

### Sum rule for the spin-dependent structure function $b_1(x)$ for spin-one hadrons

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We show that the spin-dependent structure function of spin-one hadrons,  $b_1(x)$ , is related to the electric quadrupole moment of the target, and obtain  $\int dx b_1(x) = \lim_{t \rightarrow 0} -\frac{5}{3}(t/4M^2)F_Q(t) = 0$  for isoscalar targets if the sea of quarks and antiquarks is unpolarized. We show how this sum rule is modified in the presence of a polarized sea.

The recent measurement by the European Muon Collaboration<sup>1</sup> of the spin-dependent structure function of the proton [ $g_1(x)$ ] has stimulated intense interest in the details of spin structures in the proton and neutron.<sup>2</sup> Measurements of  $g_1(x)$  for the neutron are planned<sup>3</sup> and will require the existence of polarized nuclear targets, such as the deuteron. Nuclear targets with  $J \geq 1$ , such as the deuteron, are also interesting in their own right.<sup>4</sup> In particular there is a new effect which does not exist for spin- $\frac{1}{2}$  hadrons, namely, the existence of a further spin-dependent structure function  $b_1(x)$  which could be measured by polarizing the spin-one target. For real photons, this structure function is essentially that discussed by Pais<sup>5</sup> in 1967.

The only available fixed targets with  $J \geq 1$  are nuclei, and so early discussions of  $b_1(x)$  have tended to be based upon models where the nucleus consists of nonrelativistic nucleons. For nucleons in an  $S$  state,  $b_1(x) \equiv 0$ . For nucleons in a  $D$  state,<sup>4,6</sup>  $b_1(x) \neq 0$  in general. However, we note that these models have the property  $\int dx b_1(x) = 0$ . We find that in a quark-parton model this sum rule is generally true if the sea of quarks and antiquarks is unpolarized. After completing this work, we learned that Mankiewicz<sup>7</sup> has studied  $b_1(x)$  for the  $\rho$  meson and noticed empirically that  $\int dx b_1(x) = 0$  in his model. He noticed also that this need not be the case on the light plane where the probability for the  $q\bar{q}$  to have  $S_z = +1$  is independent of that for  $S_z = 0$ . Our sum rule confirms this and quantifies the effect of sea polarization.

The lepton scattering cross section from a hadron target involves the hadron tensor shown in Fig. 1(a):

$$W_{\mu\nu}(p, q, H_1, H_2) = \frac{1}{4\pi} \int d^4\xi e^{iq \cdot \xi} \langle p, H_2 | [J_\mu(\xi), J_\nu(0)] | p, H_1 \rangle, \quad (1)$$

where the  $H_1$  and  $H_2$  are  $z$  components of the target spin.

Parity and time-reversal invariances lead to eight independent structure functions. We may write a general expression for  $W_{\mu\nu}$  of a spin-one hadron by considering current conservation<sup>4</sup>

$$\begin{aligned} W_{\mu\nu} = & -F_1 g_{\mu\nu} + F_2 \frac{p_\mu p_\nu}{v} - b_1 r_{\mu\nu} \\ & + \frac{1}{6} b_2 (s_{\mu\nu} + t_{\mu\nu} + u_{\mu\nu}) + \frac{1}{2} b_3 (s_{\mu\nu} - u_{\mu\nu}) \\ & + \frac{1}{2} b_4 (s_{\mu\nu} - t_{\mu\nu}) + i \frac{g_1}{v} \epsilon_{\mu\nu\lambda\sigma} q^\lambda s^\sigma \\ & + i \frac{g_2}{v^2} \epsilon_{\mu\nu\lambda\sigma} q^\lambda (p \cdot q s^\sigma - s \cdot q p^\sigma), \end{aligned} \quad (2)$$

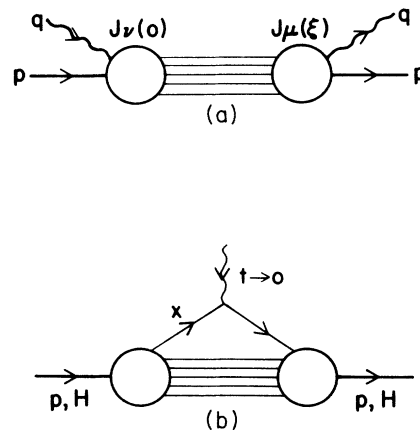


FIG. 1. (a) The imaginary part of the forward virtual Compton amplitude and (b) elastic form factor in the quark-parton model.

