

## Charmless nonleptonic rare decays of $B$ mesons

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Branching ratios of nonleptonic exclusive two-body decays of  $B$  mesons without final-state charm particles are studied in detail. The technique used for evaluating the nonleptonic decay amplitudes is elucidated. The dominant charmless decay channels are  $B_u^+ \rightarrow K^+ \eta', \pi^+ \eta', \rho^+ \pi^0, \rho^+ \eta, \rho^+ \eta', \rho^+ \omega, B_d^0 \rightarrow K^0 \eta', \eta \eta', \eta' \eta', K^{*+} \pi^-, \rho^+ \pi^-, K^{*+} \rho^-, \rho^+ \rho^-$ , which should be interesting for experimental observation. The implications of the quark-diagram scheme are also discussed.

### I. INTRODUCTION

Although no evidence for purely hadronic  $b \rightarrow u$  or loop-induced  $b \rightarrow s$  transitions has been observed, the nonleptonic rare  $B$  decays without charm particles in the final state have elicited more and more attention than before both experimentally and theoretically.<sup>1</sup> The study of the exclusive charmless  $B$  decays is very interesting for several reasons. First of all, they proceed in general through the  $W$ -loop diagram (the so-called penguin diagram is its one-gluon approximation) without suffering from the Kobayashi-Maskawa (KM) mixing suppression, and through the spectator diagrams with KM mixing double suppression. This becomes a salient feature in charmless  $B$  decay: loop graphs are as important as or even dominate over tree graphs. Moreover, there exist some decay modes which occur solely via the  $W$ -loop diagram, e.g.,  $B_d^0 \rightarrow \phi K^0, B_s^0 \rightarrow \phi \bar{K}^0$ . The  $W$ -loop diagrams play an essential role in kaon system: In the KM model it is responsible for the direct  $CP$  violation characterized by the parameter  $\epsilon'$ ; its contribution at low energies (i.e., the so-called "eye" graph) could partially account for the mysterious  $\Delta I = \frac{1}{2}$  rule.<sup>2,3</sup> In spite of its importance, the penguin contribution in  $K$  decay is still under intense study and debate. Therefore, the measurement of two-body charmless  $B$  decays can provide a unique and excellent test on the penguin mechanism and shed some light on QCD calculations.

Charmless exclusive two-body decays of  $B$  mesons and their  $CP$  asymmetries have been systematically studied in Ref. 1 (see also Refs. 4–6). Nevertheless, we wish to update and reanalyze in this paper the rare  $B$  decays in more detail, motivated by the following observations. (i) A new lower bound on the top-quark mass,  $m_t > 77$  GeV, was set by the Collider Detector at Fermilab (CDF) Collaboration.<sup>7</sup> This means that the full effects of the top quark and  $W$  boson in  $W$ -loop diagrams should be taken into consideration. (ii) The ratio of the KM mixing angles  $|V_{ub}/V_{cb}|$  was recently extracted, though model

independently, from the charmless semileptonic  $B$  decays by both ARGUS<sup>8</sup> and CLEO<sup>9</sup> Collaborations. (iii) Form factors such as  $f_+(0)$  in the matrix element of  $\langle P_1 | V_\mu | P_2 \rangle$  were taken to be unity in Ref. 1. As a result, the predicted branching ratio of, e.g.,  $B_d^0 \rightarrow \pi^+ \pi^-$  already exceeds the present upper limit. This indicates that it is important to adopt some phenomenological models for form factors as an input for theoretical calculations.

In the light of the above-mentioned developments, we reinvestigated the exclusive charmless decays of  $B$  mesons. This paper is organized as follows. We first set up in Sec. II the framework necessary for subsequent studies. In Sec. III we discuss the use of quark-diagram amplitude scheme. The quark-diagram representations and the numerical results of two-body charmless  $B$  decays are given in Tables II–VII. Three examples of detailed evaluation of the decay rates are presented in Sec. IV. Summary and conclusions are given in Sec. V.

### II. FRAMEWORK

#### A. Effective Hamiltonian

The standard effective weak Hamiltonian for  $W$  tree graphs relevant for charmless  $B$  decays reads

$$H_{\text{eff}} = \frac{G_F}{\sqrt{2}} V_{us} V_{ub}^* (c_+ O_+ + c_- O_-) + (s \rightarrow d) + \text{H.c.}, \quad (2.1)$$

with

$$O_\pm = \frac{1}{2} [(\bar{s}u)(\bar{u}b) \pm (\bar{u}u)(\bar{s}b)], \quad (2.2)$$

where  $(\bar{q}_1 q_2) = \bar{q}_1 \gamma_\mu (1 - \gamma_5) q_2$ ,  $c_\pm$  are QCD-corrected Wilson coefficient functions, and  $V_{ij}$ 's are the KM-mixing-matrix elements. The effective Hamiltonian for the  $W$ -loop penguin interaction in the 't Hooft-Feynman gauge is

$$H_{\text{peng}} = \sqrt{2} G_F \left[ \frac{\alpha_s}{2\pi} \right] \left[ \sum V_{ib}^* V_{is} I_i \right] (\bar{s}_L \gamma_\mu \lambda^a b_L) (\bar{q} \gamma_\mu \lambda^a q) + (s \rightarrow d) + \text{H.c.}, \quad (2.3)$$

where the dipole-moment contributions are negligible, and the loop integral  $I_i$  is given by

$$I_i = \frac{1}{1-x_i} \left[ 1 + \frac{x_i}{2} \right] \left[ \int_0^1 dz z(1-z) \ln[m_i^2 - k^2 z(1-z)] - \int_0^1 dz z(1-z) \ln[M_W^2(1-z) + m_i^2 z - k^2 z(1-z)] \right], \quad (2.4)$$

where  $x_i = m_i^2/M_W^2$ ,  $m_i$  is the mass of the  $i$ th-type loop quark, and  $k^2$  is the momentum transfer carried by the gluon that was first derived in Ref. 4 and Eq. (2.4) has the exact quark-mass terms, which we have double checked and agreed. Notice that the loop integral  $I_i$  is smooth at  $x_i = 1$ .

The momentum-squared  $k^2$  of the gluon can be either timelike or spacelike, depending on the way the final state is being formed. Since  $k^2$  in the  $B$  system is of order  $m_b^2$ , a timelike penguin diagram involving  $u$  and  $c$  quarks will have an absorptive part, which is crucial for generating  $CP$  asymmetries in  $B$  decay, as first noticed in Ref. 10. It is easily seen from Eq. (2.4) that, when  $k^2 > 4m_i^2$ ,

$$\text{Im} I_i = -\frac{1}{6} \pi \left[ 1 + \frac{2m_i^2}{k^2} \right] \left[ 1 - \frac{4m_i^2}{k^2} \right]^{1/2}. \quad (2.5)$$

The penguin-induced effective Hamiltonian is usually recast into the form

$$H_{\text{peng}} = \frac{G_F}{\sqrt{2}} \frac{\alpha_s}{\pi} \left[ \sum \lambda_i I_i \right] \left[ -\frac{2}{3} (\bar{s}_L^\alpha \gamma_\mu q_L^\beta) (\bar{q}_L^\beta \gamma^\mu b_L^\alpha) + 2 (\bar{s}_L^\alpha \gamma_\mu q_L^\alpha) (\bar{q}_L^\beta \gamma^\mu b_L^\beta) \right. \\ \left. + \frac{4}{3} (\bar{s}_L^\alpha q_R^\beta) (\bar{q}_R^\beta b_L^\alpha) - 4 (\bar{s}_L^\alpha q_R^\alpha) (\bar{q}_R^\beta b_L^\beta) \right] + (s \rightarrow d) + \text{H.c.}, \quad (2.6)$$

where a Fierz transformation has been made for the  $(V-A)(V+A)$  part of the interaction,  $\lambda_i = V_{ib}^* V_{is}$ , and  $\alpha, \beta$  are color indices. Note that the renormalization-group effect has not been included in Eq. (2.6). In the case that  $M_W^2 \gg m_i^2 \gg k^2 \sim m_b^2$ , one can neglect the second logarithmic term in  $I_i$  and obtain

$$\left[ \frac{\alpha_s}{2\pi} \right] \left[ \sum \lambda_i I_i \right] \approx \frac{\alpha_s}{12\pi} \left[ \lambda_t \left[ \ln \frac{m_t^2}{k^2} + \frac{5}{3} + i\pi \right] \right. \\ \left. - \lambda_c \frac{m_c^2}{k^2} - \lambda_u \frac{m_u^2}{k^2} \right] \quad (2.7)$$

to a good approximation. Indeed, the penguin contribution was crudely estimated to be of order  $(\alpha_s/12\pi) \ln(m_i^2/m_b^2)$  in the early literature.<sup>11</sup> Nowadays, the larger value of  $m_t$  demands the necessity of using the exact expression of  $I_i$  in order to fully comprehend the effect of the top quark and  $W$  boson. The dispersive and absorptive parts of  $I_i$  are depicted in Table I for given values of  $k^2$  and  $m_i$ .

It is instructive to consider the penguin operator in the kaon system. Since there  $k^2$  is of order  $m_K^2$ , one may neglect it in the second logarithmic term of Eq. (2.4) (with the  $b$  quark being replaced by the  $d$  quark). It is not difficult to show that the penguin coefficient function reads<sup>12,13</sup>

$$c_5(\mu) = - \left[ \frac{\alpha_s}{12\pi} \right] \left[ \ln \frac{m_c^2}{\mu^2} + \frac{5}{3} \right] \\ + \frac{V_{td} V_{ts}^*}{V_{ud} V_{us}^*} \left[ \frac{\alpha_s}{12\pi} \right] \left[ \ln \frac{m_t^2}{m_c^2} - D(x_t) \right] \left[ 1 + \frac{x_t}{2} \right], \quad (2.8)$$

where

$$D(x) = \frac{x}{8(1-x)^3} (18 - 11x - x^2) \\ + \frac{x^2 \ln x}{4(1-x)^4} (15 - 16x + 4x^2). \quad (2.9)$$

The first term of  $c_5(\mu)$  is the well-known real part of the penguin coefficient,<sup>13</sup> whereas direct  $CP$  violation in  $K \rightarrow \pi\pi$  decay characterized by the parameter  $\epsilon'$  is governed by the second term. [As far as we know, the factor of  $(1+m_i^2/2M_W^2)$  in the imaginary part of the penguin coefficient, which becomes important as  $m_t$  increases, was so far not considered in the literature.] Unfortunately, we only know how to compute the penguin coefficient perturbatively down to the scale  $\mu \sim 1$  GeV. At the energy scale relevant for kaon decay, the evaluation of Wilson coefficients is a nonperturbative question beyond our present ability. This feature makes the study of kaon nonleptonic decay profoundly difficult. By contrast, the penguin loop in charmless  $B$  decay is dominated by the  $t$  quark, and hence it provides a more interesting case to test the short-distance QCD calculations.

## B. Form factors

We shall use the factorization method to evaluate the rare  $B$  decay amplitude. In the naive vacuum-insertion approximation, a factor of  $(2c_+ + c_-)/3$  is gained by the color-matched decay mode, while the QCD-corrected factor  $(2c_+ - c_-)/3$  is always associated with the color-mismatched channels (e.g.,  $D^0 \rightarrow \bar{K}^0 \pi^0$ ). It is well known that the predictions based on the conventional vacuum-saturation method are grossly inconsistent with data for

color-suppressed modes.<sup>14</sup> This discrepancy is improved in the so-called  $1/N_c$  expansion<sup>15</sup> ( $N_c$  being the number of colors), in which the Fierz-transformed contributions characterized by the color factor  $1/N_c$  are dropped in the large- $N_c$  approximation. This amounts to replacing  $(2c_+ - c_-)/3$  by  $(c_+ - c_-)/2$  and  $(2c_+ + c_-)/3$  by  $(c_+ + c_-)/2$ .

