

## Hadronization and inelasticities

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In this work we extend our previous analysis concerning the behavior of inelasticity at high energies and discuss the effects of the hadronization process on this quantity. We analyze the UA5 and UA7 data on rapidity distributions.

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### I. INTRODUCTION

The energy dependence of inelasticity is an important problem which is still the subject of debate [1–4]. Generally speaking, inelasticity is the fraction of the total energy carried by the produced particles in a given collision. However in the literature one finds several possible ways to define it. We will be concerned with two of these definitions. In the first one, inelasticity is defined as

$$K_1 = \frac{M}{\sqrt{s}}, \quad (1)$$

where  $\sqrt{s}$  is the total reaction energy in its center of mass frame and  $M$  is the mass of the system (fireball, string, etc.) which decays into the final produced particles. The second definition of  $K$  considered here is

$$K_2 = \frac{1}{\sqrt{s}} \sum_i \int dy \mu_i \frac{dn_i}{dy} \cosh y, \quad (2)$$

where  $\mu_i = \sqrt{p_{T_i}^2 + m_i^2}$  is the transverse mass of produced particles of type  $i$  and  $(dn_i/dy)$  their measured rapidity distribution. These two definitions are, in principle, model independent, although the mass  $M$  might be difficult to evaluate in certain models.

The main difference between  $K_1$  and  $K_2$  is that, whereas the first one refers to partons, the second one refers to final observed hadrons.  $K_2$  implicitly includes the kinetic energy of the object of mass  $M$ .

From the experimental point of view  $K_2$  would be easy to measure. However errors on the measurements of fast (large  $y$ ) particles produce large uncertainties in the integral in (2) due to the  $\cosh y$  term. The solution of this problem would be to measure produced particles at very small angles, close to the beam. Unfortunately this is experimentally very difficult. However an important step in this direction was given by the CERN UA7 Collaboration, which reported the measurement of the production cross section of neutral pions in a very forward region at  $\sqrt{s} = 630$  GeV. These data help not only in computing  $K_2$  but are in themselves an important piece of experimental information and models should compare their predictions with UA5 [5] and UA7 [6] data simul-

taneously. So far such a comparison was only performed in Refs. [2,4] where extremely simple models were considered.

From the theoretical point of view,  $K_1$  is a very interesting quantity because it can be easy to calculate and because it is the relevant quantity when studying the formation of dense systems (e.g., quark-gluon plasma).

In a recent paper [7] we have used the interacting gluon model (IGM) [8] to study the energy dependence of  $K_1$ . We concluded that the introduction of a semi-hard component (minijets) in that model produces increasing inelasticities at the partonic level. In this paper we introduce a hadronization mechanism in the IGM, calculate the rapidity distributions of the produced particles, compare our results with the UA5 and UA7 data, and finally calculate  $K_2$ . The purpose of this exercise is to verify whether the hadronization process changes our previous conclusion. As will be seen we find out that, whereas some quantitative aspects, such as the existence or nonexistence of Feynman scaling [9,10] in the fragmentation region and the numerical values of  $K_2$ , depend very strongly on the details of the fragmentation process, the statement that minijets lead to increasing inelasticities remains valid.

### II. HADRONIZATION IN THE IGM

#### A. General ideas of IGM

In the IGM a proton-proton collision is described as follows: during the collision the valence quarks in the protons fly through each other almost without interaction and form fast excited states called “leading jets” (LJ’s) which will subsequently decay populating the “fragmentation region” with one leading baryon and a few hadrons. The gluon cloud, which surrounds the valence quarks and is the slow part of the proton, interacts strongly with the gluonic cloud in the other proton. There is a large amount of “stopping” and the formation of a gluonic cluster called the “central fireball” (CF), which is the main source of secondary particles. This

process is depicted in Fig. 1.

As extensively discussed in Refs. [7, 8] and other previous publications, the fundamental quantity in the IGM is the function  $\chi(x_1, x_2)$  which gives the probability of depositing fractions  $x_1$  and  $x_2$  of the energy momenta of the incoming protons in the central region of reaction, by means of multiple gluon-gluon interactions in both soft and semihard regimes.

