# **Scale dependence of quark mass matrices in models with flavor symmetries**

J. A. Aguilar-Saavedra and M. Masip

*Departamento de Fı´sica Teo´rica y del Cosmos, Universidad de Granada, 18071 Granada, Spain*

(Received 28 March 1996)

Numerical correlations between fermion masses and mixings could indicate the presence of a flavor symmetry at high energies. In general, the search for these correlations using low energy data requires an estimate of leading-log radiative corrections. We present a complete analysis of the evolution between the electroweak and the grand unification scales of quark mass parameters in minimal supersymmetric models. We take  $M<sub>1</sub>=180$  GeV and consider all possible values of tan $\beta$ . We also analyze the possibility that the *top* and/or the *bottom* Yukawa couplings result from an intermediate quasifixed point (QFP) of the equations. We show that the quark mixings of the third family do *not* have a QFP behavior (in contrast with the masses, the renormalization of all the mixings is linear), and we evaluate the low energy value of  $V_{ub}$  which corresponds to  $V_{ub}(M_X)=0$ . Then we focus on the renormalization-group corrections to (i) typical relations obtained in models with flavor symmetries at the unification scale and (ii) a superstring-motivated pattern of quark mass matrices. We show that in most of the models the numerical prediction for  $V_{ub}$  can be *corrected* in both directions (by varying tan $\beta$ ) due to *top* or *bottom* radiative corrections.  $[$ S0556-2821(96)00923-X $]$ 

PACS number(s): 11.10.Hi, 11.30.Hv, 12.15.Ff

### **I. INTRODUCTION**

The recent observation of the *top* quark, with a mass around 180 GeV  $[1]$ , allows a more complete analysis of the Yukawa sector of the standard model. In particular, it allows an evaluation of the running to higher energy scales of the parameters in that sector. The perturbative unification of the gauge couplings obtained in minimal supersymmetric (SUSY) scenarios suggests that the (nonsinglet) matter and gauge contents do not change up to energies around  $10^{16}$ GeV. If that is the case, the first step to understand the flavor structure of the standard model is to evolve it up to those energies. We present in the first part of this article an updated and complete analysis of the evolution from low energies to the unification scale  $M_X$  of the ten physical parameters in the quark mass matrices [six masses, three mixing angles, and the complex Cabibbo-Kobayashi-Maskawa  $(CKM)$  phase in the minimal SUSY extension of the standard model (MSSM) [2]. We will study in detail the behavior of the mixing angles when the *top* and/or *bottom* Yukawa couplings  $h_{th}$  are large at  $M_X$ . In this regime [3] the renormalization-group corrections *focus* any initial value of the coupling at  $M_X$  to a narrow interval  $\delta h_t$  [around  $h_t(M_Z) \approx 1.2$ ] at low energy:  $(\delta h_t/h_t)(M_X) \geq (\delta h_t/h_t)(M_Z)$ . We will analyze how this nonlinear evolution of the couplings  $[quasifixed point (QFP)]$ behavior] affects the mixings with the third generation; in particular, we will find the low energy value of the mixing  $s_{13}$  (with  $V_{ub} = s_{13}e^{-i\delta_{13}}$ ) that corresponds to  $s_{13} = 0$  at  $M_X$  .

On the other hand, the observed pattern of fermion masses and mixings does not look accidental, and suggests a symmetry in the Yukawa matrices as the origin of the hierarchies. Obviously, such a flavor symmetry should be formulated at the unification scale. Although the Yukawa matrices contain more free parameters than physical observables, it is not easy to find simple structures that are able to accommodate without need of fine tuning the measured values of masses and mixings. As a matter of fact, the authors of Ref. [4] classify the symmetric quark mass matrices with a maximal number of texture zeros, and find that only five textures are acceptable experimentally. These matrices predict correlations between mass parameters that can be expressed in a simple (approximate) form; we will analyze how the correlations run from  $M_X$  to low energies. We will also analyze a particular scenario  $\begin{bmatrix} 5 \end{bmatrix}$  derived from the heterotic string which has been recently proposed as the only realistic possibility among the models within its class. In this scenario (and also in the model proposed in  $[6]$ , with one more texture zero than those in the cases in  $[4]$ ), the renormalizationgroup corrections could be essential to obtain a predicted mixing  $V_{ub}$  within the experimental limits.

