

## Gluon and charm distributions in the photon

K. Hagiwara, M. Tanaka, and I. Watanabe\*  
*Theory Group, KEK, Tsukuba, Ibaraki 305, Japan*

T. Izubuchi  
*Department of Physics, University of Tokyo, Bunkyo-ku, Tokyo 113, Japan*  
 (Received 8 June 1994)

We study systematically the sensitivity of the photon structure function data on the gluon contents of the photon, by using the leading order Altarelli-Parisi (AP) equations. The charm quark contribution is studied in the quark parton model and by using the massive quark AP equations of Glück, Hoffmann, and Reya. The present photon structure function data are found to allow a wide range of gluon distributions in the photon. We give a set of six scale-dependent parton distributions in the photon (WHIT1 to WHIT6), which have systematically different gluon contents. The sensitivity of the structure function at small  $x$  and that of the total charm quark production cross section to the effective gluon distribution are discussed.

PACS number(s): 12.38.Bx, 13.65.+i, 14.70.Bh

### I. INTRODUCTION

The deep structure of the photon has been expected to be calculable perturbatively in QCD [1], except at small  $x$  [2]. In practice, however, nonperturbative effects are found to be significant [3,4] at present experiments, where the electromagnetic structure of the Weizsäcker-Williams quasireal photon [5] has been measured up to the momentum transfer scale  $Q^2 = 100 \text{ GeV}^2$  in  $e^+e^-$  collision experiments. Several parametrizations of the scale-dependent effective parton distributions in the photon have been proposed; some are based on plausible dynamical assumptions [3,6–9] and the others [4,10] have been obtained by fitting phenomenologically to the photon structure function data [11–17]. These parametrizations typically have similar quark distributions which are directly constrained by the structure function data. On the other hand, wildly different gluon distributions have been proposed since the electromagnetic structure of the photon is rather insensitive to its gluon content.

Recently KEK TRISTAN experiments [18,19] have shown evidence for the effective gluon content of the photon in two-photon production of high transverse momentum ( $p_T$ ) jets. The observed jet production cannot be understood without the contribution from gluons in the colliding photons, whereas it does not allow a very hard gluon distribution [10] that is consistent with the structure function data.

More recently, the TRISTAN experiments have reported evidence for copious production of charmed particles in the two-photon collision process, in their various decay modes and at various  $p_T$  range [20–22]. The charm production rate has been found to be particularly sensi-

tive to the gluon distribution in the photon [23] and that the present data tend to prefer those parametrizations with large gluon content at small  $x$  ( $x \lesssim 0.1$ ).

The recent data on the jet and charm production in the two-photon process thus give us evidence and some quantitative information of the gluon content of the photon, but they are not yet accurate enough to determine directly the effective gluon distribution. It is therefore desirable to have a set of effective parton distributions in the photon with systematically different gluon distributions, so that we can learn more about the photon structure from these experiments.

In this paper we study all the available photon structure function data [11–17,24–26] at  $4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$  in the leading order of perturbative QCD and find a new set of the effective scale-dependent parton distributions in the photon, named WHIT1 to WHIT6, which are all consistent with the present data of the photon structure function and have systematically different gluon contents. We study carefully the charm quark contributions to the observed structure functions, which are evaluated by using the lowest order quark parton model matrix elements ( $\gamma^*\gamma \rightarrow c\bar{c}$  and  $\gamma^*g \rightarrow c\bar{c}$ ) and the massive Altarelli-Parisi (AP) equations [27]. We find that the photon structure function has a sensitivity to the gluon distribution at small  $x$ , but that a careful analysis is needed to determine experimentally the photon structure in this region. Predictions are also given for the total charm quark pair production cross section in the two-photon collision process at  $e^+e^-$  colliders.

We note here that the next-to-leading order correction to the massless inhomogeneous AP equations has been known for a while [3,28–30], and some phenomenological analyses [6–9] were performed at this level. Recently, the complete next-to-leading order correction has been obtained for the massive quark production process [31]. We work in the leading order of QCD, nevertheless, since errors in the experimental data as well as the theoretical

---

\*Present address: Department of Physics, Ochanomizu University, Ohtsuka, Bunkyo-ku, Tokyo 112, Japan.

uncertainties associated with the gluon contents of the photon are so large that the leading order approach is more suited to discuss them systematically.

The paper is organized as follows. In Sec. II we review the AP equations that govern the effective parton distributions in the photon and introduce the notion of ‘valence’ and ‘sea’ components of the quark distributions. We discuss our parametrizations of the initial quark and gluon distributions and study charm contributions to the structure function. In Sec. III we introduce all available photon structure function data, give a criterion to remove several low  $x$  experimental data, and then make a global fit of the initial light-quark distribution functions by using the leading order inhomogeneous AP equations. The fit is repeated by systematically changing the magnitude and the shape of the initial gluon distribution. The minimal  $\chi^2$  of the fit as well as the distribution of the deviation of each data point from the best fit curve is examined carefully. Six effective parton distributions which have systematically different initial gluon distributions, WHIT1 to WHIT6, are then introduced and examined. In Sec. IV we discuss effective heavy quark distributions in the photon by using the quark parton model (QPM) and the massive AP equations. In Sec. V, predictions are given for the total charmed particle production cross section in the two-photon process at  $e^+e^-$  colliders. Section VI summarizes our findings. The details on the numerical methods that we use in order to solve the AP equation with and without charm quark mass effects are given in Appendix A, and the parametrizations of our effective parton distributions in the photon are described in Appendix B.

## II. MODEL

In this section we explain the framework adopted in this work to calculate the effective parton distribution functions in the photon and the photon structure function  $F_2^\gamma(x, Q^2)$ .

### A. Inhomogeneous Altarelli-Parisi equations

In the  $Q^2$  and  $x$  region where the lightest  $n_f$ -flavor quarks can be produced, we have  $n_f$  quark distributions and  $n_f$  antiquark distributions in addition to the gluon distribution in the photon. These are denoted by  $q_i(x, Q^2)$ ,  $\bar{q}_i(x, Q^2)$  ( $i = 1$  to  $n_f$ ), and  $g(x, Q^2)$ , respectively. Apparently, the relation  $q_i(x, Q^2) = \bar{q}_i(x, Q^2)$  holds for each flavor.

The  $Q^2$  evolution of these parton distributions is described by the inhomogeneous Altarelli-Parisi (AP) equations in the leading logarithmic approximation [32]. For the massless  $n_f$ -flavor case the AP equations can be written as

$$\begin{aligned} \frac{dq_i(x, Q^2)}{dt} = & \frac{\alpha}{2\pi} e_i^2 P_{q\gamma}(x) + \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{qq}(x) \otimes q_i(x, Q^2) \right. \\ & \left. + P_{qg}(x) \otimes g(x, Q^2) \right], \end{aligned} \quad (2.1a)$$

$$\begin{aligned} \frac{dg(x, Q^2)}{dt} = & \frac{\alpha_s(Q^2)}{2\pi} \left[ 2P_{gq}(x) \otimes \sum_{i=1}^{n_f} q_i(x, Q^2) \right. \\ & \left. + P_{gg}(x, n_f) \otimes g(x, Q^2) \right], \end{aligned} \quad (2.1b)$$

where  $i = 1$  to  $n_f$ ,  $t = \ln Q^2/\Lambda^2$  with  $\Lambda$  being the QCD scale, and  $P_{ij}$ 's are the parton splitting functions [33] whose explicit forms are given in Eq. (A4) of Appendix A. The convolution integral is defined as  $a(x) \otimes b(x) = \int_x^1 (dy/y) a(x/y)b(y)$ .

As we show in the latter sections, the charm quark contribution to the photon structure function can be most conveniently calculated from the lowest order quark parton model processes ( $\gamma^*\gamma \rightarrow c\bar{c}$  and  $\gamma^*g \rightarrow c\bar{c}$ ) at present energies ( $Q^2 \lesssim 100 \text{ GeV}^2$ ), by excluding the charm quark distribution in the photon. Only at higher  $Q^2$  we introduce the effective charm quark distribution that evolves according to the massive  $n_f = 4$  AP equations of Ref. [27]. At very high  $Q^2$ , we may neglect the charm quark mass and employ the massless inhomogeneous AP equations of Eq. (2.1) with  $n_f=4$ . The matching of the quark parton model description with the effective heavy quark distribution in the massive AP equations is discussed in Sec. IV.

Hence in the analysis of the present structure function data that probe the photon structure up to  $Q^2 \sim 100 \text{ GeV}^2$  we introduce only three light-quark distributions ( $n_f = 3$ ). Furthermore, in order to find a plausible parametrization of these quark distributions for the fit, we find it convenient to introduce the notion of ‘valence’ and ‘sea’ quark distributions. The ‘valence’ quarks are produced by the photon and the ‘sea’ quarks originate from the gluons. According to these definitions, the valence and sea quark distributions are written in terms of the original quark distributions  $q_i$ 's:

$$q_v(x, Q^2) = 2 \sum_{i=1}^3 \frac{e_i^2 \langle e^2 \rangle - \langle e^2 \rangle^2}{\langle e^4 \rangle - \langle e^2 \rangle^2} q_i(x, Q^2), \quad (2.2a)$$

$$q_{\text{sea}}(x, Q^2) = 2 \sum_{i=1}^3 \frac{\langle e^4 \rangle - e_i^2 \langle e^2 \rangle}{\langle e^4 \rangle - \langle e^2 \rangle^2} q_i(x, Q^2), \quad (2.2b)$$

where  $\langle e^2 \rangle = 2/9$  and  $\langle e^4 \rangle = 2/27$  for  $n_f = 3$ . Note that the singlet and nonsinglet quark distributions,  $q_S(x, Q^2)$  and  $q_{\text{NS}}(x, Q^2)$ , respectively, are related to our valence and sea quark distributions by

$$\begin{aligned} q_S(x, Q^2) & \equiv 2 \sum_{i=1}^3 q_i(x, Q^2) \\ & = q_v(x, Q^2) + q_{\text{sea}}(x, Q^2), \end{aligned} \quad (2.3a)$$

$$\begin{aligned} q_{\text{NS}}(x, Q^2) & \equiv 2 \sum_{i=1}^3 [e_i^2 - \langle e^2 \rangle] q_i(x, Q^2) \\ & = \left[ \frac{\langle e^4 \rangle}{\langle e^2 \rangle} - \langle e^2 \rangle \right] q_v(x, Q^2). \end{aligned} \quad (2.3b)$$

The photon structure function  $F_2^\gamma(x, Q^2)$  can be written in terms of  $q_v(x, Q^2)$  and  $q_{\text{sea}}(x, Q^2)$  as

$$\begin{aligned}
F_2^\gamma(x, Q^2) &\equiv 2x \sum_{i=1}^{n_f} e_i^2 q_i(x, Q^2) \\
&= x \left[ \frac{\langle e^4 \rangle}{\langle e^2 \rangle} q_v(x, Q^2) + \langle e^2 \rangle q_{\text{sea}}(x, Q^2) \right] \\
&\quad + \text{heavy quarks.} \tag{2.4}
\end{aligned}$$

Heavy quark contributions will be discussed in Sec. II C. When we neglect small mass differences among the light three flavors, we can express the  $u$ ,  $d$ , and  $s$  distributions in terms of  $q_v$  and  $q_{\text{sea}}$ :

$$u(x, Q^2) = \frac{1}{3} q_v(x, Q^2) + \frac{1}{6} q_{\text{sea}}(x, Q^2), \tag{2.5a}$$

$$d(x, Q^2) = s(x, Q^2) = \frac{1}{12} q_v(x, Q^2) + \frac{1}{6} q_{\text{sea}}(x, Q^2). \tag{2.5b}$$

The AP equations of Eq. (2.1) with  $n_f = 3$  can be rewritten in terms of the valence-quark, the sea-quark, and the gluon distributions:

$$\begin{aligned}
\frac{dq_v(x, Q^2)}{dt} &= \frac{\alpha}{2\pi} 2n_f \langle e^2 \rangle P_{q\gamma}(x) \\
&\quad + \frac{\alpha_s(Q^2)}{2\pi} P_{qq}(x) \otimes q_v(x, Q^2), \tag{2.6a}
\end{aligned}$$

$$\begin{aligned}
\frac{dq_{\text{sea}}(x, Q^2)}{dt} &= \frac{\alpha_s(Q^2)}{2\pi} [2 \times 3P_{qg}(x) \otimes g(x, Q^2) \\
&\quad + P_{qq}(x) \otimes q_{\text{sea}}(x, Q^2)], \tag{2.6b}
\end{aligned}$$

$$\begin{aligned}
\frac{dg(x, Q^2)}{dt} &= \frac{\alpha_s(Q^2)}{2\pi} [P_{gq}(x) \otimes \{q_v(x, Q^2) + q_{\text{sea}}(x, Q^2)\} \\
&\quad + P_{gg}(x, 3) \otimes g(x, Q^2)]. \tag{2.6c}
\end{aligned}$$

It is now clearly seen that the valence quarks are produced by the photon, the sea quarks are produced by the gluon, while the gluon is produced by the valence quarks, the sea quarks, and the gluon itself. Once a set of initial parton distributions at  $Q^2 = Q_0^2$  is given, we can predict the parton distributions at any  $Q^2 (> Q_0^2)$  by solving the above equations numerically. The numerical methods which we use to solve these equations are explained in Appendix A.

### B. Initial parton distributions

To solve the AP equations of Eq. (2.6), we have to specify a set of initial parton distributions at  $Q^2 = Q_0^2$ . All the nonperturbative features of the photon structure are included in these initial conditions. We use  $Q_0^2 = 4 \text{ GeV}^2$  throughout our analysis in order that our perturbation approximation works well.

As an initial valence-quark distribution we take the functional form

$$xq_v(x, Q_0^2)/\alpha = A_v x^{B_v} (1-x)^{C_v} / B(B_v + 1, C_v + 1), \tag{2.7}$$

where  $A_v$ ,  $B_v$ , and  $C_v$  are the free parameters which will be fitted to the experimental data, and  $B(\alpha, \beta)$  is the  $\beta$  function that ensures the normalization,

$$\langle xq_v(x, Q_0^2) \rangle / \alpha \equiv \int_0^1 dx xq_v(x, Q_0^2) / \alpha = A_v, \tag{2.8}$$

for the energy fraction  $\langle xq_v(x, Q_0^2) \rangle$  of the valence quarks in the photon.

As for the initial gluon distribution, we adopt the simple form

$$xg(x, Q_0^2)/\alpha = A_g(C_g + 1)(1-x)^{C_g}, \tag{2.9}$$

with two parameters  $A_g$  and  $C_g$ . Again the normalization factor is chosen such that

$$\langle xg(x, Q_0^2) \rangle / \alpha = \int_0^1 dx xg(x, Q_0^2) / \alpha = A_g. \tag{2.10}$$

The present structure function data are not accurate enough to determine the gluon parameters  $A_g$  and  $C_g$ . We therefore perform the fit by the valence-quark parameters,  $A_v$ ,  $B_v$ , and  $C_v$ , by varying systematically the normalization ( $A_g$ ) and the shape ( $C_g$ ) of the initial gluon distribution.

Before starting the fit to the data, we discuss the plausible range of the gluon distribution parameters that we should explore. We obtain constraints on the ratio of the gluon energy fraction ( $A_g$ ) to the valence-quark energy fraction ( $A_v$ ) as follows [7]. At sufficiently low momentum transfer scale ( $Q^2 \lesssim 0.5 \text{ GeV}^2$ ), only the long wavelength components of the photon are probed and the quark-antiquark pair produced from the photon undergoes nonperturbative soft QCD dynamics that resembles the one which makes the quark-antiquark pair form the low-lying vector boson. The photon structure is then expected to have components similar to those of the vector bosons, in particular the  $\rho$  meson that couples strongly to the photon. Although we do not know the structure of  $\rho$ , we expect the soft QCD dynamics to be insensitive to the total spin of the system and that it may be similar to the observed  $\pi$  structure [34]. If the photon had only this soft component, its deep structure should also be similar and we expect

$$\frac{\langle xg(x, Q_0^2) \rangle_{\rho}}{\langle xq_v(x, Q_0^2) \rangle_{\rho}} \sim \frac{\langle xg(x, Q_0^2) \rangle_{\pi}}{\langle xq_v(x, Q_0^2) \rangle_{\pi}} \sim 1. \tag{2.11}$$

In fact this ratio is common in the nucleon structure as well [35,27] and we can regard this ratio as a universal one from soft QCD dynamics.