Given  $\chi(x_1, x_2)$  we can immediately write the inelasticity distribution and its complementary distribution, the leading jet momentum spectrum

$$\chi(K_1) = \int_0^1 dx_1 \int_0^1 dx_2 \delta(\sqrt{x_1 x_2} - K_1) \chi(x_1, x_2) \theta(x_1 x_2 - K_{\min}^2), \quad (3)$$

$$f(x_L) = \int_0^1 dx_1 \int_0^1 dx_2 \delta(1 - x_1 - x_L) \chi(x_1, x_2) \theta(x_1 x_2 - K_{\min}^2), \quad (4)$$

where  $K_1 = \sqrt{x_1 x_2}$  and  $K_{\min}$  is the minimal inelasticity

$$K_{\min} = \frac{m_0}{\sqrt{s}} \quad (5)$$

which is defined by the mass  $m_0$  ( $= 350$  MeV) of the lightest possible produced state and  $\sqrt{s}$  is the invariant reaction energy.

In the past,  $x_L$  was identified with the leading particle fractional momentum. Here it is the momentum of the leading jet (LJ) which contains the proton valence quarks and a few gluons. The leading jet decays into the leading particle and other fragments.

### B. Hadronization of the central fireball

In order to calculate the rapidity distributions and confront them with UA5 and UA7 data we must hadronize our central fireball, which has a mass  $M = \sqrt{x_1 x_2 s}$  and our leading jet which has a mass  $m_{LJ}$ . These two systems

$$\frac{dn^{\text{CF}}}{dy} = \int_0^1 dx_1 \int_0^1 dx_2 \chi(x_1, x_2) \frac{dn_M}{dy}(x_1, x_2) \theta(x_1 x_2 - K_{\min}^2). \quad (8)$$

Expression (6) is the famous approximate one-dimensional solution of Landau's hydrodynamical model. For thermalized systems this distribution would give a realistic description of the motion. Here, however, it is taken just as an empirical formula which describes data in an economical and successful way [11]. It depends on the parameters  $a_0$ ,  $a_1$ ,  $a_2$ ,  $a_3$ , and  $a_4$  which are energy independent and will be fixed later.

### C. Hadronization of the leading jet

As mentioned before, the IGM is, in principle, not particularly suited for the fragmentation of LJ, mainly be-

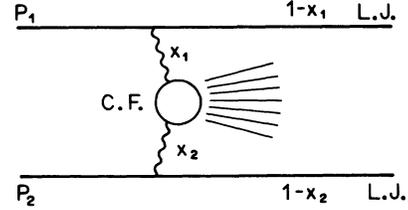


FIG. 1. Illustration of a proton-proton collision: fractions  $x_1$  and  $x_2$  of the incoming protons momenta form the central fireball (CF) and fractions  $1 - x_1$ ,  $1 - x_2$  are carried by the leading jets (LJ).

have a different nature. Whereas the former is rich in gluons, the latter is rich in quarks. This suggests that the hadronization mechanisms are different. For a lack of a better understanding of this hadronization phase we shall assume that the central fireball will decay like a fluid; i.e., each fireball with mass  $M$  will decay into particles which are distributed in rapidity according to  $dn_M/dy$  given by

$$\frac{dn_M}{dy} = \frac{\langle n \rangle_{\text{ch}}^{\text{CF}}}{\sqrt{\pi L_M}} \exp \left\{ -\frac{(y - y_M)^2}{L_M} \right\}, \quad (6)$$

where

$$\langle n \rangle_{\text{ch}}^{\text{CF}} = a_0 + a_1 \ln M + a_2 \ln^2 M, \quad (7)$$

$$L_M = a_3 (M)^{a_4}, y_M = \frac{1}{2} \ln \frac{x_1}{x_2},$$

and the final central rapidity distribution is given by

cause all our partons are regarded as massless. However, if we want to compare the IGM with more detailed data on particle production (and not only with inelasticity and leading particle spectra as was done in [7, 8]), we must include in it also the possibility of the fragmentation of the LJ. In what follows the leading jet will be hadronized according to the simple independent fragmentation approach [12]. In order to perform the calculations we need to specify (i) the momentum distributions of the valence quarks in the leading jet, (ii) the mass  $m$  of the leading jet, and (iii) the momentum fraction of the leading jet taken away by the leading particle.