The  $1/N_c$  approach is known to be working well for charm decay but it has not been critically tested in  $B$  decay as data are still not as accurate as that of charm decay. Nevertheless, we find in the factorization method that the ARGUS and CLEO data for the color-suppressed channels  $B_u^+ \rightarrow \psi K^+, \psi K^{*+}, B_d^0 \rightarrow \psi K^0, \psi K^{*0}$  and the inclusive decay  $B \rightarrow \psi + X$  are satisfactorily explained provided that (see Appendix A)

$$\frac{1}{3}(2c_+ - c_-) \approx -0.25, \quad (2.10a)$$

which is to be compared with the naive value of

$(2c_+ - c_-)/3 \approx 0.05$  and the  $1/N_c$  prediction  $(c_+ - c_-)/2 \approx -0.34$  for the representative values  $c_+ = 0.82$  and  $c_- = 1.49$ . It is evident that the  $1/N_c$  expectation is closer to reality. So we take the standard strategy of the factorization formalism and the phenomenological value for  $(2c_+ - c_-)/3$  as given by Eq. (2.10a), but keep the theoretical value for

$$(2c_+ + c_-)/3 = 1.04. \quad (2.10b)$$

Under the assumption of factorization, the decay amplitude is decomposed into the product of one- and two-body hadronic matrix elements. We first fix the convention for the one-body matrix element of the axial-vector current to be

$$\langle P(q) | A_\mu | 0 \rangle = -if_P q_\mu, \quad \langle 0 | A_\mu | P(q) \rangle = if_P q_\mu. \quad (2.11)$$

TABLE I. The dispersive absorptive parts of the loop integral function  $I_i$  given by Eq. (2.4) as a function of  $k^2$  and  $m_t$ . The function  $I_i$  is rather insensitive to the value of  $k^2$ .

$ k^2/m_b^2 $	Timelike ( $k^2 > 0$ )		Spacelike ( $k^2 < 0$ )	
	$I_u$	$I_c$	$I_u$	$I_c$
1.00	-1.066 - i0.523	-1.222 - i0.494	-1.067	-1.000
0.95	-1.075 - i0.523	-1.197 - i0.489	-1.076	-1.006
0.90	-1.084 - i0.523	-1.213 - i0.489	-1.084	-1.012
0.85	-1.093 - i0.523	-1.243 - i0.475	-1.094	-1.018
0.80	-1.104 - i0.523	-1.252 - i0.475	-1.104	-1.024
0.75	-1.114 - i0.523	-1.286 - i0.475	-1.115	-1.031
0.70	-1.126 - i0.523	-1.300 - i0.460	-1.126	-1.038
0.65	-1.138 - i0.523	-1.329 - i0.443	-1.139	-1.045
0.60	-1.152 - i0.523	-1.364 - i0.425	-1.152	-1.052
0.55	-1.166 - i0.523	-1.399 - i0.405	-1.166	-1.060
0.50	-1.182 - i0.523	-1.446 - i0.383	-1.182	-1.069
0.45	-1.200 - i0.523	-1.501 - i0.336	-1.200	-1.077
0.40	-1.219 - i0.523	-1.574 - i0.229	-1.219	-1.087
0.35	-1.241 - i0.523	-1.521 - i0.000	-1.242	-1.097
0.30	-1.267 - i0.523	-1.395 - i0.000	-1.267	-1.107
0.25	-1.298 - i0.523	-1.334 - i0.000	-1.298	-1.118
0.20	-1.335 - i0.523	-1.292 - i0.000	-1.335	-1.131
0.15	-1.383 - i0.523	-1.260 - i0.000	-1.383	-1.144
0.10	-1.450 - i0.523	-1.233 - i0.000	-1.450	-1.158
	$m_t$ (GeV)		$I_i$	
	70		-0.140	
	80		-0.126	
	90		-0.116	
	100		-0.108	
	110		-0.102	
	120		-0.097	
	130		-0.093	
	140		-0.090	
	150		-0.087	
	160		-0.085	
	170		-0.083	
	180		-0.082	
	190		-0.081	
	200		-0.079	

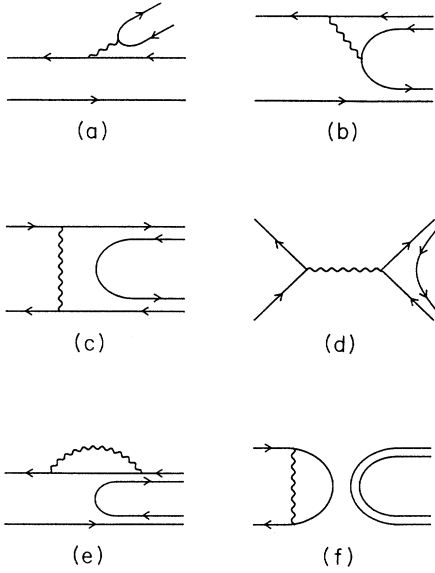


FIG. 1. The six quark diagrams for a meson decaying into two mesons.

The decay constants relevant for our purposes are

$$f_\pi = 132 \text{ MeV}, \quad f_K = 160 \text{ MeV}, \quad f_{B_u} \approx 120 \text{ MeV}. \quad (2.12)$$

The estimate of the decay constant for  $B_u^+$  is still subject to controversy. A recent lattice evaluation yields<sup>16</sup>  $f_{B_u} = 105 \pm 20 \pm 35 \text{ MeV}$ . In this paper we will use the representative value  $120 \text{ MeV}$ . As we shall see in Sec. IV, the choice of  $f_{B_u}$  is immaterial since it is relevant only for the annihilation amplitude which is suppressed with respect to the spectator amplitude. Taking into account SU(3) breaking and  $\eta_0$ - $\eta_8$  mixing, the  $\eta$  and  $\eta'$  decay constants are (see Appendix B)

$$\begin{aligned} \langle 0 | (\bar{u}u) | \eta \rangle &= \langle 0 | (\bar{d}d) | \eta \rangle \simeq i q_\mu (92 \text{ MeV}), \\ \langle 0 | (\bar{s}s) | \eta \rangle &\simeq i q_\mu (-105 \text{ MeV}), \\ \langle 0 | (\bar{u}u) | \eta' \rangle &= \langle 0 | (\bar{d}d) | \eta' \rangle \simeq i q_\mu (49 \text{ MeV}), \\ \langle 0 | (\bar{s}s) | \eta' \rangle &\simeq i q_\mu (120 \text{ MeV}). \end{aligned} \quad (2.13)$$

The one-body hadronic matrix element of the vector current is parametrized as

$$\langle 0 | V_\mu | V(q) \rangle = f_V m_V^2 \epsilon_\mu. \quad (2.14)$$

The dimensionless vector coupling  $f_V$  of the neutral vector meson  $V$  is determined by the observed leptonic decay rate of  $V \rightarrow e^+ e^-$ . We find

$$f_{\rho^0} = 0.203, \quad f_\omega = 0.185, \quad f_\phi = -0.228. \quad (2.15)$$

For  $K^*$  and the charged  $\rho$  meson, we set

$$f_{\rho^+} = f_{K^*} = \sqrt{2} f_{\rho^0} = 0.287. \quad (2.16)$$

The general expression for the pseudoscalar-pseudoscalar matrix element reads

$$\begin{aligned} \langle P_2(p_2) | V_\mu | P_1(p_1) \rangle &= f_+(t)(p_1 + p_2)_\mu \\ &+ f_-(t)(p_1 - p_2)_\mu, \end{aligned} \quad (2.17)$$

where  $t = (p_1 - p_2)^2$ . The sign of  $f_+$  can be fixed using the soft-pion (or soft-meson) theorem and Eq. (2.11). For example, it is easily shown that the form factor  $f_+$  of  $\langle \pi^- | (\bar{s}u) | K^0 \rangle$  is negative, while it is positive in the matrix element of  $\langle \pi^+ | (\bar{u}s) | \bar{K}^0 \rangle$ . There are basically two different approaches for the form factors.<sup>17</sup> The first type of model<sup>18</sup> assumes nearest pole dominance for the  $q^2$  dependence of the form factors

$$|f_\pm(q^2)| \simeq \frac{h_\pm}{1 - q^2/m_{\text{pole}}^2}, \quad (2.18)$$

where the unknown form factors  $h_\pm$  at  $q^2=0$  are estimated by describing the mesons as relativistic bound states of a quark-antiquark pair in the infinite-momentum frame. Nonrelativistic quark potential method is utilized in the second approach<sup>19</sup> to make a correspondence between the form factors defined in Eqs. (2.18) and (2.21) and those appearing in a quark-model calculation. Form factors are identified near zero recoil and an extrapolation away from zero recoil is required.

In this paper we will use the form factors of Bauer, Stech, and Wirbel<sup>5</sup> (BSW) for  $h_+$ . As for the other form factor  $f_-(t)$ , we adopt the ansatz derived from the constituent U(2,2) quark model<sup>20</sup>

$$f_-(t) = -\frac{m_1 - m_2}{m_1 + m_2} f_+(t). \quad (2.19)$$

Although the form factor  $f_-$  in heavy-meson decay is numerically as important as  $f_+$ , its contribution to the decay amplitude is usually suppressed by the heavy-quark mass squared. Moreover, it does not contribute to  $B \rightarrow VP$  decay in the factorizable approximation.

In evaluating the  $W$ -exchange or  $W$ -annihilation diagram, one will encounter matrix elements, e.g.,  $\langle \pi^+ K^0 | (\bar{u}s) | 0 \rangle$  to be evaluated at  $q^2 = m_B^2$ . So far there is no reliable way to estimate those form factors; the dipole  $q^2$  behavior given by Eq. (2.18) is no longer valid at such a large momentum transfer. The approach taken by Refs. 5 and 15 was to ignore them based on helicity-suppression arguments (as in  $\pi \rightarrow e \bar{\nu}_e$ ). However, such naive assumptions had met gross inconsistency comparing with data on  $D \rightarrow PV$ .<sup>14</sup> Here we have no good suggestions except to drop these  $W$  exchange and  $W$  annihilation graphs in our numerical calculations and discuss in what reactions we can test the importance of these non-spectator diagrams.

The pseudoscalar-vector matrix element is parametrized as

$$\begin{aligned} \langle V(p_2) | V_\mu + A_\mu | P(p_1) \rangle &= \epsilon_{\mu\nu\rho\sigma} \epsilon_2^\nu p_2^\rho p_1^\sigma F^V(t) \\ &+ i \epsilon_2^\nu [g_{\mu\nu} F_1^A(t) + p_{1\mu} p_{1\nu} F_2^A(t) + p_{2\mu} p_{1\nu} F_3^A(t)]. \end{aligned} \quad (2.20)$$

