make about fluxes near the ends of phase space, it should be a useful guide for estimating yields at NAL and lSR. As data become available, our hypotheses should provide a useful framework within which to assess significance of results.

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# Separation of N and  $\Delta$  Exchanges in  $\pi N$  Scattering and Deduction of Amplitude Zeros\*

V. Bargerf and M. G. Qlsson

Physics Department, University of Wisconsin, Madison, Wisconsin 53706 (Received 17 January 1972)

Linear combinations of  $\pi N$  differential cross sections and polarizations are used to isolate the  $I_u = \frac{1}{2}$  and  $I_u = \frac{3}{2}$  exchange amplitudes directly from data. For  $I_u = \frac{1}{2}$  exchange the cross section has a zero at  $u = -0.15$  (GeV/c)<sup>2</sup> and the polarization becomes large and negative for  $|u| > 0.25$  (GeV/c)<sup>2</sup>. Our phenomenological analysis indicates that in the dip region the  $I_u = \frac{1}{2}$ amplitude is inconsistent with appreciable secondary trajectories or absorptive corrections. For  $I_u = \frac{3}{2}$  exchange we infer that the imaginary s-channel helicity-flip amplitude has a zero near  $u = -0.15$  (GeV/c)<sup>2</sup>, consistent with peripherality for  $\Delta$  exchange. We also derive a sum rule relating the  $\pi N$  differential cross sections at 180° to the Regge-trajectory difference  $\alpha_{\Delta} - \alpha_{N}$ .

## I. INTRODUCTION

The experimental structure of high-energy  $\pi N$ differential cross sections' and polarizations' near the backward direction has resulted in a puzzling phenomenological situation.<sup>3</sup> When the fixed-u dip was observed at  $u = -0.15$  (GeV/c)<sup>2</sup> in  $\pi^+ p$  backward scattering, it was first presumed to be due to an amplitude zero of  $N_{\alpha}$  exchange at the  $\alpha = -\frac{1}{2}$  wrongsignature-nonsense point. Subsequent measurements of  $\pi^- p \to \pi^0 n$  exhibited a fixed-u dip at u  $= -0.25$  (GeV/c)<sup>2</sup>. This called into question the

dominance of the  $N_{\alpha}$  trajectory, and models involving an  $N_r$  contribution were suggested. Following these developments absorptive and fixedcut models were suggested as alternative explanations of the differential cross-section data. In spite of this proliferation of suggested possibilities none of the existing Regge-pole or -cut fits<sup>4</sup> came close to describing recent measurements of polarization in  $\pi^+ p$  backward scattering.<sup>2</sup> It is therefore imperative to determine the features of  $u$ channel exchange amplitudes needed for a successful description of the scattering data in order to make progress in understanding the nature of the dynamics.

In this paper we show that a surprising amount of information about the  $u$ -channel exchange amplitudes can be deduced directly from the differential cross-section and polarization data without the need of specific model assumptions. The differential cross-section contribution due to  $I_u = \frac{1}{2}$  exchange can be isolated from elastic and chargeexchange cross sections. The polarization due to interference of  $I_v = \frac{1}{2}$  exchange with itself can be bounded from triangular isospin inequalities. Combinations of polarized and unpolarized differential cross sections can be used to study interference between  $I_u = \frac{1}{2}$  and  $I_u = \frac{3}{2}$  exchanges. Following this model-independent approach we reach several general conclusions about the baryon exchange amplitudes.

Our analysis is presented in terms of s-channel helicity amplitudes  $G_{++}$  and  $G_{+-}$ . We introduce a vector  $\bar{G}$  in helicity space,

$$
\vec{\mathbf{G}} \equiv G_{++} \hat{l} + G_{+-} \hat{m} , \qquad (1)
$$

where  $\hat{l}$  and  $\hat{m}$  are orthogonal unit vectors. The differential cross-section and polarization expressions are given by

$$
\sigma \equiv \frac{d\sigma}{du} = \vec{G}^* \cdot \vec{G}, \qquad (2)
$$

$$
P\sigma = i(\vec{G}^* \times \vec{G}) \cdot \hat{n}, \qquad (3)
$$

where

$$
\hat{n} = \hat{l} \times \hat{m}
$$
 .

