# Color-octet mechanism in $\gamma + p \rightarrow J/\psi + X$

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(Received 5 February 1996)

Photoproduction of  $J/\psi$  is considered including the color-octet contributions from the various partial wave states,  ${}^{2S+1}L_J = {}^1S_0$ ,  ${}^3S_1$ , and  ${}^3P_J$ . The production cross section depends on three new nonperturbative parameters defined in NRQCD, called the color-octet matrix elements. Using the color-octet matrix elements determined by fitting the  $J/\psi$  production at the Fermilab Tevatron, we find that the color-octet  $(c\bar{c})_8({}^1S_0 \text{ and }{}^3P_J)$  contributions to the  $J/\psi$  photoproduction at the fixed target experiments and at DESY HERA are too large compared to the data on  $\sigma(\gamma + p \rightarrow J/\psi + X)$  in the forward direction, and the *z* distribution of  $J/\psi$ . The  $P_T^2$  distribution of  $J/\psi$  and the total inelastic  $J/\psi$  production rate as a function of  $\sqrt{s_{\gamma p}}$  are predicted including color-octet contributions. We also briefly digress on the  $B \rightarrow J/\psi + X$  and observe the similar situation. This may be an indication that the color-octet matrix elements determined from the  $J/\psi$  production at the Tevatron, especially  $\langle 0|\mathcal{O}_8^{\psi}({}^1S_0)|0\rangle$  and  $\langle 0|\mathcal{O}_8^{\psi}({}^3P_J)|0\rangle$ , might have been overestimated by an order of magnitude. [S0556-2821(96)00619-4]

PACS number(s): 13.60.Le, 12.38.Bx, 12.39.Jh, 14.40.Lb

### I. INTRODUCTION

In the conventional approach, the inelastic (inclusive)  $J/\psi$  photoproduction has been studied in the framework of perturbative QCD (PQCD) and the color-singlet model [1]. In this model, one considers  $\gamma + g \rightarrow J/\psi + g$  which can produce high  $p_T J/\psi$ 's at ep or  $\gamma p$  collisions. However, the same approach, when applied to the  $J/\psi$  or  $\psi'$  production at the Fermilab Tevatron, severely underestimates the production rate [2]. In order to reconcile the data and PQCD predictions, a new mechanism for heavy quarkonium productions has been suggested [3]: the color-octet gluon fragmentation into  $J/\psi$ . Also, the color-octet mechanism in heavy quarkonium production at hadron colliders through the color-octet  $(c\bar{c})_8$  pair in various partial wave states  ${}^{2S+1}L_I$ has been considered beyond the color-octet gluon fragmentation approach [4,5]. The main motivation is that inclusive Y productions at the Tevatron also shows the excess of the data over theoretical estimates of the production based on PQCD and the color-singlet model [6]. Here, the  $p_T$  of the Y is not that high so that the gluon fragmentation picture may not be a good approximation any more. In Refs. [4,5], a large class of color-octet diagrams has been considered which can contribute to the  $J/\psi$  production at hadron colliders. At the partonic level, there appear new  $2 \rightarrow 1$  subprocesses:

$$q\bar{q} \to (c\bar{c})({}^3S_1^{(8)}), \tag{1.1}$$

$$gg \to (c\bar{c})({}^{1}S_{0}^{(8)} \text{ or } {}^{3}P_{J}^{(8)}),$$
 (1.2)

at the short distance scale, and the subsequent evolution of the  $(c\bar{c})_8(^{2S+1}L_J)$  object into a physical  $J/\psi$  by absorbing or emitting soft gluons at the long distance scale. The short distance process can be calculated using PQCD in powers of  $\alpha_s$ , whereas the long distance part is treated as a new parameter  $\langle 0|O_8^{\psi}(^{2S+1}L_J)|0\rangle$  which characterizes the probability that the color-octet  $(c\bar{c})_8(^{2S+1}L_J)$  state evolves into a physical  $J/\psi$ . By fitting the  $J/\psi$  production at the Tevatron using the usual color-singlet production and the cascades from  $\chi_c(1P)$  and the color-octet contributions, the authors of Ref. [5] determined

$$\langle 0|O_8^{\psi}({}^{3}S_1)|0\rangle = (6.6 \pm 2.1) \times 10^{-3} \text{ GeV}^3, \quad (1.3)$$
$$\frac{\langle 0|\mathcal{O}_8^{\psi}({}^{3}P_0)|0\rangle}{M_c^2} + \frac{\langle 0|\mathcal{O}_8^{\psi}({}^{1}S_0)|0\rangle}{3}$$
$$= (2.2 \pm 0.5) \times 10^{-2} \text{ GeV}^3 \quad (1.4)$$

for  $M_c = 1.48$  GeV. Although the numerical values of two matrix elements  $\langle 0 | \mathcal{O}_8^{\psi}({}^3P_0) | 0 \rangle$  and  $\langle 0 | \mathcal{O}_8^{\psi}({}^1S_0) | 0 \rangle$  are not separately known in Eq. (1.4), one can still extract some useful information from it. Since both of the color-octet matrix elements in Eq. (1.4) are positive definite, one has

$$0 < \langle 0 | \mathcal{O}_8^{\psi}({}^1S_0) | 0 \rangle < (6.6 \pm 1.5) \times 10^{-2} \text{ GeV}^3, \quad (1.5)$$

$$0 < \frac{\langle 0 | \mathcal{O}_8^{\psi}({}^3P_0) | 0 \rangle}{M_c^2} < (2.2 \pm 0.5) \times 10^{-2} \text{ GeV}^3. \quad (1.6)$$

These inequalities can provide us with some predictions on various quantities related with inclusive  $J/\psi$  productions in other high energy processes, which enables us to test the idea of color-octet mechanism.

Since the color-octet mechanism in heavy quarkonium production is an idea proposed in order to resolve the  $\psi'$  anomaly at the Tevatron, it is important to test this idea in

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other high energy processes with inclusive heavy quarkonium productions. Up to now, the following processes have been considered:  $J/\psi$  production at the Tevatron and fixed target experiments [4,5,7], spin alignment of the color-octet produced  $J/\psi$  [8], the polar angle distribution of the  $J/\psi$  in the  $e^+e^-$  annihilations into  $J/\psi+X$  [9], inclusive  $J/\psi$  production in *B* meson decays [10] and the  $Z^0$  decays at the CERN  $e^+e^-$  collider LEP [11]. These processes also depend on the aforementioned three color-octet matrix elements in different combinations from Eq. (1.4). Thus, one can check if the color-octet mechanism provides reasonable agreements between PQCD and the experimental data on inclusive  $J/\psi$ production rates from these processes.

In the above list of various inclusive  $J/\psi$  productions at high energies, the  $J/\psi$  photoproduction is missing, however. It is the purpose of this work to study the color-octet mechanism in the  $J/\psi$  photoproduction.<sup>1</sup> This paper is organized as following.

In Sec. II, we demonstrate how to get the inclusive production rate of a heavy quarkonium in the nonrelativistic QCD (NRQCD) formalism of Bodwin, Braaten, and Lepage [14]. Then, we review briefly the  $\gamma g$  fusion into  $J/\psi + g$  in the color-singlet model in Sec. III A. In Sec. III B, we consider the color-octet subprocesses

$$\gamma + g \rightarrow (c \bar{c}) ({}^{1}S_{0}^{(8)} \text{ or } {}^{3}P_{J=0,2}^{(8)}),$$
 (1.7)

which have not been included in previous studies. The size of these color-octet contributions to the  $J/\psi$  photoproductions is suppressed by  $v^4$  relative to the color-singlet contributions, but of lower order in  $\alpha_s$ . This subprocess contributes to the  $J/\psi$  photoproduction in the forward scattering (the elastic peak) with  $z \approx 1$  and  $P_T^2 \approx 0$ . These color-octet  $2 \rightarrow 1$  subprocesses can also contribute to the  $2 \rightarrow 2$  subprocesses through

$$\gamma + g \to (c \bar{c}) ({}^{1}S_{0}^{(8)} \text{ or } {}^{3}P_{J}^{(8)}) + g,$$
 (1.8)

$$\gamma + q \rightarrow (c \bar{c}) ({}^{1}S_{0}^{(8)} \text{ or } {}^{3}P_{J}^{(8)}) + q.$$
 (1.9)

These are also resolved photon processes at lower order  $[O(\alpha \alpha_s^2)]$  than the color-singlet model  $[O(\alpha \alpha_s^3)]$  in the perturbation expansion in  $\alpha_s$ : although the color-octet contributions are suppressed by  $v^4$  compared to the color-singlet resolved photon process. Thus, the color-octet  ${}^1S_0$  and  ${}^3P_J$ states can contribute to the elastic peak of the  $J/\psi$  photoproduction as well as contribute to the resolved photon process. It is quite important to estimate the latter and compare with the resolved photon process in the color-singlet model, since it is a common statement that  $J/\psi$  photoproduction is a good place to measure the gluon distribution function in a proton. We find that the quark contributions are small compared to the gluon contribution even if we include Eq. (1.9). When one considers Eqs. (1.8) and (1.9), one has to consider

$$\gamma + g \rightarrow (c \bar{c}) (^{2S+1} L_J^{(8)}) + g,$$
 (1.10)

although it is expected to be suppressed relative to the usual  $\gamma g$  fusion color-singlet diagram by  $v^4$ . We keep it, however, in order to be consistent in the  $\alpha_s$  expansion, and make it sure the  $v^2$  scaling rule works in this case. All of these coloroctet  $2 \rightarrow 2$  subprocesses are discussed in Sec. III C. Numerical analyses relevant to the fixed target experiments and the DESY ep collider HERA are performed in Sec. IV A. We show that relations (1.5) and (1.6) yield too large a cross section for the  $J/\psi$  photoproduction in the forward direction. They also lead to too rapidly growing  $d\sigma/dz$  distribution for high z region compared to the experimental observations. In Sec. IV B, we briefly digress on the  $B \rightarrow J/\psi + X$  using the factorization formula derived in Ref. [10], and find again that relations (1.5) and (1.6) overestimate the branching ratio for  $B \rightarrow J/\psi + X$ . All of these seem to indicate that relations (1.3) and (1.4), especially the latter, are probably overestimated by an order of magnitude. This is not surprising at all, since the analyses in Ref. [5] employed the leading order calculations for the color-singlet parton subprocess for the  $J/\psi$  hadroproduction. We summarize our results and speculate the origins of these overestimates of  $J/\psi$  photoproductions and B meson decays in Sec. V.