As for the momentum distribution of the valence

quarks in the leading jet we assume it to be a Gaussian centered around one-third of the LJ momentum with some width  $\sigma$ :

$$Q(x_i) = \frac{1}{\sqrt{\pi}\sigma} \exp\left\{-\left(x_i - \frac{x_L}{3}\right)^2 / \sigma\right\}. \quad (9)$$

The picture of “just going through and noninteracting” valence quarks used in the original IGM leads automatically to the massless LJ’s,  $m_{LJ} = 0$ . However, one can point out at least three mechanisms by which LJ can acquire mass (and which were not considered in the previous versions of the IGM). The mass  $m_{LJ}$  is different from zero (and can be quite substantial) if the longitudinal momentum  $x_i$  of any  $i$ th valence quark is small with respect to the longitudinal momentum of LJ,  $x_L$ . This case corresponds to a situation where the  $i$ th quark is retarded with respect to the others, stretching a string between itself and the other faster quarks. Another possibility is when the timelike virtuality of this quark,  $Q_i^2$ , is large, meaning that it is “overdressed” by gluons. Finally  $m_{LJ}$  will be large if at least one of the quarks undergoes hard or semihard scattering on a gluon or a quark of the other proton and acquires transverse momentum  $k_{T_i}$  [13]. As we do not control here any of the mechanisms mentioned we shall in what follows simply parametrize  $m_{LJ}$  in the following way:

$$m_{LJ} = \frac{m^H \sigma^H + m^S \sigma^S}{\sigma^H + \sigma^S}, \quad (10)$$

where  $\sigma^S$  and  $\sigma^H$  are the integrated parton-parton cross sections in the soft and semihard regimes, respectively, and depend on  $\sqrt{s}$  ( $m^H = \sqrt{3}p_{T_{\min}}$  stands for the mass in the case of semihard scatterings where  $p_{T_{\min}} = 2.3$  GeV is the minimum transverse momentum acquired by a parton during a semihard collision whereas  $m^S = \sqrt{3}m_0 = \sqrt{3} \times 0.35$  GeV is the mass when there are only soft interactions). Once we know the mass of the leading jet, using experimental information coming from  $e^+e^-$  studies [14], we can calculate its average charged multiplicity

$$\langle n \rangle_{\text{ch}}^{\text{LJ}} = 2.18 (m_{LJ})^{1/4}. \quad (11)$$

As for the last point we shall assume for simplicity that the leading particle takes always half of the leading jet momentum, i.e.,  $x_{LP} = x_L/2$ . This approximation was also done by Gaisser and Stanev [15]. [As a consequence, when applying Eqs. (12) and (14) below to the description of hadronic rapidity distributions, especially to the UA7 data, we have to replace  $x_L$  by  $x_L/2$  in the argument of the  $\delta$  function and we have also to subtract the baryon mass in (11):  $m_{LJ} \rightarrow m_{LJ} - m_p$  ( $m_p = 0.938$  GeV).]

Following the independent fragmentation scheme a quark with momentum  $x_i$  will fragment into hadrons of momentum  $x_h$  according to the fragmentation functions  $D_q^h(z)$  where  $z = x_h/x_i$ . The hadron momentum distribution normalized to  $\langle n \rangle_{\text{ch}}^{\text{LJ}}$ , will then be given by the convolution

$$\frac{dn}{dx_h} = \langle n \rangle_{\text{ch}}^{\text{LJ}} \int_{x_h}^1 dx_L f(x_L) \int \prod_{i=1}^3 dx_i \sum_{i=1}^3 Q(x_i) D_q^h\left(\frac{x_h}{x_i}\right) \delta\left(x_L - \sum_{i=1}^3 x_i\right). \quad (12)$$

