

# First determination of the quark mixing matrix element $V_{tb}$ from electroweak corrections to $Z$ decays

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We present a new method for the determination of the Cabibbo-Kobayashi-Maskawa quark mixing matrix element  $|V_{tb}|$  from electroweak loop corrections, in particular those affecting the process  $Z \rightarrow b\bar{b}$ . From a combined analysis of results from the CERN LEP, SLC, Fermilab Tevatron, and neutrino scattering experiments we determine  $|V_{tb}| = 0.77_{-0.24}^{+0.18}$ . [S0556-2821(98)04621-9]

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## I. INTRODUCTION

The Cabibbo-Kobayashi-Maskawa (CKM) matrix [1] describes the relationship between weak and mass eigenstates of quarks, assuming that there are three generations. By convention, up-type quarks are unmixed so that all the mixing is expressible in terms of the  $3 \times 3$  unitary matrix  $V$ :

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}, \quad (1)$$

where unprimed states denote mass eigenstates and primed ones denote weak eigenstates. The unitarity of the CKM matrix is an assumption which must be subjected to experimental verification by independent measurements of the elements. Non-unitarity of the matrix would be a clear signature for physics beyond the standard model, such as a fourth generation or non-universality of the quark couplings. Of particular interest in terms of possible new physics is the element  $V_{tb}$  which describes the coupling of the two heaviest quarks.

It is often assumed that  $|V_{tb}| \approx 1$  although this element has never been determined without assumptions of unitarity. Assuming that there are only three generations and unitarity of the CKM matrix yields:  $0.9989 < |V_{tb}| < 0.9993$  at the 90% confidence level [2]. Relaxing the assumption of three generations but maintaining that of unitarity yields  $0 < |V_{tb}| < 0.9993$  at the 90% confidence level [2], while relaxing also that of unitarity leaves  $|V_{tb}|$  unbounded.

In this paper we describe a new method for the determination of  $|V_{tb}|$  from electroweak loop corrections, in particular to the process  $Z \rightarrow b\bar{b}$ . From a combined analysis of data from the CERN  $e^+e^-$  collider LEP, SLAC Linear Collider (SLC), Fermilab Tevatron, and neutrino scattering experiments we determine the value of  $|V_{tb}|$ , within a three-generation ansatz, and consider the implications for other quantities, such as the top mass, Higgs boson mass, and the strong coupling constant.

## II. EFFECTS OF $V_{tb}$ ON ELECTROWEAK RADIATIVE CORRECTIONS

The precision of the electroweak data from the CERN  $e^+e^-$  collider LEP and SLC experiments is sufficient to be

sensitive to weak loop diagrams involving the top quark. The top quark appears in  $Z$  vacuum polarization loops, thereby affecting all the  $Z$  partial widths, and in the Glashow-Iliopoulos-Maiani- (GIM-) suppressed vertex diagrams shown at the one-loop level in Fig. 1 which affect the  $Z$  partial width,  $\Gamma_{b\bar{b}}$ , for the process  $Z \rightarrow b\bar{b}$ . From fits to the  $Z$  electroweak parameters, the top quark mass has been determined to be  $m_t = 158_{-11}^{+14}$  GeV [3], in agreement with the direct measurement from the Fermilab Tevatron of  $m_t = 175.6 \pm 5.5$  GeV [4].

Hitherto the theoretical treatments of weak loop corrections to  $Z$  decay processes have assumed that  $|V_{tb}| = 1$ ; we relax this assumption. Following the treatment of Barbieri, Beccaria, Ciafaloni, Curci, and Viceré (BBCCV) [5],  $\Gamma_{b\bar{b}}$  may be written as

$$\Gamma_{b\bar{b}} = \frac{G_\mu m_Z^3}{8\pi\sqrt{2}} \rho R_{\text{QED}} R_{\text{QCD}} \sqrt{1 - \frac{4m_b^2}{m_Z^2}} \times \left[ (g_{bV}^2 + g_{bA}^2) \left( 1 + 2 \frac{m_b^2}{m_Z^2} \right) - 6g_{bA}^2 \frac{m_b^2}{m_Z^2} \right], \quad (2)$$