We use the form factors of BSW,<sup>5</sup> namely,

$$\begin{aligned} F_1^A(t) &= (m_V + m_P) A_1(t), \\ F_2^A(t) &= F_3^A(t) = -\frac{1}{m_V + m_P} A_2(t), \\ F^V(t) &= \frac{2}{m_V + m_P} V(t), \end{aligned} \quad (2.21)$$

which are also the predictions of the U(2,2) quark model.<sup>21</sup> In the notation of BSW,  $A_1(0) = h_{A_1}$ ,  $A_2(0) = h_{A_2}$ , and  $V(0) = h_V$ . The most sensitive test on the  $VP$  form factors is provided by the recent Mark III data<sup>22</sup> on  $D \rightarrow \bar{K}^* \rho$ : not only the absolute rates but also the angular correlations are being measured. We find that the branching ratio of  $D^+ \rightarrow \bar{K}^* \rho^+$  and the relative contri-

$$V = \begin{array}{|c|} \hline \begin{array}{ccc} d & s & b \\ c_x c_z & s_x c_z & s_z e^{-i\phi} \\ -s_x c_y - c_x s_y s_z e^{i\phi} & c_x c_y - s_x s_y s_z e^{i\phi} & s_y c_z \\ s_x s_y - c_x c_y s_z e^{i\phi} & -c_x s_y - s_x c_y s_z e^{i\phi} & c_y c_z \end{array} \\ \hline \end{array} \begin{array}{l} u \\ c \\ t \end{array}, \quad (2.22)$$

where  $c_x = \cos\theta_x$ ,  $s_x = \sin\theta_x$ , . . . . A nice feature of this parametrization is that each angle is directly related to a class of experimental measurements:  $|V_{us}| \approx s_x \approx 0.22$ , from strange particle decay;  $|V_{cb}| \approx s_y \approx 0.05$ , from  $b$ -lifetime measurements;  $|V_{ub}| \approx s_z \approx 0.005$ , from experiment on  $(b \rightarrow u)/(b \rightarrow c)$ . Setting  $s_x = \lambda = 0.22$ ,  $s_y = A\lambda^2$ , and  $s_z \exp(-i\phi) = A\lambda^3(\rho - i\eta)$ , we find that, to a good approximation, Eq. (2.22) is reduced to

$$V = \begin{array}{|c|} \hline \begin{array}{ccc} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda + \dots & 1 - \frac{1}{2}\lambda^2 + \dots & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 + \dots & 1 \end{array} \\ \hline \end{array}, \quad (2.23)$$

which is precisely the well-known Wolfenstein parametrization.<sup>24</sup> The parameter  $A$  is fixed by the measured  $V_{cb}$  to be<sup>25</sup>  $1.0 \pm 0.1$ . The parameters  $\rho$  and  $\eta$  are subject to the constraint<sup>25</sup>  $(\rho^2 + \eta^2)^{1/2} = 0.46 \pm 0.23$  for  $|V_{ub}/V_{cb}| = 0.10 \pm 0.05$ . If  $m_t$  is of order 100 GeV,  $\rho$  is constrained to be negative<sup>25,26</sup> by the observed  $B_d^0 - \bar{B}_d^0$  mixing at ARGUS and CLEO, but a positive  $\rho$  is equally allowed if the top quark is very heavy,  $m_t \gtrsim 200$  GeV. For our purposes, we take

$$\rho = -0.40, \quad \eta = 0.25, \quad (2.24)$$

which correspond to  $|V_{ub}/V_{cb}| = 0.1$ , and are consistent with current experiments on  $B^0 - \bar{B}^0$  mixing and  $\epsilon'/\epsilon$ .

Note that in order to obtain the correct  $CP$  noninvariance those dropped ellipsis terms in Eq. (2.23) must be included. Otherwise serious mistakes can be made, e.g., charm quark would not contribute to  $CP$  noninvariance in  $K$  decays, and Gilman and Wise would not have discovered the  $\Sigma'$  effect from the KM matrix. It is therefore better to use the precise parametrization of Eq. (2.22).

tribution of the longitudinal and transverse transitions to the decay rate are well explained within the framework of the  $1/N_c$  factorization approach in conjunction with the  $VP$  form factors given by Eq. (2.21). The detailed analysis will be presented elsewhere.

Before proceeding we wish to stress that although the form factors of BSW are employed in Eqs. (2.18) and (2.21), the present analysis is different from that of BSW in one crucial respect: penguin graphs, which play an essential role in rare  $B$  decays, were not taken into consideration by BSW.

### B. KM matrix elements

A convenient parametrization of the quark mixing matrix is that of Chau and Keung, which has been adopted by the Particle Data Group,<sup>23a</sup>

## III. QUARK-DIAGRAM AMPLITUDES

Before embarking on the theoretical calculation, we shall express all charmless  $B^\pm$  decays in terms of six distinct quark diagrams (see Fig. 1) (Refs. 27 and 14):  $\mathcal{A}$ , the external  $W$ -emission diagram;  $\mathcal{B}$ , the internal  $W$ -emission diagram;  $\mathcal{C}$ , the  $W$ -exchange diagram;  $\mathcal{D}$ , the  $W$ -annihilation diagram;  $\mathcal{E}$ , the horizontal  $W$ -loop diagram;  $\mathcal{F}$ , the vertical  $W$ -loop diagram. (The one-gluon-exchange approximation of the  $\mathcal{E}$  graph is the so-called "penguin diagram.") These quark diagrams are classified according to the topology of weak interactions with all QCD strong-interaction effects included. The quark-diagram amplitudes for all charmless exclusive two-body modes of  $B$  mesons without SU(3) breaking and final-state interactions are listed in Tables II–VII. For final states involving  $\eta$  or  $\eta'$ , we apply the wave function given by Eq. (B6).

The use of the quark-diagram scheme enables us to gain some salient features of rare  $B$  decays before performing realistic calculations. A quick scan over Tables II–VII clearly shows that the horizontal  $W$ -loop diagram contributes to all exclusive charmless  $B$  decays except for  $B_u^+ \rightarrow \pi^+ \pi^0, \rho^+ \rho^0, a_1^+ a_1^0$  in which  $W$ -loop contributions are canceled out. It is interesting to note that only the vertical  $W$ -loop diagram contributes to  $B_d^0 \rightarrow \phi \eta, \phi \eta', \phi \phi$ .

On a close scrutiny, we see that rare charged  $B$  decays can be classified into three different categories.

(i) Decay modes primarily dominated by the horizontal  $W$ -loop diagrams. There exist many such examples:  $B_u^+ \rightarrow K^0 \pi^+, K^+ \bar{K}^0, K^+ \phi, \dots$ ;  $B_d^0 \rightarrow K^0 \pi^0, K^0 \omega, \dots$ . Moreover, as pointed out in the Introduction and Ref. 14, there are some neutral  $B$  decay modes which occur solely via the  $W$ -loop diagrams, e.g.,  $B_d^0 \rightarrow \phi K^0$ ,  $B_s^0 \rightarrow \phi \bar{K}^0$ ,  $B_{d,s}^0 \rightarrow \phi \phi, K^0 \bar{K}^0, \dots$ .

TABLE II. Quark-diagram amplitudes, branching ratios for charmless exclusive  $B \rightarrow PP$  decays. The underlined  $W$ -loop amplitudes refers to the graph with  $\bar{s}s$  in between. Some decay modes have two different timelike penguin diagrams due to the existence of two inbetween quark-antiquark states, namely,  $\bar{u}u$  and  $\bar{d}d$ ; experimental limits are taken from Refs. 28–30. Owing to the large uncertain factor of  $m_b^2/(m_b + m_u)(m_d - m_u)$ , the branching ratios (BR's) of  $B_u^+ \rightarrow \pi^+ \eta$  and  $\pi^+ \eta'$  are listed in the table without including the contributions from spacelike penguin diagrams.

Reaction	Amplitude	$(Br)_{\text{theory}}$	Expt. limits from	
			ARGUS	CLEO
$B_d^0 \rightarrow K^+ \pi^-$	$V_{ts} V_{ub}^*$	$(\mathcal{A} + \mathcal{E}_{u-c})$	$1.7 \times 10^{-5}$	$1.8 \times 10^{-4}$
$\rightarrow K^0 \bar{K}^0$	$V_{ud} V_{ub}^*$	$(\mathcal{E}_{u-c} + \mathcal{F}_{u-c})$	$1.2 \times 10^{-6}$	
$\rightarrow K^0 \pi^0$	$V_{ts} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{B} - \mathcal{E}_{u-c})$	$6.0 \times 10^{-6}$	
$\rightarrow K^0 \eta$	$V_{ts} V_{ub}^* \frac{1}{\sqrt{3}}$	$(\mathcal{B} + \underline{\mathcal{E}}_{u-c} - \mathcal{E}_{u-c})$	$1.7 \times 10^{-6}$	
$\rightarrow K^0 \eta'$	$V_{ts} V_{ub}^* \frac{1}{\sqrt{6}}$	$(\mathcal{B} + \underline{\mathcal{E}}_{u-c} + 2\mathcal{E}_{u-c})$	$2.9 \times 10^{-5}$	
$\rightarrow \pi^+ \pi^-$	$V_{ud} V_{ub}^*$	$(\mathcal{A} + \mathcal{E} + \mathcal{E}_{u-c} + \mathcal{F}_{u-c})$	$1.3 \times 10^{-5}$	$0.9 \times 10^{-4}$
$\rightarrow \pi^0 \pi^0$	$V_{ud} V_{ub}^* \frac{1}{2}$	$(-\mathcal{B} + \mathcal{E} + \mathcal{E}_{u-c} + \mathcal{F}_{u-c})$	$1.0 \times 10^{-6}$	
$\rightarrow \pi^0 \eta$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{6}}$	$(\underline{\mathcal{B}} - \mathcal{B} + \underline{\mathcal{E}} - \mathcal{E} - \underline{\mathcal{E}}_{u-c} - \mathcal{E}_{u-c})$	$4.1 \times 10^{-6}$	
$\rightarrow \pi^0 \eta'$	$V_{ud} V_{ub}^* \frac{1}{2\sqrt{3}}$	$(\underline{\mathcal{B}} - \mathcal{B} + \underline{\mathcal{E}} + \mathcal{E} - \underline{\mathcal{E}}_{u-c} - \mathcal{E}_{u-c})$	$1.3 \times 10^{-5}$	
$\rightarrow \eta \eta$	$V_{ud} V_{ub}^* \frac{1}{3}$	$(\mathcal{B} + \mathcal{E} + \mathcal{E}_{u-c} + \mathcal{F}_{u-c})$	$1.4 \times 10^{-5}$	
$\rightarrow \eta \eta'$	$V_{ud} V_{ub}^* \frac{1}{3\sqrt{2}}$	$(\mathcal{B} + \underline{\mathcal{B}} + \mathcal{E} + \underline{\mathcal{E}} + \mathcal{E}_{u-c} + \underline{\mathcal{E}}_{u-c})$	$4.2 \times 10^{-5}$	
$\rightarrow \eta' \eta'$	$V_{ud} V_{ub}^* \frac{1}{6}$	$(\mathcal{B} + \mathcal{E} + \mathcal{E}_{u-c} + 6\mathcal{F}_{u-c})$	$3.0 \times 10^{-5}$	
$B_u^+ \rightarrow K^+ \pi^0$	$V_{ts} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} + \mathcal{D} + \mathcal{E}_{u-c})$	$8.1 \times 10^{-6}$	
$\rightarrow K^0 \pi^+$	$V_{ts} V_{ub}^*$	$(\mathcal{D} + \mathcal{E}_{u-c})$	$1.2 \times 10^{-5}$	$9.0 \times 10^{-5}$
$\rightarrow K^+ \bar{K}^0$	$V_{ud} V_{ub}^*$	$(\mathcal{D} + \mathcal{E}_{u-c})$	$1.0 \times 10^{-6}$	
$\rightarrow K^+ \eta$	$V_{ts} V_{ub}^* \frac{1}{\sqrt{3}}$	$(\mathcal{A} + \mathcal{B} + \mathcal{D} - \underline{\mathcal{D}} + \mathcal{E}_{u-c} - \underline{\mathcal{E}}_{u-c})$	$4.5 \times 10^{-6}$	
$\rightarrow K^+ \eta'$	$V_{ts} V_{ub}^* \frac{1}{\sqrt{6}}$	$(\mathcal{A} + \mathcal{B} + \mathcal{D} - 2\underline{\mathcal{D}} + \mathcal{E}_{u-c} + 2\underline{\mathcal{E}}_{u-c})$	$3.6 \times 10^{-5}$	
$\rightarrow \pi^+ \pi^0$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B})$	$6.0 \times 10^{-6}$	$2.4 \times 10^{-4}$
$\rightarrow \pi^+ \eta$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{3}}$	$(\mathcal{A} + \mathcal{B} + 2\underline{\mathcal{D}} + \mathcal{E}_{u-c} + \underline{\mathcal{E}}_{u-c})$	$5.6 \times 10^{-6}$	$7.0 \times 10^{-4}$
$\rightarrow \pi^+ \eta'$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{6}}$	$(\mathcal{A} + \mathcal{B} + 2\underline{\mathcal{D}} + \mathcal{E}_{u-c} + \underline{\mathcal{E}}_{u-c})$	$1.5 \times 10^{-5}$	

TABLE III. Same as Table II except for  $B \rightarrow VP$  decays. The primed amplitudes denote the case when the vector meson comes directly from the  $b$  decay. (Note that we use the same generic notation  $\mathcal{A}, \mathcal{B}, \mathcal{C}, \mathcal{D}, \mathcal{E}, \mathcal{F}$ , but they are not related to those for  $B \rightarrow PP$ . Similar comments apply to Tables IV–VII.)

