

Angular correlations and light gluinos in multijet photoproduction at DESY HERA

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A study of $3 + 1$ jet event photoproduction at DESY HERA is presented. We define an angular variable which is sensitive to the topology of the final-state jets and is therefore able to discriminate between the different contributing subprocesses, and between QCD and an Abelian gluon model. We also investigate the contribution from the direct production of light gluinos to the $3 + 1$ cross section.

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I. INTRODUCTION

The DESY electron-proton collider HERA provides a unique opportunity to study photoproduction processes at high energy [1]. When the scattering angle of the electron is small, the square of the four-momentum transfer is also small and the exchanged particle can be considered as a quasireal photon. Such photons interact electromagnetically with leptons and quarks, but also have a hadronic component (i.e., quark and gluon constituents) from branching processes such as $\gamma \rightarrow q\bar{q}$, $\gamma \rightarrow q\bar{q}g$, etc. These two types of photon interactions give rise to what are called “direct” and “resolved” processes, respectively. Calculations indicate that for the paradigm hard photoproduction process, the production of two jets, the resolved part gives an important contribution at low and moderate jet transverse momenta [2, 3].

The center-of-mass energy of photon-proton scattering at HERA, typically of order 200 GeV, is large enough to allow the production of multijet events, i.e., events with more than two large p_T jets in the final state. As at the CERN e^+e^- collider LEP, these can provide detailed tests of QCD matrix elements, as well as measurements of the strong coupling α_s from comparing cross sections for the production of different numbers of jets.

In this study, we perform a detailed analysis of the production of $3 + 1$ large p_T jet events at HERA.¹ We will be interested in the case when all the jet transverse momenta are large, in which case the subprocess center-of-mass energy is a sizable fraction of the overall γp energy and as a result the resolved part of the photon gives a negligible contribution. We therefore restrict ourselves to the subprocesses $\gamma q, \gamma g \rightarrow (q\bar{q}, qgg, q\bar{q}g, \dots)$. The idea is to try to perform the same type of QCD matrix-element tests that have been performed using the four-jet sample of jet events at LEP, where the dominant processes are $\gamma^* \rightarrow q\bar{q}gg, q\bar{q}q\bar{q}$.

As at LEP, it should be feasible to define angular distributions that help in discriminating the parton com-

position of the final states. At LEP, these have been used, for example, to distinguish QCD from an Abelian gluon theory and also to put bounds on the existence of new light fermion species. This is particularly important since light, neutral, colored fermions, the so-called “light gluinos,” have not yet been conclusively ruled out by experiments [4]. There has been some speculation that the contribution of such particles to the QCD β function would reconcile the measurements of α_s at low and high energies [5–9] (however, see also [10]). Recently, several studies suggesting methods of closing the existing window have been published [11–14]. At the HERA collider, the $3+1$ cross section is the leading-order cross section for the pair production of such gluinos, and might therefore provide the first direct evidence for their existence.

The $2 \rightarrow 3$ matrix elements which we use to calculate the $3+1$ jet cross sections give rise, in general, to infrared and collinear singularities when the final state particles are soft and/or collinear with each other and with the incoming particles. We will regulate these in the standard way by requiring that the transverse momenta of the final-state particles (jets) exceeds a certain cutoff P_T^{\min} , and by requiring a minimum $\Delta r = (\Delta\eta^2 + \Delta\phi^2)^{1/2}$ separation in the pseudorapidity-azimuthal plane (η, ϕ) [15]. This way of defining jet final states has already been used successfully at HERA [16]. The maximum pseudorapidity of each jet is also restricted, to keep the jets away from the beam direction.

In the following section we describe the general features of the $3 + 1$ jet cross section, and calculate the contributions to the total cross section from the different subprocesses. In Sec. III we introduce an angle which characterizes the topology of the final state and which can, in principle, discriminate between the different subprocesses. In Sec. IV we discuss a possible “light gluino” contribution to the cross section, and in Sec. V we present our conclusions.