The photon, however, differs from the vector boson in that it is a source of a quark pair with an arbitrary short wavelength. As the momentum transfer scale grows ( $Q^2 \gtrsim 0.5 \text{ GeV}^2$ ), one is more and more sensitive to these short wavelength components which dominate the photon structure at asymptotically high  $Q^2$ . Although the transition from the regime where the vector meson-like component dominates to the regime where the short wavelength component dominates is gradual and it is governed by the nonperturbative dynamics, we may infer the effect of the latter component from its asymptotic behavior that can be calculated perturbatively. In particular, for the ratio of the gluon to the valence-quark energy fraction, we expect

$$\left. \frac{\langle xg(x, Q^2) \rangle}{\langle xq_v(x, Q^2) \rangle} \right|_{Q^2 \rightarrow \infty} = \frac{3616}{10611} \simeq \frac{1}{3}, \tag{2.12}$$

for three light quark flavors ( $n_f = 3$ ). This result is obtained by solving the inhomogeneous AP equations at  $Q^2 \rightarrow \infty$  in the moment space as explained in Appendix A 1. At the momentum transfer scale  $Q_0^2 = 4 \text{ GeV}^2$ , it is hence natural to expect the ratio to lie somewhere between the two extremes Eqs. (2.11) and (2.12):

$$\frac{1}{3} \lesssim \frac{\langle xg(x, Q_0^2) \rangle}{\langle xq_v(x, Q_0^2) \rangle} = \frac{A_g}{A_v} \lesssim 1. \quad (2.13)$$

We shall see in the next section that the valence-quark fraction  $A_v$  is determined to be about unity by the experimental data of  $F_2^\gamma(x, Q^2)$ . We will hence examine the parameter range  $1/3 \lesssim A_g \lesssim 1$  for the gluon energy fraction.

Finally, we note that the sea-quark distribution is intimately related to the gluon distribution and that one cannot choose them independently. Although the sea-quark distribution is in principle observable from the small  $x$  behavior of the photon structure function, we find that the present experimental determination of the small  $x$  structure of the photon suffers from an uncertainty associated with the unfolding technique adopted by most experiments [36]: this will be discussed in Sec. III. We therefore estimate the input sea-quark distribution by using the quark parton model cross section for the process  $\gamma^* g \rightarrow q\bar{q}$ :

$$xq_{\text{sea}}(x, Q_0^2) = 3 \frac{\alpha_s(Q_0^2)}{2\pi} \int_{ax}^1 dy w\left(\frac{x}{y}, \frac{m^2}{Q_0^2}\right) g(y, Q_0^2), \quad (2.14)$$

where  $a = 1 + 4m^2/Q_0^2$  and

$$w(z, r) = z \left[ \beta \{-1 + 8z(1-z) - 4rz(1-z)\} + \{z^2 + (1-z)^2 + 4rz(1-3z) - 8r^2 z^2\} \ln \frac{1+\beta}{1-\beta} \right] \quad (2.15)$$

with  $\beta = \sqrt{1 - 4rz/(1-z)}$ . The sea-quark mass  $m$ , which is taken to be common for the three light flavors,  $m_u = m_d = m_s = m$ , plays the role of the cutoff and we choose it to be 0.5 GeV. Here and throughout our analysis we adopt the leading order form of the QCD running coupling constant

$$\frac{\pi}{\alpha_s(Q^2)} = \frac{25}{12} \ln \frac{Q^2}{\Lambda_4^2} - \frac{1}{6} \ln \frac{Q^2}{4m_b^2} \Theta(Q^2 - 4m_b^2), \quad (2.16)$$

with  $\Lambda_4 = 0.4 \text{ GeV}$  and  $m_b = 5 \text{ GeV}$ . Note that the effective number of quark flavors that governs the running of the coupling constant is chosen independently of the number  $n_f$  of massless quark flavors in the AP equations of Eq. (2.1). An accurate prescription for the choice of the effective number of flavors is found only in the next-to-leading order level [31].

We remark here that the above prescription leads naturally to a larger sea-quark input as the gluon input is enhanced. In particular, we find for the energy fraction

ratio that

$$\frac{\langle xq_{\text{sea}}(x, Q_0^2) \rangle}{\langle xg(x, Q_0^2) \rangle} \sim 0.12 \quad (2.17)$$

holds almost independently of the input gluon parameters  $A_g$  and  $C_g$  in the region which we will discuss. The ratio increases with decreasing light-quark mass, and reaches 0.2 at  $m \sim 0.3 \text{ GeV}$ . The ratio is about 0.3 in the parametrization of the  $\pi$  structure [34], and its perturbative asymptotic value is calculated to be 0.16 for  $n_f = 3$ . Our sea-quark input is hence rather conservative for a given input gluon distribution.

### C. Charm contribution

The charm quark cannot be incorporated into the massless AP equations in the region of moderate  $Q^2$ , say  $Q^2 \leq 100 \text{ GeV}^2$ , which has so far been probed by experiments. We should take into account the quark mass effect by using the massive-quark AP equation of Glück, Hoffmann, and Reya [27], and more accurately by incorporating the full next-to-leading order corrections [31]. We find by comparing with the results of the leading order massive-quark AP equations that the charm quark contribution to the photon structure function is well approximated by the sum of the contributions from the quark parton model processes  $\gamma^* \gamma \rightarrow c\bar{c}$  and  $\gamma^* g \rightarrow c\bar{c}$  at  $Q^2 \leq 100 \text{ GeV}^2$ . Beyond  $Q^2 \sim 100 \text{ GeV}^2$  the radiation of gluons off charm quarks is no longer negligible and, we should solve the massive-quark inhomogeneous AP equations. At large enough  $Q^2$ , the charm quark mass effect to the  $Q^2$  evolution would become negligible and we can use the massless AP equations of Eq. (2.1) with  $n_f = 4$ . The matching of the distributions should then be made at appropriately large  $Q^2$ . We will find that the charm-quark mass effects are not negligible even at  $Q^2 \sim 100 \text{ GeV}^2$ . With the same criterion, the bottom quark contribution can be estimated by the lowest order process  $\gamma^* \gamma \rightarrow b\bar{b}$  and  $\gamma^* g \rightarrow b\bar{b}$  up to about  $Q^2 \sim 1000 \text{ GeV}^2$ , above which we may introduce the effective  $b$ -quark distribution that follows the massive  $n_f = 5$  AP equations. More accurate quantitative treatment [31] will become useful in the future when both the quark and gluon distributions are measured accurately from experiments.

The charm-quark contributions to the photon structure function are thus calculated by the quark parton model at  $Q^2 < 100 \text{ GeV}^2$ . The contribution of the direct process ( $\gamma^* \gamma \rightarrow c\bar{c}$ ) is given by

$$F_{2,c}^\gamma(x, Q^2)|_{\text{direct}} = 3 \frac{\alpha}{\pi} e_c^4 w\left(x, \frac{m_c^2}{Q^2}\right), \quad (2.18)$$

where  $e_c = 2/3$  is the charm-quark electric charge and the function  $w(x, r)$  is given in Eq. (2.15). In our numerical analysis we take  $m_c = 1.5 \text{ GeV}$ . For the resolved process ( $\gamma^* g \rightarrow c\bar{c}$ ) we have

$$F_{2,c}^\gamma(x, Q^2)|_{\text{resolved}} = \frac{\alpha_s(Q^2)}{2\pi} e_c^2 \int_{ax}^1 dy w\left(\frac{x}{y}, \frac{m_c^2}{Q^2}\right) g(y, Q^2), \quad (2.19)$$

where  $a = 1 + 4m_c^2/Q^2$ , and the gluon distribution  $g(x, Q^2)$  is given by solving the massless  $n_f = 3$  AP equations of Eq. (2.6) with the initial parton distributions of Eqs. (2.7), (2.9), and (2.14).

The validity of our simple quark parton model calculation depends on how much the gluon emission by the charm quark distorts the effective charm quark distribution in the photon. The magnitude of this effect can be studied by using the massive AP equations for the charm quark and is presented in Sec. IV.

### III. FIT TO THE DATA

#### A. Data

In order to find good initial parton distributions at the energy scale  $Q_0^2 = 4 \text{ GeV}^2$ , we refer to all the available experimental data of the photon structure function at  $Q^2 > Q_0^2$ . In our analysis we use the data obtained by 8 groups at the DESY  $e^+e^-$  collider PETRA, SLAC  $e^+e^-$  collider PEP, TRISTAN, and CERN  $e^+e^-$  collider LEP which are listed in Table I.

We note here that not all the experimental data points are taken into account in our fit. First, we do not use the data at  $\langle Q^2 \rangle$  lower than  $4.0 \text{ GeV}^2$ . Second, we accept only those data points at small  $x$  where the following inequality holds:

$$x_{\text{lower edge of the bin}} > \frac{\langle Q^2 \rangle}{\langle Q^2 \rangle + (W_{\text{vis}}^{\text{max}})^2}. \quad (3.1)$$

Here  $W_{\text{vis}}^{\text{max}}$  is the experimental cut on the visible invariant mass of the final hadron system. Since those data points that violate the condition Eq. (3.1) are obtained at near the boundary of the experimental acceptance and since the sea-quark contribution to the structure function can be rather singular at the low  $x$  region, they may suffer from large systematic uncertainties in the unfolding procedure [36]. As a result of the above two requirements,

47 data points are retained in our fitting, which are all listed in Table I.

#### B. Fit

By fitting our theoretical predictions for the photon structure function to these experimental data, we tune the parameters of the initial valence-quark distribution  $A_v$ ,  $B_v$ , and  $C_v$ . We repeat the fit by varying the initial gluon distribution parameters  $A_g$  and  $C_g$  systematically, while keeping the strong coupling constant and the charm quark mass fixed at  $\Lambda_4 = 0.4 \text{ GeV}$  and  $m_c = 1.5 \text{ GeV}$ , respectively. In particular, we examine the case with  $A_g = 0.5, 1$ , and  $1.5$  systematically by changing  $C_g$  and find little sensitivity of the structure function data to the shape parameter  $C_g$ . The fit results for arbitrarily chosen 12 cases are summarized in Table II. Although there is a tendency in the data that prefers small  $A_g$  (small gluonic energy fraction) and small  $C_g$  (hard gluon distribution), it is caused by a few data points at small  $x$  with relatively large deviations from the best fit curve, as we will discuss below. We choose three representative  $C_g$  values ( $C_g=3, 9, 15$ ) for each of the normalizations  $A_g=0.5$  and  $1$  that are consistent with the ansatz Eq. (2.13). These gluon inputs are named WHIT1 to WHIT6, respectively, as shown in Table III.

Figure 1 illustrates the matching of the data and the theoretical curves and Fig. 2 shows the distribution of the deviation of each data point from the best fit value  $[F_2(x)_{\text{fit}} - F_2(x)_{\text{data}}]/\sigma[F_2(x)_{\text{data}}]$ . As can be seen from Figs. 1 and 2, all WHIT1 to WHIT6 gluon distributions give similar quality of fits to the photon structure function data. The mild dependence of the best fit  $\chi^2$  value on the choice of the initial gluon distribution parameters as shown in Table II is a consequence of a few data points at the lowest  $x$  bin that satisfy the criterion Eq. (3.1): see Fig. 2. In Fig. 2, deviations of those data points that are removed from the fit by the criterion Eq. (3.1) are also indicated by dashed lines. The large  $A_g$  large  $C_g$

TABLE I. The data of  $F_2^\gamma$  adopted in the fit of the valence-quark parameters.

Collider	Collab.	$\langle Q^2 \rangle (\text{GeV}^2)$	$x$ bins	Ref.
PETRA	PLUTO	4.3	0.03–0.17, 0.17–0.44, 0.44–0.80	[11]
		9.2	0.06–0.23, 0.23–0.54, 0.54–0.90	[11]
		45.0	0.25–0.50, 0.50–0.75, 0.75–0.90	[12]
	TASSO	23.0	0.20–0.40, 0.40–0.60, 0.60–0.80, 0.80–0.98	[13]
	JADE	24.0	0.10–0.20, 0.20–0.40, 0.40–0.60, 0.60–0.90	[14]
		100.0	0.10–0.30, 0.30–0.60, 0.60–0.90	[14]
PEP	TPC/2 $\gamma$	5.1	0.02–0.20, 0.20–0.36, 0.36–0.74	[15]
		20.0	0.196–0.386, 0.386–0.611, 0.611–0.963	[16]
TRISTAN	AMY	73.0	0.125–0.375, 0.375–0.625, 0.625–0.875	[17]
		5.1	0.076–0.20	[24]
		16.0	0.15–0.33, 0.33–0.78	[24]
	VENUS	80.0	0.32–0.59, 0.59–0.98	[24]
		40.0	0.09–0.27, 0.27–0.45, 0.45–0.63, 0.63–0.81	[25]
		90.0	0.19–0.37, 0.37–0.55, 0.55–0.73, 0.73–0.91	[25]
LEP	OPAL	5.9	0.091–0.283, 0.283–0.649	[26]
		14.7	0.137–0.324, 0.324–0.522, 0.522–0.836	[26]

TABLE II. The minimal  $\chi^2$  and the valence-quark parameters as obtained by the best fit. Whenever available, we have taken into account correlation in errors. Degree of freedom of the fit is  $47-3=44$ .

Gluon		Best fit	Valence-quark parameters			Correlations		
$A_g$	$C_g$	$\chi^2$	$A_v$	$B_v$	$C_v$	$\rho(A_v, B_v)$	$\rho(A_v, C_v)$	$\rho(B_v, C_v)$
0.5	3	51.6	0.930(79)	0.50(17)	0.24(25)	-0.52	-0.75	0.88
0.5	6	53.2	0.933(78)	0.51(17)	0.28(26)	-0.52	-0.75	0.88
0.5	9	54.0	0.938(78)	0.49(16)	0.28(25)	-0.52	-0.75	0.88
0.5	15	54.3	0.948(78)	0.44(16)	0.26(25)	-0.52	-0.75	0.88
1.0	3	54.0	0.873(76)	0.77(21)	0.41(29)	-0.52	-0.73	0.88
1.0	6	58.2	0.882(74)	0.77(20)	0.48(30)	-0.53	-0.74	0.89
1.0	9	60.2	0.892(74)	0.71(19)	0.47(29)	-0.53	-0.74	0.88
1.0	15	60.4	0.911(75)	0.61(17)	0.42(28)	-0.53	-0.75	0.88
1.5	3	59.8	0.821(73)	1.11(26)	0.62(34)	-0.53	-0.72	0.89
1.5	6	67.8	0.837(71)	1.05(24)	0.70(34)	-0.54	-0.73	0.89
1.5	9	70.9	0.853(71)	0.95(22)	0.67(33)	-0.54	-0.73	0.89
1.5	15	70.1	0.879(72)	0.79(19)	0.58(31)	-0.53	-0.74	0.89

gluon distributions lead to a significant rise in the structure function at small  $x$ , and a naive integration of the structure function in the given  $x$  bin tends to be very sensitive to the lower edge of the lowest  $x$  bin as is seen in Fig. 1. After imposing the selection criterion Eq. (3.1), such sensitivity to the very low  $x$  behavior of the structure function is almost completely lost, as can be seen from the deviations of the data points that are connected by the solid lines in Fig. 2. In view of the relatively large theoretical uncertainty in simulating hadronic events at small  $x$ , we conclude that the present experimental data on the photon structure function have poor sensitivity to the gluonic content of the photon. The normalization of the valence-quark distribution  $A_v$  is found to be roughly 1, regardless of the difference in the sea-quark contribution that depends strongly on our gluon inputs.

We find from Table II that the best fit values of the initial valence-quark parameters are almost the same for different  $C_g$ 's for a common  $A_g$ . Hence we introduce a "standard" set of the valence-quark parameters for each  $A_g$ : i.e.,

$$A_v = 0.94, \quad B_v = 0.50, \quad C_v = 0.25 \quad \text{for } A_g = 0.5, \quad (3.2a)$$

$$A_v = 0.89, \quad B_v = 0.70, \quad C_v = 0.45 \quad \text{for } A_g = 1.0. \quad (3.2b)$$

We calculate the  $\chi^2$  values for various  $C_g$ 's by fixing the normalization  $A_g$  and the associated valence-quark inputs as above, and the result is summarized in Table III. The  $C_g$  dependence of the resulting  $\chi^2$  is also presented in Fig. 3. The minimal  $\chi^2$  values as obtained in Table II by tuning the valence quark parameters are also shown by large symbols. The tuning of the valence-quark parameters do not improve the fit much. We therefore adopt the common valence-quark input of Eq. (3.2a) for the sets WHIT1 to WHIT3, while that of Eq. (3.2b) for the sets WHIT4 to WHIT6, and hereafter we call the parton distributions with these inputs as WHIT1 to WHIT6. The slightly small valence-quark contributions of Eq. (3.2b) at small  $x$  partially compensates for the larger sea-quark

contributions associated with the large gluon inputs of WHIT4 to WHIT6.

### C. Gluon distribution

As we described above, we present a set of six effective parton distributions in the photon with systematically different gluon contents. We show in Fig. 4 all the gluon distributions (WHIT1 to WHIT6) at three momentum transfer scales,  $Q^2=4, 20, \text{ and } 100 \text{ GeV}^2$ . The area under the curves at  $Q^2 = 4 \text{ GeV}^2$  is given by the normalization  $A_g = 0.5$  for WHIT1 to WHIT3, and  $A_g = 1.0$  for WHIT4 to WHIT6. The shape of the distribution becomes softer as we move from WHIT1 to 3, and from WHIT4 to 6 in each set. The huge difference in the initial gluon distributions tends to diminish at higher  $Q^2$ , as expected from the asymptotic behavior of the solution of the inhomogeneous AP equations.

TABLE III. The  $\chi^2$  values with the standard valence-quark parameters.

