# Dijet hadroproduction with rapidity gaps and QCD double logarithmic effects

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We show that the hadroproduction of a pair of jets with large transverse energy in the central region bounded by rapidity gaps is an ideal process to see important double logarithmic QCD suppression effects. We compute the cross sections for both exclusive and inclusive double-diffractive dijet production at Fermilab Tevatron and CERN LHC energies for a range of rapidity configurations. [S0556-2821(97)00621-8]

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## I. INTRODUCTION

Processes with large rapidity gaps in high energy pp (or  $p\bar{p}$ ) collisions are being intensively studied both theoretically and experimentally; see for example Refs. [1–4]. One reason is that the requirement of a rapidity gap is a way to select events induced by QCD Pomeron exchange. Another reason is that events with a rapidity gap offer the opportunity to search for new heavy particles, such as Higgs bosons, in an environment in which the large QCD background is suppressed.

A particularly illuminating "test" process with which to probe the underlying dynamics is the hadroproduction of a dijet system separated from the beam remnants by rapidity gaps<sup>1</sup>

$$p\overline{p} \rightarrow X + jj + \overline{X},$$
 (1)

where the two centrally produced jets each have large transverse energy  $(E_T)$ . The "plus" signs in Eq. (1) indicate the existence of rapidity gaps. This process has been observed at the Fermilab Tevatron [2]. Moreover, it can be studied in more detail and, in particular, at larger  $E_T$  at the CERN Large Hadron Collider (LHC). Exclusive dijet production,  $p\bar{p} \rightarrow p + jj + \bar{p}$ , was originally discussed at the Born level by Pumplin [3], and by Berera and Collins [4]. In this paper we are concerned with QCD effects which give significant modifications to the Born prediction. Indeed a novel and interesting effect is the strong suppression of the cross section by double logarithmic QCD form factors which reflect the fact that the emission of relatively soft gluons in the rapidity gap intervals is forbidden by the experimental cuts.

The first study [5] of such a suppression was in connection with the rapidity gap Higgs signal at the LHC. It was found that the cross section for the exclusive process  $pp \rightarrow p + H + p$  was suppressed by Sudakov form factors by about a factor of 1000 in comparison with the Born cross section. Here we study the analogous effect in dijet production. This is an important process for two reasons. First, the prediction can be directly checked by experiment and, second,  $b\overline{b}$  dijet production with rapidity gaps is the main source of OCD background for an intermediate mass Higgs boson+rapidity gaps signal. One way in which the dijet process differs from Higgs production [5] is that the Sudakov form factor suppression is partially alleviated by the special kinematics of the process. Just as the QED radiative corrections<sup>2</sup> have to be calculated for each particular choice of experimental cuts, so the QCD double logarithmic suppression needs to be evaluated for each specification of the rapidity gaps.

For pedagogical reasons we first study the exclusive dijet production process  $p\overline{p} \rightarrow p+jj+\overline{p}$  in which the proton and antiproton remain intact. However we find, as expected, that the cross section is extremely small and so we then turn to the more realistic inclusive dijet production process given in Eq. (1). Our study will concentrate on the kinematic configuration where the "dijet" rapidity interval between the two rapidity gaps is not large. Here the predictions are particularly clear. If the interval is large then, as we shall see, the production of additional minijets will considerably compli-

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<sup>&</sup>lt;sup>1</sup>Since we shall assume that the process is mediated by gluon *t* channel exchanges our calculation applies equally well to  $p\overline{p}$  and pp collisions.

<sup>&</sup>lt;sup>2</sup>One of the best places to see experimental evidence of QED double logarithmic effects is in the  $J/\psi$  line shape in  $e^+e^-$  annihilation. The asymmetric widening of the line shape, arising from the radiative tail, is mainly due to these effects, see for example [6]. To obtain a sharp resonance peak it would be necessary to experimentally forbid QED radiation from the incoming  $e^+$  and  $e^-$ , which would lead to a Sudakov-suppressed cross section. Clearly the analogous QCD effects which we will discuss here are much larger.



FIG. 1. The Born amplitude for exclusive double-diffractive dijet production shown at the quark level, together with the QCD radiative corrections arising from "evolution" gluons (dashed lines) and the Sudakov-type form factor suppression associated with the  $\Delta \eta$ (veto) rapidity gaps. The comparatively soft screening gluon has four-momentum Q. The rapidity gaps are indicated by  $\Delta \eta$ (veto) and the two large  $P_T$  jets are required to lie in the rapidity interval  $\Delta \eta$ (dijet).

cate the theoretical framework without giving any additional insight. Of course we will also have to take into account the suppression of rapidity gap events due to parton-parton rescattering.

We will work in the double logarithmic approximation and use the leading power of all logarithms that occur. Provided that  $E_T$  is sufficiently large, this approach is rather well justified.

## **II. DIJET KINEMATICS**

Consider dijet hadroproduction at the quark level,  $qq \rightarrow q+jj+q$ , which is shown in Fig. 1. The experimental configuration of interest is where particle production is forbidden in the rapidity gaps

$$\Delta \eta$$
(veto) = ± ( $\eta_{\min}, \eta_{\max}$ )

and a pair of larger  $E_T$  jets are produced with rapidities  $\eta_1, \eta_2$  which both lie in a central rapidity interval denoted by  $\Delta \eta$ (dijet). The rapidity gap configuration is sketched on the right-hand side of Fig. 1. It is necessary to choose the interval  $\Delta \eta$ (dijet) smaller than the interval  $(-\eta_{\min}, \eta_{\min})$  between the gaps so as to ensure that the fragments of the large  $E_T$  jets can be collected and their momenta determined.

