

$$O = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

just switches the two halves.) For the other values of m , all these objects are invariant under $O(d, d)$. We have included $X' = \partial X / \partial \sigma$ and $P = \delta / \delta X$ for the discussion below of these transformations for string mechanics within the Hamiltonian framework [3], where duality invariance is manifest, in contrast with the usual Lagrangian framework, which has half as many string variables. [The $O(d, d)$ transformations are canonical, preserving the commutation relations of P and X' .]

The symmetry $b \rightarrow -b$ ($\sigma \rightarrow -\sigma$) means we should be able to work equally well with e^T instead of e . In fact, $-e^T$ transforms under the same fractional linear transformation as e :

$$(-e^T)' = [\hat{\mathcal{A}}(-e^T) + \hat{\mathcal{B}}][\hat{\mathcal{C}}(-e^T) + \hat{\mathcal{D}}]^{-1}$$

follows upon using $O^T \eta O = \eta$ to relate $\mathcal{A}, \mathcal{B}, \mathcal{C}, \mathcal{D}$. The fundamental $O(d, d)$ representations would then be

$$\begin{pmatrix} -e_{\hat{m}}^a \\ e^{a\hat{m}} \end{pmatrix}, \begin{pmatrix} P_{\hat{m}} \\ X'^{\hat{m}} \end{pmatrix}.$$

The resulting duality transformations for the vierbeins are related to the earlier choice by a field-dependent $GL(D)$ transformation; the combination of duality and $GL(D)$ transformations on the new choice of fundamental $O(d, d)$ representations gives the same transformation as just duality on the old choice if we pick Λ as

$$\begin{aligned} \Lambda_a^b &= (e_{\hat{m}a} \mathcal{A}_{\hat{n}}^{\hat{m}} + e_a^{\hat{m}} \mathcal{B}_{\hat{n}\hat{m}})(\mathcal{D}_{\hat{p}}^{\hat{n}} e^{b\hat{p}} - \mathcal{C}^{\hat{n}\hat{p}} e_{\hat{p}}^b) \\ &= -(e_{\hat{m}a} \mathcal{C}^{\hat{n}\hat{m}} + e_a^{\hat{m}} \mathcal{D}_{\hat{n}\hat{m}})(\mathcal{B}_{\hat{n}\hat{p}} e^{b\hat{p}} - \mathcal{A}_{\hat{n}}^{\hat{p}} e_{\hat{p}}^b). \end{aligned}$$

From now on we will mostly stick with the former choice, occasionally stating results for the latter choice for comparison.

This is similar to an idea of Maharana and Schwarz [7]: They gauged just $GL(d)$. In their interpretation, fixing the dependence on d of the coordinates to be trivial was just the usual scheme for dimensional reduction, as commonly used in supergravity [8], resulting in a nonlinear σ model which could be simplified by introducing a local internal symmetry. (Nonlinear symmetries in dimensionally reduced supergravities have long been known, but only for special D , because of the restrictions of supersymmetry.) Thus, their simplification involved treating only the scalars differently, after the usual nonlinear field redefinitions used in dimensional reduction of supergravity. (In supergravity the full global symmetry of the scalars generally does not appear until after the dimensional reduction, because of replacement of forms with dual forms, such as two-forms with scalars in $D - d = 4$.) Here we take a different viewpoint: The larger local $GL(D)$ symmetry is a symmetry of a *gravitational* theory, and provides a way of unifying the metric with the axion, in a way similar to some unified theories proposed by Einstein [9] (who did not have a symmetry to relate g and b). It is a symmetry of gauge fields, not just scalars: This symmetry is there even if dimensional reduction is not performed. Choosing d coordinates to be

trivial is then not interpreted as dimensional reduction, since those coordinates can still be finite, but as looking at particular types of solutions of the gravitational field theory (such as cosmological). Thus, we introduce the two-vierbein formalism for the complete fields even if no restriction on the coordinates is imposed, rather than for just the scalars after dimensional reduction. This additional local invariance of the two-vierbein formalism may simplify the nonlinear field redefinitions of dimensional reduction (by choice of a triangular GL gauge for both vierbeins), just as the use of a single vierbein avoids considering the redefinitions of the metric (since it is quadratic in the unreddefined vierbein) but not of b .