Name	Gluon			Name	Gluon		
	$A_g$	$C_g$	$\chi^2$		$A_g$	$C_g$	$\chi^2$
WHIT1	0.5	1	50.7	WHIT4	1.0	1	54.2
	0.5	2	51.1		1.0	2	55.0
	0.5	3	51.6		1.0	3	56.0
	0.5	4	52.2		1.0	4	57.0
	0.5	5	52.7		1.0	5	57.9
	0.5	6	53.2		1.0	6	58.6
WHIT2	0.5	7	53.6	WHIT5	1.0	7	59.3
	0.5	8	54.0		1.0	8	59.8
	0.5	9	54.3		1.0	9	60.2
	0.5	10	54.5		1.0	10	60.6
	0.5	11	54.7		1.0	11	60.9
	0.5	12	54.9		1.0	12	61.1
WHIT3	0.5	13	55.1	WHIT6	1.0	13	61.2
	0.5	14	55.2		1.0	14	61.3
	0.5	15	55.3		1.0	15	61.4
	0.5	16	55.3		1.0	16	61.5

Also shown in Fig. 4 for comparison are the effective gluon distributions of Glück, Reya, and Vogt (GRV) [9], Drees and Grassie (DG) [4], and Abramowicz, Charchuła, and Levy (LAC1) [10] at the three-momentum transfer

scales. We note that in the  $x$  and  $Q^2$  ranges as shown in Fig. 4, our WHIT1 effective gluon distribution is similar to the gluon distribution of GRV [9], while WHIT6 gluon distribution behaves similarly to that of LAC1 [10].

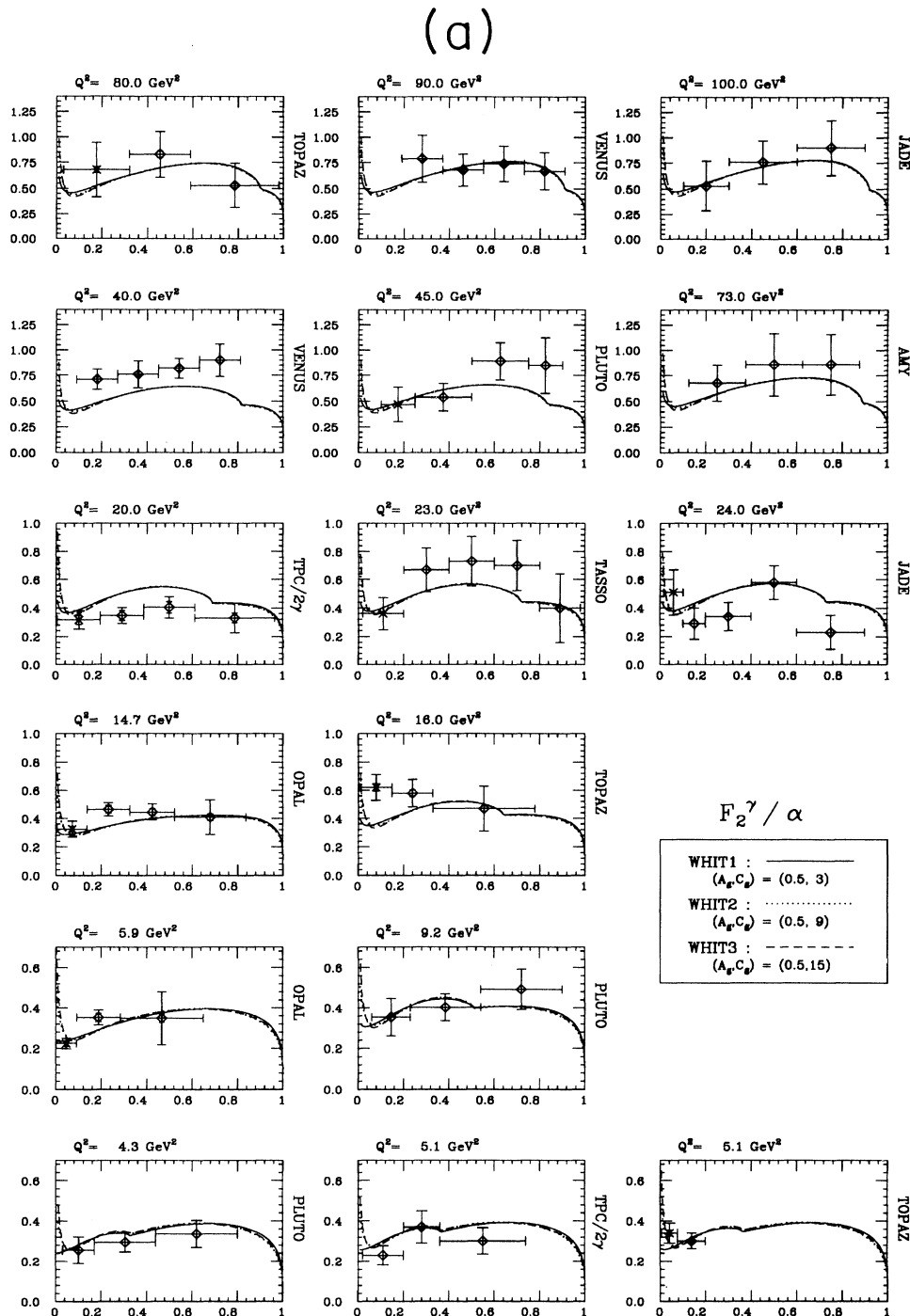


FIG. 1. The data and the theoretical predictions for the photon structure function  $F_2^\gamma/\alpha$ . The vertical axes show  $F_2^\gamma/\alpha$  and the horizontal axes represent the scaling variable  $x$ . The data points with a cross mark are not used for the fit, according to the selection criterion Eq. (3.1). The OPAL data points are obtained by removing the direct charm-quark contribution. We hence drop from the theoretical curves the  $\gamma^*\gamma \rightarrow c\bar{c}$  contributions. The remaining structure function data contain all hadronic final states. (a) The best fits with WHIT1–WHIT3 gluon distributions. (b) The best fits with WHIT4–WHIT6 gluon distributions.

It should be noted, however, that LAC1 parametrization for the effective gluon distribution is more singular at  $x \rightarrow 0$  than that of WHIT6, which results in a very large energy fraction  $\langle xg(x, Q_0^2) \rangle / \alpha = 2.37$  at  $Q_0^2 = 4 \text{ GeV}^2$ , in conflict with our ansatz Eq. (2.13) with  $A_g \sim 1$ . Accurate measurements of the photon structure functions at very small  $x$  as well as the high energy behavior of the charm and minijet production cross section at  $\gamma\gamma$  and  $\gamma p$

collisions will be able to distinguish the different small  $x$  behavior of these two effective gluon distributions.

#### IV. EFFECTIVE HEAVY-QUARK DISTRIBUTIONS IN THE PHOTON

In this section, we compare the result of the quark parton model (QPM) calculation of the effective charm

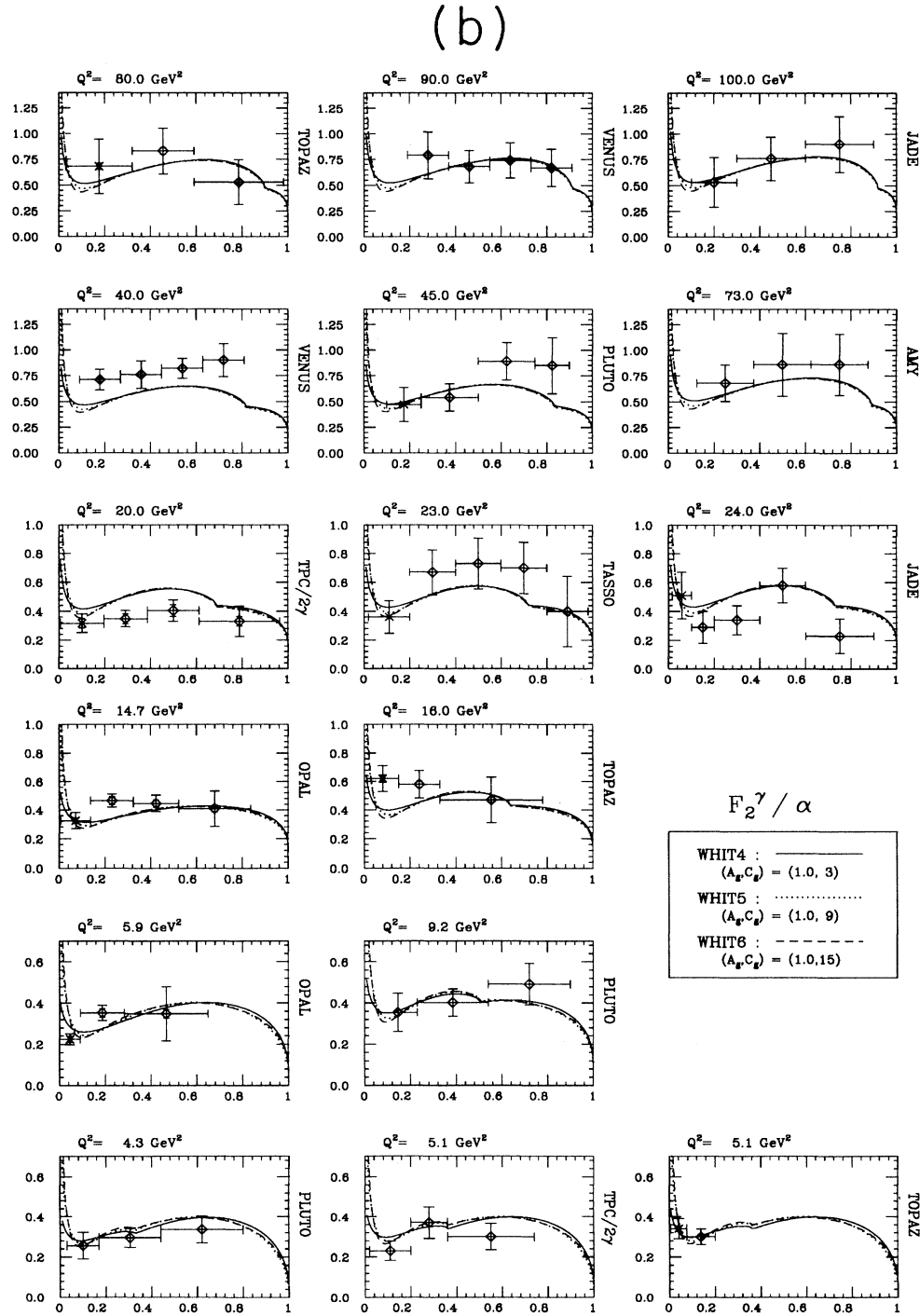


FIG. 1 (Continued).



quark distribution with that of the massive inhomogeneous Altarelli-Parisi (AP) equations [27]. We expect that the QPM approach is appropriate at low momentum transfer scale where the charm quark mass effect is significant. At high momentum transfer  $Q^2/m_c^2 \gg 1$ , gluon emission from charm quarks is no longer negligible and we need the massive-quark AP equations to sum up the leading effects. On the other hand, the approach of [27] neglects the charm quark mass effects in gluon emission from charm quarks, and hence it may overestimate the gluon emission effects at low  $Q^2$ . We therefore use the QPM prescription for the effective charm quark distribution at  $Q^2 \leq 100 \text{ GeV}^2$  and switch to the solution

of the massive quark AP equations at  $Q^2 \geq 100 \text{ GeV}^2$ .

The QPM charm quark distribution consists of the valence part and the sea part and is defined as

$$c^{\text{QPM}}(x, Q^2) = c_v^{\text{QPM}}(x, Q^2) + c_{\text{sea}}^{\text{QPM}}(x, Q^2), \quad (4.1)$$

where

$$c_v^{\text{QPM}}(x, Q^2) = \frac{1}{2xe_c^2} F_{2,c}^\gamma(x, Q^2)|_{\text{direct}}, \quad (4.2a)$$

$$c_{\text{sea}}^{\text{QPM}}(x, Q^2) = \frac{1}{2xe_c^2} F_{2,c}^\gamma(x, Q^2)|_{\text{resolved}}, \quad (4.2b)$$

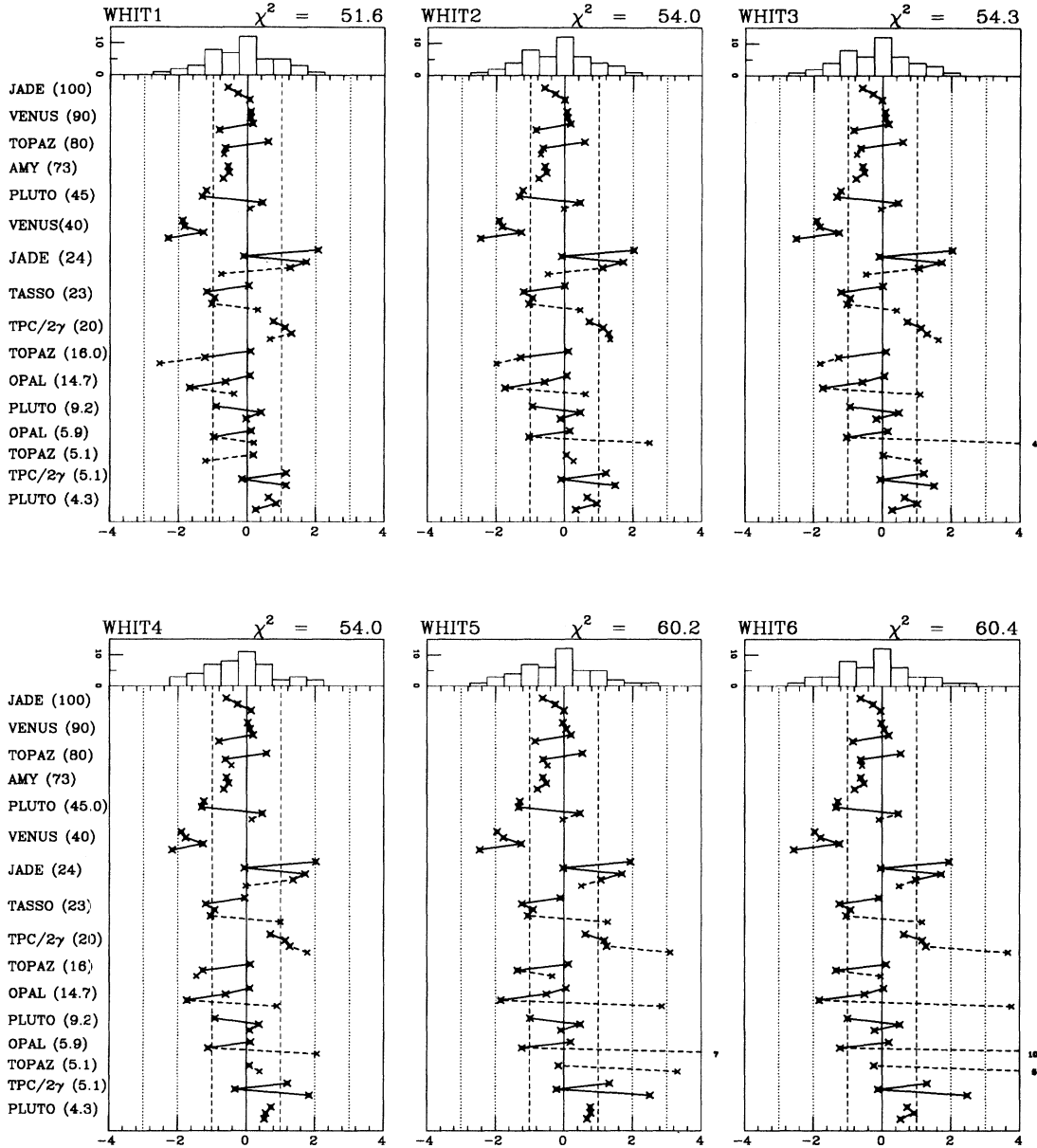


FIG. 2. The deviation of each data point from the best fit,  $F_2^\gamma(x, Q^2)_{\text{fit}} - F_2^\gamma(x, Q^2)_{\text{data}}$  divided by the error  $\sigma(F_2^\gamma(x, Q^2)_{\text{data}})$ . A data point with a smaller  $x$  value is placed lower in each data set with a common  $\langle Q^2 \rangle$ . The data points with a simple cross mark are removed from the fit by the criterion Eq. (3.1).