Let us denote the transverse momenta of the two jets by  $\mathbf{P}_{1T}$  and  $\mathbf{P}_{2T}$ . Then the jet transverse energies are  $E_{iT} = P_{iT}$ . It is convenient to write the differential cross section for dijet production in the form  $d\sigma/(d^2\mathbf{P}_T d^2\Delta\mathbf{P}_T d\eta d\Delta\eta)$  where

$$\mathbf{P}_{T} = \frac{1}{2} (\mathbf{P}_{1T} - \mathbf{P}_{2T}), \quad \eta = \frac{1}{2} (\eta_{1} + \eta_{2}), \quad (2)$$

$$\Delta \mathbf{P}_T = \mathbf{P}_{1T} + \mathbf{P}_{2T}, \quad \Delta \eta = \eta_1 - \eta_2. \tag{3}$$

On the other hand, the  $gg \rightarrow jj$  hard subprocess is most naturally described in terms of the Mandelstam variables  $\hat{s} = M^2$  and  $\hat{t}$ , where M is the invariant mass of the dijet system. For exclusive dijet production,  $p\overline{p} \rightarrow p + jj + \overline{p}$ , the proton form factors limit the momentum transfer from the proton (and from the antiproton). We thus have  $|t_i| \ll P_T^2$  and consequently  $(\Delta P_T)^2 \ll P_T^2$ . The kinematics are much simpler when  $\Delta P_T$  is small. It means that the rapidity axis defined with respect to the direction of the incoming p and  $\overline{p}$  essentially coincides with the axis defined by the incoming hard gluons. In this limit

$$\hat{s} \equiv M^2 \simeq 4P_T^2 \cosh^2(\Delta \eta/2), \qquad (4)$$

$$\hat{t} \simeq -P_T^2 (1 + e^{-\Delta \eta}),$$
 (5)

where  $\Delta \eta$  can be defined by either the  $p\overline{p}$  or the gg incoming "beams."

Even for inclusive dijet production,  $p\overline{p} \rightarrow X + jj + \overline{X}$ , the condition  $(\Delta P_T)^2 \ll P_T^2$  is usually satisfied, unless the experimental criteria insist otherwise. Thus we shall assume the above kinematics in this paper.

Suppose for the moment we continue to work at the quark level,  $qq \rightarrow q+jj+q$ . We denote the amplitude for the process by *T* and write the differential cross section

$$\frac{d\sigma}{dP_T^2 d\eta d\Delta \eta dt_1 dt_2} = \frac{|T|^2}{(16\pi^2)^2}.$$
(6)

Here we have used the identity

$$d^{2}\Delta P_{T}d^{2}q_{1T}'d^{2}q_{2T}'\delta^{(2)}(\Delta \mathbf{P}_{T}+\mathbf{q}_{1T}+\mathbf{q}_{2T}) = \pi^{2}dt_{1}dt_{2},$$
(7)

where  $q'_1$  and  $q'_2$  are the momenta of the outgoing quarks. The amplitude *T* is proportional to the amplitude  $\mathcal{M}$  describing the on-shell  $gg \rightarrow jj$  subprocess. We normalize  $\mathcal{M}$  by

$$\frac{d\hat{\sigma}}{d\hat{t}} = |\mathcal{M}|^2. \tag{8}$$

To relate  $d\hat{t}$  to  $dP_T^2$  we note that at fixed  $M^2$  we have

$$\frac{d\hat{t}}{dP_T^2} \approx \frac{1 + e^{-\Delta\eta}}{1 - e^{-\Delta\eta}} \approx M^2 \frac{d(\Delta\eta)}{dM^2}.$$
(9)

This identity means that the form of the differential cross section of the subprocess which emerges naturally from multi-Regge kinematics can be written as

$$\frac{d\hat{\sigma}}{dP_T^2} \frac{dM^2}{M^2} = \frac{d\hat{\sigma}}{d\hat{t}} d(\Delta \eta).$$
(10)

#### **III. EXCLUSIVE DIJET PRODUCTION**

We begin with the calculation of the double diffractive dijet production where the proton (antiproton) remains intact. The Born amplitude for quark-initiated production is described by the Feynman diagram shown by the solid lines in Fig. 1. We must explain the origin of the second *t* channel gluon. Without the rapidity gap restriction the dijet system could simply be produced by gluon-gluon fusion. However, the color flow induced by such a single gluon exchange process would then produce many secondary particles which would fill up the rapidity gap. To screen the color flow it is necessary to exchange a second *t* channel gluon. At lowest order in  $\alpha_s$  this gluon couples only to the incoming quark lines. (The case in which the screening gluons also couple to the high  $E_T$  jets is of higher order in  $\alpha_s$ .) Thus the Born amplitude is given by

$$T(qq \to q+jj+q) = \frac{2}{9} 2 \int \frac{d^2 Q_T}{Q^2 k_1^2 k_2^2} 8\alpha_s^2(Q_T^2) \hat{\mathcal{M}}, \quad (11)$$

where  $\frac{2}{9}$  is the color factor for the two-gluon color-singlet exchange process and the factor 2 takes into account that both *t* channel gluons can radiate the dijet system; see, for example, [3,5]. The amplitude  $\hat{\mathcal{M}}$  represents the sum of the Feynman diagrams for the subprocess  $gg \rightarrow jj$ . In addition to the usual Mandelstam variables  $\hat{s}$  and  $\hat{t}$ , the amplitude  $\hat{\mathcal{M}}$ depends on the transverse momenta  $\mathbf{k}_{iT}$  of the incoming gluons. We work in the limit where  $k_{iT}^2 \ll E_T^2$ . In this limit the off-shell amplitude is of the form

$$\hat{\mathcal{M}} = k_{1T} k_{2T} \mathcal{M}(\hat{s}, \hat{t}), \qquad (12)$$

which reflects the gauge invariance of the amplitude. The remaining factor  $\mathcal{M}$  is the amplitude which describes the on-shell  $gg \rightarrow jj$  subprocess, which was introduced in Eq. (8) and which fixes the normalization of Eqs. (11) and (12). In the leading log approximation the origin of the  $k_{iT}$  factors in Eq. (12) is clear from the well-known Weizsäcker-Williams formula. The QCD analogue can be found in Ref. [7]. The  $k_{iT}$  factors occur since the forward emission of massless vector particles without spin flip is forbidden [8].