These methods should also apply to supergravity theories resulting from the low-energy limit of superstrings. An enlarged vierbein was also suggested by Duff [4], but this vierbein was nonlinear, representing the fields of the nonlinear σ model $O(d, d)/O(d) \otimes O(d)$, and does not generalize in an obvious way to all D coordinates and to the gauge fields. The idea of local symmetries for scalars being introduced before dimensional reduction was also used by de Wit and Nicolai for eleven-dimensional supergravity by making Lorentz invariance nonmanifest [10].

II. STRING HAMILTONIAN FORMALISM

In the Hamiltonian approach one works directly with the Virasoro operators. Not only do they contain all the information in the string mechanics Lagrangian (the X equations of motion are irrelevant, since their time development is given by one of the Virasoro operators), but duality transformations are much simpler. The background field dependence of the Virasoro operators follows from the above Lagrangian [3]:

$$L_{\pm} = \frac{1}{2} g^{mn} \Pi_{\pm m} \Pi_{\pm n}, \quad \Pi_{\pm m} = P_m + (\pm g_{mn} - b_{mn}) X'^n.$$

(Use of string ‘‘covariant derivatives’’ Π with background b has also been discussed in [11] for the generalization to Green-Schwarz strings.) General coordinate and axionic gauge transformations of the background fields are generated respectively by the two independent transformations

$$\Delta = \int d\sigma [\lambda^m(X) P_m + \lambda_m(X) X'^m]$$

acting on the Virasoro operators (either as commutators or exponential for finite unitary transformations). The Virasoro operators can be expressed in a form which is manifestly duality invariant; in matrix notation,

$$L_{\pm} = \frac{1}{2} Z^T \eta (M \pm \eta) \eta Z, \quad \eta = \begin{pmatrix} 0 & \delta_m^n \\ \delta_n^m & 0 \end{pmatrix} = \eta^T = \eta^{-1},$$

$$Z = \begin{pmatrix} P_m \\ X'^m \end{pmatrix}, \quad [Z(\sigma), Z(\tau)] = i\delta'(\tau - \sigma)\eta,$$

$$M = \begin{pmatrix} g_{mn} - b_{mp} g^{pq} b_{qn} & b_{mp} g^{pn} \\ -g^{mp} b_{pn} & g^{mn} \end{pmatrix} = M^T = \eta M^{-1} \eta,$$

$$\Delta = \int \Lambda^T \eta Z, \quad \Lambda = \begin{pmatrix} \lambda_m \\ \lambda^m \end{pmatrix}.$$

When the external fields are independent of d coordinates [so the $O(d,d)$ transformations act only on their indices and not their arguments], we then have invariance under

$$Z' = \mathcal{O}Z, \quad M' = \mathcal{O}M\mathcal{O}^T, \quad \mathcal{O}\eta\mathcal{O}^T = \eta,$$

where \mathcal{O} acts on just the d trivial coordinates:

$$\mathcal{O} = \begin{pmatrix} \hat{\mathcal{A}} & \hat{\mathcal{B}} \\ \hat{\mathcal{C}} & \hat{\mathcal{D}} \end{pmatrix}$$

in the notation used earlier. [M is usually used only for the scalar fields in the d trivial dimensions, but this larger M gives a convenient method for expressing the $O(d,d)$ transformations of all the fields without fractional linear transformations, and generalizes more easily to the heterotic case.]