# II. NRQCD FORMALISM FOR HEAVY QUARKONIUM PRODUCTIONS

To begin, we consider the method to get the NRQCD cross section of the process  $a+b \rightarrow Q\overline{Q}(^{2S+1}L_J^{(1,8a)}) \rightarrow H$ , where *H* is the final quarkonium state and  $Q\overline{Q}(^{2S+1}L_J^{(1,8a)})$  is the intermediate  $Q\overline{Q}$  pair which fragments into a specific heavy quarkonium state *H* in the long distance scale. The superscript (1,8*a*) in the spectroscopy notation for the  $Q\overline{Q}$  system represents the color-singlet and the color-octet configuration, respectively. After writing the on-shell scattering amplitude of the process  $\mathcal{A}(a+b\rightarrow Q+\overline{Q})$ , we project out the  $^{2S+1}L_J^{(1,8a)}$  component for the  $Q\overline{Q}$  system from the on-shell scattering amplitude by using the Clebsch-Gordan coefficients for the angular momentum and color space. Then, we perform the integration over the relative momentum between Q and  $\overline{Q}$ . Therefore, the scattering amplitude of the process  $a+b\rightarrow Q\overline{Q}(^{2S+1}L_J^{(1,8a)})(P)$  is given by

$$\mathcal{A}(a+b \to Q\overline{Q}(^{2S+1}L_J^{(1,8a)})(P)) = \sum_{L_ZS_Z} \sum_{s_1s_2} \sum_{ij} \int \frac{d^3\mathbf{q}}{(2\pi)^3 2q^0} \delta\left(q^0 - \frac{|\mathbf{q}|^2}{2M_Q}\right) Y^*_{LL_Z}(\hat{q}) \\ \times \langle s_1; s_2 | SS_Z \rangle \langle LL_Z; SS_Z | JJ_Z \rangle \langle 3i\overline{3}j | 1,8a \rangle \\ \times \mathcal{A}\left[a+b \to Q^i \left(\frac{P}{2}+q\right) + \overline{Q}^j \left(\frac{P}{2}-q\right)\right].$$
(2.1)

Treating the relative momentum q to be small, the dominant contribution comes from the term in the lowest order of q in the amplitude. After integrating over the relative momentum q, we get

$$\mathcal{A}(a+b \to Q\overline{Q}(^{2S+1}L_J^{(1,8a)})) = \sqrt{C_L}\mathcal{M}'_L(a+b \to Q\overline{Q} \times (^{2S+1}L_J^{(1,8a)})), \quad (2.2)$$

where

<sup>&</sup>lt;sup>1</sup>While we were finishing this work, we received two papers which discussed the same topic [12,13]. We find our results agree with theirs in the case where direct comparison is possible.

$$\mathcal{M}_{L}'(a+b \to Q\overline{Q}(^{2S+1}L_{J}^{(1,8a)}))$$

$$= \sum_{L_{Z}S_{Z}} \sum_{s_{1}s_{2}} \sum_{ij} \langle s_{1};s_{2}|SS_{Z}\rangle \langle LL_{Z};SS_{Z}|JJ_{Z}\rangle \langle 3i\overline{3}j|1,8a\rangle$$

$$\times \begin{cases} \mathcal{A}(a+b \to Q^{i}+\overline{Q}^{j})|_{q=0} & (L=S), \\ \boldsymbol{\epsilon}_{\alpha}^{*}(L_{Z})\mathcal{A}^{\alpha}(a+b \to Q^{i}+\overline{Q}^{j})|_{q=0} & (L=P), \\ \boldsymbol{\epsilon}_{\alpha\beta}^{*}(L_{Z})\mathcal{A}^{\alpha\beta}(a+b \to Q^{i}+\overline{Q}^{j})|_{q=0} & (L=D), \end{cases}$$

$$(2.3)$$

and  $\sqrt{C_L}$  is the remaining factor after the integration over q in Eq. (2.1). Here, the  $A^{\alpha}$  and  $A^{\alpha\beta}$  are derivatives of the on-shell amplitude with respect to the relative momentum:

$$A^{\alpha}(P,q) = \frac{\partial}{\partial q^{\alpha}} A(P,q) \quad \text{and} \ A^{\alpha\beta}(P,q) = \frac{\partial^2}{\partial q^{\alpha} \partial q^{\beta}} A(P,q).$$
(2.4)

If the  $Q\overline{Q}$  system is in a color-singlet state, we can relate the coefficients  $C_L$  to the radial wave function of the bound state as

$$C_{S} = \frac{1}{4\pi} |R_{S}(0)|^{2}, \quad C_{P} = \frac{3}{4\pi} |R'_{P}(0)|^{2},$$
  
and  $C_{D} = \frac{15}{8\pi} |R''_{D}(0)|^{2}.$  (2.5)

The color SU(3) coefficients are given by

$$\langle 3i; \overline{3}j | 1 \rangle = \delta_{ij} / \sqrt{N_c}$$
 and  $\langle 3i; \overline{3}j | 8a \rangle = \sqrt{2} T_{ij}^a$ . (2.6)

Some identities involving the traces of the color matrices are useful in squaring the matrix elements:

$$\begin{aligned} \mathrm{Tr}(1) &= +N_c, \quad \mathrm{Tr}(T^a T^a) = + \frac{N_c^2 - 1}{2}, \\ \mathrm{Tr}(T^a T^b T^b T^a) &= + \frac{(N_c^2 - 1)^2}{4N_c}, \\ \mathrm{Tr}(T^a T^b T^a T^b) &= - \frac{N_c^2 - 1}{4N_c}, \\ \mathrm{Tr}(T^a T^b) \mathrm{Tr}(T^a T^b) &= + \frac{N_c^2 - 1}{4}, \\ \mathrm{Tr}(T^a T^b T^c T^c T^b T^a) &= + \frac{(N_c^2 - 1)^3}{8N_c^2}, \\ \mathrm{Tr}(T^a T^b T^c T^b T^c T^a) &= - \frac{(N_c^2 - 1)^2}{8N_c^2}, \end{aligned}$$

$$Tr(T^{a}T^{b}T^{c}T^{a}T^{b}T^{c}) = + \frac{(N_{c}^{4} - 1)}{8N_{c}^{2}},$$
$$Tr(T^{a}T^{b}T^{c})Tr(T^{a}T^{b}T^{c}) = -\frac{(N_{c}^{2} - 1)}{4N_{c}},$$
$$Tr(T^{a}T^{b}T^{c})Tr(T^{a}T^{c}T^{b}) = + \frac{(N_{c}^{2} - 1)(N_{c}^{2} - 2)}{8N_{c}}.$$
 (2.7)

At this stage we can derive the explicit form of the matrix element  $\mathcal{M}'_L$  In general, the on-shell amplitude can be expressed as

$$\langle 3i\overline{3}j|1,8a\rangle \mathcal{A}\left[a+b\rightarrow Q^{i}\left(\frac{P}{2}+q\right)+\overline{Q}^{j}\left(\frac{P}{2}-q\right)\right]$$
$$=\overline{u}\left(\frac{P}{2}+q;s_{1}\right)\mathcal{O}(P,q)v\left(\frac{P}{2}-q;s_{2}\right),$$
(2.8)

where O is the matrix relevant to the on-shell amplitude. If we introduce the spin projection operator  $P_{SS_2}(P,q)$  as

$$P_{SS_{z}}(P,q)_{ij} \equiv \sum_{s_{1}s_{2}} \langle s_{1}; s_{2} | SS_{z} \rangle v_{i} \left(\frac{P}{2} - q; s_{2}\right) \overline{u_{j}} \left(\frac{P}{2} + q; s_{1}\right),$$
(2.9)

we can simplify the form of the matrix element  $\mathcal{M}'_L$  as

$$\mathcal{M}'_{S} = \operatorname{Tr}[\mathcal{O}(P,0)P_{SS_{\tau}}(P,0)],$$
 (2.10)

$$\mathcal{M}_{P}^{\prime} = \sum_{L_{z}S_{z}} \epsilon_{\alpha}^{*}(L_{Z}) \langle LL_{z}; SS_{z} | JJ_{z} \rangle \mathrm{Tr}[\mathcal{O}^{\alpha}P_{SS_{z}} + \mathcal{O}P_{SS_{z}}^{\alpha}]_{q=0},$$
(2.11)

$$\mathcal{M}_{D}^{\prime} = \sum_{L_{z}S_{z}} \epsilon_{\alpha\beta}^{*}(L_{Z}) \langle LL_{z}; SS_{z} | JJ_{z} \rangle \operatorname{Tr}[\mathcal{O}^{\alpha\beta}P_{SS_{z}} + \mathcal{O}^{\alpha}P_{SS_{z}}^{\beta} + \mathcal{O}^{\beta}P_{SS_{z}}^{\alpha} + \mathcal{O}P_{SS_{z}}^{\alpha\beta}]_{q=0}.$$

$$(2.12)$$

Note that  $\mathcal{O}$  includes the color coefficient  $\langle 3i\overline{3}j|1,8a\rangle$ , and  $P_{SS_z}$  possesses the spin coefficient  $\langle s_1;s_2|SS_Z\rangle$ . Expanding  $P_{SS_z}(P,q)$  to the second order of the relative momentum q, we get

$$P_{00}(P,0) = \frac{1}{2\sqrt{2}} \gamma_5(P + 2M_Q), \qquad (2.13)$$

$$P_{00}^{\alpha}(P,0) = \frac{1}{2\sqrt{2}M_{Q}} \gamma^{\alpha} \gamma_{5} \mathbf{P}, \qquad (2.14)$$

$$P_{00}^{\alpha\beta}(P,0) = -\frac{1}{2\sqrt{2}M_Q}g^{\alpha\beta}\gamma_5, \qquad (2.15)$$

$$P_{1S_{z}}(P,0) = \frac{1}{2\sqrt{2}} \boldsymbol{k}^{*}(S_{z})(\boldsymbol{P} + 2M_{Q}), \qquad (2.16)$$

$$P_{1S_{z}}^{\alpha}(P,0) = \frac{1}{4\sqrt{2}M_{Q}} [ \boldsymbol{k}^{*}(S_{z})(\boldsymbol{P}+2M_{Q}) \gamma^{\alpha} + \gamma^{\alpha} \boldsymbol{k}^{*}(S_{z})(\boldsymbol{P}+2M_{Q}) ], \qquad (2.17)$$

$$P_{1S_{z}}^{\alpha\beta}(P,0) = -\frac{1}{2\sqrt{2}M_{Q}} \left[ g^{\alpha\beta} \boldsymbol{\epsilon}^{*}(S_{z}) - \frac{1}{4M_{Q}} (\boldsymbol{P} + 2M_{Q}) \right] \times \left[ \boldsymbol{\epsilon}^{*\alpha}(S_{z}) \gamma^{\beta} + \boldsymbol{\epsilon}^{*\beta}(S_{z}) \gamma^{\alpha} \right].$$
(2.18)

When L=P, we need further relations to get the correct polarization state of the intermediate state,

$$\sum_{L_Z S_Z} \epsilon^{*\alpha} (L_z) \epsilon^{*\beta} (S_z) \langle 1L_z; 1S_z | J = 0J_z = 0 \rangle$$

$$= -\frac{1}{\sqrt{3}} \left( -g^{\alpha\beta} + \frac{P^{\alpha}P^{\beta}}{M^2} \right),$$

$$\sum_{L_Z S_Z} \epsilon^{*\alpha} (L_z) \epsilon^{*\beta} (S_z) \langle 1L_z; 1S_z | J = 1J_z \rangle$$

$$= \frac{i}{\sqrt{2}M} \epsilon^{\alpha\beta\mu\nu} \epsilon^{*}_{\mu} (J_z) P_{\nu},$$

$$\sum_{L_Z S_Z} \epsilon^{*\alpha} (L_z) \epsilon^{*\beta} (S_z) \langle 1L_z; 1S_z | J = 2J_z \rangle = \epsilon^{*\alpha\beta} (J_z),$$
(2.19)

where the polarization vector and the symmetric polarization tensor have the properties

$$P_{\alpha}\epsilon^{\alpha}(J_{z}) = 0, \quad P_{\alpha}\epsilon^{\alpha\beta}(J_{z}) = 0,$$
  
$$\epsilon^{\alpha}_{\alpha}(J_{z}) = 0, \quad \epsilon^{\alpha\beta}(J_{z}) = \epsilon^{\beta\alpha}(J_{z}). \quad (2.20)$$