## **II. EVOLUTION OF QUARK MASSES AND MIXINGS**

The quark Yukawa sector of the MSSM can be expressed in terms of the superpotential

$$
P = h_{ij}^u H Q_i u_j^c + h_{ij}^d H' Q_i d_j^c \tag{1}
$$

with  $H \equiv (H^+H^0)$ ,  $H' \equiv (H'^0H'^-)$ , and  $Q_i \equiv (u_i d_i)$ . In that sector there are ten independent physical parameters: once the Yukawa matrices are diagonalized, only the eigenvalues (three in the up and three in the down quark matrices) and the CKM matrix (with three mixing angles and a complex phase) appear in the Lagrangian. The procedure to obtain these parameters at  $M_X$  from the pole masses and the low energy mixings is the following. For the heavier quarks, the perturbative pole mass  $M_i$  is related to the running mass  $m_i(M_i)$  in the modified minimal subtraction (MS) scheme by a simple expression  $[7]$  (this change of scheme is numerically important due to the large size of  $\alpha_s$ ). Taking  $M_t$ =180 GeV and  $M_b$ =4.7 GeV we obtain

$$
m_b(M_b) = 0.880M_b, \quad m_t(M_t) = 0.946M_t \tag{2}
$$

The running masses of the lighter quarks are given at 1 GeV [8]:  $m_u = 0.0056$  GeV;  $m_d = 0.0099$  GeV;  $m_s = 0.199$ 



FIG. 1. Ratios  $r_i = m_i(M_X)/m_i(M_Z)$  ( $i = u, c, t, d, s, b$ ) for different values of tan $\beta$ .<br>ent values of tan $\beta$ .<br>ent values of tan $\beta$ .<br>entrol is  $V_i$  (*i*)  $V_i$  (*i*)  $V_j$  (*i*)  $V_j$  (*i*)  $V_j$  (*i*) (*i* 

GeV;  $m_c = 1.35$  GeV. The masses evolve up to the lightest Higgs scale  $m_h \approx M_Z$  due to gauge interactions only. For  $\alpha_{\text{em}}(0) = 1/137$  and  $\alpha_s(M_Z) = 0.1165$  [8] this gives a factor 0.58 for the values at 1 GeV and 0.75 for  $m_h(M_h)$ . It will be also convenient to run the *top* quark mass down to that scale; we obtain  $m_t(M_Z) = 1.05m_t(M_t)$ .

At  $M_Z$  we find the Yukawa couplings to the lightest neutral Higgs boson *h*, which correspond to the Yukawas in the standard model (we assume  $m_h = M_Z \ll m_{SUSY} = 250 \text{ GeV}$ )

$$
\widetilde{h}_{ij}^{u} = \frac{m_{u_i}}{174 \text{ GeV}} \delta_{ij}, \quad \widetilde{h}_{ij}^{d} = \frac{m_{d_i}}{174 \text{ GeV}} V_{ij}^{*}, \quad (3)
$$

 $V_{ij}$  being the CKM matrix at  $M_Z$ . From  $M_Z$  to  $M_t$  these Yukawas evolve due to the gauge and the Yukawa interactions of the light quark and leptons (all of them included in our equations); between  $M_t$  and  $m_{SUSY}$  the *top* Yukawa corrections are also important. From  $m_{SUSY}$  up to  $M_X \approx 10^{16.2}$ GeV (the unification scale that corresponds to  $\sin^2 \theta_W = 0.23195$ ) we include the interactions of the SUSY particles and the extra Higgs scalars (the standard model and MSSM renormalization group equations can be found in Refs.  $\vert 9,10 \vert$ , respectively). The Yukawa couplings to the two Higgs doublets are (at  $m_{\text{SUSY}}$ )

$$
h_{ij}^{u} = \frac{\widetilde{h}_{ij}^{u}}{\sin \beta}, \quad h_{ij}^{d} = \frac{\widetilde{h}_{ij}^{d}}{\cos \beta}, \tag{4}
$$

where  $tan\beta$  is the ratio of vacuum expectation values (VEV's) giving mass to *down* and *up* quarks. At  $M<sub>x</sub>$  we diagonalize the Yukawa matrices (we express the eigenvalues as  $h_i$ , with  $i=u,d$ , ...) and find the CKM matrix. We will neglect corrections to mixing angles and light quark masses [11] proportional to the *soft* SUSY-breaking parameters, although these corrections can be significant in the large tan $\beta$  regime [12].

