# Top quark production and decay at next-to-leading order in $e^+e^-$ annihilation

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We study the effects of QCD corrections to the process  $e^+e^- \rightarrow t\bar{t} + X \rightarrow bW^+\bar{b}W^- + X$  above threshold. We show how to treat consistently to  $O(\alpha_s)$  the gluon radiation in both the production and the decay of the top quarks, while maintaining all angular correlations in the event. At this order there is an ambiguity in the event reconstruction whenever a real gluon occurs in the final state. We study the effects of this ambiguity on the top quark mass and helicity angle distributions. For a top quark mass of 175 GeV and collider energy of 400 GeV the gluon radiation is emitted predominantly in the decay of the top quarks. [S0556-2821(96)03013-5]

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## I. INTRODUCTION

Recently, the Collider Detector at Fermilab (CDF) [1] and the D0 [2] Collaborations announced the observation of the top quark in  $p\overline{p}$  collisions at the Tevatron. Both groups saw a statistically significant excess of dilepton and lepton+jets events with the proper kinematic properties and bottom quark tags needed to indicate  $t\bar{t}$  production. Furthermore, they were able to extract mass values for the top quark by fitting to events consisting of a single lepton plus four jets. The D0 group found a mass of  $199^{+19}_{-21} \pm 22$  GeV, while CDF obtained a mass of  $176\pm8\pm10$  GeV. Both of these mass measurements are in excellent agreement with the value of  $175 \pm 11^{+17}_{-19}$  GeV obtained indirectly from a global fit [3] to the electroweak data from the CERN  $e^+e^-$  collider LEP and SLAC. The direct observation of the top quark at the Tevatron heralds the start of a new era in the study of flavor physics.

The top quark is certainly unique among the six known quarks. It is by far the heaviest; more than 30 times as massive as the bottom quark and even more massive than the W and Z bosons. Correspondingly, the top quark also has the largest coupling to the symmetry-breaking sector of all the known particles. This large coupling to the Higgs sector may give rise to deviations from its expected behavior, thereby offering clues to symmetry breaking, fermion mass generation, quark family replication, and other deficiencies of the standard model. For example, in top-color and extended technicolor (ETC) models the top quark may have nonstandard couplings to the weak vector bosons [4] or there may be a resonant enhancement of  $t\bar{t}$  production [5]. It is of utmost importance to examine the top quark properties as precisely as possible.

A more basic consequence of the large top quark mass is its short lifetime. For large mass the lifetime of the top quark scales as  $[1.7 \text{ GeV} \times (m_t/175 \text{ GeV})^3]^{-1}$ , and so the top quark decays very rapidly to a bottom quark and a *W*. Thus, unlike the lighter quarks which form hadronic bound states before decaying, the top quark behaves more like a heavy lepton, decaying as an unbound fermion. In fact, it decays long before depolarization, so that its spin information can be easily reconstructed from the momenta of its decay products. This fact will be extremely useful for extracting information about the top quark parameters.

An ideal place to study the top quark is in  $e^+e^-$  collisions [6,7], where the colorless initial state provides a clean event environment, and there is the possibility of initial-state polarization. By varying the beam energy it is possible to scan the threshold region or to study the top quark above threshold. There have been many studies of top quark production near threshold, where the resonance behavior can be calculated in perturbative QCD and the top quark mass can be obtained to a high accuracy [8]. In this paper we will instead concentrate on the continuum  $t\bar{t}$  production. At the tree level the event is characterized by six final-state particles arising from the process  $e^+e^- \rightarrow t\overline{t} \rightarrow bW^+\overline{b}W^- \rightarrow b\ell^+\nu\overline{b}\ell^-\overline{\nu}$ . These six particles contain a wealth of information in their relative momenta, angles, and polarizations. By reconstructing the helicity angles of the top quarks and the W's, it is straightforward to extract the top quark parameters.

Although the top quark is produced and decays essentially as an unbound fermion, it still feels the strong interactions and will radiate gluons-both in its production phase and its decay phase. Thus, it is useful to see how the tree-level picture and experimental analysis will be affected by QCD corrections. The  $O(\alpha_s)$  corrections to the production have been studied in several papers, including analyses of the effects on production angle distributions [9] and polarizations [10]. Similarly, studies of the  $O(\alpha_s)$  corrections on the top quark decay have been done, with analyses of energy distributions, and angular distributions from polarized top quarks [11]. However, the top quark production and decays do not occur in isolation from each other. For events with an extra gluon jet it is not *a priori* obvious whether to assign the extra jet to the production, to the t decay, or to the  $\overline{t}$  decay. At the very least, the extra jets will add one more degree of complexity to the event reconstruction process. Therefore, it is necessary to assess the impact of these radiative corrections on the full event [12].

To this end we have constructed a next-to-leading order (NLO) Monte Carlo simulation which treats to  $O(\alpha_s)$  the radiative corrections to both production and decay of the top quarks. For consistency we also include the  $O(\alpha_s)$  corrections to the hadronic decay of the W boson. To set the stage for this NLO analysis we begin by reviewing the salient features of the  $e^+e^- \rightarrow t\bar{t}$  event at the tree level using helicity

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decomposition in Sec. II. Then in Sec. III we analyze the processes  $e^+e^- \rightarrow t\overline{t}(g)$ ,  $t \rightarrow bW^+(g)$ , and  $W^+ \rightarrow q\overline{q}(g)$  at next-to-leading order and give the details of the Monte Carlo simulation, describing the approximations used and the methods for subtracting the infrared (IR) divergences in production and in decay. An essential ingredient here is the use of the narrow resonance approximation for the top quark. We also include three appendices with the helicity amplitudes for top quark production and decay and hadronic W boson decay with real gluons. In Sec. IV we use the Monte Carlo simulation to study the effects of gluon radiation on the top quark mass measurement and to reexamine the helicity angle distributions at next-to-leading order. In this section we assume that the only ambiguities are in the placement of the extra gluon jet, treating the W's as stable and the bottom quarks as perfectly identified, and we investigate how the distributions vary with the algorithm used for assigning the gluon jet. Then in Sec. V we make another pass through the mass distributions with more realistic experimental assumptions for the event, also considering the radiation in the hadronic decay of the W bosons. The purpose of this section is to identify which physical inputs have the largest effect on the continuum measurement of the top quark mass. In Sec. VI we offer our conclusions.

## **II. REVIEW OF THE TREE-LEVEL ANALYSIS**

Even at the tree level the full  $e^+e^- \rightarrow t\bar{t}$  event is quite complex. The six-particle final state can be characterized in many possible ways by the relative momenta and angles in the event. It is an important conceptual problem to clarify which pieces of information are most important, and how all of the various kinematic measurements available cooperate to illuminate the basic physics. The solution to this problem is suggested by the fact that the event is actually a series of on-shell, two-body decays:  $\gamma^*, Z^* \rightarrow t\overline{t}, t \rightarrow bW^+$ , and  $W^+ \rightarrow \ell^+ \nu$ . Thus, by considering intermediate states of definite helicities, the event is highly constrained simply by conservation of angular momentum. The different helicity states are revealed by the angular distributions of their decay products, while the relative amplitudes for the different helicity combinations are easily related to the couplings at the top quark production and decay vertices. In this section we describe this tree-level helicity analysis. Although this has been discussed before in the literature, most notably by Kane, Ladinsky, and Yuan [13], we will review it here for pedagogical purposes and to set the notation for the discussion of OCD corrections.

The dominant effects of new physics on the process  $e^+e^- \rightarrow t\overline{t} \rightarrow bW^+\overline{b}W^-$  can be described in terms of form factors included at the production and decay vertices. The  $t \rightarrow bW^+$  decay vertex can be written

$$i\mathcal{M}^{W\mu} = i\frac{g}{\sqrt{2}} \bigg\{ \gamma^{\mu} [F_{1L}^{W} P_L + F_{1R}^{W} P_R] \\ + \frac{i\sigma^{\mu\nu}q_{\nu}}{2m_t} [F_{2L}^{W} P_R + F_{2R}^{W} P_L] \bigg\}, \qquad (1)$$

where  $P_{R,L} = (1 \pm \gamma_5)/2$ , and we have neglected a third pair of form factors which does not contribute to decays to on-

shell W's or massless fermions. We have chosen the subscripts L, R of the form factors so that they indicate the helicity of the outgoing bottom quark in the limit  $m_b = 0$ , which we will use in all of our matrix element calculations. At the tree level in the standard model  $F_{1L}^W = 1$  and all other form factors are zero. In fact,  $F_{1R}^W = F_{2R}^W = 0$  to all orders in the standard model in the limit of massless bottom quark. The top antiquark form factors are identical to these in the limit of *CP* invariance.

Similarly, the  $\gamma, Z \rightarrow t\bar{t}$  production vertices can be written

$$i\mathcal{M}^{i\mu} = ie\left\{\gamma^{\mu}[F^{i}_{1V} + F^{i}_{1A}\gamma_{5}] + \frac{i\sigma^{\mu\nu}q_{\nu}}{2m_{t}}[F^{i}_{2V} + F^{i}_{2A}\gamma_{5}]\right\},$$
(2)

where each form factor can be a function of the center-ofmass energy  $\sqrt{s}$ , the superscript is  $i = \gamma, Z$ , and we have again dropped a third pair of form factors which are unobservable. At the tree level in the standard model  $F_{1V}^{\gamma} = \frac{2}{3}$ ,  $F_{1V}^{Z} = (\frac{1}{4} - \frac{2}{3} s_{W}^{2})/s_{W}c_{W}$ , and  $F_{1A}^{Z} = (-\frac{1}{4})/s_{W}c_{W}$ , and all others are zero. Here,  $s_{W} = \sin\theta_{W}$  and  $c_{W} = \cos\theta_{W}$ . In the limit of *CP* invariance  $F_{2A}^{i} = 0$ . The production analysis is simplified if we consider separately the two possible helicities of the incoming electrons, so that the contributions of the photon and the *Z* add coherently. We define new form factors by

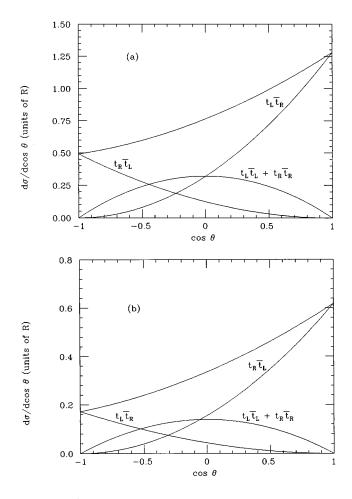


FIG. 1.  $e^+e^- \rightarrow t\bar{t}$  cross section for (a) left-polarized electrons and (b) right-polarized electrons.

$$\mathcal{F}_{ij}^{L} = -F_{ij}^{\gamma} + \left(\frac{-\frac{1}{2} + s_{W}^{2}}{s_{W}c_{W}}\right) \left(\frac{s}{s - m_{Z}^{2}}\right) F_{ij}^{Z}$$

$$\mathcal{F}_{ij}^{R} = -F_{ij}^{\gamma} + \left(\frac{s_{W}^{2}}{s_{W}c_{W}}\right) \left(\frac{s}{s - m_{Z}^{2}}\right) F_{ij}^{Z},$$

$$(3)$$

where the subscripts, i = 1,2 and j = V,A refer to the structure of the form factor, and the superscripts refer to the helicity of the incoming electron.