From the above expression we obtain the rapidity distribution by changing variables to

$$x_h = \frac{2\mu}{\sqrt{s}} \sinh y,$$

where  $\mu = (\langle p_T \rangle^2 + m_h^2)^{1/2}$  is the hadron transverse mass and  $\langle p_T \rangle$  is the average transverse momentum of the produced hadron which is a function of the rapidity and is given here by the formula used by the UA7 collaboration [6]

$$\langle p_T \rangle = \langle p_{T_0} \rangle \{1 - \exp[a(y_{\text{beam}} - y + y_0)^b]\} \quad (13)$$

with  $\langle p_{T_0} \rangle = 0.40$  GeV,  $y_{\text{beam}} = \ln(\sqrt{s}/m)$ ,  $y_0 = 1.7$ ,  $a = -0.21$ ,  $b = 2.0$ , and  $m = 0.938$  GeV.

The final fragmentation rapidity distribution is given by

$$\frac{dn^{\text{LJ}}}{dy} = \frac{dn}{dx_h} \frac{dx_h}{dy}. \quad (14)$$

For simplicity we assume that  $D_u^h = D_d^h = D_{\bar{u}}^h = D_{\bar{d}}^h = D_u^{\pi_0}$  which is [12]

$$D_u^{\pi_0}(z) = \beta \left[ \frac{1}{2} + \beta \left( \frac{1}{z} - 1 \right) \right] (d+1)(1-z)^d \quad (15)$$

with  $\beta = 0.4$  and  $d = 2$ . The fragmentation function  $D(z)$  diverges like  $1/z$  at  $z \rightarrow 0$  or equivalently  $x_h \rightarrow 0$ . This behavior causes problems in the calculation of the momentum distributions. We have regularized it by the replacement

$$\frac{1}{z} = \frac{x_i}{x_h} \rightarrow \frac{x_i}{\sqrt{x_h^2 + x_0^2}},$$

where  $x_0$  is the fractional momentum of the lightest produced hadron,  $x_0 \cong m_0/\sqrt{s}$ .

### III. RESULTS AND DISCUSSION

Using Eqs. (8) and (14) we write the total rapidity distribution as

$$\frac{dn}{dy} = \frac{dn^{\text{CF}}}{dy} + \frac{dn^{\text{LJ}}}{dy}. \quad (16)$$

The pseudorapidity distribution is obtained from (16) by a simple change of variables,  $p_T \sinh \eta = \mu \sinh y$ :

$$\frac{dn}{d\eta} = \frac{dn}{dy}(\eta) \frac{dy}{d\eta}(\eta) = \frac{dn}{dy}(\eta) \frac{\langle p_T \rangle}{\mu} \frac{\cosh \eta}{\cosh y(\eta)}. \quad (17)$$

In Fig. 2 we show pseudorapidity distributions calculated with (17) and compare them with UA5 data at different energies [5] and Collider Detector Fermilab (CDF) [16] data at  $\sqrt{s} = 1800$  GeV. The parameters used in this fit are  $a_0 = 3.77$ ,  $a_1 = -1.91$ ,  $a_2 = 4.13$ ,  $a_3 = 7.00$ , and  $a_4 = 0.38$ ;  $\sigma$ , appearing in (9) was chosen to be 1.0. As can be seen the agreement is quite good. Figure 3 shows a comparison between our calculations and UA7 data on fast  $\pi^0$ 's. Again reasonable agreement is found. Figure 4 shows the relative contributions of the central and fragmentation regions separately and the sum of them. We first notice that the central region gives a significant contribution to the large rapidity tail of the total distribution and also that the fragmentation region gives some non-negligible contribution to the low rapidity part of the total distribution. We also observe that at increasing energies the contribution coming from the central fireball becomes more and more important. This is so because the multiplicity coming from the fragmentation region (which determines the normalization of  $dn^{LJ}/dy$ ) depends on  $m_{LJ}$ , which grows very slowly with energy. Figure 5(a) shows the average charged multiplicity and 5(b) the central pseudorapidity density, both as a function of the reaction energy  $\sqrt{s}$ . Also shown are the relative contributions from the central and fragmentation regions and the corresponding experimental data. As before we find good agreement with data and the increasing importance of the central region contribution. For the sake of comparison with other models based both on soft and semihard dynamics, we show in Fig. 6 our results for