Once the cross section of the on-shell parton level process is obtained, one can expand it in factorized forms following Bodwin, Braaten, and Lepage (BBL) [14] as

$$\hat{\sigma}(a+b\to(Q\bar{Q})_n) = \frac{F_n}{M_Q^{d_n-5}} \times \frac{\langle 0|\mathcal{O}_n^{QQ}|0\rangle}{2J+1}, \quad (2.21)$$

$$\hat{\sigma}(a+b\to(Q\bar{Q})_n\to H+X) = \frac{F_n}{M_Q^{d_n-4}} \times \frac{\langle 0|\mathcal{O}_n^H|0\rangle}{2J+1}.$$
(2.22)

We use  $\hat{\sigma}$  instead of  $\sigma$  as a subprocess cross section, since we will consider the  $\gamma p$  collision where the particle *b* is treated as a parton inside a proton. The index *n* denotes the intermediate  $Q\bar{Q}$  state  ${}^{2S+1}L_J^{(1,8)}$  which may differ from that of *H*. The factor multiplied to the *H* production cross section differs from the  $Q\bar{Q}$ -pair production cross section by unity in mass dimension. This makes the long range factor coincide with the conventionally normalized wave function of the bound state for the color-singlet case. We extracted the factor 1/(2J+1) in advance in order to avoid the unnecessary factor after imposing the heavy quark spin symmetry property as

$$\frac{\langle 0|\mathcal{O}^{\psi}({}^{3}S_{1}^{(1,8)})|0\rangle}{3} \to \langle 0|\mathcal{O}^{\psi}({}^{1}S_{0}^{(1,8)})|0\rangle, \qquad (2.23)$$

$$\frac{\langle 0|\mathcal{O}^{\psi}({}^{3}P_{J}^{(1,8)})|0\rangle}{2J+1} \to \langle 0|\mathcal{O}^{\psi}({}^{3}P_{0}^{(1,8)})|0\rangle.$$
(2.24)

The four-fermion operators  $\mathcal{O}_n$  with dimension  $d_n$  are defined as

$$d_{n} = 6,$$

$$\mathcal{O}_{1}({}^{1}S_{0}) = \psi^{\dagger}\chi(a_{H}^{\dagger}a_{H})\chi^{\dagger}\psi,$$

$$\mathcal{O}_{8}({}^{1}S_{0}) = \psi^{\dagger}T^{a}\chi(a_{H}^{\dagger}a_{H})\chi^{\dagger}T^{a}\psi,$$

$$\mathcal{O}_{1}({}^{3}S_{1}) = \psi^{\dagger}\boldsymbol{\sigma}^{i}\chi(a_{H}^{\dagger}a_{H})\chi^{\dagger}\boldsymbol{\sigma}^{i}\psi,$$

$$\mathcal{O}_{8}({}^{3}S_{1}) = \psi^{\dagger}\boldsymbol{\sigma}^{i}T^{a}\chi(a_{H}^{\dagger}a_{H})\chi^{\dagger}\boldsymbol{\sigma}^{i}T^{a}\psi,$$

$$d_{n} = 8,$$

$$\mathcal{O}_{1}({}^{1}P_{1}) = \psi^{\dagger}\left(-\frac{i}{2}\vec{D}\right)^{i}\chi(a_{H}^{\dagger}a_{H})\chi^{\dagger}\left(-\frac{i}{2}\vec{D}\right)^{i}\psi,$$

$$\mathcal{O}_{1}({}^{3}P_{0}) = \frac{1}{3}\psi^{\dagger}\left(-\frac{i}{2}\vec{D}\cdot\boldsymbol{\sigma}\right)\chi(a_{H}^{\dagger}a_{H})\chi^{\dagger}\left(-\frac{i}{2}\vec{D}\cdot\boldsymbol{\sigma}\right)\psi,$$

$$\mathcal{O}_{1}({}^{3}P_{1}) = \frac{1}{2}\psi^{\dagger}\left(-\frac{i}{2}\vec{D}\times\boldsymbol{\sigma}\right)^{i}\chi(a_{H}^{\dagger}a_{H})\chi^{\dagger}\left(-\frac{i}{2}\vec{D}\times\boldsymbol{\sigma}\right)^{i}\psi,$$

$$\mathcal{O}_{1}({}^{3}P_{2}) = \psi^{\dagger}\left(-\frac{i}{2}\vec{D}^{(i}\sigma^{j)}\right)\chi(a_{H}^{\dagger}a_{H})\chi^{\dagger}\left(-\frac{i}{2}\vec{D}^{(i}\sigma^{j)}\right)\psi,$$
(2.25)

where  $a_{H}^{(\dagger)}$  destroys (creates) a heavy quarkonium state *H*, and  $(a_{H}^{\dagger}a_{H}) = \sum_{X} |H,X\rangle \langle H,X|$ . The dimension-eight operators related to the relativistic corrections are

$$\mathcal{P}_{1}({}^{1}S_{0}) = \frac{1}{2} \bigg[ \psi^{\dagger} \chi(a_{H}^{\dagger}a_{H}) \chi^{\dagger} \bigg( -\frac{i}{2} \vec{D} \bigg)^{2} \psi + \text{H.c.} \bigg],$$
  

$$\mathcal{P}_{1}({}^{3}S_{1}) = \frac{1}{2} \bigg[ \psi^{\dagger} \boldsymbol{\sigma} \chi(a_{H}^{\dagger}a_{H}) \chi^{\dagger} \boldsymbol{\sigma} \bigg( -\frac{i}{2} \vec{D} \bigg)^{2} \psi + \text{H.c.} \bigg],$$
  

$$\mathcal{P}_{1}({}^{3}S_{1}, {}^{3}D_{1}) = \frac{1}{2} \bigg[ \psi^{\dagger} \boldsymbol{\sigma}^{i} \chi(a_{H}^{\dagger}a_{H}) \chi^{\dagger} \boldsymbol{\sigma}^{j} \bigg( -\frac{i}{2} \bigg)^{2} \vec{D}^{(i} \vec{D}^{j)} \psi$$
  

$$+ \text{H.c.} \bigg], \qquad (2.26)$$

where

$$\chi^{\dagger} \vec{D} \psi \equiv \chi^{\dagger} (\mathbf{D} \psi) - (\mathbf{D} \chi)^{\dagger} \psi, \qquad (2.27)$$

$$A^{(ij)} = \frac{1}{2} (A^{ij} + A^{ji}) - \frac{1}{3} \operatorname{Tr}(A) \,\delta^{ij}, \qquad (2.28)$$

and **D** is the covariant derivative. There are Pauli spinor fields in the previous equations.  $\psi$  annihilates a heavy quark Q and  $\chi$  creates a heavy antiquark  $\overline{Q}$ . Color and spin indices on the fields  $\psi$ ,  $\chi$  have been suppressed.

Vacuum expectation values of the production operators  $\mathcal{O}_n^{Q\bar{Q}}$  and  $\mathcal{O}_n^H$  are

$$\langle 0 | \mathcal{O}_{n}^{H} | 0 \rangle = \langle 0 | \chi^{\dagger} \mathcal{K}_{n} \psi \Big( \sum_{X} \sum_{m_{J}} | H + X \rangle$$
$$\times \langle H + X | \Big) \psi^{\dagger} \mathcal{K}_{n}' \chi | 0 \rangle,$$
$$\langle 0 | \mathcal{O}_{n}^{Q\bar{Q}} | 0 \rangle = \langle 0 | \chi^{\dagger} \mathcal{K}_{n} \psi \Big( \sum_{m_{J}} | Q \bar{Q} (^{2S+1} L_{J}^{(1,8)}) \rangle$$
$$\times \langle Q \bar{Q} (^{2S+1} L_{J}^{(1,8)}) | \Big) \psi^{\dagger} \mathcal{K}_{n}' \chi | 0 \rangle$$
$$= (2J+1) \langle 0 | \mathcal{O}^{Q\bar{Q}} (^{2S+1} L_{0}^{(1,8)}) | 0 \rangle. \quad (2.29)$$

The factors  $\mathcal{K}_n$  and  $\mathcal{K}'_n$  are products of a color matrix, a spin matrix, and a polynomial in the covariant derivative  $\vec{D}$  and other fields, which are same with those of  $\mathcal{O}_n$ . According to the heavy quark spin symmetry, the  ${}^{2S+1}L_J^{(1,8)}$  state has the same properties with the  ${}^{2S'+1}L_0^{(1,8)}$  state (with the same L) except for the  $m_J$  multiplicity factor 2J+1, which appears in the last equation.

Now let us explain how to derive the short distance coefficients  $F_n$ . Regardless of the on-shell scattering amplitude  $a+b\rightarrow Q+\overline{Q}$ , the intermediate bound state  $Q\overline{Q}(^{2S+1}L^{(1,8)})$  production cross sections have a common factor  $\langle 0|\mathcal{O}_n^{Q\overline{Q}}|0\rangle$ . Using the intermediate state ket

$$Q\overline{Q}(^{2S+1}L_{J}^{(1,8a)})(P)\rangle$$

$$=\sum_{L_{Z}S_{Z}}\sum_{s_{1}s_{2}}\sum_{ij}\int \frac{d^{3}\mathbf{q}}{(2\pi)^{3}2q^{0}}\delta\left(q^{0}-\frac{|\mathbf{q}|^{2}}{2M_{Q}}\right)Y_{LL_{Z}}^{*}(\hat{q})$$

$$\times\langle s_{1};s_{2}|SS_{Z}\rangle\langle LL_{Z};SS_{Z}|JJ_{Z}\rangle\langle 3i\overline{3}j|1,8a\rangle$$

$$\times\left|Q^{i}\left(\frac{P}{2}+q\right)\overline{Q}^{j}\left(\frac{P}{2}-q\right)\right\rangle,$$
(2.30)

one obtains the matrix element  $\langle 0 | \mathcal{O}_n^{Q\bar{Q}} | 0 \rangle$  as

$$\frac{\langle 0|\mathcal{O}_{n}^{QQ}|0\rangle}{2J+1} = C_{L} \times C_{n}, \qquad (2.31)$$

where

$$C_n = \begin{cases} 2N_c & \text{(color singlet),} \\ N_c^2 - 1 & \text{(color octet),} \end{cases}$$
(2.32)

and  $C_L$  is defined in Eqs. (2.2) and (2.5). The cross section of the process  $a(p_1)+b(p_2) \rightarrow (Q\overline{Q})_n(P)$  (with *n* representing the partial wave and the color quantum numbers of  $Q\overline{Q}$ ) is given by

$$\hat{\sigma}(a(p_1)+b(p_2)\to(QQ)_n(P))$$

$$=\frac{1}{2\hat{s}}\int \frac{d^3\mathbf{P}}{(2\pi)^3 2E_P} (2\pi)^4 \delta^{(4)}(P-p_1-p_2)$$

$$\times \overline{\sum} |\mathcal{A}(a+b\to(Q\overline{Q})_n)|^2$$

$$=\hat{\sigma}'_n \times C_L \times C_n$$

$$=\hat{\sigma}'_n \times \frac{\langle 0|\mathcal{O}_n^{Q\overline{Q}}|0\rangle}{2J+1}, \qquad (2.33)$$

where

$$\hat{\sigma}_n' = \frac{1}{C_n} \frac{\pi}{\hat{s}} \,\delta(\hat{s} - M_P^2) \overline{\sum} |\mathcal{M}_L'(a + b \to (Q\bar{Q})_n)|^2,$$
(2.34)

the index *n* represents the partial wave  $({}^{2S+1}L_J)$  and the color quantum numbers of  $Q\overline{Q}$ , and  $\hat{s}$  is the invariant mass of the initial particles *a* and *b*. Then, the bound state cross section and the short distance coefficients  $F_n$  are given by

$$\hat{\sigma}(a(p_1)+b(p_2) \rightarrow (Q\overline{Q})_n \rightarrow H+X)$$

$$= \frac{\hat{\sigma}'_n}{M_Q} \frac{\langle 0|\mathcal{O}_n^H|0\rangle}{2J+1}$$

$$= \frac{\hat{\sigma}'_n M_Q^{d_n-5}}{M_Q^{d_n-4}} \frac{\langle 0|\mathcal{O}_n^H|0\rangle}{2J+1}, \quad (2.35)$$

$$F_n = \hat{\sigma}'_n \times M_Q^{d_n - 5} \,. \tag{2.36}$$

In case of  $\gamma p$  scattering, we should convolute the above result with the parton structure functions to get the cross section:

$$\sigma(a(p_1)+b(p_2)\to (QQ)_n\to H+X)$$

$$=\frac{1}{M_Q}\sum_b \sigma'_n(b)\times \frac{\langle 0|\mathcal{O}_n^H|0\rangle}{2J+1},$$
(2.37)

where

$$\sigma'_{n}(b) = \int dx f_{b/p}(x) \hat{\sigma}'_{n} = \frac{\pi}{16C_{n}M_{Q}^{4}} [xf_{b/p}(x)]_{x=4M_{Q}^{2}/s}$$
$$\times \overline{\sum} |\mathcal{M}'_{L}(a+b \to (Q\overline{Q})_{n})|^{2}.$$
(2.38)

