

## One-loop–induced fermion masses and exotic interactions in a standard-model context

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The standard  $SU(2) \times U(1)$  electroweak gauge model is extended to include a fourth generation of quarks and leptons as well as four extra Higgs-boson doublets. With the implementation of a  $Z_4$  discrete symmetry, which is softly broken down to  $Z_2$ , realistic radiative quark and lepton masses for the first two generations can be obtained. Assuming that the third and fourth *lepton* generations are odd under the unbroken  $Z_2$ , we discuss a number of interesting phenomenological consequences such as a longer  $\tau$  lifetime and some possible anomalous  $\tau$  interactions. We also single out some processes involving fourth-generation quarks and leptons which cannot be confused experimentally with those of the standard model.

### I. INTRODUCTION

In the standard  $SU(2) \times U(1)$  electroweak gauge model,<sup>1</sup> quark and lepton masses are proportional to their Yukawa couplings to the Higgs-boson doublet. The wide range of actual masses, from less than 18 eV for the electron neutrino to over 44 GeV for the yet undiscovered  $t$  quark,<sup>2</sup> is then attributed to the wide range of Yukawa couplings. On the other hand, the electroweak mass scale is of the order  $v = (\sqrt{2}G_F)^{-1/2} \approx 246$  GeV, where  $G_F$  is the Fermi weak coupling, and one wonders why all masses should not be greater than at least a few GeV. Perhaps all Yukawa couplings are of the order  $10^{-2}$  to unity, but the light quarks and leptons do not pick up their masses directly, but through radiative corrections. This can only be achieved if these fermions are prevented from coupling to the Higgs-boson doublet with the nonzero vacuum expectation value by some symmetry which is then softly or spontaneously broken. Recently, one of us proposed<sup>3</sup> exactly such a model, based only on the standard  $SU(2) \times U(1)$  gauge group and using only the conventional representations of fermions and bosons. Other models<sup>4–8</sup> which obtain radiative masses all tend to be somewhat more complicated. Together with a recent proposal<sup>9</sup> for radiative Majorana-neutrino masses through double  $W$  exchange, we now have a simple specific renormalizable theory of radiative quark and lepton masses.

In Sec. II the model is described in detail. In particular, we choose to discuss it in the context of soft instead of spontaneous symmetry breaking, the latter having been formulated already in Ref. 3. In Sec. III the quark and lepton mass matrices of this model are analyzed to show how the observed masses and mixing angles are related to one another. In Sec. IV the induced off-diagonal fermion couplings of this model are derived and shown to be much greater than those of the standard model. In Secs. V and VI, respectively, we discuss the phenomenological consequences of this model on particles of the standard three-generation model as well as the proposed

new particles. Finally in Sec. VII there are some concluding remarks.

### II. DESCRIPTION OF MODEL

The proposed model<sup>3</sup> is based on the standard  $SU(2) \times U(1)$  electroweak gauge group with all fermions and bosons in conventional representations. There are four generations of quarks and leptons, as well as five Higgs-boson doublets. There is also a discrete  $Z_4$  symmetry which is softly broken down to  $Z_2$  in the Higgs-boson sector by explicit gauge-invariant mass terms. Let the fourth-generation quarks be  $h$  and  $y$ ; then, under  $Z_4$ , we have

$$\begin{aligned} 1: & (u, d)_L, (c, s)_L, (t, b)_L, t_R, b_R, \\ \omega: & (h, y)_L, h_R, y_R, \\ \omega^2: & u_R, d_R, s_R, c_R, \end{aligned} \tag{2.1}$$

where  $1, \omega, \omega^2$ , and  $\omega^3$  are the elements of  $Z_4$  with  $\omega^4 = 1$ . Parallel assignments are of course possible for the leptons, but a more interesting scenario is to group together the third and fourth generations as indicated below:

$$\begin{aligned} 1: & (\nu_e, e)_L, (\nu_\mu, \mu)_L, \\ \omega: & (\nu_\tau, \tau)_L, (N, E)_L, \tau_R, N_R, E_R, \\ \omega^2: & e_R, \mu_R, \nu_R. \end{aligned} \tag{2.2}$$

The Higgs-boson sector consists of five doublets, each of the form  $(\phi^+, \phi^0)$ . Under  $Z_4$ , we have

$$\begin{aligned} 1: & \Phi_1, \\ \omega^3: & \Phi_2^{(1)}, \Phi_2^{(2)}, \\ \omega: & \Phi_3^{(1)}, \Phi_3^{(2)}. \end{aligned} \tag{2.3}$$