The behavior of the helicity amplitudes near  $\cos\theta$  $=-1$  is

$$
G_{++} \sim (u_0 - u)^{1/2},
$$
  
\n
$$
G_{+-} \sim 1,
$$
\n(4)

where

$$
u_0 \equiv (M^2 - \mu^2)^2 / s.
$$

The  $nN$  amplitudes are decomposed according to  $u$ -channel isospin as

$$
(\pi^- p - \pi^- p), \quad \vec{G}_- = \vec{\Delta},
$$
  
\n
$$
(\pi^- p - \pi^0 n), \quad \vec{G}_0 = \frac{1}{3} \sqrt{2} (\vec{N} - \vec{\Delta}),
$$
  
\n
$$
(\pi^+ p - \pi^+ p), \quad \vec{G}_+ = \frac{1}{3} (2\vec{N} + \vec{\Delta}).
$$
\n(5)

From Eqs. (2} and (5) we can write

$$
\sigma_{-} = |\vec{\Delta}|^2 = \sigma_{\triangle} \,,\tag{6}
$$

$$
\sigma_0 = \frac{2}{9} \left( \sigma_N - 2D \right) + \frac{2}{9} \sigma_- \,, \tag{7}
$$

$$
\sigma_{+} = \frac{4}{9} ( \sigma_{\mathbf{N}} + D ) + \frac{1}{9} \sigma_{-} , \qquad (8)
$$

where

$$
\sigma_N = |\vec{N}|^2, \qquad (9)
$$

$$
D = \frac{1}{2}(\vec{N}^* \cdot \vec{\Delta} + \vec{\Delta}^* \cdot \vec{N}).
$$
 (10)

For polarizations we can similarly write

$$
P_{-}\sigma_{-} = i\overrightarrow{\Delta}^{*} \times \overrightarrow{\Delta} \cdot \hat{n}, \qquad (11)
$$

$$
P_{+}\sigma_{+}=\frac{1}{9}P_{-}\sigma_{-}+\frac{4}{9}X,
$$
\t(12)

where

$$
X = \frac{1}{2}i\left(\overrightarrow{\Delta}^* \times \overrightarrow{N} + \overrightarrow{N}^* \times \overrightarrow{\Delta}\right) \cdot \hat{n} + P_N \sigma_N , \qquad (13)
$$

$$
P_N \sigma_N = i \vec{N}^* \times \vec{N} \cdot \hat{n} \,. \tag{14}
$$

The defined quantities  $\sigma_N$ , D, and X can be determined from experimental data by the inverse relations

$$
\sigma_N = \frac{1}{2} \left[ 3(\sigma_+ + \sigma_0) - \sigma_- \right],\tag{15}
$$

$$
D = \frac{3}{4}(\sigma_{+} - 2\sigma_{0} + \frac{1}{3}\sigma), \qquad (16)
$$

$$
X = \frac{1}{4} \left( 9 P_{+} \sigma_{+} - P_{-} \sigma_{-} \right). \tag{17}
$$

Equations  $(6)-(17)$  form the basis for our interpretation of the data

### II. N-EXCHANGE CROSS SECTION

The  $I_u = \frac{1}{2}$  contribution to the differential cross sections at 6 GeV/ $c$  obtained from Eq. (15) is shown in Fig. 1. The data indicate that a nearly complete zero  $|\vec{N}|^2$  occurs at  $u = -0.15$  (GeV/c)<sup>2</sup>. This means that the real and imaginary parts of both helicity amplitudes vanish at  $u = -0.15$  $(GeV/c)^2$ . A wrong-signature-nonsense zero of the  $\bar{N}$  amplitude is expected at this location if an  $N_{\alpha}$  Regge pole is the dominant exchange. A total zero in  $|\vec{N}|^2$  would not be anticipated in strong-Regge-cut absorption models, fixed-cut models, or geometric peripheral models. The extent to which the zero appears in  $\sigma_N$  also places a severe limit on any contributions from  $N_{\gamma}$  exchange.<sup>5</sup>

The order of the zero in  $\sigma_N$  can be inferred from simple fits to the data in the vicinity of  $u = -0.15$  in units of  $(GeV/c)^2$  with a functional dependence  $\sigma_N$  $=(u + 0.15)^k f(u)$ . A quadratic zero  $(k = 2)$  agrees well with the structure in  $\sigma_N$  whereas a quartic zero  $(k=4)$  is not compatible with the data.

 $\overline{\mathbf{5}}$ 

Results for  $\sigma<sub>N</sub>$  at 10 GeV/c look very similar to the  $6$ -GeV/ $c$  results in Fig. 1, showing the same zero structure at  $u = -0.15$  (GeV/c)<sup>2</sup>. An effective Regge trajectory for  $|\vec{N}|^2$  can be calculated from the data at these two energies. We find an effective trajectory

$$
\alpha_N = -0.4 + u \tag{18}
$$

which agrees well with the usual  $N_{\alpha}$  trajectory.