For the case of  $2\rightarrow 2$  process, we need to modify the formulas only a little. The quarkonium *H* photoproduction cross section via  $2\rightarrow 2$  subprocess  $a(p_1)+b(P_2)/p\rightarrow (Q\overline{Q})_n(P)$ + $c(p_3)\rightarrow H+X$  (with *b* being a parton of the initial proton) is given by



FIG. 1. Feynman diagrams for the color-singlet and the color-octet subprocess  $\gamma + g \rightarrow (c \overline{c})_{1,8}({}^{3}S_{1}) + g$ .

$$d\sigma(a(p_1)+b(P_2)/p)$$
  

$$\rightarrow (Q\overline{Q})_n(P)+c(p_3)\rightarrow H+X)$$
  

$$=\frac{1}{C_nM_Q}\cdot\frac{1}{16\pi\delta^2}\overline{\sum} |\mathcal{M}'((p_1)+b(P_2))|$$
  

$$\rightarrow (Q\overline{Q})_n(P)+c(p_3))|^2\frac{xf_{b/p}(x)}{z(1-z)}dzdP_T^2.$$
(2.39)

For example, if we consider the  $J/\psi$  production via  ${}^{1}S_{0}^{(8)}$ ,  ${}^{3}S_{1}^{(8)}$ ,  ${}^{3}P_{0}^{(8)}$ ,  ${}^{3}P_{1}^{(8)}$ , and  ${}^{3}P_{2}^{(8)}$  intermediate states, we get

$$\begin{split} \hat{\sigma}(H) &= \frac{1}{M_{Q}} \left( \hat{\sigma}'({}^{1}S_{0}^{(8)}) \times \langle 0 | \mathcal{O}^{\psi}({}^{1}S_{0}^{(8)}) | 0 \rangle + \hat{\sigma}'({}^{3}S_{1}^{(8)}) \\ &\times \frac{\langle 0 | \mathcal{O}^{\psi}({}^{3}S_{1}^{(8)}) | 0 \rangle}{3} + \sum_{J} \hat{\sigma}'({}^{3}P_{J}^{(8)}) \\ &\times \frac{\langle 0 | \mathcal{O}^{\psi}({}^{3}P_{J}^{(8)}) | 0 \rangle}{2J + 1} \right) \\ &= \frac{1}{M_{Q}} \left[ \langle 0 | \mathcal{O}^{\psi}({}^{1}S_{0}^{(8)}) | 0 \rangle \times (\hat{\sigma}'({}^{1}S_{0}^{(8)}) + \hat{\sigma}'({}^{3}S_{1}^{(8)})) \\ &+ \langle 0 | \mathcal{O}^{\psi}({}^{3}P_{0}^{(8)}) | 0 \rangle \times \sum_{J} \hat{\sigma}'({}^{3}P_{J}^{(8)}) \right]. \end{split}$$
(2.40)

### III. $J/\psi$ PHOTOPRODUCTION SUBPROCESSES

## A. Color-singlet contributions

The inelastic  $J/\psi$  photoproduction has long been studied in the framework of PQCD and the color-singlet model [1,15]. The lowest order subprocess at the parton level for  $\gamma + p \rightarrow J/\psi + X$  is the  $\gamma$ -gluon fusion at the short distance scale (Fig. 1),

$$\gamma + g \to (c \bar{c})({}^{3}S_{1}^{(1)}) + g,$$
 (3.1)

followed by the long distance process

$$(c\bar{c})({}^{3}S_{1}^{(1)}) \rightarrow J/\psi,$$
 (3.2)

at the order of  $O(\alpha \alpha_s^2 v^3)$  in the nonrelativistic limit. Thus, the production cross section is proportional to the gluon distribution inside the proton. This is why the  $J/\psi$  photoproduction has been advocated as a clean probe for the gluon structure function of a proton in the color-singlet model. Without further details, we show the lowest order color-singlet contribution to  $J/\psi$  photoproduction through  $\gamma$ -gluon fusion in the nonrelativistic limit:

$$\sum |\mathcal{M}(\gamma_g \to J/\psi_g)|^2 = \mathcal{N}_1 \frac{\hat{s}^2 (\hat{s} - 4M_c^2)^2 + \hat{t}^2 (\hat{t} - 4M_c^2)^2 + \hat{u} (\hat{u} - 4M_c^2)^2}{(\hat{s} - 4M_c^2)^2 (\hat{t} - 4M_c^2)^2 (\hat{u} - 4M_c^2)^2},$$
(3.3)

where

$$z = \frac{E_{\psi}}{E_{\gamma}}|_{\text{lab}} = \frac{p_N \cdot P}{p_N \cdot k},$$
  

$$\hat{s} = (k+q_1)^2 = xs,$$

$$\hat{t} = (P-k)^2 = (z-1)\hat{s}.$$
(3.4)

The overall normalization  $\mathcal{N}_1$  is defined as

$$\mathcal{N}_1 = \frac{32}{9} (4\pi\alpha_s)^2 (4\pi\alpha) e_c^2 M_c^3 G_1(J/\psi).$$
(3.5)

The parameter  $G_1(J/\psi)$ , which is defined as

$$G_{1}(J/\psi) = \frac{\langle J/\psi | \mathcal{O}_{1}({}^{3}S_{1}) | J/\psi \rangle}{M_{c}^{2}}$$
(3.6)

in the NRQCD, is proportional to the probability that a colorsinglet  $c\overline{c}$  pair in the  ${}^{3}S_{1}^{(1)}$  state to form a physical  $J/\psi$  state. It is related with the leptonic decay via

$$\Gamma(J/\psi \to l^+ l^-) = \frac{2}{3} \pi e_c^2 \alpha^2 G_1(J/\psi), \qquad (3.7)$$

to the lowest order in  $\alpha_s$ . From the measured leptonic decay rate of  $J/\psi$ , one can extract

$$G_1(J/\psi) \approx 106 \text{ MeV},$$
 (3.8)

Including the radiative corrections of  $O(\alpha_s)$  with  $\alpha_s(M_c) = 0.27$ , it is increased to  $\approx 184$  MeV. Relativistic corrections tend to increase  $G_1(J/\psi)$  further to  $\sim 195$  MeV [10].

The partonic cross section for  $\gamma + a \rightarrow J/\psi + b$  is given by

$$\frac{d\hat{\sigma}}{d\hat{t}} = \frac{1}{16\pi\hat{s}^2} \overline{\sum} |\mathcal{M}(\gamma + a \to J/\psi + b)|^2.$$
(3.9)

The double differential cross section is

(3.10)

$$\frac{d^2\sigma}{dzdP_T^2}(\gamma + p(p_N) \rightarrow J/\psi(P,\epsilon) + X)$$
$$= \frac{xg(x,Q^2)}{z(1-z)} \frac{1}{16\pi\hat{s}^2} \overline{\sum} |\mathcal{M}|^2(\hat{s},\hat{t}),$$

where

$$x = \frac{\hat{s}}{s} = \frac{1}{zs} \left[ M_{\psi}^2 + \frac{P_T^2}{1 - z} \right].$$
 (3.11)

One has the following constraints for x, z, t, and  $P_T^2$ :

$$\frac{M_{\psi}^2}{s} < x < 1, \tag{3.12}$$

$$-(\hat{s}-M_{\psi}^{2}) \leq \hat{t}(=t) \leq 0,$$
 (3.13)

$$M_{\psi}^{2} \leqslant \frac{M_{\psi}^{2}}{z} + \frac{P_{T}^{2}}{z(1-z)} \leqslant s.$$
 (3.14)

The z and  $P_T^2$  distributions can be obtained in the following manner:

$$\frac{d\sigma}{dz} = \int_{0}^{(1-z)(zs-M_{\psi}^{2})} \frac{d^{2}\sigma}{dzdP_{T}^{2}} dP_{T}^{2}, \qquad (3.15)$$

$$\frac{d\sigma}{dP_T^2} = \int_{z_{\min}}^{z_{\max}} \frac{d^2\sigma}{dz dP_T^2} dz, \qquad (3.16)$$

$$z_{\max} = \frac{1}{2s} (s + M_{\psi}^2 + \sqrt{(s - M_{\psi}^2)^2 - 4sP_T^2}), \quad (3.17)$$

$$z_{\min} = \frac{1}{2s} (s + M_{\psi}^2 - \sqrt{(s - M_{\psi}^2)^2 - 4sP_T^2}). \quad (3.18)$$

There are two kinds of corrections to the lowest order result in the color-singlet model, (3.1): the relativistic corrections of  $O(v^2)$  and the PQCD radiative corrections of  $O(\alpha_s)$  relative to the lowest order result shown in Eq. (3.1). We briefly summarize both types of corrections in the rest of this subsection, since they have to be included in principle for consistency, when one includes the color-octet mechanism in many cases.

The relativistic corrections to the  $\gamma$ -gluon fusion were studied by Jung *et al.* [15]. They found that relativistic corrections are important for high z > 0.9 at European Muon Collaboration (EMC) energy ( $\sqrt{s_{\gamma p}} \approx 14.7$  GeV). Since it mainly affects the high *z* region only, we neglect the relativistic corrections, keeping in mind that it enhances the cross section at large z > 0.9.