II. THE TOTAL $3 + 1$ JET CROSS SECTION

The total cross section for a $3 + 1$ jets final state can be written schematically as

¹The “+1” refers to the proton remnant jet.

$$\begin{aligned} \sigma(ep \rightarrow e + 3 \text{ jets} + X) \\ = \sum_{a, c_i = q, g} G_{\gamma/e} * G_{a/p} * \hat{\sigma}(\gamma a \rightarrow c_1 c_2 c_3), \end{aligned} \quad (1)$$

where $G_{\gamma/e}$ and $G_{a/p}$ denote the photon content of the electron and the parton content of the proton, respectively. For the latter, we use the Martin-Roberts-Stirling set D'_0 [MRS(D'_0)] [17], although none of the quantities that we will calculate will be particularly sensitive to this choice—our quarks and gluons will be probed at relatively large x where they are well constrained by deep inelastic and other data. The symbol $*$ denotes a convolution operation and $\hat{\sigma}$ refers to the partonic cross sections of the relevant processes. The Q^2 scale in the parton distributions and in the strong coupling constants in the subprocess cross sections is set equal to the minimum jet transverse momentum in each event. To a very high accuracy the Weizsäcker-Williams approximation [18] [already implicit in Eq. (1)] can be used for the photon content of the electron [19]:

$$G_{\gamma/e} = \frac{\alpha}{2\pi} \ln\left(\frac{s}{4m^2}\right) \frac{1 + (1-x)^2}{x}, \quad (2)$$

where α is the electromagnetic coupling constant, s is the center-of-mass energy squared, and x is the fraction of energy lost by the electron $x = (E - E')/E$. In a recent paper Frixione *et al.* [20] have studied the validity of the approximation (see also [21]). The subleading corrections are negative, indicating that the above approximation always overestimates the cross section. None of our results, however, depend sensitively on the absolute size of our

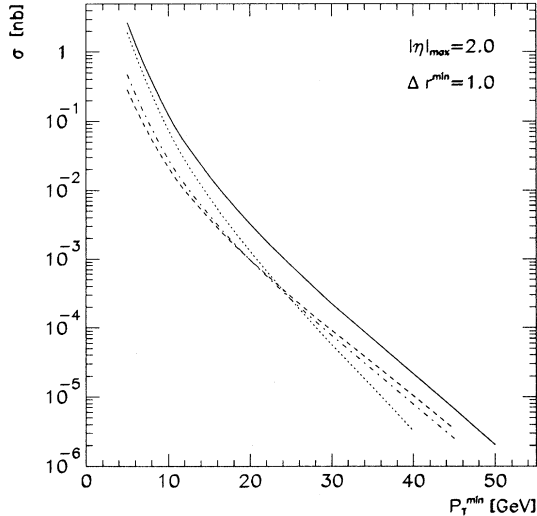


FIG. 1. Total cross section for the process $e + p \rightarrow e + 3 \text{ jets} + X$ as a function of the minimum jet transverse momentum cut P_T^{min} . The UA1 jet algorithm is used with $\Delta r^{\text{min}} = 1.0$. The maximum jet pseudorapidity is $|\eta|_{\text{max}} = 2.0$. The solid line corresponds to the total cross section, summed over all subprocesses. The dashed, dotted, and dash-dotted lines show the contributions from the 1 quark + 2 gluons, 2 quarks + 1 gluon, and 3 quarks final states, respectively.

cross sections.

In Fig. 1 we show the total cross section for the process $e + p \rightarrow e + 3 \text{ jets} + X$ as a function of the minimum transverse momentum cut P_T^{min} . The contributions of the different subprocesses are also shown. We have set the cutoff Δr^{min} of the jet-defining algorithm to 1.0, in accordance with Ref. [22], and the maximum rapidity of the jets (in the γp center-of-mass frame) is 2.0. Notice that the 2 quark + 1 gluon configuration dominates at low P_T^{min} . This contribution is proportional to the gluon distribution in the proton, which at small x (i.e., small P_T^{min}) is larger than the quark distributions. At large P_T^{min} , on the other hand, the partons are probed at large x and the quark-induced subprocesses dominate. The crossing between the two occurs at around $P_T^{\text{min}} = 25 \text{ GeV}/c$.

