

# Penguin diagrams, charmless $B$ decays, and the “missing charm puzzle”

Alexander Lenz\*

*Max-Planck-Institut für Physik—Werner-Heisenberg-Institut, Föhringer Ring 6, D-80805 München, Germany*

Ulrich Nierste†

*DESY-Theory group, Notkestrasse 85, D-22607 Hamburg, Germany*

Gaby Ostermaier‡

*Physik-Department, TU München, D-85747 Garching, Germany*

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We calculate the contributions of penguin diagrams with internal  $u$  or  $c$  quarks to various inclusive charmless  $B$ -decay rates. Further we analyze the influence of the chromomagnetic dipole operator  $Q_8$  on these rates. We find that the rates corresponding to  $\bar{B} \rightarrow X_{u\bar{u}s}$ ,  $\bar{B} \rightarrow X_{d\bar{d}s}$ ,  $\bar{B} \rightarrow X_{s\bar{s}s}$ ,  $\bar{B} \rightarrow X_{s\bar{s}d}$ , and  $\bar{B} \rightarrow X_{d\bar{d}d}$  are dominated by the new penguin contributions. The contributions of  $Q_8$  sizably diminish these rates. Despite an increase of the total charmless decay rate by 36%, the new contributions are not large enough to explain the charm deficit observed by ARGUS and CLEO. We predict  $n_c = 1.33 \pm 0.06$  for the average number of charmed particles per  $B$  decay in the standard model. Then the hypothesis of an enhancement of the chromomagnetic dipole coefficient  $C_8$  by new physics contributions is analyzed. We perform a model-independent fit of  $C_8$  to the experimental data. If the CKM structure of the new physics contribution is the same as in the standard model,  $|C_8(M_W)|$  must be enhanced by a factor of 9 to 16 in order to explain the observed charm deficit. [S0556-2821(97)07623-6]

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## I. INTRODUCTION

Precision measurements performed at the  $Y(4S)$  resonance find less charmed particles in the final states of  $B$  meson decays than theoretically expected. The CLEO 1.5, CLEO II, and ARGUS data give [1]

$$n_c^{\text{expt}} = 1.15 \pm 0.05 \quad (1)$$

for the average number of charm (anti)quarks per  $B^+/B^0$  decay. Complementary information on inclusive  $B$  decays can be obtained from the semileptonic branching ratio. The CLEO and ARGUS groups [1,2] have measured

$$B_{\text{SL}}^{\text{expt}} = 10.23 \pm 0.39\% \quad (2)$$

The increasing experimental precision achieved in the current decade has been paralleled by a substantial progress in the theoretical understanding of the inclusive decay rates entering  $B_{\text{SL}}$  and  $n_c$ . Here the calculational key is the heavy quark expansion (HQE) [3,4] of the decay rate in question: The leading term of the HQE reproduces the decay rate of a  $b$ -quark in the QCD-corrected parton model. The first non-perturbative corrections are suppressed by a factor of  $(\Lambda_{\text{QCD}}/m_b)^2$  and affect the rates by at most a few percent. Theoretically spectator effects of order  $16\pi^2(\Lambda_{\text{QCD}}/m_b)^3$  [5,6] could be larger [6], but for the decay rates of  $B^\pm$  and

$B^0$  entering Eq. (2) and Eq. (1) they are experimentally known to be at the percent level as well [7]. The apparent smallness of these nonperturbative terms has shifted the focus towards the perturbative corrections to the free quark decay. The calculation of such short distance effects starts from an effective Hamiltonian, whose generic form reads

$$H = \frac{G_F}{\sqrt{2}} \left[ V_{\text{CKM}} \sum_{j=1}^2 C_j Q_j - V'_{\text{CKM}} \left( \sum_{j=3}^6 C_j Q_j + C_8 Q_8 \right) \right]. \quad (3)$$

Here  $G_F$  is the Fermi constant and  $V_{\text{CKM}}$  and  $V'_{\text{CKM}}$  are products of elements of the Cabibbo-Kobayashi-Maskawa (CKM) matrix. The Wilson coefficients  $C_j$  encode the physics connected to the weak scale and play the role of effective coupling constants of the local interactions described by the operators  $Q_j$ . Their precise form depends on the flavor structure of the decay and will be given below in Eq. (13).

Decays with three different flavors in the final state such as  $b \rightarrow c\bar{u}d$  can only proceed through the current-current operators  $Q_1$  and  $Q_2$ .  $\Gamma(b \rightarrow c\bar{u}d)$  has been calculated to order  $\alpha_s$ , which is the next-to-leading order (NLO), in [8]. The generic Feynman diagram for these corrections are depicted in Fig. 1. In [9] the same diagrams have been calculated for  $\Gamma(b \rightarrow c\bar{s}s) + \Gamma(b \rightarrow c\bar{c}d)$ . The latter decays and the charmless nonleptonic decays, however, also involve penguin effects. Diagrams with insertions of the penguin operators  $Q_{3-6}$  have been taken into account only in the leading order (LO) [10,9,11], because their coefficients  $C_{3-6}$  are much smaller than  $C_{1,2}$  (cf. Table I). The results for  $B_{\text{SL}}$  and  $n_c$  read

$$B_{\text{SL}} = (11.7 \pm 1.4 \pm 1.0)\%, \quad n_c = 1.34 \mp 0.06. \quad (4)$$

\*Electronic address: alenz@MPPMU.MPG.DE

†Electronic address: nierste@mail.desy.de

‡Electronic address: Gaby.Ostermaier@feynman.t30.physik.tu-muenchen.de

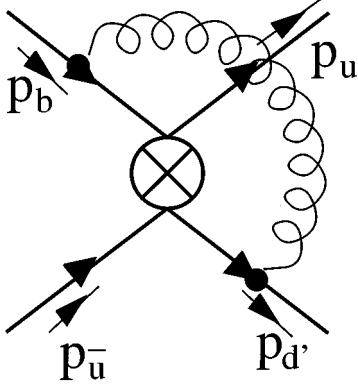


FIG. 1. Example of a current-current diagram. The cross denotes the inserted operator.  $d'$  equals  $d$  or  $s$ .

Here the result for  $B_{\text{SL}}$  has been obtained in [10,12] with the analytical input from [8,10,9]. The second error bar has been added to account for the spectator effects estimated in [6]. Apparently there is no spectacular discrepancy between Eq. (2) and Eq. (4). The result for  $n_c$  in Eq. (4) does not involve the calculation of  $\Gamma(b \rightarrow c\bar{c}d) + \Gamma(b \rightarrow c\bar{c}s)$ , but instead uses the experimental information on  $B_{\text{SL}}$  in Eq. (2) as proposed in [13,14]. We discuss this in more detail in Sec. II.

The discrepancy between Eq. (1) and Eq. (4) constitutes the ‘‘missing charm puzzle.’’ The search for a theoretical explanation has recently focused on new positive contributions to the yet unmeasured charmless decay modes entering Eq. (4). Indeed, in a recent analysis [14]  $\Gamma(b \rightarrow \text{no charm})$  has been estimated indirectly in two different ways: First the experimental information on final states with hadrons containing a  $c$  quark has been used and second data on decay products involving a  $\bar{c}$  quark have been analyzed. For the CLEO data both methods consistently indicate an enhancement of  $\Gamma(b \rightarrow \text{no charm})$  by roughly a factor of 14 compared to the theoretical prediction in [11].

Next we briefly discuss the results from the CERN  $e^+e^-$  collider LEP for  $B_{\text{SL}}$  and  $n_c$  [15]. The LEP  $Z$ -peak experiments encounter a mixture of  $b$ -flavored hadrons. In order to allow for a comparison with Eq. (2), one must correct the LEP result  $B_{\text{SL}}^{Z,\text{expt}} = 10.95 \pm 0.42\%$  [1] for the different lifetimes [7] of the hadrons in the mixture [16]:

TABLE I. Wilson coefficients used in our analysis.  $C_j^{(0)}$  is the LO expression,  $C_j^{\text{NDR}}$  is the NLO coefficient in the NDR scheme. In  $C_j^{\text{NDR}}$  above the NLO corrections to penguin-penguin mixing have been omitted in order to render  $\Gamma$  in Eq. (28) scheme independent as described in the text. For  $\mu = m_b$  this affects  $C_3$  and  $C_5$  by 12% and 25%, but is negligible for the other coefficients.  $C_j^{(0)}$  and  $C_j^{\text{NDR}}$  are needed for the numerical evaluation of the decay rate in Eq. (28).  $\Delta\bar{C}_j$  in the last line is defined in Eq. (A1). The top and bottom mass are chosen as  $m_t = m_t^{\text{MS}}(m_t) = 168$  GeV and  $m_b = 4.8$  GeV. Further  $\alpha_s(M_Z) = 0.118$  [29], which corresponds to  $\alpha_s(4.8 \text{ GeV}) = 0.216$ . In the table  $C_8^{(0)} = C_8^{(0),\text{eff}}$  is the scheme independent coefficient mentioned in the appendix.