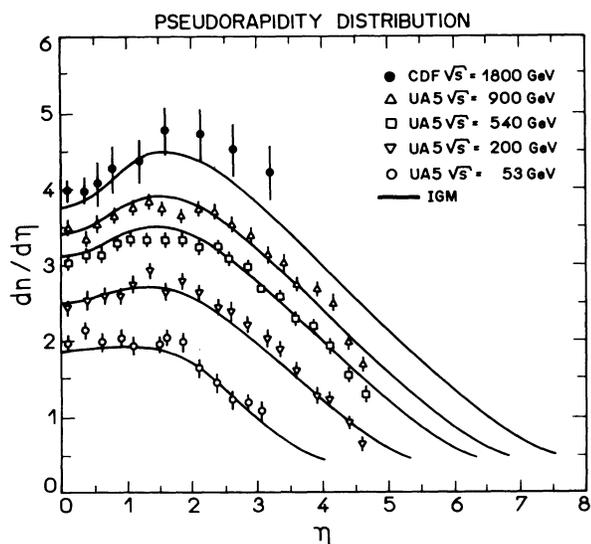


FIG. 2. Pseudorapidity distributions measured at the central rapidity region. Data are from the UA5 Collaboration [5] at different energies and from CDF Collaboration [16] at  $\sqrt{s} = 1800$  GeV. Solid lines show the IGM results.

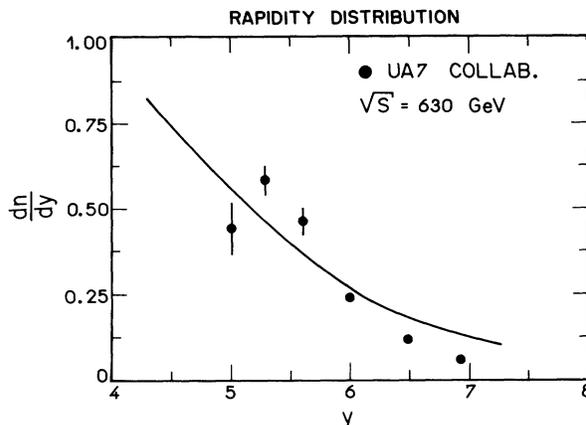


FIG. 3. Rapidity distribution of neutral pions measured at the fragmentation (large rapidities) region. Data are from the UA7 Collaboration [6] and the solid line is the IGM result.

the multiplicity [Fig. 6(a)] and central rapidity density [Fig. 6(b)] together with the results of HIJING [17] for the same quantities. Both models fit the data but differ significantly when one switches off the semihard (minijet) contribution. Whereas in HIJING Feynman scaling violation in the central region [the growth of  $(dn/d\eta)|_{\eta=0}$  with  $\sqrt{s}$ ] is entirely due to the minijets, in the IGM this behavior is partly due to soft interactions, there being only a quantitative difference when minijets are included.

