# Reevaluation of the hadronic contribution to $\alpha\left(M_{Z}^{2}\right)$ 

Morris L. Swartz<br>Stanford Linear Accelerator Center, Stanford University, Stanford, California 94309

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#### Abstract

We reevaluate the hadronic part of the electromagnetic vacuum expectation value using the standard dispersion integral approach that utilizes the hadronic cross section measured in $e^{+} e^{-}$experiments as input. Previous analyses are based upon point-by-point trapezoidal integration which does not treat experimental errors in an optimal way. We use a technique that weights the experimental inputs by their stated uncertainties, includes correlations, and incorporates some refinements. We find the five-flavor hadronic contribution to the fractional change in the electromagnetic coupling constant at $q^{2}=M_{Z}^{2}, \Delta \alpha\left(M_{Z}^{2}\right)$, to be $0.02752 \pm 0.00046$, which leads to a value of the electromagnetic coupling constant, $\alpha^{-1}\left(M_{Z}^{2}\right)=128.96 \pm 0.06$.


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## I. INTRODUCTION

At the current time, a large program of precise electroweak measurements is being conducted throughout the world. The object of this program is to test the electroweak standard model by comparing the measured values of a large set of electroweak observables with the predictions of the minimal standard model (MSM). The standard model calculations have been performed to full one-loop accuracy and partial two-loop precision by a large community of researchers. In all of these calculations, it is necessary to evaluate the one-particle-irreducible contributions to the photon self-energy $\Pi_{\gamma \gamma}\left(q^{2}\right)$ or the related quantity $\Pi_{\gamma \gamma}^{\prime}\left(q^{2}\right)$ $\equiv\left[\Pi_{\gamma \gamma}\left(q^{2}\right)-\Pi_{\gamma \gamma}(0)\right] / q^{2}$ at the $Z$ mass scale $q^{2}=M_{Z}^{2}$. These quantities are usually absorbed into the definition of the running electromagnetic coupling $\alpha\left(q^{2}\right)$ :

$$
\begin{equation*}
\alpha\left(q^{2}\right) \equiv \frac{\alpha_{0}}{1-\left[\Pi_{\gamma \gamma}^{\prime}\left(q^{2}\right)-\Pi_{\gamma \gamma}^{\prime}(0)\right]}, \tag{1}
\end{equation*}
$$

where $\alpha_{0}=1 / 137.0359895(61)$ is the electromagnetic fine structure constant. This quantity is also represented as the fractional change in the electromagnetic coupling constant $\Delta \alpha$ :

$$
\begin{equation*}
\Delta \alpha\left(q^{2}\right)=\frac{\alpha\left(q^{2}\right)-\alpha_{0}}{\alpha\left(q^{2}\right)}=\Pi_{\gamma \gamma}^{\prime}\left(q^{2}\right)-\Pi_{\gamma \gamma}^{\prime}(0) \tag{2}
\end{equation*}
$$

Using analytic techniques and the optical theorem applied to the amplitude for $s$-channel Bhabha scattering, the quantity $\Delta \alpha$ has been related to the cross section for the process $e^{+} e^{-} \rightarrow \gamma^{*} \rightarrow$ all ( $\sigma_{\text {tot }}$ ) as [1]

$$
\begin{equation*}
\Delta \alpha\left(q^{2}\right)=\frac{\alpha_{0}}{3 \pi} \mathrm{P} \int_{4 m_{e}^{2}}^{\infty} d s \frac{q^{2}}{s\left(q^{2}-s\right)} R_{\mathrm{tot}}(s) \tag{3}
\end{equation*}
$$

where $R_{\text {tot }}(s)$ is the ratio of the total cross section to the (massless) muon pair cross section $\sigma_{\mu \mu}(s)=4 \pi \alpha^{2}(s) / 3 s$ at the center-of-mass energy $\sqrt{s}$. The cross section $\sigma_{\text {tot }}$ is the physical cross section which has been corrected for initialstate radiation. The actual quantity measured in most experiments is discussed in the Appendix. It should be noted in passing that Eq. (3) is correct to all orders in $\alpha_{0}$ and relies
only upon the assumption that the real part of $\Pi_{\gamma \gamma}$ is much larger than its imaginary part (the next-order correction is proportional to $\operatorname{Im}^{2} \Pi_{\gamma \gamma} /\left|\Pi_{\gamma \gamma}\right|^{2}$ which is approximately $3 \times 10^{-4}$ at $q^{2}=M_{Z}^{2}$ ). It is straightforward to evaluate Eq. (3) for the continuum leptonic cross sections [2]. In the limit that the scale $q^{2}$ is much larger than the square of the lepton mass $m_{l}^{2}$, the contribution of the continuum leptonic cross sections is given by the expression

$$
\begin{equation*}
\Delta \alpha_{l}\left(q^{2}\right)=\frac{\alpha_{0}}{3 \pi} \sum_{l}\left[-\frac{5}{3}+\ln \frac{q^{2}}{m_{l}^{2}}\right] \tag{4}
\end{equation*}
$$

The remaining contributions to $R_{\text {tot }}$ consist of the continuum hadronic cross section and the $J^{P}=1^{-}$resonances and are labelled $R_{\text {had }}$. Since the cross sections for the resonances and low-energy continuum are not accurately calculable from first principles, experimental inputs are used to evaluate their contributions to Eq. (3). The contribution of open top quark production to the integral is accurately calculable and since the top quark mass is not known precisely, only the five-flavor hadronic cross section is included in $R_{\text {had }}$. The corresponding contribution to $\Delta \alpha\left(q^{2}\right)$ is, therefore,

$$
\begin{equation*}
\Delta \alpha_{\mathrm{had}}\left(q^{2}\right)=\frac{\alpha_{0}}{3 \pi} \mathrm{P} \int_{4 m_{\pi}^{2}}^{\infty} d s \frac{q^{2}}{s\left(q^{2}-s\right)} R_{\mathrm{had}}(s) \tag{5}
\end{equation*}
$$

Equation (5) has been evaluated at the $Z$ boson mass scale a number of times [3-8]. The most recent evaluations by Martin and Zeppenfeld [6], Eidelman and Jegerlehner [7], and Burkhardt and Pietrzyk [8] yield

$$
\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)= \begin{cases}0.02739 \pm 0.00042 & \text { Ref. [6] }  \tag{6}\\ 0.0280 \pm 0.0007, & \text { Ref. [7] } \\ 0.0280 \pm 0.007, & \text { Ref. [8] }\end{cases}
$$

The authors of Ref. [6] use perturbative QCD to parametrize the continuum $R_{\text {had }}(s)$ above $\sqrt{s}=3 \mathrm{GeV}$ and linear interpolation of measured data below that point. The two-body final states $\pi^{+} \pi^{-}$and $K^{+} K^{-}$are fit to parametrizations which include the $\rho, \omega$, and $\phi$ resonances. The remaining resonance contributions are calculated from an analytic expression
which results from integrating a Breit-Wigner line shape and depends upon the masses, widths, and leptonics widths of each resonance. The authors of Ref. [7] use linear interpolation (trapezoidal integration) of measured data points to evaluate the continuum, $\pi^{+} \pi^{-}$, and $K^{+} K^{-}$contributions. Above $\sqrt{s}=40 \mathrm{GeV}$, they use perturbative QCD to evaluate $R_{\text {had }}$. The contributions of the $\omega, \phi, J / \psi$-family, and Y-family resonances are included by integrating a BreitWigner line shape. The authors of Ref. [8] use smoothed averages of data to evaluate the continuum contribution, a parametrization to evaluate the $\pi^{+} \pi^{-}$contribution, and the analytic expression to evaluate the contribution of the remaining resonances.

This document reports on an evaluation of Eq. (5) which is performed in a somewhat different way from those listed above. In particular, the technique employed makes better use of the information provided by the various $R_{\text {had }}$ measurements, avoids some pitfalls inherent in the trapezoidal technique, and naturally provides an accurate estimate of the uncertainty on the result. We find

$$
\Delta \alpha_{\mathrm{had}}\left(M_{Z}^{2}\right)=0.02752 \pm 0.00046
$$

which appears to be consistent with Refs. [6-8] within quoted errors.

The result reported here updates an earlier version which was more discrepant with Refs. [6-8]. The updated value of $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ is larger than the previous one by $8.6 \times 10^{-4}$ for five reasons. The previous analysis used the six-flavor definition of $\Delta \alpha_{\text {had }}$ which differs from the five-flavor quantity by $0.6 \times 10^{-4}$. A (hopefully) less controversial choice of $\alpha_{s}\left(M_{Z}^{2}\right)$ shifts the result by $-0.5 \times 10^{-4}$. The fitting procedure used in the previous analysis was biased toward smaller $R_{\text {had }}$ values; correction of this problem gives a difference of $2.9 \times 10^{-4}$. Small corrections to the analysis of the resonant contribution change the result by $-0.1 \times 10^{-4}$. But, the largest change is caused by the incorporation of a precise, new measurement of $R_{\text {had }}$ near charm threshold which alters the result by $5.8 \times 10^{-4}$. Although the net result is somewhat closer to those given above, a detailed comparison of the actual integrated cross section with one used in a trapezoidal integration (see Sec. II G) indicates that significant differences persist.

## II. THE ANALYSIS

Any attempt to combine the results of many experiments is a perilous undertaking. Many different techniques and approaches have been used. Not all researchers have addressed all possible problems nor are systematic error estimates performed in uniform ways or to uniform standards. We therefore adopt some the techniques of the Particle Data Group [9]. Older measurements which are contradicted by newer, more precise work are excluded from the analysis. Parameter uncertainties that are extracted from fits with $\chi^{2}$ per degree of freedom (DF) larger than one are rescaled by the factor $\sqrt{\chi^{2} / N_{\mathrm{DF}}}$.

## A. Analysis technique

The experimental measurements of $R_{\text {had }}(s)$ are performed over limited regions of $W \equiv \sqrt{s}$. Typically, an experimental
result consists of several points $R_{\text {had }}^{i}=R_{\text {had }}\left(W_{i}\right)$ measured at closely spaced energy points $W_{i}$. Each set of measurements is accompanied by a set of point-to-point uncertainties (statistical and systematic) $\sigma_{i}(\mathrm{PTP})$ and an overall normalization uncertainty $\sigma$ (norm). Quite often, the point-to-point uncertainties are much smaller than the normalization uncertainty. A typical experimental result therefore consists of an accurately measured shape of less certain normalization. In this case, the values of the measured points are strongly intercorrelated. For future reference, we label these as type I correlations.

The normalization uncertainties usually incorporate purely detector-related effects, acceptance uncertainties, and uncertainties on radiative corrections and background corrections. The largest normalization uncertainties (15-20 \%) are associated with the oldest measurements of $R_{\text {had }}$ in the $W=1-5 \mathrm{GeV}$ region. These experiments typically had limited acceptance which when combined with a (common) limited understanding of the event structure lead to large uncertainties in the overall detection efficiencies. The normalization errors associated with different sets of measurements performed at similar energies and times may be strongly correlated. These correlations are distinct from those discussed above (which must be present) and are labelled as type II correlations. When combining the results of separate experiments, one must be careful to include the possible presence of type II correlations in a conservative estimate of the overall experimental uncertainty.