III. ANGULAR DISTRIBUTIONS

Angular variables for multijet final states have long been used in e^+e^- colliders, see, for example, Ref. [23]. Because of their different helicity and color properties, quarks and gluons exhibit different behavior in certain kinematic variables. This fact can be used to discriminate the parton content of the final-state jets. For example, for $e^+e^- \rightarrow 4 \text{ jets}$ the modified Nachtmann-Reiter angle and the azimuthal angle between the planes defined by the two final-state jet pairs have led to important tests of the QCD structure of the matrix elements [24].

Here we attempt to define an analogous angular variable which is suited to the study of 3 + 1 jet final states at the HERA ep collider.² First, the jets are ordered according to their transverse momentum P_T . Then the angle θ_H between the planes formed by the highest P_T jet and the beam and the plane formed by the other two jets is computed. In addition, we require the highest P_T jet to be central in rapidity, so that the typical configuration is that of an energetic jet in one hemisphere balanced by two less energetic jets in the opposite hemisphere.

One important difference between LEP and HERA is that only for the former do the lab and multijet center-of-mass frames coincide. Any information about the angular correlations between the final-state partons at HERA tends to be smeared out in going from the parton subprocess frame to the lab frame. In order to understand the underlying QCD physics, therefore, we first analyze the angular distribution in the subprocess center-of-mass frame.

We first consider the angular distributions between the final states *without* a central rapidity cut on the highest P_T jet. In this case we find that the 1 quark + 2 gluons final state ($\gamma q \rightarrow qgg$) shows a qualitatively different behavior to the other two processes. For this process we would expect the quark to be the most energetic particle because of the infrared singularities for soft gluon emission. (This is analogous to the $q\bar{q}g$ final state at LEP

²We assume in what follows that a sufficiently large sample of such events will be collected over the lifetime of the machine in order to perform such a study.

where the gluons are generally the softest jets.) The distribution in the polar angle formed by the beam direction and the quark jet is fairly flat, having a broad peak around 90° and decreasing at 0° and 180° due to the rapidity and P_T^{\min} cuts. However, in the 3 quarks final state ($\gamma q \rightarrow qq\bar{q}$), the highest P_T jet has a distribution of the angle with the beam direction peaked at low angles. This difference can be understood as follows. In the first case, diagrams involving the trilinear gluon coupling give an important contribution and the subprocess scattering is effectively $\gamma q \rightarrow qg^*$ ($\rightarrow gg$), with t -channel fermion exchange at small angles. In the second case, the dominant configuration is effectively $\gamma \rightarrow q\bar{q}$ followed by $qq \rightarrow qq$, which proceeds via t -channel gluon exchange and is therefore more peaked at small angles. The 2 quark+1 gluon final-state process ($\gamma g \rightarrow q\bar{q}g$) shows a distribution in polar angle of the largest P_T jet similar to that of the 3 quark final state. Here, again, the dominant configuration involves an initial-state $\gamma \rightarrow q\bar{q}$ splitting followed by $qg \rightarrow qg$ involving t -channel gluon exchange.

Now since we are interested in increasing the sensitivity to the triple-gluon vertex in *final-state* gluon radiation, as at LEP, it is sensible to require the fastest P_T jet to be central in rapidity, thus suppressing processes involving *initial-state* splitting, like the second and third type discussed above.

In Fig. 2 we show the total cross section for the 3+1 process in the HERA frame when the central cut $|\eta| < 0.5$ for the largest P_T jet is included. Note that the overall decrease in rate compared to Fig. 1 is not particularly significant.

In Fig. 3 the distributions in the angle θ_H , in the subprocess center-of-mass frame, are presented for the sum