Reaction	Amplitude	$(\text{Br})_{\text{theory}}$	ARGUS	Expt. limits from CLEO
$B_d^0 \rightarrow K^{*0} K^0$	$V_{ud} V_{ub}^*$	$(\mathcal{E}'_{u-c} + \mathcal{F}'_{u-c})$	$3.9 \times 10^{-8}$	
$\rightarrow K^0 \bar{K}^{*0}$	$V_{ud} V_{ub}^*$	$(\mathcal{E}'_{u-c} + \mathcal{F}'_{u-c})$	$1.0 \times 10^{-6}$	
$\rightarrow K^+ \rho^-$	$V_{us} V_{ub}^*$	$(\mathcal{A}' + \mathcal{E}'_{u-c})$	$1.9 \times 10^{-6}$	
$\rightarrow K^0 \rho^0$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{B}' - \mathcal{E}'_{u-c})$	$3.5 \times 10^{-7}$	$1.6 \times 10^{-4}$
$\rightarrow K^{*+} \pi^-$	$V_{us} V_{ub}^*$	$(\mathcal{A} + \mathcal{E}'_{u-c})$	$1.9 \times 10^{-5}$	$5.8 \times 10^{-4}$
$\rightarrow K^{*0} \pi^0$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{B} - \mathcal{E}'_{u-c})$	$4.7 \times 10^{-6}$	$4.4 \times 10^{-4}$
$\rightarrow K^0 \phi$	$V_{us} V_{ub}^*$	$(\mathcal{E}'_{u-c})$	$8.9 \times 10^{-6}$	
$\rightarrow K^0 \omega$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{B}' + \mathcal{E}'_{u-c})$	$1.1 \times 10^{-7}$	
$\rightarrow K^{*0} \eta$	$V_{us} V_{ub}^* \frac{1}{\sqrt{3}}$	$(\mathcal{B} - \mathcal{E}'_{u-c} + \mathcal{E}'_{u-c})$	$4.5 \times 10^{-6}$	
$\rightarrow K^{*0} \eta'$	$V_{us} V_{ub}^* \frac{1}{\sqrt{6}}$	$(\mathcal{B} + 2\mathcal{E}'_{u-c} + \mathcal{E}'_{u-c})$	$3.4 \times 10^{-6}$	
$\rightarrow \rho^+ \pi^-$	$V_{ud} V_{ub}^*$	$(\mathcal{A} + \mathcal{E}' + \mathcal{E}'_{u-c} + \mathcal{F}'_{u-c})$	$3.9 \times 10^{-5}$	$5.2 \times 10^{-4}$
$\rightarrow \rho^- \pi^+$	$V_{ud} V_{ub}^*$	$(\mathcal{A}' + \mathcal{E}' + \mathcal{E}'_{u-c} + \mathcal{F}'_{u-c})$	$1.1 \times 10^{-5}$	
$\rightarrow \rho^0 \pi^0$	$V_{ud} V_{ub}^* \frac{1}{2}$	$(-\mathcal{B} - \mathcal{B}' + \mathcal{E}' + \mathcal{E}'_{u-c} + \mathcal{E}'_{u-c})$	$2.3 \times 10^{-6}$	$4.0 \times 10^{-4}$
$\rightarrow \rho^0 \eta$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{6}}$	$(\mathcal{B}' - \mathcal{B} + \mathcal{E}' + \mathcal{E}'_{u-c} - \mathcal{E}'_{u-c})$	$7.3 \times 10^{-6}$	
$\rightarrow \rho^0 \eta'$	$V_{ud} V_{ub}^* \frac{1}{2\sqrt{3}}$	$(\mathcal{B}' - \mathcal{B} + \mathcal{E}' + \mathcal{E}'_{u-c} - \mathcal{E}'_{u-c})$	$1.2 \times 10^{-5}$	
$\rightarrow \omega \pi^0$	$V_{ud} V_{ub}^* \frac{1}{2}$	$(\mathcal{B} - \mathcal{B}' + \mathcal{E}' + \mathcal{E}'_{u-c} - \mathcal{E}'_{u-c})$	$1.2 \times 10^{-5}$	$4.6 \times 10^{-4}$
$\rightarrow \omega \eta$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{6}}$	$(\mathcal{B}' + \mathcal{B} + \mathcal{E}' + \mathcal{E}'_{u-c} + \mathcal{E}'_{u-c})$	$4.7 \times 10^{-6}$	
$\rightarrow \omega \eta'$	$V_{ud} V_{ub}^* \frac{1}{2\sqrt{3}}$	$(\mathcal{B}' + \mathcal{B} + \mathcal{E}' + \mathcal{E}'_{u-c} + \mathcal{E}'_{u-c})$	$1.5 \times 10^{-5}$	
$\rightarrow \phi \eta$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{3}}$	$(-\mathcal{F}'_{u-c})$		
$\rightarrow \phi \eta'$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{6}}$	$(2\mathcal{F}'_{u-c})$		
$B_u^+ \rightarrow K^+ \rho^0$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A}' + \mathcal{B}' + \mathcal{D}' + \mathcal{E}'_{u-c})$	$6.0 \times 10^{-7}$	$1.8 \times 10^{-4}$
$\rightarrow K^{*+} \pi^0$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} + \mathcal{D} + \mathcal{E}'_{u-c})$	$8.9 \times 10^{-6}$	
$\rightarrow K^0 \rho^+$	$V_{us} V_{ub}^*$	$(\mathcal{D}' + \mathcal{E}'_{u-c})$	$3.4 \times 10^{-7}$	$1.3 \times 10^{-4}$
$\rightarrow K^{*0} \pi^+$	$V_{us} V_{ub}^*$	$(\mathcal{D} + \mathcal{E}'_{u-c})$	$8.8 \times 10^{-6}$	
$\rightarrow K^+ \phi$	$V_{us} V_{ub}^*$	$(\mathcal{D} + \mathcal{E}'_{u-c})$	$1.4 \times 10^{-5}$	$1.7 \times 10^{-4}$
$\rightarrow K^+ \omega$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A}' + \mathcal{B}' + \mathcal{D}' + \mathcal{E}'_{u-c})$	$1.4 \times 10^{-6}$	$8.0 \times 10^{-5}$

TABLE III. (Continued).

Reaction	Amplitude		$(\text{Br})_{\text{theory}}$	Expt. limits from ARGUS	Expt. limits from CLEO
$\rightarrow \rho^+ \pi^0$	$V_{ud} V_{ub}^* / \sqrt{2}$	$(\mathcal{A} + \mathcal{B} - \mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$1.7 \times 10^{-5}$	$5.5 \times 10^{-4}$	
$\rightarrow \rho^0 \pi^+$	$V_{ud} V_{ub}^* / \sqrt{2}$	$(\mathcal{A}' + \mathcal{B}' + \mathcal{E}_{u-c} - \mathcal{E}'_{u-c})$	$3.7 \times 10^{-6}$	$1.5 \times 10^{-4}$	$1.5 \times 10^{-4}$
$\rightarrow \omega \pi^+$	$V_{ud} V_{ub}^* / \sqrt{2}$	$(\mathcal{A}' + \mathcal{B}' + 2\mathcal{D}' + \mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$4.7 \times 10^{-6}$	$4.0 \times 10^{-4}$	
$\rightarrow K^{*+} \bar{K}^0$	$V_{ud} V_{ub}^*$	$(\mathcal{D}' + \mathcal{E}_{u-c})$	$3.9 \times 10^{-8}$		
$\rightarrow K^+ \bar{K}^{*0}$	$V_{ud} V_{ub}^*$	$(\mathcal{D} + \mathcal{E}'_{u-c})$	$1.0 \times 10^{-6}$		
$\rightarrow K^{*+} \eta$	$V_{us} V_{ub}^* / \sqrt{3}$	$(\mathcal{A} + \mathcal{B} - \mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$1.2 \times 10^{-5}$		
$\rightarrow K^{*+} \eta'$	$V_{us} V_{ub}^* / \sqrt{6}$	$(\mathcal{A} + \mathcal{B} + 3\mathcal{D} + 2\mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$8.1 \times 10^{-6}$		
$\rightarrow \rho^+ \eta$	$V_{ud} V_{ub}^* / \sqrt{3}$	$(\mathcal{A} + \mathcal{B} + 2\mathcal{D} + \mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$4.3 \times 10^{-5}$		
$\rightarrow \rho^+ \eta'$	$V_{ud} V_{ub}^* / \sqrt{6}$	$(\mathcal{A} + \mathcal{B} + 2\mathcal{D} + \mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$5.3 \times 10^{-5}$		

(ii) Decay modes in which both the spectator and  $W$ -loop (penguin) contributions are comparable, e.g.,  $B_u^+ \rightarrow K^+ \pi^0, K^{*+} \pi^0, K^+ \rho^0, K^+ \omega, \dots$ ;  $B_d^0 \rightarrow K^+ \pi^-, K^+ \rho^-, \dots$ . In addition to the  $W$ -loop diagram, those channels can also proceed through the external  $W$ -emission mechanism  $\mathcal{A}$ . Although the loop-induced amplitude  $\mathcal{E}$  is typically suppressed by a factor of  $\alpha_s/4\pi$ , it does not suffer from Cabibbo double suppression encountered by the spectator diagram. As a result of this tradeoff, one has  $O(V_{us} V_{ub}^* \mathcal{A}) \sim O(V_{cs} V_{cb}^* \mathcal{E})$  in general.

(iii) Decay modes dominated by the spectator diagram. Decays such as  $B_u^+ \rightarrow \pi^+ \omega, \rho^+ \pi^0, \rho^0 \pi^+, \dots$ ,  $B_d^0 \rightarrow \pi^+ \pi^-, \rho^+ \pi^-, \pi^+ \rho^-, \eta \eta, \pi^0 \omega, \dots$ , fall into this category. The KM matrix elements  $V_{ud} V_{ub}^*$  associated with the spectator amplitude  $\mathcal{A}$  are of the same order of magnitude as  $V_{cd} V_{cb}^*$  and  $V_{td} V_{tb}^*$ . Therefore, one will generally have  $|V_{ud} V_{ub}^* \mathcal{A}| \gg |V_{cd} V_{cb}^* \mathcal{E}|$  in this case.

We see that  $W$ -loop diagrams play a crucial role in rare  $B$  decays. The quark-diagram scheme, in addition to being helpful in organizing our theoretical calculations, can be used to analyze experimental data directly, as we are going to discuss shortly. When enough measurements are made on charmless  $B$  decays, we can find out the values of each quark-diagram amplitude from experiment and compare to our theoretical results given here, especially checking whether there are any final-state interactions or whether the  $W$ -annihilation and the  $W$ -exchange diagrams can be ignored. Note that some of decays are purely from  $W$ -loop diagrams<sup>14</sup> and hence their comparison with experiments can give direct values of the amplitudes which can then be used to compare with the penguin calculations given here. Also, note that  $B^+ \rightarrow \pi^+ \pi^0, \rho^+ \rho^0$  can happen only via nonvanishing  $|V_{ub}|$ . Thus their observation will provide an unambiguous proof of  $|V_{ub}| \neq 0$ .

The horizontal  $W$ -loop diagram  $\mathcal{E}$  can be determined directly from the decay modes  $B_d^0 \rightarrow K^0 \phi$  and  $K^{*0} \phi$ , while the vertical  $W$ -loop diagram  $\mathcal{F}$  can be extracted from  $B_d^0 \rightarrow \phi \phi, \phi \eta$ , and  $\phi \eta'$ . The relative sign of  $\mathcal{E}$  and  $\mathcal{F}$  is fixed by the measurement of  $B_d^0 \rightarrow K^0 \bar{K}^0, K^0 \bar{K}^{*0}, \dots$ .