Most previous analyses of $\Delta \alpha_{\text {had }}$ evaluate various contributions to Eq. (5) by performing a trapezoidal integration with measured values of $R_{\text {had }}$. Different data sets are combined by weighting nearby points by the quadrature sums of their point-to-point and normalization uncertainties (assuming that all points are uncorrelated). The effects of possible type II correlations on the overall uncertainty are accounted for differently in different analyses. Eidelman and Jegerlehner [7] sum the uncertainties associated with each point linearly. Burkhardt and Pietrzyk [8] and most of the earlier analyses assign typical normalization uncertainties to various intervals in $W$ and sum the corresponding uncertainties on $\Delta \alpha_{\text {had }}$ in quadrature. The use of trapezoidal integration has two advantages: it is unbiased by human prejudice about the functional form of $R_{\text {had }}(s)$, and it would automatically account for undiscovered resonances which are broad as compared with the spacing of measurements. Unfortunately, this technique also has a serious shortcoming: it ignores the type I correlations present in each data set.

Treating the combined (normalization and point-to-point) uncertainties on the points in each set as independent loses the (often precise) shape information associated with the set. Two examples of the loss of shape information are illustrated Fig. 1. In part (a), a data set with small point-to-point errors (shown as solid dots) and a large normalization uncertainty (illustrated to the right of the data) is combined with a single precise measurement (shown as the open dot). The statistical averaging procedure used in the trapezoidal integrations would yield the function shown as the solid curve. The shape defined by the solid dots would be distorted near the single precise point and the accurate normalization information contained in the single measurement would be ignored. A more optimal procedure would use the shape information


FIG. 1. Two examples of the shape information loss inherent in the averaging procedures used by trapezoidal analyses.
provided by the solid dots and the normalization information provided by the open dot yielding the dashed curve.

Part (b) of Fig. 1 shows the result of combining two partly overlapping sets which have small point-to-point uncertainties and large normalization uncertainties (shown as open and solid dots, respectively). In the region of overlap, the sets define a consistent shape but differ in normalization. An optimal averaging procedure would average the normalizations and produce the dashed curve. The procedure adopted as part of the trapezoidal analyses would yield the solid curve which agrees with the dashed one only in the region of overlap and does not preserve the shape determined by the data sets. The trapezoidal analyses described in Ref. [4], [5], and [7] are checked by first integrating individual data sets and then by averaging the integrals. While it might appear that this procedure preserves shape information, the actual averaging of the integrals can be carried out only in energy intervals where the data sets overlap. The net result therefore looks much like the solid curve in part (b). It is not surprising that consistent results were obtained. Optimal use of the shape information can occur only in techniques that allow the normalizations of the data sets to vary. The consequences of these examples will become clearer in Sec. II G.

We incorporate correlations into the analysis by fitting the data to an appropriate functional form $R_{\mathrm{fit}}\left(s ; a_{k}\right)$ where $a_{k}$ are the parameters of the function. In the absence of undiscovered resonances, $R_{\text {had }}$ can be described by a continuous function. A $\chi^{2}$ fit has the virtue that measurements can be weighted by their experimental errors and correlations are straightforward to include. The previous version of this analysis used a nondiagonal definition of $\chi^{2}$ constructed from the covariance matrix $E_{i j}=\left\langle\Delta R_{\text {had }}^{i} \Delta R_{\text {had }}^{j}\right\rangle$ of the measured points $R_{\text {had }}^{i}$. Unfortunately, it has been shown that if the off-diagonal elements $E_{i j}$ scale with the measured values of $R_{\text {had }}^{i}$ or $R_{\text {had }}^{j}$, the resulting fit will be biased to smaller values
of $R_{\text {had }}$ [10]. The bias that resulted to our previous analysis from the application of the incorrect technique was approximately $39 \%$ of the uncertainty on the final result. We avoid the bias by defining $\chi^{2}$ as

$$
\begin{equation*}
\chi^{2}=\sum_{i} \frac{\left[R_{\mathrm{had}}^{i}-\left(1+\lambda_{j} \alpha_{i}\right) R_{\mathrm{fit}}\left(s_{i} ; a_{k}\right)\right]^{2}}{\sigma_{i}^{2}(\mathrm{PTP})}+\sum_{j} \lambda_{j}^{2} \tag{7}
\end{equation*}
$$

where $R_{\text {had }}^{i}$ is the value of $R_{\text {had }}$ measured at energy $s_{i}, \alpha_{i}=\sigma_{i}($ norm $) / R_{\text {had }}^{i}$ is the fractional normalization uncertainty associated with the $i$ th measurement, and $\lambda_{j}$ are fit parameters which are constrained to have zero mean and unit width. This form preserves shape information and propagates the normalization uncertainties into the parameters of the function $R_{\text {fit }}$. For each fit, two choices of the parameters $\lambda_{j}$ are investigated. In the first case, a separate normalization parameter $\lambda_{j}$ is assigned to each data set. This choice incorporates type I correlations only and makes no assumptions about correlations between experiments. In the second case, the normalizations of experiments of similar age and energy region are assumed to be $100 \%$ correlated. A separate normalization parameter is assigned to each correlated group instead of each set of measurements. This choice includes the effects of type I and type II correlations, produces larger error estimates (a consequence of including the type II correlations), and is the one quoted as the official result. The difference in $\Delta \alpha_{\text {had }}$ resulting from the two weighting schemes is included in the parametrization uncertainty discussed below.

Equation (5) is evaluated by performing a Simpson's rule integration using the function $R_{\mathrm{fit}}$ and the best estimate of the parameters. The parameter uncertainties $\delta a_{k}$ reflect the point-to-point and normalization uncertainties to some extent. Unfortunately, the process of fitting a large number of measurements with a function of a smaller number of parameters necessarily involves some loss of information. The resulting uncertainty on the fitting function at some point $W$ is usually smaller than the uncertainties on nearby data points. If we add a priori information to the problem by choosing a physically motivated fitting function, the information contained in the parameter error matrix may be appropriate. To understand this problem better, we evaluate the uncertainty on $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ by two techniques. In the first, the parameter uncertainties are propagated to the calculated value of $\Delta \alpha_{\mathrm{had}}\left(M_{Z}^{2}\right)$ using the following expression which is valid for any function of the parameters:

$$
\begin{equation*}
\delta^{2}\left(\Delta \alpha_{\mathrm{had}}\right)_{\mathrm{expt}}=\sum_{k, l} \frac{\partial\left(\Delta \alpha_{\mathrm{had}}\right)}{\partial a_{k}} E_{k l} \frac{\partial\left(\Delta \alpha_{\mathrm{had}}\right)}{\partial a_{l}} \tag{8}
\end{equation*}
$$

where the derivatives are calculated numerically and $E_{k l}=\left\langle\delta a_{k} \delta a_{l}\right\rangle$, is the parameter error matrix that is extracted from the fitting procedure. The second-error estimate is performed by constructing a large ensemble of data sets by shifting the measured data points $R_{\text {had }}^{i}$ (meas) as

$$
\begin{equation*}
R_{\text {had }}^{i}(\text { set } j)=R_{\text {had }}^{i}(\text { meas })+f_{i j}^{\mathrm{PTP}} \sigma_{i}(\mathrm{PTP})+f_{i j}^{\text {norm }} \sigma_{i}(\text { norm }), \tag{9}
\end{equation*}
$$

where the factors $f_{i j}$ are Gaussian-distributed random numbers of unit variance. The entire fitting and integration pro-
cedure is then applied to each member of the ensemble. The uncertainty on $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ is determined from the central $68.3 \%$ of the ensemble distribution.

The use of a fitting function has the problem that one may introduce bias through the choice of parametrization. We attempt to evaluate this effect by varying parametrizations as much as ingenuity and computer time allow. The quoted contributions to $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ are those corresponding to the best fits. Each contribution is assigned a parametrization uncertainty $\delta\left(\Delta \alpha_{\text {had }}\right)_{\text {param }}$ based upon the spread of results corresponding to reasonable fits. The parametrization uncertainty also includes a contribution from the difference observed in the two $\chi^{2}$ weighting schemes.

## B. The data

The approach to the evaluation of Eq. (5) is driven by the form of the data themselves. The total hadronic cross section can be decomposed into four pieces: the hadronic continuum above $W \equiv \sqrt{s}=1 \mathrm{GeV}$, the charged two-body final states $\pi^{+} \pi^{-}$and $K^{+} K^{-}$from their respective thresholds to 2.6 GeV , and hadronic resonances (excluding charged two-body final states). Since Eq. (5) is linear in the hadronic cross section, we decompose $\Delta \alpha_{\text {had }}$ as

$$
\begin{align*}
\Delta \alpha_{\mathrm{had}}\left(q^{2}\right)= & \Delta \alpha_{\mathrm{had}}^{\mathrm{cont}}\left(q^{2}\right)+\Delta \alpha_{\mathrm{had}}^{\pi^{+} \pi^{-}}\left(q^{2}\right)+\Delta \alpha_{\mathrm{had}}^{K^{+} K^{-}}\left(q^{2}\right) \\
& +\Delta \alpha_{\mathrm{had}}^{\mathrm{res}}\left(q^{2}\right) \tag{10}
\end{align*}
$$

where the four terms on the right-hand side correspond to the four pieces of the hadronic cross section.

The rationale for this decomposition is as follows. The region below $W=1 \mathrm{GeV}$ is dominated by the $\rho, \omega$, and $\phi$ resonances. The electromagnetic form factors for the processes $e^{+} e^{-} \rightarrow \pi^{+} \pi^{-}[11-18]$ and $e^{+} e^{-} \rightarrow K^{+} K^{-}$[18-22] are measured well from threshold to $W \simeq 2 \mathrm{GeV}$. Resonances do not account for all of the $\pi^{+} \pi^{-}$and $K^{+} K^{-}$cross sections in this region. On the other hand, essentially all other twobody and three-body final states are associated with the resonances. Measurements of three-pion final states near $W=1$ GeV [23] show the nonresonant portion to be consistent with zero. Similarly, measurements of various two-body final states such as $K_{L}^{0} K_{S}^{0}$ show small nonresonant cross sections [20]. The cross sections for four-pion final states become significant above 1 GeV but are small below that energy [24]. The $\gamma \gamma 2$ experiment [25] at the ADONE storage ring at Frascati has measured the hadronic cross section ratio for three or more hadron final states, $R_{\text {had }}^{\geqslant 3}$ from $W=1.42 \mathrm{GeV}$ to $W=3.09 \mathrm{GeV}$. They have also presented several points from 1 GeV to 1.4 GeV that are composed of various multipion cross sections from Novosibirsk and Orsay [23,24,26] and are claimed to approximate $R_{\text {had }}^{\geqslant 3}$. Measurements beginning at $W=2.6 \mathrm{GeV}$ by the Mark I [27], DASP [28], PLUTO [29], and Crystal Ball [30] collaborations claim to measure the entire cross section. We therefore conclude that $R_{\text {had }}$ is well approximated below $W_{1}=2.6 \mathrm{GeV}$ by a sum of the $\pi^{+} \pi^{-}$ and $K^{+} K^{-}$contributions from threshold to $W_{1}$ (where they are much smaller than $R_{\text {had }}^{\geqslant 3}$ ); the $R_{\text {had }}^{\geqslant 3}$ measurements from 1 GeV to $W_{1}$; and the $\rho, \omega$, and $\phi$ resonances where the hadronic widths are adjusted to remove the $\pi^{+} \pi^{-}$and $K^{+} K^{-}$ final states that are already included explicitly. Note that the


FIG. 2. The $R_{\text {had }}$ measurements of the Mark I [27] (solid squares), PLUTO [29] (open triangles), Crystal Ball [31] (open diamonds), LENA [32] (open squares), DASP [28] (open inverted triangle), and DESY-Heidelberg [35] (open circle) collaborations in the region between $W=5 \mathrm{GeV}$ and $W=9.4 \mathrm{GeV}$. The error bars include point-to-point uncertainties only. A recent QCD calculation [36] which includes quark mass effects is shown as a solid line for $\alpha_{s}\left(M_{Z}^{2}\right)=0.125$.
several broad $e^{+} e^{-}$resonances between the $\phi(1020)$ and $W=2 \mathrm{GeV}$ are implicitly contained in the two-body or $R_{\text {had }}^{\geq 3}$ categories. Since the $\pi^{+} \pi^{-}$and $K^{+} K^{-}$cross sections are very small at $W_{1}$, the $R_{\text {had }}^{\geqslant 3}$ and total continuum $R_{\text {had }}$ measurements should be continuous at this point.