If the momentum transfers are small  $(k_1^2 \approx k_2^2 \approx Q^2)$  then the integral in Eq. (11) behaves as  $\int dQ_T^2/Q_T^4$ . Thus small values of  $Q_T$  of the screening gluon are favored. Fortunately, as we shall see, the existence of the rapidity gaps and the consequent Sudakov form factor suppression make the integral infrared convergent.

The Sudakov form factor  $F_S$  is the probability not to emit bremsstrahlung gluons (one of which is shown by  $p_T$  in Fig. 1). We have

$$F_{S} = \exp[-S(Q_{T}^{2}, E_{T}^{2})], \qquad (13)$$

where S is the mean multiplicity of bremsstrahlung gluons

$$S(Q_T^2, E_T^2) = \int_{Q_T^2}^{E_T^2} \frac{dp_T^2}{p_T^2} \int_{p_T}^{M/2} \frac{d\omega}{\omega} \frac{3\alpha_s(p_T^2)}{\pi} = \frac{3\alpha_s}{4\pi} \ln^2 \left(\frac{E_T^2}{Q_T^2}\right).$$
(14)

Here  $\omega$  and  $p_T$  are the energy and transverse momentum of an emitted gluon in the dijet rest system. Note that  $E_T$  is the transverse energy of the jet adjacent to the "hard" gluon from which the bremsstrahlung takes place. The last equality in Eq. (14) assumes a fixed coupling  $\alpha_s$  and  $E_T \simeq M/2$ , and is shown only for illustration. The lower limit of integration in Eq. (14) reflects the destructive interference of amplitudes in which the bremsstrahlung gluon is emitted from a "hard" gluon  $k_i$  and from the soft screening gluon Q. That is there is no emission when the wavelength of the bremsstrahlung gluon ( $\simeq 1/p_T$ ) is larger than the separation,  $\Delta \rho \sim 1/Q_T$ , of the two t channel gluons in the transverse plane, since then they act as a single coherent color-singlet system. However, the situation is a little more complicated. As it stands Eqs. (13) and (14) represent to double logarithmic accuracy, the probability to have no bremsstrahlung at all. But, in a realistic experiment we do not exclude bremsstrahlung in the rapidity interval embracing the two larger  $E_T$  jets. That is in practice it is difficult to distinguish the bremsstrahlung gluons from gluons which belong to the jets. Only emission in some fixed rapidity interval  $\Delta \eta$ (veto) is vetoed in an experiment. For example, the D0 Collaboration [2] at the Tevatron choose a rapidity gap interval of  $\Delta \eta$ (veto)=( $\eta_{\min} = 2, \eta_{\max} = 4.1$ ). The suppression of Eq. (14) should therefore only act in the rapidity interval  $\Delta \eta$ (veto). Now the rapidity of the bremsstrahlung gluon is  $\eta_b = \ln(\omega/2p_T)$ . The relevant integration in Eq. (14) becomes

$$\int \frac{d\omega}{\omega} \to \int d\eta_b, \tag{15}$$

where we must restrict the  $\eta_b$  integration to the rapidity interval  $\Delta \eta$ (veto).

In addition to the form factor suppression we must also include the ladder evolution gluons (shown by the dashed lines in Fig. 1) and to consider the process at the protonantiproton level rather than the quark level. Both changes are achieved by making the replacements [9]

$$\frac{4\alpha_{s}(Q^{2})}{3\pi} \rightarrow f(x,Q^{2}) = \frac{\partial [xg(x,Q^{2})]}{\partial \ln Q^{2}}$$
(16)

in Eq. (11), where  $x = x_1$  or  $x_2$  for the upper or lower ladders in Fig. 1, respectively, and where  $f(x, Q^2)$  is the unintegrated gluon density of the proton. The identification (16) is valid for small momentum transfer from the proton, which is the dominant region for the exclusive process. We may therefore set  $k_{1T}^2 \approx k_{2T}^2 \approx Q_T^2 \approx Q^2$ . Strictly speaking even at zero transverse momentum transfer,  $q_{1T} - q'_{1T} = 0$ , we do not obtain the exact gluon structure function, as a nonzero component of longitudinal momentum is transferred through the two-gluon ladder. However, in the region of interest,  $x \sim 0.01$ , the value of  $|t_{\min}| = m_p^2 x^2$  is so small that we may safely put t=0 and identify the ladder coupling to the proton with  $f(x, Q^2)$  [9].

When we take the modifications (15) and (16) into account the Born amplitude (11) becomes

$$T(p\bar{p} \to p + jj + \bar{p}) = 2\pi^3 \int \frac{dQ^2}{Q^4} e^{-S(Q_T^2, E_T^2)} f(x_1, Q^2) \times f(x_2, Q^2) \mathcal{M},$$
(17)

where  $E_T = P_T$ . In the limit  $Q_T^2 \ll E_T^2$  the amplitude  $\mathcal{M}$  essentially becomes the on-shell amplitude introduced in Eqs. (8) and (12), which is simply a function of  $\hat{s}$  and  $\hat{t}$ , and so may be taken outside the loop integral. Equation (17) can then be expressed in the symbolic form

$$T \equiv 16\pi^2 \mathcal{L}^{1/2} \mathcal{M},\tag{18}$$

where  $\mathcal{L}$  may be regarded as the pomeron-pomeron luminosity factor. Clearly  $\mathcal{L}$  depends on the choice of the rapidity gap configuration. The factor  $16\pi^2$  arises due to the choice of normalization in Eq. (8).