The radiative corrections to the  $J/\psi$  photoproduction is rather important in practice. This calculation has been done recently in Ref. [16], and the scale dependence of the lowest order result [ $Q^2$  in the structure function in Eq. (3.10)] becomes considerably reduced. For the EMC energy region, the K factor is rather large,  $K \sim 2$ . For HERA, it depends on the cuts in z and  $P_T^2$  We include the radiative corrections in



FIG. 2. Feynman diagrams for the color-octet subprocess  $\gamma + g \rightarrow (c\bar{c})_8 ({}^1S_0 \text{ or } {}^3P_J)$ .

terms of a K factor suitable to the energy range we consider. Another consequence of the radiative corrections to the color-singlet  $J/\psi$  photoproduction is that the PQCD becomes out of control for z>0.9 at EMC energy. For HERA, one gets reasonable results in PQCD when one imposes the following cuts in z and  $P_T^2$ : z<0.8 and  $P_T^2>1$  GeV<sup>2</sup>. Thus, it does not make much sense to talk about the z or  $p_T$  distributions for such z region in PQCD. One has to introduce cuts in z as well as in  $p_T$ . Following Ref. [16], we adopt the following sets of cuts:

$$z < 0.9$$
 for EMC, (3.19)

$$0.2 < z < 0.8$$
 for HERA. (3.20)

At HERA energies, the lower cut in z(z>0.2) is employed in order to reduce backgrounds from the resolved photon process and the *b* decays into  $J/\psi$ . For these cuts, the *K* factor is approximately  $K \approx 1.8$  both at HERA and the fixed target experiments. We include these radiative corrections to the subprocess (3.1) in Sec. IV A by setting  $K \approx 1.8$ .

# B. Color-octet contributions to $2 \rightarrow 1$ subprocesses

Let us consider color-octet contributions to the  $2 \rightarrow 1$  sub-processes via

$$\gamma(k) + g_a^*(g) \to (c\bar{c})[{}^{2S+1}L_J^{(8b)}](P),$$
 (3.21)

followed by  $(c\bar{c})_8$  fragmenting into  $J/\psi$  with emission of soft gluons. This subprocess occurs at  $O(\alpha \alpha_s v^7)$ . Here, a,b are color indices for the initial gluon and the final coloroctet  $c\bar{c}$  state, and we are interested in S=L=J=0 and S=L=1, J=0,1,2. There are two diagrams representing the vertex, as shown in Fig. 2. Here we consider the process where only the gluon is off shell. Following the conventions adopted in the previous section, we first write the matrix  $\mathcal{O}$ related to this effective vertex:

$$\mathcal{O}(P,q) = \frac{e e_c g_s \delta^{ab}}{\sqrt{2}} \bigg[ \boldsymbol{\ell}^{\gamma} \frac{\boldsymbol{P}/2 + \boldsymbol{q} - \boldsymbol{k} + M_c}{(P/2 + q - k)^2 - M_c^2} \boldsymbol{\ell}^{g} + \boldsymbol{\ell}^{g} \frac{\boldsymbol{P}/2 + \boldsymbol{q} - \boldsymbol{g} + M_c}{(P/2 + q - g)^2 - M_c^2} \boldsymbol{\ell}^{\gamma} \bigg].$$
(3.22)

With this matrix  $\mathcal{O}$  we can derive the effective vertices for the  $\gamma g(c\overline{c})^{2S+1}L_J^{(8)}$  as

$$\mathcal{M}'({}^{1}S_{0}^{(8)}) = 4i \frac{ee_{c}g_{s}}{g^{2} - 4M_{c}^{2}} \delta^{ab} \epsilon^{\mu\nu\kappa\lambda} \epsilon^{\gamma}_{\mu} \epsilon^{g}_{\nu} P_{\kappa} k_{\lambda}, \quad (3.23)$$

$$\mathcal{M}'({}^{3}S_{1}^{(8)}) = 0, \qquad (3.24)$$
$$\mathcal{M}'({}^{3}P_{0}^{(8)}) = \frac{2ee_{c}g_{s}\delta^{ab}}{\sqrt{3}M_{c}} \left(\frac{g^{2} - 12M_{c}^{2}}{g^{2} - 4M_{c}^{2}}\right) \times \left(g^{\mu\nu} + 2\frac{P^{\mu}k^{\nu}}{g^{2} - 4M_{c}^{2}}\right)\epsilon_{\mu}^{\gamma}\epsilon_{\nu}^{g}, \qquad (3.25)$$

$$\mathcal{M}'({}^{3}P_{1}^{(8)}) = \frac{\sqrt{2}ee_{c}g_{s}\delta^{ab}}{M_{c}^{2}(g^{2}-4M_{c}^{2})} \left(g^{2}\epsilon^{\mu\nu\alpha\tau}+2k_{\kappa}\right)$$
$$\times \frac{g^{2}(P^{\mu}\epsilon^{\nu\alpha\kappa\tau}-P^{\nu}\epsilon^{\mu\alpha\kappa\tau})+4g^{\nu}M_{c}^{2}\epsilon^{\mu\alpha\kappa\tau}}{g^{2}-4M_{c}^{2}}\right)$$
$$\times \epsilon_{\alpha}(J_{z})\epsilon_{\mu}^{\gamma}\epsilon_{\nu}^{g}P_{\tau}, \qquad (3.26)$$

$$\mathcal{M}'({}^{3}P_{2}^{(8)}) = \frac{16ee_{c}g_{s}\delta^{ab}}{g^{2} - 4M_{c}^{2}}M_{c}\left(g^{\mu\alpha}g^{\nu\beta} + 2k^{\alpha}\frac{k^{\beta}g^{\mu\nu} + P^{\mu}g^{\nu\beta} - k^{\nu}g^{\mu\beta}}{g^{2} - 4M_{c}^{2}}\right)\epsilon_{\alpha\beta}(J_{z})\epsilon_{\mu}^{\gamma}\epsilon_{\nu}^{g}.$$

$$(3.27)$$

Since  $J/\psi$  can be produced via the 2 $\rightarrow$ 1 subprocesses with these effective vertices, we can obtain the 2 $\rightarrow$ 1 color-octet contribution by using the following average squared amplitudes as<sup>2</sup>

$$\overline{\sum} |\mathcal{M}'({}^{1}S_{0}^{(8)})|^{2} = 2(ee_{c}g_{s})^{2}, \qquad (3.28)$$

$$\sum |\mathcal{M}'({}^{3}S_{1}^{(8)})|^{2} = 0, \qquad (3.29)$$

$$\overline{\sum} |\mathcal{M}'({}^{3}P_{0}^{(8)})|^{2} = \frac{6}{M_{c}^{2}} (ee_{c}g_{s})^{2}, \qquad (3.30)$$

$$\overline{\sum} |\mathcal{M}'({}^{3}P_{1}^{(8)})|^{2} = 0, \qquad (3.31)$$

$$\overline{\sum} |\mathcal{M}'({}^{3}P_{2}^{(8)})|^{2} = \frac{8}{M_{c}^{2}} (ee_{c}g_{s})^{2}.$$
(3.32)

The  $J/\psi$  photoproduction cross section via  $2 \rightarrow 1$  process can be obtained from Eq. (2.40), assuming heavy quark spin symmetry:

$$\sigma(\gamma + p \to (c \bar{c})^{(8)} \to \psi)$$

$$= \frac{7 \pi (ee_c g_s)^2}{64M_c^5} [xf_{g/p}(x)]_{x=4M_c^2/s}$$

$$\times \left( \frac{\langle 0|\mathcal{O}^{\psi}({}^3P_0^{(8)})|0\rangle}{M_c^2} + \frac{\langle 0|\mathcal{O}^{\psi}({}^1S_0^{(8)})|0\rangle}{7} \right).$$
(3.33)



FIG. 3. Feynman diagrams for the color-octet contribution to the resolved photon  $\gamma + g(\text{or } q) \rightarrow (c\overline{c})_8({}^1S_0 \text{ or } {}^3P_J) + g(\text{or } q)$ .

Since  $\hat{\sigma}^{\alpha} \,\delta(1-z)$ , these  $2 \rightarrow 1$  color-octet subprocesses contribute to the elastic peak in the  $J/\psi$  photoproduction. It is timely to recall that the color-singlet model with relativistic corrections still underestimates the cross section for  $z \ge 0.9$  by an appreciable amount [15]. As  $z \rightarrow 1$ , the final state gluon in the  $\gamma$ -gluon fusion becomes softer and softer, although this does not cause any infrared divergence in the transition matrix element. Therefore, it would be more meaningful to factorize the effect of this final soft gluon into the color-octet matrix elements,  $\langle O_8^{\psi}({}^1S_0) \rangle$  and  $\langle O_8^{\psi}({}^3P_J) \rangle$ . The color-octet  ${}^1S_0$  and  ${}^3P_J$  states might reduce the gap between the color-singlet prediction and the experimental value of  $d\sigma/dz$  for  $0.9 \le z \le 1$ .

### C. Color-octet contributions to the $2 \rightarrow 2$ subprocesses

The color-octet  $2 \rightarrow 1$  subprocess (3.21) considered in the previous subsection not only contributes to the elastic peak of the  $J/\psi$  photoproduction, but it also contributes to the resolved photon processes at  $O(\alpha \alpha_s^2 v^7)$ , shown in Fig. 3, where the initial partons can be either gluon or light quarks (q=u,d,s). These diagrams are suppressed by  $v^4$  but enhanced by  $1/\alpha_s$ , relative to the resolved photon process in the color-singlet model. Also, the jet structures from Fig. 3 are different from that from the resolved photon process in the color-singlet model. They can enhance the high $p_T J/\psi$ 's, which might be relevant to the  $J/\psi$  photoproduction at HERA. This can be a background to the determination of gluon distribution function of a proton, if the cross section is appreciable. The resolved photon process in the colorsinglet model is dominant over the  $\gamma$ -gluon fusion in the lower z region, z < 0.2, and it can be discarded by a suitable cut on z. Since the color-octet contribution to the resolved photon process has not been studied in the literature, we address this issue in this subsection. When one considers Fig. 3, one has to include Fig. 1 with  $(c\bar{c})_8$  simultaneously, since both are the same order of  $O(\alpha \alpha_s^2 v^7)$ . This diagram is the same as the color-singlet case except for the color factor of the  $(c\overline{c})$  state.

It is straightforward, although lengthy, to calculate the amplitudes for the processes shown in Figs. 1 and 3. Using REDUCE in order to perform the spinor algebra in a symbolic manner, we can get the averaged  $\mathcal{M}$  squared for various  $2\rightarrow 2$  processes. Since the full expressions are rather involved, they are shown in the Appendix.

Another color-octet  $(c\overline{c})({}^{3}S_{1}^{(8)})$  contribution to the  $J/\psi$ -photoproduction comes from the Compton-scattering-type subprocesses (see Fig. 4):

<sup>&</sup>lt;sup>2</sup>Our results agree with those obtained in Refs. [12,13]. Note, however, that our convention of the invariant matrix is different from theirs.



FIG. 4. Feynman diagrams for the color-octet  $2 \rightarrow 2$  subprocess,  $\gamma + q \rightarrow (c\bar{c})_8 ({}^3S_1) + q$  with q = u, d, s.

$$\gamma(k,\epsilon) + q(p_1) \rightarrow (c\,\overline{c})({}^3S_1^{(8a)})(P,\epsilon^*) + q(p_2),$$
(3.34)

where *P* and  $\epsilon^*$  are the four momentum and the polarization vector of the  ${}^{3}S_{1}$  color-octet state, and *a* is its color index. This subprocess, if important, can be a background to the determination of the gluon distribution function in a proton, since it is initiated by light quarks. From the naive power counting, however, we infer this subprocess occurs at  $O(\alpha \alpha_{s}^{2})$  in the coupling constant expansion, and also suppressed by  $v^{4}$  compared to the color-singlet contribution (3.1) due to its color-octet nature. Thus, this subprocess is expected to be negligible.