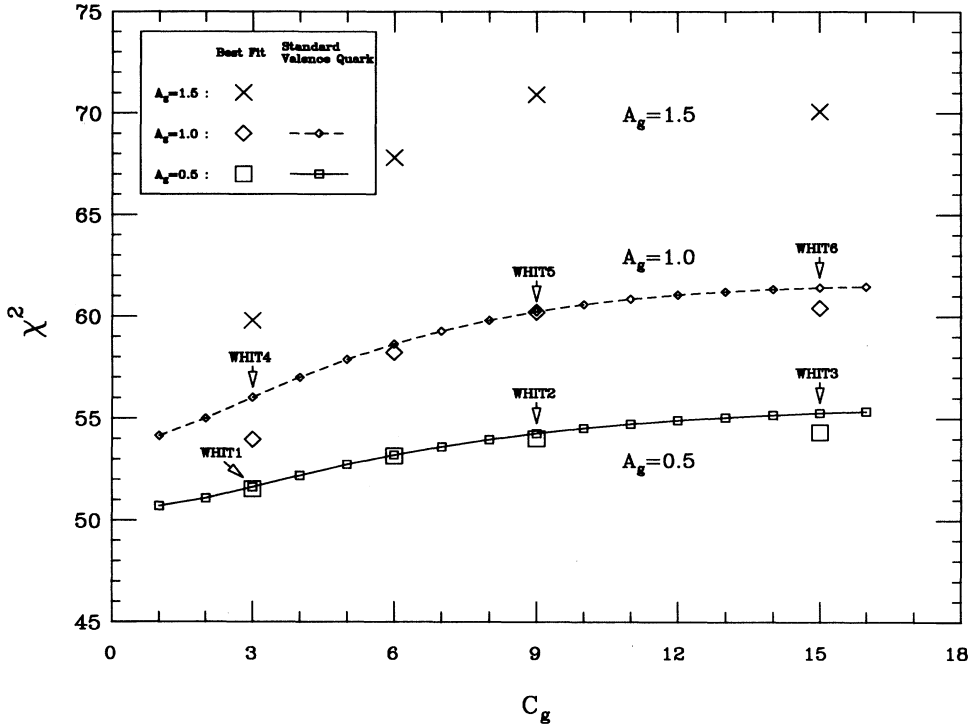


FIG. 3. The  $C_g$  dependence of the total  $\chi^2$  for fixed  $A_g$ 's when the standard valence-quark distributions are taken for  $A_g = 0.5$  (solid line) and 1.0 (dashed line). The large square, diamond, and cross marks are the minimal  $\chi^2$  values as obtained by tuning the valence-quark distributions.

with  $F_{2,c}^\gamma(x, Q^2)|_{\text{direct, resolved}}$  as given in Eqs. (2.18) and (2.19), respectively.

As is clear from these definitions, the effective charm quark distribution calculated by the QPM reproduces the photon structure function well, but we should expect large process-dependent threshold corrections when it is

used for other processes with an equivalent real charm quark in the photon.

At high  $Q^2$  we expect that emission of collinear gluons from charm quarks becomes significant and we solve the massive-quark inhomogeneous AP equations

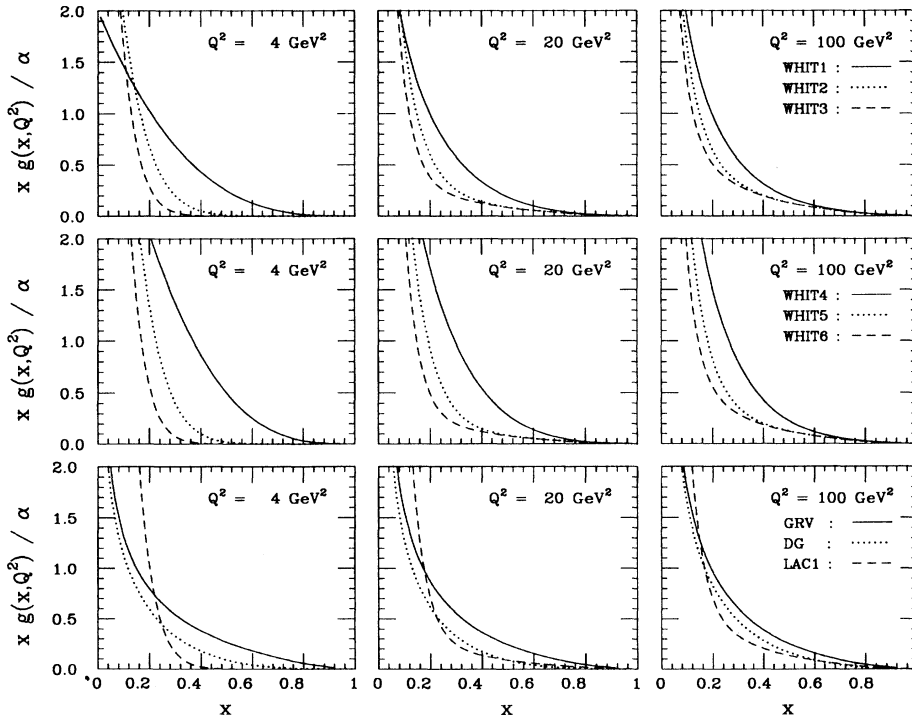


FIG. 4. Gluon distributions at  $Q^2 = 4, 20,$  and  $100 \text{ GeV}^2$ . The top 3 figures are for WHIT1 (solid), WHIT2 (dotted), and WHIT3 (dashed), the middle 3 figures are WHIT4 (solid), WHIT5 (dotted), and WHIT6 (dashed), and the bottom 3 figures are GRV [9](solid), DG [4] (dotted), and LAC1 [10] (dashed) for comparison.

$$\frac{dq_i(x, Q^2)}{dt} = \frac{\alpha}{2\pi} e_i^2 P_{q\gamma}(x) + \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{qq}(x) \otimes q_i(x, Q^2) + P_{gq}(x) \otimes g(x, Q^2) \right], \quad (4.3a)$$

$$\frac{dg(x, Q^2)}{dt} = \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{gq}(x) \otimes 2 \left( \sum_i q_i(x, Q^2) + c(x, Q^2) \right) + P_{gg}(x, 4) \otimes g(x, Q^2) \right], \quad (4.3b)$$

$$\frac{dc(x, Q^2)}{dt} = \frac{\alpha}{2\pi} e_c^2 P_{c\gamma}(x, Q^2) + \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{qq}(x) \otimes c(x, Q^2) + P_{cg}(x, Q^2) \otimes g(x, Q^2) \right], \quad (4.3c)$$

where  $i = u, d, s$ , and  $P_{c\gamma}$  and  $P_{cg}$  are the photon and gluon to massive-quark splitting functions, respectively, as defined by [27]

$$P_{cg}(x, Q^2) = \frac{1}{2} \theta(1/a - x) \frac{d}{dt} \frac{w(x, m_c^2/Q^2)}{x},$$

$$P_{c\gamma}(x, Q^2) = 6P_{cg}(x, Q^2), \quad (4.4)$$

with  $a = 1 + 4m_c^2/Q^2$ . The function  $w(x, r)$  is defined in Eq. (2.15).

As in the case of light quarks, it is convenient to divide the charm-quark distribution into the valence and the sea parts:

$$c(x, Q^2) = c_v(x, Q^2) + c_{\text{sea}}(x, Q^2). \quad (4.5)$$

The valence–charm–quark comes from the photon and the sea–charm–quark comes from the gluon. Equation (4.3c) can then be split into the following two equations for  $c_v$  and  $c_{\text{sea}}$ :

$$\frac{dc_v(x, Q^2)}{dt} = \frac{\alpha}{2\pi} e_c^2 P_{c\gamma}(x, Q^2) + \frac{\alpha_s(Q^2)}{2\pi} P_{qq}(x) \otimes c_v(x, Q^2), \quad (4.6a)$$

$$\frac{dc_{\text{sea}}(x, Q^2)}{dt} = \frac{\alpha_s(Q^2)}{2\pi} [P_{qq}(x) \otimes c_{\text{sea}}(x, Q^2) + P_{cg}(x, Q^2) \otimes g(x, Q^2)]. \quad (4.6b)$$

The massive-quark splitting functions  $P_{cg}(x, Q^2)$  and  $P_{c\gamma}(x, Q^2)$  are singular at the charm threshold  $x = 1/a$ . Because of this singularity we find that much CPU time is needed in order to get an accurate numerical solution when one solves Eqs. (4.3a), (4.3b), (4.6a), and (4.6b) directly. The numerical problem associated with the use of the massive-quark splitting function of [27] is severer in the photon structure than in the proton structure because of the presence of the leading inhomogeneous term. We can avoid, however, the appearance of the singular massive splitting functions, and obtain a set of equations which contain only smooth functions by dividing further the valence- and sea-charm-quark distributions into the QPM part and the remnant. The QPM part of the

valence–charm–quark distribution is defined by Eq. (4.2a) and that of the sea–charm–quark distribution is defined by Eq. (4.2b). The remnants are defined through the equations

$$c_v(x, Q^2) \equiv c_v^{\text{QPM}}(x, Q^2) + \delta c_v(x, Q^2), \quad (4.7a)$$

$$c_{\text{sea}}(x, Q^2) \equiv c_{\text{sea}}^{\text{QPM}}(x, Q^2) + \delta c_{\text{sea}}(x, Q^2). \quad (4.7b)$$

Using Eqs. (4.2), (4.4), and (4.6) one can derive the following equations that govern the deviations  $\delta c_v$  and  $\delta c_{\text{sea}}$ :

$$\frac{d}{dt} \delta c_v(x, Q^2) = \frac{\alpha_s(Q^2)}{2\pi} P_{qq}(x) \otimes [c_v^{\text{QPM}}(x, Q^2) + \delta c_v(x, Q^2)], \quad (4.8a)$$

$$\frac{d}{dt} \delta c_{\text{sea}}(x, Q^2) = \frac{\alpha_s(Q^2)}{2\pi} P_{qq}(x) \otimes [c_{\text{sea}}^{\text{QPM}}(x, Q^2) + \delta c_{\text{sea}}(x, Q^2)], \quad (4.8b)$$

within the similar approximation that Glück, Hoffmann, and Reya made [27]. Here we take the boundary conditions

$$\delta c_{v,\text{sea}}(x, Q_0^2) = 0. \quad (4.9)$$

Note that there now appear no massive splitting functions in these equations. Intuitively, this is because the deviation of the effective charm-quark distribution from the QPM prediction is caused by the gluon emission from the charm quarks, and the emission is approximated by the massless quark splitting function  $P_{qq}(x)$  in the scheme of Ref. [27]. A brief explanation of the numerical method which we employ to solve these equations is given in Appendix A.

The resulting effective charm-quark distributions (multiplied by  $x$ ) in the photon are shown in Fig. 5 for  $Q^2=4, 20$ , and  $100 \text{ GeV}^2$ , where the boundary conditions (4.9) are set at  $Q_0^2=4 \text{ GeV}^2$ . Also shown in the figure for comparison are the valence–up–quark distributions of WHIT1-3 and WHIT4-6. Predictions of the QPM are shown by solid lines while those of the massive-quark inhomogeneous AP equations are shown by dash-dotted lines. We find that the differences between the predictions of the two approaches are negligibly small for the sea–charm–quark distribution in WHIT1 to WHIT6. From Fig. 5 we find that the QPM prediction for the valence–charm–quark distribution differs by up to about 20% at  $Q^2 = 100 \text{ GeV}^2$ . The shape of the prediction in the massive inhomogeneous AP equations is softer than the QPM one as expected. Since the massless splitting function  $P_{qq}$  is used for describing the gluon emission from the charm quark, the deviation from the QPM predictions in the lower  $Q^2$  region may be an overestimate.

From the above discussion, we conclude that the QPM calculation of the effective charm-quark distribution is appropriate in the region  $Q^2 \lesssim 100 \text{ GeV}^2$ , given the

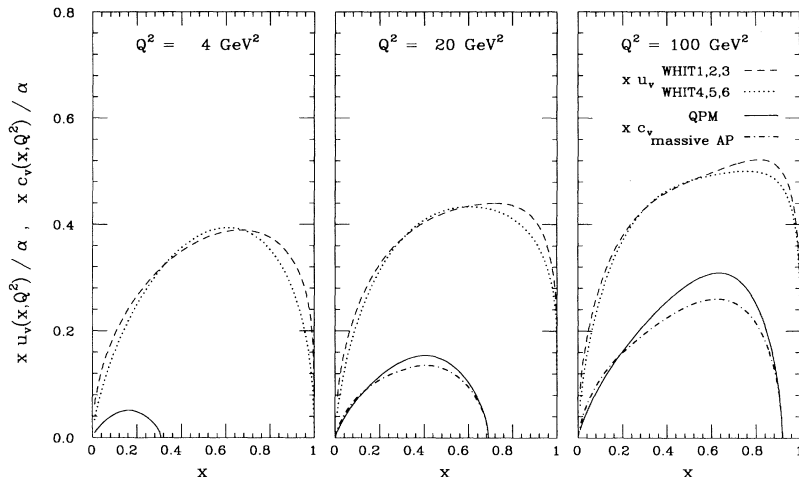


FIG. 5. Predictions for the charm-quark distribution in the photon, calculated by the QPM (solid lines) and by the massive inhomogeneous AP equations (dash-dotted lines). The valence-up-quark distributions of WHIT1-WHIT3 (dashed lines) and WHIT4-WHIT6 (dotted lines) are also shown for comparison.

present experimental accuracy and theoretical uncertainties in the charm-quark mass and higher order corrections. At sufficiently high  $Q^2$ , the massless 4-flavor AP equations should become a good approximation. However, we find that the charm-quark threshold effect is still significant near  $x \sim 1$  even at  $Q^2 \sim 100 \text{ GeV}^2$ . We therefore use the massive inhomogeneous AP equations between  $Q^2 = 100 \text{ GeV}^2$  and  $Q^2 = 2500 \text{ GeV}^2$  up to where we give parametrizations of the parton distributions. We require the continuity of all effective parton distributions at  $Q^2 = 100 \text{ GeV}^2$ : at  $4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$  we use the massless  $n_f = 3$  inhomogeneous AP equations with the QPM approximation to the charm-quark distribution, and at  $100 \text{ GeV}^2 \leq Q^2 \leq 2500 \text{ GeV}^2$  we use the massive inhomogeneous AP equations with the massive charm quark. The boundary conditions (4.9) are hence set at  $Q_0^2 = 100 \text{ GeV}^2$ .

To summarize, we treat the charm quark as being massive when generated from a photon or a gluon at all  $Q^2 (\leq 2500 \text{ GeV}^2)$ : we resum the effect of gluon emission at high  $Q^2 (\geq 100 \text{ GeV}^2)$  by using the massive AP equations of Glück *et al.* [27], where the finite quark mass effect is respected in the splitting functions  $P_{c\gamma}$  and  $P_{cg}$  while it is neglected in the gluon emission from the charm quark. Since the effect of gluon emission can be overestimated at low  $Q^2$  in this approximation, we suppress the gluon emission from the charm quark at  $Q^2 \leq 100 \text{ GeV}^2$ . Since the effect of resummation is not very large in this  $Q^2$  region, we believe that our prescription gives a good approximation of the full QCD result.

Although we do not give parametrizations of the effective charm-quark distribution in the photon, it is calculated efficiently in our FORTRAN code which is available via anonymous ftp [37]. The distribution is useful, e.g., in high  $p_T$  jet production at HERA because virtual gluon exchange between a charm quark in the photon and a parton in the proton involves the same kinematics as virtual photon exchange between a charm quark in the photon and an electron in the beam. The next-to-leading order correction is hence expected to be small in this case because we use the effective charm-quark distribution as defined via  $F_2'$ . This does not hold in general, and we

should expect large next-to-leading order corrections at  $Q^2 \sim m_c^2$  in some other processes. This is, for instance, the case when a charm quark in the photon annihilates with another parton. An explicit higher order calculation using the gluon distribution in the photon gives more reliable predictions in such cases.

Finally, as is mentioned in Sec. II C, the effective bottom quark distribution in the photon may be approximated by the QPM calculations ( $\gamma^* \gamma \rightarrow b\bar{b}$  and  $\gamma^* g \rightarrow b\bar{b}$ ) all the way up to  $Q^2 \simeq 2500 \text{ GeV}^2$ , and hence we do not give parametrizations of the effective  $b$ -quark distribution in the photon.

## V. CHARM PRODUCTION CROSS SECTION

In this section we study the charm-quark production cross section via the two-photon processes by using our new effective parton distribution functions in the photon. The charm-quark production cross section is expected to be much more sensitive on the gluonic content of the photon than the photon structure function [23].

### A. Equivalent real photon approximation

To calculate the charm-quark production cross section in the two-photon processes, we employ the equivalent real photon approximation (EPA) to the nearly on-shell virtual photons [5,38].

