Influence of the B^* resonance on $\overline{B} \to \pi e \overline{\nu}_e$

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In the chiral limit and as $m_b \to \infty$, the decay $\overline{B} \to \pi^+ e \overline{\nu}_e$ would be dominated near zero pionic recoil by the effects of the B^* resonance. We examine the influence of this mechanism on the $\overline{B} \to X e \overline{\nu}_e$ electron spectrum in the region near the end point which is important for the determination of the Kobayashi-Maskawa matrix element V_{ub} .

In the standard six-quark model the coupling of the W bosons to the quarks has the form

$$\mathcal{L}_{\text{int}} = \frac{g_2}{2\sqrt{2}} (\bar{u}, \bar{c}, \bar{t}) \gamma_{\mu} (1 - \gamma_5) V \begin{pmatrix} d \\ s \\ b \end{pmatrix} W^{\mu} + \text{H.c.} , \qquad (1)$$

where V is the 3×3 unitary Kobayashi-Maskawa (KM) matrix¹ that arises from diagonalization of the quark mass matrices. In the standard model, the elements of V are fundamental parameters whose values must be determined by experiment. The semileptonic decays of B mesons provide information on the magnitude of the elements V_{ub} and V_{cb} . The direct comparison of measured "semileptonic" branching rates for $\overline{B} \to X_c e \overline{v}_e$ with calculations gives $|V_{cb}| \simeq 0.05$. It is possible to get experimental information on the much smaller matrix element $|V_{ub}|$ by examining the electron spectrum in the endpoint region. In a decay $\overline{B} \to Xe \overline{v}_e$, the maximum electron energy is $E_e^{max} = (m_B^2 - m_X^2)/2m_B$, so semileptonic B decays with electron energies greater than $(m_B^2 - m_D^2)/2m_B$ must originate from the $b \to u$ quark transition.

The simplest possible decay that can arise from the $b \rightarrow u$ weak transiton is $\overline{B} \rightarrow \pi^+ e \overline{\nu}_e$ and this decay is responsible for the most energetic electrons. The differential decay rate for $\overline{B} \rightarrow \pi^+ e \overline{\nu}_e$ has the form^{2,3}

$$\frac{d^2\Gamma}{dx\,dy} = |V_{ub}|^2 \frac{G_F^2 m_B^5}{32\pi^3} |f_+(t)|^2 (1-2x) [y_{\max}(x) - y] ,$$
(2)

where

$$y = t/m_B^2 = (p_B - p_\pi)^2/m_B^2$$
, (3a)

$$x = E_e / m_B , \qquad (3b)$$

and $f_+(t)$ is the hadronic form factor for the $\overline{B} \rightarrow \pi^+$ transition

$$\langle \pi^{+}(p_{\pi}) | \bar{u} \gamma_{\mu} b | \bar{B}(p_{B}) \rangle = f_{+}(t) (p_{B} + p_{\pi})_{\mu} + f_{-}(t) (p_{B} - p_{\pi})_{\mu}.$$
 (4)

For a fixed electron energy, y varies over the region

$$0 \le y \le y_{\max}(x) = \frac{4x(x_m - x)}{1 - 2x}$$

where $x_m = (m_B^2 - m_\pi^2)/2m_B^2$ is the maximum value of x.

Naively, at the kinematic limit $t = t_m = (m_B - m_{\pi})^2$ where the pion is at rest, the form factors $f_{\pm}(t_m)$ scale with the large *b*-quark mass in the following way:

$$f_{+}(t_{m}) + f_{-}(t_{m}) \sim m_{b}^{-1/2}$$
, (5a)

$$f_{+}(t_{m}) - f_{-}(t_{m}) \sim m_{b}^{+1/2}$$
 (5b)

(Here logarithms of m_b which arise from perturbative QCD corrections^{4,5} have been neglected.) This scaling is deduced by noting that in the heavy-*b*-quark limit the *b* quark acts essentially as a static color source in the *B* meson so that the only dependence of the left-hand side of Eq. (4) on the bottom-quark mass is a factor of $\sqrt{m_b}$, from the normalization of the *B*-meson state. Indeed, previous estimates of $f_+(t_m)$ and $f_-(t_m)$ using the valence nonrelativistic quark potential model exhibit this behavior. For example, using variational solutions of the Coulomb plus linear potential problem in a harmonic basis,

$$\psi_i^{1S} \simeq \frac{\beta_i^{3/2}}{\pi^{3/4}} e^{-\beta_i^2 r^2/2} \tag{6}$$

