

## Test of $CPT$ symmetry conservation in $K^0$ decays

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Recent measurements have been made of several of the decay parameters of the  $K^0$ - $\bar{K}^0$  system:  $\eta_{+-0}$ ,  $\eta_{+-\gamma}$ ,  $\Delta m$ ,  $\tau_S$ ,  $\phi_{+-}$ ,  $\phi_{00}$ , and  $\epsilon'/\epsilon$ . These measurements make it possible to place new limits on the violation of  $CPT$  symmetry. To do this we use the Bell-Steinberger relation, which relates the time derivative of the square of the kaon wave function to the sum of the partial decay rates. We evaluate each partial decay rate and discuss the experimental uncertainties.

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$CPT$  symmetry conservation is satisfied in almost all local field theories and is considered a cornerstone of high energy physics. However, because of the nonlocal nature of some recent candidate theories, e.g., string theory, and because whether  $CPT$  is conserved or not is ultimately an experimental question, a considerable amount of theoretical and experimental effort has gone into tests of  $CPT$  invariance. Perhaps the most natural place to search for  $CPT$  -violation is in the  $K^0$ - $\bar{K}^0$  system. Because of the small mass difference between the  $K_L^0$  and  $K_S^0$  mesons, this system is extraordinarily sensitive to small effects such as  $CP$  or  $CPT$  symmetry violation. For example it is the only place to date where  $CP$  violation has been found.

To investigate possible  $CPT$  violation in kaon decays we choose to evaluate the Bell-Steinberger relation [1]. This equation is based on the conservation of probability. It relates the time derivative of the square of the kaon wave function to the sum of partial decay rates. Our choice is motivated by the availability of new measurements of many of the parameters describing decays of the  $K^0$ - $\bar{K}^0$  system, namely  $\eta_{+-0}$  (the  $CP$  violation parameter for  $K_S^0 \rightarrow \pi^+\pi^-\pi^0$ ),  $\eta_{+-\gamma}$  (the  $CP$  violation parameter for  $K_L^0 \rightarrow \pi^+\pi^-\gamma$ ),  $\Delta m$  (the  $K_L$ - $K_S$  mass difference),  $\tau_S$  (the  $K_S$  lifetime),  $\phi_{+-}$  and  $\phi_{00}$  (the phases of  $\eta_{+-}$  and  $\eta_{00}$ ), and  $\epsilon'/\epsilon$  (the parameter ratio describing direct  $CP$  violation). An exhaustive review [2] of  $CPT$  violation in kaon decays in 1984 found a “not quite satisfactory agreement” between data and  $CPT$  conservation due to the then current measurements of  $\phi_{+-}$  and  $\phi_{00}$ . We shall see that the new measurements have clarified the situation.

The derivation of the Bell-Steinberger relation starts from the parametrization of a state of amplitude  $a$  ( $\bar{a}$ ) of the  $K^0$  ( $\bar{K}^0$ ). The Schrödinger equation for this state is

$$-\frac{d}{dt} \begin{pmatrix} a \\ \bar{a} \end{pmatrix} = \left( iM + \frac{1}{2}\Gamma \right) \begin{pmatrix} a \\ \bar{a} \end{pmatrix}, \quad (1)$$

where  $M$  and  $\Gamma$  are  $2 \times 2$  Hermitian matrices called the mass and decay matrices. The eigenstates, called  $K_S^0$  and  $K_L^0$ , are given by

$$|K_S\rangle = \frac{1}{\sqrt{2(1+|\epsilon+\Delta|^2)}} [(1+\epsilon+\Delta)|K^0\rangle + (1-\epsilon-\Delta)|\bar{K}^0\rangle], \quad (2a)$$

$$|K_L\rangle = \frac{1}{\sqrt{2(1+|\epsilon-\Delta|^2)}} [(1+\epsilon-\Delta)|K^0\rangle - (1-\epsilon+\Delta)|\bar{K}^0\rangle], \quad (2b)$$