We are now ready to discuss the helicity angle description of the complete event. As mentioned previously, in the limit of narrow width for the top quark and for the *W*, the event can be considered as a succession of two-body decays. The first process we consider is the decay of the virtual  $\gamma$ ,*Z* boson into the  $t\bar{t}$  pair. Note that the intermediate vector boson receives twice the helicity of the initial electron, along the beam direction. This process can be described in the  $e^+e^$ center-of-momentum frame by two angles, the polar angle  $\theta$  and the azimuthal angle  $\phi$  of the top quark with respect to the electron beam axis. Using the notation  $t_L$  and  $t_R$  to denote the helicities  $h_t = -1/2$  and  $h_t = +1/2$ , we obtain the matrix elements

$$\mathcal{M}(e_{L}\overline{e_{R}} \rightarrow t_{L}\overline{t_{R}}) = [\mathcal{F}_{1V}^{L} - \beta \mathcal{F}_{1A}^{L} + \mathcal{F}_{2V}^{L}](1 + \cos\theta)e^{-i\phi},$$

$$\mathcal{M}(e_{L}\overline{e_{R}} \rightarrow t_{R}\overline{t_{L}}) = [\mathcal{F}_{1V}^{L} + \beta \mathcal{F}_{1A}^{L} + \mathcal{F}_{2V}^{L}](1 - \cos\theta)e^{-i\phi},$$

$$\mathcal{M}(e_{L}\overline{e_{R}} \rightarrow t_{L}\overline{t_{L}}) = \gamma^{-1}[\mathcal{F}_{1V}^{L} + \gamma^{2}(\mathcal{F}_{2V}^{L} + \beta \mathcal{F}_{2A}^{L})](\sin\theta)e^{-i\phi},$$

$$\mathcal{M}(e_{L}\overline{e_{R}} \rightarrow t_{R}\overline{t_{R}}) = \gamma^{-1}[\mathcal{F}_{1V}^{L} + \gamma^{2}(\mathcal{F}_{2V}^{L} - \beta \mathcal{F}_{2A}^{L})](\sin\theta)e^{-i\phi},$$

$$(4)$$

$$\mathcal{M}(e_{R}\overline{e_{L}} \rightarrow t_{L}\overline{t_{R}}) = -[\mathcal{F}_{1V}^{R} - \beta \mathcal{F}_{1A}^{R} + \mathcal{F}_{2V}^{R}](1 - \cos\theta)e^{i\phi},$$

$$\mathcal{M}(e_{R}\overline{e_{L}} \rightarrow t_{R}\overline{t_{L}}) = -[\mathcal{F}_{1V}^{R} + \beta \mathcal{F}_{1A}^{R} + \mathcal{F}_{2V}^{R}](1 + \cos\theta)e^{i\phi},$$

$$\mathcal{M}(e_{R}\overline{e_{L}} \rightarrow t_{L}\overline{t_{L}}) = \gamma^{-1}[\mathcal{F}_{1V}^{R} + \gamma^{2}(\mathcal{F}_{2V}^{R} + \beta \mathcal{F}_{2A}^{R})](\sin\theta)e^{i\phi},$$

$$\mathcal{M}(e_R \overline{e_L} \to t_R \overline{t_R}) = \gamma^{-1} [\mathcal{F}_{1V}^R + \gamma^2 (\mathcal{F}_{2V}^R - \beta \mathcal{F}_{2A}^R)] (\sin \theta) e^{i\phi},$$

where we have removed a factor of  $ie^2$ . Here,  $\beta^2 = (1 - 4m_t^2/s)$  and  $\gamma = \sqrt{s}/(2m_t)$ . For longitudinally polarized beams the  $\phi$  dependence will vanish.

The nice aspect of this helicity formalism is that the angular dependence of each of the amplitudes is determined, up to a relative phase, simply by angular momentum conservation. For instance, in the first matrix element the virtual vector boson has helicity -1 along the electron beam direction, the top quark has helicity -1/2, and the top antiquark has helicity +1/2. To conserve angular momentum the top must move in the electron direction; hence the  $(1 + \cos\theta)$  dependence. By measuring the angular distributions it is straightforward to extract the relative weights for each helicity combination, and thereby obtain the top quark form factors.

As an example, we plot in Fig. 1 the tree-level standard model production cross section as a function of  $\cos\theta$  for a top quark mass of 175 GeV and a collider energy of 400 GeV for polarized electron beams. We have also plotted the helicity subprocesses. Here, we see that the  $e_L$ 's produce

predominantly  $t_L$ 's highly peaked in the forward direction, while  $e_R$ 's produce predominantly  $t_R$ 's peaked in the forward direction. This can easily be understood in the limit of high energy, where the SU(2)<sub>L</sub>×U(1) symmetry is restored and the squared matrix elements become

$$\begin{split} |\mathcal{M}(e_L \overline{e_R} \to t_L \overline{t_R})|^2 &= \left(\frac{1}{4s_W^2} + \frac{1}{12c_W^2}\right)^2 (1 + \cos\theta)^2 \\ &\sim 1.41 (1 + \cos\theta)^2, \\ \mathcal{M}(e_L \overline{e_R} \to t_R \overline{t_L})|^2 &= \left(\frac{1}{3c_W^2}\right)^2 (1 - \cos\theta)^2 \sim 0.19 (1 - \cos\theta)^2, \end{split}$$

$$\mathcal{M}(e_R \overline{e_L} \to t_R \overline{t_L})|^2 = \left(\frac{2}{3c_W^2}\right)^2 (1 + \cos\theta)^2 \sim 0.75(1 + \cos\theta)^2,$$

 $a \setminus 2$ 

$$|\mathcal{M}(e_R \overline{e_L} \rightarrow t_L \overline{t_R})|^2 = \left(\frac{1}{6c_W^2}\right)^2 (1 - \cos\theta)^2 \sim 0.05(1 - \cos\theta)^2,$$

while the remaining matrix elements vanish. Thus, longitudinally polarized electrons are an excellent source of polarized top quarks.

The next stage in the event is the decay of the top quark  $t \rightarrow bW^+$ . This process is most conveniently described in the top quark rest frame obtained from the lab frame by rotating the axes  $-\phi$ , then  $-\theta$ , and then boosting in the direction opposite to the top quark momentum. The helicity angles in this frame are the polar angle  $\chi_t$  and the azimuthal angle  $\psi_t$  of the *W* boson with respect to the top quark momentum axis. Using the notation (L,R,Z) to denote the  $W^+$  helicities (-1,+1,0), we obtain the helicity amplitudes for the lefthanded bottom quarks:

$$\mathcal{M}(t_R \to b_L W_Z^+) = w^{-1} [F_{1L}^W - \frac{1}{2} w^2 F_{2L}^W] \left( \cos \frac{\chi_t}{2} \right) e^{i\psi_t/2},$$
  
$$\mathcal{M}(t_L \to b_L W_Z^+) = w^{-1} [F_{1L}^W - \frac{1}{2} w^2 F_{2L}^W] \left( \sin \frac{\chi_t}{2} \right) e^{-i\psi_t/2},$$
  
$$\mathcal{M}(t_R \to b_L W_L^+) = \sqrt{2} [F_{1L}^W - \frac{1}{2} F_{2L}^W] \left( -\sin \frac{\chi_t}{2} \right) e^{i\psi_t/2}, \quad (6)$$
  
$$\mathcal{M}(t_L \to b_L W_L^+) = \sqrt{2} [F_{1L}^W - \frac{1}{2} F_{2L}^W] \left( \cos \frac{\chi_t}{2} \right) e^{-i\psi_t/2},$$
  
$$\mathcal{M}(t_L \to b_L W_R^+) = \mathcal{M}(t_R \to b_L W_R^+) = 0,$$

where  $w = m_W/m_t$ , and we have dropped an overall factor of  $igm_t(1-w^2)^{1/2}/\sqrt{2}$ . The matrix elements for right-handed bottom quarks are obtained from these by replacing everywhere  $L \leftrightarrow R$ ,  $\psi_t \leftrightarrow -\psi_t$ , and  $\chi_t \leftrightarrow -\chi_t$ .

As before, the angular dependence is exactly what is expected from angular momentum conservation in the decay of a spin-1/2 object. In addition, in the standard model in the limit  $m_b = 0$ , the top quark can only decay to  $b_L$ 's. Therefore, it must decay to  $W_Z^+$ 's in the direction of the top quark spin, to  $W_L^+$ 's in the direction opposite to the top quark spin, but it cannot decay to  $W_R^+$ 's at all. In Fig. 2 we display this by

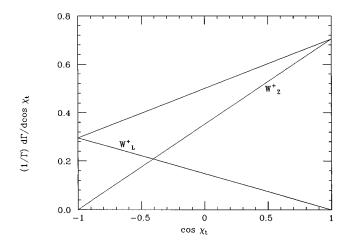


FIG. 2. Polar angle dependence of  $W^+$  from decay of righthanded top quark.

plotting the  $t_R \rightarrow bW^+$  decay distribution as a function of  $\cos \chi_t$ , while also plotting the helicity subprocesses. For increasing top quark mass the distribution becomes more sloped in the forward direction, indicating an increased partial width to  $W_Z^+$ .

The top antiquark decay  $\overline{t} \rightarrow \overline{b}W^-$  can be described in an analogous manner in the top antiquark rest frame, obtained from the lab frame by rotating the axes  $-\phi$ , then  $\pi - \theta$ , and then boosting in the direction opposite to the top antiquark momentum. The helicity angles in this frame are the polar angles of the  $W^-$ ,  $\overline{\chi_t}$ , and  $\overline{\psi_t}$ , with respect to the top antiquark momentum axis. If *CP* is a good symmetry we can obtain the matrix elements using

$$\mathcal{M}(t_h \to b_\rho W_\lambda^+) = \mathcal{M}(\overline{t}_{-h} \to \overline{b}_{-\rho} W_{-\lambda}^-), \tag{7}$$

while replacing  $\chi_t \rightarrow \overline{\chi_t}$  and  $\psi_t \rightarrow -\overline{\psi_t}$ .

The final step in the decay chain is  $W^+ \rightarrow \ell' \nu$ . We work in the  $W^+$  rest frame obtained from the top quark rest frame by rotating the axes  $-\psi_t$ , then  $-\chi_t$ , and then boosting against the  $W^+$  momentum. The helicity angles in this frame are the polar angle  $\chi$  and the azimuthal angle  $\psi$  of the charged lepton with respect to the  $W^+$  momentum axis. For hadronic decays we can just replace  $\ell'^+$  with the antiquark and  $\nu$  with the quark. In the standard model the  $W^+$  can only decay to  $\ell'_R^+ \nu_L$  in the limit of massless leptons. The helicity amplitudes are

$$\mathcal{M}(W_R^+ \to \ell^+ \nu) = \frac{1}{\sqrt{2}} (1 + \cos\chi) e^{i\psi},$$
$$\mathcal{M}(W_Z^+ \to \ell^+ \nu) = \sin\chi, \qquad (8)$$

$$\mathcal{M}(W_L^+ \to \mathscr{C}^+ \nu) = \frac{1}{\sqrt{2}} (1 - \cos \chi) e^{-i\psi},$$

where we have removed a factor of  $igm_W/\sqrt{2}$ . In Fig. 3 we plot the  $\cos\chi$  distribution in the  $W^+ \rightarrow \ell^+ \nu$  decay, along with helicity subprocesses, for  $W^+$  produced in top quark decays. The zero at  $\cos\chi=1$  indicates the absence of right-handed  $W^+$ 's.

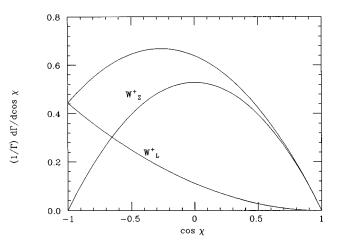


FIG. 3. Polar angle dependence of  $\ell^+$  from decay of  $W^+$  in a  $t\overline{t}$  event.