As was pointed out in Ref. [5] when all UA5 pseudo-

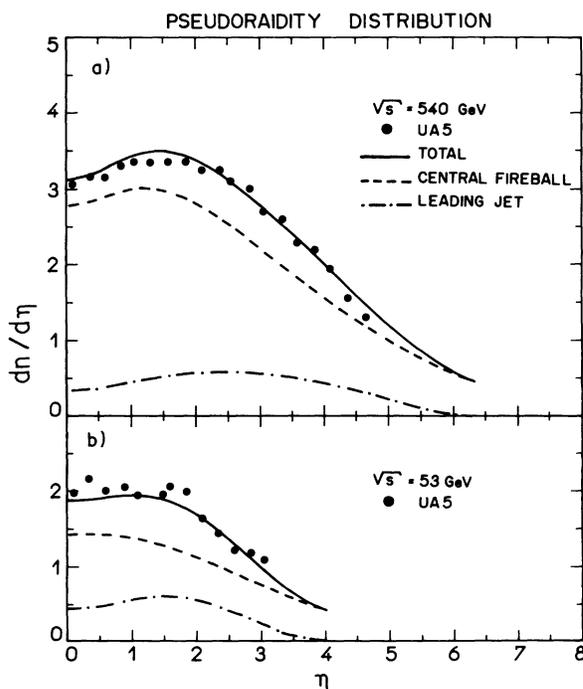


FIG. 4. Pseudorapidity distribution at  $\sqrt{s} = 540$  and 53 GeV. Dashed and dash-dotted lines represent the individual contributions of the central fireball and the leading jet, respectively.

rapidity distributions are plotted in the beam frame, i.e., as a function of  $\eta - y_{\text{beam}}$  (where  $y_{\text{beam}} = \ln \sqrt{s}/m_p$ ;  $m_p =$  proton mass) we observe that all the tails of these curves nearly coincide. This means that the pseudorapidity distribution tails have all the same aspect and are energy independent. Further evidence for approximate Feynman scaling (FS) in the forward region can be found in the UA7 analysis.

In Fig. 7(a) we present the same plot shown in Ref. [5] with the inclusion of one more energy ( $\sqrt{s} = 1800$  GeV). These curves exhibit, if at all, only a very small degree of scaling violation, consistent with experimental data. Figure 7(b) shows the same plot as 7(a) when the minijet contribution is switched off. As can be seen, there is a significant deviation from the scaling behavior. This is expected since in this case the inelasticity is decreasing with energy.

From this analysis we conclude that our model is consistent with all rapidity distribution data and both theory and experiment are consistent with approximate Feynman scaling at large rapidities.

We turn now our attention to  $K_2$ . With the rapidity distributions (17) we can immediately calculate  $K_2$  using the definition (2). Since we have been dealing with

charged particles and almost all of them are pions, we replace the sum in Eq. (2) by the factor  $\frac{3}{2}$  to account for neutral pions.

In Fig. 8 we plot  $K_2$  (solid lines) and  $K_1$  (dashed lines, calculated in [7]) as a function of  $\sqrt{s}$ . The lower curves show the results when minijets are switched off and only soft interactions take place. The upper curves show the effect of including minijets. Our definition of  $K_1$  ensures by construction the conservation of energy, i.e.,  $K_1 \leq 1$  [cf. Eq. (3)]. In calculating  $K_2$ , however, energy conservation is not automatic. Indeed, the value of  $K_2$  depends on the hadronization model and on the choice of the constants  $a_0, a_1, a_2, a_3,$  and  $a_4$  which are fixed by fitting the experimentally measured rapidity distributions. These data, though rather selective, do not completely eliminate the ambiguity in the determination of the parameters. Therefore it is possible to obtain several fits of the same quality with different sets of parameters. A further restriction on the choice of  $a_0, a_1, a_2, a_3,$  and  $a_4$  must be that  $K_2 \leq 1$  at asymptotic values of the energy  $\sqrt{s}$ . With the choice of parameters mentioned above the inelasticity ( $K_2$ ) curve tends to become flat, suggesting that, asymptotically,  $K_2 \cong 0.70$ . We have