Since M is both symmetric and an element of $O(D,D)$, it can be written as [4]

$$M = V\hat{\eta}V^T, \quad V\eta V^T = \eta, \quad \hat{\eta} = \begin{pmatrix} \eta^{mn} & 0 \\ 0 & \eta_{mn} \end{pmatrix}$$

in terms of another element V of $O(D,D)$. These two relations are covariant under the transformations

$$V' = \mathcal{O}VH, \quad H\hat{\eta}H^T = 1, \quad H\eta H^T = \eta.$$

Thus, H gives an $O(D-1,1) \otimes O(D-1,1)$ gauge invariance, making V an element of the coset space $O(D,D)/O(D-1,1) \otimes O(D-1,1)$. Then the two $O(D-1,1)$ vectors which are linear combinations of $V^T\eta Z$ [one vector for each of the two local $O(D-1,1)$'s] are the two "chiral" momenta of the string, whose squares ($=2L_{\pm}$) separately vanish. By choosing a nonorthonormal basis, and noting that $\hat{\eta} + \eta$ [appearing in $M + \eta = V(\hat{\eta} + \eta)V^T$] has D nonzero eigenvalues, M can be expressed in the form [7]

$$M = E_a g^{ab} E_b{}^T - \eta, \quad g_{ab} = \frac{1}{2} E_a{}^T \eta E_b,$$

where g^{ab} is the inverse of g_{ab} and E_a is a set of $O(D,D)$ vectors which can be identified with our two vierbeins:

$$E_a = \begin{pmatrix} e_{ma} \\ e_a{}^m \end{pmatrix} \Rightarrow g_{ab} = \frac{1}{2} e_{(a}{}^m e_{mb)} = e_a{}^m e_b{}^n g_{mn}$$

and M is invariant under the local $GL(D)$ transformations introduced earlier:

$$E'_a = \Lambda_a{}^b E_b \Rightarrow g'_{ab} = \Lambda_a{}^c \Lambda_b{}^d g_{cd}.$$

Thus, the two-vierbein formalism also follows from solving the constraints on M in terms of unconstrained objects (rather than elements of a group or coset space).

Using the original expressions for g_{mn} and b_{mn} in terms of the two vierbeins (or the expression of M in terms of them), the Virasoro operators can be written as

$$L_+ = \frac{1}{2} \Pi_a{}^T g^{ab} \Pi_b, \quad L_- = L_+ - Z^T \eta Z,$$

$$\Pi_a = E_a{}^T \eta Z = e_a{}^m P_m + e_{ma} X'^m = e_a{}^m \Pi_{+m},$$

where Π also is duality invariant. The gauge transformation laws for the fields follow from requiring that Π , and

not just L_{\pm} , transforms as $\delta \Pi_a \sim [\Delta, \Pi_a]$: They can be written in duality covariant form as

$$\delta E_{aM} = \Lambda^N \partial_N E_{aM} + E_a{}^N \partial_{[M} \Lambda_{N]},$$

$$\partial_M = \begin{pmatrix} \partial_m \\ 0 \end{pmatrix} \Rightarrow \delta g_{ab} = \Lambda^M \partial_M g_{ab},$$

where M, N are $O(D,D)$ indices, raised and lowered with the $O(D,D)$ metric η_{MN} , implicit in the matrix notation used earlier. These gauge transformations generate a local $O(D,D)$ transformation with infinitesimal parameter $\partial_{[M} \Lambda_{N]}$, as expected from the fact that the $O(D,D)$ element M is itself a representation of the gauge transformations. The separate vierbeins then transform as

$$\delta e_{ma} = (\lambda^n \partial_n e_{ma} + e_{na} \partial_m \lambda^n) + e_a{}^n \partial_{[m} \lambda_{n]},$$

$$\delta e_a{}^m = (\lambda^n \partial_n e_a{}^m - e_a{}^n \partial_n \lambda^m)$$

in addition to the $GL(D)$ transformations described above.

Because of $b \rightarrow -b$ symmetry, we could also make the choice of opposite string chirality for expressing duality transformations:

$$M = \tilde{E}^a \tilde{g}_{ab} \tilde{E}{}^{bT} + \eta, \quad \tilde{g}^{ab} = -\frac{1}{2} \tilde{E}{}^{aT} \eta \tilde{E}{}^b, \quad \tilde{E}^a = \begin{pmatrix} -e_m{}^a \\ e^{am} \end{pmatrix},$$

$$\tilde{g}{}^{ab} = \frac{1}{2} e^{(am} e_m{}^{b)} = e^{am} e^{bn} g_{mn} \neq g^{ab}, \quad L_- = \frac{1}{2} \tilde{\Pi}{}^{aT} \tilde{g}_{ab} \tilde{\Pi}{}^b,$$

$$L_+ = L_- + Z^T \eta Z,$$

$$\tilde{\Pi}^a = \tilde{E}{}^{aT} \eta Z = e^{am} P_m - e_m{}^a X'^m = e^{am} \Pi_{-m}.$$

The form of \tilde{E} follows [up to a $GL(D)$ transformation] from the fact that the consistency of these two forms of M requires $\tilde{E}{}^{aT} \eta E_b = 0$.