As a result, the allowed Yukawa interactions of this model are given by

$$\begin{aligned}
-L_y = & f_1(\overline{h,y})_L h_R \tilde{\Phi}_1 + f_2(\overline{h,y})_L y_R \Phi_1 + f_3(\overline{t,b'})_L t_R \tilde{\Phi}_1 + f_4(\overline{t',b})_L b_R \Phi_1 \\
& + f_5(\overline{h,y})_L t_R \tilde{\Phi}_2 + f_6(\overline{h,y})_L b_R \Phi_3 + f_7 \bar{q}_L h_R \tilde{\Phi}_3 + f_8 \bar{q}_L y_R \Phi_2 \\
& + f_9^c(\overline{h,y})_L c_R \tilde{\Phi}_3 + f_9^u(\overline{h,y})_L u_R \tilde{\Phi}_3 + f_{10}^s(\overline{h,y})_L s_R \Phi_2 + f_{10}^d(\overline{h,y})_L d_R \Phi_2 \\
& + f_1^E(\overline{N,E})_L N_R \tilde{\Phi}_1 + f_1^\tau(\overline{\nu,\tau})_L N_R \tilde{\Phi}_1 + f_2^E(\overline{N,E})_L E_R \Phi_1 + f_2^\tau(\overline{\nu,\tau})_L \tau_R \Phi_1 \\
& + f_7^l \tau_R \tilde{\Phi}_3 + f_8^E \tau_R \Phi_2 + f_8^\tau \tau_R \Phi_2 + f_9^E(\overline{N,E})_L \nu_R \tilde{\Phi}_3 + f_9^\tau(\overline{\nu,\tau})_L \nu_R \tilde{\Phi}_3 \\
& + f_{10}^E(\overline{N,E})_L l_R \Phi_2 + f_{10}^\tau(\overline{\nu,\tau})_L l_R \Phi_2 + \text{H.c.} , \tag{2.4}
\end{aligned}$$

where  $q_L$  denotes any one of the left-handed quark doublets of the first three generations,  $l_L$  is short for  $(\nu_e, e)_L$  and  $(\nu_\mu, \mu)_L$ ,  $l_R$  for  $e_R$ , and  $\mu_R$ ,  $t_L(b_L)$  is defined as that which couples to  $t_R(b_R)$  through  $\tilde{\Phi}_1(\Phi_1)$ ,  $b'_L(t'_L)$  as that which couples to  $t_L(b_L)$  through the  $W$  boson and thus not necessarily the same as  $b_L(t_L)$ ,  $\tilde{\Phi} \equiv i\sigma_2 \Phi^* = (\bar{\phi}^0, -\phi^-)$ , and the superscripts on  $\Phi_{2,3}$  are omitted.

As  $\phi_1^0$  acquires a nonzero vacuum expectation value  $v/\sqrt{2}$ , thereby breaking  $SU(2) \times U(1)$  but not  $Z_4$ , the  $h$ ,  $y$ ,  $t$ , and  $b$  quarks as well as the  $N$ ,  $E$ , and  $\tau$  leptons will become massive. The other quarks and leptons are massless at this stage, but will pick up radiative masses if we break  $Z_4$  softly down to  $Z_2$  as described below. Consider the Higgs potential of this model

$$\begin{aligned}
V = & \sum_i \mu_i^2 \Phi_i^\dagger \Phi_i + (\tilde{\mu}^2 \Phi_2^\dagger \Phi_3 + \text{H.c.}) \\
& + \sum_{i,j} \lambda_{ij} (\Phi_i^\dagger \Phi_i) (\Phi_j^\dagger \Phi_j) \\
& + \sum_{i \neq j} \eta_{ij} (\Phi_i^\dagger \Phi_j) (\Phi_j^\dagger \Phi_i) \\
& + [r (\Phi_1^\dagger \Phi_2) (\Phi_1^\dagger \Phi_3) + t (\Phi_2^\dagger \Phi_3)^2 + \text{H.c.}] . \tag{2.5}
\end{aligned}$$