One can actually quantify this argument by explicitly evaluating the Feynman diagrams shown in Fig. 4. The effective vertex for  $q\bar{q} \rightarrow (c\bar{c})({}^{3}S_{1}^{(8a)})$  is given by (Fig. 5) [4]:

$$\mathcal{M}'(q(p_1)\overline{q}(p_2) \to (c\overline{c})({}^3S_1^{(8a)}))$$
  
=  $\frac{4\pi\alpha_s}{2M_c}\overline{v}(p_2)\gamma^{\mu}T^a u(p_1)\epsilon^*_{\mu}(p_1+p_2,S_z),$   
(3.35)

where  $\epsilon_{\mu}^{*}$  is the polarization of the produced spin-1 color octet object. Using this effective vertex, one can calculate the amplitude for the Feynman diagrams shown in Fig. 4:

$$\mathcal{M}'(\gamma q \to (c \bar{c})({}^{3}S_{1}^{(8a)})q) = -\frac{g_{s}^{2}ee_{q}}{2M_{c}}\bar{u}(p_{2}) \bigg[ \boldsymbol{\xi}^{*}(P,S_{z})T_{a}\frac{\boldsymbol{k}+\boldsymbol{p}_{1}+M_{c}}{(\boldsymbol{k}+p_{1})^{2}-M_{c}^{2}}\boldsymbol{\xi}_{\gamma} + \boldsymbol{\xi}_{\gamma}\frac{\boldsymbol{p}_{1}-\boldsymbol{P}+M_{c}}{(p_{1}-P)^{2}-M_{c}^{2}}\boldsymbol{\xi}^{*}(P,S_{z})T_{a}\bigg]u(p_{1}),$$
(3.36)



FIG. 5. A Feynman diagram for  $q\bar{q} \rightarrow (c\bar{c})_8({}^3S_1)$ .

where  $ee_q$  is the electric charge of the light quark inside proton (q=u,d,s). The average amplitude squared for the color-octet  ${}^{3}S_{1}$  state is given by

$$\sum |\mathcal{M}'(\gamma q \to (c\bar{c})({}^{3}S_{1}^{(8)})q)|^{2}$$
$$= -\frac{2}{3M_{c}^{2}}(g_{s}^{2}ee_{q})^{2}\left(\frac{\hat{s}}{\hat{u}} + \frac{\hat{u}}{\hat{s}} + 8\frac{M_{c}^{2}\hat{t}}{\hat{s}\hat{u}}\right). \quad (3.37)$$

This completes our discussions on the color-octet  $2 \rightarrow 2$  subprocess for  $J/\psi$  photoproductions.

#### **IV. NUMERICAL RESULTS**

#### A. $J/\psi$ photoproductions at fixed targets and HERA

Now, we are ready to show the numerical results using the analytic expressions obtained in the previous section. Let us first summarize the input parameters and the structure functions we will use in the following. The results are quite sensitive to the numerical values of  $\alpha_s$  and  $m_c$  and the factorization scale Q. We shall use  $\alpha_s(M_c^2) = 0.3$ ,  $m_c = 1.48$ GeV and  $Q^2 = (2m_c)^2$ . For the structure functions, we use the most recent ones, Martin-Roberts-Stirling set A (MRSA) [17] and CTEO3M [18], which incorporate the new data from HERA [19], on the lepton asymmetry in W-boson production [20] and on the difference in Drell-Yan cross sections from proton and neutron targets [21]. For the  $2 \rightarrow 1$ , we show results using both structure functions. For the  $2 \rightarrow 2$ case, we show the results with the CTEQ3M structure functions only, since the MRSA structure functions yield almost the same results within  $\sim 10\%$  or so.

Let us first consider the  $J/\psi$  photoproduction via the color-octet  $2 \rightarrow 1$  subprocess considered in Sec. III B. Since the subprocess cross section (3.33) vanishes except at z=1, one can infer that it contributes to the  $J/\psi$  photoproductions in the forward direction  $(z \sim 1, P_T^2 \simeq 0)$ . In Figs. 6(a) and 6(b), we show the  $J/\psi$  photoproduction cross section in the forward direction ( $\sigma_{\text{forward}}$ ) as well as the data from the fixed target experiments and the preliminary data from H1 at HERA, respectively. In each case, the upper and the lower curves define the region allowed by relation (1.4) for two color-octet matrix elements,  $\langle 0 | O_8^{\psi}({}^3S_1) | 0 \rangle$ and  $\langle 0 | O_8^{\psi}({}^3P_0) | 0 \rangle$ . In the case of fixed target experiments,  $\sigma_{\text{forward}}$  is usually characterized by z > 0.9, with the remainder being associated with the inelastic  $J/\psi$  photoproduction. According to this criterion, the experimental value of  $\sigma_{\text{expt}}(\gamma + p \rightarrow J/\psi + X)$  contains contributions from inelastic production of  $J/\psi$ 's. Thus, the data should lie above the predictions from the color-octet  $2 \rightarrow 1$  subprocess, Eq. (3.21). Figure 6(a) shows that the situation is opposite to this expectation. Color-octet contributions are larger than the data, which indicates that the numerical values of the color-octet matrix elements are probably too large. At HERA, one has the elastic  $J/\psi$  photoproduction data, which can be identified with the color-octet  $2 \rightarrow 1$  subprocess. By saturating relation (1.4) by either color-octet matrix element, we get the  $J/\psi$ photoproduction cross section in the forward direction [Fig. 6(b)]. We observe again that the color-octet contribution with Eq. (1.4) overestimates the cross section by a large



FIG. 6. (a) The cross sections for  $\gamma + p \rightarrow J/\psi + X$  in the forward direction at the fixed target experiments as a function of  $E_{\gamma}$ . The solid and the dashed curves were obtained using the CTEQ3M and the MRSA structure functions. Here, TOT<sub>s</sub> is the  ${}^{1}S_{0}^{(8)}$  saturated curve and TOT<sub>p</sub> is the  ${}^{3}P_{J}^{(8)}$  saturated one. (b) The cross sections for  $\gamma + p \rightarrow J/\psi + X$  in the forward direction at HERA as a function of the square root of  $s_{\gamma p}$ . The solid and the dashed curves were obtained using the CTEQ3M and the MRSA structure functions. Here, TOT<sub>s</sub> is the  ${}^{1}S_{0}^{(8)}$  saturated curve and TOT<sub>p</sub> is the  ${}^{3}P_{J}^{(8)}$  saturated one. Here, TOT<sub>s</sub> is the  ${}^{1}S_{0}^{(8)}$  saturated curve and TOT<sub>p</sub> is the  ${}^{3}P_{J}^{(8)}$  saturated one.

amount. This disagreement can arise from two sources: (i) the radiative corrections to  $p\overline{p} \rightarrow J/\psi + X$ , which were ignored in Ref. [5], are important, and/or (ii) the heavy quark spin symmetry for  $\langle 0|O_8^{\psi}({}^3P_J)|0\rangle \approx (2J+1)\langle 0|O_8^{\psi}({}^3P_0)|0\rangle$  may not be a good approximation. Although the heavy quark spin symmetry relation is used quite often in heavy quarkonium physics, it may be violated by a considerable amount [10].

Recently, Amundson *et al.* performed the  $\chi^2$  fit to the available fixed target experiments and the HERA data independently, and found that [13]

$$\langle 0|O_8^{\psi}({}^1S_0)|0\rangle + \frac{7}{M_c^2} \langle 0|O_8^{\psi}({}^3P_0)|0\rangle$$
  
=(0.020±0.001) GeV<sup>3</sup>, (4.1)

using the MRSA<sup>(')</sup> and CTEQ3M structure functions with  $\alpha_s(2M_c) = 0.26$  and  $M_c = 1.5$  GeV. This determination is not



FIG. 7. (a) The differential cross sections  $d\sigma/dz$  for  $\gamma + p \rightarrow J/\psi + X$  at EMC as a function of  $z \equiv E_{J/\psi}/E_{\gamma}$ . The singlet contributions are in the thick dotted curve, the color-octet  ${}^{1}S_{0}$  contributions in the thick dashed curve (with  $\langle O_8^{\psi}({}^1S_0)\rangle$ =6.6×10<sup>-2</sup> GeV<sup>3</sup>), and the color-octet  ${}^{3}P_{J}$  contributions in the thin dashed curve [with  $\langle O_8^{\psi}({}^{3}P_J)\rangle/M_c^2 = 2.2 \times 10^{-2}$  GeV<sup>3</sup>]. The total is shown in the solid curve. Relation (1.4) allows the region between two solid curves. Here,  $TOT_s$  is the  ${}^{1}S_0^{(8)}$  saturated curve and TOT<sub>p</sub> is the  ${}^{3}P_{J}^{(8)}$  saturated one. (b) The differential cross sections  $d\sigma/dz$  for  $\gamma + p \rightarrow J/\psi + X$  at HERA as a function of  $z \equiv E_{I/\mu}/E_{\gamma}$ . The singlet contributions are in the thick dotted curve, the color-octet  ${}^{1}S_{0}$  contributions in the thick dashed curve [with  $\langle O_8^{\psi}({}^1S_0)\rangle = 6.6 \times 10^{-2} \text{ GeV}^3$ ], and the color-octet  ${}^3P_J$  contributions in the thin dashed curve [with  $\langle O_8^{\psi}({}^3P_J)\rangle/M_c^2$  $=2.2\times10^{-2}$  GeV<sup>3</sup>]. The total is shown in the solid curve. Relation (1.4) allows the region between two solid curves. Here, TOT<sub>s</sub> is the  ${}^{1}S_{0}^{(8)}$  saturated curve and TOT<sub>p</sub> is the  ${}^{3}P_{J}^{(8)}$  saturated one.

compatible with relation (1.4), since the resulting  $\langle O_8({}^3P_0) \rangle$  is negative. This is another way to say that the determination of the color-octet matrix elements from the  $J/\psi$  productions at the Tevatron may not be that reliable. In fact, this is not very surprising, since the radiative corrections to the lowest-order color-singlet contributions to the  $J/\psi$  hadroproductions are not included yet.

Next, we consider the  $J/\psi$  photoproduction through  $2\rightarrow 2$  parton-level subprocesses. As discussed at the end of Sec. III A, the PQCD corrections to the lowest order  $\gamma+g\rightarrow J/\psi+g$  are not under proper control for z>0.9. Therefore, we impose a cut z<0.9 at EMC energy,



FIG. 8. (a) The differential cross sections  $d\sigma/dP_T^2$  for  $\gamma + p \rightarrow J/\psi + X$  at HERA as a function of  $P_T^2$  The singlet contributions in the thick dotted curve, the color-octet  ${}^{1}S_{0}$  contributions in the thick dashed curve [with  $\langle O_8^{\psi}({}^1S_0)\rangle = 6.6 \times 10^{-2} \text{ GeV}^3$ ], and the color-octet  ${}^{3}P_{J}$  contributions in the thin dashed curve [with  $\langle O_8^{\psi}({}^3P_J)\rangle/M_c^2 = 2.2 \times 10^{-2}$  GeV<sup>3</sup>]. The total is shown in the solid curve. Relation (1.4) allows the region between two solid curves. Here, TOT<sub>s</sub> is the  ${}^{1}S_{0}^{(8)}$  saturated curve and TOT<sub>p</sub> is the  ${}^{3}P_{J}^{(8)}$ saturated one. (b) The differential cross sections  $d\sigma/dP_T^2$  for  $\gamma + p \rightarrow J/\psi + X$  at HERA as a function of  $P_T^2$  of  $J/\psi$ . The singlet contributions in the thick dotted curve, the color-octet  ${}^{1}S_{0}$  contributions in the thick dashed curve [with  $\langle O_8^{\psi}({}^1S_0) \rangle$ =  $6.6 \times 10^{-2}$  GeV<sup>3</sup>], and the color-octet  ${}^{3}P_{J}$  contributions in the thin dashed curve [with  $\langle O_8^{\psi}({}^3P_J)\rangle/M_c^2 = 2.2 \times 10^{-2}$  GeV<sup>3</sup>]. The total is shown in the solid curve. Relation (1.4) allows the region between two solid curves. Here,  $TOT_s$  is the  ${}^{1}S_0^{(8)}$  saturated curve and TOT<sub>*p*</sub> is the  ${}^{3}P_{I}^{(8)}$  saturated one.