where, in terms of the elements of  $M$  and  $\Gamma$ ,

$$\epsilon = \frac{\text{Im}(\Gamma_{12}) + i\text{Im}(M_{12})}{\Gamma_S - \Gamma_L - 2i\Delta m}, \quad (3a)$$

$$\Delta = \frac{\Gamma_{11} - \Gamma_{22} + i(M_{11} - M_{22})}{\Gamma_S - \Gamma_L - 2i\Delta m}, \quad (3b)$$

where  $\Gamma_S$  ( $\Gamma_L$ ) is the  $K_S$  ( $K_L$ ) decay rate.  $\Delta$  ( $\epsilon$ ) describes  $CP$  violation with  $CPT$  violation (conservation). This fact can be seen from Eq. (3b) because  $\Delta$  is nonzero if there is a difference in either masses or decay rates of the  $K^0$  and  $\bar{K}^0$ .

If we form a state  $|K(t)\rangle = a_S|K_S\rangle + a_L|K_L\rangle$  then the conservation of probability requires that the slope of the normalization of this state at time  $t=0$  be equal to the sum of the decay rates:

$$-\frac{d}{dt} |\langle K(0)|K(0)\rangle|^2 = \sum_f |a_S A(K_S \rightarrow f) + a_L A(K_L \rightarrow f)|^2, \quad (4)$$

where the sum runs over all decay channels  $f$ , and the  $A$ 's are decay amplitudes. Since  $a_S$  and  $a_L$  are arbitrary parameters Eq. (4) is really three equations, for the factors multiplying  $|a_S|^2$ ,  $|a_L|^2$ , and the cross terms,  $a_S^* a_L$  and its complex conjugate. Using the equation for the cross terms, and Eq. (1) for the time derivatives, yields the Bell-Steinberger relation

$$(1 + i \tan \phi_{sw}) [\text{Re}(\epsilon) - i\text{Im}(\Delta)] = \sum_f \alpha_f, \quad (5)$$

where  $\phi_{sw}$  is the superweak phase,  $\tan \phi_{sw} = 2\Delta m/(\Gamma_S - \Gamma_L)$ , the sum again runs over all decay channels  $f$ , and  $\alpha_f = (1/\Gamma_S) A^*(K_S \rightarrow f) A(K_L \rightarrow f)$ . Although derived from the principle of conservation of probability, Eq. (5) is a test of  $CPT$  symmetry conservation through the appearance of the  $CPT$ -violating parameter  $\Delta$ . Table I shows all of the decays that contribute to the states,  $f$ , and the formulas for each  $\alpha_f$ . In the table,  $\delta_l$  is the

TABLE I. All of the  $\alpha_f$  that contribute to the Bell-Steinberger relation.

Decay mode	$\alpha_f$
$K_L \rightarrow \pi^+\pi^-$	$\alpha_{+-} = B_{+-}^{(S)}\eta_{+-}$
$K_L \rightarrow \pi^0\pi^0$	$\alpha_{00} = B_{00}^{(S)}\eta_{00}$
$K_L \rightarrow \pi^+\pi^-\gamma$	$\alpha_{+-\gamma} = B_{+-\gamma}^{(S)}\eta_{+-\gamma}$
$K_L \rightarrow \pi e\nu$ and $\pi\mu\nu$	$\alpha_{l3} = \frac{\tau_S}{\tau_L} [B_{\pi e\nu}^{(L)} + B_{\pi\mu\nu}^{(L)}] [\delta_l(1 + 2\text{Re}(x)) - 2i\text{Im}(x)]$
$K_S \rightarrow \pi^+\pi^-\pi^0$	$\alpha_{+-0} = \frac{\tau_S}{\tau_L} B_{+-0}^{(L)}\eta_{+-0}$
$K_S \rightarrow \pi^0\pi^0\pi^0$	$\alpha_{000} = \frac{\tau_S}{\tau_L} B_{000}^{(L)}\eta_{000}$

charge asymmetry in semileptonic decays, and  $x$  is the  $\Delta S = \Delta Q$  violation parameter.