In the EPA the charm-quark pair production cross section for the process  $e^+e^- \rightarrow e^+e^-c\bar{c}X$  is approximated by the convolution of the effective real photon fluxes in the  $e^\pm$  beam and the cross section of the subprocess  $\gamma\gamma \rightarrow c\bar{c}X$ :

$$\begin{aligned} \sigma(e^+e^- \rightarrow e^+e^-c\bar{c}X) & \\ & \cong \int dx_1 dx_2 D_{\gamma/e}(x_1, Q_1^2) D_{\gamma/e}(x_2, Q_2^2) \\ & \quad \times \hat{\sigma}(\gamma_1 \gamma_2 \rightarrow c\bar{c}X), \end{aligned} \quad (5.1)$$

where  $\hat{\sigma}(\gamma_1\gamma_2 \rightarrow c\bar{c}X)$  is the subprocess cross section at  $W^2 = sx_1x_2$ , and  $D_{\gamma/e}(x_i, Q_i^2)$  ( $i = 1, 2$ ) is the equivalent real photon distribution in the electron ( $i = 1$ ) or in the positron ( $i = 2$ ). The c.m. energy of the colliding  $e^-e^+$  is  $\sqrt{s}$ , and that of the colliding two photons is  $W$ . The improved form of the photon distribution is written as [39]

$$D_{\gamma/e}(x, Q^2) = \frac{\alpha}{2\pi} \frac{1 + (1-x)^2}{x} \left[ \ln \frac{Q^2}{t_{\min}} - 1 \right] + \frac{\alpha}{2\pi} x, \quad (5.2)$$

where  $t_{\min} = m_c^2 x^2 / (1-x)$  is the kinematical limit of the magnitude of the lepton momentum transfer  $t$  and the second term on the right-hand side denotes the contribution of the electron helicity flip amplitudes [39]. The scale  $Q^2$  should be set by the dynamical condition that

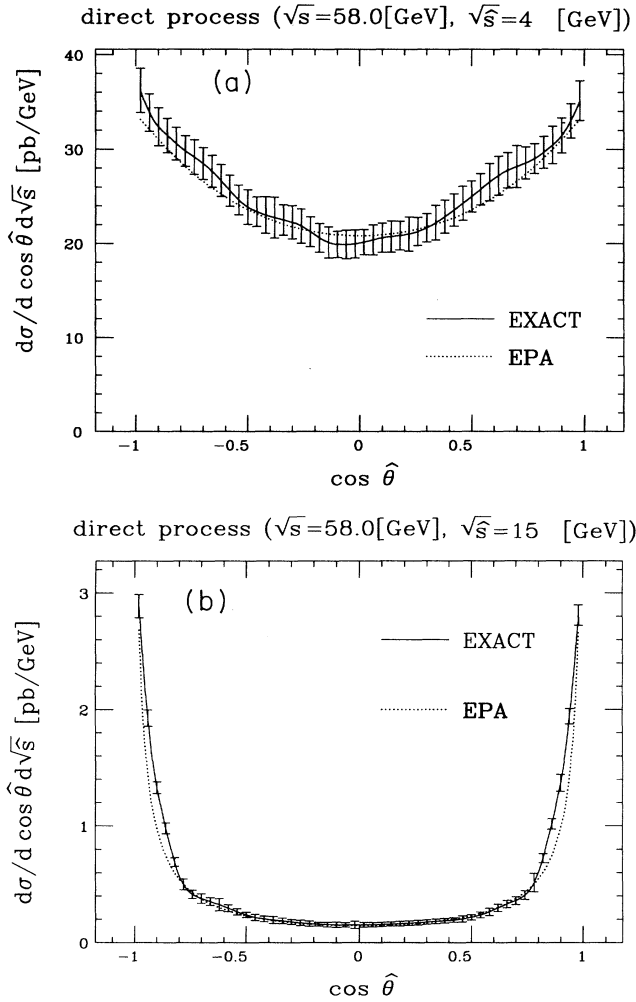


FIG. 6. The differential cross section of the process  $e^+e^- \rightarrow e^+e^-c\bar{c}X$  at TRISTAN energy ( $\sqrt{s} = 58$  GeV), evaluated exactly and via EPA: (a) near the charm-quark pair threshold ( $\sqrt{\hat{s}} = m_{c\bar{c}} = 4$  GeV) and (b) at far above the threshold ( $\sqrt{\hat{s}} = m_{c\bar{c}} = 15$  GeV). Vertical bars indicate errors of numerical integration of the exact matrix elements. We set  $m_c = 1.5$  GeV and  $\alpha = 1/137$ .

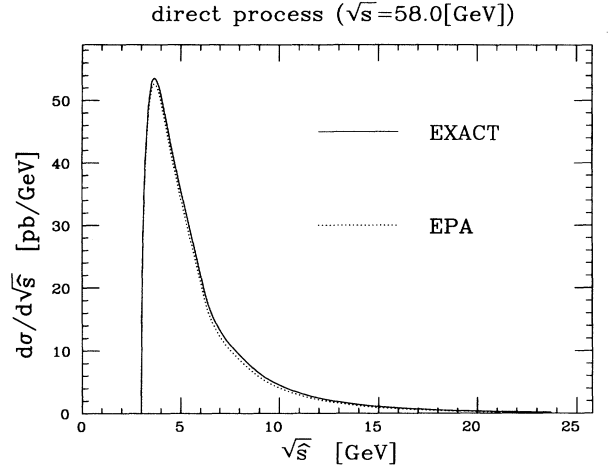


FIG. 7. The invariant mass distribution of the charm-quark pair in the process  $e^+e^- \rightarrow e^+e^-c\bar{c}$  evaluated exactly and via EPA at  $\sqrt{s} = 58$  GeV. We set  $m_c = 1.5$  GeV and  $\alpha = 1/137$ .

the subprocess “cross section” for a virtual process is damped at  $|t| > Q^2$  [38].

We choose the scales  $Q_i^2$  as follows. when the photon ( $\gamma_i$ ) couples directly to the charm quark we set

$$Q_i^2 = \min[m_c^2 + p_t^2, t_{\max}, t_{\text{cut}}], \quad (5.3)$$

where  $p_t$  is the transverse momentum of the charm quark in the  $\gamma\gamma$  c.m. system and  $t_{\max} = s(1-x_i)$  is the kinematical maximum of the momentum transfer, and  $t_{\text{cut}}$  denotes the possible experimental cut on the magnitude of the momentum transfer. The scale  $m_c^2 + p_t^2$  can be interpreted as the virtuality of the internal charm-quark

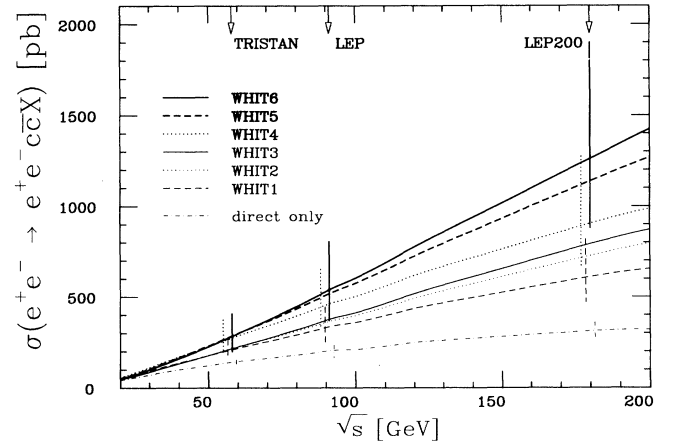


FIG. 8. The leading order prediction for the total cross section of the inclusive process  $e^+e^- \rightarrow e^+e^-c\bar{c}X$ . The contributions from the resolved photon processes depend on the parton distributions WHIT1 to 6. The curves are obtained by setting  $m_c = 1.5$  GeV,  $\alpha = 1/137$ , and  $\Lambda_4 = 0.4$  GeV for the strong coupling, and by requiring the invariant mass  $W$  of the hadron system to satisfy  $W \geq 2m_D = 3.74$  GeV. The vertical bars attached to the WHIT1, WHIT4, and WHIT6 predictions indicate the dependence of the cross sections on the charm mass  $m_c$  between 1.3 GeV and 1.7 GeV.

line; if the virtuality of the photon is larger than that of the internal charm quark, the production of charm quark is strongly suppressed. If the photon  $\gamma_i$  resolves into light partons that contribute to the  $c\bar{c}$  production subprocesses, we set

$$Q_i^2 = \min[2 \text{ GeV}^2, t_{\max}, t_{\text{cut}}], \quad (5.4)$$

since the gluon content of the photon should be suppressed if its virtuality is much larger than the hadronic scale. Although in principle the quark and gluon contents of a sufficiently virtual photon is calculable in perturbative QCD, we neglect contributions from virtual photons with  $|t_i| > 2 \text{ GeV}^2$ . The uncertainty associated with the choice of the cutoff scale may be estimated by changing the scale by a factor of 2, and it is at a few % level at

TRISTAN and/or LEP energies, while it can be reduced significantly by reducing the  $t_{\text{cut}}$  value by an antitagging.

To check the validity of our approximation Eq. (5.1) with the scale choice Eq. (5.3), we compare the EPA prediction with the exact cross section for the direct process  $e^+e^- \rightarrow e^+e^-c\bar{c}$  in which both photons couple directly to the charm quarks. In Fig. 6 we compare the differential cross section of charm-quark pair production evaluated exactly (solid lines with error bars) with that of the EPA prediction (dotted lines) against the cosine of the scattering angle  $\theta$  of the subprocess  $\gamma^*\gamma^* \rightarrow c\bar{c}$  in the  $c\bar{c}$

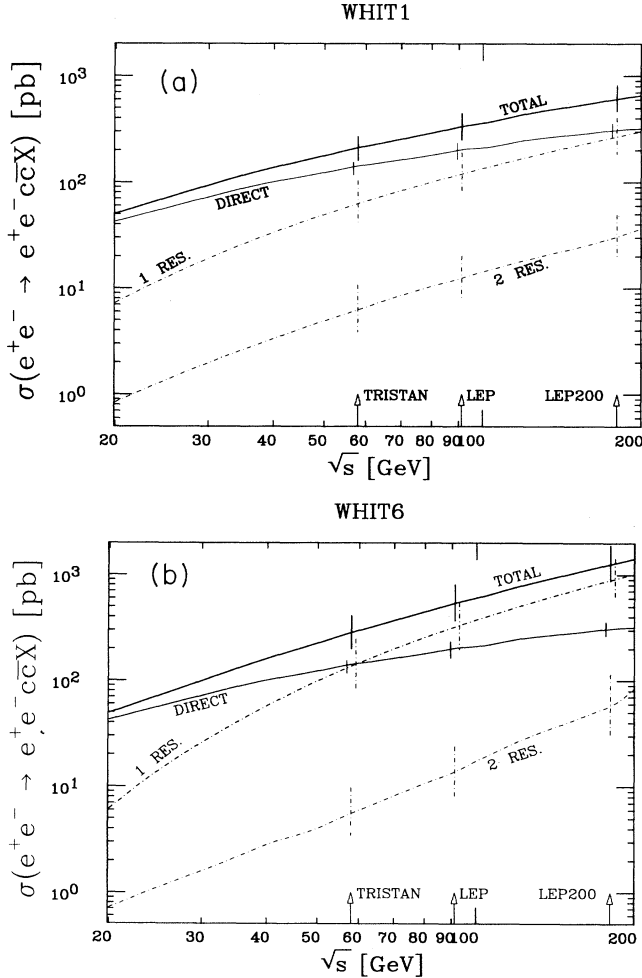


FIG. 9. The leading order predictions for the total cross sections of the inclusive process  $e^+e^- \rightarrow e^+e^-c\bar{c}X$ , where contributions of the direct and resolved photon processes are shown separately. The parton distributions of WHIT1 (a) and WHIT6 (b) are used to calculate the resolved photon contributions. We set  $m_c = 1.5 \text{ GeV}$ ,  $\alpha = 1/137$ , and  $\Lambda_4 = 0.4 \text{ GeV}$ . The vertical bars indicate the dependence of the cross sections on the charm mass  $m_c$  between  $1.3 \text{ GeV}$  and  $1.7 \text{ GeV}$ .

TABLE IV. Coefficients of the parametrization for WHIT1 parton distribution in the photon.

$Q^2$	$4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$						
	$s^0$	$s^1$	$s^2$	$s^3$	$s^4$		
$q_v$	$A_0$	1.882	1.213	0.697	0	0	
	$A_1$	0	-2.361	-1.136	0	0	
	$A_2$	0	0.528	2.406	0	0	
	$B$	0.500	0.02107	0.00413	0	0	
	$C$	0.2500	-0.2376	0.2018	-0.0504	0	
$g$	$A$	2.000	-3.28	2.894	-1.561	0.818	
	$B$	0	-0.761	-0.0490	0.446	0	
	$C$	3.000	1.586	-0.949	2.425	0	
	$A'_0$	0	0.461	0.1041	-0.01753	-0.2717	
	$A'_1$	0	0.00968	-0.417	-0.395	0.843	
	$B'$	-0.414	-0.0606	0.2847	-0.507	0	
	$C'$	1.244	0.588	-1.228	0.809	0	
$q_{\text{sea}}$	$A$	0.651	1.291	-4.47	5.14	-2.091	
	$B_0$	-0.0382	0.0901	-1.356	1.582	-0.644	
	$B_1$	2.084	7.74	-29.70	38.6	-17.05	
	$C$	7.00	-16.08	46.7	-57.1	23.86	
$Q^2$	$100 \text{ GeV}^2 \leq Q^2 \leq 2500 \text{ GeV}^2$						
	$s^0$	$s^1$	$s^2$	$s^3$	$s^4$		
$q_v$	$A_0$	3.058	2.474	1.002	0	0	
	$A_1$	-2.182	-4.48	-0.2251	0	0	
	$A_2$	1.522	4.31	1.314	0	0	
	$B$	0.517	0.0404	-0.02100	0	0	
	$C$	0.1655	-0.02062	0.0536	0	0	
$g$	$A$	0.784	-2.238	16.17	-62.5	83.9	
	$B$	-0.403	-1.307	8.78	-35.8	53.5	
	$C$	4.45	1.027	44.6	-160.0	181.6	
	$A'_0$	0.3010	1.275	-1.563	4.10	-13.37	
	$A'_1$	-0.1305	-1.245	2.438	-2.539	12.73	
	$B'$	-0.489	0.955	-4.40	10.22	-17.13	
	$C'$	1.331	-0.2481	1.950	-2.072	0	
$q_{\text{sea}}$	$A$	0.625	-0.589	4.18	-12.06	12.57	
	$B_0$	-0.2492	-0.411	0.966	-2.584	2.670	
	$B_1$	2.100	-5.75	47.8	-140.7	147.6	
	$C$	4.78	4.86	-48.9	147.7	-160.2	
$\delta_{c_v}$	$A_0$	0	0.1219	6.20	-25.04	30.98	
	$A_1$	0	1.913	-76.9	318	-392	
	$A_2$	0	-7.16	250.3	-1062	1308	
	$A_3$	0	3.19	-230.1	1012	-1250	
$B$	$B$	0.499	3.47	-15.26	19.67	0	
	$C$	0.329	8.24	-38.0	46.3	0	
	$\delta_{c_{\text{sea}}}$	$A$	0	-0.01815	0.002043	-0.00413	0
	$B_0$	-0.3086	-0.2565	0.0984	0	0	
$B_1$	1.376	-0.463	1.232	0	0		
$C$	3.65	0.729	-7.57	7.79	0		

rest frame. The exact cross section has been calculated by helicity amplitude subroutines for Feynman diagram evaluations (HELAS) [40] with the help of a Monte Carlo integration package BASES [41].

Near the charm-quark pair threshold [Fig. 6(a)] we find almost exact agreements between the EPA and the exact results. At far above the threshold energy [Fig. 6(b)], the subprocess cross section has peaks at  $\cos\hat{\theta} = \pm 1$  where our EPA prediction underestimates the cross section by  $\sim 30\%$ . Figure 7 shows the charm-quark pair invariant mass ( $\sqrt{\hat{s}}$ ) distribution after integrating over the scatter-

ing angle  $\hat{\theta}$ . Although there is a tendency that our EPA gives a slightly smaller cross section we find that the EPA calculation of the total cross section agrees with the exact cross section at 1% level.

## B. Charmed particle production cross section

The inclusive  $\gamma\gamma \rightarrow c\bar{c}X$  cross section in Eq. (5.1), including the resolved processes, is described in the leading order as

TABLE V. Coefficients of the parametrization for WHIT2 parton distribution in the photon.

$Q^2$	$4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$					
	$s^0$	$s^1$	$s^2$	$s^3$	$s^4$	
$q_v$	$A_0$	1.882	1.213	0.697	0	0
	$A_1$	0	-2.361	-1.136	0	0
	$A_2$	0	0.528	2.406	0	0
	$B$	0.500	0.02107	0.00413	0	0
	$C$	0.2500	-0.2376	0.2018	-0.0504	0
$g$	$A$	5.00	-14.99	26.17	-25.30	10.12
	$B$	0	-0.937	0.410	0.0339	0
	$C$	9.00	0.709	3.118	-0.000582	0
	$A'_0$	0	0.461	0.1041	-0.01753	-0.2717
	$A'_1$	0	0.00968	-0.417	-0.395	0.843
	$B'$	-0.414	-0.0606	0.2847	-0.507	0
	$C'$	1.244	0.588	-1.228	0.809	0
	$C''$	1.244	0.588	-1.228	0.809	0
$q_{\text{sea}}$	$A$	1.237	3.39	-10.75	12.46	-5.58
	$B_0$	-0.0727	0.1748	-1.392	1.711	-0.796
	$B_1$	4.29	17.87	-58.1	81.9	-41.4
	$C$	14.34	-44.9	119.7	-158.5	75.3
$Q^2$	$100 \text{ GeV}^2 \leq Q^2 \leq 2500 \text{ GeV}^2$					
	$s^0$	$s^1$	$s^2$	$s^3$	$s^4$	
$q_v$	$A_0$	3.058	2.474	1.002	0	0
	$A_1$	-2.182	-4.48	-0.2259	0	0
	$A_2$	1.522	4.30	1.315	0	0
	$B$	0.517	0.0403	-0.02098	0	0
	$C$	0.1655	-0.02063	0.0537	0	0
$g$	$A$	1.095	-2.388	9.19	-30.32	34.8
	$B$	-0.441	-0.907	4.68	-18.66	27.17
	$C$	10.99	4.71	28.01	-127.9	164.0
	$A'_0$	0.3010	1.275	-1.563	4.10	-13.37
	$A'_1$	-0.1305	-1.245	2.438	-2.539	12.73
	$B'$	-0.489	0.955	-4.40	10.22	-17.13
	$C'$	1.331	-0.2481	1.950	-2.072	0
	$C''$	1.331	-0.2481	1.950	-2.072	0
$q_{\text{sea}}$	$A$	1.287	-2.069	11.57	-35.7	37.4
	$B_0$	-0.2340	-0.443	1.235	-3.72	3.84
	$B_1$	6.46	-10.48	89.8	-284.7	299.8
	$C$	5.35	10.11	-133.7	427	-457
$\delta c_v$	$A_0$	0	0.1219	6.20	-25.04	30.98
	$A_1$	0	1.913	-76.9	318	-392
	$A_2$	0	-7.16	250.3	-1062	1308
	$A_3$	0	3.19	-230.1	1012	-1250
	$C$	0.499	3.47	-15.26	19.67	0
$\delta c_{\text{sea}}$	$A$	0	-0.02786	0.0349	-0.02223	0
	$B_0$	-0.3141	-0.425	0.1564	0	0
	$B_1$	4.72	-5.48	2.686	0	0
	$C$	2.961	0.776	-8.28	9.78	0

TABLE VI. Coefficients of the parametrization for WHIT3 parton distribution in the photon.

