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Identification of top quarks using kinematic variables

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We have used a kinematic technique to distinguish top quark pair production from background in $p\bar{p}$ collisions at \sqrt{s} = 1.8 TeV, applied to 67 pb⁻¹ of data. We define a sample of $W + \ge 3$ jet events in which the jets are produced at large angles relative to the incident beams. In this sample, we find an excess of events with large jet transverse energies relative to expectations from background. The excess is consistent with top quark production; a large fraction of events in this kinematic region contains b jets. We interpret these results as evidence that most of the selected events are from $t\bar{t}$ decay.

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FIG. 1. (a) VECBOS QCD and HERWIG $(M_{top} = 170 \text{ GeV}/c^2)$ top Monte Carlo predicted distributions for the $W + \ge 3$ jet signal sample. Both distributions are normalized to one; $Q^2 = M_W^2$ is used in the VECBOS calculation. (b) Data; the shaded area indicates the b-tagged events from SVX and SLT; the darker area indicates events with more than one SVX or SLT tag.

At the Fermilab Tevatron collider, standard model top quarks predominantly are produced in pairs, and decay as follows: $t\overline{t} \rightarrow W^+b W^-b\overline{b}$, where W is the intermediate vector boson, and b represents a b quark that generates a hadron jet. Events in which both W's decay leptonically $(W \rightarrow e \nu, \mu \nu)$ are called dilepton events. Events in which one W decays leptonically and the other hadronically $(W \rightarrow qq'$, where q and q' represent light quarks) are called "lepton+jet" events. Recently the Collider Detector at Fermilab (CDF) and DO experiments reported observation of the top quark based on an excess of dilepton events and lepton $+$ jet events compared to the expected background $[1,2]$. In this paper we report the results of a simple technique which selects top quark production based on the kinematics of the events, i.e., using the transverse energies of the observed jets [3]. Initial results from this approach were reported in [4], but with a data sample from 19.3 pb⁻¹ of integrated luminosity compared with 67 pb^{-1} for this study.

The CDF detector is described elsewhere [5]. It features charged particle tracking in a solenoidal magnetic field, surrounded by calorimeters with approximately 4π coverage and muon chambers.

We select candidate $t\bar{t}$ events in the electron or muon + jets channel as follows: Events containing a W that decayed to an electron or muon are selected by requiring an electron with transverse energy $E_T^e > 20$ GeV, or a muon with transverse momentum, $P_T^{\mu} > 20$ GeV/c. In addition we require missing transverse energy, E_T > 25 GeV (signaling the presence of a neutrino from the W decay), and the transverse mass of the lepton and missing energy, $M_T > 40 \text{ GeV}/c^2$ [6]. We further require that candidate events contain at least three jets with transverse energy $E_T(\text{jet}) > 20 \text{ GeV}$ and with

FIG. 2. Same as in Fig. 1, for the control sample.

 $|\eta(\text{jet})|$ < 2.0. The three jets are required to be separated from each other by $\Delta R \ge 0.7$, where ΔR is the distance in the η , ϕ plane. Jets are reconstructed within a cone of radius $R = 0.4$ around the calorimeter energy cluster centroid [7]. Jet energies (and therefore E_T) are corrected by a pseudorapidity- and energy-dependent factor, which accounts for calorimeter nonlinearity and reduced response at detector boundaries. With these requirements, the sample contains 158 events [8].

The expected jet E_T distributions for top events are computed with the HERWIG Monte Carlo [9] program, as in Ref. [4], using $M_{\text{top}}=170 \text{ GeV}/c^2$ [10]. The expected $W+\text{jets}$ background distributions are computed with the VECBOS Monte Carlo program [11] with $W+3$ jets matrix elements and HERWIG jet fragmentation. VECBOS predictions are found to be in good agreement with the jet E_T distributions in $W + \ge 1$ jet, $W + \ge 2$ jet, and $Z +$ jet events, and with the angular distribution of jets in $W + \ge 2$ jet events [4]. Jets from $t\bar{t}$ decay are expected to be emitted at larger polar angles $\lceil 3 \rceil$ than those from directly produced W's with associated jets. Therefore we select a "signal sample" of $W + \geq 3$ jet events by requiring all three highest E_T jets to have $|\cos\theta^*(jet)|$ < 0.7, where θ^* is the jet polar angle in the rest system of the lepton, E_T and all jets with $E_T > 15$ GeV [12]. Events which fail the θ^* cut form a backgroundenriched "control sample." There are 47 events in the signal sample and 111 in the control sample. Monte Carlo studies indicate that the signal and control samples should contain about the same number of top events, while the contribution from direct $W + jet$ production in the signal sample is expected to be approximately three times smaller than in the control sample. The reduced systematic errors associated with the smaller background should improve the sensitivity of the analysis.