III. $GL(D)$ IN ORDINARY GRAVITY

Now that we have seen how duality (and gauge invariance) is manifest in the two-vierbein formalism for the background fields in string theory, we consider duality in field theory in general. Since we are considering a (gravitational) gauge theory, this analysis is simplified by the construction of covariant derivatives.

One way to define covariant derivatives is by slightly reinterpreting the approach of Cartan, who used the usual single vierbein but in a curved tangent space [12]. A convenient way to write his formalism (which he stated in the language of forms) in terms of covariant derivatives is to gauge $GL(D)$ as above, while requiring that an independent tangent-space metric be covariantly constant:

$$\nabla_a = e_a + \omega_{ab}{}^c G_c^b, \quad e_a = e_a{}^m \partial_m,$$

$$\nabla_a g_{bc} \equiv e_a g_{bc} + \omega_{a(bc)} = 0, \quad g_{mn} \equiv e_m{}^a e_n{}^b g_{ab},$$

$$[\nabla_a, \nabla_b] = T_{ab}{}^c \nabla_c + R_{abc}{}^d G_d^c, \quad [e_a, e_b] = c_{ab}{}^c e_c,$$

$$T_{ab}{}^c \equiv c_{ab}{}^c + \omega_{[ab]}{}^c,$$

where $G_a{}^b$ are the generators of the local $GL(D)$ transformations and act on a indices. (Thus, $\nabla_a V_b$

$= e_a V_b + \omega_{ab}{}^c V_c$, etc. We freely raise and lower tangent-space indices with the tangent-space metric.) The independent gravitational fields are the vierbein e and the tangent-space metric g . The $GL(D)$ connection ω is determined by $\nabla g = 0$ and the constraint that the torsion T be a specified function of “matter” fields (or vanishing in the absence of matter):

$$\omega_{abc} = \frac{1}{2}(\tilde{c}_{bca} - \tilde{c}_{a[bc]}) + \frac{1}{2}(e_c g_{ab} - e_{(a} g_{b)c}) ,$$

$$\tilde{c}_{abc} = c_{abc} - T_{abc} .$$

These covariant derivatives transform in the Yang-Mills way under general coordinate and local $GL(D)$ transformations:

$$\nabla'_a = e^K \nabla_a e^{-K} , \quad K = \lambda^m \partial_m + \lambda_a{}^b G_b{}^a , \quad g'_{ab} = e^K g_{ab} .$$

(We could introduce a Christoffel term $\Gamma_{mn}{}^p G_p{}^n$ and determine it by the extra condition $\nabla_a e_b{}^m = 0$, but this

condition is not covariant in this Yang-Mills sense, and would require a $\lambda_m{}^n G_n{}^m$ term in K with $\lambda_m{}^n$ dependent on λ^m .) In the $GL(D)$ gauge $g_{ab} = \eta_{ab}$, the tangent-space gauge invariance is reduced to $SO(D-1,1)$, and the usual vierbein-formalism covariant derivatives are obtained. [So g_{ab} is like a Higgs field which spontaneously breaks $GL(D) \rightarrow SO(D-1,1)$.] On the other hand, in the $GL(D)$ gauge $e_a{}^m = \delta_a{}^m$, g_{ab} becomes the usual metric and ω becomes the usual Christoffel symbols, and we obtain the usual metric formalism. This new interpretation of Cartan’s formalism (in terms of covariant derivatives with a tangent-space gauge group) requires the use of $GL(D)$ as the gauge group of the covariant derivatives, since the usual $SO(D-1,1)$ Lorentz gauge group does not allow for a tangent-space connection which is asymmetric in its indices.