for $i = \pi$, B, Ref. 3 finds

$$f_{+}^{\text{QM}}(t_{m}) = \left[\frac{2m_{d}}{m_{d} + m_{b}}\right]^{1/2} \left[\frac{\beta_{B}\beta_{\pi}}{\beta_{B\pi}^{2}}\right]^{3/2} \times \left[1 + \frac{m_{b} - m_{d}}{2m_{d}} - \frac{1}{8} \left[\frac{m_{b}^{2} - m_{d}^{2}}{m_{b}m_{d}}\right] \frac{\beta_{B}^{2}}{\beta_{B\pi}^{2}}\right]$$
(7a)

and

$$f_{-}^{\text{QM}}(t_{m}) = \left[\frac{2m_{d}}{m_{d} + m_{b}}\right]^{1/2} \left[\frac{\beta_{B}\beta_{\pi}}{\beta_{B\pi}^{2}}\right]^{3/2} \\ \times \left[1 - \frac{m_{b} + 3m_{d}}{2m_{d}} + \frac{1}{8}\frac{(m_{b} + 3m_{d})(m_{b} + m_{d})}{m_{b}m_{d}}\frac{\beta_{B}^{2}}{\beta_{B\pi}^{2}}\right], \quad (7b)$$

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where $\beta_{B\pi}^2 = 1/2(\beta_B^2 + \beta_{\pi}^2)$. The form factors $f_{\pm}^{QM}(t)$ fall off as t decreases from its maximum value t_m . This t dependence arises because in the rest frame of the B meson momentum must be transferred to the light "spectator quark" in order for the final state to consist of a single pion, and as a result, as a function of $(t_m - t)/m_B$ the slope is of order a typical hadronic length scale ~ 1 GeV⁻¹. In the approximation to the quark potential model mentioned above, the dependence on $(t_m - t)$ is exponential

$$f_{\pm}^{\rm QM}(t) = f_{\pm}^{\rm QM}(t_m) \exp\left[-\frac{m_d}{8(m_d + m_b)} \frac{t_m - t}{\kappa^2 \beta_{B\pi}^2}\right].$$
 (8)

Here $\kappa \simeq 0.7$ is a phenomenological factor that is included in an attempt to correct for some relativistic effects. With this dependence on $(t_m - t)$, the $\overline{B} \to \pi^+ e \overline{\nu}_e$ decay is dominated by soft recoils and Eqs. (2) and (5) then imply that $B(\bar{B} \rightarrow \pi^+ e \bar{\nu}_e) \sim m_b^{-3}$. [Actually, we do not expect this exponential behavior to be appropriate for large $(t_m - t)$; see Ref. 6.]

The purpose of this paper is to show that, because the B^* and B states become degenerate in the large- m_b limit, there is a B^* contribution to $f_+(t)$ that previous quarkmodel-type estimates [e.g., Eq. (7a)] and the naive arguments leading to Eqs. (5) have not adequately taken into account. We will see that this B^* pole contribution gives rise to an f_{+}^{B*} that at threshold behaves as

$$f_{+}^{B*}(t_m) \sim m_b^{3/2} \tag{9}$$

for $m_{\pi}=0$, and hence would in this limit dominate $\overline{B} \rightarrow \pi^+ e^- \overline{v}_e$ near $t = t_m$. We will show as well that, as a result of a rapidly varying form factor, this contribution would not dominate the total rate for $\overline{B} \rightarrow \pi^+ e \overline{\nu}_e$ even for $m_b \rightarrow \infty$.

In the limit of very large m_h (compared with a typical hadronic scale ~ 1 GeV) the bottom quark in both the B and B^* mesons acts as a static color source⁵ with its spin degree of freedom decoupled. Therefore, in this limit these two mesons, which differ only in the orientation of the light-quark spin relative to that of the heavy quark, become degenerate. The residual mass splitting arises from a spin interaction that is suppressed by a power of m_b , so, in the large- m_b limit,

$$m_{P*}^2 - m_B^2 \sim 1 \text{ GeV}^2$$
 (10)

(Again logarithmic dependence on m_b , which arises from perturbative strong-interaction effects, has been neglected.) The B^* -B mass splitting can be deduced by scaling the measured D^* -D mass splitting:

$$m_{B^*} - m_B = (m_c / m_b)(m_{D^*} - m_D) \simeq 50 \text{ MeV}$$
 (11)