The differential cross section for  $p\overline{p} \rightarrow p+jj+\overline{p}$  is given in terms of  $|T|^2$  by

$$\frac{d\sigma}{dP_T^2 d\eta d\Delta \eta} = \frac{|T|^2}{(16\pi^2)^2 b^2} = \frac{1}{b^2} \mathcal{L} \frac{d\hat{\sigma}}{d\hat{t}}, \qquad (19)$$



FIG. 2. The amplitude multiplied by its complex conjugate for inclusive double-diffractive dijet production with rapidity gaps  $\Delta \eta_1$  and  $\Delta \eta_2$  on either side,  $\Delta \eta_i = \pm (\eta_{\min}, \eta_{\max})$ . The suppression due to QCD radiative effects comes from the double log resummations  $\exp(-n_i/2)$  in the BFKL nonforward amplitudes and from the Sudakov-like form factor suppressions  $\exp(-S_i/2)$  associated with the rapidity gaps along the hard gluon lines.

where the last equality follows from Eqs. (18) and (8). To obtain Eq. (19) we have integrated over  $d(\Delta P_T)^2$  and the proton momentum transfer; see Eq. (7). These integrals are governed by the proton form factors. We obtain a factor of 1/b from both the proton and antiproton where  $\exp(-b|t_i|)$  is taken to be the approximate form of the proton form factor.

In the standard calculation of the cross section of a hard scattering process, such as  $gg \rightarrow jj$ , we would average over the colors and the polarizations of the incoming gluons. Here we have to be more careful. First, the cross section  $d\hat{\sigma}/d\hat{t}$  describes dijet production in a color singlet configuration. Second, in exclusive dijet production the polarization vectors of the incoming gluons are directed along  $\mathbf{k}_{iT}$ , and hence are strongly correlated<sup>3</sup> since  $\mathbf{k}_{1T} \simeq \mathbf{k}_{2T} \simeq \mathbf{Q}_T$ . To determine  $d\hat{\sigma}/d\hat{t}$  we perform the appropriate color and polarization averaging and obtain

$$\frac{d\hat{\sigma}}{d\hat{t}} = \frac{9}{4} \frac{\pi \alpha_s^2 (P_T^2)}{P_T^4},$$

which is in agreement with the cross section obtained in Ref. [4].

It is easy to show that the integral in Eq. (17) has a saddle point given by

$$\ln(E_T^2/Q^2) = [2\pi/3\alpha_S(Q^2)](1-2\gamma), \qquad (20)$$

where  $\gamma$  is the anomalous dimension of the gluon,  $f(x,Q^2) \propto (Q^2)^{\gamma}$ .

## **IV. INCLUSIVE DIJET PRODUCTION**

As is usual, the cross section for inclusive production is expected to be larger than for the exclusive process. Here the initial protons may be destroyed and the transverse momentum  $\Delta P_T$  of the dijet system, Eq. (3), is no longer limited by the proton form factor, but it is still smaller than  $P_T$  in the leading log approximation. The process is shown in Fig. 2 in the form of the amplitude multiplied by its complex conjugate. The partonic quasielastic subprocess is  $ab \rightarrow a' + jj + b'$ . If the partons a, b are quarks then the Born amplitude for the subprocess is given by Eq. (11). However, the form factor suppressions are more complicated than for the inclusive process. As the momenta transferred,  $t_i = (Q - k_i)^2$ , are large we can no longer express the upper and lower "blocks" in terms of the gluon structure function, but instead they are given by Balitskii-Fadin-Kuraev-Lipatov (BFKL) nonforward amplitudes.

We begin with the expression for the Born cross section for the subprocess  $gg \rightarrow g+jj+g$ :

$$\frac{d\sigma}{dP_T^2 d\eta d\Delta \eta} = \alpha_S^4 \frac{81}{64\pi^2} \mathcal{I} \frac{d\hat{\sigma}}{d\hat{t}},\tag{21}$$

with

$$\mathcal{I} = \int \frac{dQ^2}{Q^2} \frac{dQ'^2}{Q'^2} \frac{dk_{1T}^2}{k_1^2 k_1'^2} \frac{dk_{2T}^2}{k_2^2 k_2'^2} k_{1T} k_{1T}' k_{2T} k_{2T}', \quad (22)$$

where the six propagators of Fig. 2 are evident.

Again care is needed in the computation of the subprocess cross section  $d\hat{\sigma}/d\hat{t}$ . As we noted from Eq. (12) the off-shell  $gg \rightarrow jj$  amplitude is proportional to the  $k_{iT}$  of the incoming gluons, and the remaining on-shell cross section  $d\hat{\sigma}/d\hat{t}$ should be therefore computed averaging over gluon polarizations. The polarizations are described by vectors  $\boldsymbol{\epsilon}_i$  which are proportional to the gluon  $\mathbf{k}_{iT}$ . Now the leading log contribution comes from the strongly-ordered region  $k_{iT} \ll k_{jT}$  with  $i \neq j$ . As before comparatively small values of the momentum Q of the screening gluon are favored. However now, without the presence of proton form factors, the total momentum transfer  $Q-k_i$  may be large. In the limit  $Q^2 \ll k_i^2$ this means that  $k_i$  has to be balanced by  $k'_i$  for both i=1,2. That is we have

$$t_i = (Q - k_i)^2 \simeq -k_{iT}^2 \simeq -k_{iT}^{\prime 2}.$$
 (23)

The consequence for the polarization averaging is that we require  $\boldsymbol{\epsilon}_i \simeq \boldsymbol{\epsilon}'_i$ , but that  $\boldsymbol{\epsilon}_i$  is no longer correlated to  $\boldsymbol{\epsilon}_j$  (as it was for exclusive production). After averaging, the on-shell  $gg \rightarrow gg$  cross section is found to be

$$\frac{d\hat{\sigma}}{d\hat{t}} = \frac{\pi\alpha_{S}^{2}(P_{T}^{2})}{P_{T}^{4}} \frac{9}{2} \left(1 - \frac{P_{T}^{2}}{M^{2}}\right)^{2},$$
(24)

while that for  $gg \rightarrow q\overline{q}$  is

$$\frac{d\hat{\sigma}}{d\hat{t}} = \frac{\pi \alpha_s^2 (P_T^2)}{P_T^2 M^2} \frac{1}{6} \left( 1 - \frac{2P_T^2}{M^2} \right)$$
(25)

for each flavor of quark.