Last, the decay  $W^- \rightarrow \ell^- \overline{\nu}$  can be described in the  $W^-$  rest frame, obtained from the top quark rest frame by rotating the axes  $-\overline{\psi}_t$ , then  $-\overline{\chi}_t$ , and then boosting against the  $W^-$  momentum. The helicity angles in this frame are the polar angles of the negatively charged lepton,  $\overline{\chi}$ , and  $\overline{\psi}$ , with respect to the  $W^-$  momentum axis. We can obtain the helicity amplitudes from

$$\mathcal{M}(W_{\lambda}^{+} \to \ell^{+} \nu) = \mathcal{M}(W_{-\lambda}^{-} \to \ell^{-} \overline{\nu}), \qquad (9)$$

while replacing  $\chi \rightarrow \overline{\chi}$  and  $\psi \rightarrow -\overline{\psi}$ .

In practice, in order to optimize the analysis of the top quark form factors, it is necessary to study the event in a multidimensional space of all these angles. The use of helicity angles makes it easy to discern which variables are most important for studying which form factors. For example, by cutting on the production angle  $\theta$ , while using a polarized electron beam, it is possible to obtain a sample of highly polarized top quarks. With these, one can study the decay form factors by looking at both the top quark decay angle  $\chi_t$  and the *W* decay angle  $\chi$ , in order to determine the helicities of the W's. Perhaps the optimum technique would be to use all of the helicity angle information in a maximum likelihood fit [14]. In any case we now obtain the full tree-level correlation information of the event from

$$\sum_{\rho\rho'} \left| \sum_{hh'\lambda\lambda'} \mathcal{M}(e_{\sigma}\overline{e_{\sigma'}} \to t_{h}\overline{t_{h'}}) \mathcal{M}(t_{h} \to b_{\rho}W_{\lambda}^{+}) \right|^{2} \\ \times \mathcal{M}(W_{\lambda}^{+} \to \ell^{+}\nu) \mathcal{M}(\overline{t_{h'}} \to \overline{b_{\rho'}}W_{\lambda'}^{-}) \mathcal{M}(W_{\lambda'}^{-} \to \ell^{-}\overline{\nu}) \right|^{2}$$
(10)

for each initial-state helicity configuration.

#### III. THE EVENT AT $O(\alpha_s)$

In the narrow top quark width approximation, in which the top quarks are treated as on-shell particles in the matrix elements, the  $O(\alpha_s)$  corrections can be unambiguously assigned to the  $t\bar{t}$  production process, or to the *t*-decay or  $\bar{t}$ -decay processes. We have constructed a NLO Monte Carlo simulation by separately building a generator for  $t\bar{t}$ events with an extra gluon in the production, in the *t*-decay, and in the  $\overline{t}$ -decay processes, as well as for events with no extra visible gluon. To see how this is implemented it is easiest to ignore temporarily the angular correlations and to assume that both W's decay leptonically. Then the total differential cross section  $d\sigma_{
m tot}$ for the event  $e^+e^- \rightarrow t\overline{t} + X \rightarrow bW^+\overline{b}W^- + X$  is just the product of the  $t\overline{t}+X$  differential production cross section  $d\sigma$  times the t and  $\overline{t}$  decay distributions:

$$d\sigma_{\rm tot} = d\sigma \frac{d\Gamma d\Gamma}{\Gamma^2}.$$
 (11)

To  $O(\alpha_s)$  this can be written

$$d\sigma_{\text{tot}}^{(0+1)} = d\sigma^0 \frac{d\Gamma^0 d\overline{\Gamma}^0}{(\Gamma^0)^2} + d\sigma^1 \frac{d\Gamma^0 d\overline{\Gamma}^0}{(\Gamma^0)^2} + d\sigma^0 \frac{d\Gamma^1 d\overline{\Gamma}^0}{(\Gamma^0)^2} + d\sigma^0 \frac{d\Gamma^0 d\overline{\Gamma}^1}{(\Gamma^0)^2} - \frac{2\Gamma^1}{\Gamma^0} d\sigma^0 \frac{d\Gamma^0 d\overline{\Gamma}^0}{(\Gamma^0)^2}, \qquad (12)$$

where the first term is the tree-level event, the second term includes  $O(\alpha_s)$  corrections to the  $t\bar{t}$  production, the third and fourth terms contain the corrections to the t and the  $\bar{t}$  decays, respectively, and the last term is the  $O(\alpha_s)$  correction to the widths in the denominator. Note that on integrating over the decay phase space, the last three terms cancel so that  $\sigma_{tot}^{(0+1)} = \sigma^0 + \sigma^1$ , i.e., the integrated total event cross section is not affected by the corrections to the top quark decay, as required.

The  $O(\alpha_s)$  corrections to the production and decay can be separated into three pieces—the virtual (v), soft-gluon (s), and real-gluon (r) contributions:

$$d\sigma^{1} = d\sigma^{v} + d\sigma^{s}(x_{0}) + d\sigma^{r}(x_{0}),$$
  
$$d\Gamma^{1} = d\Gamma^{v} + d\Gamma^{s}(y_{0}, z_{0}) + d\Gamma^{r}(y_{0}, z_{0}).$$
(13)

The arbitrary distinction between "soft" and "real" gluons is implemented using artifical cutoffs  $x_0, y_0, z_0$ , which we will describe more fully below. The real gluons are defined to be those produced above the cutoffs and are treated using the exact three-body phase space. The soft gluons are those produced below the cutoffs and are integrated out analytically, leaving an effective two-body phase space. Both the virtual and the soft-gluon contributions are infrared divergent, but their sum is infrared finite. Thus, we can combine the virtual and soft-gluon contributions, and we can conveniently separate the full  $O(\alpha_s)$  cross section into the sum of four subevent cross sections:

$$d\sigma_{\text{tot}}^{(0+1)} = d\sigma_{\text{tot}}^{(v+s)}(x_0, y_0, z_0) + d\sigma^r(x_0) \frac{d\Gamma^0 d\bar{\Gamma}^0}{(\Gamma^0)^2} + d\sigma^0 \frac{d\Gamma^r(y_0, z_0) d\bar{\Gamma}^0}{(\Gamma^0)^2} + d\sigma^0 \frac{d\Gamma^0 d\bar{\Gamma}^r(y_0, z_0)}{(\Gamma^0)^2}.$$
(14)

The last three contributions have seven final-state partons, containing a real gluon in the production, in the t decay, or in

the  $\overline{t}$  decay, respectively. Each of these terms is manifestly positive definite. The first contribution has only six final-state partons and is given by the sum

$$d\sigma_{\text{tot}}^{(v+s)}(x_0, y_0, z_0) = \left(1 - \frac{2\Gamma^1}{\Gamma^0}\right) d\sigma^0 \frac{d\Gamma^0 d\overline{\Gamma}^0}{(\Gamma^0)^2} + d\sigma^{(v+s)}(x_0) \frac{d\Gamma^0 d\overline{\Gamma}^0}{(\Gamma^0)^2} + d\sigma^0 \frac{d\Gamma^{(v+s)}(y_0, z_0) d\overline{\Gamma}^0}{(\Gamma^0)^2} + d\sigma^0 \frac{d\Gamma^0 d\overline{\Gamma}^{(v+s)}(y_0, z_0)}{(\Gamma^0)^2}.$$
 (15)

This term may be negative for small values of the cutoffs. A separate Monte Carlo simulation is used to generate events for each of the four terms in Eq. (14) with all angular correlations included.

We now elaborate on the infrared cancellations, as well as the separation into "soft" and "real" gluons, that are used in Eq. (14). The virtual corrections to the production and decay processes can be written as corrections to the form factors (1) and (2), with the understanding that they are only expanded to  $O(\alpha_s)$  in the squared amplitudes (10). Using dimensional regularization with  $D=4-2\epsilon$ , we obtain the correction to the production form factors:

$$\delta F_{1V}^{i} = \frac{\alpha_{s}C_{q}}{2\pi} f_{V}^{i}(I_{1}+I_{2}),$$

$$\delta F_{1A}^{i} = \frac{\alpha_{s}C_{q}}{2\pi} f_{A}^{i}(I_{1}-I_{2}),$$
(16)
$$\delta F_{2V}^{i} = \frac{\alpha_{s}C_{q}}{2\pi} f_{V}^{i}(2I_{2}),$$

where

$$I_{1} = \left(\frac{4\pi\mu^{2}}{m_{t}^{2}}\right)^{\epsilon} \Gamma(1+\epsilon) \left\{ \frac{1}{\epsilon} \left[ -1 - \frac{1+\beta^{2}}{2\beta} \left( \ln\frac{1-\beta}{1+\beta} + i\pi \right) \right] - 2 + \frac{1+\beta^{2}}{2\beta} \left[ \left( -\frac{3}{2} + \ln\frac{4\beta^{2}}{1-\beta^{2}} \right) \left( \ln\frac{1-\beta}{1+\beta} + i\pi \right) + \frac{2\pi^{2}}{3} + 2\operatorname{Li}_{2} \left( \frac{1-\beta}{1+\beta} \right) + \frac{1}{2} \left( \ln\frac{1-\beta}{1+\beta} \right)^{2} \right] \right\}$$
$$I_{2} = \frac{1-\beta^{2}}{4\beta} \left[ \ln\frac{1-\beta}{1+\beta} + i\pi \right], \qquad (17)$$

 $C_q = 4/3$ , and  $f_V^{\gamma} = \frac{2}{3}$ ,  $f_A^{\gamma} = 0$ ,  $f_V^Z = (\frac{1}{4} - \frac{2}{3}s_W^2)/s_W c_W$ , and  $f_A^Z = (-\frac{1}{4})/s_W c_W$  are the tree-level couplings. Also, we have used the Spence function  $\text{Li}_2(z) = -\int_0^z dt \ln(1-t)/t$ . This agrees with the previous results given in Ref. [9]. Note that the contribution from  $\text{Re}I_1$  is proportional to the tree-level cross section, while  $\text{Im}I_1$  does not contribute at  $O(\alpha_s)$ .

For the real-gluon corrections to  $t\bar{t}$  production it is convenient to define the gluon phase space in terms of the variables

$$x = E_g / E_g^{\text{max}}, \quad \Delta = (1 - \cos \theta_{tg}^*)/2,$$
 (18)

where the maximum energy of the production gluon in the lab frame is  $E_g^{\text{max}} = \beta^2 \sqrt{s/2}$ , and  $\theta_{tg}^*$  is the angle between the gluon and top quark momenta in the  $t\bar{t}$  rest frame. The full phase space is 0 < x < 1,  $0 < \Delta < 1$  with the soft-gluon limit given by  $x \rightarrow 0$ . Integrating out the gluons in the region  $x < x_0$ , for small  $x_0$ , it is possible to absorb this soft-gluon contribution into the form factors (16) by replacing  $I_1 \rightarrow I_1 + I_1^{(\text{soft})}$ , with

$$I_{1}^{(\text{soft})} = \left(\frac{4\pi\mu^{2}}{m_{t}^{2}}\right)^{\epsilon} \Gamma(1+\epsilon) \left\{ \left(\frac{1}{\epsilon} - 2\ln x_{0}\right) \left[1 + \frac{1+\beta^{2}}{2\beta} \ln \frac{1-\beta}{1+\beta}\right] + \ln \frac{1-\beta^{2}}{4\beta^{4}} - \frac{1}{\beta} \ln \frac{1-\beta}{1+\beta} + \frac{1+\beta^{2}}{2\beta} \left[-\ln \beta^{2} \ln \frac{1-\beta}{1+\beta} - \frac{\pi^{2}}{3} + 2\operatorname{Li}_{2} \left(\frac{1-\beta}{1+\beta}\right) + \frac{1}{2} \left(\ln \frac{1-\beta}{1+\beta}\right)^{2}\right] \right\}.$$
 (19)

The sum of the virtual and soft contributions  $\text{Re}(I_1+I_1^{(\text{soft})})$  is now IR finite. The "real" gluons with  $x > x_0$  are treated using exact kinematics. The matrix elements can be written in terms of helicity amplitudes as in Sec. II. We leave the details of this to Appendix A.

