

## Parity anomaly in three dimensions via fermion-number fractionalization in two dimensions

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A new approach, peculiar in its simplicity, to the parity anomaly of relativistic fermions coupled to a static external gauge field, in 2+1 dimensions, clarifies the relationship to the fermion number fractionalization in 1+1 dimensions. From this correspondence we get the parity-anomaly behavior at finite temperature.

### I. INTRODUCTION

The parity anomaly in (2+1)-dimensional quantum electrodynamics [1] means that from the theory defined by

$$\mathcal{L} = \bar{\psi}(i\mathcal{D} - m)\psi \quad (1)$$

it follows that the fermion current has a vacuum expectation value

$$\langle J^\mu \rangle = \frac{m}{|m|} \frac{e^2}{8\pi} \epsilon^{\mu\nu\rho} F_{\nu\rho} + O(m^{-1}) \quad (2)$$

which shows the breaking of parity symmetry.

The interest in this result increased when some relationship with the condensed-matter physics of two-dimensional systems was suggested [2], the quantum Hall effect being a particular example. The usual derivation of (2) is based on an accurate analysis of the fermion determinant.

If model (1) is studied at finite temperature, (2) can be obtained when  $\beta \rightarrow \infty$ , that is, at the low-temperature limit. Finite-temperature effects have been studied [3] by general methods. However, the results in the literature were obtained only at the low-temperature limit [4].

There is, nevertheless (as we will show), a strong relationship between this anomaly and the fermion number fractionalization in 1+1 dimensions, which gives us a straightforward way to compute the parity anomaly at finite temperature. Our approach consists in the transformation of (1), at finite temperature, into a model made up of an infinity of uncoupled fermions in 1+1 dimensions. We, then, recognize  $\langle J^\mu \rangle$  as a sum of currents defined in 1+1 dimensions. These currents, on the other hand, can be obtained using the method of Goldstone and Wilczek [5] (GW).

In the following we will review briefly the GW method and, then, show our approach to the parity anomaly.

### II. THE GW METHOD

Some models in field theory may exhibit fractional charge [4-6]. The GW method is a general way to obtain, using perturbation theory, the fermionic current. Let us consider, following GW, the Lagrangian

$$\mathcal{L} = i\bar{\psi}\partial\psi + \bar{\psi}(\varphi_1 + i\varphi_2\gamma^5)\psi \quad (3)$$

defined in 1+1 dimensions. Let  $x_0$  be a point such that

$\varphi_1(x_0) \neq 0$  and  $\varphi_2(x_0) = 0$ . The first step of the GW method is the computation of  $\langle J^\mu(x_0) \rangle = \langle 0 | \bar{\psi}(x_0)\gamma^\mu\psi(x_0) | 0 \rangle$  by means of an expansion in gradients, where one considers that the fields  $\varphi_1$  and  $\varphi_2$  were introduced adiabatically in the fermion system. Then, looking at the value of  $\langle J^\mu(x_0) \rangle$ , we put it in a form which is chirally symmetric, that is, invariant when  $\varphi_1 \rightarrow -\varphi_2$  and  $\varphi_2 \rightarrow \varphi_1$ . The final result is

$$\langle J^\mu(x) \rangle = (2\pi)^{-1} \epsilon^{\mu\nu} \epsilon^{ab} \frac{\varphi_a \partial_\nu \varphi_b}{\varphi^2}. \quad (4)$$

### III. PARITY ANOMALY AT FINITE TEMPERATURE

Let us study (1) at finite temperature. In order to perform a more complete analysis, we add to (1) a chemical-potential term,  $u\bar{\psi}\gamma^0\psi$ . The partition function (in Euclidean space) [7] is given by

$$Z = \int D\bar{\psi} D\psi \exp(-S[\bar{\psi}, \psi]), \quad (5)$$

where

$$S[\bar{\psi}, \psi] = \int_0^\beta dt \int d^2x \bar{\psi}(\mathcal{D} - m - u\gamma^0)\psi \quad (6)$$

and

$$\mathcal{D} = \gamma^0 \frac{\partial}{\partial t} + \gamma^1 \frac{\partial}{\partial x^1} + \gamma^2 \frac{\partial}{\partial x^2} - ie\gamma^\mu A_\mu, \quad (7)$$

$$\bar{\psi} = \psi^\dagger \gamma^1, \quad (8)$$

$$\gamma^0 = \sigma_3, \quad \gamma^1 = \sigma_1, \quad \gamma^2 = \sigma_2 \quad (\text{Pauli matrices}). \quad (9)$$