$Q^2$	$4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$					
	$s^0$	$s^1$	$s^2$	$s^3$	$s^4$	
$q_v$	$A_0$	1.882	1.213	0.697	0	0
	$A_1$	0	-2.361	-1.136	0	0
	$A_2$	0	0.528	2.406	0	0
	$B$	0.500	0.02107	0.00413	0	0
	$C$	0.2500	-0.2376	0.2018	-0.0504	0
$g$	$A$	8.00	-28.64	55.9	-57.6	23.66
	$B$	0	-0.987	0.510	-0.0667	0
	$C$	15.00	0.331	3.50	0.892	0
	$A'_0$	0	0.461	0.1041	-0.01753	-0.2717
	$A'_1$	0	0.00968	-0.417	-0.395	0.843
	$B'$	-0.414	-0.0606	0.2847	-0.507	0
	$C'$	1.244	0.588	-1.228	0.809	0
	$C''$	1.244	0.588	-1.228	0.809	0
$q_{\text{sea}}$	$A$	1.587	5.05	-11.26	7.56	-1.471
	$B_0$	-0.1006	0.2259	-1.195	1.175	-0.446
	$B_1$	5.73	25.64	-58.7	63.2	-25.77
	$C$	21.36	-72.9	153.2	-167.9	67.4
$Q^2$	$100 \text{ GeV}^2 \leq Q^2 \leq 2500 \text{ GeV}^2$					
	$s^0$	$s^1$	$s^2$	$s^3$	$s^4$	
$q_v$	$A_0$	3.058	2.474	1.002	0	0
	$A_1$	-2.182	-4.48	-0.2264	0	0
	$A_2$	1.522	4.30	1.315	0	0
	$B$	0.517	0.0403	-0.02097	0	0
	$C$	0.1655	-0.02064	0.0537	0	0
$g$	$A$	1.270	-2.817	5.74	-13.27	12.68
	$B$	-0.461	-0.817	3.32	-12.96	18.93
	$C$	17.21	1.257	50.5	-276.1	490.00
	$A'_0$	0.3010	1.275	-1.563	4.10	-13.37
	$A'_1$	-0.1305	-1.245	2.438	-2.539	12.73
	$B'$	-0.489	0.955	-4.40	10.22	-17.13
	$C'$	1.331	-0.2481	1.950	-2.072	0
	$C''$	1.331	-0.2481	1.950	-2.072	0
$q_{\text{sea}}$	$A$	1.850	-3.67	27.14	-106.6	130.9
	$B_0$	-0.2299	-0.497	2.464	-9.95	12.32
	$B_1$	10.42	-10.74	132.7	-539	656
	$C$	4.07	4.11	-171.9	707	-859
$\delta c_v$	$A_0$	0	0.1219	6.20	-25.04	30.98
	$A_1$	0	1.913	-76.9	318	-392
	$A_2$	0	-7.16	250.3	-1062	1308
	$A_3$	0	3.19	-230.1	1012	-1250
	$C$	0.499	3.47	-15.26	19.67	0
$\delta c_{\text{sea}}$	$A$	0	-0.01948	0.02861	-0.02036	0
	$B_0$	-0.413	-0.439	0.1810	0	0
	$B_1$	5.19	-7.40	3.40	0	0
	$C$	2.359	0.977	-7.73	9.48	0

$$\begin{aligned}
d\hat{\sigma}(\gamma\gamma \rightarrow c\bar{c}X) &= d\hat{\sigma}(\gamma\gamma \rightarrow c\bar{c})|_{\hat{s}=W^2} + 2 \int dx g(x, Q^2) d\hat{\sigma}(\gamma\gamma \rightarrow c\bar{c})|_{\hat{s}=W^2x} \\
&+ \int dx dy g(x, Q^2)g(y, Q^2) d\hat{\sigma}(gg \rightarrow c\bar{c})|_{\hat{s}=W^2xy} \\
&+ 2 \int dx dy \sum_{i=u,d,s} q_i(x, Q^2)\bar{q}_i(y, Q^2) d\hat{\sigma}(q\bar{q} \rightarrow c\bar{c})|_{\hat{s}=W^2xy}, \tag{5.5}
\end{aligned}$$

where  $W$  is the invariant mass of the two photons and  $\sqrt{\hat{s}}$  is that of the charm-quark pair. The effective gluon and quark distribution functions in the photon are denoted by  $g(x, Q^2)$ ,  $q_i(x, Q^2)$ , for which we use the parametriza-

TABLE VII. Coefficients of the parametrization for WHIT4 parton distribution in the photon.

$Q^2$	$4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$					
	$s^0$	$s^1$	$s^2$	$s^3$	$s^4$	
$q_v$	$A_0$	2.540	2.000	0.718	0	0
	$A_1$	0.0623	-7.01	0.1251	0	0
	$A_2$	-0.1642	-0.436	10.48	-5.20	0
	$B$	0.699	-0.02796	-0.00365	0	0
	$C$	0.442	-1.255	1.941	-0.995	0
$g$	$A$	4.00	-9.40	15.55	-14.50	5.47
	$B$	0	-1.142	1.034	-0.441	0
	$C$	3.000	0.872	1.006	0.356	0
	$A'_0$	0	0.602	0.509	-2.054	1.392
	$A'_1$	0	-0.0922	-1.899	4.18	-2.494
	$B'$	-0.2895	0.376	-1.719	1.116	0
	$C'$	1.439	-0.557	0.366	0.733	-0.762
$q_{\text{sea}}$	$A$	1.308	2.315	-7.88	8.26	-3.004
	$B_0$	-0.0373	0.0563	-1.133	1.185	-0.418
	$B_1$	2.103	4.85	-17.81	20.62	-7.94
	$C$	7.00	-10.17	26.00	-29.60	12.27
$Q^2$	$100 \text{ GeV}^2 \leq Q^2 \leq 2500 \text{ GeV}^2$					
	$s^0$	$s^1$	$s^2$	$s^3$	$s^4$	
$q_v$	$A_0$	4.27	3.096	1.619	0	0
	$A_1$	-4.74	-6.90	-2.430	0	0
	$A_2$	2.837	6.47	4.09	0	0
	$B$	0.678	-0.0394	0.01756	0	0
	$C$	0.1728	-0.02479	0.1446	0	0
$g$	$A$	1.384	-2.455	8.94	-29.06	37.1
	$B$	-0.442	-0.719	2.961	-12.09	19.16
	$C$	4.21	2.524	10.03	-18.27	2.162
	$A'_0$	0.2992	1.179	-1.915	7.26	-18.39
	$A'_1$	-0.1600	-1.114	2.939	-6.66	19.23
	$B'$	-0.483	0.755	-3.80	10.75	-19.93
	$C'$	1.297	-0.1669	1.906	-2.057	0
$q_{\text{sea}}$	$A$	1.188	-1.396	8.71	-25.42	24.92
	$B_0$	-0.2448	-0.419	1.007	-2.689	2.517
	$B_1$	1.942	-6.04	50.3	-147.8	148.1
	$C$	5.42	6.11	-53.8	163.2	-171.6
$\delta c_v$	$A_0$	0	0.1219	6.20	-25.04	30.98
	$A_1$	0	1.913	-76.9	318	-392
	$A_2$	0	-7.16	250.3	-1062	1308
	$A_3$	0	3.19	-230.1	1012	-1250
	$B$	0.499	3.47	-15.26	19.67	0
$\delta c_{\text{sea}}$	$A$	0	-0.02821	-0.0002649	0.00704	0
	$B_0$	-0.327	-0.2298	0.0350	0	0
	$B_1$	1.254	0.878	0.2086	0	0
	$C$	4.17	0.640	-7.63	7.17	0

tions of WHIT1 to WHIT6 parton distributions. The scale  $Q^2$  of the parton distribution functions and the QCD coupling  $\alpha_s(Q^2)$  has been chosen as follows:  $Q^2 = m_c^2 + p_t^2$  for  $\gamma\gamma \rightarrow c\bar{c}$  and  $gg \rightarrow c\bar{c}$ , and  $Q^2 = \hat{s}$  for  $q\bar{q} \rightarrow c\bar{c}$ .

TABLE VIII. Coefficients of the parametrization for WHIT5 parton distribution in the photon.

$Q^2$	$4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$					
	$s^0$	$s^1$	$s^2$	$s^3$	$s^4$	
$q_v$	$A_0$	2.540	2.000	0.718	0	0
	$A_1$	0.0623	-7.01	0.1251	0	0
	$A_2$	-0.1642	-0.436	10.48	-5.20	0
	$B$	0.699	-0.02796	-0.00365	0	0
	$C$	0.442	-1.255	1.941	-0.995	0
$g$	$A$	10.00	-34.0	69.0	-75.3	32.3
	$B$	0	-1.126	0.926	-0.393	0
	$C$	9.00	0.481	3.20	-0.347	0
	$A'_0$	0	0.602	0.509	-2.054	1.392
	$A'_1$	0	-0.0922	-1.899	4.18	-2.494
$q_{\text{sea}}$	$A$	2.227	5.72	-12.95	7.22	-0.2514
	$B_0$	-0.0881	0.1465	-0.975	0.782	-0.2074
	$B_1$	3.37	14.16	-31.50	27.89	-8.71
	$C$	15.81	-36.3	77.1	-78.1	29.48
$Q^2$	$100 \text{ GeV}^2 \leq Q^2 \leq 2500 \text{ GeV}^2$					
	$s^0$	$s^1$	$s^2$	$s^3$	$s^4$	
$q_v$	$A_0$	4.27	3.096	1.617	0	0
	$A_1$	-4.74	-6.90	-2.417	0	0
	$A_2$	2.837	6.47	4.07	0	0
	$B$	0.678	-0.0394	0.01750	0	0
	$C$	0.1728	-0.02457	0.1440	0	0
$g$	$A$	1.995	-3.26	1.818	1.711	-4.99
	$B$	-0.466	-0.610	1.691	-6.68	10.19
	$C$	10.75	5.42	6.55	-22.97	18.67
	$A'_0$	0.2992	1.179	-1.915	7.26	-18.39
	$A'_1$	-0.1600	-1.114	2.939	-6.66	19.23
	$B'$	-0.483	0.755	-3.80	10.75	-19.93
	$C'$	1.297	-0.1669	1.906	-2.057	0
$q_{\text{sea}}$	$A$	2.318	-3.76	20.26	-59.5	59.0
	$B_0$	-0.2425	-0.436	1.241	-3.51	3.36
	$B_1$	5.33	-8.68	74.2	-207.0	196.7
	$C$	8.48	9.31	-104.1	280.1	-266.3
$\delta c_v$	$A_0$	0	0.1219	6.20	-25.04	30.98
	$A_1$	0	1.913	-76.9	318	-392
	$A_2$	0	-7.16	250.3	-1062	1308
	$A_3$	0	3.19	-230.1	1012	-1250
	$B$	0.499	3.47	-15.26	19.67	0
$\delta c_{\text{sea}}$	$A$	0	-0.0658	0.1059	-0.0663	0
	$B_0$	-0.2750	-0.476	0.1191	0	0
	$B_1$	6.37	-5.32	1.986	0	0
	$C$	3.40	0.375	-8.79	10.01	0



We show in Fig. 8 the total charmed particle production cross sections. The six curves are obtained by setting  $m_c = 1.5$  GeV,  $\alpha = \frac{1}{137}$ , and  $\Lambda_4 = 0.4$  GeV, and by imposing the open charm production cut  $W \geq 2m_D = 3.74$  GeV. The vertical bars attached to the WHIT1, WHIT4, and WHIT6 curves indicate typical uncertainties in the cross section on the charm-quark mass  $m_c$  between 1.3 GeV and 1.7 GeV. It is notable that uncertainty due to the charm-quark mass is not reduced at high energies, because the total cross section is always dominated by the charm-quark pair production near the threshold. Higher order QCD corrections [42,31] also modify the cross sections.

As can be seen from Fig. 8, the total charm-quark production cross sections at high energy  $e^+e^-$  collider experiments are sensitive to the gluon distribution in the photon, which cannot be measured accurately at the current photon structure function experiments as we describe in Sec. III. At TRISTAN energies, the predictions using WHIT1 to WHIT3 and those using WHIT4 to WHIT6 are almost the same within each group. At LEP and LEP200 energies, the total charmed particle pair production cross section of the two photon process is more sensitive to the small  $x$  behavior of the gluon distribution, and WHIT1 to WHIT6 predictions can be distinguished provided that the uncertainties due to the charm-quark mass and higher order corrections are reduced.

Figure 9 shows the total cross sections for the process  $e^+e^- \rightarrow e^+e^-c\bar{c}X$ , together with the individual contribution of the direct photon process [the first term of Eq. (5.5)], the once-resolved photon processes [the second term of Eq. (5.5)], and the twice-resolved photon processes [the third and the last terms of Eq. (5.5)]. From Fig. 9, we find that the resolved processes, which are governed mainly by the gluon contents of the photon, grows much more rapidly for WHIT6 than for WHIT1. The contribution from the once-resolved processes overcomes that of the direct process even at TRISTAN energies for WHIT6, while they become comparable only at around LEP200 energy for WHIT1. Hence the energy dependence of the once-resolved photon contribution to the charm-quark pair production cross section will be useful to determine the gluon distribution.

## VI. CONCLUSIONS

We have studied all the available photon structure function data [11–17,24–26] at  $4 \text{ GeV}^2 < Q^2 < 100 \text{ GeV}^2$  in the leading order of perturbative QCD, and have found a new set of the effective scale-dependent parton distributions in the photon, WHIT1 to WHIT6, which are all consistent with the present data (Fig. 1). The six parton distributions have systematically different gluon contents (Fig. 4) and their parametrizations are given in Tables IV–IX. We have studied carefully the charm-quark contributions to the observed structure functions, which are evaluated by using the lowest order quark parton model matrix elements ( $\gamma^*\gamma \rightarrow c\bar{c}$  and  $\gamma^*g \rightarrow c\bar{c}$ ), and by using the massive inhomogeneous AP equations. We have found that the photon structure function has poor sensitivity to the gluon distribution, except at very

small  $x$ . In order to probe the gluon content of the photon from the photon structure function at small  $x$ , a careful analysis of experimental data is needed.

Predictions have also been given for the total charm-quark pair production cross section in the two-photon collision process including the resolved processes at  $e^+e^-$  colliders. At PEP and PETRA energies, the difference in the predictions of WHIT1 to WHIT6 distributions is badly observable, while at TRISTAN energies WHIT4 to WHIT6 distributions predict significantly higher cross section than WHIT1 to WHIT3 (Fig. 8). For all of our parton distributions, WHIT1 to WHIT6, the contribu-

TABLE IX. Coefficients of the parametrization for WHIT6 parton distribution in the photon.

$Q^2$		$4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$				
		$s^0$	$s^1$	$s^2$	$s^3$	$s^4$
$q_v$	$A_0$	2.540	2.000	0.718	0	0
	$A_1$	0.0623	-7.01	0.1251	0	0
	$A_2$	-0.1642	-0.436	10.48	-5.20	0
	$B$	0.699	-0.02796	-0.00365	0	0
	$C$	0.442	-1.255	1.941	-0.995	0
$g$	$A$	16.00	-61.0	127.8	-139.9	59.9
	$B$	0	-1.109	0.845	-0.351	0
	$C$	15.00	0.1596	4.18	-0.1765	0
	$A'_0$	0	0.602	0.509	-2.054	1.392
	$A'_1$	0	-0.0922	-1.899	4.18	-2.494
	$B'$	-0.2895	0.376	-1.719	1.116	0
	$C'$	1.439	-0.557	0.366	0.733	-0.762
$q_{\text{sea}}$	$A$	3.18	8.69	-22.87	18.96	-5.14
	$B_0$	-0.1003	0.1603	-1.037	0.944	-0.2915
	$B_1$	5.69	18.67	-46.7	50.5	-18.35
	$C$	21.49	-56.5	129.3	-145.9	57.5
$Q^2$		$100 \text{ GeV}^2 \leq Q^2 \leq 2500 \text{ GeV}^2$				
		$s^0$	$s^1$	$s^2$	$s^3$	$s^4$
$q_v$	$A_0$	4.27	3.096	1.621	0	0
	$A_1$	-4.74	-6.90	-2.439	0	0
	$A_2$	2.837	6.46	4.10	0	0
	$B$	0.678	-0.0394	0.01758	0	0
	$C$	0.1728	-0.02493	0.1451	0	0
$g$	$A$	2.378	-4.38	0.585	8.34	-9.92
	$B$	-0.479	-0.607	1.458	-6.03	9.33
	$C$	17.06	4.96	24.97	-158.2	295.4
	$A'_0$	0.2992	1.179	-1.915	7.26	-18.39
	$A'_1$	-0.1600	-1.114	2.939	-6.66	19.23
	$B'$	-0.483	0.755	-3.80	10.75	-19.93
	$C'$	1.297	-0.1669	1.906	-2.057	0
$q_{\text{sea}}$	$A$	3.34	-5.61	50.0	-220.7	302.8
	$B_0$	-0.2402	-0.409	2.263	-10.50	14.87
	$B_1$	8.79	-8.86	164.0	-712	973
	$C$	9.16	9.29	-278.4	1175	-1592
$\delta c_v$	$A_0$	0	0.1219	6.20	-25.04	30.98
	$A_1$	0	1.913	-76.9	318	-392
	$A_2$	0	-7.16	250.3	-1062	1308
	$A_3$	0	3.19	-230.1	1012	-1250
	$B$	0.499	3.47	-15.26	19.67	0
$\delta c_{\text{sea}}$	$C$	0.329	8.24	-38.0	46.3	0
	$A$	0	-0.0499	0.1026	-0.0787	0
	$B_0$	-0.361	-0.576	0.2257	0	0
	$B_1$	7.68	-8.83	3.88	0	0
	$C$	2.548	0.691	-8.70	10.65	0

tion of the once-resolved process exceeds that of the direct process at energies above around 200 GeV (Fig. 9).