 $\sqrt{s_{\gamma p}} = 14.7$  GeV, and at HERA with  $\sqrt{s_{\gamma p}} = 100$  GeV, we impose cuts on z and  $P_T^2$  [16]:

$$0.2 < z < 0.8$$
,  $P_T^2 > 1 \,\text{GeV}^2$ .

In both cases, we set  $K \approx 1.8$ .

In Figs. 7(a) and 7(b), we show the  $d\sigma/dz$  distributions of  $J/\psi$  at EMC (NMC) and HERA along with the corresponding data. In both cases, the color-octet  ${}^{3}S_{1}$  contribution (Compton scattering type) is negligible in most regions of z, and thus can be safely neglected. The thick dashed and the thin dashed curves correspond to the cases where relation



FIG. 9. Total inelastic  $J/\psi$  photoproduction cross section for z < 0.8 as a function of the square root of  $s_{\gamma p}$ . The singlet contributions in the thick dotted curve, the color-octet  ${}^{1}S_{0}$  contributions in the thick dashed curve [with  $\langle O_{8}^{\psi}({}^{1}S_{0})\rangle = 6.6 \times 10^{-2} \text{ GeV}^{3}$ ], and the color-octet  ${}^{3}P_{J}$  contributions in the thin dashed curve [with  $\langle O_{8}^{\psi}({}^{3}P_{J})\rangle/M_{c}^{2} = 2.2 \times 10^{-2} \text{ GeV}^{3}$ ]. The total is shown in the solid curve. Relation (1.4) allows the region between two solid curves. Here, TOT<sub>s</sub> is the  ${}^{1}S_{0}^{(8)}$  saturated curve and TOT<sub>p</sub> is the  ${}^{3}P_{J}^{(8)}$  saturated one.

(1.4) is saturated by  $\langle 0|O_8^{\psi}({}^{3}P_J)|0\rangle$  and  $\langle 0|O_8^{\psi}({}^{1}S_0)|0\rangle$ , respectively. The thick and the thin solid curves represent the sum of the color-singlet and the color-octet contributions, in case that relation (1.4) is saturated by  $\langle 0|O_8^{\psi}({}^{1}S_0)|0\rangle$  and  $\langle 0|O_8^{\psi}({}^{3}P_0)|0\rangle$ , respectively. In either case, we observe that the color-octet  ${}^{1}S_0$  and  ${}^{3}P_J$  contributions begin to dominate the color-singlet contributions for z > 0.6, and become too large for high z region considering we have not added the enhancements at high z due to the relativistic corrections. Thus, this behavior of rapid growing at high z does not agree with the data points at EMC and HERA, if we adopt the determination (1.4) by Cho and Leibovich [5].

In Figs. 8(a) and 8(b), we show the  $P_T^2$  distributions of  $J/\psi$  at EMC and HERA, respectively. We find that the coloroctet contributions through  $2\rightarrow 2$  subprocesses become dominant over the color-singlet contributions for most  $P_T^2$ region. Also, the color-octet contributions from  ${}^{1}S_0$  and  ${}^{3}P_J$  are more important than the charm quark fragmentation considered by Godbole *et al.* [22]. However, this situation may be due to the overestimated color-octet matrix elements as alluded in the previous paragraph.

In Fig. 9, we show the inelastic  $J/\psi$  photoproduction cross section as a function of  $\sqrt{s_{\gamma p}}$  with the cut, z < 0.8 and  $P_T^2 > 1 \text{ GeV}^2$ . Again, the color-octet  ${}^3S_1$  contribution is too small, and thus not shown in the figure. Here again, the color-octet  ${}^1S_0$  and  ${}^3P_J$  contributions via  $2 \rightarrow 2$  subprocesses (Fig. 3) dominate the color-singlet contribution, if relation (1.4) is imposed. Although the total seems to be in reasonable agreement with the preliminary H1 data, direct comparison may be meaningful only if the cascade  $J/\psi$ 's from *b* decays have been subtracted out. There are also considerable amount of uncertainties coming from  $M_c$  and  $\alpha_s$ . Therefore, it is sufficient to say that the color-octet  ${}^1S_0$  and  ${}^3P_J$  states dominate the singlet contribution to the  $J/\psi$  photoproduction, if relation (1.4) is imposed.

### **B.** Digression on $B \rightarrow J/\psi + X$

Finding that the color-octet matrix elements determined from  $J/\psi$  productions at the Tevatron seem to be too large in

case of  $J/\psi$  photoproductions at fixed target experiments and HERA, it is timely to reconsider the color-octet contributions to inclusive *B* meson decays into  $J/\psi+X$ . In Ref. [10], a new factorization formula is derived for  $B \rightarrow J/\psi+X$ :

$$\Gamma(B \to J/\psi + X) = \left(\frac{\langle 0|O_1^{J/\psi}({}^{3}S_1)|0\rangle}{3M_c^2} - \frac{\langle 0|P_1^{J/\psi}({}^{3}S_1)|0\rangle}{9M_c^4}\right)(2C_+ - C_-)^2 \left(1 + \frac{8M_c^2}{M_b^2}\right)\hat{\Gamma}_0 + \left(\frac{\langle 0|O_8^{J/\psi}({}^{3}S_1)|0\rangle}{2M_c^2} + \frac{\langle 0|O_8^{J/\psi}({}^{3}P_1)|0\rangle}{M_c^4}\right)(C_+ + C_-)^2 \left(1 + \frac{8M_c^2}{M_b^2}\right)\hat{\Gamma}_0 + \frac{3\langle 0|O_8^{J/\psi}({}^{1}S_0)|0\rangle}{2M_c^2}(C_+ + C_-)^2\hat{\Gamma}_0,$$
(4.2)

with

$$\hat{\Gamma}_{0} \equiv |V_{cb}|^{2} \left(\frac{G_{F}^{2}}{144\pi}\right) M_{b}^{3} M_{c} \left(1 - \frac{4M_{c}^{2}}{M_{b}^{2}}\right)^{2}.$$
(4.3)

Two numbers  $C_+(M_b) \approx 0.87$  and  $C_-(M_b) \approx 1.34$  are the Wilson coefficients of the  $|\Delta B| = 1$  effective weak Hamiltonian. Using relation (1.4), we estimate the above branching ratio to be (for  $\alpha_s(M_{ub}^2) = 0.28$  in Ref. [10])

$$(0.42\% \times 12.8) < B(B \rightarrow J/\psi + X) < (0.42\% \times 13.8)$$
(4.4)

which is larger than the recent CLEO data by an order of magnitude:<sup>3</sup>

$$B_{\text{expt}}(B \rightarrow J/\psi + X) = (0.80 \pm 0.08)\%$$
. (4.6)

The situation is the same for  $B \rightarrow \psi' + X$ . This is problematic, unless these large color-octet contributions are canceled by the color-singlet contributions of higher order in  $O(\alpha_s)$ which were not included in Ref. [10]. If there are no such fortuitous cancellations among various color-octet and the color-singlet contributions, this disaster could be attributed to relation (1.4) being too large compared to the naive velocity scaling rule in NRQCD, as noticed in Ref. [5]. It seems to be crucial to include the higher order corrections of  $O(\alpha_s^4)$ for the color-singlet  $J/\psi$  productions at the Tevatron, which is still lacking in the literature.

# **V. CONCLUSION**

In summary, we considered the color-octet contributions to (i) the subprocess  $\gamma + p \rightarrow J/\psi + X$  through  $\gamma g \rightarrow (c \bar{c})_8 ({}^1S_0 \text{ and } {}^3P_J)$  and the subsequent evolution of  $(c \bar{c})_8$  into a physical  $J/\psi$  with  $z \approx 1$  and  $P_T^2 \approx 0$ , (ii) the subprocesses  $\gamma + g(\text{or }q) \rightarrow (c \bar{c})_8 ({}^1S_0 \text{ or } {}^3P_J) + g(\text{or }q)$ . These are compared with (i) the measured  $J/\psi$  photoproduction cross section in the forward direction, and (ii) the z

$$(0.42\% \times 3.45) \le B(B \rightarrow J/\psi + X) \le (0.42\% \times 5.45),$$
 (4.5)  
although the discrepancy gets milder than case (4.4).

distributions of  $J/\psi$  at EMC and HERA, and the preliminary result on the inelastic  $J/\psi$  photoproduction total cross section at HERA. One finds that the relation (1.4) color octet led to too large contributions of the color-octet  ${}^{1}S_{0}$  and  ${}^{3}P_{J}$ states to the above observables. Especially, the first two observables contradict the observation. This is also against the naive expectation that the color-octet contribution may not be prominent as in the case of the  $J/\psi$  hadroproductions, since they are suppressed by  $v^{4}$  (although enhanced by one power of  $\alpha_{s}$ ) relative to the color-singlet contribution to the  $J/\psi$  photoproduction. It is also pointed out that the same is true of the process  $B \rightarrow J/\psi + X$ , in which relation (1.4) predicts its branching ratio to be too large by an order of magnitude compared with the data.

Therefore, one may conclude that the color-octet matrix elements involving  $(c\bar{c})_8({}^1S_0, {}^3P_J)$  might be overestimated by an order of magnitude. Since relation (1.4) has been extracted by fitting the  $J/\psi$  production at the Tevatron to the lowest order color-singlet and the color-octet contributions, it may be changed when one considers the radiative corrections to the lowest order color-singlet contributions.

While we were finishing our work, there appeared two papers considering the same subject [12,13]. Our results agree with these two works where they overlap.

# ACKNOWLEDGMENTS

This work was supported in part by KOSEF through CTP at Seoul National University. P.K. was supported in part by the Basic Science Research Program, Ministry of Education, Project No. BSRI-95-2425, and in part by Non Directed Research Fund, 1995, Korea Research Foundations.