We use the E_T of the second and third highest E_T jets to calculate a "relative likelihood" (L) for each event, as a

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TABLE I. Comparison of the numbers of observed SVX tagged events in the signal and control samples with those expected based on the top content of the samples. The top content is estimated from a two component fit of top and QCD background to the $ln(L)$ distributions. The expected number of tagged events from background is modified based on the estimated $t\bar{t}$ content of the sample. Comparisons are shown for two different Q^2 choices used to simulate the VECBOS background shapes.

Sample	$t\bar{t}$ events from fit	Exp. number of tagged events from backg.	Exp. number of tagged events $tT + \text{background}$	Observed SVX tagged events
Signal $(Q^2 = M_w^2)$	18.0 ± 5.5	1.7 ± 0.2	9.6 ± 2.6	8
Signal $(Q^2 = \langle P_T \rangle^2)$	18.8 ± 5.4	1.7 ± 0.2	10.0 ± 2.6	8
Control $(Q^2 = M_W^2)$	$0.8 + 8.1$	4.1 ± 0.4	4.4 ± 3.0	4
Control $(Q^2 = \langle P_T \rangle^2)$	14.5 ± 8.1	3.6 ± 0.4	8.8 ± 3.0	4

measure of whether the event is more "top-quark-like" or more "QCD-background-like." The relative likelihood is defined in terms of the Monte Carlo predicted jet E_T distributions $d\sigma^{t}$ /dE_T for $t\bar{t}$ (for a given top quark mass), normalized to unit area, and the same quantity for direct (QCD) $W+$ jet production:

$$
L = \frac{\left(\frac{1}{\sigma^{tt}} d\sigma^{t\bar{t}}\right)\left(\frac{1}{\sigma^{tt}} d\sigma^{t\bar{t}}\right)}{\left(\frac{1}{\sigma^{QCD}} d\sigma^{QCD}\right)\left(\frac{1}{\sigma^{QCD}} d\sigma^{QCD}\right)}.
$$
 (1)

The relative likelihood allows a comparison of each individual event to the expectation for QCD and for top in terms of a single number [13]. When $L>1$ [i.e., $ln(L)>0$] the event is more top-quark-like than QCD-like, and vice versa. We note that this comparison does not depend on absolute rate predictions but rather depends on differences in the predicted shapes of the jet E_T distributions. In Fig. 1(a) we show the expected ln (L) distributions for Monte Carlo $t\bar{t}$ (with $M^{top}=170 \text{ GeV}/c^2$ and direct $W+$ jet events in the signal sample. In Fig. 1(b) we show the data sample. The Monte Carlo simulations predict that $22 \pm 5\%$ of direct W+jet events will be at $ln(L) > 0$ [4]. However, we observe 25 events at $ln(L)$ < 0 and 22 events at $ln(L)$ > 0. This result is similar to that observed previously with 19.3 pb⁻¹ of data in [4]. We have evaluated backgrounds from non-W and WW events in the same way as in Ref. [4]. The estimated total number of these events in the signal sample is 8.1 ± 2.0 . These background events are expected to have jet E_T distributions for the second and third highest E_T jet which are softer than the VECBOS prediction for QCD $W + jets$ production. As a result this background is expected primarily at $ln(L)$ <0. Conservatively, in what follows we use the QCD background shape to represent the shape of all background.

If we make the conservative assumption that all events at $ln(L)$ < 0 are background and normalize the expected background distribution to the observed events with ln (L) < 0, we expect 7.1 \pm 2.1 events at ln(L) > 0 compared to 22 observed. If the entire signal sample were background, then allowing for systematic uncertaintities (Q^2) scale in QCD Monte Carlo, jet energy scale as in [4]) we obtain a

probability of less than 0.26% that the 47 events of the signal sample would be distributed with at least 22 events at ln $(L) > 0.$

Figure 2(a) shows the control sample $ln(L)$ distributions for Monte Carlo $t\bar{t}$ and directly produced $W+$ jet events. In Fig. 2(b) we show the $ln(L)$ distribution of the data. There are 79 events at $ln(L) < 0$ and 32 at $ln(L) > 0$.