The curvature, and in particular the curvature scalar $R \equiv R_{ab}{}^{ab}$, of any such covariant derivative ∇ can be expressed in terms of the corresponding torsion-free covariant derivative $\tilde{\nabla}$ ($\tilde{T} \equiv 0$) by comparing $[\nabla, \nabla]$ with $[\tilde{\nabla}, \tilde{\nabla}]$:

$$\nabla_a = \tilde{\nabla}_a + \Delta_{ab}{}^c G_c{}^b \quad (\Delta_{a(bc)} = 0) \implies T_{ab}{}^c = \Delta_{[ab]}{}^c ,$$

$$R_{abc}{}^d = \tilde{R}_{abc}{}^d + \tilde{\nabla}_{[a} \Delta_{b]c}{}^d + \Delta_{[a|c}{}^e \Delta_{|b]e}{}^d \implies \Delta_{abc} = \frac{1}{2}(T_{a[bc]} - T_{bca})$$

$$\implies R = \tilde{R} - 2\tilde{\nabla}^a T_{ab}{}^b - (T_{ab}{}^b)^2 + \frac{1}{4}(T_{abc})^2 - \frac{1}{2}T^{abc}T_{bca} .$$

We also have the usual identities

$$\tilde{\nabla}_a J^a = \frac{1}{\sqrt{-g}} \partial_m (\sqrt{-g} J^m) , \quad c_{ab}{}^b = -e \partial_m (e^{-1} e_a{}^m) ,$$

where $g = \det g_{mn}$ (not $\det g_{ab}$) and $e = \det e_a{}^m$.

IV. AXIONIC GRAVITY

This interpretation of Cartan’s approach lends itself directly to the two-vierbein formalism: We choose $e_a{}^m$ to be Cartan’s vierbein and g_{ab} as the tangent-space metric, which has now become a composite field in terms of the vierbein $e_a{}^m$ and the “matter” field e_{ma} .

The field theory action for the low-energy limit of the closed, oriented, bosonic string can be written as [13,14]

$$S = \int d^D x \sqrt{-g} L , \quad L = \phi^2 (\tilde{R} - \frac{1}{12} g^{mn} g^{pq} g^{rs} H_{mpr} H_{nqs}) + 4g^{mn} (\partial_m \phi) (\partial_n \phi) ,$$

where we have absorbed the gravitational coupling into the metric, as can be done for any gravitational theory. (It then appears only through the metric’s vacuum value, just as the second string coupling, which appears for the massive states, can be absorbed by the dilaton as its vacuum value.) We could make the usual rescaling $g_{mn} \rightarrow \phi^{-4/(D-2)} g_{mn}$ to rewrite the action in the form in which it appears in the bosonic sector of 10D supergravity:

$$L = \tilde{R} - \frac{1}{12} \phi^{8/(D-2)} H^2 - \frac{4}{D-2} (\partial \ln \phi)^2 ,$$

but then duality transformations become more complicated (although the ϕ kinetic term now has the right sign for unitarity). We now consider $O(d,d)$ duality invariance of the (unscaled) low-energy action [2,5,7].

In addition to g_{ab} , e_a is duality invariant when operating on a field (with trivial dependence on $x^{\hat{m}}$). There is then the corresponding duality invariant $1 \cdot \tilde{e}_a \equiv \partial_m e_a{}^m$. We will also find useful the duality invariants f_{abc} and f_{abcd} :

$$f_{abc} \equiv \frac{1}{2} E_c{}^T \eta e_a E_b \implies f_{a(bc)} = e_a g_{bc} , \quad f_a{}^{(bc)} = -e_a g^{bc} ,$$

$$f_{abcd} \equiv \frac{1}{2} (e_a E_b{}^T) \eta (e_c E_d) \implies f_{[ab][cd]} = (c_{ab}{}^e f_{[cd]e} + c_{cd}{}^e f_{[ab]e}) - c_{ab}{}^e c_{cde} ,$$

$$e_{[a} f_{b]cd} - c_{ab}{}^e f_{ecd} = f_{[a|d|b]c} .$$

This gives a useful expression for the axion field strength:

$$H_{mnp} \equiv \frac{1}{2} \partial_{[m} e_{np]} = \frac{1}{2} \partial_{[m} b_{np]} \implies H_{abc} = \frac{1}{2} c_{[abc]} - f_{[abc]} .$$