Each of the  $CP$ -violation parameters,  $\eta_{+-}$ ,  $\eta_{00}$ ,  $\eta_{+-\gamma}$ , and  $\delta_l$  is measured to be of order  $10^{-3}$  [3]. The standard model predicts that  $\eta_{+-0}$  and  $\eta_{000}$  are of the same order and that  $x = 0$ . If these predictions are true, then the size of each  $\alpha_f$  is clear.  $\alpha_{+-}$  and  $\alpha_{00}$  dominate the sum.  $\alpha_{+-\gamma}$  is smaller because of its smaller branching ratio, and  $\alpha_{l3}$ ,  $\alpha_{+-0}$ , and  $\alpha_{000}$  are reduced by the factors of  $\tau_S/\tau_L (= 1/580)$  shown in Table I.

Table II shows the experimental values of each  $\alpha_f$  from the world's data on kaon decays. In addition, Table II shows the quantities  $\alpha_{+-} + \alpha_{00}$  and  $(1 + i \tan \phi_{sw})\text{Re}(\epsilon)$  and the difference between them. If  $CPT$  is conserved and the theoretical prejudice about  $x$ ,  $\eta_{+-0}$ , and  $\eta_{000}$  is true then this difference should be consistent with zero within uncertainties.

The values are calculated in two ways. The first, in the center column of Table II, is from the 1992 Particle Data Group (PDG) compendium of Ref. [3]. The entry for  $\alpha_{+-\gamma}$  is blank because  $\eta_{+-\gamma}$  had not been measured in 1992. If we form a sum of all the  $\alpha_f$ 's the small experimental uncertainties on  $\alpha_{+-}$  and  $\alpha_{00}$  would be overcome by the large uncertainties on  $\alpha_{l3}$ ,  $\alpha_{+-0}$ , and  $\alpha_{000}$ . To avoid this, we can for the moment assume that  $CPT$  is conserved and that the standard model predictions for  $x$ ,  $\eta_{+-0}$ , and  $\eta_{000}$  are correct, and compare  $\alpha_{+-} + \alpha_{00}$  with  $(1 + i \tan \phi_{sw})\text{Re}(\epsilon)$  to see if the data are consistent with these assumptions. They are consistent at the  $\sim 1\sigma$  level.

The second method of calculating the  $\alpha_f$ 's starts with the PDG numbers, but supplements them with more recently published results from Fermilab experiments E621 [4] and E731 [5], and from CERN experiment NA31 [6].

The recent result on  $\eta_{+-0}$  by the E621 Collaboration tests the standard model prediction that  $\alpha_{+-0}$  should not contribute appreciably to the sum, and upholds that prediction. The uncertainties on  $\alpha_{+-0}$  are now much smaller than those of  $\alpha_{+-}$  and  $\alpha_{00}$ . The measurement of  $\eta_{+-\gamma}$  by the E731 Collaboration fills the blank in Table II. Because the branching ratio of  $K_S \rightarrow \pi^+\pi^-$  quoted in PDG includes the  $\pi^+\pi^-\gamma$  radiative decay and since, within uncertainties,  $\eta_{+-\gamma} = \eta_{+-}$ ,  $\alpha_{+-\gamma}$  is already included in  $\alpha_{+-}$  to sufficient accuracy. We need not make any correction here.

Both the E731 and NA31 Collaborations have published accurate results on  $\epsilon'/\epsilon$  and on  $\Delta\phi$ . We have used these results to calculate  $\eta_{00}$  from the more precisely known  $\eta_{+-}$ . We used weighted averages of the E731 and NA31 values.