$j$	1	2	3	4	5	6	8
$C_j^{(0)}(\mu = m_b)$	-0.2493	1.1077	0.0111	-0.0256	0.0075	-0.0315	-0.1495
$C_j^{\text{NDR}}(\mu = m_b)$	-0.1739	1.0731	0.0113	-0.0326	0.0110	-0.0384	
$C_j^{(0)}(\mu = m_b/2)$	-0.3611	1.1694	0.0170	-0.0359	0.0100	-0.0484	-0.1663
$C_j^{\text{NDR}}(\mu = m_b/2)$	-0.2720	1.1246	0.0174	-0.0461	0.0149	-0.0587	
$C_j^{(0)}(\mu = 2m_b)$	-0.1669	1.0671	0.0071	-0.0176	0.0054	-0.0202	-0.1355
$C_j^{\text{NDR}}(\mu = 2m_b)$	-0.1001	1.0389	0.0073	-0.0227	0.0079	-0.0251	
$\Delta\bar{C}_j(\mu = m_b)$	2.719	-1.744	0.380	-0.1050	-0.223	0.384	

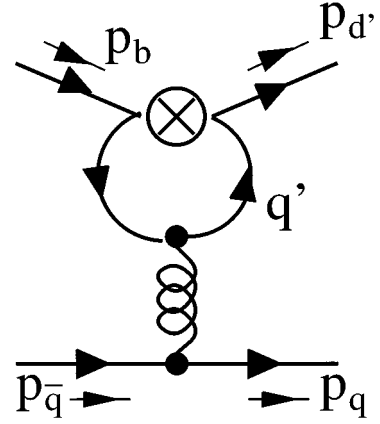


FIG. 2. Penguin diagram involving  $Q_2$ . The internal quark  $q'$  can be  $u$  or  $c$ . The corresponding diagram with  $Q_1$  vanishes.

$$B_{\text{SL}}^{Z,\text{corr,expt}} = 11.13 \pm 0.42\%, \quad n_c^{Z,\text{expt}} = 1.22 \pm 0.08. \quad (5)$$

These data are consistent with the theory [cf. Eq. (9) below], but the analysis in [14] has found evidence for an enhanced  $\Gamma(b \rightarrow \text{no charm})$  also from the LEP data. Further the two methods used in [14] have given less consistent results for the LEP data than for the CLEO data. In addition the LEP measurements involve the  $\Lambda_b$  baryon, whose lifetime is either not properly understood theoretically or incorrectly measured. [If the latter is the case,  $B_{\text{SL}}^{Z,\text{corr,expt}}$  in Eq. (5) must be replaced by the uncorrected  $B_{\text{SL}}^{Z,\text{expt}}$ , which reduces the  $2\sigma$  discrepancy between Eq. (2) and Eq. (5).] Hence in our analysis we will mainly use Eq. (2) and Eq. (1). Now two possible sources of an enhanced  $\Gamma(b \rightarrow \text{no charm})$  are currently discussed: The authors of [17] stress the possibility that the Wilson coefficient  $C_8$  of the chromomagnetic dipole operator  $Q_8$  is enhanced by new physics contributions. On the other hand in [14,18] an explanation within QCD dynamics is suggested: An originally produced  $(c, \bar{c})$ -pair can annihilate and thereby lead to a charmless final state.

The calculation of matrix elements involving  $Q_{3-6}$  and  $Q_8$  does not exhaust all possible penguin effects. In this paper we calculate the contributions of penguin diagrams with insertions of the current-current operator  $Q_2$  to the decay rates into charmless final states (see Fig. 2). Such a penguin

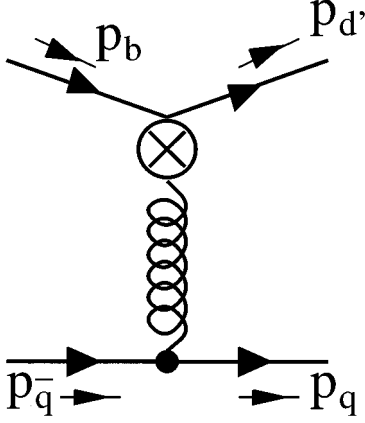


FIG. 3. Tree diagram contribution of  $Q_8$  to  $\Gamma(b \rightarrow q\bar{q}d')$ .

diagram with a  $(c, \bar{c})$ -pair in the intermediate state involves the large coefficient  $C_2$  and the CKM factor  $V_{cb} \gg |V_{ub}|$ . It is precisely the short distance analogue of the mechanism proposed in [14,18] and surprisingly has not been considered in the perturbative calculations [9–11,20] of the decay rates entering  $B_{\text{SL}}$  and  $n_c$ . Further we calculate the diagrams involving the interference of the tree diagram with  $Q_8$  in Fig. 3 with  $Q_{1-6}$ , which belongs to the order  $\alpha_s$ , as well. The consideration of these diagrams is mandatory, if one wants to estimate the effect of an enhanced coefficient  $C_8$  on  $\Gamma(b \rightarrow \text{no charm})$  proposed in [17].

The paper is organized as follows: In the following section we set up our notations and collect results from earlier work. The calculation of the penguin diagram contributions and the  $Q_{1-6} - Q_8$  interference terms is presented in Sec. III. The phenomenologically interested reader is referred to Sec. IV, in which we discuss our numerical results. In Sec. IV we also comment on the mechanism proposed in [18]. Further a potential enhancement of  $C_8$  is analyzed by a model independent fit of  $C_8$  to the experimental data. Finally we conclude.

## II. PRELIMINARIES

### A. $B_{\text{SL}}$ and $n_c$

For the theoretical description of the various decay rates, it is advantageous to normalize them to the well-understood semileptonic decay rate [13,14]:

$$r_{ql} = \frac{\Gamma(\bar{B} \rightarrow X_q l \bar{\nu}_l)}{\Gamma(\bar{B} \rightarrow X_c e \bar{\nu}_e)}, \quad r_{q_1 \bar{q}_2 \bar{q}_3} = \frac{\Gamma(\bar{B} \rightarrow X_{q_1 \bar{q}_2 \bar{q}_3})}{\Gamma(\bar{B} \rightarrow X_c e \bar{\nu}_e)},$$

$$r_{qg} = \frac{\Gamma(\bar{B} \rightarrow X_{qg})}{\Gamma(\bar{B} \rightarrow X_c e \bar{\nu}_e)}, \quad (6)$$

This eliminates the factor of  $m_b^5$  common to all decay rates. For the charmless decays we will further use

$$r_\phi = \sum_{\substack{q=d,s \\ q'=u,d,s}} [r_{q' \bar{q}' q} + r_{qg}] + 2r_{ue}. \quad (7)$$

The semileptonic branching ratio reads

$$B_{\text{SL}} = \frac{1}{2 + r_{c\tau} + r_\phi + \sum_{q=d,s} (r_{c\bar{u}q} + r_{c\bar{c}q})}. \quad (8)$$

Small contributions such as  $r_{u\tau} = 0.004$  and radiative decay modes have been omitted in Eq. (7). In Eq. (8) also  $r_{uc\tau} = 0.05$  [11] has been neglected. The numerical value for  $B_{\text{SL}}$  in Eq. (4) is the average of the two results given in [10] corresponding to two different renormalization schemes [on-shell versus modified minimal subtraction scheme ( $\overline{\text{MS}}$ ) [21] quark masses]. In the corresponding expression for  $n_c$  the term  $r_{c\tau} + r_{c\bar{c}d}$ , which suffers from sizable theoretical uncertainties, is eliminated in favor of  $B_{\text{SL}}$  [13,14]:

$$n_c = 2 - (2 + r_{c\tau} + r_{c\bar{u}d} + r_{c\bar{u}s} + 2r_\phi) B_{\text{SL}}. \quad (9)$$

Yet with [8,22]

$$r_{c\bar{u}s} + r_{c\bar{u}d} = 4.0 \pm 0.4, \quad r_{c\tau} = 0.25 \quad (10)$$

and  $B_{\text{SL}}^{\text{exp}}$  in Eq. (2) one obtains

$$n_c = 1.36 \mp 0.04 - 0.205 \cdot r_\phi. \quad (11)$$

Now the current-current type radiative corrections to  $r_\phi$  (cf. Fig. 1) have been calculated in [20,23,8] using different renormalization schemes. The penguin operators  $Q_{3-6}$  have been included within the LO in [11]. With up-to-date values for  $B_{\text{SL}}$  and the CKM elements, the calculation of [11] yields  $r_\phi = 0.11 \pm 0.08$ . Inserting this result into Eq. (11) yields the numerical value in Eq. (4). In contrast the indirect experimental determination in [14] has found  $r_\phi = 1.6 \pm 0.4$ . In order to reproduce the experimental value of  $n_c$  in Eq. (1) one needs  $r_\phi = 1.0 \pm 0.4$ .

So far the penguin diagrams of Fig. 2 have not been calculated for all possible charmless  $B$  decay modes. Yet for the pure penguin induced decays of a  $b$ -quark into three down-type (anti)-quarks, the contribution of the diagram of Fig. 2 has been obtained in terms of a twofold integral representation in [19]. Likewise the effect of penguin diagrams on the analysis of  $CP$  asymmetries has been studied in [19,24] and in [25] penguin effects on exclusive decays have been studied.