We present in Figs. 1 and 2 the evolution of masses and mixings for values of  $tan\beta$  between 1.29 and 67.7, which correspond, respectively, to  $h_t/4\pi$  and  $h_b/4\pi$  equal to 0.25 at  $M_X$  (we analyze below in detail the quasifixed point regions). In Fig. 1 we plot  $r_i \equiv m_i(M_X)/m_i(M_Z)$  for the six quarks (note that the ratios of masses and



 $r_{\delta} = \arg V_{ub}(M_X)/\arg V_{ub}(M_Z),$   $R_u = [m_{u,c}(M_X)/m_t(M_X)]/$  $[m_{u,c}(M_Z)/m_t(M_Z)]$  and  $R_d \equiv [m_{d,s}(M_X)/m_b(M_X)]/[m_{d,s}(M_Z)/m_t(M_Z)]$  $m_b(M_Z)$  for different values of tan $\beta$ .

Yukawas coincide), whereas Fig. 2 expresses the ratios  $r_{ij} \equiv V_{ij}(M_X)/V_{ij}(M_Z)$  (*i* j = *us*,*cb*,*ub*) and  $r_{\delta}$  = arg[ $V_{ub}(M_X)$ ]/arg[ $V_{ub}(M_Z)$ ] (in the Maiani parametrization). In order to compare the relative renormalization of the mixings and different ratios of masses, we also plot  $R_u^{1/3}$ ,  $R_d$  in Fig. 2, where  $R_u$  $\equiv [m_{u,c}(M_X)/m_t(M_X)]/[m_{u,c}(M_Z)/m_t(M_Z)]$  and  $R_d \equiv$  $[m_{d,s}(M_X)/m_b(M_X)]/[m_{d,s}(M_Z)/m_b(M_Z)]$ . We take all the masses and mixings at  $M_Z$  in their central value  $(V_{us} = 0.221 \pm 0.003, V_{cb} = 0.040 \pm 0.008,$  and  $|V_{ub}| = 0.0035 \pm 0.0015$ , with  $M_t = 180$  GeV and  $|V_{ub}| = 0.0035 \pm 0.0015$ , with  $M_t = 180$  GeV and  $\arg(V_{ub}) = -\pi/2.$ 

We observe the following behavior.

(i) The evolutions of  $m_u$  and  $m_c$  coincide at the 0.04%; the same happens for  $m_d$  and  $m_s$  (0.06%) and for  $|V_{ub}|$  and  $V_{cb}$  (0.05%).

(ii) The running of the Cabibbo mixing  $V_{us}$  and of the CKM phase (in  $V_{ub}$ ) are smaller than the 0.03% and 0.07%, respectively. The evolution of masses and mixings is insensitive to the value of the complex phase.

 $(iii)$  The approximation  $[4]$ 

$$
\frac{V_{ij}(M_X)}{V_{ij}(M_Z)} = \chi \quad (ij = us, cb, ub), \tag{5a}
$$

$$
\frac{m_{u,c}(M_X)/m_t(M_X)}{m_{u,c}(M_Z)/m_t(M_Z)} = \chi^3,
$$
\n(5b)

$$
\frac{m_{d,s}(M_X)/m_b(M_X)}{m_{d,s}(M_Z)/m_b(M_Z)} = \chi,
$$
\n(5c)

is excellent (error smaller than 1%) for tan $\beta \le 5$ . These expressions, with  $\chi = (M_X/M_Z)^{(h_t/4\pi)^2}$ , are obtained assuming that the *top* Yukawa coupling is dominant and constant between  $M_Z$  and  $M_X$ .

As showed in  $[3]$ , the low energy value of a large Yukawa coupling could be related to an intermediate QFP (previous to the Pendleton-Ross infrared fixed point  $[13]$  of the renormalization-group equations. The effect of the QFP

TABLE I. Experimental value at  $M<sub>Z</sub>$  of quark mass ratios (the central values) and CKM mixings (lower and upper limits).

$V_{us}(M_Z)$	$0.218 - 0.224$
$\sqrt{m_u/m_c(M_Z)}$	0.0609
$\sqrt{m_d/m_s(M_Z)}$	0.224
$V_{uh}(M_Z)$	$0.002 - 0.005$
$\sqrt{m_u/m_t(M_Z)}$	0.0040
$\sqrt{m_d/m_h(M_z)}$	0.043
$V_{ch}(M_7)$	$0.032 - 0.048$
$\sqrt{m_c/m_t(M_Z)}$	0.066
$\sqrt{m_s/m_h(M_z)}$	0.193

would be to *focus* any large initial value (at  $M_X$ ) of the coupling to a narrow region at  $M_Z$ . In the MSSM, the *top* coupling approaches a QFP value for  $tan \beta \approx 1$ , and the *bottom* coupling may approach a QFP value for large  $tan \beta$ . For a *top* mass on its upper experimental limit, *both* couplings would be attracted to QFP's  $[14]$  of the equations. The first case [large  $h_t(M_X)$  and low or moderate tan $\beta$ ] has been analyzed in  $[15]$ , where an approximate expression is found for the QFP value of the *top* quark mass:

$$
m_t(M_t) = 196 \text{ GeV} \{ 1 + 2[\alpha_s(M_Z) - 0.12] \} \sin\beta. \quad (6)
$$

For  $\alpha_s(M_Z)$ =0.1165 this expression gives  $h_t(M_Z)$ =1.18.