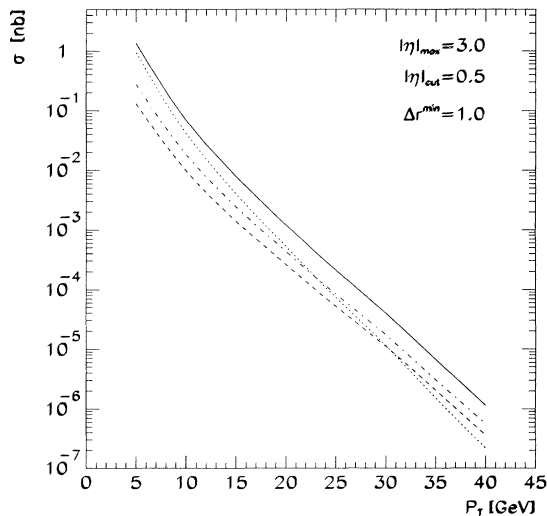


FIG. 2. Total cross section for the process $e + p \rightarrow e + 3 \text{ jets} + X$ as a function of the minimum jet transverse momentum cut P_T^{\min} , when a central cut $|\eta| \leq 0.5$ on the highest P_T jet is included and $|\eta|_{\max} = 3.0$. The solid line corresponds to the total cross section, summed over all subprocesses. The dashed, dotted, and dash-dotted lines show the contributions from the 1 quark + 2 gluons, 2 quarks + 1 gluon, and 3 quarks final states, respectively.

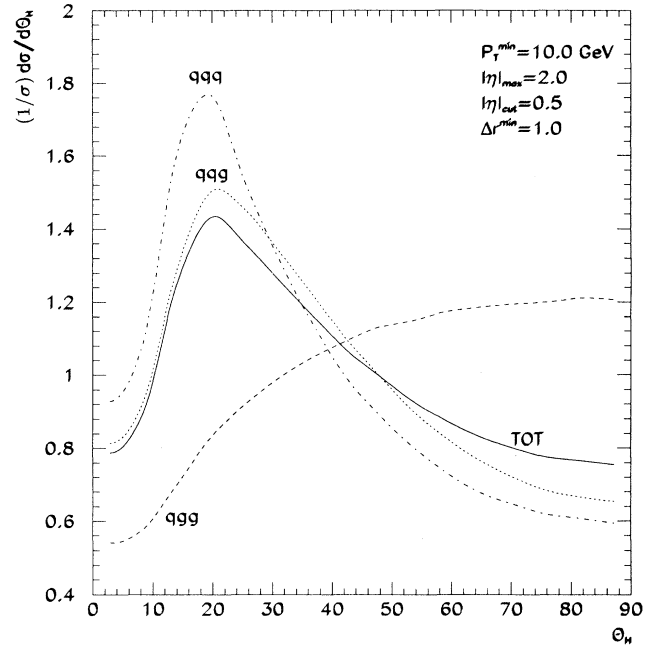


FIG. 3. Shape distribution in the angular variable θ_H , defined in the text, for the three-jet subprocesses in the center-of-mass frame of the photon-parton subsystem. In the 3-quark final state the mass of the b quark has been taken into account. The highest P_T jet is required to be central by imposing a cut pseudorapidity, $|\eta| \leq 0.5$. The solid line corresponds to the sum over all processes. Note that each line has been normalized separately to unit area.

of all processes, and for each subprocess normalized separately. As we had anticipated, there is a distinct difference in the distributions depending on the composition of the final-state jets. The planes corresponding to the 1 quark + 2 gluon final state tend to be aligned perpendicularly ($\theta_H \sim 90^\circ$) while the other two processes show a peak at low angles. This can be understood as follows. When the beam direction is coplanar with the 3 quark (or 2 quark + 1 gluon) final state, the important t -channel gluon exchange contribution is maximized, thus favoring low θ_H . However, in the 1 quark + 2 gluon final-state case the pole structure is milder and other kinematic effects come into play. The two gluons are expected to end up as the softest jets, whereupon they define one of the planes for computing θ_H . For these two jets, the rapidity and P_T cuts have a bigger impact, and the number of events with the beam direction perpendicular to the plane of the final-state jets is enhanced.