The  $\tilde{\mu}^2$  term is a gauge-invariant mass term which transforms as  $\omega^2$  under  $Z_4$ . Let  $\mu_1^2 < 0$  and  $\mu_{2,3}^2 > 0$ , then

$$\mu_1^2 + \lambda_{11} v^2 = 0 . \tag{2.6}$$

Let  $\phi_1^0 \rightarrow (v + H_1 + i\chi_1)/\sqrt{2}$ ,  $\phi_{2,3}^0 \rightarrow (H_{2,3} + i\chi_{2,3})/\sqrt{2}$ , then the mass terms of  $V$  are given by

$$\begin{aligned}
V^{(2)} = & \lambda_{11} v^2 H_1^2 + (\mu_2^2 + \lambda_{12} v^2) \phi_2^- \phi_2^+ + (\mu_3^2 + \lambda_{13} v^2) \phi_3^- \phi_3^+ + \tilde{\mu}^2 (\phi_2^- \phi_3^+ + \phi_3^- \phi_2^+) \\
& + \frac{1}{2} (\mu_2^2 + \lambda_{12} v^2 + \eta_{12} v^2) (H_2^2 + \chi_2^2) + \frac{1}{2} (\mu_3^2 + \lambda_{13} v^2 + \eta_{13} v^2) (H_3^2 + \chi_3^2) + \tilde{\mu}^2 (H_2 H_3 + \chi_2 \chi_3) + \frac{1}{2} r v^2 (H_2 H_3 - \chi_2 \chi_3) , \tag{2.7}
\end{aligned}$$

where  $v$ ,  $\tilde{\mu}^2$ , and  $r$  have been assumed real for simplicity. Therefore, mixing occurs between  $\phi_2^\pm$  and  $\phi_3^\pm$ ,  $H_2$  and  $H_3$ , as well as  $\chi_2$  and  $\chi_3$ . Specifically, we show in Fig. 1 the diagrams leading to radiative masses in the  $(u, c, t)$  sector. Let  $(\theta, m_1, m_2)$ ,  $(\theta_R, m_{R1}, m_{R2})$ , and  $(\theta_I, m_{I1}, m_{I2})$  be the mixing angle and mass eigenvalues of the  $(\phi_2^\pm, \phi_3^\pm)$ ,  $(H_2, H_3)$ , and  $(\chi_2, \chi_3)$  matrices, respectively, then each radiative mass is of the form

$$\begin{aligned}
m_{\text{rad}} = & \left[ \frac{f_8 f_9 \sin 2\theta}{32\pi^2} \right] m_y [F(m_1, m_y) - F(m_2, m_y)] \\
& + \left[ \frac{f_7 f_9}{32\pi^2} \right] m_h [\sin^2 \theta_R F(m_{R1}, m_h) + \cos^2 \theta_R F(m_{R2}, m_h) - \sin^2 \theta_I F(m_{I1}, m_h) - \cos^2 \theta_I F(m_{I2}, m_h)] , \tag{2.8}
\end{aligned}$$

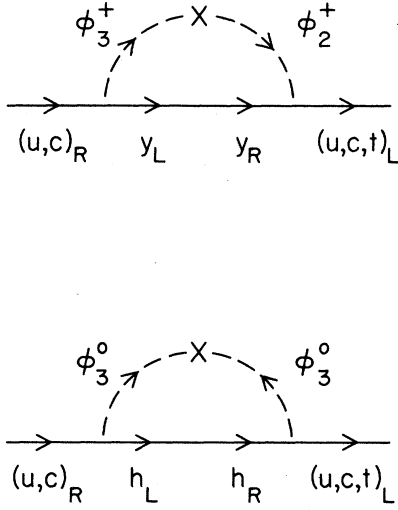
where the function  $F$  is given by

$$F(a, b) = \frac{a^2 \ln(a^2/b^2)}{a^2 - b^2} . \tag{2.9}$$

From Eq. (2.7), it is easily seen that if  $\tilde{\mu}^2 = 0$ , then  $\theta = 0$ ,  $\theta_R = -\theta_I$ ,  $m_{R1} = m_{I1}$ ,  $m_{R2} = m_{I2}$ , so  $m_{\text{rad}} = 0$  in Eq. (2.8) as expected. Also, if  $r = 0$ , then  $\theta_R = \theta_I$ ,  $m_{R1} = m_{I1}$ ,  $m_{R2} = m_{I2}$ , so the  $m_h$  term vanishes. As a numerical exercise, let  $f_8 f_9 / 4\pi = 0.2$ ,  $\sin 2\theta = 0.5$ ,  $m_y = 250$  GeV, and  $F(m_1, m_y) - F(m_2, m_y) = 0.75$ , then  $m_{\text{rad}} = 1.5$  GeV  $= m_c$  if we also assume that the  $m_h$  term contributes as much as the  $m_y$  term.