In Tables I–III we show in some detail the behavior of quark masses and mixings near the QFP. Table I expresses the initial values (at  $M_Z$ ) of masses and mixings, whereas in Table II we write the values at  $M_X$  for different values of  $tan\beta$ –which correspond to  $h_t(M_X)=(2, 8)$  [i.e.,  $h_t(M_X)/4\pi = (0.16, 0.64)$  and  $h_b(M_X) = (2, 8)$ -for  $M_t$ =180 GeV. In Table III both couplings are equal to 2 and/or 8 at  $M_X$  (this implies  $M_t = 190-220$  GeV and  $\tan\beta$ =60–69). In all cases, the large *top* (and/or *bottom*) Yukawa coupling at  $M_X$  is attracted to a QFP value around 1.2:  $(\delta h_t/h_t)(M_Z) \approx 0.06$  for any value of  $h_t/4\pi(M_X)$  larger than 0.32. Although for such large values of the couplings this attraction is quite effective, it is not *complete*;  $h_t(M_Z)$ has some dependence on the initial (large) value of  $h_t(M_X)$ , giving variations that can increase its actual value by 10% with respect to the (approximate) QFP value given above. A more effective attraction would be obtained for smaller  $h_t(M_X)$  or larger  $M_X$ . The evolution of the mixing angles for Yukawa couplings near the QFP value presents the following features.

 $(i)$  The running of the mixings with the third family (and also the Cabibbo mixing) is highly linear, in the sense that  $(\delta V_{ij}/V_{ij})(M_X)=(\delta V_{ij}/V_{ij})(M_Z)$ , with  $ij=us,ub,cb$ . This fact means that the angles do not have a QFP behavior. For example, for  $h_t(M_X)=8$  the interval  $V_{ub}(M_Z)=0.002-$ 0.005 evolves to  $V_{ub}(M_X) = 0.00135 - 0.00337$ .

The evolution of  $V_{us}$  is still smaller than 0.04%. The difference between the running of  $V_{cb}$  and  $V_{ub}$  and of the *up* and *charm* (down and *strange*) Yukawas, smaller than 0.02% and  $0.04\%$   $(0.03\%)$ , respectively, do not grow when increasing the Yukawa couplings at  $M_X$ .

(ii) The mixings with the third family  $V_{ib}$  and the ratios  $\sqrt{m_{u,c}/m_t}$  and  $\sqrt{m_{d,s}/m_b}$  tend to zero at  $M_X$  when  $h_t(M_X)$ and/or  $h_b(M_X)$  increase. However, when increasing for example  $h_t$ , the three quantities decrease at different rates. As a consequence, it will be always possible to *correct* a relation between masses and mixings by varying tan $\beta$  and going to the adequate (*top* or *bottom*) QFP region (see next section).

(iii) To illustrate the size of the nonlinear effects (first) point above), we will consider the case when  $V_{ub}$  vanishes at  $M_X$ . The running from  $M_X$  to  $M_Z$  generates then a nonzero mixing. In Fig. 3 we plot  $V_{ub}(M_Z)$  for tan $\beta$  between 1.29 and 67.7, with the fermion masses and the rest of mixings in their central values. We find a value much smaller than the experimentally preferred, although it grows when  $tan\beta$  decreases [i.e., for a fixed  $m_t$ ,  $h_t(M_X)$  increases]. We also show in Table II the low energy value of  $V_{ub}$  which corresponds in each case to a vanishing mixing at  $M_X$ . Note that

TABLE II. Value at  $M_X$  of quark mass ratios and CKM mixings for values of tan $\beta$  which correspond to large  $h_t$  or  $h_b$  at  $M_X$  ( $M_t$ =180 GeV). In the last line we write the value of  $V_{ub}(M_Z)$  which would correspond to  $V_{ub}(M_X)=0$ . We include the value of  $h_\tau$ .