We have chosen the scale of the coupling  $\alpha_s$  to be  $P_T^2$ , that is the value which for single inclusive jet production gave small higher-order corrections and which led to predictions in agreement with the data.

Again we must estimate the suppression due to gluon bremsstrahlung filling up the rapidity gaps. Now the mean number of gluons emitted, with transverse momenta  $Q_T < p_T < k_{iT}$ , in the rapidity interval  $\Delta \eta_i = \Delta \eta$  (veto) is

<sup>&</sup>lt;sup>3</sup>The correlation is absent for inclusive dijet production.

$$n_i = \frac{3\alpha_s}{\pi} \Delta \eta_i \ln \left( \frac{k_{iT}^2}{Q_T^2} \right). \tag{26}$$

The amplitude for no emission in the gap  $\Delta \eta_i$  is therefore  $\exp(-n_i/2)$ . In this way we see that the Born integral (22) is modified to

$$\mathcal{I} = \int \frac{dQ^2}{Q^2} \frac{dQ'^2}{Q'^2} \frac{dt_1}{t_1} \frac{dt_2}{t_2} \exp[-(n_1 + n_1' + n_2 + n_2' + S_1 + S_1' + S_2 + S_2')/2], \qquad (27)$$

where the exponential factor represents the total form factor suppression in order to maintain the rapidity gaps  $\Delta \eta$ (veto). The Sudakov form factors,  $\exp[-S(k_{iT}^2, E_T^2)/2] \equiv \exp(-S_i/2)$ , arise from the insistence that there is no gluon emission in the interval  $k_{iT} < p_T < E_T$ ; see Eqs. (13) and (14). Again note that the Sudakov form factors are multilated due to the imposition of a specific rapidity gap interval  $\Delta \eta$ (veto); see the replacement given in Eq. (15).

The justification of the non-Sudakov form factors,  $\exp(-n_i/2)$  is a little subtle. First we notice from Eq. (27) that due to the asymmetric configuration of the *t*-channel gluons,  $Q_T \ll k_{iT}$ , we have, besides  $\Delta \eta_i$ , a second logarithm,  $\ln(k_{iT}^2/Q_T^2)$ , in the BFKL evolution. These double logs are resummed<sup>4</sup> to give the BFKL nonforward amplitude  $\exp(-n_i/2)\Phi(Y_i)$ , where the remaining factor  $\Phi(Y_i)$  accounts for the usual longitudinal BFKL logarithms:<sup>5</sup>

$$Y_i \equiv (3\,\alpha_S/2\pi)\Delta\,\eta_i\,. \tag{28}$$

For rapidity gaps with  $\Delta \eta_i \lesssim 4$  we have  $Y_i \lesssim 0.5$ , and it is sufficient to include only the  $\mathcal{O}(Y_i)$  term, which gives  $\Phi \approx 1 + Y_i Q_T^2 / k_{iT}^2 \approx 1.1 \pm 0.1$  [10]. At our level of accuracy we may neglect the enhancement due to  $\Phi$ , and hence we obtain Eq. (27), which is valid in the double log approximation.

To evaluate  $\mathcal{I}$  of Eq. (27) we first perform the  $Q^2$  and  ${Q'}^2$  integrations and obtain  $(Y_1 + Y_2)^{-2}$ . Then we integrate over  $\ln(t_1/t_2)$  which gives  $\frac{1}{2}(1/Y_1 + 1/Y_2)$  where, at large  $\Delta \eta_i$ , we neglect the  $t_i$  dependence of  $S_i$ . Thus Eq. (27) becomes

$$\mathcal{I} = \frac{1}{2Y_1Y_2(Y_1 + Y_2)} \int_{-T_T}^{E_T^2} \frac{dt}{t} \exp[-2S(t, E_T^2)]. \quad (29)$$

For fixed  $\alpha_s$  the final (dt) integration gives  $\pi(6\alpha_s)^{-1/2}$  in the double log approximation. However, to predict the cross section for inclusive production we must convolute the parton-parton cross sections with the parton densities  $a(x_a,t)$  of the proton, with a=g or q, and evaluate the dt integral numerically. There is a subtlety when we come to include these parton luminosity factors:

$$\int_{x_{\min}}^{1} dx_a a(x_a, k_{1T}^2) \dots$$



FIG. 3. A schematic diagram of the subprocess  $gg \rightarrow jjg$ , where the additional jet with transverse momentum  $q_T$  is emitted with  $\theta > \theta_{\text{max}}$ . Only the vetoed region in the forward direction is shown. There is also a rapidity gap in the backward hemisphere.

where a summation over a = g, q is implied. At first sight we might expect  $x_{\min} = M/\sqrt{s}$  for central dijet production. However, at large  $k_{iT}$  the rapidities of the a', b' jets are small in the dijet rest frame;  $\eta_{a'} = \ln(x_{a'}\sqrt{s}/k_{1T})$ . Thus in order to maintain the rapidity gaps ( $\eta_{a'} > \eta_{\max}$ ), we must take

$$(x_1)_{\min} = [Me^{\eta} + k_{1T}e^{\eta_{\max}}]/\sqrt{s}, \qquad (30)$$

and similarly for  $(x_2)_{\min}$ .