In order to distinguish kinematic and dynamical effects, it is useful to compare the QCD angular distributions with those of a phase-space model, where the matrix elements are constant. In Fig. 4 the phase-space θ_H distribution is compared with the distribution for the QCD 1 quark + 2 gluons final state, and also with that of an “Abelian” QCD model [25], i.e., a $U(1)_3$ gauge theory with a coupling constant $\alpha_{ABE} = 4/3\alpha_s$ chosen to compensate the QCD $q \rightarrow qg$ color factor. This model

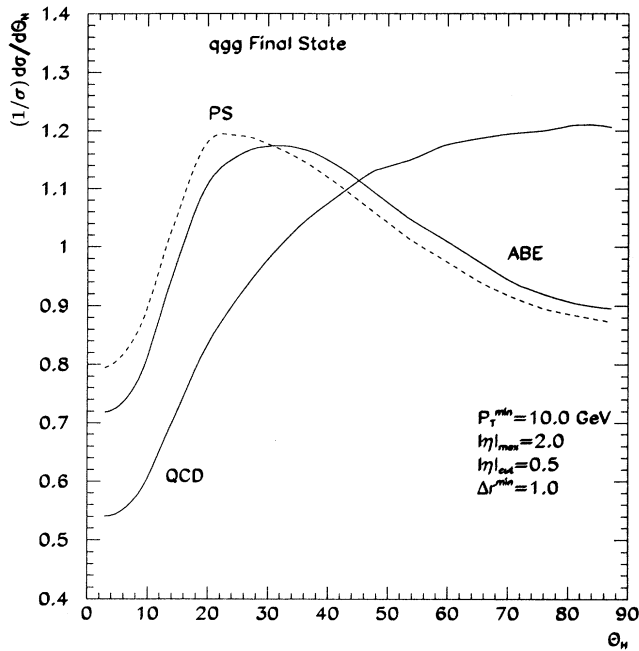


FIG. 4. Shape distribution in the angular variable θ_H for the 1 quark + 2 gluons QCD subprocess, and the same subprocess in an Abelian model, in the photon-parton center-of-mass frame. The dashed line shows the distribution corresponding to the phase-space model. A cut on the highest P_T jet, $|\eta| \leq 0.5$, is also imposed.

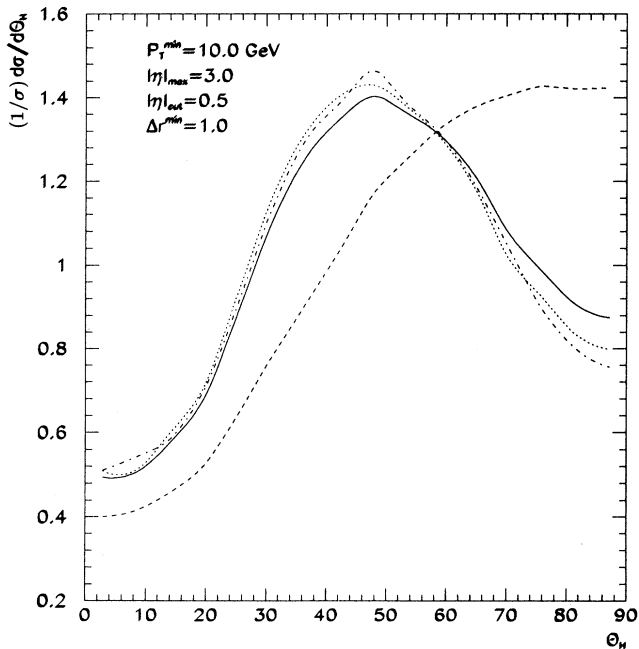


FIG. 5. Shape distribution in the angular variable θ_H for the three-jet subprocesses in the HERA lab frame. The maximum pseudorapidity is now $|\eta|_{\max} = 3.0$. The dashed, dotted, and dash-dotted lines show the distributions for the 1 quark + 2 gluons, 2 quark + 1 gluon, and 3 quarks final states, respectively. The solid line corresponds to the sum over all processes.