The QCD corrections to the decay  $t \rightarrow bW^+$  are obtained in a similar fashion. The virtual corrections to the top quark decay form factors at  $O(\alpha_s)$  are

$$\delta F_{1L}^{W} = \frac{\alpha_{s}C_{q}}{2\pi} \left( \frac{4\pi\mu^{2}}{m_{t}^{2}} \right)^{\epsilon} \Gamma(1+\epsilon) \left\{ -\frac{1}{2\epsilon^{2}} + \frac{1}{\epsilon} \times \left[ -\frac{5}{4} + \ln(1-w^{2}) \right] - 3 - \left[ \ln(1-w^{2}) \right]^{2} + \frac{3}{2} \ln(1-w^{2}) - \text{Li}_{2}(w^{2}) \right\},$$
(20)

$$\delta F_{2L}^W = \frac{\alpha_s C_q}{2\pi} w^{-2} \ln(1-w^2),$$

where  $w = m_W/m_t$ . For the phase space of the real gluon in top quark decay we use the variables

$$y = E_g / E_g^{\text{max}}, \quad z = (1 - \cos \theta_{bg}^*)/2,$$
 (21)

where the maximum energy of the decay gluon in the top quark rest frame is  $E_g^{\max} = (m_i/2)(1-w^2)$ , and  $\theta_{bg}^*$  is the angle between the gluon and bottom quark momenta in the bW rest frame. The gluon becomes soft in the limit  $y \rightarrow 0$ and collinear in the limit  $z \rightarrow 0$ . Integrating out the soft and collinear gluons for which  $y < y_0$  and/or  $z < z_0$ , for small  $y_0, z_0$ , we can absorb these contributions into the form factor  $F_{1L}^W$ . They contribute

$$\delta F_{1L}^{W(\text{soft})} = \frac{\alpha_s C_q}{2\pi} \left( \frac{4\pi\mu^2}{m_t^2} \right)^{\epsilon} \Gamma(1+\epsilon) \left\{ \frac{1}{2\epsilon^2} + \frac{1}{\epsilon} \times \left[ \frac{5}{4} - \ln(1-w^2) \right] + 4 + \frac{5-3w^2}{8(1-w^2)} + \left[ \ln(1-w^2) \right]^2 - \frac{5}{2} \ln(1-w^2) + \text{Li}_2(1-w^2) - \frac{w^2(2-3w^2)}{4(1-w^2)^2} \ln w^2 - \frac{\pi^2}{2} - (1+\ln x_0)(1+\ln z_0) + \frac{1}{4} \ln z_0 \right\}, \quad (22)$$

so that the sum of the virtual and soft-gluon contributions  $\delta F_{1L}^W + \delta F_{1L}^{W(\text{soft})}$  is IR finite. As in the production process, the "real" gluons with  $y > y_0$  and  $z > z_0$  are treated using exact kinematics. The helicity amplitudes are given in Appendix B.

It is useful at this stage to describe the Monte Carlo simulation more fully. It is written in the C++ programing language and contains a separate event-generator class for each of the four subchannel processes in Eq. (14). Each of these subchannel generators are in turn derived from a single tree-level generator which produces the helicity angles of the event with the exact tree-level distributions. The subchannel generators then produce the relevant gluon kinematic variables, prepare the particle four-vectors, and give the event a weight. The production-gluon class generates the gluon variables (18) with a soft-gluon distribution, while the decay-gluon classes generate the gluon variables (21) with a soft-and collinear-gluon distribution. This results in a very efficient Monte Carlo simulation for each of the four subchannels.

The relative contributions from the four subchannels depend on the artificial IR cutoffs  $(x_0, y_0, z_0)$ . The choice of values for these parameters is determined by several considerations. First, the analytic integrations of the soft gluons contained in Eqs. (19) and (22) are valid up to terms linear in the cutoffs, so they should be kept as small as possible. In addition, they should lie below any physical cutoff, determined by the detector energy resolution or the jet definition. However, for very small cutoffs the contribution containing the virtual and soft gluons will become very large and negative, and there will be large cancellations between it and the other subchannels. Thus, the cutoffs should not be too small or else the numerical errors will become prohibitive. Luckily, this last constraint turns out to be not too restrictive for our Monte Carlo simulation. For each plot in the next two sections we have checked that the results do not change significantly for smaller values of the cutoffs. As a final test of our confidence, we have checked that our Monte Carlo simulation reproduces the  $O(\alpha_s)$  production [9] and decay distributions [11] of previous analyses.

So far in the discussion we have assumed that both W bosons decay leptonically. The implementation of hadronic W decays is completely analogous. For semileptonic events we include an extra subchannel for real gluons in the  $W^-$  decay, while for all-hadronic events we include two extra subchannels for real gluons in  $W^-$  and  $W^+$  decays. The

separation of the hadronic W decays into "real" and "soft" gluons involves two new IR cutoff parameters  $y_{W0}$  and  $z_{W0}$ . The phase space parameters are

$$y_W = 2E_g/m_W, \quad z_W = (1 - \cos\theta_{qg}^*)/2,$$
 (23)

where  $E_g$  is the gluon energy in the *W* rest frame and  $\theta_{qg}^*$  is the angle between the gluon and quark momenta in the  $q\bar{q}$ rest frame. The gluon becomes soft in the limit  $y_W \rightarrow 0$  and collinear in the limits  $z_W \rightarrow 0$  and  $z_W \rightarrow 1$ . The "real" gluons with  $y_W > y_{W0}$  and  $z_{W0} < z_W < 1 - z_{W0}$  are treated using exact kinematics. The corresponding helicity amplitudes are given in Appendix C. The "soft"-gluon contribution is combined with the virtual corrections, which modifies the tree-level events by a factor

$$\left(1 + \delta^{(v)} + \delta^{(s)} - \frac{3\alpha_s C_q}{4\pi}\right)$$

$$= 1 + \frac{\alpha_s C_q}{2\pi} \left\{ 4 \left(x_{W0} - 1 - \ln x_{W0}\right) \ln \frac{z_{W0}}{1 - z_{W0}} + \left(1 - x_{W0}^2\right) \left[1 - 2z_{W0} + \ln \frac{z_{W0}}{1 - z_{W0}}\right] \right\},$$

$$(24)$$

for each hadronically decaying W boson.

Our Monte Carlo simulation also allows the inclusion of width effects by generating Breit-Wigner resonance distributions for the top quarks and the W's. In addition, the kinematic effects of the bottom quark mass can be included. Momentum conservation is maintained by shifting the energies of the final-state particles, while keeping the helicity angles and the gluon kinematic variables (18) and (21) fixed. This procedure should be good to  $O(\Gamma_t/m_t)$  except very near threshold. Note, however, that the matrix elements, and hence the event weights, are always computed in the zerowidth and  $m_b=0$  limits. Finally, initial-state radiation (ISR) can be included by generating electron and positron momentum fractions *z* with the distribution function given by Fadin and Kuraev [15]:

$$D_e(z) = \hat{\beta}/2(1-z)^{\hat{\beta}/2-1}(1+3\hat{\beta}/8) - \hat{\beta}(1+z)/4, \quad (25)$$

where  $\hat{\beta} = (2\alpha/\pi)(\ln s/m_e^2 - 1)$ .

It must be noted that the narrow-width approximation is necessary for the NLO analysis of this section. As a consequence, the Monte Carlo simulation does not include the effects of interference between gluons emitted in the production and gluons emitted in the decay. These perturbative effects have been studied in the soft-gluon limit in Ref. [16]. Typically, the interference is only important for gluons with energy  $E_g \leq \Gamma_t$ . However, it should be considered in any complete analysis. In addition, because the final-state bottom quarks do carry bare color, there will be some nonperturbative information connecting them in the form of soft hadrons [17]. We have neglected this effect here.

### **IV. THE EFFECTS OF RADIATED GLUONS**

In this section we will study the top quark mass reconstruction and helicity angle distributions at next-to-leading order. We do this by starting with an ideal event

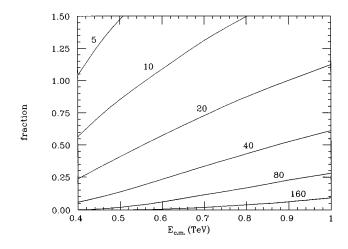


FIG. 4. Fraction of events containing a production gluon as a function of  $\sqrt{s}$ . The curves are, from top to bottom, for  $\mu_{cut}=5$ , 10, 20, 40, 80, and 160 GeV.

situation—no ISR, an ideal  $4\pi$  detector, and perfect parton identification at the level of the bottom quarks and the W bosons. In the following section we will make each of these factors more realistic experimentally. The purpose here is to develop our intuition by isolating the purely theoretical QCD effects at NLO. If we assume that both bottom quarks and W's are identified and signed and that there is  $4\pi$  detector coverage, then the only ambiguity is in where to put the gluon. Does it belong to the t, to the  $\overline{t}$ , or to neither?

Here, we will make this assignment of the real gluon in analogy with the typical jet-clustering algorithm used at  $e^+e^-$  colliders. Defining the quantities  $\mu^2 = (p_b + p_g)^2$  and  $\overline{\mu}^2 = (\overline{p_b} + p_g)^2$ , we make the assignment

if  $\mu < \overline{\mu}$  and  $\mu < \mu_{cut} \Rightarrow$  gluon belongs to t decay

 $(p_t = p_g + p_b + p_{W^+}, \overline{p_t} = \overline{p_b} + p_{W^-}),$ 

if  $\overline{\mu} < \mu$  and  $\overline{\mu} < \mu_{cut} \Rightarrow$  gluon belongs to  $\overline{t}$  decay

$$(p_t = p_b + p_{W^+}, \overline{p_t} = p_g + \overline{p_b} + p_{W^-}),$$

else $\Rightarrow$  gluon belongs to production

$$(p_t = p_b + p_{W^+}, \overline{p_t} = \overline{p_b} + p_{W^-}).$$
 (26)

In the limit  $m_b = 0$ , we recognize  $\mu_{cut}$  as an infrared cutoff on both the collinear and soft gluons in the event. In fact, we can consider the decay gluons to be clustered with the bottom quarks [18] using the standard jet resolution parameter  $y_{cut} = \mu_{cut}^2/s$ . By varying  $\mu_{cut}$  we change the fraction of events with gluons that are not combined either with the *b* or with the  $\overline{b}$ , and thus are considered to be part of the production process. This fraction is plotted in Fig. 4 as a function of the center-of-mass energy for various values of  $\mu_{cut}$ . At this fixed order in perturbation theory, the fraction can be greater than 1, indicating that a resummation of the large logarithms in  $y_{cut}$  or  $\mu_{cut}^2/m_t^2$  is necessary. As in all of our plots, we use a standard top quark mass of 175 GeV and  $\alpha_s = 0.12$ .