At center-of-mass energies larger than $W_{1}$, many measurements of the hadronic continuum and resonances exist. The only precise measurement in the region between 2.6 GeV and 5.0 GeV is a single data point just below charm threshold at $W=3.670 \mathrm{GeV}$ by the Crystal Ball Collaboration [30]. This measurement has a normalization uncertainty of $7 \%$. Since the next most precise measurements in the region below 5 GeV have normalization uncertainties of $15 \%$, this measurement represents an important constraint on the magnitude of the cross section in the entire region. The region above charm threshold from $W=3.77 \mathrm{GeV}$ to $W=5.0$ GeV is complicated and not well measured. The Mark I, DASP, PLUTO, and Crystal Ball collaborations all observe an enhancement beyond the expected threshold shape. The DASP data show three resolved resonances. The Mark I and PLUTO data are consistent with the DASP data but do not cleanly resolve the resonances. The Crystal Ball measurements are somewhat smaller than the older ones and do not resolve the second resonance (which appears as a broad shoulder). We choose to follow the Particle Data Group (PDG) and recognize the DASP resonances: $\psi(4040)$, $\psi(4160)$, and $\psi(4415)$. The $\psi$ family therefore consists of six states.

Between 5 GeV and 10.4 GeV , the Mark I, DASP, PLUTO, Crystal Ball [31], LENA [32], CLEO [33], CUSB [34], and DESY-Heidelberg [35] collaborations have published $R_{\text {had }}$ measurements which are plotted in Fig. 2. The error bars include only point-to-point uncertainties. The recently published Crystal Ball measurements have a systematic normalization uncertainty of $5.2 \%$. The other measurements have normalization uncertainties in the range 6.8$10 \%$. The data are also compared with the recent QCD
prediction of Chetyrkin and Kuhn [36] which includes quark mass effects. At $W=5 \mathrm{GeV}$, the Mark I data are consistent with other measurements. As $W$ increases, they show a systematic increase in $R_{\text {had }}$ and suggest the presence of a structure near 6.6 GeV . Including the quoted $10 \%$ normalization uncertainty, the Mark I data are larger than the more precise measurements by approximately two standard deviations. The reader is reminded that first generation detectors like Mark I, DASP, and PLUTO were small acceptance devices that necessarily involved large acceptance corrections without the benefit of good event structure modeling. After acceptance corrections and a $\tau$-lepton subtraction, the Mark I group observed that two-charged-prong events constituted nearly $20 \%$ of the hadronic cross section of $R$ at $W=7 \mathrm{GeV}$. This is about 1.5 times the two-prong rate due to $\tau^{+} \tau^{-}$final states and three times the rate that is predicted [37] by the JETSET 7.3 Monte Carlo program [38]. While this may not be wrong, we choose to exclude data from the first generation experiments when more modern results are available. Such data are available above charm threshold. Unfortunately, we are constrained to use very old continuum measurements below charm threshold.

The PDG lists six Y-family resonances between 9.4 GeV and 11 GeV . All are included in the resonance contribution.

Above $b$-quark threshold, a number of $R_{\text {had }}$ measurements have been carried out by the experiments at the CERN $e^{+} e^{-}$ collider LEP, the SLAC $e^{+} e^{-}$collider PEP, and DESY $e^{+} e^{-}$collider PETRA. However at energies above $W=34$ $\mathrm{GeV}, Z-\gamma$ interference becomes significant. We therefore use only those measurements in the region $W \leqslant 34 \mathrm{GeV}$ where the correction for electroweak interference is less than $1 \%$.

We expect that $R_{\text {had }}$ is well described by perturbative QCD in the region above $b$-quark threshold. This implies that the world average value of the strong coupling constant $\alpha_{s}\left(M_{Z}^{2}\right)$ compiled by the PDG [9] provides a precise measurement of $R_{\text {had }}$ at $W=M_{Z}$. Since possible anomalies in the $Z$ line shape would bias the determination of $\alpha_{s}\left(M_{Z}^{2}\right)$ from the line-shape parameters, we exclude the $Z$ line shape information from the PDG average. Additionally, since we explicitly include the PEP-PETRA $R_{\text {had }}$ measurements in our fit (which uses perturbative QCD to describe the PEP-PETRA energy region), they are also excluded from the PDG average yielding the following value,

$$
\begin{equation*}
\alpha_{s}\left(M_{Z}^{2}\right)=0.116 \pm 0.005 \tag{11}
\end{equation*}
$$

To convert $\alpha_{s}\left(M_{Z}^{2}\right)$ into a determination of $R_{\text {had }}\left(M_{Z}\right)$, we use the third-order QCD expression [45]

$$
\begin{align*}
R_{\mathrm{QCD}}(s)= & 3 \sum_{f} Q_{f}^{2} \beta_{f} \frac{\left(3-\beta_{f}^{2}\right)}{2}\left\{1+\left[\frac{\alpha_{s}(s)}{\pi}\right]+r_{1}\left[\frac{\alpha_{s}(s)}{\pi}\right]^{2}\right. \\
& \left.+r_{2}\left[\frac{\alpha_{s}(s)}{\pi}\right]^{3}\right\} \tag{12}
\end{align*}
$$

where $Q_{f}$ is the final state fermion charge, $\beta_{f}=\sqrt{1-4 m_{f}^{2} / 2}$ is the fermion velocity in the $e^{+} e^{-}$center-of-mass frame ( $m_{f}$ is the fermion mass), and the coefficients are functions of the number of active flavors $N_{f}$,

$$
\begin{gather*}
r_{1}=1.9857-0.1153 N_{f} \\
r_{2}=-6.6368-1.2002 N_{f}-0.0052 N_{f}^{2}-1.2395 \frac{\left(\Sigma Q_{f}\right)^{2}}{3 \Sigma Q_{f}^{2}} . \tag{13}
\end{gather*}
$$

The resulting value of $R_{\text {had }}\left(M_{Z}\right)$ is

$$
\begin{equation*}
R_{\mathrm{had}}\left(M_{Z}\right)=3.807 \pm 0.006 \tag{14}
\end{equation*}
$$

The following three subsections describe the evaluation of the continuum contribution $\Delta \alpha_{\text {had }}^{\text {cont }}$, the contributions of the charged two-body final states $\Delta \alpha_{\text {had }}^{\pi^{+} \pi^{-}}$and $\Delta \alpha_{\text {had }}^{K^{+} K^{-}}$, and the resonance contribution $\Delta \alpha_{\text {had }}^{\text {res }}$.

## C. The hadronic continuuum

The first step in the evaluation of Eq. (5) for the hadronic continuum is to formulate a suitable (piecewise-continuous) parametrization $R_{\mathrm{fit}}\left(s ; a_{k}\right)$. We choose to use the perturbative QCD expression given in Eq. (12) in the region $W \geqslant 15 \mathrm{GeV}$ and an empirical parametrization in the region $1 \mathrm{GeV} \leqslant W$ $<15 \mathrm{GeV}$. In the high-energy region, the only free parameter is $\alpha_{s}\left(M_{Z}^{2}\right)$ which is evolved to other scales numerically using the Runge-Kutta method applied to the order $\alpha_{s}^{4}$ renormalization-group equation [46].

In the portions of the low-energy region that are measured well, polynomials are used to parametrize $R_{\text {had }}(W)$. To ensure that the function is continuous across several points $W_{a}$, the polynomials are constructed in $x_{a} \equiv W-W_{a}$ and the zeroth order terms excluded:

$$
\begin{equation*}
P_{n}^{a}(x) \equiv \sum_{i=1}^{n} d_{i}^{a} x_{a}^{i} \tag{15}
\end{equation*}
$$

where $a$ is a label to distinguish different regions. Separate polynomials are used to describe the following regions: 1 $\mathrm{GeV} \leqslant W \leqslant 1.9 \mathrm{GeV}$ (labeled region $s$ ), $1.9 \mathrm{GeV}<W \leqslant 3.6$ GeV (labeled region $c$ ), and $5.0 \mathrm{GeV}<W \leqslant 10.4 \mathrm{GeV}$ (labeled region $b$ ). Although a single, large-order polynomial is adequate to describe the data between $W=1 \mathrm{GeV}$ and charm threshold at 3.6 GeV , the data show a distinct shape change near $W=1.9 \mathrm{GeV}$ (where the four-pion cross section is becoming small). It was possible to obtain better fits by introducing an additional polynomial to describe the region from 1 GeV to 1.9 GeV . A comparison of the two possible forms is used to assess the parametrization sensitivity of the final result.

Since there are no measurements of the continuum $R_{\text {had }}$ in the $b$-quark and $c$-quark threshold regions (published measurements include a mixture of continuum and resonances), it is necessary to extrapolate the form of $R_{\text {had }}$ from 3.6 GeV to 5.0 GeV and from 10.4 GeV to 15 GeV with functions that are physically motivated. In the case of the charm threshold region, the DASP Collaboration has published (in graphical form) the shape of the continuum that was preferred by their fit to the $\psi(4040), \psi(4160)$, and $\psi(4415)$ resonances. The function which characterizes the shape of the threshold, $f_{\text {DASP }}(W)$, does not increase as sharply as the free-quark threshold factor $\beta\left(3-\beta^{2}\right) / 2$ but increases more rapidly than the $\beta^{3}$ threshold factor for pointlike scalar particles. To con-
struct the function $R_{\text {fit }}$, all three possibilities are used for the $c$-quark threshold and the two extreme possibilities are used for the $b$-quark threshold:

$$
f_{c}(W)=\left\{\begin{array}{l}
\beta\left(3-\beta^{2}\right) / 2,  \tag{16}\\
f_{\mathrm{DASP}}(W), \\
\beta^{3}
\end{array} \quad f_{b}(W)=\left\{\begin{array}{l}
\beta\left(3-\beta^{2}\right) / 2 \\
\beta^{3}
\end{array}\right.\right.
$$

where the $c$ - and $b$-quark masses are taken to be the $D$ and $B$ meson masses, respectively. The actual size of the charmassociated step in $R_{\text {had }}, \Delta R_{c}$ is left as a free parameter. The size of the bottom-associated step in $R_{\text {had }}$ is constrained to be the difference between the value of the fit function at $W=10.4 \mathrm{GeV}$ and the value of the QCD portion at $W=15$ $\mathrm{GeV}, \Delta R_{b} \equiv R_{\mathrm{QCD}}(15)-R_{\mathrm{fit}}(10.4)$.