$tan \beta$	1.22	1.44	65.0	69.5
$h_t(M_z)$	1.33	1.25	1.03	1.03
$h_h(M_Z)$	0.028	0.031	1.16	1.24
$h_\tau(M_Z)$	0.016	0.018	0.653	0.697
$h_t(M_X)$	8	2	1.17	1.51
$h_h(M_X)$	0.024	0.021	2	8
$h_\tau(M_X)$	0.013	0.015	1.13	2.65
$V_{us}$	$0.218 - 0.224$	$0.218 - 0.224$	$0.218 - 0.224$	$0.218 - 0.224$
$\sqrt{m_u/m_c}$	0.0609	0.0609	0.0609	0.0608
$\sqrt{m_d/m_s}$	0.224	0.224	0.224	0.224
$V_{ub}$	$0.00135 - 0.00337$	$0.00178 - 0.0042$	0.00156-0.00390	$0.00125 - 0.00313$
$\sqrt{m_u/m_t}$	0.00223	0.00310	0.00325	0.00289
$\sqrt{m_d/m_b}$	0.0355	0.0396	0.0325	0.0236
$V_{cb}$	$0.0216 - 0.0324$	$0.0269 - 0.0403$	$0.0249 - 0.0374$	$0.0200 - 0.0301$
$\sqrt{m_c/m_t}$	0.0366	0.0509	0.0533	0.0474
$\sqrt{m_s/m_b}$	0.159	0.177	0.146	0.106
$V_{ub}(M_Z)$	$6.56 \times 10^{-6}$	$3.54 \times 10^{-6}$	$1.37 \times 10^{-6}$	$1.28 \times 10^{-6}$

TABLE III. Value at  $M_X$  of quark mass ratios and CKM mixings for large  $h_t$ , and  $h_b$  couplings at  $M_X$  $(M_t$  not fixed). We include the value of  $h_{\tau}$ .

$M_t$	203	220	193	214
$tan \beta$	63.5	59.8	68.8	66.8
$h_t(M_z)$	1.17	1.27	1.10	1.24
$h_h(M_Z)$	1.13	1.07	1.23	1.19
$h_\tau(M_Z)$	0.638	0.600	0.691	0.671
$h_t(M_X)$	$\overline{2}$	8	2	8
$h_h(M_X)$	$\overline{2}$	$\overline{2}$	8	8
$h_\tau(M_X)$	1.06	0.89	2.56	2.15
$V_{us}$	$0.218 - 0.224$	$0.218 - 0.224$	$0.218 - 0.224$	$0.218 - 0.224$
$\sqrt{m_u/m_c}$	0.0609	0.0609	0.0609	0.0609
$\sqrt{m_d/m_s}$	0.224	0.224	0.224	0.224
$V_{ub}$	$0.00146 - 0.0036$	$0.0012 - 0.00301$	$0.00121 - 0.00303$	$0.00101 - 0.00251$
$\sqrt{m_u/m_t}$	0.00276	0.00191	0.00264	0.00183
$\sqrt{m_d/m_b}$	0.0317	0.0295	0.0233	0.0218
$V_{cb}$	$0.0234 - 0.0352$	$0.0192 - 0.0289$	$0.0194 - 0.0292$	$0.0161 - 0.0242$
$\sqrt{m_c/m_t}$	0.0453	0.0315	0.0435	0.0301
$\sqrt{m_s/m_b}$	0.142	0.132	0.104	0.098

 $V_{ub}$ , the smallest mixing in the CKM matrix ( $s_{13}$  in the Maiani parametrization), is a physical parameter whose zero value at one loop is not protected by any symmetry. A flavor structure giving  $V_{ub}=0$  at  $M_X$  would be characterized by  $V_{ub}(M_Z) \approx 10^{-5}$ .

Although we do not intend to discuss here the lepton sector (the possible *bottom–tau* unification has been analyzed in great detail in [16]), we include the *tau* Yukawa coupling at different scales in Tables II and III (as mentioned in the Introduction, a complete analysis of the large tan $\beta$  regime requires an evaluation of soft SUSY-breaking corrections  $[12]$ ).