Because of the antiperiodic condition for fermions, we can express the fields  $\psi$  and  $\bar{\psi}$  as

$$\psi(x, t) = \sum_n e^{i\omega_n t} \psi_n(x), \quad (10)$$

$$\bar{\psi}(x, t) = \sum_n e^{-i\omega_n t} \bar{\psi}_n(x), \quad (11)$$

where  $\omega_n = (2n+1)\pi/\beta$ ,  $n=0, \pm 1, \pm 2, \dots$

Substituting (10) and (11) in (5) and integrating over  $t$ , we get

$$Z = \int \prod_n D\psi_n D\bar{\psi}_n \exp(-S[\{\psi_n\}, \{\bar{\psi}_n\}]), \quad (12)$$

where

$$S[\{\psi_n\}, \{\bar{\psi}_n\}] = \beta \sum_n \int d^2x [\bar{\psi}_n (\not{Y} - m) \psi_n - ieA_\mu \bar{\psi}_n \gamma^\mu \psi_n + (i\omega_n - u) \bar{\psi}_n \gamma^0 \psi_n] \quad (13)$$

$$= \beta \sum_n \int d^2x [\bar{\psi}_n (\not{Y} - m) \psi_n - ieA_i \bar{\psi}_n \gamma^i \psi_n - ieA_0 \bar{\psi}_n \gamma^0 \psi_n + (i\omega_n - u) \bar{\psi}_n \gamma^0 \psi_n]. \quad (14)$$

In the above expression,  $\not{Y} = \gamma^1 \partial / \partial x^1 + \gamma^2 \partial / \partial x^2$ .

Since  $(\gamma^1)^2 = (\gamma^2)^2 = 1$ , we may consider (14) as the Euclidean action of a theory which contains an infinity of fermions in 1+1 dimensions. To make this more explicit, let us, then, change our notation:

$$\gamma^1 \rightarrow \alpha^0, \quad (15a)$$

$$\gamma^2 \rightarrow \alpha^1, \quad (15b)$$

$$\gamma^0 = \sigma_3 = -i\sigma_1\sigma_2 = -i\gamma^1\gamma^2 \rightarrow -i\alpha^0\alpha^1 \equiv -i\alpha^5, \quad (15c)$$

and also

$$A_1 \rightarrow a_0, \quad x_1 \rightarrow y_0, \quad (16a)$$

$$A_2 \rightarrow a_1, \quad x_2 \rightarrow y_1. \quad (16b)$$

The identification of (14) with a system of fermions now becomes clear. Using the above conventions, we have

$$S = \beta \sum_n \int d^2y [\bar{\psi}_n (\not{D}_E - m) \psi_n - eA_0 \bar{\psi}_n \alpha^5 \psi_n + (iu + \omega_n) \bar{\psi}_n \alpha^5 \psi_n], \quad (17)$$

where

$$\not{D}_E = \alpha^0 \frac{\partial}{\partial y^0} + \alpha^1 \frac{\partial}{\partial y^1} - ie(\alpha^0 a_0 + \alpha^1 a_1).$$

$$\sum_n \frac{1}{m^2 + (eA_0 - iu - \omega_n)^2} = \frac{\beta}{4i|m|} \{ \tan[\frac{1}{2} eA_0\beta + \frac{1}{2} i\beta(|m| - u)] - \tan[\frac{1}{2} eA_0\beta - \frac{1}{2} i\beta(|m| + u)] \}. \quad (21)$$