In the absence of final-state interactions, the internal  $W$ -emission amplitude  $\mathcal{B}$  is measured from  $B_d^0 \rightarrow K^0 \pi^0, K^0 \rho^0, K^{*0} \pi^0, K^0 \omega, \dots$ . By the same token, we can find the magnitude of  $\mathcal{A}$  from  $B_d^0 \rightarrow K^+ \pi^-, K^+ \rho^-, \dots$ . The decays  $B_u^+ \rightarrow \pi^+ \pi^0, \rho^+ \rho^0$  can then be utilized to determine the relative sign of  $\mathcal{A}$  and  $\mathcal{B}$ . As we stressed before, these two channels depend solely on  $V_{ud} V_{ub}^*$ : that is, they can occur only via nonvanishing  $|V_{ub}|$ .

Once the horizontal  $W$ -loop amplitude is known, it is straightforward to extract the information on  $W$ -annihilation  $\mathcal{D}$  from  $B_u^+ \rightarrow K^0 \pi^+, K^+ \bar{K}^0, K^0 \rho^+, K^{*0} \pi^+, K^+ \phi$ . The determination of  $W$ -exchange  $\mathcal{C}$  is somewhat indirect: it requires the knowledge of  $\mathcal{A}$  (or  $\mathcal{B}$ ),  $\mathcal{E}$  and  $\mathcal{F}$  in the decays  $B_d^0 \rightarrow \pi^+ \pi^-, \pi^0 \pi^0$ . Then we can see if  $\mathcal{C}$  and  $\mathcal{D}$  are really important.

We have demonstrated above the use of this scheme for determining the quark-diagram amplitudes. In the following we present a few explicit examples of model calculations for illustrative purposes.



TABLE IV. Same as Table II, for  $B \rightarrow VV$  decays.

Reaction	Amplitude	$(\text{Br})_{\text{theory}}$	ARGUS	Expt. limits from CLEO
$B_d^0 \rightarrow K^{*+} \rho^-$	$V_{us} V_{ub}^*$	$(\mathcal{A} + \mathcal{E}_{u-c})$	$1.8 \times 10^{-5}$	
$\rightarrow K^{*0} \bar{K}^{*0}$	$V_{ud} V_{ub}^*$	$(\mathcal{E}_{u-c} + \mathcal{F}_{u-c})$	$9.6 \times 10^{-7}$	
$\rightarrow K^{*0} \rho^0$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{B} - \mathcal{E}_{u-c})$	$4.7 \times 10^{-6}$	$4.6 \times 10^{-4}$
$\rightarrow K^{*0} \phi$	$V_{us} V_{ub}^*$	$(\mathcal{E}_{u-c})$	$9.0 \times 10^{-6}$	$3.2 \times 10^{-4}$
$\rightarrow K^{*0} \omega$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{B} + \mathcal{E}_{u-c})$	$8.1 \times 10^{-6}$	$4.4 \times 10^{-4}$
$\rightarrow \rho^+ \rho^-$	$V_{ud} V_{ub}^*$	$(\mathcal{A} + \mathcal{C} + \mathcal{E}_{u-c} + \mathcal{F}_{u-c})$	$3.4 \times 10^{-5}$	$2.2 \times 10^{-3}$
$\rightarrow \rho^0 \rho^0$	$V_{ud} V_{ub}^* \frac{1}{2}$	$(-\mathcal{B} + \mathcal{C} + \mathcal{E}_{u-c} + \mathcal{F}_{u-c})$	$1.6 \times 10^{-6}$	$2.8 \times 10^{-4}$
$\rightarrow \rho^0 \omega$	$V_{ud} V_{ub}^* \frac{1}{2}$	$(-\mathcal{B} + \mathcal{B}' - \mathcal{E}_{u-c} - \mathcal{E}'_{u-c})$	$3.9 \times 10^{-7}$	
$\rightarrow \omega \omega$	$V_{ud} V_{ub}^* \frac{1}{2}$	$(\mathcal{B} + \mathcal{C} + \mathcal{E}_{u-c} + \mathcal{F}_{u-c})$	$2.8 \times 10^{-6}$	
$\rightarrow \phi \phi$	$V_{ud} V_{ub}^*$	$(\mathcal{F}_{u-c})$		
$B_u^+ \rightarrow K^{*+} \rho^0$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} + \mathcal{D} + \mathcal{E}_{u-c})$	$7.6 \times 10^{-6}$	
$\rightarrow K^{*0} \rho^+$	$V_{us} V_{ub}^*$	$(\mathcal{D} + \mathcal{E}_{u-c})$	$8.0 \times 10^{-6}$	$9.0 \times 10^{-4}$
$\rightarrow K^{*+} \phi$	$V_{us} V_{ub}^*$	$(\mathcal{D} + \mathcal{E}_{u-c})$	$8.2 \times 10^{-6}$	
$\rightarrow K^{*+} \omega$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} + \mathcal{D} + \mathcal{E}_{u-c})$	$1.5 \times 10^{-5}$	$1.3 \times 10^{-4}$
$\rightarrow K^{*+} \bar{K}^{*0}$	$V_{ud} V_{ub}^*$	$(\mathcal{D} + \mathcal{E}_{u-c})$	$1.0 \times 10^{-6}$	
$\rightarrow \rho^+ \rho^0$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B})$	$1.4 \times 10^{-5}$	$1.0 \times 10^{-3}$
$\rightarrow \rho^+ \omega$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} + 2\mathcal{D} + \mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$2.5 \times 10^{-5}$	

TABLE V. Same as Table II, for  $B \rightarrow PA$  decays.

Reaction	Amplitude	$(\text{Br})^{\text{theory}}$	ARGUS	Expt. limits from CLEO
$B_d^0 \rightarrow K^+ a_1^-$	$V_{us} V_{ub}^*$	$(\mathcal{A} + \mathcal{E}_{u-c})$	$(\mathcal{E}_{l-c})$	
$\rightarrow K^0 a_1^0$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{B} + \mathcal{E}_{u-c})$	$(\mathcal{E}_{l-c})$	
$\rightarrow \pi^+ a_1^-$	$V_{ud} V_{ub}^*$	$(\mathcal{A} + \mathcal{C} + \mathcal{E}_{u-c} + \underline{\mathcal{E}}_{u-c} + \mathcal{F}_{u-c})$	$(\mathcal{E}_{l-c} + \underline{\mathcal{E}}_{l-c} + \mathcal{F}_{l-c})$	$5.7 \times 10^{-4}$
$\rightarrow \pi^- a_1^+$	$V_{ud} V_{ub}^*$	$(\mathcal{A} + \mathcal{C} + \mathcal{E}_{u-c} + \underline{\mathcal{E}}_{u-c} + \mathcal{F}_{u-c})$	$(\mathcal{E}_{l-c} + \underline{\mathcal{E}}_{l-c} + \mathcal{F}_{l-c})$	$5.7 \times 10^{-4}$
$\rightarrow \pi^0 a_1^0$	$V_{ud} V_{ub}^* \frac{1}{2}$	$(-\mathcal{B} - \mathcal{B} + \mathcal{C} + \mathcal{E}_{u-c} + \underline{\mathcal{E}}_{u-c} + \mathcal{F}_{u-c})$	$(\mathcal{E}_{l-c} + \underline{\mathcal{E}}_{l-c} + \mathcal{F}_{l-c})$	
$\rightarrow \eta a_1^0$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{6}}$	$(-\mathcal{B} + \mathcal{B} + \mathcal{C} - \mathcal{E}_{u-c} - \underline{\mathcal{E}}_{u-c})$	$(-\mathcal{E}_{l-c} - \underline{\mathcal{E}}_{l-c})$	
$\rightarrow \eta' a_1^0$	$V_{ud} V_{ub}^* \frac{1}{2\sqrt{3}}$	$(-\mathcal{B} + \mathcal{B} + \mathcal{C} - \mathcal{E}_{u-c} - \underline{\mathcal{E}}_{u-c})$	$(-\mathcal{E}_{l-c} - \underline{\mathcal{E}}_{l-c})$	
$B_u^+ \rightarrow \pi^0 a_1^+$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} - \mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$(-\mathcal{E}_{l-c} + \mathcal{E}'_{l-c})$	$1.7 \times 10^{-3}$
$\rightarrow \pi^+ a_1^0$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A}' + \mathcal{B}' + \mathcal{E}_{u-c} - \mathcal{E}'_{u-c})$	$(\mathcal{E}_{l-c} - \mathcal{E}'_{l-c})$	$9.0 \times 10^{-4}$
$\rightarrow K^0 a_1^+$	$V_{us} V_{ub}^*$	$(\mathcal{D} + \mathcal{E}_{u-c})$	$(\mathcal{E}_{l-c})$	
$\rightarrow K^+ a_1^0$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} + \mathcal{D} + \mathcal{E}_{u-c})$	$(\mathcal{E}_{l-c})$	
$\rightarrow \eta a_1^+$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{3}}$	$(\mathcal{A} + \mathcal{B} + 2\mathcal{D} + \mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$(\mathcal{E}_{l-c} + \mathcal{E}'_{l-c})$	
$\rightarrow \eta' a_1^+$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{6}}$	$(\mathcal{A} + \mathcal{B} + 2\mathcal{D} + \mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$(\mathcal{E}_{l-c} + \mathcal{E}'_{l-c})$	

TABLE VI. Same as Table II, for  $B \rightarrow VA$  decays.

Reaction	Amplitude	(Br) <sub>theory</sub>	ARGUS	Expt. limits from CLEO
$B_d^0 \rightarrow K^{*+} a_1^-$	$V_{us} V_{ub}^*$	$(\mathcal{A} + \mathcal{E}_{u-c})$	$+ V_{ts} V_{tb}^*$	$(\mathcal{E}_{t-c})$
$\rightarrow K^{*0} a_1^0$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{B} + \mathcal{E}_{u-c})$	$+ V_{ts} V_{tb}^* \frac{1}{\sqrt{2}}$	$(\mathcal{E}_{t-c})$
$\rightarrow \rho^+ a_1^-$	$V_{ud} V_{ub}^*$	$(\mathcal{A}' + \mathcal{C} + \mathcal{E}_{u-c} + \mathcal{E}'_{u-c} + \mathcal{F}_{u-c})$	$+ V_{td} V_{tb}^*$	$(\mathcal{E}_{t-c} + \mathcal{E}'_{t-c} + \mathcal{F}_{t-c})$
$\rightarrow \rho^- a_1^+$	$V_{ud} V_{ub}^*$	$(\mathcal{A} + \mathcal{C}' + \mathcal{E}_{u-c} + \mathcal{E}'_{u-c} + \mathcal{F}_{u-c})$	$+ V_{td} V_{tb}^*$	$(\mathcal{E}_{t-c} + \mathcal{E}'_{t-c} + \mathcal{F}_{t-c})$
$\rightarrow \rho^0 a_1^0$	$V_{ud} V_{ub}^* \frac{1}{2}$	$(-\mathcal{B}' - \mathcal{B} + \mathcal{C} + \mathcal{E}_{u-c} + \mathcal{E}'_{u-c} + \mathcal{F}_{u-c})$	$+ V_{td} V_{tb}^* \frac{1}{2}$	$(\mathcal{E}_{t-c} + \mathcal{E}'_{t-c} + \mathcal{F}_{t-c})$
$\rightarrow \omega a_1^0$	$V_{ud} V_{ub}^* \frac{1}{2}$	$(-\mathcal{B}' + \mathcal{B} + \mathcal{C} - \mathcal{E}_{u-c} - \mathcal{E}'_{u-c})$	$+ V_{td} V_{tb}^* \frac{1}{2}$	$(-\mathcal{E}_{t-c} - \mathcal{E}'_{t-c})$
$B_u^+ \rightarrow \rho^0 a_1^+$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} + \mathcal{E}_{u-c} - \mathcal{E}'_{u-c})$	$+ V_{td} V_{tb}^* \frac{1}{\sqrt{2}}$	$(\mathcal{E}_{t-c} - \mathcal{E}'_{t-c})$
$\rightarrow \rho^+ a_1^0$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} + \mathcal{E}_{u-c})$	$+ V_{td} V_{tb}^* \frac{1}{\sqrt{2}}$	$(\mathcal{E}_{t-c})$
$\rightarrow \omega a_1^+$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} + 2\mathcal{D} + \mathcal{E}_{u-c} + \mathcal{E}'_{u-c})$	$+ V_{td} V_{tb}^* \frac{1}{\sqrt{2}}$	$(\mathcal{E}_{t-c} + \mathcal{E}'_{t-c})$
$\rightarrow K^{*0} a_1^+$	$V_{us} V_{ub}^*$	$(\mathcal{D} + \mathcal{E}_{u-c})$	$+ V_{ts} V_{tb}^*$	$(\mathcal{E}_{t-c})$
$\rightarrow K^{*+} a_1^0$	$V_{us} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B} + \mathcal{D} + \mathcal{E}_{u-c})$	$+ V_{ts} V_{tb}^* \frac{1}{\sqrt{2}}$	$(\mathcal{E}_{t-c})$

TABLE VII. Same as Table II, for  $B \rightarrow AA$  decays.