In addition, the E731 group used their data to measure  $\Delta m$ ,  $\tau_S$ ,  $\Delta\phi$ , and  $\phi_{+-}$ . The determination of all these parameters by one experiment minimizes systematic errors. When fitting data on  $K_L$ - $K_S$  interference in the  $\pi^+\pi^-$  channel to determine  $\Delta m$  and  $\phi_{+-}$  the variables are correlated. In the E731 publication [5] they recompute other groups' values of  $\phi_{+-}$  using their own value of  $\Delta m$ , and find excellent agreement among the experiments. Our second method used the E731 values of  $\Delta m$  and  $\tau_S$ . The agreement between  $\alpha_{+-} + \alpha_{00}$  and  $(1 + i \tan \phi_{sw})\text{Re}(\epsilon)$  is somewhat better here [7].

It is clear from our analysis that two experiments are needed to improve the uncertainties on the Bell-Steinberger relation. The  $\Delta S = \Delta Q$  violation parameter  $x$  and  $CP$ -violation parameter  $\eta_{000}$  (in  $K_S^0 \rightarrow \pi^0\pi^0\pi^0$ ) must be measured with smaller uncertainties. The value (and uncertainty) of  $\text{Re}(\alpha_{l3})$  is small because it is proportional to  $\delta_l$ . But  $\text{Im}(\alpha_{l3}) \propto \text{Im}(x)$  and its uncertainty

TABLE II. Values for each  $\alpha_f$ , calculated from the 1992 Particle Data Group (PDG) compendium (center column), and also using more recent publications (right-hand column). Note that the values in the table have been scaled by a factor of  $10^3$ .

$\alpha_f$	$10^3$ PDG (1992) value	$10^3$ current value
$\alpha_{+-}$	$(1.069 \pm 0.027) + i(1.131 \pm 0.027)$	$(1.152 \pm 0.030) + i(1.045 \pm 0.031)$
$\alpha_{00}$	$(0.486 \pm 0.019) + i(0.514 \pm 0.018)$	$(0.536 \pm 0.017) + i(0.464 \pm 0.018)$
$\alpha_{+-\gamma}$		$(0.003 \pm 0.005) + i(0.010 \pm 0.002)$
$\alpha_{l3}$	$(0.004 \pm 0.001) + i(0.007 \pm 0.059)$	$(0.004 \pm 0.001) + i(0.007 \pm 0.059)$
$\alpha_{+-0}$	$(0.028 \pm 0.040) + i(-0.036 \pm 0.057)$	$(0.001 \pm 0.001) + i(0.003 \pm 0.006)$
$\alpha_{000}$	$(-0.030 \pm 0.067) + i(0.019 \pm 0.101)$	$(-0.030 \pm 0.067) + i(0.019 \pm 0.101)$
$\alpha_{+-} + \alpha_{00}$	$(1.555 \pm 0.033) + i(1.644 \pm 0.032)$	$(1.689 \pm 0.034) + i(1.510 \pm 0.036)$
$(1 + i \tan \phi_{sw})\text{Re}(\epsilon)$	$(1.635 \pm 0.060) + i(1.564 \pm 0.057)$	$(1.635 \pm 0.060) + i(1.546 \pm 0.057)$
Difference	$(-0.080 \pm 0.069) + i(0.081 \pm 0.066)$	$(0.054 \pm 0.069) + i(-0.037 \pm 0.068)$

is large compared to that of  $\alpha_{+-}$  and  $\alpha_{00}$ . The uncertainty in  $\alpha_{000}$  is due to that of  $\eta_{000}$ , and is the largest of any of Table II. After these measurements are made, the largest uncertainty will be that of  $\delta_I$  (known to 3.7%), which dominates the uncertainty in  $(1 + i \tan \phi_{sw})\text{Re}(\epsilon)$  [because  $\delta_I = 2\text{Re}(\epsilon)$ ].