The above quantity is, in general, not real. This follows from the use of result (4), whenever  $\varphi_2$ , in (3), has a nonzero imaginary part. This will occur for  $u \neq 0$  and  $A_0 \neq 0$ . The result is real for  $u = 0$ , for any  $A_0$ , and for  $A_0 = 0$ , for any  $u$ . In the remaining cases, we may simply take the real part of (21) (since  $\langle J^\mu \rangle$  has to be real) and rewrite (4) as

$$\langle J^\mu \rangle = (2\pi)^{-1} \epsilon^{\mu\nu} \epsilon^{ab} \frac{1}{2} \left[ \frac{\varphi_a \partial_\nu \varphi_b}{\varphi^2} + \text{c.c.} \right].$$

$$\begin{aligned} \langle J^1 \rangle &= e \sum_n \langle \bar{\psi}_n \gamma^1 \psi_n \rangle = e \sum_n \langle \bar{\psi}_n \alpha^0 \psi_n \rangle = \frac{e}{\beta} \langle j^0 \rangle \\ &= -\frac{m}{|m|} \frac{e^2}{8\pi} (\partial_2 A_0) \text{Im} \{ \tan[\frac{1}{2} eA_0\beta + \frac{1}{2} i\beta(|m| - u)] - \tan[\frac{1}{2} eA_0\beta - \frac{1}{2} i\beta(|m| + u)] \} + \frac{e}{\beta} \partial_2 \Lambda \end{aligned} \quad (24a)$$

where Im denotes the imaginary part, and also

$$\begin{aligned} \langle J^2 \rangle &= e \sum_n \langle \bar{\psi}_n \gamma^2 \psi_n \rangle = e \sum_n \langle \bar{\psi}_n \alpha^1 \psi_n \rangle = \frac{e}{\beta} \langle j^1 \rangle \\ &= -\frac{m}{|m|} \frac{e^2}{8\pi} (\partial_1 A_0) \text{Im} \{ \tan[\frac{1}{2} eA_0\beta + \frac{1}{2} i\beta(|m| - u)] - \tan[\frac{1}{2} eA_0\beta - \frac{1}{2} i\beta(|m| + u)] \} - \frac{e}{\beta} \partial_1 \Lambda. \end{aligned} \quad (24b)$$

The corresponding theory, in Minkowski space, is given by

$$S = \beta \sum_n \int d^2y \{ \bar{\psi}_n i \not{D} \psi_n - \bar{\psi}_n [m + i(eA_0 - iu - \omega_n) \alpha^5] \psi_n \}. \quad (18)$$

At this point, we stress the similarity between action (18) and the one obtained from the Lagrangian (3). The difference is that in (18) there is a covariant derivative. However, this would contribute with terms proportional to  $a_\mu$  to the current (4), which must be absent due to the gauge invariance. For a while we neglect the problems associated with the chemical potential, that is, we use (4) to get

$$\begin{aligned} \langle j^\mu \rangle &\equiv \beta \sum_n \langle \bar{\psi}_n \alpha^\mu \psi_n \rangle \\ &= \frac{1}{2\pi} \sum_n \frac{\epsilon^{\mu\nu} m \partial_\nu (eA_0)}{m^2 + (eA_0 - iu - \omega_n)^2} + \epsilon^{\mu\nu} \partial_\nu \Lambda. \end{aligned} \quad (19) \quad (20)$$

The  $\epsilon^{\mu\nu} \partial_\nu \Lambda$  added in (20) should also, in principle, be added to (4), as it is compatible with current conservation.  $\Lambda$  depends on the fields. Yet, this is a term of order  $m^{-1}$  that, as we will see, depends on  $\beta$  as  $\beta^\alpha$ ,  $0 \leq \alpha \leq 1$ , when  $\beta \rightarrow \infty$ . The field  $\Lambda$  is assumed well behaved, that is,  $\Lambda(x) \rightarrow 0$  when  $x^\mu \rightarrow \pm \infty$ , and does not contribute to the fermion number. The sum in (20) may be performed exactly [8]:

Let us now consider the true current in the (2+1)-dimensional gauge theory. We have

$$\begin{aligned} \langle J^\mu \rangle &= e \langle \bar{\psi} \gamma^\mu \psi \rangle \\ &= \sum_{n,m} e \langle \bar{\psi}_n \gamma^\mu \psi_m \rangle \exp[it(\omega_m - \omega_n)]. \end{aligned} \quad (22)$$