### B. Decay rates to order $\alpha_s$

In order to describe decays of the type  $b \rightarrow q\bar{q}d$ , one needs the following Hamiltonian:

$$H = \frac{G_F}{\sqrt{2}} \left\{ \sum_{j=1}^2 C_j (\xi_c^* Q_j^c + \xi_u^* Q_j^u) - \xi_t^* \sum_{j \in \mathcal{P}} C_j Q_j \right\},$$

$$\xi_{q'} = V_{q'b}^* V_{q'd}. \quad (12)$$

Here  $\xi_u + \xi_c + \xi_t = 0$  due to the unitarity of the CKM matrix

and  $\mathcal{P}=\{3, \dots, 6, 8\}$ .  $H$  in Eq. (12) comprises the following operator basis:<sup>1</sup>

$$\left. \begin{aligned} Q_1^q &= (\bar{d}q)_{V-A} \cdot (\bar{q}b)_{V-A} \cdot \bar{1} \\ Q_2^q &= (\bar{d}q)_{V-A} \cdot (\bar{q}b)_{V-A} \cdot \bar{1} \end{aligned} \right\} \text{ with } q=u \text{ or } q=c,$$

$$Q_3^q = (\bar{d}b)_{V-A} \cdot (\bar{q}q)_{V-A} \cdot \bar{1}, \quad Q_4^q = (\bar{d}b)_{V-A} \cdot (\bar{q}q)_{V-A} \cdot \bar{1}$$

$$Q_5^q = (\bar{d}b)_{V-A} \cdot (\bar{q}q)_{V+A} \cdot \bar{1}, \quad Q_6^q = (\bar{d}b)_{V-A} \cdot (\bar{q}q)_{V+A} \cdot \bar{1}$$

$$Q_j = \sum_{q=u,d,s,c,b} Q_j^q \quad \text{for } 3 \leq j \leq 6,$$

$$Q_8 = -\frac{g}{8\pi^2} \bar{d} \sigma^{\mu\nu} (m_d L + m_b R) T^a b \cdot G_{\mu\nu}^a. \quad (13)$$

The color singlet and nonsinglet structure are indicated by  $\bar{1}$  and  $\bar{1}$  and  $V \pm A$  is the Dirac structure: i.e.,

$$(\bar{d}q)_{V-A} \cdot (\bar{q}b)_{V-A} \cdot \bar{1} = \bar{d}_\alpha \gamma_\mu (1 - \gamma_5) q_\beta \cdot \bar{q}_\beta \gamma^\mu (1 - \gamma_5) b_\alpha.$$

Next it is useful to expand the renormalized matrix elements in  $\alpha_s$  and to separate the result from current-current diagrams (see Fig. 1) and penguin diagrams (see Fig. 2):

$$\langle q\bar{q}d | Q_j^{q'} | b \rangle = \langle Q_j^{q'} \rangle^{(0)} + \frac{\alpha_s}{4\pi} (\langle Q_j^{q'} \rangle_{cc}^{(1)} + \langle Q_j^{q'} \rangle_{\text{peng}}^{(1)}) + O(\alpha_s^2), \quad j=1,2, \quad (14)$$

$$\langle Q_j^{q'} \rangle_{\text{peng}}^{(1)} = \sum_{k \in \mathcal{P}} r_{jk}^{q'}(p^2, m_{q'}, \mu) \langle Q_k \rangle^{(0)}, \quad p = p_b - p_d. \quad (15)$$

Of course  $\langle Q_j^{q'} \rangle^{(0)}$  and  $\langle Q_j^{q'} \rangle_{cc}^{(1)}$  are nonzero only for  $q = q' = u$ , recall that we do not consider  $q = c$  in this work. In Eq. (15) we have expressed the result of the penguin diagram in terms of the tree-level matrix elements. There  $\mu$  is the renormalization scale. For the momentum flow cf. Fig. 2.

The quark decay rate is related to the matrix element of  $H$  via

$$\Gamma(b \rightarrow q\bar{q}d) = \frac{1}{2m_b} \int \frac{d^3 \vec{p}_q d^3 \vec{p}_{\bar{q}} d^3 \vec{p}_d}{(2\pi)^5 8 |E_q E_{\bar{q}} E_d|} \times \delta^{(4)}(p_b + p_{\bar{q}} - p_q - p_d) \times \overline{\langle q\bar{q}d | H | b \rangle \langle q\bar{q}d | H | b \rangle^*}.$$

The bar over  $\langle q\bar{q}d | H | b \rangle \langle q\bar{q}d | H | b \rangle^*$  denotes the average over initial state polarizations and the sum over final state polarizations. Next we expand the decay rate to order  $\alpha_s$ :

<sup>1</sup>The overall sign of the matrix element  $\langle Q_8 \rangle$  depends on the chosen sign of the coupling  $g$  in the covariant derivative in the QCD Lagrangian. The definition in Eq. (13) complies with the result in [26,27], if the covariant derivative is chosen as  $D_\mu = \partial_\mu - ig T^a A_\mu^a$ , so that the Feynman rule for the fermion-gluon vertex is  $ig T^a$ . By convention the notation  $Q_7$  is reserved for the magnetic  $\bar{d}b \gamma$  operator, which we do not need in our calculation.

$$\Gamma(b \rightarrow q\bar{q}d) = \Gamma^{(0)} + \frac{\alpha_s(\mu)}{4\pi} (\Delta\Gamma_{cc} + \Delta\Gamma_{\text{peng}} + \Delta\Gamma_8 + \Delta\Gamma_W) + O(\alpha_s^2). \quad (16)$$

For  $b \rightarrow q\bar{q}s$  decays one simply substitutes  $d$  by  $s$  in Eqs. (13)–(16). Now the first two terms of the NLO correction in Eq. (16) describe the effect of current-current and penguin diagrams involving  $Q_1$  or  $Q_2$ .  $\Delta\Gamma_8$  likewise contains the matrix elements of  $Q_8$ . The remaining part  $\Delta\Gamma_W$  of the NLO contribution is made of the corrections to the Wilson coefficients [20,28] multiplying the tree-level amplitudes in  $\Gamma^{(0)}$ . We write

$$C_j(\mu) = C_j^{(0)}(\mu) + \frac{\alpha_s(\mu)}{4\pi} \Delta C_j(\mu), \quad j=1, \dots, 6. \quad (17)$$

Here  $\Delta C_j$  is the NLO correction to the Wilson coefficient.  $\Delta C_j$  depends on the renormalization scheme chosen. This scheme dependence cancels with a corresponding one in the results of the loop diagrams contained in  $\Delta\Gamma_{cc}$  and  $\Delta\Gamma_{\text{peng}}$ . For example the scheme dependence of  $\Delta C_{1,2}$  cancels in combination with the current-current type corrections to  $Q_1$  and  $Q_2$  of Fig. 1. Since we do not include the unknown radiative corrections to the penguin operators  $Q_{3-6}$  in Eq. (16), we must likewise leave out terms in  $\Delta C_j$  related to the NLO penguin-penguin mixing in order to render  $\Gamma$  in Eq. (16) scheme independent. We ban these technical details into the Appendix. The values of the Wilson coefficients needed for the numerical evaluation of the various decay rates are listed in Table I.

In the LO the decays  $b \rightarrow s\bar{s}s$ ,  $b \rightarrow s\bar{s}d$ ,  $b \rightarrow d\bar{d}s$ , and  $b \rightarrow d\bar{d}d$  can only proceed via  $Q_{3-6}$  and  $Q_8$ , while  $b \rightarrow u\bar{u}d$  and  $b \rightarrow u\bar{u}s$  also receive contributions from  $Q_1$  and  $Q_2$ . We combine both cases in

$$\Gamma^{(0)} = \frac{G_F^2 m_b^5}{64\pi^3} \left( t \sum_{i,j=1}^2 |\xi_{ul}|^2 C_i^{(0)} C_j^{(0)} b_{ij} + \sum_{i,j=3}^6 |\xi_{il}|^2 C_i^{(0)} C_j^{(0)} b_{ij} - 2t \sum_{\substack{i=1,2 \\ j=3, \dots, 6}} C_i^{(0)} C_j^{(0)} \text{Re}(\xi_u^* \xi_t) b_{ij} \right) \quad (18)$$

with  $t=1$  for  $q=u$  and  $t=0$  for  $q=d,s$ . The  $b_{ij}$ 's read

$$b_{ij} = \frac{16\pi^3}{m_b^6} \int \frac{d^3 \vec{p}_q d^3 \vec{p}_{\bar{q}} d^3 \vec{p}_d}{(2\pi)^5 8 |E_q E_{\bar{q}} E_d|} \delta^{(4)}(p_b + p_{\bar{q}} - p_q - p_d) \times \overline{\langle Q_i \rangle^{(0)} \langle Q_j \rangle^{(0)*}} = b_{ji} \quad (19)$$

with  $Q_{1,2} = Q_{1,2}^u$  here. Setting the final state quark masses to zero, one finds

$$b_{ij} = \begin{cases} 1+r/3 & \text{for } i,j \leq 4, \text{ and } i+j \text{ even,} \\ 1/3+r & \text{for } i,j \leq 4, \text{ and } i+j \text{ odd,} \end{cases} \quad b_{55} = b_{66} = 1, \quad b_{56} = b_{65} = 1/3. \quad (20)$$

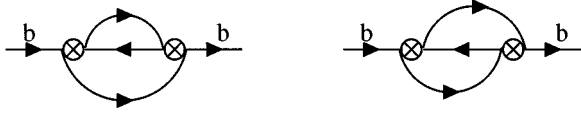


FIG. 4. The two diagrams contributing to  $\Gamma^{(0)}$  in Eq. (18). The crosses represent any of  $Q_{1-6}$ .

