Direct *CP* violation in $B \rightarrow X_s \gamma$ decays as a signature of new physics

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We argue that the observation of a sizable direct *CP* asymmetry $A_{CP}^{b \to s\gamma}$ in the inclusive decays $B \to X_s \gamma$ would be a clean signal of new physics. In the standard model, $A_{CP}^{b \to s\gamma}$ can be calculated reliably and is found to be below 1% in magnitude. In extensions of the standard model with new *CP*-violating couplings, large *CP* asymmetries are possible without conflicting with the experimental value of the branching ratio for the decays $B \to X_s \gamma$. In particular, large asymmetries arise naturally in models with enhanced chromomagnetic dipole operators. Some generic examples of such models are explored and their implications for the semileptonic branching ratio and charm yield in *B* decays discussed. [S0556-2821(98)07617-6]

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I. INTRODUCTION

Studies of rare decays of B mesons have the potential to uncover the origin of CP violation, which may lie outside the standard model of strong and electroweak interactions. The measurements of several asymmetries will make it possible to test whether the Cabibbo-Kobayashi-Maskawa (CKM) mechanism of CP violation is sufficient, or whether additional sources of CP violation are required to describe the data. In order to achieve this goal, it is necessary that the theoretical calculations of CP-violating observables in terms of standard model parameters are, at least to a large extent, free of hadronic uncertainties. This can be achieved, for instance, by measuring time-dependent asymmetries in the decays of neutral B mesons into particular CP eigenstates. In many other cases, however, the theoretical predictions for direct CP violation in exclusive B decays are obscured by large strong-interaction effects [1-5], which can only partly be controlled using the approximate flavor symmetries of QCD [6].

Inclusive decay rates of B mesons, on the other hand, can be reliably calculated in QCD using the operator product expansion. Up to small bound-state corrections these rates agree with the parton model predictions for the underlying decays of the *b* quark [7-9]. The possibility of observing mixing-induced CP asymmetries in inclusive decays of neutral B mesons has been emphasized in Ref. [10]. The disadvantage that the inclusive sum over many final states partially dilutes the asymmetries is compensated by the fact that, because of the short-distance nature of inclusive processes, the strong phases are calculable using quark-hadron duality. The resulting CP asymmetries are proportional to the strong coupling constant $\alpha_s(m_h)$. The purpose of the present paper is to study direct CP violation in the rare radiative decays $B \rightarrow X_s \gamma$, both in the standard model and beyond. These decays have already been observed experimentally, and copious data samples will be collected at the Bfactories. As long as the fine structure of the photon energy spectrum is not probed locally, the theoretical analysis relies only on the weak assumption of global quark-hadron duality (unlike the hadronic inclusive decays considered in Ref. [10]). Also, the leading nonperturbative corrections have been studied in detail and are well understood [11-18].

We perform a model-independent analysis of *CP*violating effects in $B \rightarrow X_s \gamma$ decays in terms of the effective Wilson coefficients $C_7 \equiv C_7^{\text{eff}}(m_b)$ and $C_8 \equiv C_8^{\text{eff}}(m_b)$ multiplying the (chromo-) magnetic dipole operators

$$O_7 = \frac{em_b}{4\pi^2} \bar{s}_L \sigma_{\mu\nu} F^{\mu\nu} b_R,$$

$$O_8 = \frac{g_s m_b}{4\pi^2} \bar{s}_L \sigma_{\mu\nu} G^{\mu\nu} b_R,$$
 (1)

in the low-energy effective weak Hamiltonian [19]. We will allow for generic new physics contributions to the coefficients C_7 and C_8 , possibly containing new CP-violating couplings. Several extensions of the standard model in which new contributions to dipole operators arise have been explored, e.g., in Refs. [20-23]. We find that in the standard model the direct *CP* asymmetry in the decays $B \rightarrow X_s \gamma$ is very small (below 1% in magnitude) because of a combination of CKM and GIM (Glashow-Iliopoulos-Maiani) suppression, both of which can be lifted in extensions of the standard model. If there are new contributions to the dipole operators with sizable weak phases, they can induce a CP asymmetry that is more than an order of magnitude larger than in the standard model. We thus propose a measurement of the inclusive CP asymmetry in the decays $B \rightarrow X_s \gamma$ as a clean and sensitive probe of new physics. For simplicity, we shall not consider here the most general scenario of having other, nonstandard operators in the effective Hamiltonian. However, we will discuss the important case of new dipole operators involving right-handed light-quark fields, which occur, for instance, in left-right symmetric models. The interference of these operators with those of the standard basis, which is necessary for CP violation, is strongly suppressed by a power of m_s/m_b ; still, they can give sizable contributions to CP-averaged branching ratios for rare B decays.



FIG. 1. Diagrams for $b \rightarrow s \gamma(g)$ yielding nontrivial strong phases that can contribute to the *CP* asymmetry. The crosses indicate other possible attachments of the photon. The numbers inside the squares indicate which operators are inserted.

Studies of direct CP violation in the inclusive decays B $\rightarrow X_s \gamma$ have been performed previously by several authors, both in the standard model [24] and in certain extensions of it [25,26]. In all cases, rather small asymmetries of order a few percent or less are obtained. Here, we generalize and extend these analyses in various ways. Besides including some contributions to the asymmetry neglected in previous works, we shall investigate in detail a class of new physics models with enhanced chromo-magnetic dipole contributions, in which large CP asymmetries of order 10-50 % are possible and even natural. We also perform a full next-toleading order analysis of the *CP*-averaged $B \rightarrow X_s \gamma$ branching ratio in order to derive constraints on the parameter space of the new physics models considered here. For completeness, we note that CP violation has also been studied in the related decays $B \rightarrow X_s \ell^+ \ell^-$ [27], which however have a much smaller branching ratio than the radiative decays considered here.

II. DIRECT CP VIOLATION IN RADIATIVE B DECAYS

The starting point in the calculation of the inclusive B $\rightarrow X_s \gamma$ decay rate is provided by the effective weak Hamiltonian renormalized at the scale $\mu = m_b$ [19]. Direct CP violation in these decays may arise from the interference of nontrivial weak phases, contained in CKM matrix elements or in possible new physics contributions to the Wilson coefficient functions, with strong phases provided by the imaginary parts of the matrix elements of the local operators of the effective Hamiltonian [28]. These imaginary parts first arise at $O(\alpha_s)$ from loop diagrams containing charm quarks, light quarks or gluons. Using the formulas of Greub et al. for these contributions [29], we calculate at next-to-leading order the difference $\Delta \Gamma = \Gamma(\overline{B} \rightarrow X_s \gamma) - \Gamma(B \rightarrow X_s \gamma)$ of the CP-conjugate, inclusive decay rates. The contributions to $\Delta\Gamma$ from virtual corrections arise from interference of the one-loop diagrams with insertions of the operators O_2 and O_8 shown in Figs. 1(a) and 1(b) with the tree-level diagram for $b \rightarrow s \gamma$ containing an insertion of the operator O_7 . Here $O_2 = \bar{s}_L \gamma_\mu q_L \bar{q}_L \gamma^\mu b_L$ with q = c, u are the usual currentcurrent operators in the effective Hamiltonian. We find

$$\Delta \Gamma_{\text{virt}} = \frac{G_F^2 m_b^5 \alpha \alpha_s(m_b)}{18\pi^4} \bigg\{ -\frac{5}{9} \operatorname{Im}[v_u v_t^* C_2 C_7^*] \\ -\bigg(\frac{5}{9} - zv(z)\bigg) \operatorname{Im}[v_c v_t^* C_2 C_7^*] \\ -\frac{|v_t|^2}{2} \operatorname{Im}[C_8 C_7^*] \bigg\},$$
(2)

where $v_q = V_{qs}^* V_{qb}$ are products of CKM matrix elements, $z = (m_c/m_b)^2$, and

$$v(z) = \left(5 + \ln z + \ln^2 z - \frac{\pi^2}{3}\right) + \left(\ln^2 z - \frac{\pi^2}{3}\right) z + \left(\frac{28}{9} - \frac{4}{3}\ln z\right) z^2 + O(z^3).$$
(3)