Reaction	Amplitude	(Br) <sub>theory</sub>	ARGUS	Expt. limits from CLEO
$B_d^0 \rightarrow a_1^0 a_1^0$	$V_{ud} V_{ub}^* \frac{1}{2}$	$(-\mathcal{B} + \mathcal{C} + \mathcal{E}_{u-c} + \mathcal{F}_{u-c})$	$+ V_{td} V_{tb}^* \frac{1}{2}$	$(\mathcal{E}_{t-c} + \mathcal{F}_{t-c})$
$\rightarrow a_1^+ a_1^-$	$V_{ud} V_{ub}^*$	$(\mathcal{A} + \mathcal{C} + \mathcal{E}_{u-c} + \mathcal{F}_{u-c})$	$+ V_{td} V_{tb}^*$	$(\mathcal{E}_{t-c} + \mathcal{F}_{t-c})$
$B_u^+ \rightarrow a_1^0 a_1^+$	$V_{ud} V_{ub}^* \frac{1}{\sqrt{2}}$	$(\mathcal{A} + \mathcal{B})$		

#### IV. MODEL CALCULATIONS

##### A. The decay $B \rightarrow PP$

A simple and widely employed approach for evaluating the nonleptonic weak decay amplitude of mesons is based on the valence-quark assumption and vacuum-insertion approximation in which the matrix elements of two quark bilinear operators are saturated by the vacuum intermediate states in all possible ways.<sup>2</sup> This factorization method, when applied to heavy meson decay, fails to explain color-suppressed decay modes. This difficulty calls for the necessity of modification.<sup>2</sup> As explained in Sec. II B and Appendix A, we will treat the common QCD-corrected factor  $(2c_+ - c_-)/3$  for color-mismatched decay as a free parameter. A fit to data requires it be  $\approx -0.25$  [Eq. (A7)]. For  $(c_+ + c_-)/3$ , we take it to be the usual QCD-corrected value, namely,  $\approx 1.04$ .

As an example for  $B \rightarrow PP$  decay, we consider  $B_u^+ \rightarrow K^0 \pi^+$ . Its quark-diagram amplitude is given by

$$A(B_u^+ \rightarrow K^0 \pi^+) = \lambda_u (\mathcal{D} + \mathcal{E}_{u-c}) + \lambda_t (\mathcal{E}_{t-c}), \quad (4.1)$$

where  $\lambda_i \equiv V_{is} V_{ib}^*$ ,  $\mathcal{E}_{u-c} \equiv \mathcal{E}_u - \mathcal{E}_c$ ,  $\mathcal{E}_{t-c} \equiv \mathcal{E}_t - \mathcal{E}_c$ . The penguin amplitude consists of two contributions—one from the timelike penguin diagram and the other from the spacelike configuration:

$$\begin{aligned} \mathcal{E}_i &= \langle K^0 \pi^+ | H_{\text{peng}} | B_u^+ \rangle_i \\ &= \frac{G_F \alpha_s}{\sqrt{2} \pi} \left[ I_i^{\text{time}} \left[ 1 + 2 \frac{m_K^2}{(m_s + m_d)(m_b - m_d)} \right] F_t \right. \\ &\quad \left. + I_i^{\text{space}} \left[ 1 + 2 \frac{m_B^2}{(m_b + m_u)(m_s - m_u)} \right] F_s \right], \end{aligned} \quad (4.2)$$

with

$$F_t = \langle K^0 | (\bar{s}d) | 0 \rangle \langle \pi^+ | (\bar{d}b) | B_u^+ \rangle, \quad (4.3)$$

$$F_s = \langle K^0 \pi^+ | (\bar{s}u) | 0 \rangle \langle 0 | (\bar{u}b) | B_u^+ \rangle,$$

where  $(\bar{q}_1 q_2) = \bar{q}_1 \gamma_\mu (1 - \gamma_5) q_2$ , and uses of the equations of motion have been made

$$-i \partial^\mu (\bar{q}_1 \gamma_\mu \gamma_5 q_2) = (m_1 + m_2) \bar{q}_1 \gamma_5 q_2, \quad (4.4)$$

$$-i \partial^\mu (\bar{q}_1 \gamma_\mu q_2) = (m_1 - m_2) \bar{q}_1 q_2.$$

From Eqs. (2.17) and (2.19) it turns out

$$F_t = i f_K \frac{m_B - m_\pi}{m_B + m_\pi} [(m_B + m_\pi)^2 - m_K^2] f_+^{B\pi}(m_K^2), \quad (4.5)$$

$$F_s = -i f_{B_u} \frac{m_K - m_\pi}{m_K + m_\pi} [(m_K + m_\pi)^2 - m_B^2] f_+^a(m_B^2).$$

The annihilation diagram  $F_s$  is expected to be suppressed in the case of rare  $B$  decay for two arguments. First, the reaction  $b\bar{q} \rightarrow s\bar{q}$  ( $q = u, d, s$ ) is helicity suppressed.<sup>31</sup> Second, in the annihilation process we are considering a decay of a very heavy object into two very

light quarks. Since these two quarks are very energetic, it is difficult for them to have enough time to perform hadronization. Indeed, using  $f_+^{BK}(0) = 0.38$  and  $f_+^a(q^2) = i 16\pi \alpha_s f^2/q^2$  of Ref. 32 for the annihilation form factor, we find numerically

$$F_s = i 1.2 \times 10^{-2} F_t. \quad (4.6)$$

Upon a close look, Eq. (4.2) exhibits however an interesting feature: the annihilation contribution to the spacelike penguin diagram is enhanced by a factor of  $m_B^2/m_K^2$ . This means that the spacelike penguin amplitude is primarily absorptive and is not negligible *a priori*.

Choosing  $m_t = 100$  GeV, and  $|k^2| = m_B^2/2$ , it follows from Table I that

$$\begin{aligned} (I_t - I_c)_{\text{time}} &= 1.238 + i 0.383, \\ (I_t - I_c)_{\text{space}} &= 0.961, \\ (I_u - I_c)_{\text{time}} &= 0.264 - i 0.140, \\ (I_u - I_c)_{\text{space}} &= -0.113. \end{aligned} \quad (4.7)$$

This leads to

$$\begin{aligned} A(B_u^+ \rightarrow K^0 \pi^+) &= i \frac{G_F}{\sqrt{2}} [\lambda_u (1.24 + i 0.22) \\ &\quad + \lambda_t (5.83 + i 4.24)] \times 10^{-2} F_t, \end{aligned} \quad (4.8)$$

The decay rate is then given by

$$\Gamma(B_u^+ \rightarrow K^0 \pi^+) = \frac{p_c}{8\pi m_B^2} |A|^2, \quad (4.9)$$

where  $p_c$  is the c.m. momentum of the final-state particle

$$p_c = \frac{\{[m_B^2 - (m_{K^*} + m_\omega)^2][m_B^2 - (m_{K^*} - m_\omega)^2]\}^{1/2}}{2m_B}. \quad (4.10)$$

The branching ratio turns out to be

$$B(B_u^+ \rightarrow K^0 \pi^+) = 1.2 \times 10^{-5} \quad (4.11)$$

for  $\tau_B = 1.2 \times 10^{-12}$  sec. In Sec. IV E, we will discuss the dependence of the branching ratio on  $k^2$  and  $m_t$ .

##### B. The decay $B \rightarrow VP$

The example we wish to demonstrate is  $B_u^+ \rightarrow K^+ \rho^0$ , whose quark-diagram amplitude reads

$$\begin{aligned} A(B_u^+ \rightarrow K^+ \rho^0) &= \frac{1}{\sqrt{2}} \lambda_u (\mathcal{A}' + \mathcal{B}' + \mathcal{D}' + \mathcal{E}_{u-c}) \\ &\quad + \frac{1}{\sqrt{2}} \lambda_t (\mathcal{E}_{t-c}), \end{aligned} \quad (4.12)$$

where the primed amplitude denotes the case that the vector meson arises from the decay of the  $b$  quark. [We define  $\mathcal{D}'$  ( $\mathcal{D}$ ) for the  $W$ -annihilation amplitude if the vector meson comes from  $q_1$  ( $\bar{q}_2$ ).] In the vacuum-insertion approximation, one obtains

$$\begin{aligned}
\mathcal{A}' &= c_1 G_F \langle K^+ | (\bar{s}u) | 0 \rangle \langle \rho^0 | (\bar{u}b) | B_u^+ \rangle, \\
\mathcal{B}' &= c_2 G_F \langle \rho^0 | (\bar{u}u) | 0 \rangle \langle K^+ | (\bar{s}b) | B_u^+ \rangle, \\
\mathcal{D}' &= c_1 G_F \langle K^+ \rho^0 | (\bar{s}u) | 0 \rangle \langle 0 | (\bar{u}b) | B_u^+ \rangle;
\end{aligned} \tag{4.13}$$

and

$$\begin{aligned}
\mathcal{E}_i &= \frac{\alpha_s}{\pi} \frac{4}{9} \left[ I_i^{\text{time}} \left[ 1 - 2 \frac{m_K^2}{(m_s + m_u)(m_b + m_u)} \right] \tilde{\mathcal{A}}' \right. \\
&\quad \left. + I_i^{\text{space}} \left[ 1 - 2 \frac{m_B^2}{(m_s + m_u)(m_b + m_u)} \right] \tilde{\mathcal{D}}' \right],
\end{aligned} \tag{4.14}$$

where  $c_1 = (2c_+ + c_-)/3$ ,  $c_2 = (2c_+ - c_-)/3$ ,  $\mathcal{A}' = c_1 \tilde{\mathcal{A}}'$ ,  $\mathcal{D}' = c_1 \tilde{\mathcal{D}}'$ . We notice that the interference between  $(V-A)(V+A)$  and  $(S+P)(S-P)$  amplitudes in the penguin diagram of  $B \rightarrow VP$  is destructive, while such interference in  $B \rightarrow PP$  is constructive.