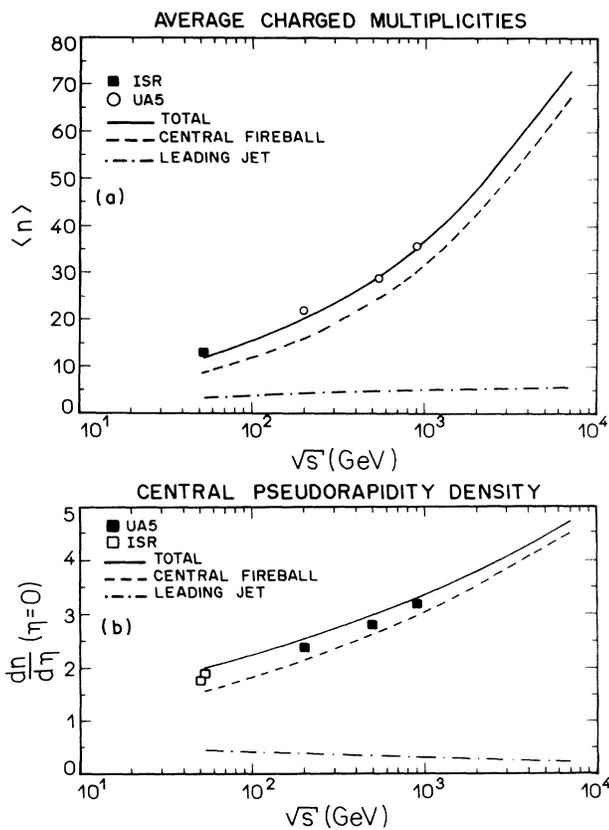


FIG. 5. (a) Average charged multiplicities as a function of the reaction energy. Squares and circles are experimental data. Dashed, dash-dotted, and solid lines show the central fireball contribution, the leading jet contribution, and the total IGM result, respectively. (b) The same as (a) for the central pseudorapidity distribution  $(dn/d\eta)|_{\eta=0}$ .

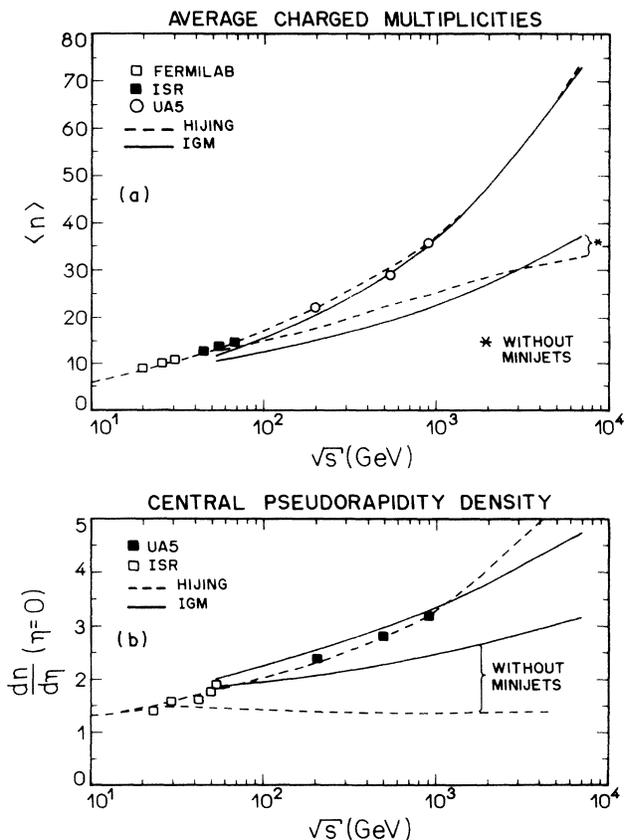


FIG. 6. (a) Average charged multiplicities as a function of the reaction energy. Squares and circles are experimental data. Solid lines show the IGM results with and without the semihard contribution (lower curve). Dashed lines show the same quantities calculated with HIJING. (b) The same as (a) for the central pseudorapidity distribution  $(dn/d\eta)|_{\eta=0}$ .