There are also contributions to $\Delta\Gamma$ from gluon bremsstrahlung diagrams with a charm-quark loop, shown in Fig. 1(c). They can interfere with the tree-level diagrams for $b \rightarrow s \gamma g$ containing an insertion of O_7 or O_8 . Contrary to the virtual corrections, for which in the parton model the photon energy is fixed to its maximum value, the gluon bremsstrahlung diagrams lead to a nontrivial photon spectrum, and so the results depend on the experimental lower cutoff on the photon energy. We define a quantity δ by the requirement that E_{γ} $>(1-\delta)E_{\gamma}^{max}$, i.e., δ is the fraction of the spectrum above the cut.¹ We then obtain

$$\Delta \Gamma_{\text{brems}} = \frac{G_F^2 m_b^5 \alpha \alpha_s(m_b)}{18 \pi^4} z b(z, \delta) \\ \times (\text{Im}[v_c v_c^* C_2 C_7^*] - \frac{1}{2} \text{Im}[v_c v_c^* C_2 C_8^*]), \quad (4)$$

where $b(z, \delta) = g(z, 1) - g(z, 1 - \delta)$ with

$$g(z,y) = \theta(y-4z) \left\{ (y^2 - 4yz + 6z^2) \\ \times \ln \left(\sqrt{\frac{y}{4z}} + \sqrt{\frac{y}{4z} - 1} \right) \\ - \frac{3y(y-2z)}{4} \sqrt{1 - \frac{4z}{y}} \right\}.$$
(5)

Combining the two contributions, dividing the result by the leading-order expression for (twice) the *CP*-averaged inclusive decay rate,

$$\Gamma(\bar{B} \to X_s \gamma) + \Gamma(B \to X_s \gamma) = \frac{G_F^2 m_b^5 \alpha}{16\pi^4} |v_t C_7|^2, \qquad (6)$$

¹In the parton model $E_{\gamma}^{\max} = m_b/2$ depends on the quark mass and does not agree with the physical boundary of phase space. Later, we shall discuss how this problem is resolved by including the effects of Fermi motion.

and using the unitarity relation $v_u + v_c + v_t = 0$, we find for the *CP* asymmetry

$$A_{CP}^{b \to s \gamma}(\delta) = \frac{\Gamma(\overline{B} \to X_s \gamma) - \Gamma(B \to X_s^- \gamma)}{\Gamma(\overline{B} \to X_s \gamma) + \Gamma(B \to X_s^- \gamma)} \bigg|_{E_{\gamma} > (1 - \delta) E_{\gamma}^{\max}}$$
$$= \frac{\alpha_s(m_b)}{|C_7|^2} \bigg\{ \frac{40}{81} \operatorname{Im}[C_2 C_7^*] - \frac{4}{9} \operatorname{Im}[C_8 C_7^*] \\- \frac{8z}{9} [v(z) + b(z, \delta)] \operatorname{Im}[(1 + \epsilon_s) C_2 C_7^*] \\+ \frac{8z}{27} b(z, \delta) \operatorname{Im}[(1 + \epsilon_s) C_2 C_8^*] \bigg\},$$
(7)

where

$$\epsilon_{s} = \frac{v_{u}}{v_{t}} = \frac{V_{us}^{*}V_{ub}}{V_{ts}^{*}V_{tb}} \approx \lambda^{2}(i\eta - \rho) = O(10^{-2}).$$
(8)

In the last step, we have expressed ϵ_s in terms of the Wolfenstein parameters, with $\lambda = \sin \theta_C \approx 0.22$ and $\rho, \eta = O(1)$. We stress that Eq. (7) is an exact next-to-leading order result. All numerical coefficients are independent of the renormalization scheme. For consistency, the ratios of Wilson coefficients C_i must be evaluated in leading-logarithmic order. Whereas the bremsstrahlung contributions as well as the C_2-C_8 interference term are new, an estimate of the C_2-C_7 interference term has been obtained previously by Soares [24], who neglects the contribution of the function $b(z, \delta)$ and uses an approximation for v(z). The importance of the C_8-C_7 interference term for certain extensions of the standard model has been stressed by Wolfenstein and Wu [25], and the first correct calculation of its coefficient can be found in Ref. [26].

In the standard model, the Wilson coefficients take the real values $C_2 \approx 1.11$, $C_7 \approx -0.31$, and $C_8 \approx -0.15$. The imaginary part of the small quantity ϵ_s is thus the only source of CP violation. Note that all terms involving this quantity are GIM suppressed by a power of the small ratio $z = (m_c/m_b)^2$, reflecting the fact that there is no nontrivial weak phase difference in the limit where $m_c = m_u = 0$. Hence, the standard model prediction for the CP asymmetry is suppressed by three small factors: $\alpha_s(m_b)$ arising from the strong phases, $\sin^2 \theta_{\rm C}$ reflecting the CKM suppression, and $(m_c/m_b)^2$ resulting from the GIM suppression. The numerical result for the CP asymmetry depends on the values of the strong coupling constant and the ratio of the heavy-quark pole masses. Throughout this work we shall take $\alpha_s(m_b)$ \approx 0.214 [corresponding to $\alpha_s(m_Z) = 0.118$ and two-loop evolution down to the scale $m_b = 4.8 \text{ GeV}$ and $\sqrt{z} = m_c/m_b$ =0.29. The sensitivity of the next-to-leading order predictions for inclusive B decay rates to theoretical uncertainties in the values of the input parameters as well as to the choice of the renormalization scale and scheme have been investigated by several authors. Typically, the resulting uncertainties are of the order of 10%. Since a discussion of such effects is not the purpose of our study, we shall for simplicity assume fixed values of the input parameters as quoted above. With this choice we find

$$A_{CP,SM}^{b \to s\gamma}(\delta) \approx 1.54\% [1 + 0.15b(z, \delta)] \eta,$$
(9)

where $0 \le b(z, \delta) < 0.30$ depending on the value of δ . With $\eta \approx 0.2-0.4$ as suggested by phenomenological analyses [30], we find a tiny asymmetry of about 0.5%, in agreement with the estimate obtained in Ref. [24]. Expression (7) applies also to the decays $B \rightarrow X_d \gamma$, the only difference being that in this case the quantity ϵ_s must be replaced with the corresponding quantity

$$\epsilon_d = \frac{V_{ud}^* V_{ub}}{V_{td}^* V_{tb}} \approx \frac{\rho - i\,\eta}{1 - \rho + i\,\eta} = O(1). \tag{10}$$

Therefore, in the standard model the *CP* asymmetry in $B \rightarrow X_d \gamma$ decays is larger by a factor $-(\lambda^2[(1-\rho)^2 + \eta^2])^{-1} \approx -20$ than that in $B \rightarrow X_s \gamma$ decays. Note, however, that experimentally it would be very difficult to distinguish between inclusive $B \rightarrow X_s \gamma$ and $B \rightarrow X_d \gamma$ decays. If only the sum is measured, the *CP* asymmetry vanishes (in the limit where $m_s = m_d = 0$), since

$$\Delta\Gamma_{\rm SM}(B \to X_s \gamma) + \Delta\Gamma_{\rm SM}(B \to X_d \gamma)$$

$$\propto \operatorname{Im}[V_{ub}V_{tb}^*(V_{us}^*V_{ts} + V_{ud}^*V_{td})] = 0 \qquad (11)$$

by unitarity. This has also been pointed out in Ref. [24].