where  $G_\mu$  is the Fermi constant,  $m_Z$  and  $m_b$  are the masses of the  $Z$  and the  $b$ -quark respectively, and  $\rho$  includes the effects of radiative corrections to the  $Z$  propagator.  $R_{\text{QED}}$  and  $R_{\text{QCD}}$ , which are approximately unity, describe the QED and QCD vertex corrections [6,7]. The couplings,  $g_{bV}$  and  $g_{bA}$  incorporate vertex corrections described by the parameter,  $\tau$ , as follows:

$$g_{bA} = 1 + \tau \quad (3)$$

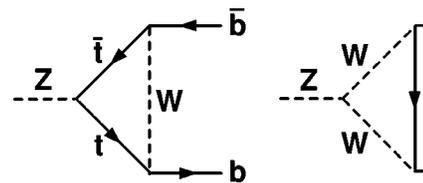


FIG. 1. Vertex correction diagrams, at order one-loop, which contribute to the partial width for  $Z \rightarrow b\bar{b}$ .

$$g_{bV} = 1 - \frac{4}{3}s^2 + \tau \quad (4)$$

$$\text{where } s^2 = \frac{1}{2} \left( 1 - \sqrt{1 - \frac{4\pi\alpha}{\sqrt{2}G_\mu m_Z^2 \rho}} \right). \quad (5)$$

We have taken the results of the BBCCV calculations up to two-loops and included the effects of  $V_{tb}$  at the one-loop level, such that  $\rho$  is unchanged while  $\tau$  is modified by a multiplicative factor of  $|V_{tb}|^2$ . In the limit  $m_t \gg m_H$ ,  $\tau$  is given by

$$\tau = -2|V_{tb}|^2 x \left[ 1 + \frac{x}{3}(27 - \pi^2) \right], \quad (6)$$

where  $x = G_\mu m_t^2 / 8\pi^2 \sqrt{2}$ . In the limit  $m_t \ll m_H$ ,  $\tau$  is given by

$$\begin{aligned} \tau = & -2|V_{tb}|^2 x \\ & \times \left\{ 1 + \frac{x}{144} \left[ 311 + 24\pi^2 + 282 \log r + 90 \log^2 r \right. \right. \\ & - 4r(40 + 6\pi^2 + 15 \log r + 18 \log^2 r) \\ & + \frac{3r^2}{100} (24209 - 6000\pi^2 - 45420 \log r \\ & \left. \left. - 18000 \log^2 r) \right] \right\}, \quad (7) \end{aligned}$$

where  $r = m_t^2 / m_H^2$ . In the intermediate region ( $m_t \approx m_H$ )  $\tau$  is described by a polynomial parametrization of the full BBCCV calculation, as a function of  $m_t / m_H$ , multiplied by a factor of  $|V_{tb}|^2$ . At the two-loop level some diagrams are of the order  $|V_{tb}|^4$ . Our treatment of these to order  $|V_{tb}|^2$  is justified by their relatively small contribution and the current sensitivity of the experimental data, as will be seen below.

While we take three generations as a working ansatz, our analysis is particularly sensitive to the possible existence of non-standard model (SM) physics such as a fourth quark generation. Since the corrections to the  $Z \rightarrow b\bar{b}$  vertex increase quadratically with mass, a  $t$ -prime would have a significant effect on the measured  $Z \rightarrow b\bar{b}$  rate, such that the inferred value of  $|V_{tb}|$  would differ significantly from the

TABLE I. Measured values and the correlation matrix for  $m_Z$ ,  $\Gamma_Z$ ,  $\sigma_h^0$ ,  $R_\ell$ , and  $A_{\text{FB}}^{0,\ell}$  from a combined fit to LEP and SLC data.

	Measured value	Correlation coefficient				
		$m_Z$	$\Gamma_Z$	$\sigma_h^0$	$R_\ell$	$A_{\text{FB}}^{0,\ell}$
$m_Z$ (GeV)	$91.1867 \pm 0.0020$	1.00	0.05	-0.01	-0.02	0.06
$\Gamma_Z$ (GeV)	$2.4948 \pm 0.0025$	0.05	1.00	-0.16	0.00	0.00
$\sigma_h^0$ (nb)	$41.486 \pm 0.053$	-0.01	-0.16	1.00	0.14	0.00
$R_\ell$	$20.775 \pm 0.027$	-0.02	0.00	0.14	1.00	0.01
$A_{\text{FB}}^{0,\ell}$	$0.0171 \pm 0.0010$	0.06	0.00	0.00	0.01	1.00

three-generation unitarity prediction. In this scenario, the analysis would need to be extended to explicitly include the effects of the fourth generation.