The same mechanism is of course also applicable to the  $(d, s, b)$  sector. We note in particular that if there is only one  $\Phi_3$ , then only a linear combination of  $u_R$  and  $c_R$  will

enter in Fig. 1, and we can simply redefine that as being  $c_R$ . Hence we need two  $\Phi_3$ 's and two  $\Phi_2$ 's as stated in Eq. (2.3) if we want  $m_u$  and  $m_d$  to be nonzero as well. On the other hand, since both  $\tau$  and  $E$  transform as  $\omega$  under  $Z_4$ , the Yukawa couplings of  $e_R$  to  $\tau_L$  and  $E_L$  cannot both be rotated away even if there is only one  $\Phi_2$ . This leads us to speculate on the intriguing possibility of keeping only one  $\Phi_2$  and one  $\Phi_3$ , and having  $m_u = m_d = 0$  in the weak-interaction Lagrangian, but  $m_u \neq 0$  and  $m_d \neq 0$  through nonperturbative mass renormalization by instantons in quantum chromodynamics.<sup>10</sup> In the leptonic sector,  $e$  and  $\mu$  have nonzero radiative masses through their Yukawa couplings to  $\tau$ ,  $E$ , and  $N$ . One linear combination of  $\nu_\tau$  and  $N_L$  pairs up with  $N_R$  and gets a tree-level Dirac mass, whereas  $N_R$  itself can have a gauge-invariant

FIG. 1. Mechanism for radiative masses in the  $(u, c, t)$  sector.

Majorana mass [which transforms as  $\omega^2$  under  $Z_4$ , but it is an allowed soft term just as  $\bar{\mu}^2$  in Eq. (2.5)]. The other linear combination of  $\nu_\tau$  and  $N_L$  is massless at this stage, but will pick up a small Majorana mass through double  $W$  exchange.<sup>9</sup> Similarly, one linear combination of  $\nu_e$  and  $\nu_\mu$  pairs up with  $\nu_R$  and gets a *radiative* Dirac mass, whereas  $\nu_R$  itself can have a  $Z_4$ -allowed gauge-invariant Majorana mass. The other linear combination then also picks up a mass through double  $W$  exchange.

### III. QUARK AND LEPTON MASS MATRICES

Consider the doublet  $(t, b')_L$ . It is defined to be that which couples to  $t_R$  through  $\Phi_1$  in Eq. (2.4). Of the two remaining  $q_L$  doublets  $(c, s')_L$  and  $(u, d')_L$ , we arbitrarily define  $(u, d')_L$  to be that which does not couple to  $h_R$  through  $\Phi_3^{(1)}$ . Similarly,  $(u', d)_L$  is defined to be that which does not couple to  $y_R$  through  $\Phi_2^{(1)}$ . The charged current of this model is then of the form

$$\begin{aligned} J &= \bar{u}_L \gamma d'_L + \bar{c}_L \gamma s'_L + \bar{t}_L \gamma b'_L \\ &= \bar{u}'_L \gamma d_L + \bar{c}'_L \gamma s_L + \bar{t}'_L \gamma b_L \\ &= (\bar{u}, \bar{c}, \bar{t})_L \gamma U_0 \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L, \end{aligned} \quad (3.1)$$

where  $U_0$  is a  $3 \times 3$  unitary matrix. We also arbitrarily define  $u_R$  and  $d_R$  to be those which do not couple to  $Q_L$  through  $\Phi_3^{(1)}$  and  $\Phi_2^{(1)}$ , respectively. In this basis, we have

$$-L_M = (\bar{u}, \bar{c}, \bar{t})_L M_1 \begin{pmatrix} u \\ c \\ t \end{pmatrix}_R + (\bar{d}, \bar{s}, \bar{b})_L M_2 \begin{pmatrix} d \\ s \\ b \end{pmatrix}_R + \text{H.c.}, \quad (3.2)$$

where  $M_{1,2}$  are  $3 \times 3$  mass matrices to be discussed in detail later. Let