One might wonder whether our short-distance calculation of the *CP* asymmetry in inclusive $B \rightarrow X_s \gamma$ decays could be upset by large long-distance contributions to the decay amplitude mediated by the current-current transitions, which could spoil quark-hadron duality. The most important process is likely to be $B \rightarrow X_s V$ followed by virtual $V \rightarrow \gamma$ conversion, where $V = J/\psi$ for the $b \rightarrow c\bar{c}s$ transition, and $V = \rho^0, \omega^0$ for the $b \rightarrow u\bar{u}s$ transition. Using vector-meson dominance to estimate these effects [31,32], we find that the largest possible contribution to the asymmetry is due to $J/\psi \rightarrow \gamma$ conversion and is of order 1%, i.e., at the level of the prediction obtained using the short-distance expansion. Hence, we see no reason to question the applicability of the heavy-quark expansion to predict the inclusive *CP* asymmetry.

From Eq. (7) it is apparent that two of the suppression factors operative in the standard model, z and λ^2 , can be avoided in models where the effective Wilson coefficients C_7 and C_8 receive additional contributions involving nontrivial weak phases. Much larger *CP* asymmetries of $O(\alpha_s)$ then become possible. In order to investigate such models, we may to good approximation neglect the small quantity ϵ_s and write

$$A_{CP}^{b \to s \gamma}(\delta) = \frac{1}{|C_7|^2} \{ a_{27}(\delta) \operatorname{Im}[C_2 C_7^*] + a_{87} \operatorname{Im}[C_8 C_7^*] + a_{28}(\delta) \operatorname{Im}[C_2 C_8^*] \},$$
(12)

where

TABLE I. Values of the coefficients a_{ij} (in %), without (left) and with (right) Fermi motion effects included.

$a_{27}^{(p)}$	$a_{87}^{(p)}$	$a_{28}^{(p)}$	a ₂₇	a ₈₇	a ₂₈	E_{γ}^{\min} [GeV]
(parton model)			(with Fermi motion)			
1.06	-9.52	0.16	1.06	-9.52	0.16	0.00
1.17	-9.52	0.12	1.23	-9.52	0.10	1.85
1.31	-9.52	0.07	1.40	-9.52	0.04	2.24
	a ^(p) ₂₇ (pa 1.06 1.17 1.31	$\begin{array}{c} a_{27}^{(p)} & a_{87}^{(p)} \\ (parton mod) \\ 1.06 & -9.52 \\ 1.17 & -9.52 \\ 1.31 & -9.52 \end{array}$	$\begin{array}{ccc} a_{27}^{(p)} & a_{87}^{(p)} & a_{28}^{(p)} \\ (parton model) \\ \hline 1.06 & -9.52 & 0.16 \\ 1.17 & -9.52 & 0.12 \\ 1.31 & -9.52 & 0.07 \\ \end{array}$	$\begin{array}{cccc} a_{27}^{(p)} & a_{87}^{(p)} & a_{28}^{(p)} & a_{27} \\ (parton model) & (with \\ 1.06 & -9.52 & 0.16 & 1.06 \\ 1.17 & -9.52 & 0.12 & 1.23 \\ 1.31 & -9.52 & 0.07 & 1.40 \end{array}$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$

$$a_{27}^{(p)}(\delta) = \alpha_s(m_b) \left\{ \frac{40}{81} - \frac{8z}{9} [v(z) + b(z, \delta)] \right\},$$

$$a_{87}^{(p)} = -\frac{4}{9} \alpha_s(m_b),$$

$$a_{28}^{(p)}(\delta) = \frac{8}{27} \alpha_s(m_b) z b(z, \delta).$$
 (13)

The superscripts indicate that these results are obtained in the parton model. The values of the coefficients $a_{ii}^{(p)}$ are shown in the left portion of Table I for three choices of the cutoff on the photon energy: $\delta = 1$ corresponding to the (unrealistic) case of a fully inclusive measurement, $\delta = 0.3$ corresponding to a restriction to the part of the spectrum above ≈ 1.8 GeV, and $\delta = 0.15$ corresponding to a cutoff that removes almost all of the background from B decays into charmed hadrons. In practice, a restriction to the high-energy part of the photon spectrum is required for experimental reasons. Whereas the third term in Eq. (12) will generally be very small, the first two terms can give rise to sizable effects. Since $a_{27}^{(p)}$ has a rather weak dependence on δ and $a_{87}^{(p)}$ has none, the result for the CP asymmetry is not very sensitive to the choice of the photon-energy cutoff. Assume now that there is a new physics contribution to C_7 of similar magnitude as the standard model contribution, so as not to spoil the prediction for the CP-averaged decay rate in Eq. (6), but with a nontrivial weak phase. Then the first term in Eq. (12) may give a contribution of up to about 5% in magnitude. Similarly, if there are new physics contributions to C_7 and C_8 such that the ratio C_8/C_7 has a nontrivial weak phase, the second term may give a contribution of up to about $10\% \times |C_8/C_7|$. In models with a strong enhancement of $|C_8|$ with respect to its standard model value, there is thus the possibility of generating very large CP asymmetries in $B \rightarrow X_s \gamma$ decays. The relevance of the second term for two-Higgs-doublet models, and for left-right symmetric extensions of the standard model, has been explored in Refs. [25, 26].

In our discussion so far we have neglected nonperturbative power corrections to the inclusive decay rates. Their impact on the rate ratio defining the CP asymmetry is expected to be very small, since most of the corrections will cancel between the numerator and the denominator. Potentially the most important bound-state effect is the Fermi motion of the *b* quark inside the *B* meson, which determines the shape of the photon energy spectrum in the endpoint region. Technically, Fermi motion is included in the heavy-quark expansion by resumming an infinite set of leading-twist corrections into a nonperturbative "shape function" $F(k_+)$, which governs the light-cone momentum distribution of the heavy quark inside the meson [12,13]. The physical decay distributions are obtained from a convolution of parton model spectra with this function. In the process, phase-space boundaries defined by parton model kinematics are transformed into the proper physical boundaries defined by hadron kinematics. For the particular case of the coefficients $a_{ij}^{(p)}(\delta)$ in Eq. (13), where in the parton model the parameter δ is defined such that $E_{\gamma} \ge \frac{1}{2}(1-\delta)m_b$, it can be shown that the physical coefficients $a_{ij}(\delta)$ with $E_{\gamma} \ge \frac{1}{2}(1-\delta)m_B$ are given by [33]

$$a_{ij}(\delta) = \frac{\int_{m_B(1-\delta)-m_b}^{m_B-m_b} dk_+ F(k_+) a_{ij}^{(p)} \left(1 - \frac{m_B(1-\delta)}{m_b + k_+}\right)}{\int_{m_B(1-\delta)-m_b}^{m_B-m_b} dk_+ F(k_+)}.$$
(14)

This relation is such that there is no effect if either the parton model coefficient is independent of δ , or if the limit $\delta = 1$ is taken, i.e., the restriction on the photon energy is removed. Several *Ansätze* for the shape function have been suggested in the literature [12,13]. For our purposes, it is sufficient to adopt the simple form

$$F(k_{+}) = N(1-x)^{a} e^{(1+a)x}, \quad x = \frac{k_{+}}{\bar{\Lambda}} \le 1,$$
(15)

where $\bar{\Lambda} = m_B - m_b$. The normalization *N* cancels in the ratio in (14). The parameter *a* can be related to the heavyquark kinetic energy parameter $\mu_{\pi}^2 = -\lambda_1$ [34], yielding $\mu_{\pi}^2 = 3\bar{\Lambda}^2/(1+a)$. In the right portion of Table I, we show the values of the coefficients $a_{ij}(\delta)$ corrected for Fermi motion, using the above *Ansatz* with $m_b = 4.8$ GeV and $\mu_{\pi}^2 = 0.3$ GeV². We also give the physical values of the minimum photon energy, $E_{\gamma}^{\min} = \frac{1}{2}(1-\delta)m_B$. The largest coefficient, a_{87} , is not affected by Fermi motion, and the impact on the other two coefficients is rather mild. As a consequence, our predictions for the *CP* asymmetry are very much insensitive to bound-state effects, even if a restriction on the high-energy part of the photon spectrum is imposed.