### III. DETERMINATION OF $|V_{tb}|$ FROM A FIT TO ELECTROWEAK DATA

The BBCCV corrections are incorporated in the ZFITTER program [6,7] which is used by the LEP-SLC electroweak working groups to derive parameters such as  $m_t$  and  $m_H$  from  $Z$  data [3]. We make modest modifications to ZFITTER to allow for the effects of  $V_{tb}$  described in the previous section.

The  $Z$  parameters, from a combined fit to LEP-SLC data, which we use as input are [3] the  $Z$  mass,  $m_Z$ ; the  $Z$  width,  $\Gamma_Z$ ; the hadronic pole cross-section,  $\sigma_h^0$ ;  $R_\ell \equiv \Gamma_{\text{had}} / \Gamma_{\ell\ell}$  where  $\Gamma_{\text{had}}$  is the hadronic partial  $Z$  width and  $\Gamma_{\ell\ell}$  is the leptonic partial width, assuming lepton universality; and the leptonic pole forward-backward charge asymmetry assuming lepton universality,  $A_{\text{FB}}^{0,\ell}$ . The parameter values, their errors, and their correlation coefficients are shown in Table I.

The parameters pertaining to  $b$  and  $c$  quarks which we use are [3]  $R_b^0 \equiv \Gamma_{b\bar{b}} / \Gamma_{\text{had}}$ ;  $R_c^0 \equiv \Gamma_{c\bar{c}} / \Gamma_{\text{had}}$ ;  $A_{\text{FB}}^{0,b}$  and  $A_{\text{FB}}^{0,c}$ , the forward-backward charge asymmetries at the  $Z$  pole for  $b$  and  $c$  quarks respectively; and  $A_f$  ( $f=b,c$ ) where  $A_f \equiv 2g_{fV}g_{fA} / (g_{fV}^2 + g_{fA}^2)$ . The parameter values, their errors, and their correlation coefficients are shown in Table II.

We also use the following parameters which are to a good approximation experimentally uncorrelated:  $A_\tau \equiv -P_\tau$ , the average tau polarization [3];  $A_e$  from the tau polarization forward-backward asymmetry [3];  $A_{\text{LR}}$ , the left-right asym-

TABLE II. Measured values and the correlation matrix for  $R_b^0$ ,  $R_c^0$ ,  $A_{\text{FB}}^{0,b}$ ,  $A_{\text{FB}}^{0,c}$ ,  $A_b$ , and  $A_c$  from a combined fit to LEP and SLC data.

	Measured value	Correlation coefficient					
		$R_b^0$	$R_c^0$	$A_{\text{FB}}^{0,b}$	$A_{\text{FB}}^{0,c}$	$A_b$	$A_c$
$R_b^0$	$0.2170 \pm 0.0009$	1.00	-0.20	-0.03	0.01	-0.03	0.02
$R_c^0$	$0.1734 \pm 0.0048$	-0.20	1.00	0.03	-0.07	0.04	-0.04
$A_{\text{FB}}^{0,b}$	$0.0984 \pm 0.0024$	-0.03	0.03	1.00	0.13	0.03	0.02
$A_{\text{FB}}^{0,c}$	$0.0741 \pm 0.0048$	0.01	-0.07	0.13	1.00	0.00	0.07
$A_b$	$0.900 \pm 0.050$	-0.03	0.04	0.03	0.00	1.00	0.08
$A_c$	$0.650 \pm 0.058$	0.02	-0.04	0.02	0.07	0.08	1.00

TABLE III. Measured values of uncorrelated parameters used in our fits.

	Measured value
$A_\tau$	$0.1410 \pm 0.0064$
$A_e$	$0.1399 \pm 0.0073$
$A_{LR}$	$0.1547 \pm 0.0032$
$\alpha^{-1}(m_Z)$	$128.896 \pm 0.090$
$\alpha_s(m_Z)$	$0.118 \pm 0.003$
$m_W$ (GeV)	$80.400 \pm 0.075$
$m_t$ (GeV)	$175.6 \pm 5.5$
$1 - m_W^2/m_Z^2$	$0.2254 \pm 0.0037$

metry from SLAC Large Detector (SLD) [3]; the QED coupling constant,  $\alpha(m_Z)$  [8]; the strong coupling constant  $\alpha_s(m_Z)$  [2] where the value obtained from the  $Z$  width is not included; the  $W$  masses from LEP II [3], Collider Detector at Fermilab (CDF) [9], D0 Collaboration [10], and UA2 [11], averaged according to Ref. [12]; the top mass from the Tevatron [4]; and  $(1 - m_W^2/m_Z^2)$  from  $\nu N$  scattering measurements by CHARM [13], CDHS [14], and CCFR [15]. The parameter values and their errors are shown in Table III.