So far we have considered the simplest  $gg \rightarrow jj$  hard subprocess. However, if the virtuality  $k_i^2$  of the incoming gluons is much smaller than  $P_T^2$  of the outgoing jets, then we must discuss the possibility of Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) evolution in the  $(k_{iT}, P_T)$  interval. The evolution means that one (or more) extra jets may be emitted with transverse momentum  $q_T$  in this interval. At first sight it appears that the order  $\alpha_S$  correction will be enhanced by a factor  $\ln(P_T^2/k_{iT}^2)$ . Indeed such a contribution would arise if  $\eta_{\min}$  is sufficiently large so that  $\alpha_S \eta_{\min} \sim 1$ . The situation can be described with reference to Fig. 2. The incoming proton "fragments" into a system of partons with rapidities  $\eta > \eta_{\text{max}}$ . This process is described by DGLAP evolution which effectively sums up the collinear logs. The leading log contribution comes from the configuration where the angles of the secondary partons are strongly ordered. The effect is described by the parton distribution  $a(x_a, k_{1T}^2)$ . The emission of partons with larger opening angles in the range  $\theta_{\min} < \theta < \theta_{\max}$  (corresponding to the rapidity  $\eta_{\rm max} < \eta < \eta_{\rm min}$ ) is experimentally vetoed. The resulting suppression of the cross section is taken into account by the  $\exp(-S_i/2)$  and  $\exp(-n_i/2)$  factors in Eq. (27). Nevertheless, starting from  $\theta = \theta_{max}$ , the DGLAP evolution may be continued to larger angles. Thus, as well as the Born process  $gg \rightarrow ii$ , we should include more complicated inclusive subprocesses such as  $gg \rightarrow jjg$  shown in Fig. 3. Fortunately if  $\theta_{\rm max}$  is sufficiently large, or equivalently if  $\eta_{\rm min}$  is sufficiently small, the probability of extra jet emission (which is proportional to  $\alpha_S \eta_{\min}$ ) may be neglected. In other words, the presence of the rapidity gap removes the main part of the DGLAP enhancement, that is it destroys the  $\ln(P_T^2/k_{iT}^2)$  factor which would have occurred due to emission in the rapidity gap interval in the absence of the experimental veto.

<sup>&</sup>lt;sup>4</sup>The resummation corresponds to the Reggeization of the *t*-channel gluons.

<sup>&</sup>lt;sup>5</sup>Here  $\Delta \eta_i$  (or  $Y_i$ ) plays the role of  $\ln(1/x)$  in the BFKL evolution.

TABLE I. The double-diffractive inclusive dijet cross section  $\sigma_{inc}$  as in Eq. (31) evaluated at  $\eta = 0$  for three values of rapidity difference of the jets  $\Delta \eta = \eta_1 - \eta_2$ . The first number for  $\sigma_{inc}$  corresponds to the rapidity gap choice  $\Delta \eta$ (veto) = (2,4.1), whereas the number in brackets corresponds to  $\Delta \eta$ (veto) = (2,6) for LHC and (1.5, 4.6) for the Tevatron. Also shown are the percentage of events where the dijets are quarkantiquark pairs summed over all types of quarks, and the percentage of  $b\overline{b}$  events.  $(k_T^2)_{sad,pt}$  is the saddle point of the integration of Eq. (27). The final column is the exclusive dijet cross section, first evaluated using a sharp cutoff in Eq. (17) and, second (in brackets), using the soft cutoff of Eq. (35). The transverse momenta of the jets are taken to be  $P_T = 50(15)$  GeV at LHC(Tevatron) energies.

$\Delta \eta$	$\sigma_{ m inc}$ (pb/GeV <sup>2</sup> )	$\frac{\sum \sigma_{ m inc}^{q\overline{b}}}{\sigma_{ m inc}}$	$rac{{\sigma_{ m inc}^{b\overline{b}}}}{{\sigma_{ m inc}}}$	$(k_T^2)_{\mathrm{sad.pt}}$ (GeV <sup>2</sup> )	$\sigma_{ m exc}$ (pb/GeV <sup>2</sup> )
LHC ( $\sqrt{s}$ =	= 14 TeV):				
0	2.4 (0.021)	4%	0.8%	20	0.026 (0.027)
1	2.4 (0.019)	3%	0.7%	22	0.020 (0.021)
2	2.0 (0.014)	2%	0.4%	28	0.010 (0.011)
Fermilab (	$\sqrt{s} = 1.8 \text{ TeV}$ :				
0	110 (8.7)	4%	0.8%	2.5	3.9 (5.5)
1	110 (8.2)	3%	0.6%	2.7	2.9 (4.2)
2	88 (5.8)	2%	0.3%	3.4	1.2 (1.9)

## V. PREDICTIONS FOR THE DOUBLE-DIFFRACTIVE DIJET CROSS SECTION

Our main objective is to estimate the dependence of the cross section for central dijet production in pp (or  $p\bar{p}$ ) collisions on the imposition of rapidity gaps. An understanding of this double diffractive process is important. On the one hand, the  $b\bar{b}$  dijet channel is the background to Higgs production from either WW or pomeron-pomeron fusion. On the other hand, dijet production provides an observable test of novel QCD double logarithmic effects. That is it is possible to study how the event rate varies according to the experimental choice of the rapidity gaps in which QCD double logarithmic gluon emission is forbidden.

#### A. The inclusive cross section

Recall that the calculation is done in the leading log approximation and that we anticipate that the *inclusive* configu-

ration,  $pp \rightarrow X + jj + X$ , plays the dominant role. We present the cross section in the differential form of Eq. (21) for central dijet production with rapidity  $\eta \equiv \frac{1}{2}(\eta_1 + \eta_2) = 0$ . The results are shown in Table I for both FNAL and LHC energies of  $\sqrt{s} = 1.8$  TeV and 14 TeV, respectively.