### **III. EVOLUTION OF FLAVOR RELATIONS**

We proceed now to study how the evolution from  $M_X$  to  $M<sub>Z</sub>$  affects the relations between quark mass parameters ob-



FIG. 3. Value of  $V_{ub}(M_Z)$  that would correspond to a zero value of  $V_{ub}(M_X)$ , plotted for different values of tan $\beta$ . The masses and the mixings  $V_{us}$ ,  $V_{cb}$  are taken at their central values.

tained in models with flavor symmetries at the unification scale. First we will consider the five symmetric patterns with a maximal number of texture zeros found in Ref.  $[4]$ . These patterns depend on seven complex parameters which, after phase redefinitions, are reduced to seven moduli and two phases (three phases in solution 2 in  $[4]$ ). The approximate analysis shows that it is possible to adjust the experimental masses and mixings without need of fine tuning. In particular, the absence of significant cancellations implies that the only role of the 2 complex phases in each pattern is to generate the CKM phase. As a consequence, the seven moduli fit the six quark masses and three mixings giving two relations. One relation  $[17]$  is shared by all the cases (solutions  $1-5$  in  $[4]$ :

$$
V_{us} = \sqrt{\frac{m_d}{m_s}}\tag{7}
$$

(with complex corrections of modulus  $\sqrt{m_u/m_c}$  in solutions  $1, 2, 4, 5$ ). The second relation is

$$
\frac{V_{ub}}{V_{cb}} = \sqrt{\frac{m_u}{m_c}}\tag{8}
$$

for solutions 1, 2, 4 and

$$
V_{ub} = \sqrt{\frac{m_u}{m_t}}\tag{9}
$$

for solutions  $3$  and  $5$  [in the last case there are complex corrections of order  $(m_t/2m_c)V_{cb}^2 \approx 20\%$ ]. The masses and the rest of the mixings can be adjusted to their central values, with an arbitrary CKM phase.

Relations  $(7)-(9)$  are established at  $M_X$ , and one has to evolve the experimental quantities up to that scale in order to decide if they are acceptable. The running of the first two relations, however, is just a  $0.2\%$  (smaller than corrections to the approximate diagonalization of the matrices). Taking the



FIG. 4. Ratio  $r$  defined in Eq.  $(10)$  for different values of  $tan \beta$ .

masses in their central values we have  $\sqrt{m_d/m_s}$ =0.223 and  $\sqrt{m_u/m_c}$  = 0.064, which compare well with the data  $(V_{12}=0.221\pm0.003$  and  $V_{ub}/V_{cb}=0.08\pm0.02$  [8]). The relation  $V_{ub} = \sqrt{m_u/m_t}$  suffers sizable renormalization-group corrections. At  $M_Z$  we have  $V_{ub} = 0.0035 \pm 0.0015$  and  $\sqrt{m_u/m_f} \approx 0.76 \sqrt{m_u} (1 \text{ GeV})/M_f = 0.0040$ . The running from  $M_Z$  to  $M_X$  can be expressed in terms of the ratio

$$
r = \frac{\sqrt{m_u/m_t(M_X)}}{\sqrt{m_u/m_t(M_Z)}} / \frac{V_{ub}(M_X)}{V_{ub}(M_Z)}
$$
(10)

that we plot in Fig. 4 for different values of  $tan \beta$ . Note that for tan $\beta$ <62, *V*<sub>13</sub> diminishes less than  $\sqrt{m_u/m_t}$  (i.e.,  $r<1$ , while for larger values of tan $\beta$  we observe the opposite behavior. If the masses and mixings were known with more accuracy, this fact could be used to *correct* the prediction

$$
V_{ub} = 0.0040r\tag{11}
$$

in the preferred direction.

The different running of the mixings and the ratios of quark masses involving the third family would also affect the symmetric texture proposed in  $[6]$  (with one more zero than the textures in [4]). Those matrices predict  $V_{cb} = \sqrt{m_c / m_t}$ , a value that seems too large: the values of the masses at  $M_Z$ suggest  $V_{cb} \approx 0.76 \sqrt{m_c} (1 \text{ GeV})/M_t = 0.066$ , while the experimental upper bound is 0.048. Since the evolutions of  $V_{ub}$  ( $m_u$ ) and  $V_{cb}$  ( $m_c$ ) coincide, the running from  $M_X$  will be simply expressed by the same factor *r* in Fig. 4:

$$
V_{ub} = 0.066r\tag{12}
$$

and the relation would be experimentally acceptable for  $tan\beta \le 1.4$  (with  $M<sub>t</sub>=180$  GeV).