We now consider the top quark mass distribution at  $\sqrt{s} = 400$  GeV. Using the algorithm (26), each event pro-

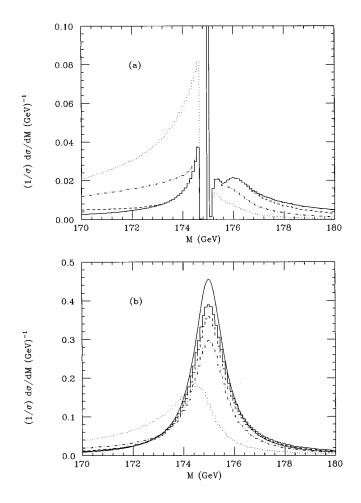


FIG. 5. Top quark mass reconstruction distributions for  $\sqrt{s} = 400 \text{ GeV}$  (a) in the zero-width limit and (b) with an initial Breit-Wigner resonance distribution. The histograms are for  $\mu_{\text{cut}} = 5 \text{ GeV}$  (dots), 10 GeV (dot-dash), 20 GeV (dashes), and  $\infty$  GeV (solid). The smooth curve in (b) is the original Breit-Wigner distribution.

duces two mass values  $m^2 = p_t^2$  and  $\overline{m}^2 = \overline{p}_t^2$ , which are binned independently. To see most clearly how the radiation affects this distribution, we plot it in Fig. 5(a) in the strict zero top quark width limit for values of  $\mu_{cut}$ =5, 10, 20, and  $\infty$  GeV. Note that for  $\mu_{cut} = \infty$ , all of the observed gluons are assigned either to top quark decay or top antiquark decay, and none to the production. The Monte Carlo cutoffs used are  $x_0 = 0.02$ ,  $y_0 = 0.005$ , and  $z_0 = 0.01$ . The  $\delta$ -function spike in the central bin arises from those events in which the top quark momentum is determined correctly from its true decay products. The excess below the  $\delta$  function corresponds to events where a decay gluon is assigned incorrectly and is not included in the top quark momentum reconstruction. These missed-gluon events become less likely as  $\mu_{cut}$  increases, but even for  $\mu_{cut} = \infty$  there is a remnant of events where the gluon gets assigned to the wrong-charge top quark. The excess above the  $\delta$  function corresponds to events where an extra gluon is incorrectly included in a top quark momentum reconstruction. This region has two separate contributions, from misassigned decay gluons and from misassigned production gluons. Both of these increase with increasing  $\mu_{\rm cut}$ , with the production gluons adding a second bump for larger values of this parameter. The deficits in the distribu-

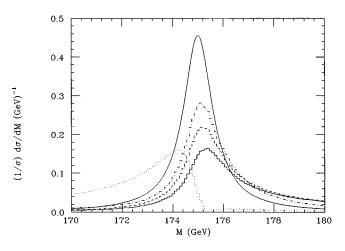


FIG. 6. Top quark mass reconstruction distributions for  $\sqrt{s}=1$  TeV with an initial Breit-Wigner resonance distribution. The histograms are for  $\mu_{cut}=5$  GeV (dots), 20 GeV (dot-dash), 80 GeV (dashes), and  $\infty$  GeV (solid). The smooth curve is the original Breit-Wigner distribution.

tion directly on each side of the spike are due to the artificial cutoffs  $x_0$ ,  $y_0$ , and  $z_0$ .

The  $\delta$  function peak in this distribution is an artifact of the zero-width approximation. Turning on the Breit-Wigner resonance for the top quark effectively smears over the  $\delta$ function and results in a well-defined IR-finite mass distribution. In Fig. 5(b) we plot this distribution using the same values of  $\mu_{cut}$  as before. For comparison, we also plot the initial Breit-Wigner distribution. We now choose the Monte Carlo cutoffs to be  $x_0 = 0.002$ ,  $y_0 = 0.0013$ , and  $z_0 = 0.0028$ . These cutoffs ensure that all production gluons with  $E_g > 100$  MeV and all decay gluons with  $\mu, \overline{\mu} > 5$  GeV are treated with exact kinematics. The distributions do not change significantly for smaller  $x_0, y_0, z_0$ . For  $\mu_{cut} = 5$  GeV we see that the mass distribution is severely distorted, while for higher values of  $\mu_{cut}$  it quickly regains an approximate Breit-Wigner shape, with a small decrease in the peak and an increase in the tail regions. We cannot take the  $\mu_{cut}=5$  GeV curve too seriously, however, because for small values of  $\mu_{\rm cut}$  we are probing the collinear-gluon region of the decay phase space. On the other hand, the effects of soft-gluon singularities are inconsequential, because soft gluons have  $E_{g} \approx 0$  and do not affect the mass measurement. For  $\mu_{cut} \gtrsim 20$  GeV these perturbative mass distributions should be reliable. Figure 5(b) suggests that perhaps the best approach to mass reconstruction at  $\sqrt{s} = 400$  GeV is to treat each extra gluon as coming from decay, combining it with whichever top quark has the smaller value of  $\mu$ . This is because 400 GeV is still not too far from threshold, where real-gluon radiation in the production process is suppressed.

At higher energies the situation changes dramatically. In Fig. 6 we plot the mass distributions at  $\sqrt{s}=1$  TeV for  $\mu_{cut}=5$ , 20, 80, and  $\infty$  GeV. At this center-of-mass energy we choose  $x_0=0.0001$  so that production gluons with  $E_g>100$  Mev are treated with exact kinematics. The best resonant peak occurs for  $\mu_{cut} \sim 20$  GeV. At this high energy there is substantial collinear radiation in the  $t\bar{t}$  production process, so that for larger values of  $\mu_{cut}$  an extra gluon is usually included with one of the top quarks, resulting in a

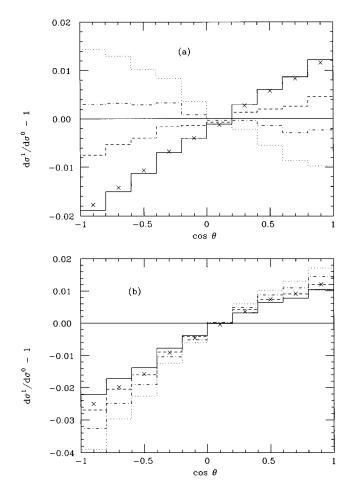


FIG. 7. The  $O(\alpha_s)$  corrections to the top quark polar angle distributions for  $\sqrt{s} = 400$  GeV with (a) left-polarized electrons and (b) right-polarized electrons. The histograms are for  $\mu_{cut} = 5$  GeV (dots), 10 GeV (dot-dash), 20 GeV (dashes), and  $\infty$  GeV (solid), while the points plotted with the symbol  $\times$  are the pure production corrections.

too-large mass reconstruction. These curves are suggestive of the degradation that will occur at this energy, but a resummation of the collinear gluons would be necessary to obtain an exact prediction. Certainly, determining the top quark mass at  $\sqrt{s} = 1$  TeV would be more difficult than at lower energies.

We now turn to the top production angle distribution. For the remainder of this section, we work in the strict zerowidth and  $m_b = 0$  limits. The production angle distribution has been studied before at  $O(\alpha_s)$  for the pure  $t\bar{t}$  production process in [9]. Here, we include the effects of radiative corrections in both production and decay of the top quarks. Although the corrections to the decay process do not affect this distribution for perfectly reconstructed  $t\bar{t}$  events, they are significant when reconstruction ambiguities are considered. For a given value of  $\mu_{cut}$  we can use the algorithm (26) to reconstruct each event and then bin with respect to the top quark and top antiquark production variables  $\cos\theta$  and  $-\cos\theta$ . The tree-level production angle distributions for  $m_t = 175$  GeV and  $\sqrt{s} = 400$  GeV were shown in Fig. 1. In Fig. 7 we plot the deviations from the tree-level distribution for several different values of  $\mu_{\rm cut}$  for left- and right-handed electron beams. We also plot the pure production corrections

TABLE I. Percentage  $O(\alpha_s)$  corrections to the top quark forward-backward asymmetry for  $m_t = 175$  GeV and  $\sqrt{s} = 400$  GeV with polarized electrons. The first four columns are using the reconstruction algorithm (26), while the last column gives the corrections from production only, assuming an exact event reconstruction.

	$\mu_{\rm cut}$ (GeV)				Production
	5	10	20	$\infty$	only
$e_L^-$	-2.8	-0.7	+1.2	+3.3	+ 3.2
$e_L \\ e_R^-$	+4.2	+3.6	+3.0	+2.5	+2.9

[9], which assume perfect gluon discrimination and event reconstruction. For both electron polarizations the  $O(\alpha_s)$ corrections tend to increase the slope of the distribution with production angle. However, the treatment of the radiative gluon can have a significant effect on this correction. For a left-polarized electron beam, using smaller values of  $\mu_{cut}$ , the correction even changes sign. This is shown further in Table I, where we give the  $O(\alpha_s)$  corrections to the forwardbackward asymmetry of the top quarks for the different values of  $\mu_{cut}$ .

In Fig. 8 we examine the effects of the gluon ambiguity on the decay angle of the top quark to the  $W^+$  boson,  $\chi_t$ . Using the algorithm (26) the  $W^+$  boson is reconstructed correctly, but the observed momentum of the top quark, and therefore the observed value of  $\chi_t$ , is affected by the treatment of the radiative gluon. In Fig. 8 we plot the fraction of observed values of  $\cos \chi_t$  falling in each 0.1-width bin for events with true values of  $\cos \chi_t$  between -0.1 and 0.0. For small  $\mu_{cut}$  the reconstructed values of  $\cos \chi_t$  tend to be larger than the true values. The missed gluons in the decay lead to an underestimate of the top quark momentum, which results in an underestimate of the angle between the  $W^+$  and the top quark momenta after boosting to the top quark rest frame. As in the previous examples, the most accurate reconstruction occurs for large  $\mu_{cut}$ .

## V. MORE DETAILED ANALYSIS OF TOP QUARK MASS RECONSTRUCTION

In this section we reexamine the top quark mass distribution with more realistic experimental assumptions. We now

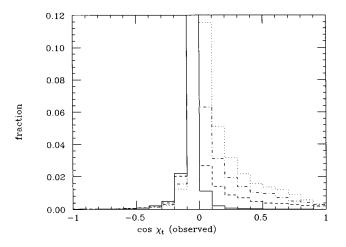


FIG. 8. Distribution of observed  $\cos \chi_t$  for events with true  $\cos \chi_t$  between -0.1 and 0.0. The histograms are for  $\mu_{cut}=5$  GeV (dots), 10 GeV (dot-dash), 20 GeV (dashes), and  $\infty$  GeV (solid).

consider the effects of the W boson decay. The neutrinos are undetected, the quark and gluon jets are indistinguishable, and an extra gluon jet may arise in the hadronic decay of the W. We include the effects of initial-state radiation, and we impose simple lab-frame angular cuts to approximate the effects of the detector. We also examine the effects of parton energy smearing due to the detector resolution. However, we stop short of including final-state hadronization. This analysis is strictly at the partonic level.

We will consider the reconstruction of the top quarks in both the lepton+jets mode and the all-jet mode. We require that all of the visible partons must satisfy  $|\cos\theta_{lab}| < 0.9$ , and we cluster [18] the colored partons into jets using the jet resolution parameter  $y_{cut} = \mu_{cut}^2/s$  with  $\mu_{cut} = 40$  GeV. We do not consider the effects of *b* tagging, treating all hadronic jets as indistinguishable. We then use a simple algorithm for  $t\bar{t}$  event reconstruction in each mode. Certainly, these methods can be improved and optimized, but they will be sufficient for our purposes.

In the all-jet mode we require that there be  $\geq 6$  jets after the cuts and the clustering. If there are only six jets in the event we can choose two pairs of jets to form the W's by minimizing the quantity

$$[(p_1+p_2)^2 - m_W^2]^2 + [(p_3+p_4)^2 - m_W^2]^2$$
(27)

over all combinations of jets. We then combine one of the two remaining jets with each of the W's, so as to minimize the mass difference between the resulting top quarks. If there are seven jets in the event, we also include the possibility that one of the W's is formed from a three-jet combination in the minimization of Eq. (27). If this is so, we then form the top quarks from the W's and the remaining two jets as before. If the best fit, however, still has both W's decaying to two jets each, we must treat the remaining three jets as if one of them is a radiated gluon in the top quark production or decay. We try combinations of one jet with each W, ignoring the third jet, and we also try combinations of two jets with one of the W's and one jet with the other. We then choose the combination which minimizes the mass difference between the resulting top quarks.

In the lepton+jets mode we require that there be a charged lepton and  $\geq 4$  jets after the cuts and clustering. The neutrino four-momentum is defined to be equal to the missing momentum in the event,  $p_{\nu} = p_{\text{total}} - \sum p_{\text{visible}}$ , with the additional requirement that

$$|m(\ell \nu) - m_W| < 10$$
 GeV. (28)

If there are four jets in the event, a pair of jets is chosen to form the second *W* boson by minimizing  $|(p_1+p_2)^2 - m_W^2|$  over all of the jets. If there are five jets in the event we also try three-jet combinations to get the best *W* mass. In both cases, we then use the resulting *W*'s and the remaining jets to form top quarks exactly in the all-jet mode.