For the Fermilab predictions the rapidity gaps were chosen to be those used by the D0 Collaboration [2], that is  $\eta_{\text{min}}=2$  and  $\eta_{\text{max}}=4.1$ . The jets were taken to have  $P_T=15$  GeV. At the LHC energy we took  $P_T=50$  GeV, and besides the above choice of rapidity gap, we also present results for a larger gap with  $\eta_{\text{min}}=2$ ,  $\eta_{\text{max}}=6$  so as to explore the sensitivity to the gap size  $\Delta \eta$ (veto). We calculated the cross section using various recent sets of partons. The values in Table I were obtained using the Martin-Roberts-Stirling set R2 [MRS(R2)] set of partons [11].

From Table I we see that, for  $\eta = 0$  and  $\Delta \eta \sim 1$ , the inclusive dijet cross sections at LHC and Fermilab are

$$\frac{d\sigma}{dP_T^2 d\eta d\Delta \eta} \approx \begin{cases} 2 \text{ pb/GeV}^2 & \text{at } \sqrt{s} = 14 \text{ TeV} \quad (\text{with } P_T = 50 \text{ GeV}), \\ 100 \text{ pb/GeV}^2 & \text{at } \sqrt{s} = 1.8 \text{ TeV} \quad (\text{with } P_T = 15 \text{ GeV}). \end{cases}$$
(31)

The larger value at the Tevatron energy simply reflects the  $1/P_T^4$  behavior. Note that the cross sections are rather large. For example, if we integrate over  $P_T^2$  using the above values of  $P_T$  as the lower bounds then the cross sections are approximately given by multiplying the quoted values by  $P_T^2$  in GeV<sup>2</sup>. That is an integrated cross section of about 5 nb at LHC with  $P_T > 50$  GeV, and 20 nb at the Tevatron with  $P_T > 15$  GeV.

The above large cross section values do not take into account the possibility of multiple parton-parton scattering (see, for example, Ref. [5]). The secondary hadrons produced in such a rescattering will tend to fill up the original rapidity gaps. We thus have to multiply the cross sections in the table by a factor W which is the probability not to have an inelastic rescattering. To estimate W we may use [12]

$$W = \left(1 - \frac{2(\sigma_{\rm el} + \sigma_{\rm SD} + \sigma_{\rm DD})}{\sigma_{\rm tot}}\right)^2 = 0.06 \quad \text{at} \quad \sqrt{s} = 1.8 \text{ TeV},$$
(32)

where  $\sigma_{el}$ ,  $\sigma_{SD}$ ,  $\sigma_{DD}$ , and  $\sigma_{tot}$  are the elastic, single, and double diffractive, and total  $p\overline{p}$  cross sections, respectively. The numerical estimate in Eq. (32) is obtained using the Collider Detector at Fermilab (CDF) measurements [13] of

 $\sigma_{\rm tot}$ ,  $\sigma_{\rm el}$ , and  $\sigma_{\rm SD}$ . For the double diffractive cross section we use the factorization relation  $\sigma_{\rm DD} = (\sigma_{\rm SD})^2 / \sigma_{\rm el}$ . We note that earlier  $p\bar{p}$  cross section measurements [14] would give W = 0.025. The smaller value is mainly due to the smaller measured  $\sigma_{\rm tot}$ .

Alternative ways to estimate W can be found in Refs. [15]. W is a common overall suppression factor which affects the cross section for any process with one or more rapidity gaps.<sup>6</sup> Thus, although it gives an added uncertainty to the overall normalisation of the cross section, it should not modify the form of the  $\eta$ ,  $\Delta \eta$ , and  $P_T$  dependence.

From Table I we also see that the  $pp \rightarrow X + b\overline{b} + X$  cross section is about 1% of the whole cross section for inclusive double-diffractive dijet production,  $pp \rightarrow X + jj + X$ . That is the dijets are dominantly gluon-gluon jets. As mentioned above, the estimate of  $b\overline{b}$  production with rapidity gaps is relevant in assessing the background to the production of a Higgs boson of intermediate mass.

The predictions for *inclusive* dijet production are stable to the use of different recent sets of parton distributions and to different treatments of the infrared region. The reason is that the saddle point of the integration of Eq. (27) lies in the perturbative region. For the LHC energy it occurs at  $k_T^2 \approx 20 \text{ GeV}^2$  and  $Q^2 \approx 4 \text{ GeV}^2$ , while for the FNAL energy it is at  $k_T^2 \approx 2.5 \text{ GeV}^2$  and  $Q^2 > 1 \text{ GeV}^2$ . Even in the latter case the uncertainty due to partons and the infrared contribution is only  $\pm 10\%$ .

We find that there is about 50% suppression due to the Sudakov form factor. The suppression arising from the presence of the BFKL nonforward amplitudes is strongly dependent on the size of the rapidity gap. For example, at LHC energies the cross section for dijet production with the larger gap,  $\Delta \eta$ (veto)=(2,6), is a factor of 100 smaller than that for  $\Delta \eta$ (veto)=(2,4.1).

The rapid decrease with increasing  $\Delta \eta$ (veto) is a characteristic feature of perturbative Pomeron effects on this process. It comes mainly from the presence of nonforward BFKL amplitudes which in turn arise from the asymmetric gluon exchange configurations where Reggeization is important. It should be readily observable.

#### B. The exclusive cross section

The calculation of the cross section for the *exclusive* process,  $pp \rightarrow p+jj+p$ , is much more dependent on the infrared region. The problem is that the main contribution to the integral in Eq. (17) comes from rather small values of Q, even when the Sudakov form factor is included. The predictions are therefore sensitive to the gluon density in the region  $Q^2 \approx 1 \text{ GeV}^2$ , or less, where it is not well defined. Of course double-diffractive dijet hadroproduction is dominated by the inclusive process and so the computation of the exclusive cross section is not so important. Nevertheless, for completeness, we give an estimate of the cross section.