III. NEXT-TO-LEADING ORDER CORRECTIONS TO $B \rightarrow X_s \gamma$

In the next section we shall explore in detail the structure of new physics models with a potentially large inclusive *CP* asymmetry. A nontrivial constraint on such models is that they must yield an acceptable result for the total, *CP*-averaged $B \rightarrow X_s \gamma$ branching ratio, which has been measured experimentally. Taking a weighed average of the results reported by the CLEO and ALEPH Collaborations [35,36] gives $B(B \rightarrow X_s \gamma) = (2.5 \pm 0.6) \times 10^{-4}$. We stress that this value is extracted from a measurement of the highenergy part of the photon energy spectrum assuming that the shape of the spectrum is as predicted by the standard model.

TABLE II. Values of the coefficients k_{ij} (in %) with Fermi motion effects included.

δ	E_{γ}^{\min} [GeV]	k ₇₇	k ₂₂	k_{88}	k ₂₇	k ₇₈	k ₂₈	$k_{77}^{(1)}$
0.90	0.26	75.67	0.23	8.47	-14.77	9.45	-0.04	3.47
0.30	1.85	68.13	0.11	0.53	-16.55	8.85	-0.01	3.86
0.15	2.24	52.18	0.03	0.11	-13.54	6.66	+0.00	3.15

For instance, the CLEO Collaboration has measured the spectrum in the energy range between 2.2 and 2.7 GeV and applied a correction factor of 0.87 ± 0.06 in order to extrapolate to the total decay rate [37] (see Ref. [33] for a critical discussion of this treatment).

The complete theoretical prediction for the $B \rightarrow X_s \gamma$ decay rate at next-to-leading order has been presented for the first time by Chetyrkin *et al.* [38]. The result for the corresponding branching ratio is usually obtained by normalizing the radiative decay rate to the semileptonic decay rate of *B* mesons, thus eliminating the strong dependence on the *b*-quark mass. We define

$$\frac{\Gamma(B \to X_s \gamma) \Big|_{E_{\gamma} > (1-\delta) E_{\gamma}^{\max}}}{\Gamma(B \to X_c e \bar{\nu})} = \frac{6\alpha}{\pi f(z)} \left| \frac{V_{ts}^* V_{tb}}{V_{cb}} \right|^2 K_{\text{NLO}}(\delta),$$
(16)

where $f(z) = 1 - 8z + 8z^3 - z^4 - 12z^2 \ln z$ is a phase-space factor, and the quantity $K_{\text{NLO}}(\delta) = |C_7|^2 + O(\alpha_s, 1/m_b^2)$ contains the corrections to the leading-order result. Using α^{-1} = 137.036 [39] and $|V_{ts}^*V_{tb}/V_{cb}| \approx 0.976$ as in Ref. [38], we get

$$\mathbf{B}(B \to X_s \gamma) \Big|_{E_{\gamma} > (1 - \delta) E_{\gamma}^{\max}}$$

$$\approx 2.57 \times 10^{-3} K_{\mathrm{NLO}}(\delta) \frac{\mathbf{B}(B \to X_c e \overline{\nu})}{10.5\%}.$$
(17)

From now on we shall assume the value $B(B \rightarrow X_c e \bar{\nu}) = 10.5\%$ for the semileptonic branching ratio and omit the last factor. The current experimental situation of measurements of this quantity and their theoretical interpretation are reviewed in Refs. [40, 41]. The general structure of the quantity K_{NLO} is

$$K_{\text{NLO}}(\delta) = \sum_{\substack{i,j=2,7,8\\i \leqslant j}} k_{ij}(\delta) \operatorname{Re}[C_i C_j^*] + k_{77}^{(1)}(\delta) \operatorname{Re}[C_7^{(1)} C_7^*],$$
(18)

where $k_{ij}(\delta)$ are known coefficient functions depending on the energy cutoff parameter δ , and $C_7^{(1)}$ is the next-to-leading order contribution to the Wilson coefficient $C_7^{\text{eff}}(m_b)$. In the standard model $C_7^{(1)} \approx 0.48$ [38]. Explicit expressions for the functions $k_{ij}(\delta)$, at next-to-leading order in α_s and including power corrections of order $1/m_b^2$, can be found in Ref. [33], where we correct some mistakes in the formulas used by previous authors. (The corrected expressions are also given in the erratum to Ref. [38].) Contrary to the case of the *CP*



FIG. 2. Theoretical predictions for the integrated $B \rightarrow X_s \gamma$ branching ratio for various choices of the parameters m_b and μ_{π}^2 ; left: $\mu_{\pi}^2 = (0.30 \pm 0.15) \text{ GeV}^2$ for fixed m_b ; right: $m_b = (4.80 \pm 0.15) \text{ GeV}$ for fixed ratio $\mu_{\pi}^2/\bar{\Lambda}^2$. The data point shows the CLEO measurement.

asymmetry, the impact of Fermi motion on the partially integrated $B \rightarrow X_s \gamma$ decay rate is an important one for values of δ that are realistic for present-day experiments. In Table II, we show the values of the coefficients k_{ij} corrected for Fermi motion [33], using again $m_b = 4.8 \text{ GeV}$ and $\mu_{\pi}^2 = 0.3 \text{ GeV}^2$ for the parameters of the shape function. We quote the results for three choices of the cutoff on the photon energy: $\delta = 0.9$ corresponding to an almost fully inclusive measurement, and $\delta = 0.3$ and 0.15 corresponding to a restriction to the high-energy part of the photon spectrum. The choice δ =1 must be avoided because of a weak, logarithmic softphoton divergence in the prediction for the total $B \rightarrow X_s \gamma$ branching ratio caused by the term proportional to $k_{88}(\delta)$. Note that with a realistic choice of the cutoff parameter δ the coefficient k_{88} of the term proportional to $|C_8|^2$ in Eq. (18) becomes very small. This observation will become important later on. With our choice of parameters, we obtain in the standard model B($B \rightarrow X_s \gamma$) = (3.3±0.3)×10⁻⁴ for δ =0.9 [33], in good agreement with the results obtained in previous analyses [38,42,43].

In order to illustrate the sensitivity of our results to the parameters of the shape function, we show in Fig. 2 the predictions for the standard model branching ratio as a function of the energy cutoff $E_{\gamma}^{\min} = \frac{1}{2}(1-\delta)m_B$. In the first plot, we keep $m_b = 4.8$ GeV fixed and compare the parton model result (gray curve) with the results corrected for Fermi motion, using $\mu_{\pi}^2 = 0.15 \text{ GeV}^2$ (short-dashed curve), 0.30 GeV² (solid curve), and 0.45 GeV^2 (long-dashed curve). This figure illustrates how Fermi motion fills the gap between the parton model endpoint at $m_b/2$ and the physical endpoint² at $m_B/2$. In the second plot, we vary $m_b = 4.65 \text{ GeV}$ (longdashed curve), 4.8 GeV (solid curve), and 4.95 GeV (shortdashed curve), adjusting the parameter μ_{π}^2 in such a way that the ratio $\mu_{\pi}^2/\bar{\Lambda}^2$ remains fixed. For comparison, we show the data point $B(B \rightarrow X_s \gamma) = (2.04 \pm 0.47) \times 10^{-4}$ obtained by the CLEO Collaboration with a cutoff at 2.2 GeV [37]. The