where

$$r_{\mu\nu} = \frac{1}{\nu^2} (q \cdot E^* q \cdot E - \frac{1}{3} \nu^2 \kappa) g_{\mu\nu}, \quad (3)$$

$$s_{\mu\nu} = \frac{2}{\nu^2} (q \cdot E^* q \cdot E - \frac{1}{3} \nu^2 \kappa) \frac{P_\mu P_\nu}{\nu}, \quad (4)$$

$$t_{\mu\nu} = \frac{1}{2\nu^2} (q \cdot E^* p_\nu E_\nu + q \cdot E^* p_\nu E_\mu + q \cdot E p_\mu E_\nu^* + q \cdot E p_\nu E_\mu^* - \frac{4}{3} \nu p_\mu p_\nu), \quad (5)$$

$$u_{\mu\nu} = \frac{1}{\nu} (E_\mu^* E_\nu + E_\nu^* E_\mu + \frac{2}{3} M^2 g_{\mu\nu} - \frac{2}{3} p_\mu p_\nu), \quad (6)$$

$$s^\sigma = -\frac{i}{M^2} \epsilon^{\sigma\alpha\beta\tau} E_\alpha^* E_\beta p_\tau, \quad (7)$$

and  $\nu$  and  $\kappa$  are defined by  $\nu = p \cdot q$  and  $\kappa = 1 + M^2 Q^2 / \nu^2$ .  $E^\mu$  is the polarization of the target and it is normalized as  $E^2 = -M^2$ .

The helicity amplitudes are defined by

$$A_{h_1 H_1, h_2 H_2} = \epsilon_{h_2}^\mu \epsilon_{h_1}^\nu W_{\mu\nu}(p, q, H_1, H_2), \quad (8)$$

where  $\epsilon_h^\mu$  is the photon polarization vector with helicity  $h$ . The relations between the structure functions  $b_1(x)$  and  $F_1(x)$  in the scaling limit and these helicity amplitudes are

$$F_1(x) = \frac{1}{3} (A_{+,0,+0} + A_{+,+,++} + A_{+,-,+}), \quad (9a)$$

$$b_1(x) = A_{+,0,+0} - \frac{A_{+,+,++} + A_{+,-,+}}{2}. \quad (9b)$$

Measurement of  $b_1(x)$  requires that the target be polarized.

In the parton model, we define  $q_\uparrow^m(x)$  [ $q_\downarrow^m(x)$ ] as the probability to find a quark with momentum fraction  $x$  and spin up [down] in the spin-one hadron with the  $z$  component of spin  $m$  moving with infinite momentum along the  $z$  axis. This gives

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 [q_i(x) + \bar{q}_i(x)], \quad (10a)$$

$$b_1(x) = \sum_i e_i^2 [\delta q_i(x) + \delta \bar{q}_i(x)], \quad (10b)$$

where  $q_i(x)$  and  $\delta q_i(x)$  are defined by

$$q_i(x) = \frac{2}{3} [q_{\uparrow i}^0(x) + q_{\uparrow i}^1(x) + q_{\downarrow i}^1(x)], \quad (11a)$$

$$\delta q_i(x) = q_{\uparrow i}^0(x) - \frac{q_{\uparrow i}^1(x) + q_{\downarrow i}^1(x)}{2}, \quad (11b)$$

and analogously for  $\bar{q}_i(x)$  and  $\delta \bar{q}_i(x)$ .

To form sum rules in the parton model, one may calculate the dependence of amplitudes on Bjorken  $x$  for a spin-one target moving fast in the  $z$  direction, Fig. 1(a), and compare with static properties integrated over  $x$  at zero-momentum transfer, Fig. 1(b). For example, in the spin-averaged case one has the Gottfried sum rule

$$\int dx [F_1^p(x) - F_1^n(x)] = \frac{1}{6} [F_C^p(0) - F_C^n(0)] = \frac{1}{6}, \quad (12)$$

where  $F_C(0)$  is the charge of the target, which follows if

the number of  $\bar{u}$  and  $\bar{d}$  in the sea are equal (see Ref. 8). Analogously one can form a sum rule for the deuteron

$$\int dx F_1^d(x) = \frac{5}{6} F_C^d(0) + \frac{1}{18} (Q + \bar{Q})_s, \quad (13)$$

where  $F_C^d(0)$  is the deuteron's charge and  $(Q + \bar{Q})_s$  is the number of charge weighted partons in the sea

$$(Q + \bar{Q})_s \equiv 5(U + \bar{U} + D + \bar{D})_s + 2(S + \bar{S}). \quad (14)$$

This quantity is infinite and so the sum rule (13) has no utility, but we exhibit it in order to facilitate comparison with our sum rule for  $b_1(x)$ .