# APPENDIX: THE INVARIANT AMPLITUDE SQUARED FOR THE 2→2 SUBPROCESSES CONSIDERED IN SEC. III C

In this appendix, we give explicit expressions for the invariant amplitude squared for the color-octet  $2\rightarrow 2$  subprocesses shown in Figs. 1 and 3. The results were obtained using the symbolic manipulations with the aid of REDUCE package. Without further details, we show the results:

<sup>&</sup>lt;sup>3</sup>Even if we use the new determination (4.1) by Amundson *et al.* [13], we still get a large branching ratio:

$$\overline{\sum} |\mathcal{M}'|^2 (\gamma + g \to (c\bar{c})({}^1S_0^{(8)}) + g) = \frac{6\hat{s}\hat{u}(ee_cg_s^2)^2}{\hat{t}(\hat{s}+\hat{t})^2(\hat{t}+\hat{u})^2(\hat{u}+\hat{s})^2} \{4(\hat{s}+\hat{u})^4 - 8\hat{s}\hat{u}(\hat{s}+\hat{u})^2 + 5\hat{s}^2\hat{u}^2 + 2\hat{t}(\hat{s}+\hat{u})[4(\hat{s}+\hat{u})^2 + \hat{s}\hat{u}] + \hat{t}^2(13(\hat{s}+\hat{u})^2 + 2\hat{s}\hat{u}) + 10\hat{t}^3(\hat{s}+\hat{u}) + 5\hat{t}^4\},$$
(A1)

$$\overline{\sum} |\mathcal{M}'|^2 (\gamma + g \to (c \overline{c}) ({}^3P_0^{(8)}) + g) = \frac{2 \hat{s} \hat{u} (e e_c g_s^2)^2}{M_c^2 \hat{t} (\hat{s} + \hat{t})^4 (\hat{t} + \hat{u})^4 (\hat{u} + \hat{s})^4} [9 \hat{s}^2 \hat{u}^2 (\hat{s} + \hat{u})^2 [4 (\hat{s} + \hat{u})^4 - 8 \hat{s} \hat{u} (\hat{s} + \hat{u})^2 + 5 \hat{s}^2 \hat{u}^2] + 12 \hat{t} \hat{s} \hat{u} (\hat{s} + \hat{u}) (6 (\hat{s} + \hat{u})^6 - 6 \hat{s} \hat{u} (\hat{s} + \hat{u})^4 + 5 \hat{s}^2 \hat{u}^2 (\hat{s}^2 + 3 \hat{s} \hat{u} + \hat{u}^2)) + 2 \hat{t}^2 (18 (\hat{s} + \hat{u})^8 + 72 \hat{s} \hat{u} (\hat{s} + \hat{u})^6 - 17 \hat{s}^2 \hat{u}^2 (\hat{s} + \hat{u})^4 + 150 \hat{s}^3 u^3 (\hat{s} + u)^2 + 10 \hat{s}^4 u^4) + 4 \hat{t}^3 (\hat{s} + \hat{u}) (36 (\hat{s} + \hat{u})^6 + 51 \hat{s} \hat{u} (\hat{s} + \hat{u})^4 + 95 \hat{s}^2 \hat{u}^2 (\hat{s} + \hat{u})^2 + 56 \hat{s}^3 \hat{u}^3) + \hat{t}^4 (305 (\hat{s} + \hat{u})^6 + 380 \hat{s} \hat{u} (\hat{s} + \hat{u})^4 + 502 \hat{s}^2 \hat{u}^2 (\hat{s} + \hat{u})^2 + 48 \hat{s}^3 \hat{u}^3) + 4 \hat{t}^5 (\hat{s} + \hat{u}) (116 (\hat{s} + \hat{u})^4 + 113 \hat{s} \hat{u} (\hat{s} + \hat{u})^2 + 58 \hat{s}^2 \hat{u}^2) + 2 \hat{t}^6 (257 (\hat{s} + \hat{u})^4 + 142 \hat{s} \hat{u} (\hat{s} + \hat{u})^2 + 20 \hat{s}^2 \hat{u}^2) + 12 \hat{t}^7 (\hat{s} + \hat{u}) (31 \hat{s}^2 + 70 \hat{s} \hat{u} + 31 \hat{u}^2) + \hat{t}^8 (149 \hat{s}^2 + 314 \hat{s} \hat{u} + 149 \hat{u}^2) + 28 \hat{t}^9 (\hat{s} + \hat{u}) + 4 \hat{t}^{10}],$$
(A2)

$$\overline{\sum} |\mathcal{M}'|^2 (\gamma + g \to (c\bar{c})(^3P_1^{(8)}) + g) = \frac{6(ee_cg_s^2)^2}{M_c^2(\hat{s} + \hat{t})^4(\hat{t} + \hat{u})^4(\hat{u} + \hat{s})^4} [5\hat{u}^4\hat{s}^4(\hat{s} - \hat{u})^2(\hat{s} + \hat{u}) + \hat{t}\hat{s}^3\hat{u}^3(12(\hat{s}^4 + \hat{u}^4) + 21\hat{s}\hat{u}(\hat{s}^2 + \hat{u}^2) + 8\hat{s}^2\hat{u}^2) + 2\hat{t}^2\hat{s}^2\hat{u}^2(\hat{s} + \hat{u})(11(\hat{s}^4 + \hat{u}^4) + 36\hat{s}\hat{u}(\hat{s}^2 + \hat{u}^2) + 30\hat{s}^2\hat{u}^2) + 2\hat{t}^3\hat{s}\hat{u}(6(\hat{s}^6 + \hat{u}^6) + 61\hat{s}\hat{u}(\hat{s}^4 + \hat{u}^4) + 158\hat{s}^2\hat{u}^2(\hat{s}^2 + \hat{u}^2) + 194\hat{s}^3\hat{u}^3) + \hat{t}^4(\hat{s} + \hat{u})(5(\hat{s}^6 + \hat{u}^6) + 66\hat{s}\hat{u}(\hat{s}^4 + \hat{u}^4) + 217\hat{s}^2\hat{u}^2(\hat{s}^2 + \hat{u}^2) + 248\hat{s}^3\hat{u}^3) + \hat{t}^5(17(\hat{s}^6 + \hat{u}^6) + 124\hat{s}\hat{u}(\hat{s}^4 + \hat{u}^4) + 265\hat{s}^2\hat{u}^2(\hat{s}^2 + \hat{u}^2) + 296\hat{s}^3\hat{u}^3) + 2\hat{t}^6(\hat{s} + \hat{u})(11(\hat{s}^4 + \hat{u}^4) + 32\hat{s}\hat{u}(\hat{s}^2 + \hat{u}^2) + 14\hat{s}^2\hat{u}^2) + 2\hat{t}^7(7(\hat{s}^4 + \hat{u}^4) + 12\hat{s}\hat{u}(\hat{s}^2 + \hat{u}^2) + 6\hat{s}^2\hat{u}^2) + \hat{t}^8(\hat{s} + \hat{u})(5(\hat{s}^2 + \hat{u}^2) - 2\hat{s}\hat{u}) + \hat{t}^9(\hat{s}^2 + \hat{u}^2)], \quad (A3)$$

$$\begin{split} \overline{\sum} |\mathcal{M}'|^2 (\gamma + g \rightarrow (c \bar{c}) ({}^3P_2^{(8)}) + g) &= \frac{2(ee_c g_s^2)^2}{M_c^2 \hat{t}(\hat{s} + \hat{t})^4 (\hat{t} + \hat{u})^4 (\hat{u} + \hat{s})^4} [12\hat{u}^3 \hat{s}^3 (\hat{s} + \hat{u})^2 (4(\hat{s}^4 + \hat{u}^4) + 8\hat{s}\hat{u}(\hat{s}^2 + \hat{u}^2) + 13\hat{s}^2 \hat{u}^2) \\ &+ 3\hat{t}\hat{s}^2 \hat{u}^2 (\hat{s} + \hat{u}) (32(\hat{s}^6 + \hat{u}^6) + 144\hat{s}\hat{u}(\hat{s}^4 + \hat{u}^4) + 277\hat{s}^2 \hat{u}^2 (\hat{s}^2 + \hat{u}^2) + 350\hat{s}^3 \hat{u}^3) + \hat{t}^2 \hat{s}\hat{u} (48(\hat{s}^8 + \hat{u}^8) \\ &+ 576\hat{s}\hat{u}(\hat{s}^6 + \hat{u}^6) + 1888\hat{s}^2 \hat{u}^2 (\hat{s}^4 + \hat{u}^4) + 3395\hat{s}^3 \hat{u}^3 (\hat{s}^2 + \hat{u}^2) + 4080\hat{s}^4 \hat{u}^4) + 4\hat{t}^3 \hat{s}\hat{u}(\hat{s} + \hat{u}) (60(\hat{s}^6 + \hat{u}^6) \\ &+ 333\hat{s}\hat{u}(\hat{s}^4 + \hat{u}^4) + 646\hat{s}^2 \hat{u}^2 (\hat{s}^2 + \hat{u}^2) + 804\hat{s}^3 \hat{u}^3) + 4\hat{t}^4 \hat{s}\hat{u} (142(\hat{s}^6 + \hat{u}^6) + 676\hat{s}\hat{u}(\hat{s}^4 + \hat{u}^4) \\ &+ 1398\hat{s}^2 \hat{u}^2 (\hat{s}^2 + \hat{u}^2) + 1733\hat{s}^3 \hat{u}^3) + \hat{t}^5 (\hat{s} + \hat{u}) (9(\hat{s}^6 + \hat{u}^6) + 818\hat{s}\hat{u}(\hat{s}^4 + \hat{u}^4) + 2295\hat{s}^2 \hat{u}^2 (\hat{s}^2 + \hat{u}^2) \\ &+ 3028\hat{s}^3 \hat{u}^3) + \hat{t}^6 (21(\hat{s}^6 + \hat{u}^6) + 716\hat{s}\hat{u}(\hat{s}^4 + \hat{u}^4) + 2163\hat{s}^2 \hat{u}^2 (\hat{s}^2 + \hat{u}^2) + 2944\hat{s}^3 \hat{u}^3) + 2\hat{t}^7 (\hat{s} + \hat{u}) (3(\hat{s}^4 + \hat{u}^4) \\ &+ 136\hat{s}\hat{u}(\hat{s}^2 + \hat{u}^2) + 206\hat{s}^2 \hat{u}^2) - 2\hat{t}^8 (9(\hat{s}^4 + \hat{u}^4) + 14\hat{s}\hat{u}(\hat{s}^2 + \hat{u}^2) + 12\hat{s}^2 \hat{u}^2) - \hat{t}^9 (\hat{s} + \hat{u}) (3\hat{s} + 5\hat{u}) (5\hat{s} + 3\hat{u}) \\ &- \hat{t}^{10} (3\hat{s}^2 + 8\hat{s}\hat{u} + 3\hat{u}^2)], \end{split}$$

$$\overline{\sum} |\mathcal{M}'|^2 (\gamma + q \to (c\bar{c})({}^1S_0^{(8)}) + q) = -\frac{16}{3} (ee_c g_s^2)^2 \frac{\hat{s}^2 + \hat{u}^2}{\hat{t}(\hat{s} + \hat{u})^2},$$
(A5)

$$\overline{\sum} |\mathcal{M}'|^2 (\gamma + q \to (c\bar{c})({}^3P_0^{(8)}) + q) = -\frac{16}{9} (ee_c g_s^2)^2 \frac{(\hat{s}^2 + \hat{u}^2)(\hat{t} - 12M_c^2)^2}{\hat{t}(\hat{s} + \hat{u})^4 M_c^2},$$
(A6)

$$\overline{\sum} |\mathcal{M}'|^2 (\gamma + q \to (c\bar{c})({}^3P_1^{(8)}) + q) = -\frac{32}{3} (ee_c g_s^2)^2 \frac{(\hat{s}^2 + \hat{u}^2)\hat{t} + 16M_c^2 \hat{s}\hat{u}}{(\hat{s} + \hat{u})^4 M_c^2},$$
(A7)

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$$\overline{\sum} |\mathcal{M}'|^2 (\gamma + q \to (c\bar{c})({}^3P_2^{(8)}) + q) = -\frac{32}{9} (ee_c g_s^2)^2 \frac{(\hat{s} + \hat{u})^2 (\hat{t}^2 + 96M_c^4) - 2\hat{s}\hat{u}[(\hat{s} + \hat{u} + 4M_c^2)^2 + 8M_c^2(\hat{s} + \hat{u})]}{\hat{t}(\hat{s} + \hat{u})^4 M_c^2}.$$
 (A8)

Here, the  $e_c = 2/3$  and we have summed over the electric charges of light quarks (q = u, d, s) in the above expressions, assuming  $m_q = 0$ .

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