Here  $r=1$  for the decays  $b \rightarrow d\bar{d}d$  and  $b \rightarrow s\bar{s}s$ , in which the final state contains two identical particles, and  $r=0$  otherwise. The remaining  $b_{ij}$ 's are zero. Clearly for the  $q \neq u$  the  $b_{ij}$ 's as defined in Eq. (19) vanish, if  $i \leq 2$  or  $j \leq 2$ . Yet in the formulas for the decay rate, we prefer to stress this fact by keeping the parameter  $t$ , which switches the current-current effects off in the penguin induced decays. Our results in Eq. (20) agree with the zero mass limit of [10,9]. The  $b_{ij}$ 's of Eq. (19) are visualized in Fig. 4.

$\Delta\Gamma_W$  simply reads

$$\begin{aligned} \Delta\Gamma_W = & \frac{G_F^2 m_b^5}{64\pi^3} 2 \left( t \sum_{i,j=1}^2 |\xi_u|^2 [C_i^{(0)} \Delta C_j] b_{ij} \right. \\ & + \sum_{i,j=3}^6 |\xi_i|^2 [C_i^{(0)} \Delta C_j] b_{ij} - t \sum_{i=1,2} [C_i^{(0)} \Delta C_j \\ & \left. + \Delta C_i C_j^{(0)}] \text{Re}(\xi_u^* \xi_i) b_{ij} \right). \end{aligned} \quad (21)$$

The current-current type corrections proportional to  $C_{1,2}^{(0)} \cdot C_{1,2}^{(0)}$  are [20,23,8]

$$\Delta\Gamma_{cc} = t \frac{G_F^2 m_b^5}{64\pi^3} 2 |\xi_u|^2 \sum_{i,j=1}^2 C_i^{(0)} C_j^{(0)} h_{ij} \quad (22)$$

with  $t$  defined after Eq. (18). In the naive dimensional regularization (NDR) scheme with the standard definition of the evanescent operators [30] the diagrams of Fig. 1, the bremsstrahlung diagrams and the subsequent phase space integrations yield [8]:

$$h_{11}^{\text{NDR}} = h_{22}^{\text{NDR}} = \frac{31}{3} - \frac{4}{3} \pi^2,$$

$$h_{12}^{\text{NDR}} \left( \frac{\mu}{m_b} \right) = h_{21}^{\text{NDR}} \left( \frac{\mu}{m_b} \right) = \frac{8}{3} \ln \frac{m_b^2}{\mu^2} - \frac{17}{3} - \frac{4}{9} \pi^2 \quad (23)$$

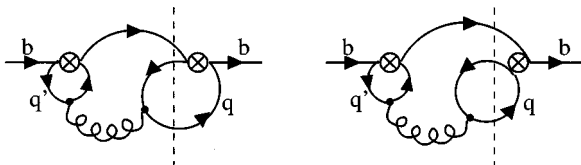


FIG. 5. The diagrams contributing to  $\Delta\Gamma_{\text{peng}}$  in Eq. (24). The left cross denotes  $Q_1^{q'}$  or  $Q_2^{q'}$  with  $q'=u,c$  and the right cross represents any of  $Q_{1-6}$ . The dashed line indicates the final state with  $q$  being  $u, d, \text{ or } s$ .

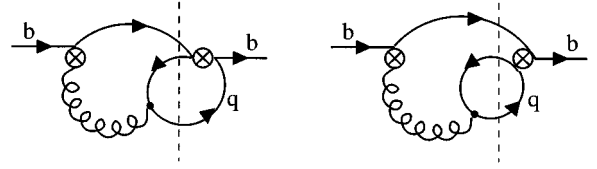


FIG. 6. The diagrams contributing to  $\Delta\Gamma_8$  in Eq. (26). The left cross denotes  $Q_8$  and the right cross represents any of  $Q_{1-6}$ .

The inclusion of  $\Delta\Gamma_{cc}$  is necessary to fix the definition of the  $b$ -quark mass entering  $\Gamma^{(0)}$  in Eq. (18). The values quoted in Eq. (23) correspond to the use of the (one-loop) pole quark mass in Eq. (18).

In the same way we write

$$\begin{aligned} \Delta\Gamma_{\text{peng}} = & \frac{G_F^2 m_b^5}{64\pi^3} 2 \text{Re} \left( t \sum_{i,j=1,2} C_i^{(0)} C_j^{(0)} \xi_u [\xi_c^* g_{ij}(x_c) \right. \\ & + \xi_u^* g_{ij}(0)] - \sum_{i=1,2} C_i^{(0)} C_j^{(0)} \xi_i [\xi_c^* g_{ij}(x_c) \\ & \left. + \xi_u^* g_{ij}(0)] \right). \end{aligned} \quad (24)$$

Here  $g_{ij}$  is visualized in Fig. 5 and defined by

$$\begin{aligned} g_{ij} \left( x_{q'}, \frac{\mu}{m_b} \right) = & \frac{16\pi^3}{m_b^6} \int \frac{d^3 \vec{p}_q d^3 \vec{p}_{\bar{q}} d^3 \vec{p}_d}{(2\pi)^5 8 |E_q E_{\bar{q}} E_d|} \\ & \times \delta^{(4)}(p_b + p_{\bar{q}} - p_q - p_d) \cdot \overline{\langle Q_i^{q'} \rangle_{\text{pen}}^{(1)} \langle Q_j \rangle^{(0)*}} \end{aligned} \quad (25)$$

with  $x_{q'} = m_{q'}/m_b$ . We do not display the  $\mu$  dependence of the  $C_j$ 's,  $h_{ij}$ 's, and  $g_{ij}$ 's in formulas for the decay rate such as Eq. (22) or Eq. (24) to simplify the notation. Now  $\Delta\Gamma_{\text{peng}}$  in Eq. (24) is more complicated than  $\Delta\Gamma_{cc}$  in Eq. (22) for two reasons: First interference terms of different CKM structures appear and second the internal quark in the penguin graph of Fig. 2 can be  $q'=c$  or  $q'=u$ . Further the charm quark mass enters  $g_{ij}$  with  $x_c = m_c/m_b$ . Finally  $\Delta\Gamma_8$  in Eq. (16) is given by

$$\begin{aligned} \Delta\Gamma_8 = & \frac{G_F^2 m_b^5}{64\pi^3} 2 \text{Re} \left( -t \xi_u^* \xi_t C_8^{(0)} \sum_{j=1}^2 C_j^{(0)} b_{j8} \right. \\ & \left. + |\xi_i|^2 C_8^{(0)} \sum_{j=3}^6 C_j^{(0)} b_{j8} \right). \end{aligned} \quad (26)$$

Here the tree-level diagrams with  $Q_8$  already contribute to order  $\alpha_s$ .

The phase space integrations are contained in the coefficients  $b_{j8}$  in Eq. (26). They are depicted in Fig. 6 and are defined as

$$\begin{aligned}
b_{j8} &= \frac{16\pi^3}{m_b^6} \frac{4\pi}{\alpha_s} \int \frac{d^3\vec{p}_q d^3\vec{p}_{\bar{q}} d^3\vec{p}_d}{(2\pi)^5 8 |E_q E_{\bar{q}} E_d|} \\
&\quad \times \delta^{(4)}(p_b + p_{\bar{q}} - p_q - p_d) \langle Q_j \rangle^{(0)} \langle Q_8 \rangle^{(0)*} \\
&= b_{8j}. \tag{27}
\end{aligned}$$

It is instructive to insert the above expressions for  $\Delta\Gamma_{cc}$ ,  $\Delta\Gamma_{\text{peng}}$ , and  $\Delta\Gamma_8$  into Eq. (16). The decay rate then reads

$$\begin{aligned}
\Gamma &= \frac{G_F^2 m_b^5}{64\pi^3} \text{Re} \left[ t \sum_{i,j=1}^2 C_i C_j \left( |\xi_u|^2 b_{ij} + \frac{\alpha_s(\mu)}{4\pi} |\xi_u|^2 \right. \right. \\
&\quad \times 2[h_{ij} + g_{ij}(0) - g_{ij}(x_c)] - \frac{\alpha_s(\mu)}{4\pi} \xi_u \xi_t^* 2g_{ij}(x_c) \Big) \\
&\quad - 2 \sum_{\substack{i=1,2 \\ j=3,\dots,6}} C_i C_j \left( t \xi_u^* \xi_t b_{ij} + \frac{\alpha_s(\mu)}{4\pi} \right. \\
&\quad \times \xi_u^* \xi_t [g_{ij}(0) - g_{ij}(x_c)] - \frac{\alpha_s(\mu)}{4\pi} |\xi_t|^2 g_{ij}(x_c) \Big) \\
&\quad + \sum_{i,j=3}^6 C_i C_j |\xi_t|^2 b_{ij} + \frac{\alpha_s(\mu)}{4\pi} \\
&\quad \left. \times C_8 \left( -t \xi_u^* \xi_t \sum_{j=1}^2 C_j 2b_{j8} + |\xi_t|^2 \sum_{j=3}^6 C_j 2b_{j8} \right) \right]. \tag{28}
\end{aligned}$$

Here the  $C_j$ 's are the Wilson coefficients of Eq. (17) including NLO corrections.  $C_j^{(0)}$  rather than  $C_j^{\text{NDR}}$  should be used in the terms of order  $\alpha_s$  in Eq. (28) for consistency. The unitarity of the CKM matrix has been used to eliminate  $\xi_c$  from Eq. (28).