#### III. N-EXCHANGE POLARIZATION

The polarization  $P_N$  in Eq. (14) due to interference of the  $I_u = \frac{1}{2}$  exchange amplitude with itself can be bounded from an isospin inequality writte for the combination  $N_{++} \pm i N_{+-}$ .<sup>6</sup> We find the following restrictive bounds:

$$
\frac{1}{4} \left[ 3 \left( \frac{\sigma_{+}}{\sigma_{N}} (1 + P_{+}) \right)^{1/2} - \left( \frac{\sigma_{-}}{\sigma_{N}} (1 + P_{-}) \right)^{1/2} \right]^{2} - 1 \le P_{N} \le 1 - \frac{1}{4} \left[ 3 \left( \frac{\sigma_{+}}{\sigma_{N}} (1 - P_{+}) \right)^{1/2} - \left( \frac{\sigma_{-}}{\sigma_{N}} (1 - P_{-}) \right)^{1/2} \right]^{2} \, . \tag{19}
$$

For momentum transfers outside the vicinity of the  $u \approx -0.15 \text{ (GeV/}c)^2 \text{ dip}, \sigma \ll \sigma_N \text{ and } \sigma_+ \approx \frac{4}{9} \sigma_N$ and we obtain the result



FIG. 1. Experimental data on  $\pi N$  backward scattering at  $6 \text{ GeV}/c$ . (a) Measured differential cross sections  $\sigma_{+}$ ,  $\sigma_{0}$ ,  $\sigma_{-}$ . (b)  $I_{\mu} = \frac{1}{2}$  differential cross sections  $\sigma_{N}$ . (c) Interference term  $D$  [cf. Eqs. (10) and (16) of text]. (d) Interference term  $X$  [cf. Eqs. (13) and (17) of text].

$$
P_N \simeq P_+ \tag{20}
$$

from Eq. (19). Since the measured  $\pi^+p$  polarization<sup>2</sup> is large and negative for  $|u| > 0.3$  (GeV/c)<sup>2</sup> (e.g., at  $u = -0.48$ ,  $P_+ = -0.65 \pm 0.09$ ), the polarization from  $I_u = \frac{1}{2}$  exchange is also large and negative. In Fig. 2, experimental bounds on  $P_N$  from the isospin inequality of Eq. (19) are shown.

A plausible interpretation of the polarization from the  $I_u = \frac{1}{2}$  exchange is the existence of a  $\sqrt{u}$ term in the  $N_{\alpha}$  Regge trajectory

$$
\alpha = a + b u + c \sqrt{u} \tag{21}
$$

A  $\sqrt{u}$  term in the trajectory does not alter the effective energy dependence of the differential cross section.<sup>7,8</sup> For a small coefficient c in Eq. (21) rm<br>enei<br>7,8 the wrong-signature-nonsense dip in  $\sigma_N$  at u  $= -0.15$  (GeV/c)<sup>2</sup> will not be appreciably filled in. The polarization for  $P_N$  from Eq. (21) is<sup>7,8</sup>

$$
P_N = -\left(\frac{u - u_0}{u}\right)^{1/2} \tanh(c\pi\sqrt{-u}). \tag{22}
$$

In order to shift the odd parity partners of the  $N_{\alpha}$ trajectory to higher mass values, the coefficient  $c$ must be positive. The corresponding polarization  $P_N$  is then negative. For  $c = 0.25$  the predicted polarization falls within the allowed corridor for  $P_N$ , as illustrated in Fig. 2.

The polarization  $P_N$  enters in the quantity X of Eqs. (13) and (17). We can write  $X$  as

$$
X = -\mathrm{Im}(\vec{\Delta}^* \times \vec{N}) \cdot \hat{n} + P_N \sigma_N . \qquad (23)
$$

The structure of X in Fig. 1 is similar to  $\sigma_N$ , with opposite sign. This reinforces our supposition that  $P_N$  falls monotonically with increasing |u| to a rather large negative value. The Im( $\overline{\Delta}$ \* $\times$ N) term in Eq. (23) is presumably a minor perturbation on the contribution from  $P_N \sigma_N$ . Assuming this is the case, we expect  $P_0 \simeq P_+$ .