### ACKNOWLEDGMENTS

We are grateful to our experimental colleagues, T. Nozaki of AMY, T. Tauchi and H. Hayashii of TOPAZ, and S. Odaka, H. Ohyama, T. Oyama, and S. Uehara of VENUS Collaborations, for keeping us informed about the latest analysis of their data. We thank M. Drees and P. M. Zerwas for valuable discussions on the charm quark production cross section. We also thank J. Kanzaki for discussions and S. Matsumoto for providing us with an efficient computer program to perform the  $\chi^2$  fit. T.I. and I.W. wish to thank Japan Society for the Promotion of Science for financial support. The work of I.W. was partially supported by the Grant-in-Aid for Scientific Research from the Ministry of Education, Science, and Culture of Japan.

### APPENDIX A: THREE METHODS TO SOLVE THE AP EQUATIONS

In this appendix we introduce three numerical methods for solving the massless inhomogeneous AP equations of Eq. (2.1) and the massive inhomogeneous AP equations of Eq. (4.3). We first introduce the Mellin transformation technique in Sec. A 1, and in Sec. A 2 we describe the recursion method that we actually use in performing the  $\chi^2$  fit to the experimental data. We use the Runge-Kutta method to solve the massive inhomogeneous AP equations, which is discussed in Sec. A 3.

The first two methods, the Mellin transformation and the recursion method, work only for the massless AP equations, while the Runge-Kutta method can be applicable to both the massive AP equations and the massless ones. We use all these three methods for cross checking of our numerical results for the massless AP equations.

#### 1. Moment method for the massless inhomogeneous AP equations

The Mellin transformation  $\tilde{f}(n)$  of  $f(x)$  is defined as

$$\tilde{f}(n) \equiv \int_0^1 dx x^{n-1} f(x), \quad (\text{A1})$$

where  $n$  is a complex number. This transformation solves the convolution integrals in the inhomogeneous AP equations as simple products of the Mellin transforms of the splitting function and the parton distribution function:

$$\int_0^1 dx x^{n-1} P(x) \otimes q(x) = \tilde{P}(n) \tilde{q}(n). \quad (\text{A2})$$

The inhomogeneous AP equations with  $n_f$  massless quarks,

$$\frac{dq_i(x, Q^2)}{dt} = \frac{\alpha}{2\pi} e_i^2 P_{q\gamma}(x) + \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{qq}(x) \otimes q_i(x, Q^2) + P_{qg}(x) \otimes g(x, Q^2) \right], \quad (\text{A3a})$$

$$\frac{dg(x, Q^2)}{dt} = \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{gq}(x) \otimes 2 \sum_{i=1}^{n_f} q_i(x, Q^2) + P_{gg}(x, n_f) \otimes g(x, Q^2) \right], \quad (\text{A3b})$$

with the splitting functions

$$P_{qq}(z) = \frac{4}{3} \left[ \frac{1+z^2}{(1-z)_+} + \frac{3}{2} \delta(1-z) \right], \quad (\text{A4a})$$

$$P_{gq}(z) = \frac{4}{3} \frac{1+(1-z)^2}{z}, \quad (\text{A4b})$$

$$P_{qg}(z) = \frac{1}{2} [z^2 + (1-z)^2], \quad (\text{A4c})$$

$$P_{gg}(z) = 6 \left[ \frac{z}{(1-z)_+} + \frac{(1-z)}{z} + z(1-z) + \left( \frac{11}{12} - \frac{n_f}{18} \right) \delta(1-z) \right], \quad (\text{A4d})$$

$$P_{q\gamma}(z) = 6P_{qg}(z), \quad (\text{A4e})$$

are transformed to the following forms in  $n$  space:

$$\frac{d\tilde{q}_i(n, Q^2)}{dt} = \frac{\alpha}{2\pi} e_i^2 \tilde{P}_{q\gamma}(n) + \frac{\alpha_s(Q^2)}{2\pi} \left[ \tilde{P}_{qq}(n) \tilde{q}_i(n, Q^2) + \tilde{P}_{qg}(n) \tilde{g}(n, Q^2) \right], \quad (\text{A5a})$$

$$\frac{d\tilde{g}(n, Q^2)}{dt} = \frac{\alpha_s(Q^2)}{2\pi} \left[ 2 \sum_{j=1}^{n_f} \tilde{P}_{gq}(n) \tilde{q}_j(n, Q^2) + \tilde{P}_{gg}(n, n_f) \tilde{g}(n, Q^2) \right], \quad (\text{A5b})$$

with

$$\tilde{P}_{qq}(n) = \frac{8}{3} \left[ \frac{3}{4} - \frac{2n+1}{2n(n+1)} - \gamma - \Psi(n) \right], \quad (\text{A6a})$$

$$\tilde{P}_{gq}(n) = \frac{4}{3} \frac{n^2 + n + 2}{n(n^2 - 1)}, \quad (\text{A6b})$$

$$\tilde{P}_{qg}(n) = \frac{n^2 + n + 2}{2n(n+1)(n+2)}, \quad (\text{A6c})$$

$$\tilde{P}_{gg}(n) = 6 \left[ \frac{11}{12} - \frac{n_f}{18} + \frac{2-n}{n(n-1)} + \frac{1}{(n+1)(n+2)} - \gamma - \Psi(n) \right], \quad (\text{A6d})$$

$$\tilde{P}_{q\gamma}(n) = 6\tilde{P}_{qg}(n). \quad (\text{A6e})$$

Here  $\Psi(x) \equiv d \ln \Gamma(x) / dx$  is the digamma function and  $\gamma = 0.577 \dots$  is Euler constant. Equation (A5) can be

readily solved analytically by diagonalizing with respect to the parton flavors, and we find  $\bar{q}_i(n, Q^2)$  and  $\bar{g}(n, Q^2)$  at arbitrary  $Q^2 > Q_0^2$ .

The  $x$ -space solution  $q_i(x, Q^2)$  can then be obtained by performing the inverse Mellin transformation

$$q_i(x, Q^2) = \frac{1}{2\pi i} \int_C dn x^{-n} \bar{q}_i(n, Q^2), \quad (\text{A7})$$

numerically. The complex integration path  $C$  must be in the right half plane of all singularities of the integrands. We choose the path [29]

$$C = C_+ - C_-, \quad C_{\pm} : n = 2.5 + \exp(\pm 3\pi i/4)u, \\ (u \text{ goes from } 0 \text{ to } \infty). \quad (\text{A8})$$

The angle of this path,  $3\pi/4$ , makes the integrals converge. For each value of  $x$  in Eq. (A7),  $|x^{-n}| = |\exp(-n \ln(x))| \sim \exp(\ln(x)u/\sqrt{2})$  can be neglected at sufficiently large  $u$ , e.g.,  $u \gtrsim -25/\ln(x)$ , for slowly varying  $n$ -space functions,  $\bar{q}_i(n, Q^2)$ .

Although the Mellin transformation method is compact and fast, it is not generally useful, because we have to restrict our input distributions  $q_i(x, Q_0^2)$ ,  $g(x, Q_0^2)$  to those functions whose Mellin transformations can be analytically obtained and hence we cannot use it to solve for our sea-quark distributions [see Eq. (2.14)], and once the splitting functions  $\hat{P}_{ij}(n)$  have a certain dependence on  $Q^2$ , which is the case in the massive AP equations, we can no longer solve Eq. (A5) analytically in general.

## 2. Recursive method for the massless AP equations

The second method to solve the massless AP equations is based on the power expansion of the solution by the double logarithmic energy scale parameter  $s$ :

$$s \equiv \ln(t/t_0) = \ln[\ln(Q^2/\Lambda^2)/\ln(Q_0^2/\Lambda^2)]. \quad (\text{A9})$$

To improve the convergence of the power expansion and the behavior at small  $x$ , we introduce the rescaled parton distribution  $\hat{q}_i$ , defined as

$$\hat{q}_i(x, s) \equiv x^2 e^{-s} q_i(x, Q^2), \quad (\text{A10a})$$

$$\hat{g}(x, s) \equiv x^2 e^{-s} g(x, Q^2). \quad (\text{A10b})$$

The massless inhomogeneous AP equations for  $n_f$  quark flavors, Eq. (2.1), can then be rewritten as

$$\frac{d\hat{q}_i(x, s)}{ds} = t_0 \frac{\alpha}{2\pi} e_i^2 \hat{P}_{q\gamma}(x) + t_0 e^s \frac{\alpha_s(Q^2)}{2\pi} \left[ \hat{P}_{qq}(x) \otimes \hat{q}_i(x, s) \right. \\ \left. + \hat{P}_{qg}(x) \otimes \hat{g}(x, s) \right] - \hat{q}_i(x, s), \quad (\text{A11a})$$

$$\frac{d\hat{g}(x, s)}{ds} = t_0 e^s \frac{\alpha_s(Q^2)}{2\pi} \left[ 2 \sum_{i=1}^{n_f} \hat{P}_{gq}(x) \otimes \hat{q}_i(x, s) \right. \\ \left. + \hat{P}_{gg}(x, n_f) \otimes \hat{g}(x, s) \right] - \hat{g}(x, s), \quad (\text{A11b})$$

where we define the rescaled splitting functions

$$\hat{P}_{ij}(x) = x^2 P_{ij}(x), \quad (\text{A12})$$

which is regular at  $x \rightarrow 0$ . Since the QCD running coupling  $\alpha_s(Q^2)$  scales as  $\exp(-s) \sim 1/\ln Q^2$  in the leading log approximation, the factor  $e^s \alpha_s(Q^2)$  is regarded to be a constant:

$$\epsilon = t_0 e^s \frac{\alpha_s(Q^2)}{2\pi} = \frac{6}{33 - 2n_F}, \quad (\text{A13})$$

where  $n_F$  is the number of light-quark flavors which governs the running of the QCD coupling  $\alpha_s$ . We adopt  $n_F = 4$  for  $4.0 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$ , and  $n_F = 5$  for  $Q^2 > 100 \text{ GeV}^2$ , according to Eq. (2.16).

Integrating Eq. (A11) one finds

$$\hat{q}_i(x, s) = \hat{q}_i(x, 0) + st_0 \frac{\alpha}{2\pi} e_i^2 \hat{P}_{i\gamma}(x) \\ + \epsilon \sum_j \hat{P}_{ij} \otimes \int_0^s ds' \hat{q}_j(x, s') \\ - \int_0^s ds' \hat{q}_i(x, s'), \quad (\text{A14})$$

where  $\hat{q}_i(x, 0)$  is nothing but the rescaled input parton distribution at  $Q^2 = Q_0^2$ . Expanding the parton distributions by powers of  $s$ , i.e.,

$$\hat{q}_i(x, s) = \sum_{\ell=0}^{\infty} \frac{s^\ell}{\ell!} \hat{q}_i^{(\ell)}(x), \quad (\text{A15})$$

one can reduce Eq. (A14) order by order of  $s$ :

$$\hat{q}_i^{(0)}(x) = \hat{q}_i(x, 0), \quad (\text{A16a})$$

$$\hat{q}_i^{(1)}(x) = t_0 \frac{\alpha}{2\pi} e_i^2 \hat{P}_{i\gamma}(x) + \epsilon \sum_j \hat{P}_{ij}(x) \otimes \hat{q}_j^{(0)}(x) \\ - \hat{q}_i^{(0)}(x), \quad (\text{A16b})$$

$$\hat{q}_i^{(\ell)}(x) = \epsilon \sum_j \hat{P}_{ij}(x) \otimes \hat{q}_j^{(\ell-1)}(x) - \hat{q}_i^{(\ell-1)}(x) \\ \text{for } \ell \geq 2). \quad (\text{A16c})$$

The above equations give the input parton distribution  $\hat{q}_i(x, 0)$  as the zeroth order approximation for  $\hat{q}_i(x, s)$ . The first correction which is linear to  $s$  is the sum of the inhomogeneous term and the terms which is driven by the zeroth approximation (A16b). The higher order corrections are determined recursively by Eq. (A16c). Summing all the contributions as in Eq. (A15) up to an appropriate order, one obtains the solution  $\hat{q}_i(x, s)$  with a given accuracy.

This method is useful for arbitrary input parton distributions. This is an advantage of this method as compared to the moment method in Sec. A 1, since our input sea-quark distribution is calculated by the convolution integral in Eq. (2.14) and hence does not have analytic Mellin transform. Furthermore, we find that the recursive method needs much less CPU time than the more general Runge-Kutta method of Sec. A 3. We therefore use this scheme in the actual fitting of the experimental data.

When one solves the homogeneous AP equations, sim-

ilar method can be used, where the scale parameter  $s$  is expressed often by the QCD coupling constant  $\alpha_s(Q^2)$  as  $s \equiv \ln[\alpha_s(Q_0^2)/\alpha_s(Q^2)]$ . In this definition, one can naturally incorporate the effect of the change of the effective number of the quark flavors  $n_F$  at the quark mass thresholds by simply rescaling the  $s$  variable. However, due to the presence of the inhomogeneous term, we cannot absorb all scales into the  $s$  variable.

Even though the recursive method is powerful for solving the massless inhomogeneous AP equations, it cannot be used to solve the massive AP equations. This is because the significant threshold effect in the massive quark distribution and the singular mass effect in the massive splitting functions do not allow the power expansion like Eq. (A15).

### 3. Runge-Kutta method

The Runge-Kutta method is the general method to solve the differential equations and it requires a relatively large CPU time and sufficiently large number of data points for precise calculation. We use this method to integrate the inhomogeneous AP equations with a massive charm quark:

$$\frac{dq_i(x, Q^2)}{dt} = \frac{\alpha}{2\pi} e_i^2 P_{q\gamma}(x) + \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{qq}(x) \otimes q_i(x, Q^2) + P_{qg}(x) \otimes g(x, Q^2) \right], \quad (\text{A17a})$$

$$\frac{dg(x, Q^2)}{dt} = \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{gq}(x) \otimes 2 \left( \sum_{i=u,d,s} q_i(x, Q^2) + c(x, Q^2) \right) + P_{gg}(x, 3) \otimes g(x, Q^2) \right], \quad (\text{A17b})$$

$$\frac{dc(x, Q^2)}{dt} = \frac{\alpha}{2\pi} e_c^2 P_{c\gamma}(x, Q^2) + \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{qq}(x) \otimes c(x, Q^2) + P_{cg}(x, Q^2) \otimes g(x, Q^2) \right]. \quad (\text{A17c})$$

After introducing the QPM component of the charm-quark distribution by Eq. (4.2), the deviations from the QPM predictions as defined in Eq. (4.7) satisfy the equations

$$\frac{d}{dt} \delta c_v(x, Q^2) = \frac{\alpha_s(Q^2)}{2\pi} P_{qq}(x) \otimes \left[ c_v^{\text{QPM}}(x, Q^2) + \delta c_v(x, Q^2) \right], \quad (\text{A18a})$$

$$\frac{d}{dt} \delta c_{\text{sea}}(x, Q^2) = \frac{\alpha_s(Q^2)}{2\pi} P_{qq}(x) \otimes \left[ c_{\text{sea}}^{\text{QPM}}(x, Q^2) + \delta c_{\text{sea}}(x, Q^2) \right]. \quad (\text{A18b})$$

It is the above two equations with the boundary condi-

tion Eq. (4.9) that we solve by using the Runge-Kutta method. It is difficult to apply the previous two methods, the Mellin transformation method or the recursion method, to solve the above equations. For the Mellin transformation method, we cannot analytically Mellin transform  $c_{v,\text{sea}}^{\text{QPM}}$ . For the recursive method of Sec. A 2, the expansion of  $w(z, r)$  in powers of  $s$  fails at the threshold  $z = 1/a = 1/(1 + 4m_c^2/Q^2)$ .