From Eq. (24) or Eq. (28) one notices that penguin induced decays with  $t=0$  receive radiative corrections proportional to the large coefficient  $C_2$ , which does not enter the tree-level decay rate in Eq. (18). Further  $\Delta\Gamma_{\text{peng}}$  depends on the CKM phase  $\delta$ , because  $\xi_u$ ,  $\xi_t$ , and the loop functions  $g_{ij}$  are complex. Decay rates and  $CP$  asymmetries for these penguin induced decays have been derived in [19] in terms of a twofold integral representation taking into account the interference of the penguin diagram involving  $Q_2$  with  $Q_{1-6}$ . In Sec. III we derive analytical results for the decay rates and also include  $\Delta\Gamma_8$ .

In the decays  $b \rightarrow u\bar{u}d$  and  $b \rightarrow u\bar{u}s$ , the main focus is on the first sum in  $\Delta\Gamma_{\text{peng}}$  in Eq. (24) containing products of two of the large coefficients  $C_1$  and  $C_2$ . We also keep the second sum in Eq. (24), but remark here that these terms are not the full set of one-loop radiative corrections involving one large coefficient  $C_{1,2}$  and one small coefficient  $C_{3-6}$ : In addition to the radiative corrections calculated in this paper, there are also current-current diagrams (see Fig. 1) and penguin diagrams (see Fig. 2) with penguin operators  $Q_{3-6}$ . In decays with a  $(u, \bar{u})$ -pair in the final state, these matrix elements interfere with those of  $Q_1''$  and  $Q_2''$  and therefore also yield a term proportional to  $C_{1,2} \cdot C_{3-6}$ .

The terms proportional to  $C_j \cdot C_8$  comprised in  $\Delta\Gamma_8$  are interesting in order to confirm or falsify the mechanism proposed in [17]. If new physics indeed dominates  $C_8$ , then

$\Gamma(b \rightarrow s + g) \propto |C_8|^2$  considered in [17] is enhanced. Yet  $\Delta\Gamma_8$  is linear in  $C_8$ , so that the sign of the new physics contribution may determine whether  $\Gamma(b \rightarrow \text{no charm})$  is enhanced or diminished. A calculation similar to ours has been partly done in [31]. Yet in [31] some questionable approximations have been made: For example the operator mixing has been neglected and the top quark has not been integrated out, but instead formally treated as a light particle. In some cases the results of [31] differ substantially from those in [19].

We close this section with the formula relating  $\Gamma$  in Eq. (28) to the  $r_{q_1 q_2 q_3}$ 's defined in Eq. (6). To this end we need the semileptonic decay rate to order  $\alpha_s$  [32]:

$$\begin{aligned}
\Gamma(b \rightarrow c e \bar{\nu}_e) &= \frac{G_F^2 m_b^5}{192\pi^3} |V_{cb}|^2 f_1(x_c^2) \left( 1 + \frac{\alpha_s(\mu)}{2\pi} h_{\text{SL}}(x_c) \right. \\
&\quad \left. + O(\alpha_s^2) \right).
\end{aligned}$$

The tree-level phase space function is

$$f_1(a) = 1 - 8a - 12a^2 \ln a + 8a^3 - a^4.$$

The analytic expression for  $h_{\text{SL}}(x_c)$  can be found in [8,32]. The approximation

$$h_{\text{SL}}(x_c) = -3.341 + 4.05(x_c - 0.3) - 4.3(x_c - 0.3)^2$$

holds to an accuracy of 1 permille in the range  $0.2 \leq x_c \leq 0.4$ . Here  $x_c = m_c/m_b$  is the ratio of the one-loop pole masses. We further include the hadronic corrections to the free quark decay of order  $1/m_b^2$  obtained from the HQE [3]. This yields

$$\begin{aligned}
r_{q\bar{q}d} &= \frac{192\pi^3}{G_F^2 m_b^5 |V_{cb}|^2 f_1(x_c^2)} \left\{ \Gamma + \Gamma^{(0)} \left[ 6 \left( \frac{(1-x_c^2)^4}{f_1(x_c^2)} - 1 \right) \frac{\lambda_2}{m_b^2} \right. \right. \\
&\quad \left. \left. - \frac{\alpha_s(\mu)}{2\pi} h_{\text{SL}}(x_c) \right] + \delta\Gamma \right\}. \tag{29}
\end{aligned}$$

Here  $\lambda_2 = 0.12 \text{ GeV}^2$  encodes the chromomagnetic interaction of the  $b$ -quark with the light degrees of freedom. Further corrections are contained in  $\delta\Gamma$ . It is obtained from  $\Gamma^{(0)}$  by substituting  $b_{ij}$  with  $\delta b_{ij}$  in the definition (18). One finds, from [3],

$$\begin{aligned}
\delta b_{12} &= \delta b_{14} = \delta b_{21} = \delta b_{23} = \delta b_{32} = \delta b_{34} = \delta b_{41} = \delta b_{43} \\
&= -8 \frac{\lambda_2}{m_b^2} = -0.042 \quad \text{for } m_b = 4.8 \text{ GeV},
\end{aligned}$$

while  $\delta b_{56} = \delta b_{65}$  is unknown yet. If there are no identical quarks in the final state, the remaining  $\delta b_{ij}$ 's vanish. Otherwise

$$\delta b_{33} = \delta b_{44} = \delta b_{34}, \quad \delta b_{55} = \delta b_{66} \neq 0$$

$$\text{for } b \rightarrow d\bar{d}d \text{ and } b \rightarrow s\bar{s}s \tag{30}$$

with  $\delta b_{66}$  unknown. The dependence on  $\lambda_1$  parametrizing the effect of the  $b$ -quark's Fermi motion on the decay rates cancels in the ratio in Eq. (29).

For the calculation of  $r_\phi$  in Eq. (7) we also need  $r_{ue}$  and  $r_{sg}$ . The corresponding expressions are [3,27]

$$r_{ue} = \left| \frac{V_{ub}}{V_{cb}} \right|^2 \frac{1}{f_1(x_c^2)} \left[ 1 + \frac{\alpha_s(\mu)}{2\pi} [h_{\text{SL}}(0) - h_{\text{SL}}(x_c)] \right. \\ \left. + 6 \left( \frac{(1-x_c^2)^4}{f_1(x_c^2)} - 1 \right) \frac{\lambda_2}{m_b^2} \right],$$

$$r_{24}(p^2, m, \mu) = \frac{1}{3} \log \frac{m^2}{\mu^2} - \frac{2}{9} - \frac{4m^2}{3p^2} - \frac{1}{3} \left( 1 + \frac{2m^2}{p^2} \right) \sqrt{1 - 4m^2/p^2 + i\delta} \log \frac{\sqrt{1 - 4m^2/p^2 + i\delta} - 1}{\sqrt{1 - 4m^2/p^2 + i\delta} + 1},$$

$$r_{24}(p^2, 0, \mu) = \frac{1}{3} \left( \log \frac{p^2}{\mu^2} - i\pi \right) - \frac{2}{9}, \quad (31)$$

$$r_{26} = r_{24}, \quad r_{23} = r_{25} = -\frac{1}{3} r_{24}. \quad (32)$$

Here  $p = p_b - p_d$  is the momentum flowing through the gluon leg and  $m$  is the internal quark mass. The infinitesimal ‘‘ $i\delta$ ’’-prescription yields the correct sign of the imaginary part of the logarithm in the case  $p^2 > 4m^2$  and likewise regulates the square root for  $p^2 < 4m^2$ . The  $r_{1j}$ 's and  $r_{28}$  are zero.