We will finally analyze a pattern of quark matrices derived from the heterotic string. The matrices have been proposed  $[5]$  as the only realistic possibility in a class of models compactified in the Tian-Yau manifold. Their structure  $is<sup>1</sup>$ 

$$
M_{u} = \begin{pmatrix} 0 & D_{0} & C_{0} \\ D_{0} & 0 & B_{0} \\ C_{0} & B_{0} & A_{0} \end{pmatrix}, \quad M_{d} = \begin{pmatrix} D'_{0} & 0 & 0 \\ 0 & C'_{0} & B''_{0} \\ 0 & B'_{0} & A'_{0} \end{pmatrix}.
$$
 (13)

By a redefinition of the quark fields we can put these matrices in a more convenient form:

$$
M_{u} = \begin{pmatrix} 0 & \tilde{D} & C \\ \tilde{D} & 0 & B \\ C & B & A \end{pmatrix}, \quad M_{d} = \begin{pmatrix} D' & 0 & 0 \\ 0 & C' & 0 \\ 0 & \tilde{B'} & A' \end{pmatrix}, \quad (14)
$$

where  $\widetilde{D}$  and  $\widetilde{B}'$  are complex and the rest of the parameters are real and positive. As we will see, these eight moduli and two phases can fit all the masses and the larger mixings to their central values and predict acceptable (but *large*) values for  $V_{ub}$  and a nonzero (but *small*) complex CKM phase. The approximate diagonalization gives

$$
m_t = A
$$
,  $m_c = \frac{B^2}{A}$ ,  $m_u = |\frac{2ABC\tilde{D} - A^2\tilde{D}^2}{AB^2}|$ , (15)

$$
m_b = A', \quad m_s = C', \quad m_d = D',
$$
 (16)

and a CKM matrix (in the Maiani parametrization) with

$$
V_{us} = \left| \frac{BC - A\widetilde{D}}{B^2} \right|, \tag{17a}
$$

$$
V_{cb} = \left| \frac{\widetilde{B}'}{A'} - \frac{B}{A} \right|,
$$
 (17b)

$$
|V_{ub}| = \left| \frac{(BC - A\widetilde{D})\widetilde{B}'}{AB^2} - \frac{\widetilde{D}}{B} \right|.
$$
 (17c)

In terms of physical quantities we have

$$
V_{ub} = \left(V_{us}V_{cb} + V_{12}\sqrt{\frac{m_c}{m_t}}e^{i\alpha} - \frac{m_uV_{cb}}{2m_cV_{12}}e^{i\beta}\right),\qquad(18)
$$

where  $\alpha$  and  $\beta$  are independent complex phases (the domiwhere  $\alpha$  and  $\beta$  are independent complex phases (the dominant phase  $\alpha$  is related to the phase of  $\widetilde{B}'$ ). At  $M_Z$ , for masses and mixings in their central values, the relation reads  $V_{13} = 0.0088 + 0.0146e^{i\alpha} - 0.0004e^{i\beta}$ . Then it seems that the small value of  $V_{ub}$  requires a cancellation between the first two terms, with a best value  $|V_{ub}| > 0.0054$  (for  $\alpha = \pi$ ). Renormalization-group corrections affect this relation due to the different running of  $V_{cb}$  and  $\sqrt{m_c / m_t}$ , with the total effect captured again by the factor *r* plotted in Fig. 4:

$$
V_{ub} = 0.0088 + r \ 0.0146 e^{i\alpha} - 0.00034 e^{i\beta}.
$$
 (19)

For low values of *r*, the lower bound for the predicted value of  $|V_{ub}|$  decreases. For example, for  $tan\beta=1.5$  we have

<sup>&</sup>lt;sup>1</sup>We suppress an antisymmetric entry proportional to the VEV's of an extra Higgs doublet present in the model  $[5]$  since its presence would require a detailed analysis of flavor-changing neutral currents.

 $|V_{ub}| > 0.002$  and a CKM phase  $\pi/2 \le \delta_{13} \le 3\pi/2$ , whereas  $|V_{ub}|$  < 0.005 would imply tan $\beta$  \ 30 (for all the quark masses and the rest of mixings in their central values). Note that for smaller values of  $V_{cb}$ , these bounds are relaxed.