The actual form of the fitting function is given by the expression

$$
R_{\mathrm{fit}}(W)= \begin{cases}R_{0}+P_{N_{s}}^{s}(W-1.0), & 1 \leqslant W \leqslant 1.9  \tag{17}\\ R_{\mathrm{fit}}(1.9)+P_{N_{c}}^{c}(W-1.9), & 1.9<W \leqslant 3.6 \\ R_{\mathrm{fit}}(3.6)+\Delta R_{c} f_{c}(W), & 3.6<W \leqslant 5.0, \\ R_{\mathrm{fit}}(5.0)+P_{N_{b}}^{b}(W-5.0), & 5.0<W \leqslant 10.4, \\ R_{\mathrm{fit}}(10.4)+\Delta R_{b} f_{b}(W), & 10.4<W<15.0, \\ R_{\mathrm{QCD}}(W), & 15 \leqslant W\end{cases}
$$

where $R_{0}$ the value of $R_{\text {had }}$ at $W=1 \mathrm{GeV}$, is a free parameter and the order of the polynomials is varied from 1 to 7 . The $\chi^{2}$ is constructed from Eq. (7) assuming that normalization uncertainties are completely correlated in four groups: the $20 \%$ uncertainties of the lowest energy measurements [25,26] ( $1.0 \mathrm{GeV}<W<3.09 \mathrm{GeV}$ ), the $15-20 \%$ uncertainties of the Mark I, DASP, and PLUTO measurements [2729] ( $2.6 \mathrm{GeV}<W<4.9 \mathrm{GeV}$ ), the $5-10 \%$ uncertainties of the measurements [31-35] between charm and bottom thresholds (the Crystal Ball measurement at 3.670 GeV is treated as a member of the higher-energy Crystal Ball set), and the $1.7-7.0 \%$ uncertainties of the PEP and PETRA experiments [39-44] above bottom threshold. Each fit is repeated with a separate normalization parameter for the 20 sets of data in the analysis.

The data are corrected for electroweak interference and incomplete vacuum polarization corrections (see Appendix) before the fitting procedure is applied. In the course of varying the orders of the polynomials and the number of normalization parameters, the number of free parameters varies from 12 to 44 . The fit quality does not improve substantially when the number of parameters exceeds 14 . The data and the result of the fit used to evaluate the central value of $\Delta \alpha_{\text {had }}^{\text {cont }}$ are shown in Fig. 3. The error bars include the point-to-point and the normalization uncertainties. The fit quality is reasonable ( $\chi^{2} / N_{\mathrm{DF}}=110 / 100$ ).

The various hypotheses for $R_{\text {fit }}$ are used to evaluate the integral in Eq. (5) from $s_{0}=1 \mathrm{GeV}^{2}$ to $\infty=10^{6} \mathrm{GeV}^{2}$. Although the singularity in the integrand is formally well controlled, digital computers are famous for their inability to


FIG. 3. The continuum $R_{\text {had }}$ measurements including normalization uncertainties. The entries in the region below charm threshold consist of a compilation of low energy exclusive cross sections [24,23,26] (solid inverted triangles) and the measurements of the $\gamma \gamma 2$ [25] (solid dots), Mark I [27] (open diamonds), DASP [28] (X's), Crystal Ball [30] (solid square), and PLUTO [29] (solid diamond) collaborations. The entries in the region between charm and bottom thresholds are the measurements of Crystal Ball [31] (open diamonds), PLUTO [29] (open triangles), LENA [32] (solid squares), DASP [28] (diamond-X overlay), DESY-Heidelberg [35] (square-X overlay), CUSB [34] (solid dot), and CLEO [33] (solid inverted triangle) collaborations. The entries in the region between above bottom threshold and below the $Z$ pole are the measurements of CELLO [41] (open diamonds), PLUTO [29] (open triangles), JADE [32] (open squares), Mark J [43] (open inverted triangles), TASSO [44] (circle-X overlay), HRS [39] (open circle), and MAC [40] (X) collaborations. The fit used to evaluate the central value of $\Delta \alpha_{\text {had }}^{\text {cont }}$ is shown as the solid curve.
understand formalities. We have therefore recast Eq. (5) into a form which is more suitable for electronic evaluation:

$$
\begin{align*}
\Delta \alpha_{\mathrm{had}}\left(q^{2}\right)= & \frac{\alpha_{0} q^{2}}{3 \pi}\left\{\frac{R_{\mathrm{fit}}\left(q^{2}\right)}{q^{2}} \ln \left[\frac{q^{2}-s_{0}}{s_{0}}\right]\right. \\
& -\int_{s_{0}}^{q^{2}-\Delta} d s \frac{R_{\mathrm{fit}}(s)-R_{\mathrm{fit}}\left(q^{2}\right)}{s\left(s-q^{2}\right)} \\
& -\left.\frac{\partial R_{\mathrm{fit}}}{\partial s}\right|_{q^{2}} \ln \left[\frac{q^{2}+\Delta}{q^{2}-\Delta}\right] \\
& \left.-\int_{q^{2}+\Delta}^{\infty} d s \frac{R_{\mathrm{fit}}(s)-R_{\mathrm{fit}}\left(q^{2}\right)}{s\left(s-q^{2}\right)}\right\} \tag{18}
\end{align*}
$$

where we have assumed that $R_{\mathrm{fit}}$ is well approximated by a linear expansion over the interval $q^{2}-\Delta<s<q^{2}+\Delta$ (in practice, we use $\Delta=0.5 \mathrm{GeV}^{2}$ ). The evaluation of Eq. (18) requires that $\alpha_{s}$ be evolved to scales larger than the $t$-quark mass. For this purpose, the top quark mass is assumed to be 172.3 GeV which is the modified minimal subtraction scheme ( $\overline{\mathrm{MS}}$ ) mass corresponding to a pole mass of 180 GeV .


FIG. 4. The uncertainty on the integrand of the $W$-space dispersion integral in arbitrary units. The dashed curve shows the uncertainty before the Crystal Ball data point is included in the fit and the solid curve shows the uncertainty after its inclusion.

The contribution of the hadronic continuum to $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ is found to be fairly insensitive to the form of $R_{\mathrm{fit}}$ and the number of normalization parameters used. The central value of $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ corresponds to the best estimate of the parameters of the function which uses: the DASP shape for the $c$-quark threshold, the free-quark shape for the $b$-quark threshold, the values ( $2,3,3$ ) for ( $N_{b}, N_{c}, N_{s}$ ), and four normalization parameters. The maximum deviation from this value occurs when $N_{b}=1$ and 4 (instead of 20) normalization parameters are used (the deviation is insensitive to the choice of threshold functions). The size of the maximum deviation is taken as an estimate of the parametrization uncertainty. The experimental uncertainty given by Eq. (8) is found to be in excellent agreement with the estimate derived from an ensemble of 500 fluctuated data sets. The resulting contribution to $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ is

$$
\begin{align*}
\Delta \alpha_{\text {had }}^{\text {cont }}\left(M_{Z}^{2}\right)= & 0.022106 \pm 0.000366(\text { expt }) \\
& \pm 0.000196(\text { param }) . \tag{19}
\end{align*}
$$

This result differs from our previous result by +0.000678 . Most of the difference is caused by inclusion of Crystal Ball data point at $3.670 \mathrm{GeV}(+0.000575)$. The remaining difference is due to the use of the five-flavor definition of $\Delta \alpha_{\text {had }}(+0.000059)$, a change in the value of $\alpha_{s}\left(M_{Z}^{2}\right)$ used as input ( -0.000051 ), and the change to the unbiased fitting technique ( +0.000095 ). The inclusion of the Crystal Ball point pulls the fit to somewhat larger values of $R_{\text {had }}$ and substantially constrains the normalization in the charm threshold region. The Mark II and $\gamma \gamma 2$ data span a large energy region and constrain the shape of $R_{\mathrm{fit}}(W)$ down to $W=1.4 \mathrm{GeV}$. The effect of the single precise point is therefore propagated to smaller energies. This type of effect is illustrated in Fig. 1(a) and is demonstrated in Fig. 4, which displays the uncertainty on the integrand of the $W$-space dispersion integral in arbitrary units [47]. The uncertainty is calculated using Eq. (8) (with $\Delta \alpha_{\text {had }}$ replaced by $R_{\text {fit }}$ ) to estimate the uncertainty on $R_{\mathrm{fit}}(W)$ at each energy point. The


FIG. 5. Measurements of $\left|F_{\pi}(W)\right|^{2}$ by the OLYA [11] (solid dots), CMD [11] (open diamonds), TOF [13] (solid triangles), NA7 [12] (open squares), $\mu \pi$ [16] (solid squares), MEA [18] (solid diamonds), DM1 [15] (open triangles), and DM2 [17] (open circles) collaborations are compared with the best fit which is shown as a solid line. The error bars include normalization uncertainties.
dashed curve shows the uncertainty before the Crystal Ball data point is included in the fit and the solid curve shows the uncertainty after its inclusion. Note that the overall uncertainty on $\Delta \alpha_{\text {had }}^{\text {cont }}$ is dominated by the poor precision of the data in the 1 GeV to 3.5 GeV region.

## D. The $\boldsymbol{\pi}^{+} \boldsymbol{\pi}^{-}$and $\boldsymbol{K}^{+} \boldsymbol{K}^{-}$final states

The processes $e^{+} e^{-} \rightarrow \pi^{+} \pi^{-}$and $e^{+} e^{-} \rightarrow K^{+} K^{-}$are described by the electromagnetic form factors, $F_{\pi}(s)$ and $F_{K}(s)$, which are related to the hadronic cross section ratio $R_{\text {had }}$ for each process as

$$
\begin{equation*}
R_{\text {had }}^{\pi^{+} \pi^{-}}(s)=\frac{1}{4}\left|F_{\pi}(s)\right|^{2} \beta_{\pi}^{3}, \quad R_{\text {had }}^{K^{+} K^{-}}(s)=\frac{1}{4}\left|F_{K}(s)\right|^{2} \beta_{K^{\prime}}^{3}, \tag{20}
\end{equation*}
$$

where $\beta_{\pi}$ and $\beta_{K}$ are the velocities of the final state particles in the $e^{+} e^{-}$center-of-mass frame. It is clear that measurements of the form factors are equivalent to measurements of $R_{\text {had }}$.

Measurements of the square of the pion form factor $\left|F_{\pi}\right|^{2}$ have been performed by the OLYA [11], CMD [12], TOF [13], NA7 [12], $\mu \pi$ [16], MEA [18], M2N [14], DM1 [15], and DM2 [17] collaborations and are shown in Fig. 5. The error bars include the normalization uncertainties which range from about $2 \%$ in the region around the (dominant) $\rho$ resonance to about $12 \%$ at $W \simeq 2 \mathrm{GeV}$.