Applying the form factors given in Sec. II B leads to

$$\begin{aligned}
\mathcal{A}' &= c_1 G_F f_K [(m_B + m_\rho) A_1^{B\rho}(m_K^2) \\
&\quad - (m_B - m_\rho) A_2^{B\rho}(m_K^2)] (\epsilon \cdot p_B), \\
\mathcal{B}' &= 2c_2 G_F m_\rho^2 f_\rho^+ f_+^{BK}(m_\rho^2) (\epsilon \cdot p_B), \\
\mathcal{D}' &= c_1 G_F f_{B_u} \left[ (m_K + m_\rho) A_1^q(m_B^2) \right. \\
&\quad \left. + \frac{m_B^2}{m_K + m_\rho} A_2^q(m_B^2) \right] (\epsilon \cdot p_B).
\end{aligned} \tag{4.15}$$

Unfortunately, we do not know at this point what are the annihilation form factors  $A_1^q$  and  $A_2^q$  at  $q^2 = m_B^2$ . As stressed in the previous section, though the annihilation amplitude can be argued to be negligible compared to the external  $W$ -emission diagram, its contribution to the spacelike penguin diagram is enhanced by a factor of  $m_B^2/m_K^2$  and should not be ignored *a priori*. Without further information on  $\mathcal{D}'$ , we shall however neglect the spacelike penguin contribution in our computations for  $B \rightarrow VP$  rare decays. However, we shall keep this in mind when we compare these results with experiment. Since the annihilation penguin diagram is primarily absorptive, we believe that the theoretical uncertainties in the estimate of decay rates are at most of factors of 2 to 3 in either direction. Putting all results together, we get, for  $|k^2| = m_B^2/2$ ,

$$\begin{aligned}
A(B_u^+ \rightarrow K^+ \rho^0) &= \frac{1}{\sqrt{2}} \lambda_u (0.66 \tilde{\mathcal{A}}') \\
&\quad + \frac{1}{\sqrt{2}} \lambda_t (1.21 + i0.38) \times 10^{-2} \tilde{\mathcal{A}}',
\end{aligned} \tag{4.16}$$

where uses have been made of  $h_+^{BK} = 0.379$ ,  $h_{A_1}^{B\rho} = h_{A_2}^{B\rho} = 0.283$ . Since  $|\lambda_u/\lambda_t| \approx 2.3 \times 10^{-2}$ , we see that spectator and penguin contributions are comparable. Because the polarization of  $\rho^0$  is longitudinal, we obtain from

(4.16) the branching ratio

$$B(B_u^+ \rightarrow K^+ \rho^0) = 6.0 \times 10^{-7}. \tag{4.17}$$

### C. The decay $B \rightarrow VV$

To illustrate the calculation we focus on the decay mode  $B_u^+ \rightarrow K^{*+} \omega$ , which has the quark-diagram amplitude

$$\begin{aligned}
A(B_u^+ \rightarrow K^{*+} \omega) &= \frac{1}{\sqrt{2}} \lambda_u (\mathcal{A} + \mathcal{B} + \mathcal{D} + \mathcal{E}_{u-c}) \\
&\quad + \frac{1}{\sqrt{2}} \lambda_t (\mathcal{E}_{t-c}),
\end{aligned} \tag{4.18}$$

with

$$\begin{aligned}
\mathcal{A} &= c_1 G_F \langle K^{*+} | (\bar{s}u) | 0 \rangle \langle \omega | (\bar{u}b) | B_u^+ \rangle, \\
\mathcal{B} &= c_2 G_F \langle \omega | (\bar{u}u) | 0 \rangle \langle K^{*+} | (\bar{s}b) | B_u^+ \rangle, \\
\mathcal{D} &= c_1 G_F \langle K^{*+} \omega | (\bar{s}u) | 0 \rangle \langle 0 | (\bar{u}b) | B_u^+ \rangle, \\
\mathcal{E}_i &= \frac{\alpha_s}{\pi} \frac{4}{9} (I_i^{\text{time}} \tilde{\mathcal{A}} + I_i^{\text{space}} \tilde{\mathcal{D}}).
\end{aligned} \tag{4.19}$$

It is noteworthy that there is no  $(S+P)(S-P)$  contribution to the penguin amplitude for  $B \rightarrow VV$  because of the constraint  $\langle V | \bar{q}_L q'_R | 0 \rangle = 0$ . This means that the space-like penguin diagram in this case can be safely neglected, contrary to  $B \rightarrow VP$  decay.

It follows from Eqs. (2.14) and (2.21) that the quark-diagram amplitudes given by (4.19) can be recast into the form

$$-i \epsilon^\mu(K^*) \epsilon^\nu(\omega) (\hat{A}_1 g_{\mu\nu} + \hat{A}_2 p_\mu^B p_\nu^B + i \hat{B} \epsilon_{\mu\nu\alpha\beta} p_B^\alpha p_\omega^\beta). \tag{4.20}$$

Unlike the  $B \rightarrow VP$  case, the pseudoscalar-vector matrix element induced by the vector current does contribute to the decay amplitude of  $B \rightarrow VV$ . We obtain, for the external  $W$ -emission diagram  $\mathcal{A}$ ,

$$\begin{aligned}
\hat{A}_1 &= c_1 m_K^2 f_{K^*} (m_B + m_\omega) A_1^{B\omega}(m_{K^*}^2), \\
\hat{A}_2 &= -[2/(m_B + m_\omega)^2] (h_{A_2}^{B\omega}/h_{A_1}^{B\omega}) \hat{A}_1, \\
\hat{B} &= (h_V^{B\omega}/h_{A_2}^{B\omega}) \hat{A}_2,
\end{aligned} \tag{4.21}$$

and, for the internal  $W$ -emission diagram  $\mathcal{B}$ ,

$$\begin{aligned}
\hat{A}_1 &= c_2 m_\omega^2 f_\omega (m_B + m_{K^*}) A_1^{BK^*}(m_\omega^2), \\
\hat{A}_2 &= -[2/(m_B + m_{K^*})^2] (h_{A_2}^{BK^*}/h_{A_1}^{BK^*}) \hat{A}_1, \\
\hat{B} &= (h_V^{BK^*}/h_{A_2}^{BK^*}) \hat{A}_2.
\end{aligned} \tag{4.22}$$

Using the BSW estimation<sup>5</sup> for the form factors  $h_V$ ,  $h_{A_1}$ , and  $h_{A_2}$ , we find numerically  $\mathcal{B} \approx -0.19\mathcal{A}$ . The resulting decay amplitude is

$$\begin{aligned}
A(B_u^+ \rightarrow K^{*+} \omega) &= \frac{1}{\sqrt{2}} \lambda_u (0.81 \mathcal{A}) \\
&+ \frac{1}{\sqrt{2}} \lambda_t (3.74 + i1.08) \times 10^{-2} \mathcal{A} .
\end{aligned} \tag{4.23}$$

It is convenient at this point to write the Lorentz-invariant amplitude  $\mathcal{A}$  in terms of three helicity amplitudes:<sup>21</sup>

$$\begin{aligned}
H_{00} &= \frac{1}{m_K^* m_\omega} [\frac{1}{2}(m_B^2 - m_K^{*2} - m_\omega^2) \hat{A}_1 + m_B^2 p_c^2 \hat{A}_2] , \\
H_{++} &= \hat{A}_1 + m_B p_c \hat{B} , \\
H_{--} &= \hat{A}_1 - m_B p_c \hat{B} ,
\end{aligned} \tag{4.24}$$

The decay rate is then given by

$$\begin{aligned}
\Gamma(B_u^+ \rightarrow K^{*+} \omega) &= \frac{p_c}{8\pi m_B^2} (|H_{00}|^2 + |H_{++}|^2 + |H_{--}|^2) \\
&\times |0.81 \lambda_u \\
&+ (3.74 + i1.08) \times 10^{-2} \lambda_t|^2 / 2 ,
\end{aligned} \tag{4.25}$$

which leads to the branching ratio

$$B(B_u^+ \rightarrow K^{*+} \omega) = 1.5 \times 10^{-5} . \tag{4.26}$$

It is easily seen that  $|H_{00}|^2 > |H_{--}|^2 > |H_{++}|^2$ ; i.e., the decay rate is dominated by the longitudinal helicity transition  $H_{00}$ . This pattern is indeed what is to be expected if factorization is a valid assumption.<sup>33</sup> Thus far, the ratio of  $|H_{00}|^2 / (|H_{++}|^2 + |H_{--}|^2)$  has been tested only in the charmed decay  $D \rightarrow \bar{K}^* \rho$ .<sup>22</sup>

#### D. Results and discussion

The results of our calculations for the branching ratios of  $B_u^+, B_d^0 \rightarrow PP, VP, VV$  are exhibited in Tables II–VII. Decay rates of exclusive  $SP, AP, AV$ , and  $AA$  (where  $S$  stands for scalar mesons,  $A$  stands for axial-vector mesons) modes are not considered in this paper since the decay constants and form factors for the scalar mesons  $f_0, f_2$  and the axial-vector meson  $a_1$  are unknown to us. It is evident from Tables II–VII that the branching ratios of a large number of decay channels are in the range of a few times  $10^{-5}$ , to be compared with the present experimental limit  $10^{-4}$ . According to our calculation, decay modes such as  $B_u^+ \rightarrow K^+ \eta', \pi^+ \eta', \rho^+ \pi^0, \rho^+ \eta', \rho^+ \eta, \rho^+ \omega$ ,  $B_d^0 \rightarrow K^0 \eta', \eta \eta', \eta' \eta', K^{*+} \pi^-, \rho^+ \pi^-, K^{*+} \rho^-, \rho^+ \rho^-$ , should be accessible experimentally in the near future.

Since the decay modes  $B_d^0 \rightarrow \pi^+ \pi^-, \rho^+ \pi^-, \rho^- \pi^+, \rho^+ \rho^-$  are dominated by the external  $W$  emission diagram, the measurement of their branching ratios can be used to extract the KM mixing angle  $V_{ub}$ . Assuming  $|V_{ub}/V_{cb}| \approx 0.1$ , we find, for example,  $B(B_d^0 \rightarrow \pi^+ \pi^-) = 1.3 \times 10^{-5}$ , to be compared with the present limit  $9 \times 10^{-5}$  from CLEO and  $13 \times 10^{-5}$  from ARGUS.

It is worthwhile at this point to discuss and summarize

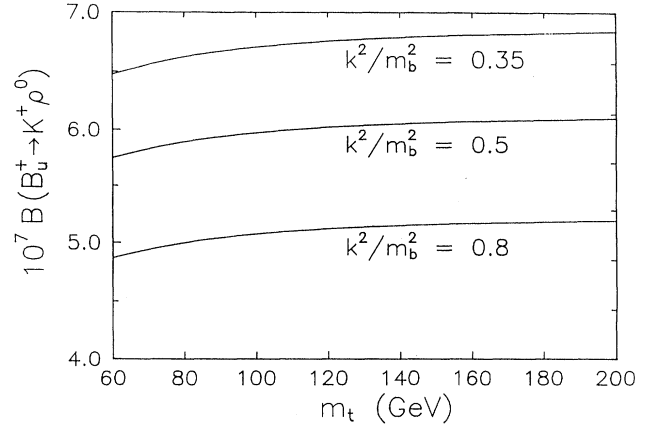


FIG. 2. Predicted branching ratios of  $B_u^+ \rightarrow K^+ \rho^0$  vs the top-quark mass for  $k^2/m_b^2 = 0.8, 0.5, 0.35$ .

the possible theoretical uncertainties one will encounter during the course of computation. The major sources of uncertainties are the following:

(i) Form factors in various hadronic two-body matrix elements. There are basically two different approaches for form factors and they differ substantially for  $B \rightarrow \pi, \rho$  transitions, see Sec. II B.

(ii) Annihilation and spacelike penguin diagrams. Since form factors at the momentum transfer  $q^2 = m_B^2$  are unknown (except for the  $PP$  case), we do not know the size of annihilation and spacelike penguin contributions. Annihilation and spacelike penguin amplitudes in  $VP$  and  $VV$  modes are essentially neglected in our calculation. (It is however justified to neglect the spacelike penguin contribution in the  $VV$  case, as explained in Sec. IV D).

(iii) Final-state interactions. We have argued that final-state strong interactions are probably not important in charmless  $B$  decay since the two final-state light mesons are very energetic and hence do not have adequate time to allow significant strong interactions between them. However, we would like to study in the future the final-state interaction effects in a model-independent way in the dominant  $B$  decays as had been for charm decays.<sup>14</sup>

(iv) Renormalization-group effects on the penguin diagram. Thus far, leading-logarithmic QCD corrections have been considered only for inclusive penguin processes.<sup>34</sup>

(v) Unknown top-quark mass  $m_t$  and the virtual gluon momentum  $k^2$ . To study the  $k^2$  and  $m_t$  dependence, we have calculated the decay rate of  $B_u^+ \rightarrow K^+ \rho^-$  as a function of  $m_t$  for three different values of  $k^2$ . As can be seen from Fig. 2, the predicted branching ratio is rather insensitive to the change of  $m_t$ , whereas it shows a significant  $k^2$  dependence. It was argued in Ref. 35 that  $k^2$  in exclusive two-body decays lies in the range  $m_b^2/4 < k^2 < m_b^2/2$ .