We begin our study by including the initial-state radiation, but omitting the final-state energy smearing. The mass distribution for the all-jet channel is shown in Fig. 9(a) for  $m_i = 175$  GeV and  $\sqrt{s} = 400$  GeV. For comparison we also show the original Breit-Wigner distribution, as well as the mass reconstructions at the tree level. The  $O(\alpha_s)$  distribution

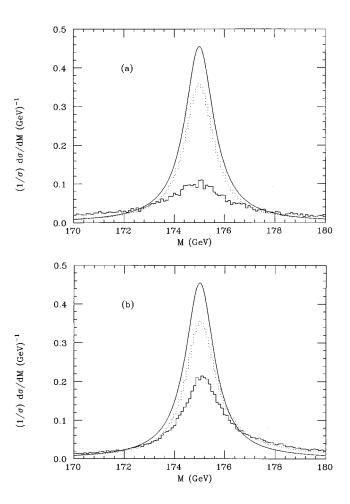


FIG. 9. Top quark mass reconstruction distributions without energy smearing of the final-state partons for  $\sqrt{s} = 400$  GeV (a) in the all-jet mode and (b) in the lepton+jets mode. In both plots the dotted histogram is at the tree level, the solid histogram is at  $O(\alpha_s)$ , and the smooth curve is the original Breit-Wigner distribution.

in the all-jet mode exhibits a strong degradation as compared to the tree level and also as compared to the  $\mu_{cut} = \infty$  curve of Fig. 5(b) from the previous section. This is due to the additional complexity in clustering the radiated gluon and reconstructing the event. Of the all-jet events, 14% survive the cuts and are identified as a six-jet event, while only 0.08% are identified with seven jets. The small number of surviving seven-jet events is due to the large value of  $\mu_{cut}$ =40 GeV. This large clustering scale is necessary to remove events where one of the leading quarks does not pass the angle cut, but a radiated gluon jet occurs and takes its place. In the all-jet mode the inclusion of radiation in the W boson decay produces a significant reduction in the mass sensitivity. Certainly, b tagging should help in reducing the ambiguities here.

The mass distribution for the lepton+jets channel is shown in Fig. 9(b). Here, the jet-combining ambiguity is not as great but there can also be errors in the neutrino reconstruction due to initial-state radiation. This is the source of the enhanced tail at higher masses. Of the lepton+jet events, 31% survive the cuts and are identified with four jets, while 0.6% are identified with five jets. The effects of varying  $\mu_{cut}$  and of including radiation in the *W* boson decay are not as large in this channel.

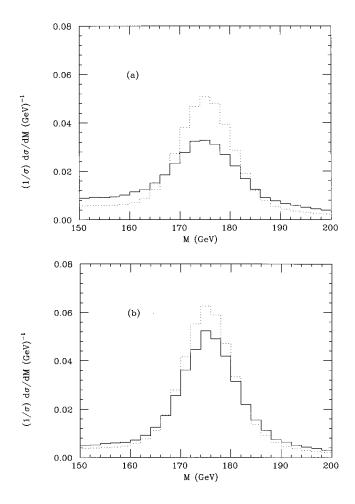


FIG. 10. Top quark mass reconstruction distributions with energy smearing of the final-state partons for  $\sqrt{s} = 400$  GeV (a) in the all-jet mode and (b) in the lepton+jets mode. In both plots the dotted histogram is at the tree level and the solid histogram is at  $O(\alpha_s)$ .

In Fig. 10 we show the same distributions with the finalstate partons smeared in energy to approximate the effects of the detector energy resolution. The hadronic and leptonic final-state partons are Gaussian smeared with the parameters used in the Japan Linear Collider (JLC) study [19]:

$$\frac{\sigma_E^{\text{had}}}{E} = \frac{0.4}{\sqrt{E}}, \quad \frac{\sigma_E^{\text{lep}}}{E} = \frac{0.15}{\sqrt{E}}, \quad (29)$$

where E is in GeV. The smearing has no effect on the efficiency in the all-jet mode, but it does reduce the efficiencies in the lepton+jet modes to 19% (four jets) and 0.4% (five jets). This is because, when the jet energies are smeared, the reconstructed neutrino is less likely to meet the constraint (28). From Fig. 10 we conclude that the major contribution to the error on the top quark mass distribution will probably come from the detector energy resolution, making a direct width measurement virtually impossible. The gluon radiation also contributes a significant amount to the widening of the peak, especially in the all-jet reconstruction channel. As we have shown in this paper, this QCD radiative contribution is directly calculable in perturbation theory. The plots in this section are representative of the accuracy that may be obtainable in a direct mass measurement, although certainly the reconstruction algorithm can be better optimized, and b tagging would be very useful in this regard. As for the angular distributions, we would expect the detector resolution effects to be less serious because detector angular resolution is usually better than energy resolution. However, the reconstruction errors may still be significant for these distributions.

#### VI. CONCLUSIONS

As in any strong scattering process, the  $e^+e^- \rightarrow t\bar{t}$  event is certainly more complex than the basic tree-level parton cross section would indicate. The first step to a more realistic treatment should include QCD radiation in the final state. This requires the correct handling of radiation both in the  $\gamma^* \rightarrow t\bar{t}$  production process and in the  $t \rightarrow bW^+$  decay process. In this paper we have shown how to include this radiation to  $O(\alpha_s)$ , as well as the radiation in the hadronic W decay, and we have constructed a Monte Carlo generator to study these effects. In doing this we have made strong use of the helicity angle formalism, which is the most natural for investigating the properties of the top quark.

The treatment of the  $t\bar{t}$  event at  $O(\alpha_s)$  introduces reconstruction ambiguities whenever there is real-gluon radiation. We have shown how this can alter the top quark mass distribution and the angular distributions. By including the Breit-Wigner resonance shape for the top quark, we obtain an infrared finite correction to the mass distribution. The major effect of the QCD radiation is to degrade the peak, with practically no shift in the position of the maximum. For energies not too far above the  $t\bar{t}$  threshold, most of the gluon radiation occurs during the decay of the top quarks or the W bosons; however, at higher energies the radiation off the top quarks during the production phase also becomes important.

#### ACKNOWLEDGMENTS

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## APPENDIX A: $e^+e^- \rightarrow t\bar{t}g$ PRODUCTION AMPLITUDES

The real radiative corrections to  $t\bar{t}$  production and decay can be given by helicity amplitudes, with only minor complications due to the three-body final state. We can describe the  $t\bar{t}g$  production event configuration in the lab frame in terms of five variables. Two of these are the energy fractions  $x_i=2E_i/\sqrt{s}$  of the top quark and of the gluon, which are in turn determined by the variables of Eq. (18). These fix all of the lab-frame energies and angles within the  $t\bar{t}g$  plane. Two more variables are just the polar angle  $\theta$  and azimuthal angle  $\phi$  of the top quark with respect to the electron beam axis. The final variable that we need is the angle  $\phi_g$  between the  $e^+e^-t$  plane and the  $t\bar{t}g$  plane, rotated around the top quark momentum axis. Note that the rotation by  $\phi_g$  around the top quark momentum axis also rotates its decay products. This completely determines the event kinematics.

For longitudinally polarized electrons, the intermediate photon-*Z* state will be an eigenstate of spin along the beam axis. However, it is more convenient to work in a basis where the vector boson is a spin eigenstate along the top quark momentum direction. Labeling these eigenstates by  $\gamma_{\lambda}$ , we can expand the matrix elements in terms of amplitudes in the new basis, which are now independent of the variables  $\phi$ ,  $\theta$ , and  $\phi_g$ :

$$\mathcal{M}(e_L \overline{e_R} \to t \overline{tg}) = e^{-i\phi} \Biggl\{ \left[ \mathcal{F}_{1L}^L \mathcal{M}(L; \gamma_L \to t \overline{tg}) + \mathcal{F}_{1R}^L \mathcal{M}(R; \gamma_L \to t \overline{tg}) \right] \frac{1}{\sqrt{2}} (1 + \cos\theta) \\ \times e^{-i\phi_g} + \left[ \mathcal{F}_{1L}^L \mathcal{M}(L; \gamma_R \to t \overline{tg}) + \mathcal{F}_{1R}^L \mathcal{M}(R; \gamma_R \to t \overline{tg}) \right] \frac{1}{\sqrt{2}} (1 - \cos\theta) e^{i\phi_g} \\ + \left[ \mathcal{F}_{1L}^L \mathcal{M}(L; \gamma_Z \to t \overline{tg}) + \mathcal{F}_{1R}^L \mathcal{M}(R; \gamma_Z \to t \overline{tg}) \right] \Biggr\}$$
(A1)

$$\mathcal{M}(e_R \overline{e_L} \to t \overline{tg}) = e^{i\phi} \Biggl\{ - \left[ \mathcal{F}_{1L}^R \mathcal{M}(L; \gamma_L \to t \overline{tg}) \right] \\ + \mathcal{F}_{1R}^R \mathcal{M}(R; \gamma_L \to t \overline{tg}) \right] \frac{1}{\sqrt{2}} (1 - \cos\theta) \\ \times e^{-i\phi_g} - \left[ \mathcal{F}_{1L}^R \mathcal{M}(L; \gamma_R \to t \overline{tg}) \right] \\ + \mathcal{F}_{1R}^R \mathcal{M}(R; \gamma_R \to t \overline{tg}) \right] \frac{1}{\sqrt{2}} (1 + \cos\theta) e^{i\phi_g} \\ + \left[ \mathcal{F}_{1L}^R \mathcal{M}(L; \gamma_Z \to t \overline{tg}) \right] \\ + \mathcal{F}_{1R}^R \mathcal{M}(R; \gamma_Z \to t \overline{tg}) \Biggr] \sin\theta \Biggr\}.$$

We have also separated the pieces arising from the lefthanded and right-handed currents. The form factors  $\mathcal{F}_{1R}^{i} = \mathcal{F}_{1V}^{i} + \mathcal{F}_{1A}^{i}$  and  $\mathcal{F}_{1L}^{i} = \mathcal{F}_{1V}^{i} - \mathcal{F}_{1A}^{i}$  are obtained from Eq. (3) evaluated at the tree level.