²The true physical endpoint is actually located at $[m_B^2 - (m_K + m_\pi)^2]/2m_B \approx 2.60$ GeV, i.e., slightly below $m_B/2 \approx 2.64$ GeV. Close to the endpoint, our theoretical prediction is "dual" to the true spectrum in an average sense.

fact that in the CLEO analysis the cutoff is imposed on the photon energy in the laboratory frame rather than in the rest frame of the *B* meson is not very important for the partially integrated branching ratio [33] and will be neglected here. Obviously, there is a rather strong dependence of the partially integrated branching ratio on the value of the *b*-quark mass. In particular, by choosing a low value of m_b it is possible to get agreement with the CLEO measurement without changing the prediction for the total branching ratio. The important lesson from this investigation is that the theoretical uncertainty in the prediction for the integral over the highenergy part of the photon spectrum is significantly larger than the uncertainty in the prediction of the total branching ratio. So far, this fact has not been taken into account in the comparison of the extrapolated experimental numbers for the total branching ratio with theory. Ultimately, the theoretical errors may be reduced by tuning the parameters of the shape function to fit the measured energy spectrum; however, at present the experimental errors are too large to make such a fit meaningful [33]. Below, we shall perform our calculations for the case $\delta = 0.3$ corresponding to $E_{\gamma}^{\min} \approx 1.85$ GeV, which is large enough to be realistic for near-future experiments, yet low enough to be sufficiently insensitive to the modeling of Fermi motion. As we have pointed out before, the results for the CP asymmetry depend very little on the choice of cutoff.

IV. CP ASYMMETRY BEYOND THE STANDARD MODEL

In order to explore the implications of various new physics scenarios for the *CP* asymmetry and branching ratio in $B \rightarrow X_s \gamma$ decays it is useful to express the Wilson coefficients $C_7 = C_7^{\text{eff}}(m_b)$ and $C_8 = C_8^{\text{eff}}(m_b)$, which are defined at the scale m_b , in terms of their values at the high scale m_W . Using the leading-order renormalization-group equations, one obtains

$$C_{7} = \eta^{16/23} C_{7}(m_{W}) + \frac{8}{3} (\eta^{14/23} - \eta^{16/23}) C_{8}(m_{W}) + \sum_{i=1}^{8} h_{i} \eta^{a_{i}},$$

$$C_{8} = \eta^{14/23} C_{8}(m_{W}) + \sum_{i=1}^{8} \bar{h}_{i} \eta^{a_{i}},$$
(19)

where $\eta = \alpha_s(m_W)/\alpha_s(m_b) \approx 0.56$, and h_i , \bar{h}_i and a_i are known numerical coefficients [44,45]. For the Wilson coefficients at the scale m_W , we write

$$C_{7}(m_{W}) = -\frac{1}{2}A(x_{t}) + C_{7}^{\text{new}}(m_{W}),$$

$$C_{8}(m_{W}) = -\frac{1}{2}D(x_{t}) + C_{8}^{\text{new}}(m_{W}),$$
(20)

where the first terms correspond to the leading-order standard model contributions [46]. They are known functions of the mass ratio $x_t = [\overline{m}_t(m_W)/m_W]^2$, which we evaluate with $\overline{m}_t(m_W) \approx 178$ GeV (corresponding to a pole mass of 175 GeV). This yields $\frac{1}{2}A(x_t) \approx 0.20$ and $\frac{1}{2}D(x_t) \approx 0.10$. Using a similar evolution equation for the next-to-leading coefficient $C_7^{(1)}$ [38], we find³

$$C_{7} \approx -0.31 + 0.67C_{7}^{\text{new}}(m_{W}) + 0.09C_{8}^{\text{new}}(m_{W}),$$

$$C_{8} \approx -0.15 + 0.70C_{8}^{\text{new}}(m_{W}),$$

$$C_{7}^{(1)} \approx 0.48 - 2.29C_{7}^{\text{new}}(m_{W}) - 0.12C_{8}^{\text{new}}(m_{W}).$$
(21)

Below, we will parametrize our results in terms of the magnitude and phase of one of the new physics contributions, $C_8^{\text{new}}(m_W) \equiv K_8 e^{i\gamma_8}$ or $C_7^{\text{new}}(m_W) \equiv -K_7 e^{i\gamma_7}$, as well as the ratio

$$\xi = \frac{C_7^{\text{new}}(m_W)}{Q_d C_8^{\text{new}}(m_W)},$$
(22)

where $Q_d = -\frac{1}{3}$. A given new physics scenario will make predictions for these quantities at some large scale M. Using the renormalization group, it is then possible to evolve these predictions down to the scale m_W . At leading order, the analogues of the relations (19) imply

$$\xi \equiv \xi(m_W) = r\xi(M) - 8(1 - r),$$

$$C_8^{\text{new}}(m_W) = r^7 C_8^{\text{new}}(M),$$
(23)

where $r = [\alpha_s(M)/\alpha_s(m_W)]^{2/3b}$. Here $b = 11 - \frac{2}{3}n_f - 2n_g$ is the first β -function coefficient, $n_f = 6$ is the number of light (with respect to the scale *M*) quark flavors, and $n_g = 0,1$ denotes the number of light gluinos. For the purpose of illustration, let us consider the three values M = 250 GeV, 1 TeV, and 2.5 TeV, which span a reasonable range of possible new physics scales. We find

$$\xi \approx 0.98 \xi(250 \text{ GeV}) - 0.12 - 0.03n_g$$
$$\approx 0.97 \xi(1 \text{ TeV}) - 0.23 - 0.03n_g$$
$$\approx 0.96 \xi(2.5 \text{ TeV}) - 0.29 - 0.04n_g. \tag{24}$$

i.e., ξ tends to be smaller than $\xi(M)$ by an amount of order -0.1 to -0.3 depending on how close the new physics is to the electroweak scale. These relations will be useful for the discussion below.

For simplicity, we shall restrict ourselves to cases where the parameter ξ in Eq. (22) is real. (Otherwise there would be even more potential for *CP* violation.) This happens if there is a single dominant new physics contribution, such as the virtual exchange of a new heavy particle, contributing to both the magnetic and the chromomagnetic dipole operators.

³For consistency, the new physics contributions entering the expression for C_7 should be taken at next-to-leading order in $\alpha_s(m_W)$, i.e., in the radiative decay width the corresponding next-to-leading order new physics matching corrections would be accounted for through C_7 rather than $C_7^{(1)}$.

Class-1 models	$\xi(M)$	Class-2 models	$\xi(M)$
neutral scalar-vectorlike quark gluino-squark $(m_{\tilde{g}} < 1.37m_{\tilde{q}})$ sechniscalar	$ \begin{array}{c} 1 \\ -(0.13-1) \\ \approx -0.5 \end{array} $	scalar diquark-top gluino-squark $(m_{\tilde{g}} > 1.37m_{\tilde{q}})$ charged Higgs-top left-right <i>W</i> -top Higgsino-stop	$4.8-8.3 - (1-2.9) - (2.4-3.8) \approx -6.7 - (2.6-24)$
			(2.0 21)

TABLE III. Ranges of $\xi(M)$ for various new physics contributions to C_7 and C_8 , characterized by the particles in penguin diagrams.