Next we combine Eq. (25) and Eq. (15) to obtain the coefficients  $g_{ij}$  in Eq. (24) and Eq. (28):

$$g_{ij} \left( x_c, \frac{\mu}{m_b} \right) = \frac{16\pi^3}{m_b^6} \int \frac{d^3\vec{p}_q d^3\vec{p}_{\bar{q}} d^3\vec{p}_d}{(2\pi)^3 8 |E_q E_{\bar{q}} E_d|} \delta^{(4)}(p_b + p_{\bar{q}} - p_q - p_d) \cdot \sum_{k=1}^6 r_{ik} [(p_b - p_d)^2, x_c m_b, \mu] \\ \times \overline{\langle Q_k \rangle^{(0)}} \langle Q_j \rangle^{(0)*}. \quad (33)$$

The corresponding expression for an internal  $u$ -quark is obtained by substituting  $c$  with  $u$  in Eq. (33). For the decays  $b \rightarrow u\bar{u}d$ ,  $b \rightarrow u\bar{u}s$ ,  $b \rightarrow s\bar{s}d$ , and  $b \rightarrow d\bar{d}s$  one finds

$$g_{22} \left( x_c, \frac{\mu}{m_b} \right) = g_{24} \left( x_c, \frac{\mu}{m_b} \right) = g_{26} \left( x_c, \frac{\mu}{m_b} \right) \\ = \frac{16}{27} \ln \frac{x_c m_b}{\mu} - \frac{16}{27} (1 - 10x_c^2 + 18x_c^4 - 36x_c^6) \\ \times \sqrt{1 - 4x_c^2} \ln \frac{1 - \sqrt{1 - 4x_c^2}}{2x_c} \\ + \frac{4}{9} \left[ -\frac{3}{2} + 16x_c^2 - 14x_c^4 + 24x_c^6 \right. \\ \left. + 32x_c^6 (2 - 3x_c^2) \left( \ln^2 \frac{1 - \sqrt{1 - 4x_c^2}}{2x_c} - \frac{\pi^2}{4} \right) \right]$$

$$r_{sg} = \left| \frac{V_{tb}^* V_{ts}}{V_{cb}} \right|^2 \frac{8\alpha_s(\mu)}{\pi f_1(x_c^2)} [C_8(\mu)]^2.$$

### III. CALCULATION

This section is devoted to the calculation of the  $g_{ij}$ 's and  $b_{j8}$ 's entering Eqs. (24), (26), (28). All results correspond to the NDR scheme. The first step is the same for all  $b$  decays under consideration: The penguin diagram of Fig. 2 must be calculated to obtain the  $r_{ij}$ 's in Eq. (15):

$$-i\pi \frac{4}{9} \left[ \frac{2}{3} \sqrt{1 - 4x_c^2} (1 - 10x_c^2 + 18x_c^4 - 36x_c^6) \right. \\ \left. - 32x_c^6 (2 - 3x_c^2) \ln \frac{1 - \sqrt{1 - 4x_c^2}}{2x_c} \right]$$

$$g_{22} \left( 0, \frac{\mu}{m_b} \right) = g_{24} \left( 0, \frac{\mu}{m_b} \right) = g_{26} \left( 0, \frac{\mu}{m_b} \right) \\ = \frac{4}{9} \left( -\frac{3}{2} + \frac{4}{3} \ln \frac{m_b}{\mu} - \frac{2}{3} i\pi \right)$$

$$g_{21} \left( x, \frac{\mu}{m_b} \right) = g_{23} \left( x, \frac{\mu}{m_b} \right) = g_{25} \left( x, \frac{\mu}{m_b} \right) = g_{1j} \left( x, \frac{\mu}{m_b} \right) \\ = 0, \quad j = 1, \dots, 6, \quad (34)$$

where  $x_c = m_c/m_b$ . Numerically one finds, for actual quark masses,

$$g_{22}(0,1) = -0.67 - 0.93i, \quad g_{22}(0.3,1) = -0.69 - 0.23i. \quad (35)$$

The near equality of the real parts in Eq. (35) is a numerical accident.

In the case of two identical particles in the final state, both diagrams of Fig. 5 contribute. Then  $g_{23}$  is no more zero, but

$$g_{23} \left( x, \frac{\mu}{m_b} \right) = g_{22} \left( x, \frac{\mu}{m_b} \right) \quad \text{for } b \rightarrow d\bar{d}d \text{ and } b \rightarrow s\bar{s}s. \quad (36)$$

The remaining  $g_{ij}$ 's are as in Eq. (34). As an analytical check we have confirmed that the  $\mu$ -dependence in Eq. (34) and Eq. (36) cancels with the  $\mu$ -dependence in the Wilson coefficients in Eq. (18) to order  $\alpha_s$ .

We now turn to the calculation of  $\Delta\Gamma_8$  in Eq. (26). Performing the phase space integration in Eq. (27) yields

TABLE II. The values of  $r_{q\bar{q}q'}$  for the various final states as defined in Eq. (6). The input parameters are chosen as in Eq. (39) except for the quantity listed in the second column. The last column lists  $r_\phi$  defined in Eq. (7).  $B$  in the last row is the branching ratio for  $B \rightarrow X_{q\bar{q}q'}$  obtained by multiplying  $r_{q\bar{q}q'}$  with  $B_{\text{SL}} = 10.23\%$ .

	Input	Final state						
		$u\bar{u}d$	$u\bar{u}s$	$d\bar{d}s$	$s\bar{s}s$	$s\bar{s}d$	$d\bar{d}d$	No charm
$r_{q\bar{q}q'}$	as in Eq. (39)	0.040	0.021	0.018	0.015	$8.9 \times 10^{-4}$	$7.2 \times 10^{-4}$	0.14
	$\mu = m_b/2$	0.044	0.033	0.029	0.024	$14.0 \times 10^{-4}$	$11.4 \times 10^{-4}$	0.19
	$\mu = 2m_b$	0.037	0.014	0.011	0.009	$5.5 \times 10^{-4}$	$4.6 \times 10^{-4}$	0.11
	$\frac{ V_{ub} }{ V_{cb} } = 0.06$	0.023	0.020	0.018	0.015	$8.7 \times 10^{-4}$	$7.1 \times 10^{-4}$	0.11
	$\frac{ V_{ub} }{ V_{cb} } = 0.10$	0.062	0.023	0.018	0.015	$9.1 \times 10^{-4}$	$7.5 \times 10^{-4}$	0.18
	$\delta = 60^\circ$	0.044	0.017	0.018	0.015	$5.9 \times 10^{-4}$	$4.8 \times 10^{-4}$	0.14
	$\delta = 120^\circ$	0.036	0.025	0.018	0.014	$12.2 \times 10^{-4}$	$10.0 \times 10^{-4}$	0.14
	$x_c = 0.25$	0.034	0.019	0.016	0.013	$8.1 \times 10^{-4}$	$6.7 \times 10^{-4}$	0.12
	$x_c = 0.33$	0.048	0.023	0.020	0.017	$9.7 \times 10^{-4}$	$7.9 \times 10^{-4}$	0.16
	$B$	as in Eq. (39)	0.41%	0.22%	0.18%	0.15%	$9.1 \times 10^{-3}\%$	$7.4 \times 10^{-3}\%$

$$b_{28} = b_{48} = b_{68} = -\frac{16}{9},$$

$$b_{18} = b_{38} = b_{58} = 0 \quad (37)$$

for  $b \rightarrow u\bar{u}d$ ,  $b \rightarrow u\bar{u}s$ ,  $b \rightarrow s\bar{s}d$ , and  $b \rightarrow d\bar{d}s$ . If identical particles are present,  $b_{38}$  is no more zero, but instead reads

$$b_{38} = -\frac{16}{9} \quad \text{for } b \rightarrow d\bar{d}d \text{ and } b \rightarrow s\bar{s}s. \quad (38)$$

The remaining  $b_{j8}$ 's are as in Eq. (37).

#### IV. CHARMLESS DECAY RATES

##### A. Standard model

In this section we discuss our numerical results for the various decay rates. We use the following set of input parameters:

$$\left| \frac{V_{ub}}{V_{cb}} \right| = 0.08 \pm 0.02, \quad \delta = 90^\circ \pm 30^\circ, \quad x_c = 0.29 \pm 0.04,$$

$$\mu = m_b = 4.8 \text{ GeV},$$

$$\alpha_s(M_Z) = 0.118, \quad |V_{cb}| = 0.038, \quad m_t(m_t) = 168 \text{ GeV}. \quad (39)$$

The  $r_{q\bar{q}q'}$ 's sizably depend on  $|V_{ub}/V_{cb}|$ ,  $\delta$ ,  $x_c$  and especially on the renormalization scale, which will be varied in the range  $m_b/2 \leq \mu \leq 2m_b$ . The quark masses in Eq. (39) are taken from [33], the values for  $|V_{ub}/V_{cb}|$  and  $|V_{cb}|$  have been presented in [34]. The range for the CKM phase  $\delta$  has been obtained from the NLO analysis of  $\epsilon_K$  and  $\Delta m_B$  in [35]. The dependence on  $\alpha_s(M_Z)$  in the range  $0.112 \leq \alpha_s(M_Z) \leq 0.124$  [29] is weaker than the  $\mu$ -dependence. Our results are listed in Table II.

Keeping the physical input parameters as in Eq. (39) and varying the scale in the range  $m_b/2 \leq \mu \leq 2m_b$ , the charmless decay modes sum to

$$r_\phi = 0.15 \pm 0.04.$$

The values for  $r_{sg}$  and  $r_{ue}$  entering this result are

$$r_{sg} = 0.02 \pm 0.01, \quad r_{ue} = 0.01 \pm 0.00.$$

Incorporating also the uncertainties in  $|V_{ub}/V_{cb}|$  and  $x_c$ , one finds

$$r_\phi = 0.15 \pm 0.08. \quad (40)$$

To discuss the results for the individual charmless decay modes, it is instructive to look at the separate scheme-independent contributions from  $\Gamma^{(0)}$ ,  $\Delta\bar{\Gamma}_{cc}$ ,  $\Delta\bar{\Gamma}_{\text{peng}}$ ,  $\Delta\bar{\Gamma}_8$ , and  $\Delta\bar{\Gamma}_W$  (cf. the Appendix) to the decay rate. These contributions are listed in Table III, in which also the contributions from penguin operators to  $\Gamma^{(0)}$  are shown.