Although general coordinate and two-form gauge invariances λ^m and λ_m are not manifest in these duality invariant objects, $GL(D)$ covariance can easily be made manifest. We first note that the GL transformation law for f_{abc} allows it to be interpreted as a GL connection:

$$\delta f_{ab}{}^c = e_a \lambda_b{}^c + \text{usual } \lambda f \text{ terms} \implies \omega_{ab}{}^c = -f_{ab}{}^c, \quad \frac{1}{2} E_c{}^T \eta \nabla_a E_b = 0 .$$

Then the previous duality invariants are replaced with the following $GL(D)$ covariant object:

$$F_{abcd} \equiv \frac{1}{2} (\nabla_a E_b{}^T) \eta (\nabla_c E_d) = -\frac{1}{4} (e_a E_b{}^T) \eta (M - \eta) \eta (e_c E_d) = f_{abcd} - f_{ab}{}^e f_{cde} \implies F_{[ab][cd]} = -(c_{ab}{}^e - f_{[ab]}{}^e) (c_{cde} - f_{[cd]e}) .$$

The M version of F (similar to the string mechanics expression for L_-) is GL covariant because $(M - \eta) \eta E = 0$ kills the noncovariant pieces of the transformation. Using the $e_{[a} f_{b]cd}$ identity, we also have

$$R_{abcd} = -F_{[a|d|b]c} \implies R = F^a{}_{[a}{}^b{}_{b]} .$$

[The identity [7] $F_{acb}{}^c = \frac{1}{8} \text{tr}(e_a M)(e_b M^{-1})$ also is useful for special gauges considered in dimensional reduction.] Finally, we have the $GL(D)$ covariantized version of $1 \cdot \tilde{\nabla}_a$:

$$1 \cdot \tilde{\nabla}_a = \partial_m e_a{}^m - f_{ba}{}^b, \quad \nabla_a J^a = \partial_m J^m - (1 \cdot \tilde{\nabla}_a) J^a .$$

To express the action in terms of these duality invariant and $GL(D)$ covariant objects, we first use the identities of the previous section to relate the curvature scalars:

$$T_{abc} = c_{abc} - f_{[ab]c} \implies H_{abc} = \frac{1}{2} T_{[abc]} ,$$

$$F_{[ab][cd]} = -T_{ab}{}^e T_{cde} \implies \mathring{R} - \frac{1}{12} H^2 = F^a{}_{[a}{}^b{}_{b]} + F_{[ab]}{}^{ab} + 2\mathring{\nabla}^a T_{ab}{}^b + (T_{ab}{}^b)^2, \quad T_{ab}{}^b = -1 \cdot \tilde{\nabla}_a - e_a \ln \sqrt{-g} .$$

Note that T , unlike R_{abcd} , is not duality invariant unless contracted with a derivative as $T_{ab}{}^c \nabla_c$ as it appears in $[\nabla, \nabla]$, since ∇ is invariant only when it acts on a field. However, T is invariant in the combination $F_{[ab][cd]}$. Furthermore, although this covariant derivative is not covariant with respect to general coordinate and b gauge transformations, its covariance with respect to duality and $GL(D)$ transformations will prove sufficient to give a simple expression for the action. (There is also a covariant derivative with $T_{abc} = H_{abc}$ implied by string mechanics [2], but it turns out not to be useful in discussing duality.)

