable to $Im(\varepsilon_2 - \varepsilon_0)$ is not ruled out.

The procedure of our analysis is rather similar to that done by Dib and Peccei [12], except that they have focused on *CPT* test and have hesitated to use the value of $\text{Re}(\varepsilon'/\varepsilon)$ as one of inputs, in view of experimental controversy on this quantity at that time. Thus, one of the results they have derived, $\text{Re}(B_2)/\text{Re}(A_2) - \text{Re}(B_0)/\text{Re}(A_0) = (1.3 \pm 8.4)$ $\times 10^{-4}$, corresponds exactly to our results Eqs. (26a) and (28a), and a conclusion on direct *CP/CPT* violation qualitatively similar to ours has already been reached by them.

With the help of the Bell-Steinberger relation [13], one may derive constraints to the indirect and mixed CP, T, and/or CPT violating parameters [7,8,14,15]. It turns out

that the values of the direct CP/T violating parameter we have obtained, Eqs. (26b) and (28b), are almost one order smaller than those of the indirect and mixed CP/T violating parameters, $\text{Re}(\varepsilon)$ and $\text{Im}(\varepsilon + \varepsilon_0)$,³ while the constraints on the direct CP/CPT violating parameter we have found, Eqs. (26a) and (28a), are roughly one order weaker than those on the indirect and mixed CP/CPT violating parameters,⁴ Im(δ) and Re($\delta - \varepsilon_0$).

To conclude, we recall that the numerical results (26a),(26b), and (28a),(28b) depend much on the value of $\delta_2 - \delta_0$, and that this quantity, which features strong interaction effects, is still not well determined.⁵ In order to obtain a better constraint on $\varepsilon_2 - \varepsilon_0$, a better determination of $\delta_2 - \delta_0$, along with a more precise measurement of $\text{Re}(\varepsilon'/\varepsilon)$ and $\Delta \phi$, are required.

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⁵See, for example, Refs. [17,18], and references cited therein for theoretical problems related to determination of $\delta_2 - \delta_0$. If, as the value of this quantity, one uses $(-56.7 \pm 3.9)^\circ$ quoted in Ref. [17], instead of $(-42 \pm 4)^\circ$ used previously, one would find

$$\operatorname{Re}(\varepsilon_2 - \varepsilon_0) = (0.01 \pm 2.27) \times 10^{-4},$$
 (29a)

Im
$$(\varepsilon_2 - \varepsilon_0) = (2.44 \pm 0.65) \times 10^{-4}$$
, (29b)

in place of Eqs. (26a),(26b).

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³See also Alvalez-Gaume, Kounnas, Lola, and Pavlopoulos [16], in which it is claimed that the recent CPLEAR data allow one to definitively conclude that $\text{Re}(\varepsilon)$ is $\neq 0$ without invoking the Bell-Steinberger relation and that *T* is violated independent of whether *CP* and/or *CPT* are violated or not.

 $^{{}^{4}\}varepsilon_{0}$ and ε_{2} (ε and δ) are referred to as a direct (indirect) parameter here. Note that, as emphasized in [3], classification of symmetry-violating parameters into "direct" and "indirect" ones makes sense only when they are defined in a rephasing-invariant way, i.e., in such a way that they are invariant under rephasing of $|K^{0}\rangle$ and $|\bar{K}^{0}\rangle$.

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