The procedure that we follow is similar to that used in Refs. [3, 4]. The idea is to use an unintegrated gluon density  $f(x, l_T^2)$  which is truncated at low transverse momentum  $l_T$  in such a way as to reproduce the observed value of the total (inelastic) pp cross section. We start from the Low-Nussinov two-gluon exchange model for the cross section. The Born amplitude gives

$$\sigma_{pp} = 4\pi\alpha_s^2 \int \frac{dl_T^2}{l_T^4} \frac{2}{9}(3)(3), \qquad (33)$$

where we integrate over the transverse momentum  $l_T$  of the gluons exchanged between 3 (valence) quarks of the protons. As usual,  $\frac{2}{9}$  is the color factor. We may improve this estimate by rewriting Eq. (33) in terms of the unintegrated gluon density, [see the quark level formula (16)],

$$\sigma_{pp} = \frac{\pi^3}{2} \int \frac{dl_T^2}{l_T^4} f(x, l_T^2) f(x, l_T^2), \qquad (34)$$

where  $x = 2l_T / \sqrt{s}$ . In the perturbative region  $l_T^2 > l_0^2$  the righthand side is known. We can therefore insert the value of the inelastic cross section,  $\sigma_{pp} \approx 45$  mb, measured at Fermilab to determine the infrared contribution to the integral. We may either use a "sharp cutoff," putting f=0 for  $l_T^2 < l_0^2$ , or employ a "soft cut" by extrapolating into the region  $l_T^2 < l_0^2$ using the linear form

$$f(x, l_T^2) = (l_T^2 / l_0^2) f(x, l_0^2).$$
(35)

To reproduce the observed cross section we find that we need to take the sharp cutoff at  $l_0 = 1.1$  GeV, or alternatively to choose the soft cut starting at  $l_0 = 1.5$  GeV.

We assume that this procedure can be taken over to evaluate the infrared contribution to the exclusive dijet cross section of Eq. (17). The predicted values of the cross section are shown in Table I for both treatments of the infrared region. We see that the exclusive double diffractive dijet cross section is a factor of about 20 or 150 smaller than the inclusive one at the Tevatron or LHC energies, respectively. The suppression due to the double logarithmic Sudakov factor is  $\frac{1}{2}$ for  $P_T = 15$  GeV jets at the Tevatron, whereas it is  $\frac{1}{10}$  for  $P_T = 50$  GeV jets at the LHC.

## VI. DISCUSSION

The observation of processes with rapidity gaps is of great interest for understanding the structure of the perturbative Pomeron. In this respect the central production of dijets with a rapidity gap on either side is an ideal "perturbative laboratory." The process has a large cross section and may be studied in detail at the Tevatron and at the LHC. Here we have obtained a formalism which allows an estimate of the cross section and which systematically takes into account the main effects, some of which have not been considered before. The dijet system is produced by the fusion of two gluons (of momenta  $k_1$  and  $k_2$ ). A second t channel gluon exchange (of momentum Q) is needed to neutralize the color flow. The main contribution to the cross section comes when the screening gluon is comparatively soft ( $Q^2 \ll k_i^2$ ), yet  $Q^2$ is large enough to allow the inclusive cross section to be

<sup>&</sup>lt;sup>6</sup>If for very high energy pp collisions the suppression factor W becomes extremely small, then the subprocess  $\gamma\gamma \rightarrow jj$  could become competitive. The reason is that this subprocess arises from large impact parameters where rescattering is essentially absent.

reliably estimated by perturbative QCD. The cross section is found to be suppressed by Sudakov form factors and by nonforward BFKL amplitudes, the latter arising from the asymmetric two-gluon exchange configuration.

We presented sample results for the cross section which demonstrate the scale of the effects. We chose the rapidity gaps to correspond to those used by the D0 collaboration [2] at Fermilab. However, at the LHC energy we also presented results for larger rapidity gaps. The main uncertainty is from rescattering effects, which will populate the gaps. The cross sections presented in Table I do not include the suppression factor arising from the requirement to have no rescattering. We gave an estimate of this factor in Eq. (32).

It is interesting to note that the calculation of dijet production with a single rapidity gap is much more complicated, and less informative theoretically, than that of the process with a rapidity gap on either side of the dijet. The problem is the gluon that screens the color flow may now couple, not only to the initial parton, but to any gluon or secondary parton on the side where we have no rapidity gap. Typically the main contribution comes from the graphs where it interacts with the parton which has  $q_{Ti}$  of the order of the Q of the screening gluon. Thus one has to follow the history and evolution from some cutoff  $\mu$  up to the transverse momentum  $P_T$  of the dijet system, and to sum up the resulting "interacting" diagrams. As there is no second rapidity gap there is no strong suppression of the cross section. Moreover, the dominant region of Q, in the integral analogous to Eq. (27), will be closer to the infrared region than it was for dijet production with two rapidity gaps.

Finally we emphasize that we have worked at the partonic level. That is the rapidity intervals are defined with respect to the emitted partons. In a realistic experimental situation our results therefore correspond to smaller rapidity gaps for the hadrons since a gluon produced just outside the  $\Delta \eta$ (veto) interval may produce a secondary inside the gap. To obtain an indication of the size of the effect we recomputed the cross section at the Tevatron energy (for  $\eta=0$ ,  $\Delta \eta=0$ ,  $P_T=15$  GeV) with  $\eta_{\min} \rightarrow \eta_{\min} - 0.5$  and  $\eta_{\max} \rightarrow \eta_{\max} + 0.5$ and found that it was suppressed by a further factor of 12.

The rescattering and rapidity gap broadening effects give the main uncertainties in our cross section estimates. The first estimates indicate that the combined effect will diminish the perturbative predictions in Table I by more than two orders of magnitudes. The broadening of the gap can be simulated by Monte Carlo studies and can be readily accounted for when the data is analyzed. However the rescattering estimate is model dependent and requires confirmation by studying multiplicity distributions and the energy behavior of diffractive cross sections.

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