Figure 1 depicts the B^* pole contribution to the $\overline{B} \rightarrow \pi^+ e \overline{\nu}_e$ decay amplitude. It depends on the amplitude for the vector current to annihilate a B^* resonance,

$$\langle 0|\bar{u}\gamma_{\mu}b|\bar{B}^{*}(p^{*},\lambda)\rangle = f_{B^{*}}\epsilon_{\mu}(p^{*},\lambda) , \qquad (12)$$

and on the amplitude for the effective Hamiltonian density for pair creation \mathcal{H}_{eff}^{PC} to cause a $\overline{B} \to \overline{B}^* \pi$ transition



FIG. 1. The B^* pole contribution to $\overline{B} \rightarrow \pi^+ e \overline{\nu}_e$.

$$\langle \overline{B}^{*}(p^{*},\lambda)\pi(p_{\pi})|\mathcal{H}_{\text{eff}}^{\text{PC}}|\overline{B}(p_{B})\rangle = \epsilon_{\mu}^{*}(p^{*},\lambda)[g_{+}(p_{B}+p_{\pi})^{\mu}+g_{-}(p_{B}-p_{\pi})^{\mu}].$$
(13)

Combining these vertices and the B^* propagator gives

$$f_{+}^{B^{*}}(t) = \frac{g_{+}(t)f_{B^{*}}}{m_{B^{*}}^{2} - t}$$
$$= \frac{g_{+}(t)f_{B}^{*}}{(t_{m} - t) + m_{B^{*}}^{2} - (m_{B} - m_{\pi})^{2}} .$$
(14)

Using the scaling arguments introduced earlier, we can deduce that in the large- m_b limit $g_+(t_m) \sim m_b$ and $f_B^* \sim m_b^{1/2}$ so, neglecting the pion mass, Eqs. (10) and (14) imply that $f_{+}^{B^*}(t_m)$ is of order $m_b^{3/2}$, as earlier claimed. Furthermore, since only the B^* resonance becomes degenerate with the B in this limit, only the B^* pole contribution is of this order. All other contributions to $f_{+}(t_m)$ are of order $m_b^{1/2}$ in accord with Eq. (5). As a function of $(t_m - t)/m_b$, g(t) has, as we will see, a slope that is governed by the hadronic scale, but (still neglecting the pion mass) the denominator of Eq. (14) is a much more rapidly varying function of $(t_m - t)/m_b$ with a slope of order $m_b / (1 \text{ GeV})^2$. As a result [see Eq. (2)], although the B^* would be dominant at t_m , its contribution to the total $\overline{B} \to \pi^+ e \overline{\nu}_e$ branching ratio behaves in the same way as the valence-quark model.

We now turn to a calculation of f_{B^*} and $g_+(t)$ in order to quantify the importance of the \tilde{B}^* pole contribution. In a pair-creation model^{7,8} where \mathcal{H}^{PC} is proportional to $\overline{u}u$ we find using the nonrelativistic quark model that

$$g_{+}(t_{m}) = \frac{g(m_{b} + m_{d})}{(\beta_{B}^{2} + 2\beta_{\pi}^{2})^{3/2}} \left[1 - \frac{2\beta_{\pi}^{2}}{2\beta_{\pi}^{2} + \beta_{B}^{2}} \frac{m_{d}}{m_{d} + m_{b}} \right], (15a)$$

$$g_{-}(t_{m}) = \frac{g(m_{b} + m_{d})}{(\beta_{B}^{2} + 2\beta_{\pi}^{2})^{3/2}} \left[\frac{\beta_{B}^{2} - 2\beta_{\pi}^{2}}{2\beta_{\pi}^{2} + \beta_{B}^{2}} - \frac{2\beta_{\pi}^{2}}{2\beta_{\pi}^{2} + \beta_{B}^{2}} \frac{m_{d}}{m_{d} + m_{b}} \right], (15b)$$

and that

$$g_{\pm}(t) = g_{\pm}(t_m) \exp\left\{-\frac{m_d}{2(m_b + m_d)} \frac{t_m - t}{\kappa^2} \left[\frac{1}{\beta_B^2} \left(\frac{m_b}{m_b + m_d}\right)^2 + \frac{1}{2\beta_\pi^2 + \beta_B^2} \left(\frac{m_d}{m_b + m_d}\right)^2\right]\right\}.$$
 (16)

(Here g is a factor into which we have absorbed some dependence on m_d , β_{π} , etc., but which is independent of the heavy-quark mass.) The rate for $K^* \rightarrow K\pi$ is determined by an analogous form factor which follows from the replacements $m_b \rightarrow m_s$ and $\beta_B \rightarrow \beta_K$ in Eqs. (15) and (16), so using the measured $K^* \rightarrow K\pi$ rate, we find (with the numerical values for the β 's and quark masses of Ref. 3) that

$$|g_{+}(t_{m})| = 32 . (17)$$

Similarly, using quark-model expressions⁹ for the decay constants of vector mesons gives

$$f_{R*} = 0.7 \text{ GeV}^2$$
 (18)