$$(U_L^{(1,2)})^{-1} M_{1,2} U_R^{(1,2)} = M_{1,2}^{(\text{diag})}, \quad (3.3)$$

where  $U_L$  and  $U_R$  are unitary matrices, then the usual Kobayashi-Maskawa matrix<sup>11</sup> is given in this model by

$$U_{\text{KM}} = (U_L^{(1)})^{-1} U_0 U_L^{(2)}. \quad (3.4)$$

Let us consider in detail the  $(d, s, b)$  mass matrix  $M_2$ . With  $\langle \phi_1^0 \rangle = v/\sqrt{2}$  alone,

$$M_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & f_4 v/\sqrt{2} \end{pmatrix}. \quad (3.5)$$

In this approximation, only the  $b$  quark has a nonzero mass. With the addition of  $\Phi_2^{(1)}$  and  $\Phi_3^{(1)}$ , the second column is filled with nonzero radiative masses analogous to that of Eq. (2.8), but the first column will still be empty. To fill up both the first and second columns, we need to add  $\Phi_2^{(2)}$  and  $\Phi_3^{(2)}$ . We now have

$$M_2 = \begin{pmatrix} x & x & 0 \\ x & x & 0 \\ x & x & f_4 v/\sqrt{2} \end{pmatrix}, \quad (3.6)$$

where  $x$  denotes a nonzero radiative mass. Without loss of generality, we can first rotate away the  $\bar{b}_L d_R$  entry by redefining  $d_R$  and  $s_R$ , and then rotate away the  $\bar{d}_L s_R$  entry by redefining  $d_L$  and  $s_L$ . Hence we obtain

$$M'_2 = \begin{pmatrix} a & 0 & 0 \\ b & c & 0 \\ 0 & d & f \end{pmatrix}, \quad (3.7)$$

where  $f \equiv f_4 v/\sqrt{2}$ , and  $a, b, c, d$  are radiative mass terms. Assuming that  $M'_2$  is real for simplicity, we first diagonalize the  $2 \times 2$  submatrix spanning  $s$  and  $b$ . Let

$$\begin{pmatrix} c_L & s_L \\ -s_L & c_L \end{pmatrix} \begin{pmatrix} c & 0 \\ d & f \end{pmatrix} \begin{pmatrix} c_R & -s_R \\ s_R & c_R \end{pmatrix} = \begin{pmatrix} m'_2 & 0 \\ 0 & m_3 \end{pmatrix}, \quad (3.8)$$

where  $c_{L,R} \equiv \cos\theta_{L,R}$  and  $s_{L,R} \equiv \sin\theta_{L,R}$ . Assuming that  $c^2 \ll d^2, f^2$ , we find  $\tan\theta_L \approx cd/(f^2 + d^2)$ ,  $\tan\theta_R \approx d/f$ ,  $m'_2 \approx cf/\sqrt{f^2 + d^2}$ , and  $m_3 \approx \sqrt{f^2 + d^2}$ . We now have

$$M''_2 = \begin{pmatrix} a & 0 & 0 \\ bc_L & m'_2 & 0 \\ -bs_L & 0 & m_3 \end{pmatrix} \quad (3.9)$$

and we can diagonalize the  $2 \times 2$  submatrix spanning  $d$  and  $s$  in the same way. Let

$$\begin{pmatrix} c'_L & s'_L \\ -s'_L & c'_L \end{pmatrix} \begin{pmatrix} a & 0 \\ bc_L & m'_2 \end{pmatrix} \begin{pmatrix} c'_R & -s'_R \\ s'_R & c'_R \end{pmatrix} = \begin{pmatrix} m_1 & 0 \\ 0 & m_2 \end{pmatrix}, \quad (3.10)$$

where  $c'_{L,R} \equiv \cos\theta'_{L,R}$  and  $s'_{L,R} \equiv \sin\theta'_{L,R}$ . Assuming that  $a^2 \ll b^2 c_L^2, m_2'^2$ , we find  $\tan\theta'_L \approx abc_L/(m_2'^2 + b^2 c_L^2)$ ,  $\tan\theta'_R \approx bc_L/m'_2$ ,  $m_1 \approx am'_2/(m_2'^2 + b^2 c_L^2)^{1/2}$ , and  $m_2 \approx (m_2'^2 + b^2 c_L^2)^{1/2}$ . Hence

$$M_2''' = \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ -bs_L c_R' & bs_L s_R' & m_3 \end{pmatrix}. \quad (3.11)$$