The data are first corrected for incomplete vacuum polarization corrections as described in the Appendix. They are then fit to a function which is a sum of the Gounaris-Sakurai form [48] used by Kinoshita, Nizic, and Okamoto [49] and three resonances:

$$
\begin{equation*}
F_{\pi}(s)=\frac{A_{1}-m_{\pi}^{2} A_{2}}{A_{1}+A_{2} q^{2}+f(s)}+\sum_{n=1}^{3} \frac{B_{n} e^{i C_{n} m_{n}^{2}}}{s-m_{n}^{2}+i m_{n} \Gamma_{n}}, \tag{21}
\end{equation*}
$$

where $A_{1}$ and $A_{2}$ are free parameters, $m_{\pi}$ is the pion mass, $q$ and $f(s)$ are defined as

$$
\begin{gather*}
q \equiv \sqrt{s / 4-m_{\pi}^{2}}  \tag{22}\\
f(s) \equiv \frac{1}{\pi}\left[m_{\pi}^{2}-\frac{s}{3}\right]+\frac{2 q^{3}}{\pi \sqrt{s}} \ln \left[\frac{\sqrt{s}+2 q}{2 m_{\pi}}\right]-i \frac{q^{3}}{\sqrt{s}}
\end{gather*}
$$

and where $m_{n}, \Gamma_{n}, B_{n}$, and $C_{n}$ are the mass, width, amplitude, and phase of each resonance. The mass and with of the first resonance are set to those of the $\omega(782)$. All other parameters ( 12 in total) are allowed to vary. The $\chi^{2}$ function is constructed assuming that all normalization uncertainties are $100 \%$ correlated (one normalization parameter) and that the normalizations are uncorrelated (seven normalization parameters). As in the case of the continuum, the two fits give nearly identical results but the error estimate is larger when only one normalization parameter is used. The result of the single-normalization-parameter-fit is shown as a solid line in Fig. 5. The fit preferred a resonance of width 0.44 GeV at mass 1.15 GeV and a second resonance of width 0.18 GeV at mass 1.71 GeV . The fit quality is found to be good ( $\chi^{2} N_{\mathrm{DF}}=138.3 / 127$ ).

To evaluate the sensitivity of the result to the parametrization, the complete function used by the authors of Ref. [49] was also fit to the data. This function did not fit the newest (large $W$ ) data from DM2 as well as our chosen form $\left(\chi^{2} / N_{\mathrm{DF}}=201.5 / 132\right)$ Both functions were used to evaluate Eq. (5) from $s=4 m_{\pi}^{2}$ to $s=4 \mathrm{GeV}^{2}$ (where $\left|F_{\pi}\right|^{2}$ is measured to be very small). We find the $\pi^{+} \pi^{-}$contribution to $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ to be

$$
\begin{align*}
\Delta \alpha_{\text {had }}^{\pi^{+} \pi^{-}}\left(M_{Z}^{2}\right)= & 0.003240 \pm 0.000057(\text { expt }) \\
& \pm 0.000169(\text { param }) \tag{23}
\end{align*}
$$

The two techniques for the estimation of the experimental uncertainty (discussed in Sec. II A) yield consistent results.

The result given in Eq. (23) differs from our previous result by +0.000153 . The difference is due entirely to the use of the unbiased fitting technique and represents the largest problem found with the older technique.

Measurements of the square of the kaon form factor $\left|F_{K}\right|^{2}$ have been performed by the OLYA [19], CME [20], MEA [18], DM1 [21], and DM2 [22] collaborations and are shown in Fig. 6. The data span the $\phi(1020)$ resonance and continue to $W=1.8 \mathrm{GeV}$ where $R_{\text {had }}^{K^{+} K^{-}}$is less than 0.01 . The normalization uncertainty on the CMD measurements is $6 \%$. The other groups do not report normalization uncertainties. Early $\left|F_{\pi}\right|^{2}$ measurements suffered from the same problem of unreported normalization uncertainties. A bit of historical research shows that the normalization uncertainties were usually not included in the point-to-point errors. We therefore arbitrarily assign a $20 \%$ systematic normalization uncertainty to all unreported cases. The data and total uncertainties are shown in Fig. 6.

The data are fit to a function which is a sum of a BreitWigner resonance with an energy-dependent width for the $\phi$ and four resonances:


FIG. 6. Measurements of $\left|F_{K}(W)\right|^{2}$ by the OLYA [19] (solid dots), CMD [20] (open diamonds), MEA [18] (open squares), DM1 [21] (open triangles), and DM2 [22] (open circles) collaborations are compared with the best fit which is shown as a solid line. The error bars include normalization uncertainties.

$$
\begin{equation*}
F_{K}(s)=\frac{A_{1}}{s-m_{\phi}+i m_{\phi} \Gamma_{\phi}(s)}+\sum_{n=1}^{4} \frac{B_{n} e^{i C_{n}}}{s-m_{n}^{2}+i m_{n} \Gamma_{n}}, \tag{24}
\end{equation*}
$$

where $A_{1}$ is the amplitude of the $\phi, m_{\phi}$ is the mass of the $\phi(1020), m_{n}, \Gamma_{n}, B_{n}$, and $C_{n}$ are the mass, width, amplitude, and phase of the resonances. The energy-dependent width $\Gamma_{\phi}(s)$ is assumed to consist of contributions from the $K^{+} K^{-}$, $K_{L} K_{S}$, and $3 \pi$ final states:

$$
\begin{align*}
\Gamma_{\phi}(s)= & \Gamma_{\phi}^{0}\left\{\frac{\sqrt{s}}{m_{\phi}}\left[0.497 \frac{\beta_{+}^{3}(s)}{\beta_{+}^{3}\left(m_{\phi}^{2}\right)}+0.347 \frac{\beta_{0}^{3}(s)}{\beta_{0}^{3}\left(m_{\phi}^{2}\right)}\right]\right. \\
& \left.+0.156 G_{3 \pi}^{\phi}(s)\right\} \tag{25}
\end{align*}
$$

where $\Gamma_{\phi}^{0}$ is the nominal value [9] of the $\phi$ width, $\beta_{+}(s)=$ $\sqrt{1-4 m_{K^{+}}^{2} / s}$ is the velocity of the charged kaon, $\beta_{0}(s)=$ $\sqrt{1-4 m_{K^{0}}^{2} / s}$ is the velocity of the neutral kaon, and $G_{3 \pi}^{d}(s)$ is a function which is normalized to unity at $s=m_{\phi}^{2}$ and is proportional to the decay rate for $\phi \rightarrow 3 \pi$ assuming $\rho \pi$ dominance [50].

The masses and widths of the first two resonances were set to those of the $\rho(770)$ and $\omega(782)$. Following the procedure of Ref. [22], the amplitude ratios $B_{1} / A_{1}$ and $B_{2} / A_{1}$ were constrained to the measured values and the phases were set to zero. The mass, width, and amplitude of the $\phi$ were allowed to vary. The masses, widths, amplitudes, and phases of two larger mass resonances were free parameters. The $\chi^{2}$ function was constructed with the assumptions that all normalizations uncertainties are $100 \%$ correlated (one normalization parameter) and the normalization uncertainties are uncorrelated (five normalization parameters). The $\left|F_{K}\right|^{2}$ fit was the only instance for which the different assumptions about the correlation of the normalizations yielded noticeably different fit results. In this case, the assumption that the normalizations are uncorrelated (five normalization param-
eters) produced a substantially better fit to the data $\left(\chi^{2} / N_{\mathrm{DF}}=48.9 / 44\right)$ than did the assumption that they are correlated ( $\chi^{2} N_{\mathrm{DF}}=73.6 / 48$ ). The better fit is plotted as a solid line in Fig. 6. The fit preferred a resonance of width 0.17 GeV at mass 1.35 GeV and a second resonance of width 0.24 GeV at mass 1.68 GeV .

To evaluate the sensitivity of the result to the parametrization, a second fit was performed with the amplitudes and phases of the $\rho$ and $\omega$ allowed to vary as free parameters. No appreciable differences from the first pair of fits were observed. Evaluating Eq. (5) from $s=4 m_{K^{+}}^{2}$ to $s=3.24 \mathrm{GeV}^{2}$, we find the $K^{+} K^{-}$contribution to $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ to be

$$
\begin{align*}
\Delta \alpha_{\mathrm{had}}^{K^{+} K^{-}}\left(M_{Z}^{2}\right)= & 0.000356 \pm 0.000032(\text { expt }) \\
& \pm 0.000030(\text { param }) \tag{26}
\end{align*}
$$

where the parametrization uncertainty reflects the difference obtained from the two $\chi^{2}$ definitions. The two techniques for the estimation of the experimental uncertainty (discussed in Sec. II A) yield consistent results in this case.

## E. The resonances

The resonances comprise the remaining portion of the total $e^{+} e^{-}$cross section. The total cross section for each resonance can be represented by a relativistic Breit-Wigner form with an energy-dependent total width [51]:

$$
\begin{equation*}
\sigma_{\mathrm{res}}(s)=\frac{12 \pi}{m} \frac{\sqrt{s} \Gamma_{e e} \Gamma_{f s}(s)}{\left(s-m^{2}\right)^{2}+s \Gamma_{\mathrm{tot}}^{2}(s)} \tag{27}
\end{equation*}
$$

where $m, \Gamma_{e e}$, and $\Gamma_{\text {tot }}$ are the mass, electronic width, and energy-dependent total width of the resonance, and $\Gamma_{f s}$ is the energy-dependent width corresponding to the final states considered in the analysis. Note that the electronic widths are physical widths (not corrected for vacuum polarization effects). In order to incorporate the Breit-Wigner cross section described by Eq. (27) into Eq. (5), it must be scaled to the electromagnetic point cross section, $\sigma_{\mu \mu}(s)=4 \pi \alpha^{2}(s) / 3 s$, yielding

$$
\begin{equation*}
\Delta \alpha_{\mathrm{had}}^{\mathrm{res}}\left(q^{2}\right)=\frac{\alpha_{0} q^{2}}{4 \pi^{2}} \mathrm{P} \int_{4 m_{\pi}^{2}}^{\infty} d s \frac{\sigma_{\mathrm{res}}(s)}{\alpha^{2}(s)\left[q^{2}-s\right]} \tag{28}
\end{equation*}
$$

which has the slightly unpleasant feature that it incorporates $\alpha(s)$, the quantity that we are attempting to evaluate, into the integrand. To avoid this problem, we use the $\Delta \alpha_{\text {had }}(s)$ parametrization given in Ref. [4] to generate a first-order estimate of $\alpha(s)$ for use in Eq. (28). Note that Eq. (28) is often written with $\alpha(s)$ replaced by $\alpha_{0}$. This is correct only if the cross section $\sigma_{\text {res }}$ is replaced by the tree-level one, $\sigma_{\mathrm{res}}^{0}=\sigma_{\mathrm{res}} \alpha_{0}^{2} / \alpha^{2}(s)$. The factor $\alpha_{0}^{2} / \alpha^{2}(s)$ is often absorbed into Eq. (27) by defining the tree-level electronic width $\Gamma_{e e}^{0} \equiv \Gamma_{e e} \alpha_{0}^{2} / \alpha^{2}\left(m^{2}\right)$.