All decays except for  $B \rightarrow X_{u\bar{u}d}$  are dominated by penguin effects. The sizable  $\mu$ -dependence in these decays can be reduced by calculating the current-current type radiative corrections to penguin operators. Note that all these decay rates are even dominated by the penguin diagrams calculated in this work. On the other hand the terms stemming from  $Q_8$  lower the penguin induced rates. In  $B \rightarrow$  no charm, however, the net effect of  $Q_8$  is positive because of the two-body decay  $b \rightarrow sg$  proportional to  $C_8^2$ .

The calculation of  $r_\phi$  in [11] has included  $\Gamma^{(0)}$ ,  $\Delta\bar{\Gamma}_W$ , and  $\Delta\bar{\Gamma}_{cc}$ . Taking into account that in [11] a high (theoretical) value for  $B_{\text{SL}}$  has been used, the result of [11] translates into

$$r_\phi = 0.04 \quad \text{for } \left| \frac{V_{ub}}{V_{cb}} \right| = 0.10.$$

The corresponding value in Table II is  $r_\phi = 0.18$  showing the increase due to  $\Delta\bar{\Gamma}_{\text{peng}} + \Delta\bar{\Gamma}_8$ . Despite the 36% increase in  $r_\phi$  in Eq. (40), the value is still much below  $r_\phi = 1.0 \pm 0.4$  needed to solve the missing charm puzzle. The new theoretical prediction for  $n_c$  is

$$n_c = 1.33 \mp 0.06,$$



TABLE III. Separate contributions to  $\Gamma(b \rightarrow q\bar{q}q')$  in percent of the total rate for the input parameters of Eq. (39). In the third column the contribution from the LO matrix elements of penguin operators and their interference with matrix elements of  $Q_{1,2}$  is shown. In the last row  $\Gamma(b \rightarrow ue\bar{\nu}_e)$  entering  $r_\phi$  has been assigned to the second column listing the current-current part.

Final state	$\propto C_{1,2}^{(0)} \cdot C_{1,2}^{(0)}$	$\propto C_{3-6}^{(0)} \cdot C_{1-6}^{(0)}$	$\propto \Delta\bar{\Gamma}_W$	$\propto \Delta\bar{\Gamma}_{cc}$	$\propto \Delta\bar{\Gamma}_{\text{peng}}$	$\propto \Delta\bar{\Gamma}_8$
$u\bar{u}d$	107	-4	-5	6	-5	1
$u\bar{u}s$	10	39	-7	1	72	-14
$d\bar{d}s$	0	46	-8	0	78	-16
$s\bar{s}s$	0	44	-20	0	92	-16
$s\bar{s}d$	0	54	-9	0	74	-19
$d\bar{d}d$	0	52	-24	0	91	-19
$sg$	0	0	0	0	0	100
No charm	46	17	-6	2	31	11

which is only marginally lower than the old result in Eq. (4). Still an enhancement of  $r_\phi$  by a factor between 2.6 and 20 is required. Yet with the result in Eq. (34) for the penguin diagram of Fig. 5 at hand, we can estimate the nonperturbative enhancement of the  $(c, \bar{c})$  intermediate state necessary to reproduce the experimental result. The mechanism proposed in [14,18] corresponds to a violation of quark-hadron duality, which we may parametrize by multiplying the  $(c, \bar{c})$ -penguin function  $g_{ij}(x_c, \mu/m_b)$  in Eq. (28) by an arbitrary factor  $d$ . We find that  $d$  must be chosen as large as 20 in order to reach the lowest desired value  $r_\phi = 0.6$  for the central set of the input parameters in Eq. (39). Yet in this case one must also include the double-penguin diagram obtained by squaring the result of Fig. 2. Taking this into account, nonperturbative effects must still increase the  $(c, \bar{c})$ -penguin diagram by roughly a factor of 9 over its short distance result in the NDR scheme. Having in mind that the phase space integration contained in  $g_{ij}(x_c, \mu/m_b)$  implies a smearing of the invariant hadronic mass of the  $(c, \bar{c})$ -pair, such a large deviation of quark-hadron duality seems unlikely.

Our results for the branching fractions of  $b \rightarrow d\bar{d}s$  and  $b \rightarrow s\bar{s}s$  differ by roughly a factor of 1/2 from the results in [19]. The main source of the discrepancy is the different calculation of the total rate  $\Gamma_{\text{tot}}$  entering the theoretical definition of the branching fraction. Our predictions for the branching ratios in Table II contain the total rate via  $\Gamma_{\text{tot}} = \Gamma_{\text{SL}}/B_{\text{SL}}^{\text{exp}}$ , while in [19]  $\Gamma_{\text{tot}} = G_F^2 m_b^5 |V_{cb}|^2 / (64\pi^3)$  has been used. This approximation neglecting the RG effects appears to be too crude and is responsible for 50% of the discrepancy. The remaining difference is due to the effect of  $\Delta\bar{\Gamma}_8$  not considered in [19] and the use of different values of the Wilson coefficients. Our predictions for  $B(b \rightarrow s\bar{s}d)$  and  $B(b \rightarrow d\bar{d}d)$  are even smaller by a factor of 5 than the results of [19]. This is due to the fact that in addition the value of  $|V_{td}/V_{cb}|^2$  used in [19] is more than twice as large as the present day value used in our analysis. We remark that with our new results the number of  $B$ -mesons to be produced in order to detect the inclusive  $CP$  asymmetries corresponding to these decays is substantially larger than estimated in [19].

### B. New physics

In the standard model the initial conditions for  $C_{3-6}$  and  $C_8$  are generated at a scale  $\mu = O(M_W)$  by the one-loop

$bsg$ -vertex function with a  $W$ -boson and a top quark as internal particles. Because of the helicity structure of the couplings positive powers of  $m_t$  are absent in the  $bsg$ -vertex. In many extensions of the standard model such a helicity suppression does not occur [17]. Further in supersymmetric extensions flavor changing transitions can be mediated by gluinos, whose coupling to  $(s)$  quarks involves the strong rather than the weak coupling constant. Recently a possible enhancement of  $C_8$  affecting  $r_{sg}$  and thereby  $r_\phi$  has attracted much attention [17]. In the following we will perform a model independent analysis of this hypothesis.

New physics affects the initial condition of the Wilson coefficients calculated at the scale of the masses mediating the flavor-changing transition of interest. We will take  $\mu = M_W$  as the initial scale for both the standard and nonstandard contributions to the Wilson coefficients. The renormalization group evolution down to  $\mu = m_b$  mixes the various initial values and can damp or enhance the new physics effects in  $C_i(M_W)$ . For example the standard model value of

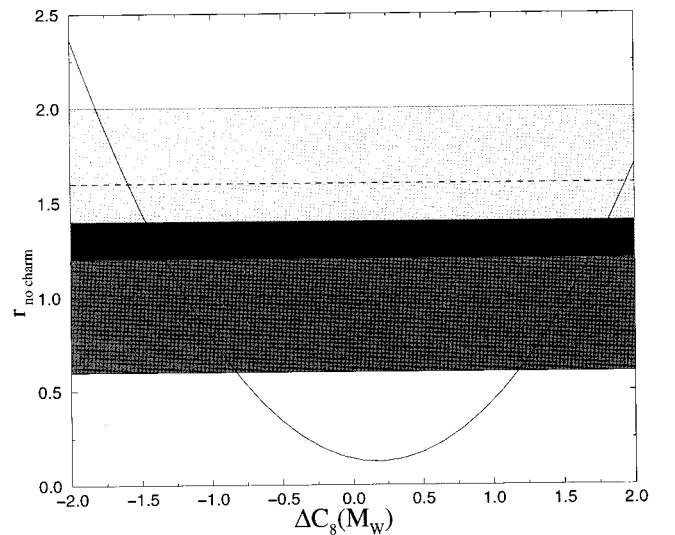


FIG. 7.  $r_\phi$  vs  $\Delta C_8(M_W)$  parametrizing new physics contributions to  $C_8(M_W)$ . The dark shading marks the region  $r_\phi = 1.0 \pm 0.4$  needed to reproduce the experimental result for  $n_c$  in Eq. (1). The lightly shaded area corresponds to  $r_\phi = 1.6 \pm 0.4$  obtained from the analysis in [14].

$C_8$  (4.8 GeV) in Table I is mainly a linear combination of  $C_2(M_W)$  and  $C_8(M_W)$ :

$$C_8(m_b) = -0.08C_2(M_W) + 0.7C_8(M_W)$$

with  $C_8(M_W) = -0.1$ . If one enlarges  $C_8(M_W)$  by a factor of 10 while keeping  $C_{1-6}(M_W)$  fixed,  $r_\ell$  grows by a factor of 5.5. The sensitivity of  $r_\ell$  to  $C_{3-6}(M_W)$  is much smaller, increasing the latter by a factor of 10 enhances  $r_\ell$  only by a factor of 1.6. Hence in the following we will only focus on  $C_8(M_W) = -0.1 + \Delta C_8(M_W)$ , where  $\Delta C_8(M_W)$  is the new physics contribution. For simplicity we will further assume that the CKM structure of the new contributions is the same as in the standard model and neglect the possibility of new  $CP$ -violating phases by assuming  $\Delta C_8(M_W)$  to be real.