The two nonzero off-diagonal entries are now negligible in the approximation that we have taken. Therefore,

$$m_b^2 \approx f^2 + d^2, \quad (3.12)$$

$$m_s^2 \approx b^2 + \frac{c^2 f^2}{f^2 + d^2}, \quad (3.13)$$

$$m_d^2 \approx \frac{a^2}{1 + \frac{b^2(f^2 + d^2)}{c^2 f^2}}, \quad (3.14)$$

and the mixing between  $s$  and  $b$  from  $M_2'$  [Eq. (3.7)] is of the order

$$s_L \approx \frac{cd}{f^2 + d^2} \leq \left[ \frac{d}{f} \right] \left[ \frac{m_s}{m_b} \right]. \quad (3.15)$$

Assuming that  $s_L$  is of the order  $(U_{KM})_{cb}$  [Eq. (3.4)], which is determined by the measured  $b$  lifetime<sup>2</sup> to be about 0.046, and using  $m_s \approx 0.15$  GeV,  $m_b \approx 4.7$  GeV, we find  $d/f \gtrsim 1$ . This means that  $m_b$  [Eq. (3.12)] has comparable contributions from both the tree-level mass term  $f$  and the radiative mass term  $d$ . This is certainly acceptable for a mass of a few GeV. As for the corresponding mixing angle between  $c$  and  $t$ , it must be much smaller than  $m_c/m_t$ , because  $m_t$  is expected to be of the order 100 GeV, so the corresponding  $d/f$  ratio has to be small.

In the lepton sector, because of Eq. (2.2), only the first two generations are even under the unbroken  $Z_2$ , whereas both the third and fourth generations are odd. This is certainly allowed because there is no experimental evidence at present for any nonzero mixing between the third and the first or second lepton generations. From Eq. (2.4) it is clear that  $m_\tau = f_2^\tau v / \sqrt{2}$ ,  $m_E = f_2^E v / \sqrt{2}$ , and the linear combination

$$\nu_4 = N_L \cos\theta_\tau + \nu_\tau \sin\theta_\tau, \quad (3.16)$$

where  $\tan\theta_\tau = f_1^\tau / f_1^E$ , pairs up with  $N_R$  to acquire a tree-level Dirac mass  $m_D$ . Together with a gauge-

invariant Majorana mass  $m_R$  for  $N_R$ , a seesaw Majorana mass  $\approx m_D^2 / m_R$  for  $\nu_4$  is obtained. The orthogonal linear combination

$$\nu_3 = \nu_\tau \cos\theta_\tau - N_L \sin\theta_\tau \quad (3.17)$$

now picks up a small radiative Majorana mass through double  $W$  exchange.<sup>9</sup> If we assume that  $\nu_4$  is heavier than  $\tau$ , then  $\tau$  decay involves only  $\nu_3$ , with a reduced coupling and thus a longer lifetime.<sup>12</sup> The current world average<sup>2</sup> of the  $\tau$  lifetime is  $(3.04 \pm 0.09) \times 10^{-13}$  s, which is indeed slightly greater than the predicted value of  $(2.80 \pm 0.07) \times 10^{-13}$  s, based on a branching fraction<sup>2</sup> of  $(17.5 \pm 0.4)\%$  for  $\tau \rightarrow e \nu \bar{\nu}$  and using only the three-generation standard model.

For the first two generations,  $e$  and  $\mu$  have radiative masses which are induced by  $m_\tau$ ,  $m_E$ , and  $m_D$  through the exchange and mixing of  $\Phi_2$  and  $\Phi_3$ . One linear combination ( $\nu_2$ ) of  $\nu_e$  and  $\nu_\mu$  pairs up with  $\nu_R$  to acquire a radiative Dirac mass, and since there is also a gauge-invariant Majorana mass for  $\nu_R$ , we have again a seesaw mass for  $\nu_2$ . The orthogonal linear combination  $\nu_1$  then picks up a small radiative Majorana mass through double  $W$  exchange, in parallel with the case of  $\nu_3$  and  $\nu_4$ . Neutrino oscillations are possible between  $\nu_e$  and  $\nu_\mu$ , but not  $\nu_\tau$ .