Equation (28) is evaluated for the $\omega(782), \phi(1020)$, $\psi$-family, and Y-family resonances by performing a Simpson's rule integration over the interval $m-60 \Gamma_{\text {tot }}$ to $m+60 \Gamma_{\text {tot }}$ (the lower limit of the $\omega$ integration is the threshold for $3 \pi$ decay). The energy-dependent total widths of the $\psi$ and $Y$ resonances are assumed to scale as $\sqrt{s}$ :

$$
\begin{equation*}
\Gamma_{\mathrm{tot}}(s)=\frac{\sqrt{s}}{m} \Gamma_{\mathrm{tot}}(m) \tag{29}
\end{equation*}
$$

where $m$ is the mass of the resonance and $\Gamma_{\text {tot }}(m)$ is the nominal value of the width. All $\psi$ and $Y$ final states are included in the resonance contribution $\left[\Gamma_{f s}(s)=\Gamma_{\text {tot }}(s)\right]$. The energy-dependent total width of the $\phi(1020)$ is given by Eq. (25). The width $\Gamma_{f s}(s)$ for the $\phi$ is adjusted to exclude the $K^{+} K^{-}$final state (discussed in Sec. II D). The energydependent total width of the $\omega$ (782) is given by the following expression which assumes that all final states are $\pi^{+} \pi^{-}$, $\pi^{0} \gamma$, or $\pi^{+} \pi^{-} \pi^{0}:$

$$
\begin{align*}
\Gamma_{\omega}(s)= & \Gamma_{\omega}^{0}\left\{\frac{\sqrt{s}}{m_{\omega}}\left[0.022 \frac{\beta_{\pi}^{3}(s)}{\beta_{\pi}^{3}\left(m_{\omega}^{2}\right)}+0.085 \frac{\left(1-m_{\pi}^{2} / s\right)^{3}}{\left(1-m_{\pi}^{2} / m_{\omega}^{2}\right)^{3}}\right]\right. \\
& \left.+0.893 G_{3 \pi}^{\omega}(s)\right\} \tag{30}
\end{align*}
$$

where $m_{\omega}$ is the mass of the $\omega, \Gamma_{\omega}^{0}$ is the nominal value [9] of the $\omega$ width, $\beta_{\pi}(s)=\sqrt{1-4 m_{\pi}^{2} / s}$ is the velocity of the charged pion, and $G_{3 \pi}^{\omega}(s)$ is a function which is normalized to unity at $s=m_{\omega}^{2}$ and is proportional to the decay rate for $\omega \rightarrow 3 \pi$ assuming a constant matrix element (phase-space weighting). The width $\Gamma_{f s}(s)$ for the $\omega$ is adjusted to exclude the $\pi^{+} \pi^{-}$final states which are included in the $\left|F_{\pi}\right|^{2}$ contribution.

The masses and widths used to evaluate Eq. (28) are taken from the 1994 Particle Data Group [9]. The PDG does not apply a consistent set of definitions to the parameters of all resonances. The electronic widths of the $\psi$ and $\Upsilon$ families are defined to be the physical ones and are derived from fits performed by the PDG itself. The electronic widths of the $\omega$ and $\phi$ resonances are determined from measurements of the total widths and electronic branching fractions $B_{e e}$. In both cases, the total widths are the correct physical ones. The average value of $B_{e e}(\omega)$ is dominated by peak cross section measurements of the CMD [52] and ND [53] collaborations which are corrected (partly) for vacuum polarization effects and lead to a determination of $\Gamma_{e e}^{0}(\omega)$. The case of the $\phi$ is less clear. Of the three most precise measurements of $B_{e e}(\phi)$, those of the DM1 [54] and OLYA [55] collaborations are not corrected for vacuum polarization effects and lead to a determination of $\Gamma_{e e}(\phi)$. The most precise measurement is a later OLYA result which has about the same precision as the combination of the two preceding results but is reported in an unpublished preprint which is no longer available for inspection. The result may (or may not) be corrected for vacuum polarization effects We make the assumption that the PDG value of $\Gamma_{e e}(\phi)$ is the physical one. This assumption cannot be wrong by more than one half of the total vacuum polarization correction (1.6\%) which we include in the uncertainty on $\Gamma_{e e}(\phi)$.

The leptonic widths are corrected for incomplete vacuum polarization correction to the normalizing cross sections (see Appendix) before Eq. (28) is evaluated. The results are listed in Table I along with those derived in Secs. II C and II D. The experimental uncertainties are evaluated by assuming that the uncertainties on the masses, total widths, electronic widths, and relevant branching ratios are uncorrelated. The

TABLE I. Summary of the various contributions to $\Delta \alpha_{\text {had }}$.

| Contribution | $W$ region $(\mathrm{GeV})$ | $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ | $\delta\left(\Delta \alpha_{\text {had }}\right)$ expt | $\delta\left(\Delta \alpha_{\text {had }}\right)$ param |
| :--- | :---: | :---: | :---: | :---: |
| Continuum | $1.0-\infty$ | 0.022106 | 0.000366 | 0.000196 |
| $\pi^{+} \pi^{-}$ | $0.280-2.0$ | 0.003240 | 0.000057 | 0.000169 |
| $K^{+} K^{-}$ | $0.987-1.8$ | 0.000356 | 0.000032 | 0.000030 |
| Resonance | $\omega^{\mathrm{a}}$ | 0.000307 | 0.000010 | 0.000003 |
| $\prime \prime$ | $\phi^{\mathrm{b}}$ | 0.000296 | 0.000012 | 0.000004 |
| $\prime \prime$ | $\psi(6$ states $)$ | 0.001101 | 0.000059 | 0.000023 |
| $\prime \prime$ | $Y(6$ states $)$ | 0.000118 | 0.000005 | 0.000003 |
| Total |  | 0.02752 | 0.00038 | 0.00026 |

${ }^{\text {a }}$ Does not include $\pi^{+} \pi^{-}$final states.
${ }^{\mathrm{b}}$ Does not include $K^{+} K^{-}$final states.
parametrization uncertainties are evaluated by repeating the calculation with a constant-width, constant-mass BreitWigner cross section.

## F. Final result

The various contributions to $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ are summarized and summed in Table I. The resulting value is

$$
\begin{equation*}
\Delta \delta \alpha_{\mathrm{had}}\left(M_{Z}^{2}\right)=0.02752 \pm 0.00046 \tag{31}
\end{equation*}
$$

Including the leptonic contribution, we find $\alpha^{-1}\left(M_{Z}^{2}\right)$ to be

$$
\begin{equation*}
\alpha^{-1}\left(M_{Z}^{2}\right)=128.96 \pm 0.06 \tag{32}
\end{equation*}
$$

where the uncertainties on the lepton masses contribute negligibly to the total uncertainty. This result differs by one of its standard deviations from the (common) result given in Refs. [7] and [8] and it differs by 0.3 standard deviations from the result given in Ref. [6]. However, since the different analyses make use of many of the same inputs, the results are not independent measurements of $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ but reflect differences in assumptions and technique.

## G. Detailed comparison with Ref. [7]

The result of Eidelman and Jegerlehner (EJ) [7] is based almost entirely upon the trapezoidal integration of locally


FIG. 7. A comparison of our total $R_{\text {had }}$ function (dashed curve) before the inclusion of the Crystal Ball measurement at 3.67 GeV with that from Ref. [7].
averaged data points. Only the narrow resonances are treated parametrically. EJ have published their composite compilation of the function $R_{\text {had }}(W)$ in a series of figures and include a detailed breakdown of the contributions of various energy intervals to $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$. Since the EJ compilation excludes narrow resonances, we construct the function $R_{\text {sum }}$ to include the same final states:

$$
\begin{align*}
R_{\text {sum }}(W)= & R_{\mathrm{fit}}(W)+\frac{1}{4}\left|F_{\pi}(W)\right|^{2} \beta_{\pi}^{3}+\frac{1}{4}\left|F_{K}(W)\right|^{2} \beta_{K}^{3} \\
& +\sum_{i=1}^{5} \sigma_{\mathrm{res}}^{i}(W) \tag{33}
\end{align*}
$$

where the sum includes the $\omega(782), \phi(1020), \psi(4040)$, $\psi(4160)$, and $\psi(4415)$ resonances. A comparison of their $R_{\text {had }}$ compilation $\left(R_{\text {had }}^{\mathrm{EJ}}\right)$ with $R_{\text {sum }}$ in the region $W=1-50 \mathrm{GeV}$ is shown in Figs. 7 and 8. The $R_{\text {had }}^{\mathrm{EJ}}$ compilation is shown as the solid curve in both figures. The dashed curve in Fig. 7 shows $R_{\text {sum }}$ before the inclusion of the Crystal Ball measurement at 3.67 GeV . The dashed curve in Fig. 8 shows $R_{\text {sum }}$ after the inclusion of the new data point. The peak of the $\phi$ between 1.00 GeV and 1.04 GeV is suppressed in both figures.

A comparison of Figs. 7 and 8 shows the effect of the Crystal Ball measurement quite clearly. Before the point is added to the analysis, there is reasonable agreement between the functions $R_{\text {sum }}(W) R_{\text {had }}^{\mathrm{EJ}}(W)$ in the region $1.0-1.8 \mathrm{GeV}$.


FIG. 8. A comparison of our total $R_{\text {had }}$ function (dashed curve) after the inclusion of the Crystal Ball measurements at 3.67 GeV with that from Ref. [7].

TABLE II. Comparison of the various contributions to $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ with those published in Ref. [7] (in units of $10^{-4}$ ). The entries in parentheses are from Ref. [7] before the application of corrections for incomplete vacuum polarization correction.

| Final state | $W$ interval $(\mathrm{GeV})$ | This work | Ref. [7] |
| :--- | :---: | :---: | :---: |
| $\rho$ | $0.28-0.81$ | 24.11 | $26.08(26.23)$ |
| $\omega$ | $0.42-0.81$ | 2.87 | $2.93(2.96)$ |
| $\phi$ | $1.00-1.04$ | 5.03 | $5.08(5.15)$ |
| $J / \psi$ |  | 11.01 | $11.34(11.93)$ |
| Y |  | 1.18 | $1.18(1.27)$ |
| All hadrons | $0.81-1.40$ | 13.55 | $13.83(13.99)$ |
| All hadrons | $1.40-3.10$ | 30.42 | $27.62(28.23)$ |
| All hadrons | $3.10-3.60$ | 5.62 | $5.82(5.98)$ |
| All hadrons | $3.60-9.46$ | 48.16 | $50.60(50.50)$ |
| All hadrons | $9.46-40.0$ | 90.67 | 93.07 |
| All hadrons | $40.0-\infty$ | 42.64 | 42.82 |
| Total |  | 275.2 | $280.4(282.1)$ |

Between 1.8 GeV and 3.6 GeV , $R_{\text {had }}^{\mathrm{EJ}}$ is generally larger than $R_{\text {sum }}$. After the introduction of the Crystal Ball measurement, the $\gamma \gamma^{2}$ measurements are renormalized to larger values and the fitting function generally exceeds the $R_{\text {had }}^{\mathrm{EJ}}$ compilation throughout the region.