For given values of  $m_Z$ ,  $m_t$ ,  $m_H$ ,  $\alpha_s$ ,  $\alpha$ , and  $|V_{tb}|$  our modified version of ZFITTER provides predictions for all of the parameters shown in Tables I, II, and III. These predictions and the corresponding measured quantities, together with their associated errors and correlation coefficients, are used to construct a chi-square probability  $\chi^2(m_Z, m_t, m_H, \alpha_s, \alpha, V_{tb})$ . The minimum of the chi-square is then determined numerically. As a technical cross-check, we use the same input parameters as those of Ward [3], set  $|V_{tb}| = 1$ , and successfully reproduce the results for  $m_Z$ ,  $m_t$ ,  $m_H$ ,  $\alpha_s$ , and  $\alpha$ .

The results of the fit with  $|V_{tb}|$  free are shown in Table IV. We determine  $|V_{tb}| = 0.77^{+0.18}_{-0.24}$ . This value is consistent with the unitarity prediction of  $|V_{tb}| \approx 0.9991$  to within approximately one standard deviation. For comparison, Table IV also includes the results with  $|V_{tb}|$  fixed to 0.9991. In both fits, the  $\chi^2$  probability is consistent with expectations given the number of degrees of freedom.

TABLE IV. Results of the fit for  $m_Z$ ,  $m_t$ ,  $\log_{10}(m_H/\text{GeV})$ ,  $\alpha_s(m_Z)$ ,  $\alpha(m_Z)^{-1}$ , and  $|V_{tb}|$  (second column). For comparison, the third column shows the results of the fit with the constraint of  $|V_{tb}| \equiv 1$ .  $P$  denotes the probability of obtaining a reduced chi-square greater than that from the fit.

	$ V_{tb} $ free	$ V_{tb} $ fixed
$m_Z$ (GeV)	$91.1866 \pm 0.0020$	$91.1866 \pm 0.0020$
$m_t$ (GeV)	$174.2 \pm 5.4$	$172.7 \pm 5.2$
$\log_{10}(m_H/\text{GeV})$	$2.15^{+0.30}_{-0.39}$	$2.04^{+0.30}_{-0.37}$
$\alpha_s(m_Z)$	$0.1171 \pm 0.0025$	$0.1188 \pm 0.0021$
$\alpha^{-1}(m_Z)$	$128.913 \pm 0.092$	$128.905 \pm 0.091$
$ V_{tb} $	$0.77^{+0.18}_{-0.24}$	0.9991 (fixed)
$\tilde{\chi}_0^2 \equiv \chi^2/\text{d.o.f}$	$15.1/(19-6)$	$16.7/(19-5)$
$P(\tilde{\chi}^2 > \tilde{\chi}_0^2)$ (%)	30	27

TABLE V. Correlation coefficients from the fit for  $m_Z$ ,  $m_t$ ,  $\log_{10}(m_H/\text{GeV})$ ,  $\alpha_s(m_Z)$ ,  $\alpha(m_Z)^{-1}$ , and  $|V_{tb}|$ .

	$m_Z$	$m_t$	$\log_{10}(m_H)$	$\alpha_s$	$\alpha^{-1}$	$ V_{tb} $
$m_Z$	1.00	0.01	0.04	-0.01	0.01	0.01
$m_t$	0.01	1.00	0.65	-0.03	0.16	-0.15
$\log_{10}(m_H)$	0.04	0.65	1.00	0.04	0.65	-0.23
$\alpha_s$	-0.01	-0.03	0.04	1.00	0.03	0.52
$\alpha^{-1}$	0.01	0.16	0.65	0.03	1.00	-0.08
$ V_{tb} $	0.01	-0.15	-0.23	0.52	-0.08	1.00