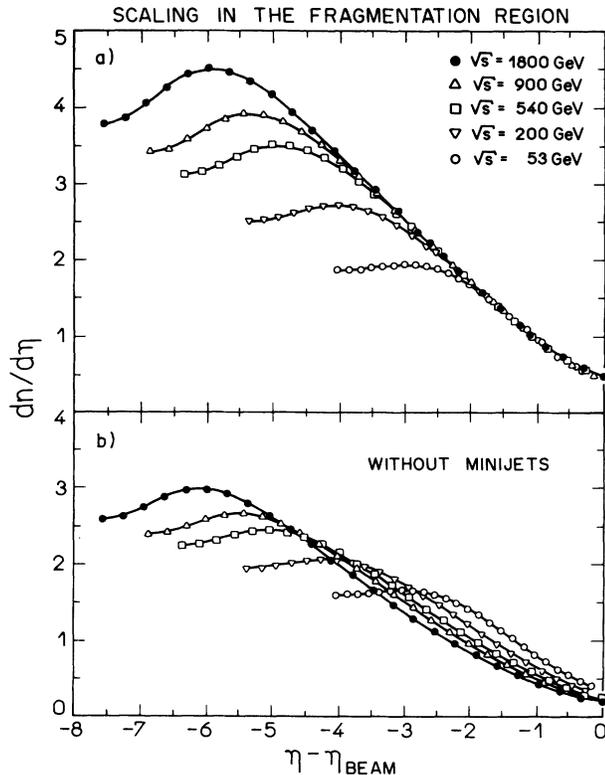


FIG. 7. (a) IGM results of Fig. 2 plotted in the beam frame, i.e., as a function of the  $\eta - \eta_{\text{beam}}$ . (b) The same as (a) without the minijet contribution.

checked that with different choices of the momentum fraction of the leading jet taken away by the leading particle ( $x_{\text{LP}} = 0.1 x_L, 0.5 x_L,$  and  $0.9 x_L$ ) we can still fit the rapidity distribution data and we obtain qualitatively the same result in what concerns the energy dependence of our inelasticities. The solid lines in Fig. 8 become areas but we still have increasing upper (with minijets) areas and decreasing lower (without minijets) areas.

Finally we remember that our hadronization model is very simple. Improvements on this model or the use of another hadronization scheme would lead to quantitative changes in  $K_2$ . We believe that the hadronization procedure used here is neither the best nor the most detailed one, but it leads to reasonable, nonexotic results and thus it can be regarded as representative of the good and complicated models.

Having in mind the limitations of our calculations and not sticking to precise numbers, one clear conclusion

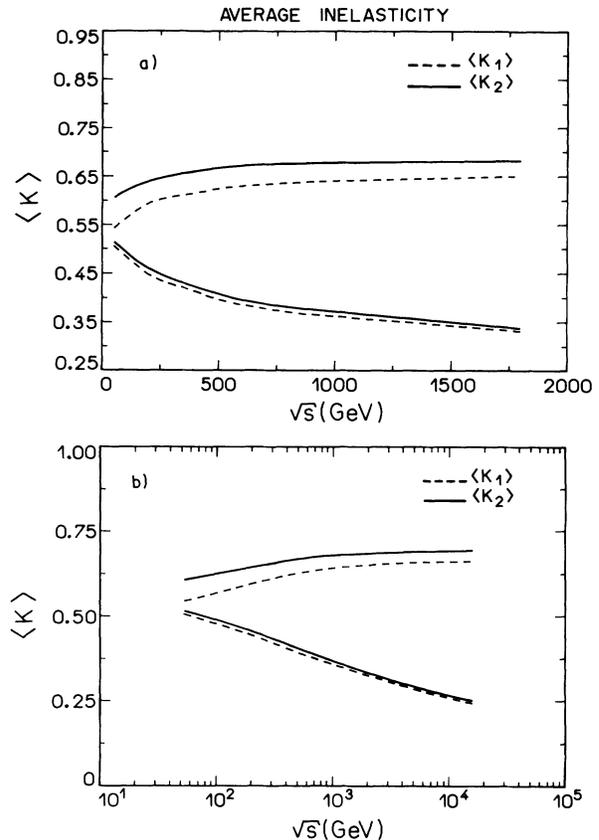


FIG. 8. (a) Inelasticities  $K_1$  (dashed lines) and  $K_2$  (solid lines) with minijets (upper curves) and without minijets (lower curves) as a function of the reaction energy. (b) The same as (a) for very high energies.

emerges from Fig. 8: minijets lead to inelasticities increasing with energy and hadronization does not change this trend. Note also that there is a difference between both inelasticities used here,  $K_1$  and  $K_2$ . It is therefore important to specify precisely which type of inelasticity one has in mind in discussing its energy dependence and comparing it with data [1].

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