The agreement between $R_{\text {sum }}$ and $R_{\text {had }}^{\mathrm{EJ}}$ in the charm threshold region between 3.6 GeV and 5.0 GeV is also quite poor. The $R_{\text {sum }}$ function follows the shape of the DASP fit to the continuum under the $\psi(4040), \psi(4160), \psi(4415)$ resonances and includes the resonances explicitly for comparison. The size of the continuum portion is determined at 3.6 GeV and 5.0 GeV by the most precise data in those regions (Crystal Ball data in both cases) yielding a continuous result. The $R_{\text {had }}^{\mathrm{EJ}}$ compilation generally exceeds $R_{\text {sum }}$ throughout the region reflecting the fact that DASP and PLUTO generally measured large values of $R_{\text {had }}$ in the region. The more precise Crystal Ball measurements begin at 5.0 GeV and pull the $R_{\text {had }}^{\mathrm{EJ}}$ function to smaller values, creating an apparent structure in the $4.4-5.0 \mathrm{GeV}$ region. The apparent structure is not seen by any of the experiments that have measured the shape and magnitude of $R_{\text {had }}(W)$ in this region and is entirely a consequence of ignoring the shape information inherent in the data (a more correct procedure would renormalize the data sets so that the integrated function was smooth and continuous in the $5-5.2 \mathrm{GeV}$ region).

In the region $W=5-10 \mathrm{GeV}$, the agreement of the $R_{\text {sum }}$ and $R_{\text {had }}^{\mathrm{EJ}}$ functions is somewhat better except for some wiggles in $R_{\text {had }}^{\mathrm{EJ}}$ at the larger energies. Above $b$ threshold and below $W=40 \mathrm{GeV}$ (where the authors of Ref. [7] begin to use perturbative QCD), the $R_{\text {had }}^{\mathrm{EJ}}$ compilation is somewhat larger than $R_{\text {sum }}$ reflecting the fact that the PEP-PETRA measurements of $R_{\text {had }}$ are somewhat larger than those predicted by perturbative QCD with currently favored values of $\alpha_{s}\left(M_{Z}^{2}\right)$.

The differences shown qualitatively in Fig. 8 are quantified in Table II using the detailed breakdown scheme presented in Ref. [7]. The entries in parentheses are from Ref. [7] before the application of corrections for incomplete vacuum polarization correction. In the Appendix, we demonstrate that the nonapplication of this correction is generally a
more accurate approximation than the use of the factor favored by the authors of Ref. [7]. A comparison of our result with the bracketed quantities (or the means of the pairs of quantities) is probably the more relevant one. Note that our value for the contribution of $\pi^{+} \pi^{-}$final states in the interval $W=0.28-0.81 \mathrm{GeV}$ of 24.11 (in units of $10^{-4}$ ) is somewhat smaller than the value of 26.08 given in Ref. [7]. The difference may be due in part to the preference of our fit for smaller values of $\left|F_{\pi}\right|^{2}$ than the central values of the OLYA measurements between 0.6 GeV and 1.0 GeV (see Fig. [5]). The opposite behavior is observed when the full function used in the analysis of Kinoshita, Nizic, and Okamoto [49] is fit to the data. The large-energy tail of this function decreases with energy more steeply than do the data points. A fit to this function prefers larger values of $\left|F_{\pi}\right|^{2}$ than the central values of the OLYA measurements between 0.6 GeV and 1.0 GeV yielding a contribution to $\Delta \alpha_{\mathrm{had}}\left(M_{Z}^{2}\right)$ of 25.39 . Excluding the influence of the steeply falling tail by restricting the fit of the KNO function to the region $W<1.0 \mathrm{GeV}$ relaxes some of the bias and yields a $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ contribution of 24.76 . These differences are reflected in the large size of the parametrization uncertainty given in Table I.

We conclude that the agreement of our analysis with one based almost entirely on trapezoidal integration is somewhat poorer than a comparison of the final $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ results would indicate. Part of the discrepancy is caused by the loss of shape information from multi-point measurements inherent in the averaging procedure which treats the individual measurements as independent. An associated side effect is that sparse, newer measurements influence the integrated function only over an interval between neighboring older measurements. The addition of the precise Crystal Ball point (which fixes the normalization of $R_{\text {had }}$ over a large region in our analysis) to a trapezoidal analysis would affect only a very small region. Conversely, the trapezoidal analysis remains influenced by older measurements until they are replaced by newer measurements at the same or very nearby energies. The effect of the apparent structure in the charm threshold region or the large $R_{\text {had }}$ values from the PEPPETRA region will persist until replaced (or influenced) by newer measurements at the same energies. The use of a continuous fitting function in our analysis allows us to interpolate between sparse but precise points. For these reasons, we do indeed "believe more in the integration of our fits than in the trapezoidal integration", as noted by the authors of Ref. [7].

## III. CONCLUSIONS

We have reevaluated the hadronic part of the electromagnetic vacuum expectation value using the standard dispersion integral approach that utilizes the hadronic cross section measured in $e^{+} e^{-}$experiments as input. Previous analyses are based upon point-by-point trapezoidal integration which does not treat experimental errors in an optimal way. We use a technique that weights the experimental inputs by their stated uncertainties, includes correlations, and incorporates some refinements. We find the five-flavor hadronic contribution to the fractional change in the electromagnetic coupling constants at $q^{2}=M_{Z}^{2}, \Delta \alpha\left(M_{Z}^{2}\right)$, to be $0.02752 \pm 0.00046$, which leads to a value of the electromagnetic coupling con-
stant, $\alpha^{-1}\left(M_{Z}^{2}\right)=128.96 \pm 0.06$.
The current generation of $Z$-pole asymmetry measurements have already determined the effective weak mixing angle $\sin ^{2} \theta_{W}^{\text {eff }}$ to a precision of $\pm 0.00028$ [56]. Future measurements may improve the determination to the $\pm 0.00020$ level. This is comparable to the theoretical uncertainty of $\pm 0.00016$ which follows from the $\pm 0.06$ uncertainty on $\alpha^{-1}\left(M_{Z}^{2}\right)$. It is clear that improved understanding of $\alpha\left(M_{Z}^{2}\right)$ is desirable and it is also clear (from Fig. 4) that improved understanding requires improved data in the $W=1-5 \mathrm{GeV}$ region. Additionally, the differences with the trapezoidal approach noted in Sec. II G stem from questions dealing with the optimal use of rather poor quality data. Improved data will tend to make these issues less important. Among the active experimental programs of the world, only the BES collaboration at the Beijing Electron Positron Collider is positioned to make improved measurements of $R_{\text {had }}$ in the region $W=2-5 \mathrm{GeV}$. They are urged to include them in their long term planning.

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## APPENDIX: VACUUM POLARIZATION CORRECTIONS

## 1. Corrections to $R_{\text {had }}$

The quantity $R_{\text {had }}$ is the ratio of $s$-channel cross sections and can be written as follows,

$$
\begin{equation*}
R_{\mathrm{had}} \equiv \frac{\sigma_{\mathrm{had}}(s)}{\sigma_{\mu \mu}(s)}=\frac{\sigma_{\mathrm{had}}^{0}(s)}{\sigma_{\mu \mu}^{0}(s)} \tag{A1}
\end{equation*}
$$

where the tree-level cross sections $\sigma^{0}(s)$ are related to the physical ones (already corrected for initial state radiation) by the simple expression, $\sigma^{0}(s)=\sigma(s) \alpha_{0}^{2} / \alpha^{2}(s)$. Since radiative corrections calculations combine external photonic corrections and virtual corrections, it is more straightforward for experiments to extract $\sigma_{\text {had }}^{0}(s)$ from their data than it is to extract $\sigma_{\text {had }}(s)$. Note that $\sigma_{\mu \mu}^{0}(s)$ is a simple numerical constant which is applied to the measured cross section after radiative corrections.

In Ref. [7], Eidelman and Jegerlehner point out that many of the earlier measurements of $R_{\text {had }},\left|F_{\pi}\right|^{2}$, and $\left|F_{K}\right|^{2}$ were corrected for leptonic vacuum polarization effects but were not corrected for hadronic vacuum polarization effects. To rectify this problem, they make the assumption that individual experiments directly measure hadronic cross sections and apply the factor

$$
\begin{equation*}
r_{c}^{\mathrm{EJ}}=\left[1+2 \Delta \alpha_{l}(s)\right] \frac{\alpha_{0}^{2}}{\alpha^{2}(s)} \tag{A2}
\end{equation*}
$$

to all measurements of $R_{\text {had }},\left|F_{\pi}\right|^{2}$, and $\left|F_{K}\right|^{2}$ below the $J \psi$ and to the Mark I measurements below charm threshold.

Unfortunately, the integrated luminosity for each measurement must be determined from the measurement of an additional physical process. Thus, experiments rarely measure cross sections directly but nearly always measure the ratios of cross sections. In this case, the measured value of $R_{\text {had }}$ (or $|F|^{2} \beta^{3} / 4$ ) is determined from the ratio of the number of observed hadronic events $N_{\text {had }}$ to the number of observed normalizing events $N_{\text {norm }}$ :

$$
\begin{equation*}
R_{\mathrm{had}}=\frac{N_{\mathrm{had}}\left(1+\delta_{\mathrm{RC}}\right)}{N_{\mathrm{norm}} \varepsilon} \frac{\sigma_{\mathrm{norm}}(s)}{\sigma_{\mu \mu}^{0}(s)} \tag{A3}
\end{equation*}
$$

where $\delta_{\mathrm{RC}}$ incorporates all radiative corrections to the hadronic yield, $\varepsilon$ is the efficiency-acceptance product for hadronic events, and $\sigma_{\text {norm }}$ is the physical cross section for the normalizing events (including all radiative effects) integrated over the acceptance used for the luminosity measurement. We note that the incomplete application of vacuum polarization corrections is a problem that applies to both the hadronic and normalizing cross sections. In this case, the actual correction should be
$r_{c}=\frac{\alpha_{l}^{2}(s)}{\alpha^{2}(s)} \frac{\sigma_{\text {norm }}(s)}{\sigma_{\text {norm }}^{l}(s)} \simeq \frac{\alpha_{0}^{2}\left[1+2 \Delta \alpha_{l}(s)\right]}{\alpha^{2}(s)} \frac{\sigma_{\text {norm }}(s)}{\sigma_{\text {norm }}^{l}(s)}$,
where $\alpha_{l}(s)$ and $\sigma_{\text {norm }}^{l}(s)$ incorporate leptonic vacuum polarization corrections only. The difference between the two right-hand terms involves the (numerically insignificant) question of whether the original vacuum polarization corrections were performed to all orders or to first order only.