#### IV. INDUCED OFF-DIAGONAL FERMION COUPLINGS

In the standard model, induced off-diagonal fermion couplings to all neutral bosons ( $\gamma$ ,  $Z$ , gluon, and the single Higgs boson  $H$ ) are all highly suppressed relative to the diagonal couplings. In this model, because the light quarks and leptons have radiative masses, these off-diagonal couplings are less suppressed and may have important phenomenological consequences. To see how this comes about, consider the tree-level mass term  $(f_4 v / \sqrt{2}) \bar{b}_L b_R$  in Eq. (3.5). If we go to the mass-eigenstate basis, then this term contributes to off-diagonal mass terms such as  $\bar{d}_L s_R$ ,  $\bar{d}_L b_R$ , etc. However, since these terms should be zero by definition, they must be exactly canceled by the corresponding radiative terms. In this basis, the mass matrix connecting  $(d, s, b)_L$  to  $(d, s, b)_R$  is given by

$$m_{ij} = \begin{cases} m_i, & i = j = d, s, b, \\ 0, & i \neq j \end{cases}$$

$$= (f_4 v / \sqrt{2}) (U_L)_{3i}^* (U_R)_{3j} + \frac{1}{2} i m_h f_7^i f_{10}^j \sin 2\theta \int \frac{d^4 k}{(2\pi)^4} \frac{1}{k^2 - m_h^2} \left[ \frac{1}{k^2 - m_1^2} - \frac{1}{k^2 - m_2^2} \right]$$

$$+ \frac{1}{2} i m_y f_8^i f_{10}^j \int \frac{d^4 k}{(2\pi)^4} \frac{1}{k^2 - m_y^2} \left[ \frac{\cos^2 \theta_R}{k^2 - m_{R1}^2} + \frac{\sin^2 \theta_R}{k^2 - m_{R2}^2} - \frac{\cos^2 \theta_I}{k^2 - m_{I1}^2} - \frac{\sin^2 \theta_I}{k^2 - m_{I2}^2} \right], \quad (4.1)$$

where the radiative contribution is of the same form as Eq. (2.8), but we have left the loop-momentum integration undone for comparison with another expression to be discussed below.

Consider the couplings of  $H_1$  to the  $d$ ,  $s$ , and  $b$  quarks. In the standard model, the diagonal couplings are given by  $2^{-1} g m_i / M_W$ , and the off-diagonal couplings are one-loop induced by  $W$  exchange. For example, the  $\bar{s}_L b_R$  coupling is given by<sup>13</sup>

$$g_{sb} = \frac{g^3}{64\pi^2} \frac{m_b}{M_W} \sum_i U_{is}^* U_{ib} x_i \left[ \frac{3}{2} + \frac{m_H^2}{M_W^2} f(x_i) \right], \quad (4.2)$$

where  $i = u, c, t$ ;  $U$  is the Kobayashi-Maskawa matrix,  $x_i = m_i^2/M_W^2$ , and

$$f(x) = \frac{-(3-x)}{4(1-x)^2} + \frac{(2-x)x \ln x}{2(1-x)^3}. \quad (4.3)$$

In this model, there is an additional contribution due to scalar exchange given by

$$\begin{aligned} g'_{sb} = & \frac{igm_h}{4M_W} f_s^3 f_{10}^b \sin 2\theta \int \frac{d^4k}{(2\pi)^4} \frac{k^2 + m_h^2}{(k^2 - m_h^2)^2} \left[ \frac{1}{k^2 - m_1^2} - \frac{1}{k^2 - m_2^2} \right] \\ & + \frac{igm_y}{4M_W} f_s^3 f_{10}^b \int \frac{d^4k}{(2\pi)^4} \frac{k^2 + m_y^2}{(k^2 - m_y^2)^2} \left[ \frac{\cos^2\theta_R}{k^2 - m_{R1}^2} + \frac{\sin^2\theta_R}{k^2 - m_{R2}^2} - \frac{\cos^2\theta_I}{k^2 - m_{I1}^2} - \frac{\sin^2\theta_I}{k^2 - m_{I2}^2} \right] \\ & + \text{terms due to cubic scalar couplings.} \end{aligned} \quad (4.4)$$