The matrix elements in Eq. (A1) with left-handed currents are

$$\mathcal{M}(L; \gamma_L \to t_L \overline{t}_L g_L) = -A_{+-} \sin \frac{\theta_{tg}}{2} \cos \frac{\theta_{tg}}{2} \cos \frac{\theta_{tf}}{2} \times [x_t \beta_t + (1 - x_t)], \quad (A2)$$

$$\mathcal{M}(L; \gamma_R \to t_L \overline{t}_L g_L) = A_{+-} \sin^2 \frac{\theta_{tg}}{2} \sin \frac{\theta_{t\bar{t}}}{2} (1-x_t),$$

$$\mathcal{M}(L; \gamma_Z \to t_L \overline{t}_L g_L) = -\frac{A_{+-}}{\sqrt{2}} \sin \frac{\theta_{tg}}{2} \left[ x_t \beta_t \cos \frac{\theta_{tg}}{2} \sin \frac{\theta_t \overline{t}}{2} \right]$$

$$\begin{split} +(1-x_{t})\sin\frac{\theta_{t\bar{t}}-\theta_{tg}}{2}\Big],\\ \mathcal{M}(L;\gamma_{L}\rightarrow t_{L}\bar{t}_{L}g_{R})=A_{+-}\sin\frac{\theta_{tg}}{2}\Big[x_{t}\beta_{t}\cos\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}\\ +(1-x_{\bar{t}})\cos\frac{\theta_{t\bar{t}}+\theta_{tg}}{2}\Big],\\ \mathcal{M}(L;\gamma_{R}\rightarrow t_{L}\bar{t}_{L}g_{R})=0,\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{L}\bar{t}_{L}g_{R})=\frac{A_{+-}}{\sqrt{2}}\cos\frac{\theta_{tg}}{2}\Big[x_{t}\beta_{t}\sin\frac{\theta_{tg}}{2}\sin\frac{\theta_{t\bar{t}}}{2}\\ +(1-x_{\bar{t}})\cos\frac{\theta_{t\bar{t}}+\theta_{tg}}{2}\Big],\\ \mathcal{M}(L;\gamma_{L}\rightarrow t_{R}\bar{t}_{L}g_{L})=-A_{--}\cos^{2}\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}(1-x_{t}),\\ \mathcal{M}(L;\gamma_{R}\rightarrow t_{R}\bar{t}_{L}g_{L})=-A_{--}\sin\frac{\theta_{tg}}{2}\cos\frac{\theta_{tg}}{2}\sin\frac{\theta_{t\bar{t}}}{2}\\ +(1-x_{t})],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{R}\bar{t}_{L}g_{L})=-\frac{A_{--}}{\sqrt{2}}\cos\frac{\theta_{tg}}{2}\Big[x_{t}\beta_{t}\sin\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}\\ +(1-x_{t})\sin\frac{\theta_{t\bar{t}}-\theta_{tg}}{2}\Big],\\ \mathcal{M}(L;\gamma_{R}\rightarrow t_{R}\bar{t}_{L}g_{R})=A_{--}\cos\frac{\theta_{tg}}{2}\Big[x_{t}\beta_{t}\sin\frac{\theta_{tg}}{2}\sin\frac{\theta_{t\bar{t}}}{2}\\ +(1-x_{\bar{t}})\cos\frac{\theta_{t\bar{t}}+\theta_{tg}}{2}\Big],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{R}\bar{t}_{L}g_{R})=A_{--}\cos\frac{\theta_{tg}}{2}\Big[x_{t}\beta_{t}\cos\frac{\theta_{tg}}{2}\sin\frac{\theta_{t\bar{t}}}{2}\\ +(1-x_{\bar{t}})\cos\frac{\theta_{t\bar{t}}+\theta_{tg}}{2}\Big],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{R}\bar{t}_{L}g_{R})=A_{--}\cos\frac{\theta_{tg}}{2}\Big[x_{t}\beta_{t}\cos\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}\\ +(1-x_{\bar{t}})\cos\frac{\theta_{t\bar{t}}+\theta_{tg}}{2}\Big],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{R}\bar{t}_{L}g_{R})=A_{-}+\sin\frac{\theta_{tg}}{2}\cos\frac{\theta_{tg}}{2}\sin\frac{\theta_{t\bar{t}}}{2}\\ +(1-x_{\bar{t}})\cos\frac{\theta_{t\bar{t}}-\theta_{tg}}{2}\Big],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{L}\bar{t}_{R}g_{L})=-A_{+}+\sin\frac{\theta_{tg}}{2}\cos\frac{\theta_{tg}}{2}\sin\frac{\theta_{t\bar{t}}}{2}\\ +(1-x_{\bar{t}})\Big],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{L}\bar{t}_{R}g_{L})=-A_{+}+\sin^{2}\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}(1-x_{t}),\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{L}\bar{t}_{R}g_{L})=-A_{+}+\sin^{2}\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}\Big],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{L}\bar{t}_{R}g_{L})=-A_{+}+\sin^{2}\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}\Big],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{L}\bar{t}_{R}g_{L})=-A_{+}+\sin^{2}\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}(1-x_{t}),\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{L}\bar{t}_{R}g_{L})=\frac{A_{++}}{\sqrt{2}}\sin\frac{\theta_{tg}}{2}\Big[x_{t}\beta_{t}\cos\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}\Big],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{L}\bar{t}_{R}g_{L})=\frac{A_{++}}{\sqrt{2}}\sin\frac{\theta_{tg}}{2}\Big[x_{t}\beta_{t}\cos\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}\Big],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{L}\bar{t}_{R}g_{L})=\frac{A_{++}}{\sqrt{2}}\sin\frac{\theta_{tg}}{2}\Big[x_{t}\beta_{t}\cos\frac{\theta_{tg}}{2}\cos\frac{\theta_{t\bar{t}}}{2}\Big],\\ \mathcal{M}(L;\gamma_{Z}\rightarrow t_{L}\bar{t}_{R}g_{L})=\frac{A_{+}}{\sqrt{2}}\sin\frac{\theta_{tg}}{2}$$

$$\begin{split} \mathcal{M}(L;\gamma_L \to t_L \overline{t_R} g_R) &= A_{++} \sin \frac{\theta_{tg}}{2} \bigg[ x_t \beta_t \cos \frac{\theta_{tg}}{2} \sin \frac{\theta_{t\bar{t}}}{2} \\ &+ (1 - x_{\bar{t}}) \sin \frac{\theta_{t\bar{t}} + \theta_{tg}}{2} \bigg], \\ \mathcal{M}(L;\gamma_R \to t_L \overline{t_R} g_R) &= 0, \\ \mathcal{M}(L;\gamma_Z \to t_L \overline{t_R} g_R) &= -\frac{A_{++}}{\sqrt{2}} \cos \frac{\theta_{tg}}{2} \bigg[ x_t \beta_t \sin \frac{\theta_{tg}}{2} \cos \frac{\theta_{t\bar{t}}}{2} \\ &- (1 - x_{\bar{t}}) \sin \frac{\theta_{t\bar{t}} + \theta_{tg}}{2} \bigg], \\ \mathcal{M}(L;\gamma_L \to t_R \overline{t_R} g_L) &= -A_{-+} \cos^2 \frac{\theta_{tg}}{2} \sin \frac{\theta_{t\bar{t}}}{2} (1 - x_t) \\ \mathcal{M}(L;\gamma_R \to t_R \overline{t_R} g_L) &= A_{-+} \sin \frac{\theta_{tg}}{2} \cos \frac{\theta_{tg}}{2} \cos \frac{\theta_{t\bar{t}}}{2} \\ &\times [x_t \beta_t - (1 - x_t)], \\ \mathcal{M}(L;\gamma_Z \to t_R \overline{t_R} g_L) &= -\frac{A_{-+}}{\sqrt{2}} \cos \frac{\theta_{tg}}{2} \bigg[ x_t \beta_t \sin \frac{\theta_{tg}}{2} \sin \frac{\theta_{t\bar{t}}}{2} \\ &- (1 - x_t) \cos \frac{\theta_{t\bar{t}}}{2} \bigg], \\ \mathcal{M}(L;\gamma_Z \to t_R \overline{t_R} g_R) &= -\frac{A_{-+}}{\sqrt{2}} \cos \frac{\theta_{tg}}{2} \bigg[ x_t \beta_t \sin \frac{\theta_{tg}}{2} \cos \frac{\theta_{t\bar{t}}}{2} \\ &- (1 - x_t) \cos \frac{\theta_{t\bar{t}}}{2} \bigg], \\ \mathcal{M}(L;\gamma_R \to t_R \overline{t_R} g_R) &= -A_{-+} \cos \frac{\theta_{tg}}{2} \bigg[ x_t \beta_t \sin \frac{\theta_{tg}}{2} \cos \frac{\theta_{t\bar{t}}}{2} \\ &- (1 - x_{\bar{t}}) \sin \frac{\theta_{t\bar{t}}}{2} \bigg], \\ \mathcal{M}(L;\gamma_Z \to t_R \overline{t_R} g_R) &= -A_{-+} \cos \frac{\theta_{tg}}{2} \bigg[ x_t \beta_t \sin \frac{\theta_{tg}}{2} \cos \frac{\theta_{t\bar{t}}}{2} \\ &- (1 - x_{\bar{t}}) \sin \frac{\theta_{t\bar{t}}}{2} \bigg], \\ \mathcal{M}(L;\gamma_Z \to t_R \overline{t_R} g_R) &= -A_{-+} \cos \frac{\theta_{tg}}{2} \bigg[ x_t \beta_t \sin \frac{\theta_{tg}}{2} \cos \frac{\theta_{t\bar{t}}}{2} \\ &+ (1 - x_{\bar{t}}) \sin \frac{\theta_{t\bar{t}}}{2} \bigg], \end{split}$$

where

$$A_{\pm\pm} = -ie^2 g_s T^a \frac{x_g [x_t x_t^- (1 \pm \beta_t) (1 \pm \beta_t^-)]^{1/2}}{\sqrt{s(1 - x_t)(1 - x_t^-)}}, \quad (A3)$$

with  $\text{Tr}(T^aT^b) = \delta^{ab}/2$ . The remaining matrix elements can be obtained from

$$\mathcal{M}(L,R;\gamma_{\lambda} \to t_{L}\overline{t_{L}}g_{\sigma}) = -(-1)^{\lambda}\mathcal{M}(R,L;\gamma_{-\lambda} \to t_{R}\overline{t_{R}}g_{-\sigma}),$$
$$\mathcal{M}(L,R;\gamma_{\lambda} \to t_{L}\overline{t_{R}}g_{\sigma}) = (-1)^{\lambda}\mathcal{M}(R,L;\gamma_{-\lambda} \to t_{R}\overline{t_{L}}g_{-\sigma}).$$
(A4)

In terms of the variables in Eq. (18) the energy fractions are

$$x_g = x\beta^2$$
,

<u>54</u>

$$x_{t} = 1 - \frac{x_{g}}{2} + x_{g} (\Delta - \frac{1}{2}) \left( \frac{\beta^{2} - x_{g}}{1 - x_{g}} \right)^{1/2}, \quad (A5)$$
$$x_{t}^{-} = 2 - x_{g} - x_{t}.$$

Here,  $\beta^2 = 1 - 4m_t^2/s$  is the tree-level velocity of the top quarks, while the velocities of the *t* and  $\overline{t}$  in the presence of the radiated gluon are

$$\beta_t^2 = 1 - \frac{4m_t^2}{x_t^2 s},$$
  
$$\beta_t^2 = 1 - \frac{4m_t^2}{x_t^2 s}.$$
 (A6)

The lab-frame angles are obtained from

$$\cos\theta_{t} = \frac{1}{x_{t}\beta_{t}x_{t}\beta_{t}} \Big[ x_{g} - x_{t} - x_{t} + x_{t}x_{t} + \frac{4m_{t}^{2}}{s} \Big],$$
  
$$\cos\theta_{tg} = \frac{1}{x_{t}\beta_{t}x_{g}} [x_{t} - x_{t} - x_{g} + x_{t}x_{g}].$$
(A7)

## APPENDIX B: $t \rightarrow b W^+ g$ DECAY AMPLITUDES

The helicity amplitudes for top quark decay with a radiated gluon can be calculated in an analogous manner to the production calculation in Appendix A. We describe the decay configuration in the top quark rest frame in terms of five variables. Two of these are the energy fractions  $x_i=2E_i/m_t$  of the  $W^+$  and of the gluon. These energies are determined by the variables of Eq. (21), and they fix all the energies and angles within the  $bW^+g$  decay plane. Two more variables are the polar angle  $\chi_t$  and azimuthal angle  $\psi_t$  of the  $W^+$  with respect to the top quark momentum boost axis. The final variable is the angle  $\phi_g$  between the plane given by the top quark boost axis and the  $W^+$  momentum and the  $bW^+g$  plane, rotated around the  $W^+$  momentum. This rotation by  $\phi_g$  also rotates the  $W^+$  decay products.