Ranges of $\xi(M)$ for several illustrative new physics scenarios are collected in Table III. They have been obtained, for simplicity, at leading order in α_s and at the new physics scale M characteristic of each particular model. With the help of the relations in Eq. (24), the values of $\xi(M)$ can be translated into the corresponding values of ξ , which enter our theoretical expressions. Our aim here is not to carry out a detailed study of each model, but to give the reader an idea of the sizable variation that is possible in ξ . It is instructive to distinguish two classes of models: those with moderate (class-1) and those with large (class-2) values of ξ it is possible to have large complex contributions to C_8 without affecting too much the magnitude and phase of C_7 , since

$$\frac{C_8}{C_7} \approx \frac{0.70K_8 e^{i\gamma_8} - 0.15}{(0.09 - 0.22\xi)K_8 e^{i\gamma_8} - 0.31}.$$
(25)

This is also true for small negative values of ξ , albeit over a smaller region of parameter space. New physics scenarios that have this property belong to class-1 and have been explored in Ref. [20]. They allow for large *CP* asymmetries resulting from the C_7-C_8 interference term in Eq. (12). Examples are penguin diagrams containing new neutral scalars and vectorlike quarks with charge $Q_d = -\frac{1}{3}$, for which

 $\xi(M) = 1$ and hence $\xi \approx 0.8$, and supersymmetric penguins containing light gluinos and squarks, for which ξ is negative and can be tuned by adjusting the mass ratio $m_{\tilde{g}}/m_{\tilde{a}}$. A detailed analysis of the decays $B \rightarrow X_s \gamma$ in the latter scenario is given in Ref. [21] for the case of real C_7 and C_8 . In the table, we specifically consider graphs with flavor offdiagonal left-right down-squark mass insertions under the assumption that the squark masses are approximately degenerate. The gluino and squark masses are taken to lie in the intervals 150 GeV $\leq m_{\tilde{g}} \leq 2.5$ TeV and 250 GeV $\leq m_{\tilde{g}}$ \leq 2.5 TeV, respectively. Another example is provided by models with techniscalars of charge $\frac{1}{6}$ [20,47,48], which have $\xi(M) \approx -0.5$ and hence $\xi \approx -0.7$. In class-1 models, the magnitude of C_8 can be made almost an order of magnitude larger than in the standard model without spoiling the theoretical prediction for the $B \rightarrow X_s \gamma$ branching ratio.

In Fig. 3, we show contour plots for the *CP* asymmetry in the (K_8, γ_8) plane for six different choices of ξ between $\frac{3}{2}$ and -1, assuming a cutoff $E_{\gamma} > 1.85$ GeV on the photon energy (corresponding to $\delta = 0.3$). We repeat that the results for the *CP* asymmetry depend very little on the choice of the cutoff. For each value of ξ , the plots cover the region $0 \le K_8 \le 2$ and $0 \le \gamma_8 \le \pi$ (changing the sign of γ_8 would only change the sign of the *CP* asymmetry of 1%, 5%, 10%, 15%, etc.



FIG. 3. Contour plots for the *CP* asymmetry $A_{CP}^{b \to s\gamma}$ for various class-1 models.

The thick dashed lines indicate contours where the branching ratio takes values between 1×10^{-4} and 4×10^{-4} , as indicated by the numbers inside the squares. For comparison, we recall that the standard model prediction with this choice of δ is close to 3×10^{-4} , whereas the current experimental values are around 2.5×10^{-4} . The main conclusion to be drawn from Fig. 3 is that in class-1 scenarios there exists great potential for sizable CP asymmetries in a large region of parameter space. Any point to the right of the 1% contour for $A_{CP}^{b \to s\gamma}$ cannot be accommodated by the standard model. On the other hand, we see that asymmetries of several tens of percent⁴ are possible in certain extensions of the standard model. It is remarkable that in all cases the regions of parameter space that yield the largest values for the CP asymmetries are not excluded by the experimental constraint on the CP-averaged branching ratio. This is because to have large *CP* asymmetries the cross-products $C_i C_j^*$ in Eq. (12) are required to have large imaginary parts, whereas the total branching ratio is sensitive to the real parts of these quantities. Note, in this context, that the cutoff imposed on the photon energy strongly reduces the size of the coefficient of the potentially dangerous term proportional to $|C_8|^2$ in Eq. (18) and thereby helps in keeping the prediction for the branching ratio at an acceptably low level even for large values of K_8 .

There are also scenarios in which the parameter ξ takes on larger negative or positive values. In such cases, it is not possible to increase the magnitude of C_8 much over its standard model value, and the only way to get large CP asymmetries from the $C_7 - C_8$ or $C_7 - C_2$ interference terms in Eq. (12) is to have C_7 tuned to be very small; however, this possibility is constrained by the fact that the total $B \rightarrow X_s \gamma$ branching ratio must be of an acceptable magnitude. That this condition starts to become a limiting factor is already seen in the plots corresponding to $\xi = -\frac{1}{2}$ and -1 in Fig. 3. For even larger values of $|\xi|$, the $C_7 - C_8$ interference term becomes ineffective, because the weak phase tends to cancel in the ratio C_8/C_7 in Eq. (25). Then the C_2-C_7 interference term becomes the main source of CP violation; however, as discussed in Sec. II, it cannot lead to asymmetries exceeding a level of about 5% without violating the constraint that the $B \rightarrow X_s \gamma$ branching ratio not be too small. Models of this type belong to the class-2 category. Some examples are listed in the right portion of Table III and can be summarized as follows.

Models with gluino-squark loops can have large negative ξ if the ratio $m_{\tilde{g}}/m_{\tilde{q}}$ is sufficiently large. Penguin graphs in left-right symmetric models with right-handed couplings of the *W* boson to the top and bottom quarks and internal topmass chirality flip have $\xi(M) \approx \xi \approx -6.7$. Charged-Higgs-top penguins in multi-Higgs models always have $\xi(M) < -2$ because of the charge of the top quark. In the table graphs with internal chirality flip are considered, with charged Higgs mass lying in the range 125 GeV $\leq m_{H^-}$ ≤ 2.5 TeV (where ξ increases as m_{H^-} is increased). In general multi-Higgs models these graphs are enhanced by a power of m_t/m_b relative to their counterparts with external chirality flip. Examples are type-3 two-Higgs-doublet models [25], left-right symmetric models [26,49–51], or models with additional Higgs doublets which do not acquire significant vacuum expectation values. In all of these examples new CP-violating phases can enter the penguin graphs, unlike in type-2 two-Higgs doublet models. Chargino-stop penguins always lead to sizable negative values of ξ . For simplicity, we have considered loops that contain a pure charged Higgsino which flips chirality. The superpartners of new Higgs doublets with negligible vacuum expectation values would, for example, be pure Higgsinos. The physical stop and Higgsino masses are varied in the ranges 175 GeV $\leq m_{\tilde{t}_1}, m_{\tilde{t}_2} \leq 2.5 \text{ TeV}$ and 125 GeV $\leq m_{\tilde{h}} \leq 2.5 \text{ TeV}$, respectively, under the simplifying assumption that the stop mass matrix has equal diagonal entries, m^2 , and equal off-diagonal (left-right) entries, μ^2 , with magnitudes satisfying $|\mu|^2$ $\leq |mm_t|$. Finally, large positive values of ξ arise from penguin graphs with a charge $-\frac{1}{3}$ scalar "diquark" and anti-top quark in the loop. The range of values for $\xi(M)$ quoted is again obtained for graphs with internal chirality flip, and scalar diquark mass in the range 250 GeV–2.5 TeV (where ξ decreases as the scalar mass increases). In general, the phase structure of new penguin contributions with internal and external chirality flip will differ in the above examples; however, since the former tend to dominate due to chiral enhancement of order m_F/m_b , where m_F is the mass of the heavy fermion in the loop, ξ will be real to good approximation.