In summary, we have calculated the limits that can be put on  $CPT$  violation in decays of the neutral kaon using the Bell-Steinberger relation. The recent measurement of  $\eta_{+-0}$  by the E621 Collaboration reduces its contribution to the uncertainty in the Bell-Steinberger relation to an

insignificant level. If reasonable assumptions are made about the size of  $x$  and  $\eta_{000}$  then the world's data are completely consistent with  $CPT$  conservation. A much better test could be made if  $x$ ,  $\eta_{000}$ , and  $\delta_I$  were measured with better accuracy.

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- [3] Particle Data Group, K. Hikasa *et al.*, Phys. Rev. D **45**, S1 (1992). The value of  $\eta_{+-0}$  used in preparing the center column of Table II is that published in M. Metcalf *et al.*, Phys. Lett. **40B**, 703 (1972). The  $\eta_{000}$  value is that of V. V. Barmin *et al.*, *ibid.* **128B**, 129 (1983). For both columns of Table II we calculated  $\text{Re}(\epsilon)$  from the relation  $\delta_I = 2\text{Re}(\epsilon)$ .
- [4] The E621 Collaboration published a new limit on  $\eta_{+-0}$  in Y. Zou *et al.*, Phys. Lett. B **329**, 519 (1994). For the right-hand column of Table II, we used the fit they performed using the constraint,  $\text{Re}(\eta_{+-0}) = \text{Re}(\epsilon)$ . In Hikasa *et al.* [3], p. VII.89 there is an interesting note written by T. Nakada and L. Wolfenstein. They describe this constraint as being valid if  $CPT$  is conserved. If  $CPT$  were not conserved, then there are two ways  $\text{Re}(\eta_{+-0})$  could change. One is through what the authors of Ref. [2] called "super-strong"  $CPT$  violation. This effect would also make the  $K^+$  lifetime be different from that of the  $K^-$ . The current data on the  $K^+K^-$  lifetime difference require that the change in  $\text{Re}(\eta_{+-0})$  be less than  $\sim 0.2\epsilon$ . The other way is through  $\text{Re}(\Delta)$ . In *The Physics of Time Reversal* (University of Chicago, Chicago, 1987), p. 227, R. G. Sachs shows that  $\Delta \leq 0.3\epsilon$ . These limits are much smaller than the statistical uncertainty reported by Zou *et al.* so use of the constraint is justified.
- [5] The E731 Collaboration published new measurements of  $\Delta m$ ,  $\tau_S$ ,  $\phi_{+-}$ , and  $\Delta\phi = \phi_{00} - \phi_{+-}$  (and hence  $\phi_{00}$ ) in L. K. Gibbons *et al.*, Phys. Rev. Lett. **70**, 1199 (1993), a new measurement of  $\epsilon'/\epsilon$  in L. K. Gibbons *et al.*, *ibid.*, **70**, 1203 (1993), the first measurement of  $\eta_{+-\gamma}$  in E. J. Ramberg *et al.*, *ibid.*, **70**, 2529 (1993), and  $B_{+-\gamma}^{(S)}$  in E. J. Ramberg *et al.*, Phys. Rev. Lett. **70**, 2525 (1993).
- [6] The NA31 Collaboration published a new measurement of  $\Delta\phi$  in R. Carosi *et al.*, Phys. Lett. B **237**, 303 (1990), and one of  $\epsilon'/\epsilon$  in G. D. Barr *et al.*, *ibid.* B **317**, 233 (1990).
- [7] The NA31 group calculated a limit on the mass difference between the  $K^0$  and the  $\bar{K}^0$  in their paper by Carosi *et al.* [6]. This limit was  $(m_{K^0} - m_{\bar{K}^0})/m_{K^0} < 5 \times 10^{-18}$  at 95% confidence level. With the complete set of E731 and NA31 results used here that limit now becomes  $(m_{K^0} - m_{\bar{K}^0})/m_{K^0} < 3 \times 10^{-18}$  at 95% confidence level.