In Fig. 7  $r_\ell$  is plotted versus  $\Delta C_8(M_W)$ . Solving for  $r_\ell = 1.0 \pm 0.4$  yields two solutions:

$$\Delta C_8(M_W) = -1.2_{+0.4}^{-0.3}, \quad \Delta C_8(M_W) = 1.5 \pm 0.3. \quad (41)$$

The central values correspond to an enhancement of the standard model value for  $C_8(M_W)$  by factors of 13 and  $(-14)$ . We hope to resolve the twofold ambiguity after calculating the contribution of  $Q_8$  to  $\Gamma(\bar{B} \rightarrow X_{c\tau s})$ .

The LEP data in Eq. (5) correspond to  $r_\ell = 0.4 \pm 0.5$  and

$$\Delta C_8(M_W) = -0.5_{+0.5}^{-0.6}, \quad \Delta C_8(M_W) = 0.9_{-0.9}^{+0.6}$$

showing the consistency of Eq. (5) with the standard model.

## V. CONCLUSIONS

We have calculated two new contributions to the inclusive decay rates of  $B$ -mesons into various charmless final states: First we have obtained the results of penguin diagrams involving the operator  $Q_2$  and a  $c$ - or  $u$ -quark in the loop putting special care on the renormalization scheme independence of our results. Second we have calculated the influence of the chromomagnetic dipole operator  $Q_8$  on these decays. The former contributions have been found to dominate the branching fractions for  $\bar{B} \rightarrow X_{u\bar{u}s}$ ,  $\bar{B} \rightarrow X_{d\bar{d}s}$ ,  $\bar{B} \rightarrow X_{s\bar{s}s}$ ,  $\bar{B} \rightarrow X_{s\bar{s}d}$ , and  $\bar{B} \rightarrow X_{d\bar{d}d}$ . The effect of  $Q_8$  on these decay modes is also sizable and decreases the rates. On the other hand the decay rate for  $\bar{B} \rightarrow X_{u\bar{u}d}$  is only affected by a few percent. Our results increase the theoretical prediction for  $B(B \rightarrow \text{no charm})$  by 36%, which is not sufficient to explain the charm deficit observed in  $B$ -decays by ARGUS and CLEO. If a breakdown of quark-hadron duality due to intermediate  $(c, \bar{c})$  resonances is to explain the ‘‘missing charm puzzle,’’ the phase space integrated penguin diagram with an internal  $c$ -quark must be larger than the perturbative result in the NDR scheme by roughly a factor of 9.

We have then analyzed the hypothesis that new physics effects enhance the coefficient  $C_8$  of  $Q_8$  and have performed a model independent fit of  $C_8$  to the experimental data on  $n_c$ . The renormalization group evolution from  $\mu = M_W$  to  $\mu = m_b$  has been properly taken into account. One finds two solutions for  $C_8$ : For the central values of the theoretical input and the data of the  $Y(4S)$  experiments  $C_8(M_W)$  must be larger by a factor of 13 or  $(-14)$  than in the standard

model, if the new physics contributions have the same CKM structure as the standard model penguin diagram.

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## APPENDIX: SCHEME INDEPENDENCE

Now we discuss the cancellation of scheme dependent terms between the NLO Wilson coefficients and the loop diagrams contained in  $\Delta\Gamma_{cc}$  and  $\Delta\Gamma_{\text{peng}}$ . We define scheme independent combinations  $\Delta\bar{\Gamma}_{cc}$ ,  $\Delta\bar{\Gamma}_{\text{peng}}$ , and  $\Delta\bar{\Gamma}_W$ , which allow a meaningful discussion of the numerical sizes of these separate contributions to  $\Gamma$  as performed in Sec. IV. Finally we comment on the scheme independence of  $\Delta\Gamma_8$ .

The NLO correction to the Wilson coefficients in Eq. (17) can be split into two parts:

$$\Delta C_j(\mu) = \sum_{k=1}^6 J_{jk} C_k^{(0)}(\mu) + \Delta\bar{C}_j(\mu), \quad j=1, \dots, 6. \quad (A1)$$

$\Delta\bar{C}_j(\mu)$  contains the contributions stemming from the weak scale. It is independent of the renormalization scheme and proportional to  $\alpha_s(M_W)/\alpha_s(\mu)$ . Yet the  $J_{jk}$ 's in Eq. (A1) are scheme dependent. The precise definitions of the terms in Eq. (A1) can be found in [28,30]. We now absorb the terms involving  $J_{jk}$  into  $\Delta\bar{\Gamma}_{cc}$  and  $\Delta\bar{\Gamma}_{\text{peng}}$ , so that the latter become scheme independent.

The identification of scheme independent combinations of one-loop matrix elements and  $J_{jk}$ 's is most easily done, if one expresses the loop diagrams in terms of the tree-level matrix elements. The combination

$$r_{jk}^{q'}(p^2, m_{q'}, \mu) + J_{kj}, \quad j \leq 2 \quad \text{and} \quad k \geq 3, \quad (A2)$$

of the coefficients in Eq. (15) and the  $J_{kj}$ 's is scheme independent [28]. Substituting  $r_{jk}^{q'}$  with Eq. (A2) in Eq. (33), one finds the scheme independent quantity:

$$\begin{aligned} \Delta\bar{\Gamma}_{\text{peng}} = & \Delta\Gamma_{\text{peng}} + \frac{G_F^2 m_b^5}{64\pi^3} \\ & \times 2 \text{Re} \left( -t \xi_u \xi_t^* \sum_{\substack{i,j=1,2 \\ k=3, \dots, 6}} C_i^{(0)} C_j^{(0)} J_{ki} b_{jk} \right. \\ & \left. + |\xi_t|^2 \sum_{\substack{i=1,2 \\ j,k=3, \dots, 6}} C_i^{(0)} C_j^{(0)} J_{ki} b_{jk} \right). \quad (A3) \end{aligned}$$

Here  $\xi_t^* = -\xi_u^* - \xi_c^*$  has been used. In the NDR scheme the  $J_{ki}$ 's in Eq. (A3) evaluate to [28]

$$J_{31} = -0.877, \quad J_{32}^{\text{NDR}} = -0.532,$$

$$J_{41} = 0.324, \quad J_{42}^{\text{NDR}} = -0.202,$$

$$J_{51}=0.557, \quad J_{52}^{\text{NDR}}=0.511,$$

$$J_{61}=0.146, \quad J_{62}^{\text{NDR}}=-0.677. \quad (\text{A4})$$

The  $J_{k1}$ 's in the first row of Eq. (A4) do not depend on the renormalization scheme, because  $r_{1j}^{q'}=0$  in all schemes due to a vanishing color factor.

In the same way one finds

$$\Delta\bar{\Gamma}_{cc}=t \frac{G_F^2 m_b^5}{64\pi^3} 2|\xi_{ul}|^2 \sum_{i,j=1}^2 C_i^{(0)} C_j^{(0)} \left( h_{ij} + \sum_{k=1}^2 J_{ki} b_{kj} \right). \quad (\text{A5})$$

Here the scheme dependence of the  $h_{ij}$ 's in Eq. (23) cancels with the one of the  $J_{ki}$ 's [28]:

$$J_{11}^{\text{NDR}}=J_{22}^{\text{NDR}}=\frac{631}{6348}=0.099, \quad J_{12}^{\text{NDR}}=J_{21}^{\text{NDR}}=\frac{3233}{2116}=1.528. \quad (\text{A6})$$

If one inserts Eq. (A1) into  $\Delta\Gamma_W$ , one finds the  $J_{jk}$ 's with  $k \leq 2$  to appear exactly in the combinations entering Eq. (A3) and Eq. (A5). The remaining  $J_{jk}$ 's with  $k \geq 3$  describing

penguin-penguin mixing would cancel the scheme dependence of the loop diagrams of Fig. 1 and Fig. 2 with insertions of penguin operators  $Q_j$ ,  $j=3, \dots, 6$ . Since the latter are omitted in our calculation, we must also leave out the  $J_{jk}$ 's with  $k \geq 3$  in Eq. (A1). This has been done in Table I.  $\Delta\bar{C}_j$  has been tabulated in the last line of Table I for illustration. It can be obtained from the other entries of the table with the help of Eqs. (A1), (A6), and (A4). Finally  $\Delta\bar{\Gamma}_W$  is simply obtained from  $\Delta\Gamma_W$  in Eq. (21) by replacing  $\Delta C_j$  with  $\Delta\bar{C}_j$ .

Unlike  $C_j^{(0)}$ ,  $j \leq 6$ ,  $C_8^{(0)}$  is a two-loop quantity and therefore *a priori* scheme dependent. We understand  $H$  in Eq. (12) to be renormalized such that the matrix elements  $\langle dg|Q_j|b \rangle$  vanish at the one-loop level for  $j=1, \dots, 6$ . This ensures that  $\Delta\Gamma_8$  as defined in Eq. (26) is scheme independent [27]. The thereby renormalized LO coefficient  $C_8^{(0)}$  is usually called  $\tilde{C}_8$  or  $C_8^{(0)\text{eff}}$ . In the NDR scheme this finite renormalization simply amounts to  $C_8^{(0)}=C_8^{(0),\text{NDR}}+C_5^{(0)}$ . Apart from  $\Delta\Gamma_8$  this only affects the penguin diagram of  $Q_5$  (cf. Fig. 2), which is a part of the neglected radiative corrections to penguin operators.

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