For a graphical analysis of class-2 models it is convenient to choose the magnitude and phase of the new-physics contribution $C_7^{\text{new}}(m_W) \equiv -K_7 e^{i\gamma_7}$ as parameters, rather than K_8 and γ_8 . The reason is that for large $|\xi|$ it becomes increasingly unlikely that $C_8^{\text{new}}(m_W)$ will be large. The resulting plots are given in Fig. 4. As before, the dashed lines indicate the acceptable range for the $B \rightarrow X_s \gamma$ branching ratio. The branching-ratio constraint allows larger values of C_8 for positive ξ , which explains why larger asymmetries are attainable in this case. For example, for $\xi \approx 5$, which can be obtained from scalar diquark-top penguins, asymmetries of 5–20% are seen to be consistent with the $B \rightarrow X_s \gamma$ bound. On the other hand, for $\xi \approx -(2.5-5)$, which includes the multi-Higgs-doublet models, CP asymmetries of only a few percent are attainable, in agreement with the findings of previous authors [25,26,52]. The same is true for the left-right symmetric W-top penguin, particularly if one takes into account that $K_7 \leq 0.2$ if $m_{W_p} > 1$ TeV.

The new physics scenarios explored in Fig. 3 have the attractive feature of a possible large enhancement of the magnitude of the Wilson coefficient C_8 . This has important implications for the phenomenology of the semileptonic branching ratio and charm production yield in *B* decays, through enhanced production of charmless hadronic final states induced by the $b \rightarrow sg$ flavor-changing neutral current

⁴We show contours only until values $A_{CP} = 50\%$; for such large values, the theoretical expression for the *CP* asymmetry in Eq. (12) would have to be extended to higher orders to get a reliable result.



FIG. 4. Contour plots for the *CP* asymmetry $A_{CP}^{b \rightarrow s\gamma}$ for various class-2 models.

(FCNC) transition [20,21,53]. At $O(\alpha_s)$, the theoretical expression for the $B \rightarrow X_{sg}$ decay rate is obtained from obvious substitutions in Eq. (6) to be

$$\Gamma(B \to X_{sg}) = \frac{G_F^2 m_b^5 \alpha_s(m_b)}{24\pi^4} |v_t C_8|^2.$$
(26)

Normalizing this to the semileptonic rate, we obtain for the corresponding branching ratio $B(B \rightarrow X_{sg}) \approx 0.96 |C_8|^2$ $\times B(B \rightarrow X_c e \overline{\nu})$. In the first plot in Fig. 5, we show contours for the $B \rightarrow X_{sg}$ branching ratio, normalized to $B(B \rightarrow X_c e \overline{\nu}) = 10.5\%$, in the (K_8, γ_8) plane. In the standard model, B($B \rightarrow X_{sg}$) $\approx 0.2\%$ is very small; however, in scenarios with $|C_8| = O(1)$ sizable values of order 10% for this branching ratio are possible, which simultaneously lowers the theoretical predictions for the semileptonic branching ratio and the charm production rate n_c by a factor of [1] $+B(B \rightarrow X_{sg})]^{-1}$. The most recent value of n_c reported by the CLEO Collaboration is 1.12 ± 0.05 [40]. Although the systematic errors in this measurement are large, the result



FIG. 5. $B \rightarrow X_{sg}$ branching ratio (left) and charm yield in *B* decays (right) as a function of the parameters K_8 and γ_8 . There is an overall theoretical uncertainty of 6% on the values of n_c .

favors values of $B(B \rightarrow X_{sg})$ of order 10% [54]. This is apparent from the second plot in Fig. 5, where we show the central theoretical prediction for n_c as a function of K_8 and γ_8 . (There is an overall theoretical uncertainty in the value of n_c of about 6% [55], resulting from the dependence on quark masses and the renormalization scale.) The theoretical prediction for the semileptonic branching ratio would have the same dependence on K_8 and γ_8 , with the normalization $B_{SL}=(12\pm1)\%$ fixed at $K_8=0$ [55]. A large value of $B(B \rightarrow X_{sg})$ could also help in understanding the η' yields in charmless B decays [56,57]. For completeness, we note that the CLEO Collaboration has recently presented a preliminary upper limit⁵ on B($B \rightarrow X_{sg}$) of 6.8% (90% CL) [60]. It is therefore worth noting that large CP asymmetries of order 10-20 % are easily attained at smaller $B \rightarrow X_{sg}$ branching ratios of a few percent, which would nevertheless represent a marked departure from the standard model prediction.

V. DIPOLE OPERATORS WITH RIGHT-HANDED LIGHT QUARKS, AND MODELS WITHOUT CKM UNITARITY

All the models listed in Table III can have nonstandard dipole operators involving right-handed light-quark fields. In fact, in the absence of horizontal symmetries which impose special hierarchies among the model parameters there is no reason why these should be any less important than the operators of the standard basis. We therefore briefly discuss modifications to our previous analysis in their presence. Denoting by C_7^R and C_8^R the Wilson coefficients multiplying the new operators, the expressions (12), (18), and (26) must be

⁵The limit is increased to 8.9% if one uses the more recent charmed baryon and charmonium yields presented in Refs. [40, 58] and makes use of the relative Λ_c versus $\overline{\Lambda}_c$ yields given in Ref. [59].



FIG. 6. Contour plots for the *CP* asymmetry $A_{CP}^{b \to s\gamma}$ for the same choices of the parameter ξ as in Fig. 3, but including the effect of different-chirality operators (as explained in the text).

modified by replacing $C_i C_j^* \rightarrow C_i C_j^* + C_i^R C_j^{R*}$ everywhere, taking however into account that $C_2^R = 0$. Note that for a single dominant new physics contribution the parametrization in Eq. (22) for the standard dipole operators will also be valid for the new operators, with ξ taking the same real value. Then the only change in the prediction for the CPasymmetry is that in the denominator of Eq. (12) the coefficient $|C_7|^2$ is replaced by $|C_7|^2 + |C_7^R|^2$. On the other hand, there are several new contributions to the prediction for the total $B \rightarrow X_s \gamma$ branching ratio, as can be seen from Eq. (18). For the purpose of illustration, let us assume that the new physics contributions are the same for operators of different chirality, i.e., $C_i^{R,\text{new}}(m_W) = C_i^{\text{new}}(m_W)$ for i = 7,8. The results are shown in Fig. 6, where we explore the same range of ξ values as in Fig. 3. The predictions for the $B \rightarrow X_{sg}$ branching ratio are enhanced because $|C_8|^2$ in Eq. (26) is replaced by $|C_8|^2 + |C_8^R|^2$, so we only consider the range 0 $\leq K_8 \leq 1.5$, which covers the same values of B($B \rightarrow X_{se}$) as before. Comparing Figs. 3 and 6, we observe that although there is a clear dilution of the resulting CP asymmetries caused by the inclusion of opposite-chirality operators, there is still plenty of parameter space in which the asymmetries are much larger than in the standard model. We should also point out that, if there is more than one significant new physics contribution to the dipole operators, there need not be any dilution since the product $C_8^R C_7^{R*}$ could develop an imaginary part, thus providing an additional contribution to the CP asymmetry.

Finally, we briefly discuss what happens in models with CKM unitarity violation. In terms of the quantity Δ_s defined by $v_u + v_c + (1 + \Delta_s)v_i = 0$, the result for the *CP* asymmetry in Eq. (7) generalizes to

$$A_{CP}^{b \to s \gamma}(\delta) = \frac{\Gamma(\bar{B} \to X_s \gamma) - \Gamma(B \to X_{\bar{s}} \gamma)}{\Gamma(\bar{B} \to X_s \gamma) + \Gamma(B \to X_{\bar{s}} \gamma)} \bigg|_{E_{\gamma} > (1-\delta)E_{\gamma}^{\max}}$$
$$= \frac{\alpha_s(m_b)}{|C_7|^2} \bigg\{ \frac{40}{81} \operatorname{Im}[(1+\Delta_s)C_2C_7^*] - \frac{4}{9} \operatorname{Im}[C_8C_7^*] \\- \frac{8z}{9} [v(z) + b(z,\delta)] \operatorname{Im}[(1+\epsilon_s + \Delta_s)C_2C_7^*] \\+ \frac{8z}{27} b(z,\delta) \operatorname{Im}[(1+\epsilon_s + \Delta_s)C_2C_8^*] \bigg\}.$$
(27)