In order to extract the top content of the sample, we perform a two-component fit to the observed signal and control sample $ln(L)$ distributions using the Monte Carlo predictions for the shape of the $t\bar{t}$ and QCD $ln(L)$ spectra. For vECBOS we use both the predictions based on $Q^2 = M_W^2$ [harder E_T (jet) spectra] and $Q^2 = \langle P_T \rangle^2$ [softer E_T (jet) spectra]; $\langle P_T \rangle$ is the average P_T of all partons in the event. For the signal sample the fit yields $18.0±5.5$ ($18.8±5.4$) top events for $Q^2 = M_W^2$ $(Q^2 = \langle P_T \rangle^2)$. The fitted content of $t\overline{t}$ events in the signal sample is consistent with the $t\bar{t}$ production cross section reported in [1]. For the control sample the twocomponent fit yields 0.8 ± 8.1 and 14.5 ± 8.1 top events for $Q^2 = M_W^2$ and $Q^2 = \langle P_T \rangle^2$, respectively. The strong dependence on Q^2 of the estimated top content in the control sample results from the larger background in this sample. The results show that the data are not inconsistent with the expectation that the control sample and signal sample contain a comparable number of $t\bar{t}$ events.

The CDF detector is equipped with a silicon vertex detector $(SVX [15])$ with which we can measure the impact parameter of charged tracks to a precision of \simeq 10 μ m. A tagging algorithm $[1]$ identifies b-quark jets by reconstructing their decay vertices and their distances from the primary event vertices (SVX tags). A second technique tags b-quark jets by searching for additional leptons from semileptonic b decay (SLT tags $[1]$). In Fig. $1(b)$ the shaded area indicates events with jets tagged by the SVX or SLT. The darker area indicates events with more than one SVX or SLT tag. There are 13 SVX tags [all at $ln(L) > 0$] in 8 events compared to 2.80 \pm 0.35 [1.37 \pm 0.17 for ln(L) > 0] SVX tags expected, if all events were background. In the $ln(L) > 0$ region, the probability that the observed tags are due to a statistical Auctuation of the background is less than 1×10^{-4} [14]. Using the SLT tagging algorithm, which has a worse signal-tobackground ratio, we observe 11 SLT tags with an expected background of 5.6 ± 0.8 . In the control sample, we observe 5 SVX tags in 4 events compared to a background estimate of 4.10 ± 0.44 , and 9 SLT compared to an expected background of 8.1 ± 1.2 .

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As a consistency check we compare the number of observed SVX tagged events in the signal and control samples with what we expect from the top content of the samples. The top content is estimated from the two-component fits to the $ln(L)$ distributions. Multiplying this by the SVX tagging efficiency [16] and adding the expected tags from background yields the expected number of SVX tagged events. The results of this comparison are shown in Table I. Predictions are shown for the two different Q^2 choices used to simulate the VECBOS background shapes for the twocomponent fits. The agreement between expected and observed tags is good. We note, however, that the number of SVX tags observed in the control sample indicates that the top fraction in this sample may be lower than expected from $t\bar{t}$ Monte Carlo events.

In summary, we observe an excess of events with kinematics as expected for a heavy top quark, compared to direct production of $W +$ jets. We conservatively estimate a probability of less than 0.26% that the 22 events observed at $\ln(L) > 0$ in the signal sample are entirely due to a statistical

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- [7] The jet cone radius is defined as $R = \sqrt{\Delta \phi^2 + \Delta \eta^2}$, where $\Delta \phi$ is the cone half-width in azimuth and $\Delta \eta$ is the cone half-width in pseudorapidity.

fluctuation of the background. A large fraction of these events are b tagged, as expected from top production. The probability that the observed b-tagged events in the kinematically selected "top-quark-like" region are due to a background fluctuation is less than 1×10^{-4} [14]. These results confirm the previously reported evidence [4] that $t\bar{t}$ production can be observed using the jet transverse energy distributions of $W + \geq 3$ jet events, and that by appropriate kinematic selection we can obtain a sample of events significantly enriched in $t\bar{t}$.

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