In addition to the fact that the last two, relatively simple terms in $\mathring{R} - \frac{1}{12} H^2$ are not duality invariant, the factor of $\sqrt{-g}$ in the integration measure is also noninvariant; the dilaton compensates for this noninvariance. (In the string quantum mechanics, it does the same for the functional integration measure for x , which is essentially the same thing, although in the field theory this occurs already classically.) For manifest duality and GL invariance, we define $\Phi = (-g)^{1/4} \phi$ to absorb the measure, since g is not duality invariant. We then apply the identity

$$4(u e_a u^{-1} \Phi)^2 = 4(e_a \Phi)^2 + \partial_m (-2\Phi^2 e_a{}^m e^a \ln u^2) + \Phi^2 [(e_a \ln u^2)^2 + \partial_m (2e_a{}^m e^a \ln u^2)]$$

for the case $u = (-g)^{1/4}$. Then we find

$$\begin{aligned} [2\mathring{\nabla}^a T_{ab}{}^b + (T_{ab}{}^b)^2] + [(e_a \ln u^2)^2 + \partial_m (2e_a{}^m e^a \ln u^2)] &= (1 \cdot \tilde{\nabla}_a)^2 + \partial_m [-2e_a{}^m (1 \cdot \tilde{\nabla}^a)] \\ &= (1 \cdot \tilde{\nabla}_a)^2 - 2(1 \cdot \tilde{\nabla}^a \tilde{\nabla}_a) . \end{aligned}$$

After an integration by parts, the action can finally be written in the simple form

$$S = \int d^D x \{ 4[\nabla \Phi + \frac{1}{2} (1 \cdot \tilde{\nabla}) \Phi]^2 + \Phi^2 (F^a{}_{[a}{}^b{}_{b]} + F^{ab}{}_{[ab]}) \} .$$

The $\frac{1}{2} (1 \cdot \tilde{\nabla})$ added to ∇ on Φ is related to the fact Φ^2 is the integration measure, and suggests that there should be a generalization of ∇ which automatically treats Φ as a density of weight $\frac{1}{2}$. This action closely resembles the original one: It has a dilaton kinetic term, an $R = F^a{}_{[a}{}^b{}_{b]}$ curvature term, and a term $-\frac{1}{2} T_{abc}{}^2 = F^{ab}{}_{[ab]} = \frac{1}{4} (\nabla_{[a} E_b)^2$ analogous to the H^2 term. As in nonlinear σ models, the action can be written in first-order form by making ω in ∇ an independent field [7]. After making

this substitution in the ∇ 's appearing in the definition of F , we find, for the new F ,

$$F_{abcd} = (f_{abcd} - f_{ab}{}^e f_{cde}) + (\omega_{ab}{}^e + f_{ab}{}^e) (\omega_{cde} + f_{cde}) ,$$

so the extra terms just fix $\omega = -f$. (There are some additional minor modifications if an independent ω is also introduced into the dilaton kinetic term.)

V. HETEROTIC STRING

In the Hamiltonian formalism, the background formalism for the heterotic string [3] is very similar to that for the usual closed string, except that the left- and right-handed variables differ in number. (Here we consider just

the bosonic sector. As usual, for representing duality we consider trivial dimensional reduction for the extra 16 dimensions, so that the gauge vectors are Abelian, or we consider just a Cartan subgroup of a non-Abelian group resulting from the usual compactification.) The relevant coset space is now $O(D, D+n)/O(D-1, 1) \otimes O(D+n-1, 1)$:

$$M = V\hat{\eta}V^T, \quad V\eta V^T = \eta, \quad \eta = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix},$$

$$\hat{\eta} = \begin{pmatrix} \eta^{mn} & 0 & 0 \\ 0 & \eta_{mn} & 0 \\ 0 & 0 & -\delta_{\bar{m}\bar{n}} \end{pmatrix}, \quad Z = \begin{pmatrix} P_m \\ X'^m \\ \frac{1}{\sqrt{2}}(P^{\bar{m}} - X'^{\bar{m}}) \end{pmatrix},$$

where the new entry for Z represents the chiral bosons. Now $V^T\eta Z$ for the string consists of an $O(D-1, 1)$ vector and an $O(D+n-1, 1)$ vector ($D=10, D+n=26$)

for the left- and right-handed string momenta. By choosing a (nonorthonormal) basis, the solution to these conditions can again be expressed as

$$M = E_a g^{ab} E_b^T - \eta, \quad g_{ab} = \frac{1}{2} E_a^T \eta E_b, \quad E_a = \begin{pmatrix} e_{ma} \\ e_a^m \\ e_a^{\bar{m}} \end{pmatrix}.$$

[There is also an opposite chirality solution \tilde{E}^A , where A is now a $GL(D+n)$ index. These two choices again correspond to the number of nonvanishing eigenvalues of $\hat{\eta} \pm \eta$, as seen by performing the transformation V^{-1} on M . For simplicity we will stick to just the $GL(D)$ chirality given above, but similar expressions exist for the $GL(D+n)$ chirality. The resulting local $GL(D)$ or $GL(D+n)$ invariance then leaves the appropriate $D(D+n)$ components in both cases.]