We may rederive these familiar spin-averaged sum rules, keeping target polarizations explicit throughout, and thereby immediately see the relation to our new sum rule. Defining [Fig. 1(b)]

$$\Gamma_{H,H} = \langle p, H | J_0(0) | p, H \rangle, \quad (15)$$

then in the parton model we have

$$\begin{aligned} \frac{1}{3} (\Gamma_{00} + \Gamma_{11} + \Gamma_{-1-1}) &= \sum_i e_i \int dx q_{i,v}^A(x) \\ &= \frac{A}{6} \int dx [u_v(x) + d_v(x)], \end{aligned} \quad (16a)$$

$$\begin{aligned} \frac{1}{2} (\Gamma_{00} - \Gamma_{11}) &= \sum_i e_i \int dx \delta q_{i,v}^A(x) \\ &= \frac{A}{6} \int dx [\delta u_v(x) + \delta d_v(x)], \end{aligned} \quad (16b)$$

where the subscript  $v$  refers to the valence quarks, and where we restrict ourselves to  $I=0$  targets. The  $\Gamma_{HH}$  amplitudes in Eqs. (15) and (16) are related to the electric charge and electric quadrupole form factors as<sup>9-11</sup>

$$\Gamma_{00} = \lim_{t \rightarrow 0} \left[ F_C(t) - \frac{t}{3M^2} F_Q(t) \right], \quad (17a)$$

$$\Gamma_{11} = \Gamma_{-1-1} = \lim_{t \rightarrow 0} \left[ F_C(t) + \frac{t}{6M^2} F_Q(t) \right], \quad (17b)$$

where the form factors  $F_C$  and  $F_Q$  are measured in the units of  $e$  and  $e/M^2$ . Thus,

$$\frac{1}{3} (\Gamma_{00} + \Gamma_{11} + \Gamma_{-1-1}) = F_C(0), \quad (18a)$$

$$\frac{1}{2} (\Gamma_{00} - \Gamma_{11}) = \lim_{t \rightarrow 0} \left[ -\frac{t}{4M^2} F_Q(t) \right] = 0. \quad (18b)$$

Substituting Eq. (18a) into Eq. (16a) and Eqs. (10a) and (11a) for isoscalar targets leads to Eq. (13). In a similar way, we use Eqs. (18b), (16b), (10b), and (11b) to obtain a polarized analog of Eq. (13):

$$\begin{aligned} \int dx b_1^d(x) &= \lim_{t \rightarrow 0} \left[ -\frac{5}{3} \frac{t}{4M^2} F_Q(t) \right] + \frac{1}{9} (\delta Q + \delta \bar{Q})_s \\ &= \frac{1}{9} (\delta Q + \delta \bar{Q})_s. \end{aligned} \quad (19)$$

Thus we see that the sum rule for the structure function  $b_1(x)$  is closely related to the electric quadrupole struc-

ture of the target and that the integral vanishes in any model with an unpolarized sea.

This quark-model sum rule provides insight into the  $b_1(x)$  calculated in various models. Models involving nu-

cleons alone, even in a  $D$  state, must give vanishing  $\int dx b_1(x)$  since there need be no nonzero  $\delta Q_s, \delta \bar{Q}_s$ . This can be verified explicitly by integrating the equations given in Refs. 4 and 6:

$$\int dx b_1(x) = \sum_{k=p,n} \left[ \sin^2 \alpha \int dy \Delta f_{dd}(y) - 4\sqrt{2/5} \sin \alpha \cos \alpha \int dy \Delta f_{sd}(y) \right] \int dz F_1^k(z) = 0, \quad (20)$$

because  $\int dy \Delta f_{dd}(y) = 0$  and  $\int dy \Delta f_{sd}(y) = 0$ .

Models with  $\pi$  exchange generate a tensor force and thereby a quadrupole moment for the target. However, with vanishing  $\delta Q$  they still preserve  $\int dx b_1(x) = 0$  as can be seen by inspection of the explicit  $b_1(x)$  in Refs. 4 and 6. Models involving  $\rho$  exchange could give a nonvanishing integral as  $\rho$  can effectively transport a nonzero  $\delta Q$ . This is essentially noted in Ref. 7 where in the light cone, or in  $SU(6)_W$ , the longitudinal and transverse  $\rho$  components are, in principle, independent and hence  $\delta Q + \delta \bar{Q}$  need not vanish.

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