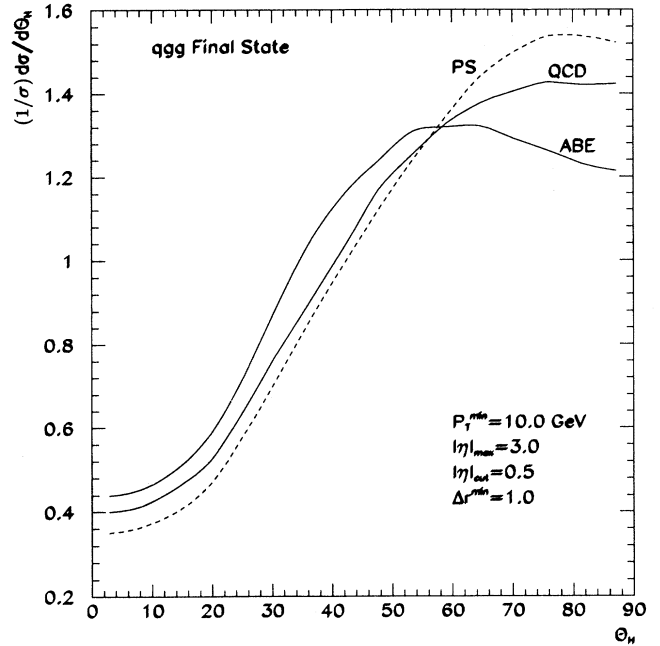


FIG. 6. Shape distribution in the angular variable θ_H for the 1 quark + 2 gluons QCD subprocess, and the same subprocess in an Abelian model, in the HERA lab frame. The dashed line shows the phase-space model distribution.

provides a useful benchmark for demonstrating sensitivity to the triple-gluon vertex. We see from Fig. 4 that the Abelian and phase-space distributions have roughly the same shape, both showing a small peak at low angles and a decrease at higher angles, in contrast to the QCD result which is peaked at high angles. The decrease of the phase-space distribution is due to the angular dependence introduced by the P_T ordering—without this ordering the distribution would be essentially flat. Notice that the configuration of the final jets produced in the phase-space model is different to that of the QCD 1 quark + 2 gluons subprocess, where, on average, two of the jets are significantly softer than the third. This explains why the cuts have a different effect in the two cases. This distinctive behavior gradually disappears as the P_T^{\min} cut is increased above 20 GeV, when most of the events at low θ_H are removed.

We next consider the θ_H distribution in the HERA lab frame. The boost induced by the more energetic proton beam squeezes the difference between distributions into a smaller part of the angular range. In addition, there is a greater sensitivity to the jet rapidity cuts.³ Figure 5 shows the same distributions in Fig. 3 but now in the HERA frame. Again, we see that the distribution for the 1 quark + 2 gluons final state is larger for higher angles, while the distributions for the other processes decrease at higher angles. Although the effect is less pronounced

³To compensate for this, we increase $|\eta|_{\max}$ from 2.0 to 3.0 in what follows.

than in the center-of-mass frame, the distributions still show differences of order 50% at perpendicular angles. The main problem here is that the pattern of each curve starts to be distinctive only from about 60° onwards. The comparison of the QCD 1 quark + 2 gluons process with the Abelian and phase-space models is shown in Fig. 6. The differences in shape again only start to be noticeable at higher angles, because most of the events at low angles have been boosted out of the rapidity acceptance.

IV. LIGHT GLUINO PRODUCTION

The production of 3 + 1 jets at HERA is the leading process for the pair production of gluinos: $\gamma q \rightarrow q\bar{q}\tilde{g}$. In a previous study [26], we analyzed the angular correlations in 4-jet production at LEP to investigate the effect of light gluino pairs in the final state. Here we do the same for 3+1 jet production at HERA. As an application of the shape distributions introduced in the previous section, one can study the influence of a light gluino particle. Note that other methods of detecting light gluinos at HERA, in particular through their effect on deep inelastic structure functions, have been shown to be very difficult [27, 28].

In Fig. 7 we show the predicted total cross section for the photoproduction of gluino pairs in 3 + 1 jet events as a function of P_T^{\min} , compared with the QCD result. Since there is a difference of almost two orders of magnitude between the two, it will be practically impossible to detect any effect from the total cross section alone.