With these values Eq. (14) gives $f_{+}^{B^*}(t_m) \simeq 11$. Note that the valence-nonrelativistic-quark-model prediction of Eq. (5a) is $f_{+}^{QM}(t_m) \simeq 2$ so the B^* pole contribution dominates the value of f_{+} at threshold in accord with the arguments made above based on the large- m_b limit. Integrating Eq. (2), with $f_{+} = f_{+}^{B^*}$ gives a $\overline{B} \rightarrow \pi^+ e^- \overline{\nu}_e$ rate $|V_{ub}|^2 0.28 \times 10^{12} \text{ sec}^{-1}$, while setting f_{+} to the valencequark-model value f_{+}^{QM} gives a rate of $|V_{ub}|^2 0.21 \times 10^{13}$ sec⁻¹. Figure 2 shows the electron spectra resulting from these two calculations.

Vector-meson pole diagrams have often been invoked¹⁰ to describe the t dependence of f_+ form factors (the B^* in $B \rightarrow \pi$, the D_s^* in $D \rightarrow K$, the K^* in $K \rightarrow \pi$, etc.). We should emphasize that our picture is a very different one. Note in particular the contrast with Ref. 11, in which the t dependence associated with the B^* propagator alone



FIG. 2. Rates for $\overline{B} \to \pi^+ e \overline{\nu}_e$ from the B^* pole contribution and the valence-nonrelativistic-quark model. For the decay $\overline{B}^- \to \pi^0 e \overline{\nu}_e$ the rates are $\frac{1}{2}$ those presented here.

[i.e., $(1-t/m_{B^*}^2)^{-1}$] is used to extrapolate from t=0 to t_m . In our picture, the B^* contribution plays a role only very near t_m since it is strongly suppressed by the soft hadronic vertex g_+ when $(t_m-t)/t_m \gtrsim m_d/m_b$. (Note that the use of vector-meson-dominated form factors is not at all fundamental to the model of Ref. 11: The model predicts form factors at t=0 and makes this ansatz for their t dependence.)

This observation naturally introduces the issue of the relationship between the vector-meson pole and the valence-quark-model contributions to f_+ . It was pointed out in Ref. 3 that there is a basic mismatch between vector-meson dominance and the quark model that can be easily seen by considering the example of the elastic form factor of the η_c . This system clearly has, as $m_c \rightarrow \infty$, a radius $r \sim (m_c \alpha_s)^{-1}$, while a vector-meson pole would lead to a form factor with $r \sim m_c^{-1}$. We believe that the resolution of the mismatch lies in considering the effects of anomalous thresholds¹² which ruin the usual argument that the form factors will be controlled by the *t*-channel vector spectral function. If so, then B^* effects of the type considered here are not dual to the valence-quark-model form factors (or, a fortiori, to the free quark decay model³) and must be added as a distinct coherent contribution to heavy-quark decay near t_m .

Both the calculated valence-quark-model and B^* contributions to f_+ have considerable uncertainties. For example, in Ref. 3, the branching ratio of $\overline{B} \rightarrow \pi^+ e^- \overline{v}_e$ from f_+^{QM} was estimated to be uncertain by a factor of about 2. It is clear from Fig. 2 that the inaccuracy of the quark-model predictions for this branching ratio associated with the omitted B^* contribution is smaller than this. However, it is interesting that in the end-point region the B^* contribution could substantially affect the rate. In view of the potential importance of this process in the region used for extracting V_{ub} , this possibility deserves further study.

Note added in proof. We are investigating the relative sign of f_+^{QM} and f_+^{B*} to determine whether they interfere constructively or destructively in the $B^* - \pi e \overline{v}_e$ rates. However, since the B^* contribution is concentrated in a very small corner of the Dalitz plot near $t = t_m$, the resulting electron spectrum is in any event reasonably close to the incoherent sum of the two spectra shown in Fig. 2: explicit calculations give an interference term which in the end-point region of the electron spectrum is about 50% of that sum. We are grateful to Lincoln Wolfenstein for bringing this point to our attention, and to Daryl Scora for help in calculating the magnitude of the intererence effect.

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 $B(\overline{B} \rightarrow \pi^+ e \overline{v}_e) \sim m_b^{-q}$ where $q = \min(3, 2p - 1)$. For p = 1 the rate would not be dominated by soft recoils in the large- m_b limit.

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