## **IV. CONCLUSIONS**

The observed value of  $M_t$  implies that  $h_t$  is the dominant term in the renormalization-group equations at large scales. As a consequence, the corrections to the quark masses lose universality and there appear nontrivial corrections to the CKM mixings of the light quarks with the third family. In addition, the low energy value of  $h_t$  could be related to a QFP of the equations: any *large* value of  $h_t(M_X)$  seems to converge to a narrow interval around 1.2 at  $M_Z$ . In the MSSM with  $M_t$ =180 GeV this forces a low value of tan $\beta$ , whereas an analogous situation occurs for  $h<sub>b</sub>$  in the large  $tan\beta$  regime. For  $M_t$  around 200 GeV and large  $tan\beta$ , both low energy Yukawa couplings would result from any large value of the couplings at  $M_X$  (a large value of tan $\beta$  could be also motivated by the possibility to relax the  $R_b$  anomaly  $[18]$ ). In this framework, we perform an updated (with the new data for  $M_t$ ) and complete (all values of tan $\beta$ ) analysis of the evolution from  $M_Z$  and  $M_X$  of all the physical observables in the quark Yukawa sector of the MSSM. We study in detail the behavior of the smallest CKM mixing  $V_{ub}$  in the *top* and/or *bottom* QFP regions, and we show that the evolution is linear:  $\delta V_{ub} / V_{ub} (M_X) \approx \delta V_{ub} / V_{ub} (M_Z)$ . To illustrate the size of the nonlinear corrections we analyze the value of  $V_{ub}(M_Z)$  which corresponds to  $V_{ub}(M_X)=0$ ; we obtain  $V_{ub}(M_Z) \le 10^{-5}$  (this value increases going to nonperturbative values of  $h_t$  at  $M_X$ , i.e., lowering tan $\beta$ ).

Then we analyze the renormalization-group corrections to fermion mass relations which appear in models with flavor symmetries at  $M_X$ . In particular, we discuss the relations obtained for symmetric mass matrices with a maximal number of zeros and in a superstring-motivated model. We show that in some relations the corrections can be numerically important (they are essential in some of the cases) and that they depend quite strongly on  $tan \beta$ . In particular, for the relations analyzed the corrections can be expressed in terms of the ratio  $r$  in Eq.  $(10)$ . We find it remarkable that, for a fixed  $M_t$ , *r* goes to zero decreasing tan $\beta$  and grows (*r*>1) for  $tan\beta$  large (tan $\beta \ge 62$ ). If the masses and mixings were measured with more accuracy, this fact could be used to conveniently *correct* the relations by varying  $tan \beta$ , whereas if the Higgs sector of the MSSM were observed and  $tan\beta$  fixed, it could be used to exclude some of the quark mass matrix models.

#### **ACKNOWLEDGMENTS**

This work was partially supported by CICYT under Contract No. AEN96-1672, by the Junta de Andalucía, and by the European Union under Contract No. CHRX-CT92-004. We thank F. del Aguila for useful comments.

- [1] CDF Collaboration, F. Abe *et al.*, Phys. Rev. Lett. **74**, 2626 ~1995!; D0 Collaboration, S. Abachi *et al.*, *ibid.* **74**, 2632  $(1995).$
- [2] For previous work see, for example, M. Olechowski and S. Pokorski, Phys. Lett. B 257, 388 (1991).
- $[3]$  C. T. Hill, Phys. Rev. D 24, 691  $(1981)$ .
- @4# P. Ramond, R. G. Roberts, and G. G. Ross, Nucl. Phys. **B406**, 19 (1993).
- @5# F. del Aguila, M. Masip, and L. da Mota, Nucl. Phys. **B440**, 3  $(1995).$
- [6] S. Dimopoulos, L. J. Hall, and S. Raby, Phys. Rev. Lett. **68**, 1984 (1992); Phys. Rev. D 45, 4192 (1992).
- [7] N. Gray, D. J. Broadhurst, W. Grafe, and K. Schilcher, Z. Phys. C 48, 673 (1990).
- [8] Particle Data Group, L. Montanet *et al.*, Phys. Rev. D 50, 1173  $(1994).$
- [9] H. Arason *et al.*, Phys. Rev. D 46, 3945 (1992).
- [10] D. J. Castaño, E. J. Piard, and P. Ramond, Phys. Rev. D 49, 4882 (1994).
- [11] N. Arkani-Hamed, H. C. Cheng, and L. J. Hall, Nucl. Phys. **B472**, 95 (1996).
- @12# T. Blazek, S. Raby, and S. Pokorski, Phys. Rev. D **52**, 4151  $(1995).$
- [13] B. Pendleton and G. G. Ross, Phys. Lett. **98B**, 291 (1981).
- [14] C. D. Froggatt, I. G. Knowles, and R. G. Moorhouse, Phys. Lett. B 298, 356 (1993).
- [15] M. Carena and C. E. M. Wagner, Nucl. Phys. **B452**, 45 (1995).
- [16] V. Barger, M. S. Berger, and P. Ohmann, Phys. Rev. D 47, 1093 (1993).
- [17] R. Gatto, G. Sartori, and M. Tonin, Phys. Lett. **29B**, 128  $(1968).$
- [18] See, for example, D. García, R. A. Jiménez, and J. Solà, Phys. Lett. B 347, 2737 (1995).