To solve the massive AP equations of Eqs. (A17a), (A17b), (A18) we use the fourth-order Runge-Kutta method with adaptive step-size control for calculating the evolution of  $t$ . By discretizing the  $x$  variable as  $x_j$  ( $j = 0, 1, \dots, N$ ) we solve the AP equations as a set of  $5(N+1)$  ordinary differential equations, while performing the convolution integration  $P(x) \otimes q(x)$  by the Simpson integration. We choose

$$x_j = \tanh(\sinh(-2 + 0.04j))/2 + 1/2 \quad (j = 0, \dots, 110), \quad (\text{A19a})$$

$$x_{111} = 1, \quad (\text{A19b})$$

so that the data points are dense near both ends  $x \sim 0$  and  $x \sim 1$ . We find that  $N = 111$  is sufficient to archive an accuracy of 1%.

## APPENDIX B: WHIT1-WHIT6 PARAMETRIZATIONS

For practical use of the WHIT parton distributions which are given by the standard valence-quark input parameters of Eq. (3.2) and the various gluon input parameters as listed in Table III, we give their convenient parametrizations (or simple prescriptions to calculate them). The parametrizations are given in three different  $Q^2$  regions,  $4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$ ,  $100 \text{ GeV}^2 \leq Q^2 \leq 2500 \text{ GeV}^2$ , and  $Q^2 \leq 4 \text{ GeV}^2$ . The FORTRAN code of the distributions that we have used for generating curves in this paper is available via anonymous ftp [37].

### 1. Parametrizations in the region $4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$

In this  $Q^2$  region we parametrize those solutions of the massless 3-flavor AP equations which are described in Sec. III B. The heavy quark distributions are calculated by the quark parton model as mentioned in Sec. IV. Therefore, it is sufficient to give parametrizations for the valence-quark, the gluon, and the sea-quark distributions.

The valence-quark distribution is parametrized in the functional form

$$xq_v(x, s)/\alpha = (A_0 + A_1x + A_2x^2)x^B(1-x)^C, \quad (\text{B1})$$

where  $s$  is defined in Eq. (A9) with  $Q_0^2 = 4 \text{ GeV}^2$  and  $\Lambda = \Lambda_4 = 400 \text{ MeV}$ , and  $A_i$ 's,  $B$ , and  $C$  are polynomials of  $s$  of at most the fourth order. The coefficients of these

polynomials can be found in Tables IV–IX. Note that the valence-quark distributions are common for WHIT1 to WHIT3 and for WHIT4 to WHIT6.

For the gluon distribution we take the form

$$xg(x, s)/\alpha = Ax^B(1-x)^C + (A'_0 + A'_1x)x^{B'}(1-x)^{C'}. \quad (\text{B2})$$

As in the case of the valence quark,  $A$ 's,  $B$ 's, and  $C$ 's are polynomials of  $s$  of at most the fourth order. The coefficients of these polynomials are also found in Tables IV–IX. Note that the second term in Eq. (B2) is common for WHIT1 to WHIT3 and for WHIT4 to WHIT6. The reason is that it approximately represents the contribution of the gluons emitted from the valence quark, and that the valence-quark distribution is common for WHIT1 to WHIT3 and for WHIT4 to WHIT6.

Since the input valence-quark distributions are much harder than the input gluon distributions for the WHIT parton distributions as seen in Eq. (3.2) and Table III, the contribution of the common part of the gluon distributions is expected to be significant at  $x \sim 1$ . In fact, we can estimate the behavior of the common part from Eq. (2.6c). In the one gluon emission approximation we get the following relation for the common gluon distribution which originates from the valence quark:

$$g(x) \sim P_{gq}(x) \otimes q_v(x). \quad (\text{B3})$$

Assuming the behavior of the valence-quark distribution at  $x \sim 1$  as  $q_v(x) \sim (1-x)^{C_v}$ , Eq. (B3) leads to

$$g(x) \sim (1-x)^{C_v+1} \quad \text{as } x \rightarrow 1. \quad (\text{B4})$$

This common part of the gluon distributions is dominant at  $x \sim 1$  in the WHIT parton distributions, because  $C_v < 1$  and  $C_g \geq 3$  for all the input parton distributions. One may find that the above crude estimation of the behavior of the common part of the gluon distributions at  $x \sim 1$  works rather well even in quantitative sense by looking at the relevant entries of Tables IV–IX.

As for the sea-quark distribution we parametrize it by the functional form

$$xq_{\text{sea}}(x, s)/\alpha = Ax^{(B_0+B_1x)}(1-x)^C. \quad (\text{B5})$$

The coefficients that describe the  $s$  dependence of  $A$ ,  $B$ 's, and  $C$  are also listed in Tables IV–IX.

The effective charm-quark distribution is calculated by the quark parton model by using Eqs. (4.1) and (4.2). In the calculation of the sea-charm-quark distribution in Eq. (4.2b), the above parametrization of the gluon distribution for each WHIT parton distribution is used. An efficient integration routine for the convolution in the calculation of the sea-charm-quark distribution is included in our FORTRAN code for WHIT parametrizations.

Our parametrizations reproduce the distributions within 5% in the region of  $0.001 \leq s \leq 0.8$  (corresponding to the region of  $4.01 \text{ GeV}^2 \leq Q^2 \leq 207 \text{ GeV}^2$ ) and  $0.001 \leq x \leq 0.99$  for the up, down, and gluon distributions. Note that the up and down quark distributions are written in terms of the valence- and sea-quark distribu-

tions as shown in Eq. (2.5). In the region  $0 \leq s \leq 0.001$  ( $4 \text{ GeV}^2 \leq Q^2 \leq 4.01 \text{ GeV}^2$ ), they do not agree precisely with the distributions mainly because our oversimplified initial distributions do not satisfy the perturbative relations among quarks and gluon distributions such as Eq. (B4). As for the charm-quark distributions, our convolution integral routine is sufficiently accurate that their error is at most 5% reflecting the error in the parametrizations of the gluon distributions.

## 2. Parametrizations in the region $100 \text{ GeV}^2 \leq Q^2 \leq 2500 \text{ GeV}^2$

In this  $Q^2$  region we parametrize the solutions of the massive inhomogeneous AP equations obtained in Sec. IV, in which the charm-quark mass is retained. According to the prescription of Sec. IV we give the deviations from the QPM predictions for the valence-charm-quark and the sea-charm-quark distributions that are defined in Eq. (4.7), in addition to the valence-light-quark, the gluon, and the sea-light-quark distributions.

The valence-light-quark, the gluon, and the sea-light-quark distributions are parametrized in exactly the same manner as in the previous lower  $Q^2$  case, except that  $Q_0$  and  $\Lambda$  in the definition of  $s$  are now changed to  $Q_0^2 = 100 \text{ GeV}^2$  and  $\Lambda = \Lambda_5 = 302.3 \text{ MeV}$ .

The deviation in the valence-charm-quark distribution is parametrized as

$$x\delta c_v(x, s)/\alpha = (A_0 + A_1x + A_2x^2 + A_3x^3)x^B(1-x)^C. \quad (\text{B6})$$

Note that, as seen from Eq. (4.8a),  $\delta c_v$  is completely universal, i.e., it is common for all six WHIT parton distributions. The parametrization of the deviation in the sea-charm-quark distribution takes the form

$$x\delta c_{\text{sea}}(x, s)/\alpha = Ax^{(B_0+B_1x)}(1-x)^C, \quad (\text{B7})$$

which is the same form as the parametrization of the sea-light-quark distribution. The total charm-quark distribution is given by Eqs. (4.5) and (4.7). The QPM part of the sea-charm-quark distribution is calculated by using the parametrization of the corresponding gluon distribution.

The relative error of these parametrizations is less than 5% for the up, down, and gluon distributions in the region of  $0 \leq s \leq 0.4$  (corresponding to the region of  $100 \text{ GeV}^2 \leq Q^2 \leq 3120 \text{ GeV}^2$ ), and  $0.00125 \leq x \leq 0.99$ . Note that the up and down quark distributions are related to the valence- and the sea-light-quark distributions by Eq. (2.5). For the charm-quark distributions, the accuracy is also within 5% in the same  $Q^2$  region but in the different  $x$  region:  $0.00125 \leq x \leq 0.99/a$ , where  $x = 1/a = 1/(1 + 4m_c^2/Q^2)$  represents the threshold for the charm quark.

## 3. Prescription in the region of $Q^2 \leq 4 \text{ GeV}^2$

Finally, we give a prescription for the parton distributions at  $Q^2 \leq Q_0^2 = 4 \text{ GeV}^2$ . This is because occasionally

one wants to estimate the effects at lower  $Q^2$  region. We give a very crude estimate here that can be used in such cases, rather than setting all the distributions to zero or freezing the scale dependences.

As is explained in the main text we give initial parton distributions at  $Q^2 = Q_0^2 = 4 \text{ GeV}^2$  and evolve them to higher  $Q^2$  by using the inhomogeneous AP equations. Since the AP equations cannot generally be solved in the backward direction due to its instability, there are no ways to calculate the correct parton distributions below  $4 \text{ GeV}^2$ .

Our prescription to estimate the light parton distributions in the region of  $Q^2 \leq 4 \text{ GeV}^2$  is simply to multiply the factor  $\ln(Q^2/\Lambda_4^2)/\ln(4 \text{ GeV}^2/\Lambda_4^2)$  to the corresponding light parton distributions at  $Q^2 = 4 \text{ GeV}^2$ , i.e., the

input parton distributions. The effective charm-quark distribution is calculated in the same way as in the region  $4 \text{ GeV}^2 \leq Q^2 \leq 100 \text{ GeV}^2$  by using the rescaled gluon distribution. This crude prescription gives a reasonably good estimate for the valence quark distributions, but the resulting gluon distribution may not be realistic. Therefore, one has to be careful when using this estimate at  $Q^2 < 4 \text{ GeV}^2$ .

In our application, the charm-quark pair production process probes the photon structure down to  $m_c^2$ , which can take the lowest value of  $(1.3 \text{ GeV})^2 = 1.69 \text{ GeV}^2$  for  $m_c = 1.3 \text{ GeV}$ . We have checked that the effect of modifying the prescription at  $Q^2 < 4 \text{ GeV}^2$  is negligibly small for the total charm-quark production cross section.

- 
- [1] E. Witten, Nucl. Phys. **B120**, 189 (1977) .
- [2] W. A. Bardeen and A. J. Buras, Phys. Rev. D **20**, 166 (1979); **21**, 2041(E) (1980); D. W. Duke and J. F. Owens, *ibid.* **22**, 2280 (1980); G. Rossi, Phys. Lett. **130B**, 105 (1983); I. Antoniadis and G. Grunberg, Nucl. Phys. **B213**, 445 (1983).
- [3] M. Glück and E. Reya, Phys. Rev. D **28**, 2749 (1983).
- [4] M. Drees and K. Grassie, Z. Phys. C **28**, 451 (1985).
- [5] C. Weizsäcker, Z. Phys. **88**, 612 (1934); E. J. Williams, Phys. Rev. **45**, 729 (1934).
- [6] J. H. Field, F. Kapusta, and L. Pigioli, Phys. Lett. B **181**, 362 (1986); Z. Phys. C **36**, 121 (1987).
- [7] L. E. Gordon and J. K. Storrow, Z. Phys. C **56**, 307 (1992).
- [8] P. Aurenche, P. Chiappetta, M. Fontannaz, J. P. Guillet, and E. Pilon, Z. Phys. C **56**, 589 (1992).
- [9] M. Glück, E. Reya, and A. Vogt, Phys. Rev. D **46**, 1973 (1992).
- [10] H. Abramowicz, K. Charchuła, and A. Levy, Phys. Lett. B **269**, 458 (1991).
- [11] PLUTO Collaboration, Ch. Berger *et al.*, Phys. Lett. **142B**, 111 (1984).
- [12] PLUTO Collaboration, Ch. Berger *et al.*, Nucl. Phys. **B281**, 365 (1987).
- [13] TASSO Collaboration, M. Althoff *et al.*, Z. Phys. C **31**, 527 (1986).
- [14] JADE Collaboration, W. Bartel *et al.*, Z. Phys. C **24**, 231 (1984).
- [15] TPC/Two-Gamma Collaboration, H. Aihara *et al.*, Z. Phys. C **34**, 1 (1987).
- [16] TPC/Two-Gamma Collaboration, J. S. Steinman, UCLA Report No. UCLA-HEP-88-004, 1988 (unpublished).
- [17] AMY Collaboration, T. Sasaki *et al.*, Phys. Lett. B **252**, 491 (1990).
- [18] AMY Collaboration, R. Tanaka *et al.*, Phys. Lett. B **277**, 215 (1992).
- [19] TOPAZ Collaboration, H. Hayashii *et al.*, Phys. Lett. B **314**, 149 (1993).
- [20] TOPAZ Collaboration, R. Enomoto *et al.*, Phys. Rev. D **50**, 1879 (1994).
- [21] VENUS Collaboration, S. Uehara *et al.*, Z. Phys. C **63**, 213 (1994).
- [22] AMY Collaboration, T. Aso, in *Proceedings of the Second Workshop on TRISTAN Physics at High Luminosities*, Tsukuba, Japan, 1993, edited by H. Sagawa *et al.* (KEK Report No. 93-22, Tsukuba, 1994).
- [23] M. Drees and R. M. Godbole, Nucl. Phys. **B339**, 355 (1990).
- [24] TOPAZ Collaboration, K. Muramatsu, in *Proceedings of the Second Workshop on TRISTAN Physics at High Luminosities* [22]; TOPAZ Collaboration, K. Muramatsu *et al.*, Phys. Lett. B **332**, 477 (1994).
- [25] VENUS Collaboration, T. Oyama *et al.* (private communication).
- [26] OPAL Collaboration, R. Akers *et al.*, Z. Phys. C **61**, 199 (1994).
- [27] M. Glück, E. Hoffmann, and E. Reya, Z. Phys. C **13**, 119 (1982).
- [28] Bardeen and Buras [2].
- [29] M. Glück, E. Reya, and A. Vogt, Phys. Rev. D **45**, 3986 (1991).
- [30] M. Fontannaz and E. Pilon, Phys. Rev. D **45**, 382 (1992).
- [31] E. Laenen, S. Riemersma, J. Smith, and W. L. van Neerven, Phys. Rev. D **49**, 5753 (1994).
- [32] R. J. DeWitt, L. M. Jones, J. D. Sullivan, D. E. Willen, and H. W. Wyld, Jr., Phys. Rev. D **19**, 2046 (1979).
- [33] G. Altarelli and G. Parisi, Nucl. Phys. **B126**, 298 (1977); G. Altarelli, Phys. Rep. **81**, 1 (1982).
- [34] P. Aurenche, R. Baier, M. Fontannaz, M. N. Kienzle-Focacci, and M. Werlen, Phys. Lett. B **233**, 517 (1989).
- [35] A. J. Buras and K. J. F. Gaemers, Nucl. Phys. **B132**, 249 (1978); J. F. Owens and E. Reya, Phys. Rev. D **17**, 3003 (1978); R. Baier, J. Engels, and B. Petersson, Z. Phys. C **2**, 265 (1979); M. Diemoz, F. Ferroni, E. Longo, G. Martinelli, D. W. Duke, and J. F. Owens, Phys. Rev. D **30**, 49 (1984). Z. Phys. C **39**, 21 (1988); A. D. Martin, R. G. Roberts, and W. J. Stirling, Phys. Rev. D **37**, 1161 (1988); Phys. Lett. B **206**, 327 (1988); Mod. Phys. Lett. A **4**, 1135 (1989); P. N. Harrison, A. D. Martin, R. G. Roberts, and W. J. Stirling, Phys. Rev. D **42**, 798 (1990); Phys. Lett. B **243**, 421 (1990); J. Kwiecinski, A. D. Martin, R. G. Roberts, and W. J. Stirling, Phys. Rev. D **42**, 3645 (1990); A. D. Martin, R. G. Roberts, and W. J. Stirling, *ibid.* **43**, 3648 (1991).
- [36] Bartel *et al.* [14]; V. Bloddel, in *Proceedings of the CERN School of Computing*, Aiguablanca, Spain, 1984, edited by C. Verkerk (CERN Report No. 85-09, Geneva,

- Switzerland, 1985), p. 88; A. Bäcker, in *Proceedings of the 6th International Workshop on Photon-Photon Collisions*, Lake Tahoe, California, 1984, edited by R. L. Lander (World Scientific, Singapore, 1985), p. 205.
- [37] The FORTRAN code for the parametrizations of our parton distribution functions is available via anonymous ftp from <ftp://ftp.kek.jp/kek/whitpdf>.
- [38] V. M. Budnev, I. F. Ginzburg, G. V. Meledin, and V. G. Serbo, *Phys. Rep.* **15**, 183 (1975).
- [39] K. Hagiwara, H. Iwasaki, A. Miyamoto, H. Murayama, and D. Zeppenfeld *Nucl. Phys.* **B365**, 544 (1991).
- [40] H. Murayama, I. Watanabe, and K. Hagiwara, "HELAS: HELicity Amplitude Subroutines for Feynman Diagram Evaluations," KEK Report 91-11, January, 1992 (unpublished).
- [41] S. Kawabata, *Comput. Phys. Commun.* **41**, 127 (1986).
- [42] M. Drees, M. Krämer, J. Zunft, and P. M. Zerwas, *Phys. Lett. B* **306**, 371 (1993).