All of the early measurements of $R_{\text {had }},\left|F_{\pi}\right|^{2}$, and $\left|F_{K}\right|^{2}$ are normalized to the number of lepton pairs observed in some portion of each apparatus. Most of the experiments did not have (or did not use) small-angle Bhabha scattering luminosity monitors but relied instead upon large-angle lepton pairs observed in the central region of each detector. The combination of the leptonic final states and geometric acceptance used by the major experiments is summarized in Table III. Several experiments use muon pairs to normalize their results. Since the vacuum polarization corrections to $s$-channel processes can be factorized [see Eq. (A1)], the correction factor given by Eq. (A4) is identically 1 . The remaining experiments use a combination of $e^{+} e^{-}$and $\mu^{+} \mu^{-}$events or $e^{+} e^{-}$events alone to normalize their results. The electronpair final states are produced by the sum of $s$ - and $t$-channel subprocesses. The vacuum polarization corrections to the dominant $t$-channel contributions are proportional to $\alpha^{2}(-t)$. Since the $t$-channel contribution dominates the Bhabha cross section, the correction factor $r_{c}$ is given roughly by the expression

$$
\begin{equation*}
r_{c} \sim \frac{\alpha^{2}(-t)}{\alpha^{2}(s)} \frac{\alpha_{l}^{2}(s)}{\alpha_{l}^{2}(-t)} \tag{A5}
\end{equation*}
$$

The key point in this discussion is that the dependence of $\alpha\left(q^{2}\right)$ upon the scale $q^{2}$ is logarithmic and the magnitude of $-t$ at the large angles used by most of the experiments is comparable to $s$ (typically, $-t / s=0.2 \rightarrow 0.4$ ). For this $-t$ range, the first ratio in Eq. (A5) is typically a few percent

TABLE III. Summary of the incomplete vacuum polarization correction factor $r_{c}$ and that of Ref. [7] $r_{c}^{\mathrm{EJ}}$.

| Expt. | Meas. | Norm. | $\|\cos (\theta)\|$ | $W(\mathrm{GeV})$ | $r_{c}$ | $r_{c}^{\mathrm{EJ}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| NA7 [12] | $\left\|F_{\pi}\right\|^{2}$ | $\mu \mu$ | $-0.875 \rightarrow 0.997^{\text {a }}$ | 0.320 | 1.0000 | 0.9982 |
|  |  |  |  | 0.422 | 1.0000 | 0.9972 |
| OLYA[11,19] | $\left\|F_{\pi}\right\|^{2},\left\|F_{K}\right\|^{2}$ | $e e+\mu \mu$ | $<0.71$ | 0.400 | 0.9984 | 0.9974 |
|  |  |  |  | 1.397 | 0.9952 | 0.9893 |
| CMD [11,20] | $\left\|F_{\pi}\right\|^{2},\left\|F_{K}\right\|^{2}$ | $e e+\mu \mu$ | $<0.60$ | 0.360 | 0.9988 | 0.9978 |
|  |  |  |  | 0.820 | 0.9970 | 0.9934 |
| TOF [13] | $\left\|F_{\pi}\right\|^{2}$ | $e e+\mu \mu$ | $<0.24$ | 0.400 | 0.9990 | 0.9974 |
|  |  |  |  | 0.460 | 0.9988 | 0.9968 |
| $\mu \pi[16]$ | $\left\|F_{\pi}\right\|^{2}$ | $e e$ | $<0.61$ | 1.250 | 0.9958 | 0.9902 |
|  |  |  |  | 1.520 | 0.9955 | 0.9886 |
| MEA [18] | $\left\|F_{\pi}\right\|^{2},\left\|F_{K}\right\|^{2}$ | $e e$ | $<0.77$ | 1.6 | 0.9941 | 0.9826 |
|  |  | $\mu \mu$ |  | $1.43$ | $1.0000$ | $0.9838$ |
| DM1 [15,21] | $\left\|F_{\pi}\right\|^{2},\left\|F_{K}\right\|^{2}$ | $e e$ | $<0.50$ | 0.480 | 0.9983 | 0.9966 |
|  |  |  |  | 2.060 | 0.9960 | 0.9860 |
| DM2 [17,22] | $\left\|F_{\pi}\right\|^{2},\left\|F_{K}\right\|^{2}$ | $\mu \mu$ | $<0.87$ | 1.350 | $1.0000$ | $0.9896$ |
|  |  |  |  | 2.400 | $1.0000$ | $0.9848$ |
| $\gamma \gamma 2$ [25] | $R_{\text {had }}$ | $e e$ | $<0.64$ | 1.42 | $0.9933$ | $0.9839$ |
|  |  |  |  | 3.09 | $0.9935$ | $0.9757$ |
| Mark I [27] | $R_{\text {had }}$ | $e e$ | $<0.60$ | 2.60 | $0.9936$ | $0.9772$ |
|  |  |  |  | 3.65 | 0.9958 | 0.9756 |
| DASP [28] | $R_{\text {had }}$ | $e e$ | $<0.71{ }^{\text {b }}$ | 3.6 | 0.9946 | 1.0000 |
| PLUTO [29] | $R_{\text {had }}$ | ee | $0.9816 \rightarrow 0.9977$ | 3.6 | 0.9756 | 1.0000 |
| CMD [52] | $\Gamma_{e e}^{0}(\omega)$ | $e e+\mu \mu$ | $<0.60$ | 0.782 | 0.9971 | 0.9904 |
| ND [53] | $\Gamma_{e e}^{0}(\omega)$ | $e e$ | $<0.65$ | 0.782 | 0.9942 | 0.9904 |

${ }^{\text {a }}$ Interval in $\cos \theta$.
${ }^{\mathrm{b}}$ Used small-angle $e^{+} e^{-}$events normalized to this large angle region.
less than unity and the second ratio is a few percent larger than unity. The net correction is therefore quite small. A complete calculation of the correction factor for $r_{c}$ requires that all luminosity event selection criteria be incorporated into complete calculations of $\sigma_{\text {norm }}$ and $\sigma_{\text {norm }}^{l}$ (incorporating all radiative corrections). Rather than undertake such an arduous procedure, we estimate the size of the correction from a simplified calculation which accounts for vacuum polarization effects and approximate angular acceptance. The estimate uses the low energy parametrization of $\Delta \alpha_{\text {had }}$ found in Ref. [4]. The results of this estimate are listed in Table III along with the correction advocated by the authors of Ref. [7]. Note that the corrections to the pseudoscalar form factors are estimated assuming that the original leptonic vacuum polarization corrections included electron and muon contributions. The corrections to the $R_{\text {had }}$ measurements are estimated assuming that the original corrections included only the electron contribution.

The reader should note several things. The corrections to the $R_{\text {had }},\left|F_{\pi}\right|^{2}$, and $\left|F_{K}\right|^{2}$ measurements are always a factor of seven or more smaller than systematic normalization uncertainties associated with the measurements. In all cases, the correction applied by the authors of Ref. [7], overestimates the true size of the correction. This overestimate is small where the correction is small but becomes significant at larger energies where the Eidelman-Jegerlehner correction exceeds $1 \%$. In this region, the nonapplication of the correction $\left(r_{c}=1.0\right)$ is a better approximation than the one used by
the authors of Ref. [7]. The EJ analysis did not correct the hadronic continuum measurements of the DASP and PLUTO collaborations at charm threshold although it appears that neither group applied hadronic vacuum polarization corrections [57]. The normalization DASP measurements were determined from the total number of large-angle Bhabha scattering events and are subject to a small correction. The PLUTO experiment normalized its measurements with a small-angle luminosity monitor which sampled a region of small $-t$. The cancellation of the vacuum polarization corrections is correspondingly smaller and the correction is larger.

## 2. Corrections to resonance parameters

The Breit-Wigner cross section used in Sec. II E to calculate the resonant contribution to $\Delta \alpha_{\text {had }}\left(M_{Z}^{2}\right)$ requires the mass, total width, and electronic width of each resonance as input. The electronic widths $\Gamma_{e e}$ are defined to be physical quantities (not corrected for vacuum polarization effects) and differ from the tree-level quantities $\Gamma_{e e}^{0}$ that have been used often in the past. The electronic widths for narrow and broad resonances are determined by different techniques but are always proportional to the peak hadronic cross section of the resonance (measured in $e^{+} e^{-}$collisions) or to the measured energy-integral of the hadronic cross section (taken over the resonance):

$$
\begin{equation*}
\Gamma_{e e} \propto \frac{N_{\mathrm{had}}\left(1+\delta_{\mathrm{RC}}^{\prime}\right)}{N_{\mathrm{norm}} \varepsilon} \sigma_{\mathrm{norm}}(s) \tag{A6}
\end{equation*}
$$

where all quantities are defined in Eq. (36) except for $\delta_{\mathrm{RC}}^{\prime}$ which accounts for radiative corrections to the hadronic yield but excludes vacuum polarization corrections. The inclusion of vacuum polarization corrections into $\delta_{\mathrm{RC}}^{\prime}\left(\delta_{\mathrm{RC}}^{\prime} \rightarrow \delta_{\mathrm{RC}}\right)$ yields a measurement of the tree-level quantity $\Gamma_{e e}^{0}$.

As in the case of the cross section and form factor measurements, many of the older measurements of the electronic widths were not corrected for hadronic vacuum polarization effects. It is clear that measurements of $\Gamma_{e e}^{0}$ must be corrected by the same correction factor $r_{c}$ defined in Eq. (37). However, for measurements of $\Gamma_{e e}$, vacuum polarization corrections to the hadronic yield are not applied and the appropriate correction factor $g_{c}$ pertains to the normalizing cross section only:

$$
\begin{equation*}
g_{c}=\frac{\sigma_{\mathrm{norm}}(s)}{\sigma_{\mathrm{norm}}^{l}(s)} \tag{A7}
\end{equation*}
$$

As was discussed in Sec. II E, the Review of Particle Properties lists physical widths for the $\psi$ - and Y -family resonances as derived from their own fitting procedure. The electronic width of the $\phi(1020)$ is either the physical value or an average of the tree-level and physical values and is assumed to be the physical one. The oldest measurements of these quantities were corrected for electron vacuum polarization effects only and require the application of the additional correction factor $g_{c}$. Estimates of this factor are listed in Table IV for measurements of the $\phi, J / \psi(1 S)$, and $J / \psi(2 S)$ elec-

TABLE IV. Summary of the incomplete vacuum polarization correction factor $g_{c}$.

| Expt. | Res. | Norm. | $\|\cos (\theta)\|$ | $g_{c}$ |
| :--- | :---: | :---: | :---: | :---: |
| DM1 [54] | $\phi(1020)$ | $e e$ | $<0.50$ | 1.0071 |
| OLYA [55] | $\phi(1020)$ | $e e$ | $<0.71$ | 1.0052 |
| Mark I [58] | $J / \psi(1 S)$ | $e e$ | $0.9997 \rightarrow 0.9999$ | 1.0000 |
| $\gamma \gamma 2$ [59] | $J \psi(1 S)$ | $e e$ | $0.9945 \rightarrow 0.9986$ | 1.0002 |
| MEA [60] | $J / \psi(1 S)$ | $e e$ | $<0.77$ | 1.0158 |
| DASP [61] | $J / \psi(1 S)$ | $e e$ | $<0.71$ | 1.0169 |
| Mark I [62] | $J / \psi(2 S)$ | $e e$ | $<0.69$ | 1.0204 |
| DASP [61] | $J \psi(2 S)$ | $e e$ | $<0.71$ | 1.0189 |

tronic widths. The weighted average of the $\phi$ correction factors is applied to the PDG value of $\Gamma_{e e}(\phi)$. The corrections to the $\psi$-family measurements are quite small if the original measurement was normalized to small-angle Bhabha scattering and can be as large as $2 \%$ if the large angle cross section was used as a normalization. Unfortunately, since the quoted electronic widths are derived from global fits, it is difficult to estimate the effect on the final value of $\Gamma_{e e}$. Therefore, we do not apply any corrections to the electronic widths of the $\psi$-family but we do inflate the uncertainties on $\Gamma_{e e}$ by the size of the largest correction.

Unlike the other resonances, the electronic width of the $\omega(782)$ listed by the Particle Data Group is the tree-level one. We therefore apply the weighted average of the correction factors $r_{c}$ listed in Table III for the dominant CMD and ND measurements.
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