#### IV. REGGE PHASE RELATION AT 180

The quantity  $D$  in Eqs. (10) and (16) is a measure of the projection of the  $\overline{\Delta}$  amplitude on the  $\overline{N}$  am-



FIG. 2.  $\pi N$  polarization for backward angles at 6 GeV/c. (a) Isospin bounds on the  $I_u = \frac{1}{2}$  polarization  $P_N$ . (b) Predicted  $I_u = \frac{1}{2}$  polarization with an  $N_\alpha$  trajectory of the form  $\alpha = a + bu + 0.25\sqrt{u}$ .

plitude in helicity space. At the backward direction the helicity-nonflip amplitude vanishes according to Eq. (4) and  $D$  is given by

$$
D(u_0) = \text{Re}(N_{+-}^* \Delta_{+-}) \,. \tag{24}
$$

With a Regge phase representation

$$
N_{+-} = -|N_{+-}|e^{-(i\pi/2)(\alpha_N - 1/2)},
$$
  
\n
$$
\Delta_{+-} = i|\Delta_{+-}|e^{-(i\pi/2)(\alpha_\Delta - 1/2)}
$$
\n(25)

the result in Eq. (24) can be expressed as

$$
D(u_0) = (\sigma_N \sigma_-)^{1/2} \sin \frac{1}{2} \pi (\alpha_\Delta - \alpha_N) \,. \tag{26}
$$

Combining Eqs.  $(16)$  and  $(26)$  we obtain the Regge phase sum rule

$$
\sin \frac{1}{2}\pi (\alpha_{\Delta} - \alpha_N) = \frac{\frac{3}{4}(\sigma_+ - 2\sigma_0 + \frac{1}{3}\sigma_-)}{(\sigma_N \sigma_-)^{1/2}} \tag{27}
$$

at  $u = u_0$ . In either Regge-pole or Regge-cut models we expect the phases in Eq. (27) to be correctly specified at  $u = u_0$  by the  $\Delta_{\delta}$  and  $N_{\alpha}$  trajectories, for which

$$
\alpha_{\Delta} - \alpha_N \simeq \frac{1}{2},
$$
  
\n
$$
\sin \frac{1}{2} \pi (\alpha_{\Delta} - \alpha_N) \simeq 0.7.
$$
\n(28)

Calculations of the right-hand side of Eq. (27) from the differential cross-section data at 180° give

$$
0.70 \pm 0.2
$$
 at 6 GeV/c,  
  $0.78 \pm 0.3$  at 10 GeV/c, (29)

in good agreement with the value of the left-hand side of Eq. (27) in Eq. (28).

## V. N-A INTERFERENCE

The experimental form of  $D$  obtained from Eq. (16) is shown in Fig. 1. At both 6 and 10 GeV/ $c$ this quantity also exhibits a quadratic zero at  $u \approx -0.15$  (GeV/c)<sup>2</sup> similar to  $\sigma_N$ . The dip location is sufficiently near to the backward direction that helicity-flip amplitudes should dominate there. Hence we can still approximate  $D$  by Eq. (24) in the vicinity of the dip,<sup>9</sup>

$$
D \simeq \text{Re}N_{+-}\text{Re}\Delta_{+-} + \text{Im}N_{+-}\text{Im}\Delta_{+-} \,. \tag{30}
$$

Given that  $\sigma_N$  is explained by a  $N_\alpha$  Regge-pole amplitude, the structure of  $D$  provides information on the behavior of the  $\Delta$  helicity-flip contribution. For  $N_{\alpha}$  exchange, Im $N_{+-}$  has a linear zero and  $\text{Re}N_{+}$  a quadratic zero at the dip. To produce the quadratic zero in D, Im  $\Delta_{+}$  must then have a linear zero. This structure in Im  $\Delta_{+}$  is similar to a Bessel function  $J_0(R(u_0-u)^{1/2})$  with  $R \simeq 1$  F. Such peripheral behavior of the imaginary part of the  $\Delta_{+-}$  exchange amplitude is a natural consequence of duality, due to the fact that the leading direct-channel resonances are peripheral.<sup>10</sup>