We can make explicit the dependence of the matrix elements on the variables  $\chi_t$ ,  $\psi_t$ , and  $\phi_g$  if we expand the top quark helicity eigenstates  $t_h$  onto a basis of spin eigenstates along the  $W^+$  momentum direction. Labeling these states as  $t'_h$ , we obtain the relations

$$\mathcal{M}(t_L \to b W^+ g) = e^{-i\psi_t/2} \left[ \mathcal{M}(t_R' \to b W^+ g) \sin \frac{\chi_t}{2} e^{i\phi_g/2} + \mathcal{M}(t_L' \to b W^+ g) \cos \frac{\chi_t}{2} e^{-i\phi_g/2} \right],$$
(B1)

$$\mathcal{M}(t_R \to b W^+ g) = e^{i\psi_t/2} \left[ \mathcal{M}(t_R' \to b W^+ g) \cos \frac{\chi_t}{2} e^{i\phi_g/2} - \mathcal{M}(t_L' \to b W^+ g) \sin \frac{\chi_t}{2} e^{-i\phi_g/2} \right].$$

The helicity amplitudes in this basis are

$$\mathcal{M}(t'_R \to b_L W_R g_L) = -\frac{2}{\sqrt{\zeta} x_g} \left( x_g \cos \frac{\theta_{Wg}}{2} + x_b \cos \frac{\theta_{Wb}}{2} \cos \frac{\theta_{Wg} + \theta_{Wb}}{2} \right),$$
(B2)
$$\mathcal{M}(t'_L \to b_L W_R g_L) = 0,$$

 $\mathcal{M}(t'_L \rightarrow b_L W_L g_L)$ 

$$=\frac{2}{\sqrt{\zeta x_g}}\bigg(-x_g\sin\frac{\theta_{Wg}}{2}+x_b\sin\frac{\theta_{Wb}}{2}\cos\frac{\theta_{Wg}+\theta_{Wb}}{2}\bigg),$$

 $\mathcal{M}(t_R' \to b_L W_L g_L) = 0,$ 

$$\mathcal{M}(t_R' \to b_L W_Z g_L)$$

$$=\frac{x_W(1+\beta_W)}{w\sqrt{2\zeta x_g}}\bigg(-x_g\sin\frac{\theta_{Wg}}{2}+x_b\sin\frac{\theta_{Wb}}{2}\cos\frac{\theta_{Wg}+\theta_{Wb}}{2}\bigg),$$

 $\mathcal{M}(t'_L \rightarrow b_L W_Z g_L)$ 

$$= -\frac{x_W(1-\beta_W)}{w\sqrt{2\zeta x_g}} \left( x_g \cos\frac{\theta_{Wg}}{2} + x_b \cos\frac{\theta_{Wb}}{2} \cos\frac{\theta_{Wg}+\theta_{Wb}}{2} \right),$$

 $\mathcal{M}(t'_R \rightarrow b_L W_R g_R)$ 

$$= \sqrt{x_b} \cos\frac{\theta_{Wb}}{2} \left( 2 \sqrt{\frac{x_b}{\zeta x_g}} \cos\frac{\theta_{Wg} + \theta_{Wb}}{2} - \sin\theta_{Wg} \right),$$
$$\mathcal{M}(t'_L \to b_L W_R g_R) = -\sqrt{x_b} \cos\frac{\theta_{Wb}}{2} (1 - \cos\theta_{Wg}),$$

$$\mathcal{M}(t_R' \to b_L W_L g_R) = -\sqrt{x_b} \sin \frac{\theta_{Wb}}{2} (1 + \cos \theta_{Wg}).$$

$$\mathcal{M}(t_L' \to b_L W_L g_R) = -\sqrt{x_b} \sin \frac{\theta_{Wb}}{2} \bigg( 2 \sqrt{\frac{x_b}{\zeta x_g}} \cos \frac{\theta_{Wg} + \theta_{Wb}}{2} + \sin \theta_{Wg} \bigg),$$

 $\mathcal{M}(t_R' \to b_L W_Z g_R)$ 

$$= \frac{x_W \sqrt{x_b}}{2\sqrt{2}w} \bigg[ (1+\beta_W) \sin \frac{\theta_{Wb}}{2} \\ \times \bigg( -2\sqrt{\frac{x_b}{\zeta x_g}} \cos \frac{\theta_{Wg} + \theta_{Wb}}{2} + \sin \theta_{Wg} \bigg) \\ + (1-\beta_W) \cos \frac{\theta_{Wb}}{2} (1+\cos \theta_{Wg}) \bigg],$$

$$\mathcal{M}(t'_{L} \rightarrow b_{L}W_{Z}g_{R}) = \frac{x_{W}\sqrt{x_{b}}}{2\sqrt{2}w} \bigg[ (1-\beta_{W})\cos\frac{\theta_{Wb}}{2} \times \bigg( 2\sqrt{\frac{x_{b}}{\zeta x_{g}}}\cos\frac{\theta_{Wg}+\theta_{Wb}}{2} + \sin\theta_{Wg} \bigg) + (1+\beta_{W})\sin\frac{\theta_{Wb}}{2}(1-\cos\theta_{Wg}) \bigg],$$

where we have dropped a factor of  $-iT^ag_sg/\sqrt{2}$ . In terms of the variables of Eq. (21) the energy fractions are

$$x_{g} = y(1 - w^{2}),$$

$$x_{W} = 1 + w^{2} - z \frac{x_{g}(1 - w^{2} - x_{g})}{1 - x_{g}},$$

$$x_{b} = 2 - x_{g} - x_{W},$$
(B3)

and we have also introduced the variable  $\zeta = 2p_b \times p_g/m_t^2 = 1 + w^2 - x_W$ . The velocity of the  $W^+$  is given by

$$\beta_W^2 = 1 - \frac{4w^2}{x_W^2}.$$
 (B4)

The angles in the top quark rest frame are obtained from

$$\cos\theta_{Wb} = \frac{1}{x_W \beta_W x_b} [x_g - x_W - x_b + x_W x_b + 2w^2],$$
  
$$\cos\theta_{Wg} = \frac{1}{x_W \beta_W x_g} [x_b - x_W - x_g + x_W x_g + 2w^2].$$
 (B5)

The amplitudes for  $\overline{t}$  decay in its rest frame can be obtained from these by simply using

$$\mathcal{M}(t_h \to b_\rho W_\lambda^+ g_\sigma) = \mathcal{M}(\overline{t}_{-h} \to \overline{b}_{-\rho} W_{-\lambda}^- g_- \sigma), \quad (B6)$$

while replacing all of the energies and polar angles of *t* decay with the corresponding variables of  $\overline{t}$  decay and replacing the azimuthal angles by  $\psi_t \rightarrow -\overline{\psi}_t$  and  $\phi_g \rightarrow -\phi_g$ .

## APPENDIX C: $W^+ \rightarrow q \bar{q} g$ DECAY AMPLITUDES

The helicity amplitudes for hadronic  $W^+$  decay with a radiated gluon are given in the  $W^+$  rest frame in terms of five variables. Two of these are the energy fractions  $x_i = 2E_i/m_W$  of the (up) quark and of the gluon. Two more variables are the polar angle  $\chi$  and azimuthal angle  $\psi$  of the quark with respect to the  $W^+$  momentum boost axis [20]. The final variable is the angle  $\phi_g$  between the plane given by the  $W^+$  boost axis and the quark momentum and the  $q\bar{q}g$  plane, rotated around the quark momentum axis.

We can make explicit the dependence of the matrix elements on the variables  $\chi$ ,  $\psi$ , and  $\phi_g$  if we expand the  $W^+$ helicity eigenstates  $W_h$  onto a basis of spin eigenstates along the quark momentum direction. Labeling these states as  $W'_h$ , we obtain the relations:

$$\mathcal{M}(W_L \to q \overline{q} g) = e^{-i\psi} \left[ \frac{\sin\chi}{\sqrt{2}} \mathcal{M}(W'_Z \to q \overline{q} g) \\ \times \frac{1}{2} (1 - \cos\chi) e^{i\phi_g} \mathcal{M}(W'_R \to q \overline{q} g) \\ + \frac{1}{2} (1 + \cos\chi) e^{-i\phi_g} \mathcal{M}(W'_L \to q \overline{q} g) \right],$$
$$\mathcal{M}(W_R \to q \overline{q} g) = e^{i\psi} \left[ -\frac{\sin\chi}{\sqrt{2}} \mathcal{M}(W'_Z \to q \overline{q} g) \\ \times \frac{2}{2} (1 + \cos\chi) e^{i\phi_g} \mathcal{M}(W'_R \to q \overline{q} g) \\ + \frac{1}{2} (1 - \cos\chi) e^{-i\phi_g} \mathcal{M}(W'_L \to q \overline{q} g) \right], \quad (C1)$$

$$\mathcal{M}(W_Z \to q \,\overline{q} g) = \left[ \cos \chi \mathcal{M}(W'_Z \to q \,\overline{q} g) \times \frac{\sin \chi}{\sqrt{2}} e^{i \phi_g} \mathcal{M}(W'_R \to q \,\overline{q} g) - \frac{\sin \chi}{\sqrt{2}} e^{-i \phi_g} \mathcal{M}(W'_L \to q \,\overline{q} g) \right].$$

The helicity amplitudes in this basis are

$$\mathcal{M}(W'_{L} \rightarrow q_{L}\overline{q}_{R}g_{L}) = -A\sin\frac{\theta_{qg}}{2}\cos\frac{\theta_{qg}}{2}\sin\frac{\theta_{q\bar{q}}}{2}, \quad (C2)$$
$$\mathcal{M}(W'_{R} \rightarrow q_{L}\overline{q}_{R}g_{L}) = -A\sin^{2}\frac{\theta_{qg}}{2}\cos\frac{\theta_{q\bar{q}}}{2}(1-x_{q}),$$
$$\mathcal{M}(W'_{Z} \rightarrow q_{L}\overline{q}_{R}g_{L}) = \frac{A}{\sqrt{2}}\sin\frac{\theta_{qg}}{2}\bigg[x_{q}\cos\frac{\theta_{qg}}{2}\cos\frac{\theta_{q\bar{q}}}{2} + (1-x_{q})\cos\frac{\theta_{q\bar{q}}}{2}-\theta_{qg}\bigg],$$

$$\mathcal{M}(W'_{L} \to q_{L}\overline{q}_{R}g_{R}) = A \sin \frac{\theta_{qg}}{2} \bigg[ x_{q} \cos \frac{\theta_{qg}}{2} \sin \frac{\theta_{q\overline{q}}}{2} + (1 - x_{\overline{q}}) \sin \frac{\theta_{q\overline{q}} + \theta_{qg}}{2} \bigg],$$
$$\mathcal{M}(W'_{R} \to q_{L}\overline{q}_{R}g_{R}) = 0,$$
$$\mathcal{M}(W'_{Z} \to q_{L}\overline{q}_{R}g_{R}) = 0,$$

where

$$A = -i\sqrt{2}gg_sT^a \frac{x_g(x_q x_{\bar{q}})^{1/2}}{(1 - x_q)(1 - x_{\bar{q}})}.$$
 (C3)

In terms of the variables of Eq. (23) the energy fractions are

$$x_{g} = y_{W},$$

$$x_{q} = 1 - y_{W}(1 - z_{W}),$$

$$x_{\overline{q}} = 1 - y_{W}z_{W}.$$
(C4)

The angles in the  $W^+$  rest frame are obtained from

$$\cos\theta_{q\bar{q}} = \frac{1}{x_{q}x_{\bar{q}}} [x_{g} - x_{q} - x_{\bar{q}} + x_{q}x_{\bar{q}}],$$
  
$$\cos\theta_{qg} = \frac{1}{x_{q}x_{g}} [x_{\bar{q}} - x_{q} - x_{g} + x_{q}x_{g}].$$
(C5)

The amplitudes for  $W^-$  decay in its rest frame are exactly the same as the above with the replacements  $\chi \rightarrow \overline{\chi}, \ \psi \rightarrow \overline{\psi}$  in Eq. (C1) and multiplication of the amplitudes for  $W_Z$  by -1 to conform to our phase conventions. Here,  $\overline{\chi}$  and  $\overline{\psi}$  are the polar angles of the (down) quark with respect to the  $W^-$  boost axis.

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