 Δ_s parametrizes the deviation from unitarity of the 3-generation CKM matrix, which could be caused, for instance, by mixing of the known down quarks with a new isosinglet heavy quark, or by the existence of a sequential fourth generation of quarks. In principle, asymmetries much larger than in the standard model could be attained provided that Δ_s has a significant weak phase. This reflects the fact that the GIM suppression is no longer at work if CKM unitarity is violated. However, we will now show that in plausible scenarios the effect of Δ_s on the *CP* asymmetry is very small. In the case of mixing with isosinglets, existing experimental limits [61] on the FCNC process $B \to X_s \ell^+ \ell^-$ induced by tree-level Z exchange [62] imply $\Delta_s < 0.04$. The impact of nonunitarity can therefore be safely neglected, since new contributions to the CP asymmetry would be well below 1%. Let us, therefore, turn to the case of a sequential fourth generation with a new up-type quark denoted by t'. As before, we will neglect the small quantity ϵ_s , so that $\text{Im}[\Delta_s]$ is the only source of *CP* violation. Then the above expression can be rewritten in the simpler form

$$A_{CP}^{b \to s \gamma}(\delta) = a_{27}(\delta) \operatorname{Im}\left[\frac{(1+\Delta_s)C_2}{C_7}\right] + a_{87} \operatorname{Im}\left[\frac{C_8}{C_7}\right] + a_{28}(\delta) \operatorname{Im}\left[\frac{(1+\Delta_s)C_2}{C_7} \cdot \frac{C_8^*}{C_7^*}\right].$$
(28)

In such a scenario, the *CP* asymmetry is affected not only by the nonunitarity of the 3-generation CKM matrix with $\Delta_s = v_{t'}/v_t$ in Eq. (27), but also by the new contributions of the t' quark to the Wilson coefficients C_7 and C_8 at the scale m_W . In analogy with Eq. (20), we have

$$C_{7}(m_{W}) = -\frac{1}{2} [A(x_{t}) + \Delta_{s}A(x_{t'})],$$

$$C_{8}(m_{W}) = -\frac{1}{2} [D(x_{t}) + \Delta_{s}D(x_{t'})],$$
(29)

where $x_{t'} = (\bar{m}_{t'}(m_W)/m_W)^2$. In addition, there is a modification to the evolution equations (19) for the Wilson coefficients C_7 and C_8 , where now the last terms (those involving the coefficients h_i and \bar{h}_i) must be multiplied by $-(v_c + v_u)/v_t = (1 + \Delta_s)$. Taking $m_{t'} = 250$ GeV for the purpose of illustration, we obtain $C_7 \approx -0.31 - 0.34\Delta_s$ and $C_8 \approx -0.15 - 0.16\Delta_s$, i.e., to a good approximation we have $C_{7,8} \approx (1 + \Delta_s)C_{7,8}^{\text{SM}}$. This just reflects the fact that the functions A(x) and D(x) are slowly varying for $x \ge 1$. In this limit, however, all dependence on Δ_s cancels in the expression for the *CP* asymmetry. As a result, there is in general not much potential for having large *CP* asymmetries in models with a sequential fourth generation. For all realistic choices of parameters, we find asymmetries of less than 2%, i.e., of a similar magnitude as in the standard model.

VI. CONCLUSIONS

We have presented a study of direct CP violation in the inclusive, radiative decays $B \rightarrow X_s \gamma$. From a theoretical point of view, inclusive decay rates entail the advantage of being calculable in QCD, so that a reliable prediction for the CP asymmetry can be confronted with data. From a practical point of view, it is encouraging that the rare radiative decays of B mesons have already been observed experimentally, and high-statistics measurements of the corresponding rates will be possible in the near future. We find that in the standard model the *CP* asymmetry in $B \rightarrow X_s \gamma$ decays is strongly suppressed by three small parameters: $\alpha_s(m_b)$ arising from the necessity of having strong phases, $\sin^2 \theta_{\rm C} \approx 5\%$ reflecting a CKM suppression, and $(m_c/m_h)^2 \approx 8\%$ resulting from a GIM suppression. As a result, the CP asymmetry can be safely predicted to be of order 1% in magnitude. This conclusion will not be significantly modified by long-distance contributions. We have argued that the latter two suppression factors are inoperative in extensions of the standard model for which the effective Wilson coefficients C_7 and C_8 receive additional contributions involving nontrivial weak phases. Much larger *CP* asymmetries of $O(\alpha_s)$ are therefore possible in such cases.

We have presented a model-independent analysis of new physics scenarios in terms of the magnitudes and phases of the Wilson coefficients C_7 and C_8 , finding that, indeed, sizable CP asymmetries are predicted in large regions of parameter space. Some explicit realizations of models with large *CP* asymmetries have been illustrated. In particular, we have shown that asymmetries of 10-50 % are possible in models which allow for a strong enhancement of the contribution from the chromomagnetic dipole operator. This is, in fact, quite natural unless there is a symmetry that forbids new weak phases from entering the coefficients C_7 and C_8 . We have also shown that the predictions for the CP asymmetry are only moderately diluted if operators involving right-handed light-quark fields are included in the analysis. On the other hand, we confirm the findings of previous authors regarding the smallness of the CP asymmetry that is attainable in two-Higgs-doublet models and in left-right symmetric models. Moreover, we find very small effects for models in which 3-generation unitarity is violated. Quite generally, having a large CP asymmetry is not in conflict with the observed value for the *CP*-averaged $B \rightarrow X_s \gamma$ branching ratio. On the contrary, it may even help to lower the theoretical prediction for this quantity, and likewise for the semileptonic branching ratio and charm multiplicity in Bdecays, thereby bringing these three observables closer to their experimental values.

The fact that a large inclusive *CP* asymmetry in $B \rightarrow X_s \gamma$ decays is possible in many generic extensions of the standard model, and in a large region of parameter space, offers the exciting possibility of looking for a signature of new physics in these decays using data sets that will become available during the first period of operation of the *B* factories (if not existing data sets). A negative result of such a study would impose constraints on many new physics scenarios. A large positive signal, on the other hand, would provide interesting clues about the nature of physics beyond the standard model. In particular, a *CP* asymmetry exceeding the level of 10% would be a strong hint towards enhanced chromomagnetic dipole transitions caused by some new flavor physics at a high scale.

We have restricted our analysis to the case of inclusive radiative decays since they entail the advantage of being very clean, in the sense that the strong-interaction phases relevant for direct *CP* violation can be reliably calculated. However, if there is new physics that induces a large inclusive *CP* asymmetry in $B \rightarrow X_s \gamma$ decays, it will inevitably also lead to sizable asymmetries in some related processes. In particular, since we found that the inclusive *CP* asymmetry remains almost unaffected if a cut on the high-energy part of the photon energy spectrum is imposed, we expect that a large asymmetry will persist in the exclusive mode $B \rightarrow K^* \gamma$, even though a reliable theoretical analysis would be much more difficult because of the necessity of calculating final-state rescattering phases [63]. Still, it is worthwhile searching for a large *CP* asymmetry in this channel.

Finally, it has been shown in Ref. [64] that new physics

can lead to a large time-dependent *CP* asymmetry in exclusive $B^0 \rightarrow K^{*0} \gamma$ decays through interference of mixing and decay. Large direct *CP* violation would introduce hadronic uncertainties, thus complicating the analysis of this effect. However, it is interesting to note that the two phenomena are in a sense complementary in that to a large extent they probe different new physics contributions. We have seen that direct *CP* asymmetries in radiative *B* decays are primarily sensitive to modifications of the Wilson coefficients of the dipole operators with standard chirality. On the other hand, the presence of dipole operators with right-handed light-quark fields, which are of negligible strength in the standard model, is crucial for obtaining time-dependent asymmetries, since

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