#### V. SUMMARY AND CONCLUSIONS

We have studied extensively the branching ratios of exclusive two-body decays of  $B_u^+$  and  $B_d^0$  without charm

particles in the final state. The salient feature of charmless  $B$  decay is that the  $W$ -loop diagrams play essential roles: either they predominate or their contributions are comparable to the spectator tree diagram in more than two-thirds of two-body rare  $B$  decay modes. Since the top-quark mass is greater than 77 GeV inferred from recent experiment, it is important to evaluate the  $W$ -loop penguin diagrams exactly for arbitrary  $m_t$  and virtual-gluon momentum  $k^2$ . As for the crucial mixing matrix element  $V_{ub}$ , its magnitude relative to  $V_{cb}$  was recently extracted from semileptonic decay by the ARGUS and CLEO Collaborations. Moreover, the phase of  $V_{ub}$  is fixed by the measurement of  $B_d^0-\bar{B}_d^0$  mixing provided that the top quark is not too heavy.

In Sec. III we discuss the application of the quark diagram scheme, which eventually can provide a model-independent framework comparing with experimental results and checking the validity of calculations given in this paper, especially checking the assumption of the absence of final-state-interaction effects, and the validity of neglecting contributions from the  $W$ -exchange and  $W$ -annihilation diagram.

In Sec. IV we have calculated the decay rates for all rare decay modes  $B \rightarrow PP, VP, VV$  using the factorization method. Possible theoretical uncertainties are discussed in Sec. IV D. We find that the branching ratios of a large number of decay modes are in the range of a few times of  $10^{-5}$ . Especially, the following channels will have a good chance to be seen in the near future experimentally:  $B_u^+ \rightarrow \pi^+ \eta', K^+ \eta, \rho^+ \pi^0, \rho^+ \eta', \rho^+ \eta, \rho^+ \omega$ , and  $B_d^0 \rightarrow K^0 \eta', \eta \eta', \eta' \eta', K^{*+} \pi^-, \rho^+ \pi^-, K^{*+} \rho^-, \rho^+ \rho^-$ .

In conclusion, the charmless rare  $B$  decays supply valuable opportunities to advance our understanding on dynamics of nonleptonic decays, especially the  $W$ -loop penguin mechanism.

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#### APPENDIX A

Treating  $c_2 = (2c_+ - c_-)/3$  as a free parameter in the vacuum-insertion method, we wish to determine the value of  $c_2$  from the CLEO and ARGUS data<sup>28</sup> on the two-body decay modes  $B_u^+ \rightarrow \psi K^+, \psi K^{*+}$ ,  $B_d^0 \rightarrow \psi K^0, \psi K^{*0}$ , and the inclusive decay  $B \rightarrow \psi + X$ . The experimental branching ratios for exclusive decays in units of  $10^{-2}$  are

	CLEO	ARGUS	
$B_u^+ \rightarrow \psi K^+$	$0.08 \pm 0.02 \pm 0.02$	$0.07 \pm 0.03 \pm 0.01$	(A1)
$B_u^+ \rightarrow \psi K^{*+}$	$0.13 \pm 0.09 \pm 0.03$	$0.16 \pm 0.11 \pm 0.03$	
$B_d^0 \rightarrow \psi K^0$	$0.06 \pm 0.03 \pm 0.02$	$0.04 \pm 0.03 \pm 0.01$	
$B_d^0 \rightarrow \psi K^{*0}$	$0.11 \pm 0.05 \pm 0.03$	$0.11 \pm 0.05 \pm 0.02$	

Also,<sup>36</sup>

$$B(B \rightarrow \psi + X) = (1.12 \pm 0.20)\% . \quad (\text{A2})$$

Following the calculation presented in Sec. IV C, we find

$$\begin{aligned} \Gamma(B_u^+ \rightarrow \psi K^+) &= \Gamma(B_d^0 \rightarrow \psi K^0) \\ &= 2G_F^2 c_2^2 |V_{cs} V_{cb}^*|^2 |f_+^{BK}(m_\psi^2)|^2 \\ &\quad \times m_\psi^2 f_\psi^2 m_{BP}^2 p_{c.m.}^3 , \end{aligned} \quad (\text{A3})$$

where  $p_{c.m.}$  is the center of momentum of the final-state particle. From the observed width of  $\psi \rightarrow e^+ e^-$ , we obtain  $f_\psi = 0.124$ . Since  $f_+^{BK}(0) = 0.379$  from Ref. 5, it follows that

$$B(B_u^+ \rightarrow \psi K^+) = B(B_d^0 \rightarrow \psi K^0) = 1.0 \times 10^{-2} c_2^2 . \quad (\text{A4})$$

Comparing this with (A1), we get the averaged value  $|c_2| \approx 0.26$ .

The inclusive decay rate of  $B \rightarrow \psi + X$  is estimated based on the assumption that  $\Gamma(B \rightarrow \psi + X) \approx \Gamma(b \rightarrow \psi + s)$ . Neglecting the small  $s$ -quark mass, we obtain

$$\begin{aligned} \Gamma(B \rightarrow \psi + X) &\approx \frac{G_F^2}{16\pi m_b^3} c_2^2 |V_{cs} V_{cb}^*|^2 \\ &\quad \times m_\psi^2 f_\psi^2 (m_b^2 + 2m_\psi^2)(m_b^2 - m_\psi^2)^2 . \end{aligned} \quad (\text{A5})$$

Comparison with the central value of (A2) yields  $|c_2| \approx 0.27$ . The calculation for  $B \rightarrow \psi K^*$  is similar to that in Sec. IV B, and the final result is

$$B(B_u^+ \rightarrow \psi K^{*+}) = B(B_d^0 \rightarrow \psi K^{*0}) = 4.6 \times 10^{-2} c_2^2 . \quad (\text{A6})$$

It is easily seen that  $|c_2| \approx 0.17$ , a value substantially smaller than the previous estimates. It seems to us that the discrepancy comes from the fact that the form factor for the  $B-K^*$  transition is somehow overestimated in Ref. 5. Indeed, the recent measurement of  $D^+ \rightarrow \bar{K}^{*0} e^+ \nu$  by the E691 collaboration implies form factors for  $D \rightarrow K^*$  smaller than the previous model predictions.<sup>22</sup> Hence, we take

$$\frac{2c_+ - c_-}{3} \simeq -0.25 \quad (\text{A7})$$

to be the ‘‘experimental’’ value, where the sign is inferred from the  $1/N_c$  approach and from the empirical fact that the interference of color-matched and color-suppressed amplitudes is destructive in the Cabibbo-allowed  $D^+$  decays. Notice that for representative values  $c_+ = 0.82$  and  $c_- = 1.49$ ,  $(2c_+ - c_-)/3$  is naively expected to be  $\approx 0.05$ , whereas in the  $1/N_c$  approach the QCD-corrected factor  $(2c_+ - c_-)/3$  is replaced by  $(c_+ - c_-)/2$  which is about  $-0.34$ .

#### APPENDIX B

The decay constants of the SU(3) singlet  $\eta_0$  and SU(3) octet  $\eta_8$  are defined by

$$\begin{aligned} \langle 0 | A_\mu^8 | \eta_8(q) \rangle &= i f_{\eta_8} q_\mu , \\ \langle 0 | A_\mu^0 | \eta_0(q) \rangle &= i f_{\eta_0} q_\mu , \end{aligned} \quad (\text{B1})$$

where the axial-vector currents  $A_\mu^8$  and  $A_\mu^0$  are given by

$$\begin{aligned} A_\mu^8 &= -\frac{1}{\sqrt{6}}(\bar{u}i\gamma_\mu\gamma_5u + \bar{d}i\gamma_\mu\gamma_5d - 2\bar{s}i\gamma_\mu\gamma_5s), \\ A_\mu^0 &= -\frac{1}{\sqrt{3}}(\bar{u}i\gamma_\mu\gamma_5u + \bar{d}i\gamma_\mu\gamma_5d + \bar{s}i\gamma_\mu\gamma_5s). \end{aligned} \quad (\text{B2})$$

Define the physical  $\eta$  and  $\eta'$  states by

$$\eta = \eta_8 \cos\theta - \eta_0 \sin\theta, \quad \eta' = \eta_8 \sin\theta + \eta_0 \cos\theta, \quad (\text{B3})$$

with  $\theta \approx -20^\circ$ . It follows from Eqs. (B1)–(B3) that

$$\begin{aligned} \langle 0 | (\bar{u}u) | \eta \rangle &= \langle 0 | (\bar{d}d) | \eta \rangle \\ &= iq_\mu \frac{1}{\sqrt{6}} (\cos\theta f_{\eta_8} - \sqrt{2} \sin\theta f_{\eta_0}), \\ \langle 0 | (\bar{s}s) | \eta \rangle &= iq_\mu \frac{1}{\sqrt{3}} (-\sqrt{2} \cos\theta f_{\eta_8} - \sin\theta f_{\eta_0}), \\ \langle 0 | (\bar{u}u) | \eta' \rangle &= \langle 0 | (\bar{d}d) | \eta' \rangle \\ &= iq_\mu \frac{1}{\sqrt{6}} (\sin\theta f_{\eta_8} + \sqrt{2} \cos\theta f_{\eta_0}), \\ \langle 0 | (\bar{s}s) | \eta' \rangle &= iq_\mu \frac{1}{\sqrt{3}} (-\sqrt{2} \sin\theta f_{\eta_8} + \cos\theta f_{\eta_0}). \end{aligned} \quad (\text{B4})$$

The ratio of  $f_{\eta_8}/f_\pi$  can be calculated in chiral perturbation theory with the result<sup>37</sup>  $1.3 \pm 0.05$ . As for the decay constant  $f_{\eta_0}$ , recent data on the two-photon width of  $\eta$  and  $\eta'$  yield<sup>38</sup>  $f_{\eta_0} = (1.02 \pm 0.14)f_\pi$ . Substituting  $f_{\eta_8}$  and  $f_{\eta_0}$  into Eq. (B4) gives

$$\begin{aligned} f_\eta^{(\bar{u}u)} &= f_\eta^{(\bar{d}d)} \simeq 92 \text{ MeV}, \quad f_\eta^{(\bar{s}s)} \simeq -105 \text{ MeV}, \\ f_{\eta'}^{(\bar{u}u)} &= f_{\eta'}^{(\bar{d}d)} \simeq 49 \text{ MeV}, \quad f_{\eta'}^{(\bar{s}s)} \simeq 120 \text{ MeV}. \end{aligned} \quad (\text{B5})$$

We notice that when the mixing angle is  $-19.5^\circ$ , the  $\eta$  and  $\eta'$  states become simply

$$\begin{aligned} |\eta\rangle &= \frac{1}{\sqrt{3}} |\bar{u}u + \bar{d}d - \bar{s}s\rangle, \\ |\eta'\rangle &= \frac{1}{\sqrt{6}} |\bar{u}u + \bar{d}d + 2\bar{s}s\rangle. \end{aligned} \quad (\text{B6})$$

In SU(3) limit  $f_{\eta_8} = f_{\eta_0} = f_\pi$ , and hence

$$\begin{aligned} f_\eta^{(\bar{u}u)} &= f_\eta^{(\bar{d}d)} = -f_\eta^{(\bar{s}s)} = f_\pi/\sqrt{3} = 77 \text{ MeV}, \\ f_{\eta'}^{(\bar{u}u)} &= f_{\eta'}^{(\bar{d}d)} = f_{\eta'}^{(\bar{s}s)}/2 = f_\pi/\sqrt{6} = 54 \text{ MeV}. \end{aligned} \quad (\text{B7})$$

Notice that the decay constant of  $\eta$  and  $\eta'$  given in Ref. 5 is evaluated under SU(3) symmetry and  $\theta = -10^\circ$ .

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