The displacement of the dip location from  $u$  $= -0.15$  (GeV/c)<sup>2</sup> in  $\sigma_{+}$  to  $u = -0.25$  (GeV/c)<sup>2</sup> in  $\sigma_{0}$ is easily explained in terms of the similarity in structure of D and  $\sigma_N$  using Eqs. (7) and (8). Since D has the same sign and shape as  $\sigma_N$ , the structure

due to  $(\sigma_N - 2D)$  in  $\sigma_0$  is suppressed relative to that arising from  $4(\sigma_N+D)$  in  $\sigma_+$ . Consequently the addition of the smoothly falling  $\sigma$ <sub>r</sub> terms causes the "effective" dip location to be shifted farther out in  $|u|$  for  $\sigma_0$  than for  $\sigma_+$ . The failure of conventional  $N_{\alpha}$  and  $\Delta_{\delta}$  Regge-pole fits to correctly explain the separation of the  $\sigma_+$  and  $\sigma_0$  dips can be traced to the linear zero in D at  $u = -0.15$  (GeV/c)<sup>2</sup> in those parametrizations (corresponding to the absence of a zero in Im $\Delta_{+}$ ).

## VI. **A-EXCHANGE POLARIZATION**

The  $\pi^-\ p$  polarized differential cross section<sup>2</sup> remains positive in the vicinity of  $u = -0.15$  (GeV/c)<sup>2</sup>. Since Im  $\Delta_{+-}$  vanishes at  $u = -0.15$  (GeV/c)<sup>2</sup>, Re $\Delta_{+-}$ must be nonzero there. The observed zero in  $P$ <sub>-</sub> at  $u \approx -0.4$  (GeV/c)<sup>2</sup> can arise from either (i) a 180° phase difference of  $\Delta_{++}$  and  $\Delta_{+-}$  or (ii) a zero of  $\Delta_{++}$  or  $\Delta_{+-}$  in both real and imaginary parts. The zero of D at  $u \approx -0.7$  (GeV/c)<sup>2</sup> seen in Fig. 1 is probably of the same origin as the zero in  $P$ <sub>-</sub> at  $u \approx -0.4$  (GeV/c)<sup>2</sup>.

### VII. SUMMARY

From a direct analysis of the  $\pi N$  backward scattering data we find the following conclusions about the baryon exchange amplitudes<sup>11</sup>:

(i)  $\sigma_{\nu}$  has a quadratic zero at  $u \approx -0.15$  (GeV/c)<sup>2</sup>, consistent with the wrong-signature-nonsense zero' of the  $N_{\alpha}$  Regge pole at  $\alpha = -\frac{1}{2}$ .

(ii) The effective trajectory from the energy de-

pendence of  $\sigma_N$  agrees well with the  $N_\alpha$  trajectory. (iii)  $P_N$  is large and negative for  $|u| > 0.25$ 

 $(GeV/c)^2$ . The data are suggestive of the predicted polarization from a small  $\sqrt{u}$  term in the  $N_{\alpha}$  trajectory.

(iv) A relation among the  $\sigma_{+}$ ,  $\sigma_{0}$ , and  $\sigma_{-}$  cross sections at 180° follows from  $\Delta_{\delta}$  and  $N_{\alpha}$  Regge phases for the helicity-flip amplitude. For  $\alpha_{\Delta} - \alpha_{N} = \frac{1}{2}$  the relation agrees with the data.

(v) Im $\Delta_{+-}$  has a linear zero at  $u = -0.15$  (GeV/c)<sup>2</sup>, consistent with a peripheral character.  $\text{Re}\Delta_{+} \neq 0$ near this  $u$  value.

(vi) The separation of the fixed- $u$  dip locations in  $\sigma_+$  and  $\sigma_0$  is easily understood in terms of the linear zeros of Im $N_{+}$  and Im $\Delta_{+-}$  at  $u = -0.15$  $(GeV/c)^2$  and the smoothly falling  $\sigma$ <sub>r</sub> differential cross section.

(vii) The failure of previous  $N_{\alpha}$  and  $\Delta_{\delta}$  Reggepole fits to the  $nN$  backward cross sections can be attributed to an incorrect  $Im \Delta_{+-}$  parametrization.

A quantitative study of the exchange amplitudes following the approach of this paper is in progress.

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must be equal. The polarization data (Ref. 2) give  $P_{+}$  $=$  P  $_{\text{only}}$  in the dip region, providing additional evidence for a zero in the  $I_{\mu} = \frac{1}{2}$  amplitude.

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 $(u_0 - u)^{1/2}/M$  so that at the dip the nonflip contribution to  $D$  should be about 20% of the helicity-flip part.

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<sup>11</sup>The conclusions obtained here at 6 and 10 GeV/c are also found to be valid at momenta down to 3 GeV/ $c$ , indicating that the data we have used do not have large normalization errors.