In Fig. 8 the θ_H distribution for gluino photoproduction in the partonic center-of-mass and HERA frames is shown. Notice that the shape is very similar to that of the 1 quark + 2 gluon final state. The Feynman di-

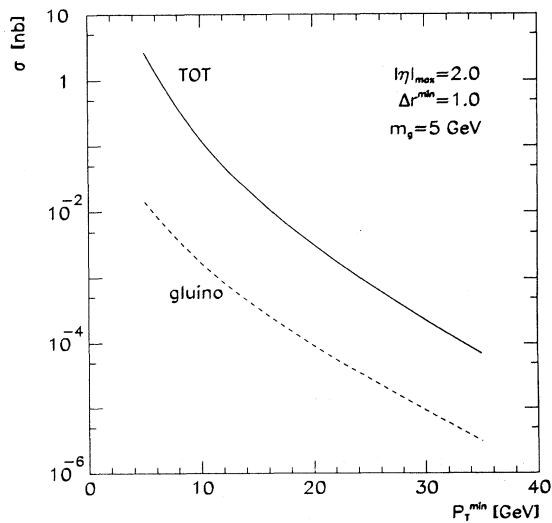


FIG. 7. The total cross section for the process $e + p \rightarrow e + 3 \text{ jets} + X$ compared to $e + p \rightarrow e + q + \tilde{g}\tilde{g} + X$ as a function of the minimum jet transverse momentum P_T^{\min} , for $m_{\tilde{g}} = 5 \text{ GeV}/c^2$.

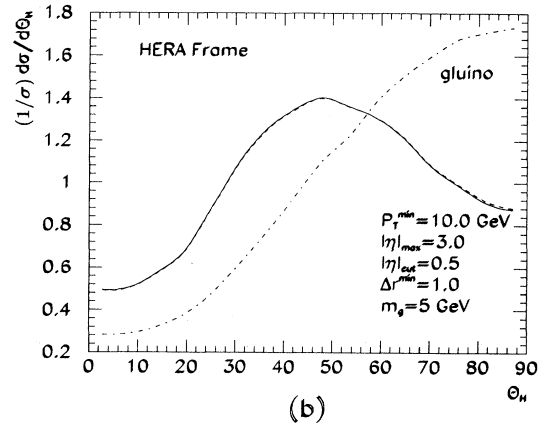
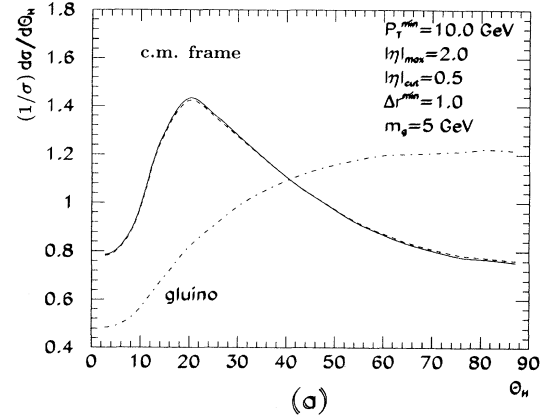


FIG. 8. Comparison of the θ_H shape distribution for the total 3-jet cross section and for the contribution from a light ($m_{\tilde{g}} = 5 \text{ GeV}/c^2$) gluino. The dash-dotted, solid, and dashed lines show the gluino, 3-jet QCD, and combined distributions, respectively, (a) in the photon-parton center-of-mass frame and (b) in the HERA lab frame.

agrams contributing to gluino-pair production coincide with those containing the triple-gluon vertex in the ggg case. The same arguments given in the previous section apply here, and so the plane formed by the light gluinos will be preferentially oriented perpendicular to the direction of the beam. However, the difference in shape is not big enough to compensate for the overall smallness of the gluino contribution, as shown in Fig. 8. The only hope will be to look for the decay signature of a colorless glueballino ($g\tilde{g}$) formed after the hadronization process, as suggested in Refs. [13, 14]. If enough events are produced, the angular distribution could be used to perform a further test.

V. CONCLUSIONS

In this paper we have studied the photoproduction of 3+1 jet events at the HERA ep collider. An angular vari-

able, defined in terms of the directions of the final-state jets, has been shown to discriminate between the different types of contributing subprocess. This can be used to check the parton composition of the final-state jets, to verify that QCD is favored over phase-space and Abelian gluon models, and to put bounds on new light particle species. In particular, we have studied the influence of a light gluino, whose existence is still controversial. Only a very small modification on the angular distribution is expected.

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