Since  $\langle J^\mu \rangle$  does not depend on  $t$ , its value is given by

$$\langle J^\mu \rangle = e \sum_n \langle \bar{\psi}_n \gamma^\mu \psi_n \rangle. \quad (23)$$

Now, using (20) and (21), we obtain

To compute  $\langle J^0 \rangle$  we use the fact that, according to (18),

$$\langle J^0 \rangle = -\frac{i}{\beta} \frac{\delta \ln Z}{\delta A_0} = \frac{e}{\beta} \frac{\delta}{\delta A_0} \left[ \int a^\mu(x') \langle j_\mu(x') \rangle d^2 x' \right]. \quad (25)$$

Considering now (25), (24a), and (24b), we see that

$$\langle J^0 \rangle = \frac{e}{\beta} \frac{\delta}{\delta A_0(x)} \left[ \int a^\mu(x') \left[ \frac{e\beta}{8\pi} \frac{m}{|m|} \epsilon_{\mu\nu} [\partial^\nu A_0(x')] F(A_0(x')) + \epsilon_{\mu\nu} \partial^\nu \Lambda(x') \right] d^2 x' \right], \quad (26)$$

where

$$F(A_0(x')) = \text{Im} \left\{ \tan \left[ \frac{1}{2} e A_0 \beta + \frac{1}{2} i \beta (|m| - u) \right] - \tan \left[ \frac{1}{2} e A_0 \beta - \frac{1}{2} i \beta (|m| + u) \right] \right\}$$

and the indices  $\mu, \nu$ , above, need to be interpreted with care, to avoid confusion.

From (26) we get, using the original notation for the coordinates,

$$\begin{aligned} \langle J^0 \rangle &= \frac{m}{|m|} \frac{e^2}{8\pi} (\partial_1 A_2 - \partial_2 A_1) \\ &\times \text{Im} \left\{ \tan \left[ \frac{1}{2} e A_0 \beta + \frac{1}{2} i \beta (|m| - u) \right] \right. \\ &\quad \left. - \tan \left[ \frac{1}{2} e A_0 \beta - \frac{1}{2} i \beta (|m| + u) \right] \right\} + O(m^{-1}). \end{aligned} \quad (27)$$

Let us examine more carefully the results (24a), (24b), and (27). Studying the case  $u=0$ , we find that when  $\beta \rightarrow \infty$  the currents will be expressed as

$$\langle J^0 \rangle = \frac{m}{|m|} \frac{e^2}{4\pi} (\partial_1 A_2 - \partial_2 A_1) + O(m^{-1}), \quad (28a)$$

$$\langle J^1 \rangle = \frac{m}{|m|} \frac{e^2}{4\pi} \partial_2 A_0 + O(m^{-1}), \quad (28b)$$

$$\langle J^2 \rangle = -\frac{m}{|m|} \frac{e^2}{4\pi} \partial_1 A_0 + O(m^{-1}). \quad (28c)$$

However, when  $\beta \rightarrow \infty$  Lorentz invariance is restored, which allows us, from (28), to say that, in the more gen-

eral case of fields  $A_\mu$  depending on time, we will have

$$\langle J^\mu \rangle = \frac{m}{|m|} \frac{e^2}{8\pi} \epsilon^{\mu\nu\rho} F_{\nu\rho} + O(m^{-1}), \quad (29)$$

which is the well-known result.

We, now, come back to the discussion of the dependence of  $\Lambda$  with  $\beta$ . The terms  $O(m^{-1})$  in (28) are, as we can easily see, dependent on  $\Lambda/\beta$ . Assuming, therefore, that  $\langle J^\mu \rangle$  is well defined for all values of  $\beta$ , we see that  $\Lambda$  behaves like  $\beta^\alpha$ ,  $0 \leq \alpha \leq 1$ , when  $\beta \rightarrow \infty$ .

#### IV. CONCLUSIONS

The (2+1)-dimensional gauge-field theory was studied as a theory of an infinity of fermions in 1+1 dimensions. We derived the parity anomaly at finite temperature in the case of static gauge fields. We note that the method employed here, to pass from 2+1 to 1+1 dimensions, may be useful to study other (2+1)-dimensional systems, once there is a great amount of information on (1+1)-dimensional models.

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