The definitions of the usual gauge fields follow from their gauge transformation laws:

$$\delta E_{aM} = \Lambda^N \partial_N E_{aM} + E_a^N \partial_{[M} \Lambda_{N]}, \quad \partial_M = \begin{pmatrix} \partial_m \\ 0 \\ 0 \end{pmatrix}, \quad \Lambda_M = \begin{pmatrix} \lambda_m \\ \lambda^m \\ \lambda^{\bar{m}} \end{pmatrix} \Rightarrow \delta e_{ma} = (\lambda^n \partial_n e_{ma} + e_{na} \partial_m \lambda^n) + e_a^{\bar{n}} \partial_{[m} \lambda_{\bar{n}]} - e_a^{\bar{n}} \partial_m \lambda^{\bar{n}},$$

$$\delta e_a^m = (\lambda^n \partial_n e_a^m - e_a^{\bar{n}} \partial_n \lambda^m),$$

$$\delta e_a^{\bar{m}} = \lambda^n \partial_n e_a^{\bar{m}} - e_a^{\bar{n}} \partial_n \lambda^{\bar{m}}$$

$$\Rightarrow g^{mn} = g^{ab} e_a^m e_b^n, \quad A_m^{\bar{n}} = e_m^a e_a^{\bar{n}}, \quad b_{mn} = \frac{1}{2} e_{[ma} e_n^{\bar{a}}.$$

(For the other chirality \tilde{E} , g^{mn} has a similar expression, but it is not so easily inverted because of the larger range of the GL indices, so the other expressions are a little more complicated.)

The construction of the action goes as before. The original action [14] now has an additional F^2 term for the Abelian vectors, and the b field strength is modified because of its altered gauge transformation law:

$$L = \phi^2 (\hat{R} - \frac{1}{12} H^2 - \frac{1}{4} g^{mp} g^{nq} F_{mn}{}^r F_{pq}{}^{\bar{r}}) + 4g^{mn} (\partial_m \phi)(\partial_n \phi),$$

$$H_{mnp} = \frac{1}{2} \partial_{[m} b_{np]} + \frac{1}{4} A_{[m}{}^{\bar{q}} F_{np]}{}^{\bar{q}}, \quad F_{mn}{}^{\bar{p}} = \partial_{[m} A_n{}^{\bar{p}}.$$

The only identity for the duality invariant objects f and F which differs from that for the ordinary closed string is

$$f_{[ab][cd]} = (c_{ab}{}^e f_{[cd]e} + c_{cd}{}^e f_{[ab]e}) - c_{ab}{}^e c_{cde} - \frac{1}{2} F_{ab}{}^{\bar{m}} F_{cd}{}^{\bar{m}} \Rightarrow F_{[ab][cd]} = -T_{ab}{}^e T_{cde} - \frac{1}{2} F_{ab}{}^{\bar{m}} F_{cd}{}^{\bar{m}}.$$

As a result, the final expression for the manifestly duality and GL invariant action is *the same* as before, since the F term which before contained just the T^2 term now contains also the new gauge vector kinetic term, and H as defined in terms of c and f already includes the AF term:

$$S = \int d^D x \{ 4[\nabla\Phi + \frac{1}{2}(1 \cdot \vec{\nabla})\Phi]^2 + \Phi^2 (F^a{}_{[a}{}^b{}_{b]} + F^{ab}{}_{[ab]}) \}.$$

This form is therefore simpler than the usual form, since duality has automatically included all dependence on the gauge vectors without the addition of any new terms.

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