#### IV. DISCUSSION

The fitted values of  $m_Z$  and  $\alpha(m_Z)^{-1}$  are insensitive to  $|V_{tb}|$  as expected, as shown by the correlation coefficients from the fit with  $|V_{tb}|$  free in Table V. The anti-correlations of  $|V_{tb}|$  with  $m_t$  and  $m_H$ , shown in Figs. 2(a) and 2(b) and in Table V, have only a weak effect on the determinations of  $m_t$  and  $m_H$  since the Tevatron measurement of  $m_t$  and the vacuum polarization contribution to the  $Z$  width constrain  $m_t$  and  $m_H$  independent of  $|V_{tb}|$ . Nonetheless, allowing  $|V_{tb}|$  to float increases the fitted values of  $m_t$  by 1.5 GeV and of  $m_H$  by approximately 30 GeV. Allowing  $|V_{tb}|$  to float decreases the fitted value of  $\alpha_s$  by approximately 0.7 standard deviations, due to the fairly strong correlation of these two quantities, as shown in Fig. 2(c) and in Table V.

To assess the future sensitivity of this technique for determining  $|V_{tb}|$  we reduce the error by a factor of two on each of the input parameters in turn, without changing the errors on the other parameters. The only parameters which cause  $\Delta|V_{tb}|/|V_{tb}|$  to change by a relative amount of more than 10%, from the original value of  $\Delta|V_{tb}|/|V_{tb}| \approx 26.5\%$ , are  $\Gamma_Z$ ,  $R_b^0$ , and  $\alpha_s(m_Z)$ , which yield uncertainties of  $\Delta|V_{tb}|/|V_{tb}| \approx 22.5\%$ , 19.9%, and 22.4% respectively. Factors of somewhat less than two may be expected from the final analyses of the LEP I and SLC data samples. We estimate that the error on  $|V_{tb}|$  from the final  $Z$  samples of the

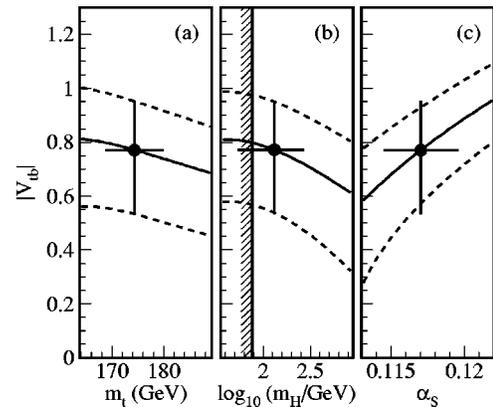


FIG. 2. Variation of  $|V_{tb}|$  with (a)  $m_t$ , (b)  $\log_{10}(m_H/\text{GeV})$ , and (c)  $\alpha_s$ . The point with error bars denotes the result of the fit allowing for all errors and correlations. The solid line shows the dependence of  $|V_{tb}|$  on the ordinate variable; the dashed lines correspond to the 68% confidence level. The hatched line of (b) shows the low  $m_H$  region excluded by direct searches at LEP II.

LEP and SLC experiments will be approximately 20%.

Recently CDF presented preliminary results of an analysis of their  $t\bar{t}$  event samples. However, they necessarily assumed that there are only three generations and that  $|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2 = 1$ , as required by unitarity, to extract  $|V_{tb}|^3_{\text{gen}} = 0.99 \pm 0.15$  [16]. Ultimately, the measurement of the single top quark production rate at hadron colliders should be sensitive to  $|V_{tb}|$  without requiring such assumptions of unitarity. The estimated sensitivity at the end of the Tevatron run II for  $\delta|V_{tb}|/|V_{tb}|$  is 12%–19%, depending on the uncertainty of the gluon structure functions [17].

## V. SUMMARY

We describe a new technique for the determination of the CKM matrix element  $|V_{tb}|$  using loop corrections to electroweak processes. From a combined analysis of data from

the LEP, SLC, Tevatron and neutrino scattering experiments we determine  $|V_{tb}| = 0.77^{+0.18}_{-0.24}$  where the error includes the experimental errors and uncertainties on the top mass, the Higgs boson mass, and the strong coupling constant. In a four-generation scenario, our result is no longer a measurement of the  $t$ - $b$ - $W$  coupling but it is particularly sensitive to  $t$ -prime vertex corrections which increase quadratically with mass.

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