Comparing against Eq. (4.1), we see that  $g'_{sb}$  is of the order  $2^{-1} g m'_{sb}/M_W$ , where

$$m'_{sb} = (f_4 v / \sqrt{2})(U_L)_{32}^*(U_R)_{33} \leq O(m_s). \quad (4.5)$$

Hence the additional effective  $H_1 \bar{q}_{iL} q_{jR}$  coupling is given by

$$g'_{ij} = \frac{gm_i}{2M_W} \zeta_{ij}, \quad (4.6)$$

where  $\zeta_{ij} \leq O(1)$ , and may well be greater than the standard-model contribution. The parameters  $\zeta_{ij}$  depend mostly on the unitary matrices which diagonalize the quark mass matrices according to Eq. (3.3). For  $i \neq j$ , they can be very much less than one. For example, if  $d \ll f$  in Eq. (3.7), then  $\zeta_{23} \ll 1$ , and if  $b \ll c$ , then  $\zeta_{12} \ll 1$ .

Consider  $B^0\text{-}\bar{B}^0$  mixing. The most important contribution from  $H_1$  exchange involves the effective  $\bar{b}_L d_R$  coupling and we have

$$\frac{G_F f_B^2 B_B}{2\sqrt{2}} \frac{m_b^2}{m_H^2} \zeta_{bd}^2 < \frac{\Delta m_B}{m_B}, \quad (4.7)$$

where  $f_B$  is the  $B$ -meson decay constant, and  $B_B$  is the value of the hadronic matrix element for  $B^0\text{-}\bar{B}^0$  mixing normalized to unity in the limit of the vacuum-saturation approximation. Using<sup>2</sup>  $\Delta m_B = (3.7 \pm 1.0) \times 10^{-13}$  GeV,  $m_B = 5.28$  GeV, and  $m_b = 4.7$  GeV, we find

$$\left[ \frac{f_B \sqrt{B_B}}{0.15 \text{ GeV}} \right]^2 \frac{\zeta_{bd}^2}{m_H^2} < 4.3 \times 10^{-8} \text{ GeV}^{-2}. \quad (4.8)$$

Hence  $\zeta_{bd} < 0.05$  if  $m_H = 250$  GeV. This is consistent with our analysis of the mass matrix in Sec. III, which requires only that  $\zeta_{bs}$  be of the order 0.5. In the case of  $D^0\text{-}\bar{D}^0$  mixing, using<sup>2</sup>  $\Delta m_D < 1.3 \times 10^{-13}$  GeV and the same assumptions as before, we find  $\zeta_{cu} < 0.15$ . Similarly, from  $K^0\text{-}\bar{K}^0$  mixing, we obtain  $\zeta_{sd} < 0.7$ . There are also box-diagram contributions to all of the above mass differences due to  $\Phi_2$  or  $\Phi_3$  exchange, as has already been pointed out in Ref. 3. Their typical values are all consistent with present experimental inputs.

The induced off-diagonal fermion couplings to the neutral gauge bosons  $\gamma, Z$ , and gluon are all constrained by the corresponding effective four-fermion interactions coming from the box diagrams. This means that they have natural upper bounds and in the present model, these can be saturated. In contrast, such couplings in the standard model are often very much smaller than the allowed upper bounds. Consider, for example, the  $Z\bar{d}s$  coupling. In the limit of vanishing external momenta, it is given in the standard model by<sup>14</sup>

$$\begin{aligned} g_{Z\bar{d}s} = & \frac{g^3}{64\pi^2 \cos\theta_W} \sum_i U_{id}^* U_{is} x_i \\ & \times \left[ \frac{-6 + x_i}{1 - x_i} - \frac{(2 + 3x_i) \ln x_i}{(1 - x_i)^2} \right], \end{aligned} \quad (4.9)$$

where the notation follows that of Eq. (4.2). Here, there is an additional contribution of the order  $g f^d f^s / (32\pi^2 \cos\theta_W)$  which may be much greater than  $g_{Z\bar{d}s}$  but is still small because  $f^d f^s / 4\pi$  is constrained by  $\Delta m_K$  to be less than about  $10^{-3}$ . Similar statements are applicable in the case of  $\bar{d}s$  couplings to the photon and the gluon.

As for the leptons, if we had allowed  $e$  and  $\mu$  to mix with  $\tau$ , then any  $\bar{e}\mu$  coupling would be related to the corresponding off-diagonal tree-level mass, as discussed already for the quarks. This mass would be of the order  $m_\tau (U_{L,R})_{31}^* (U_{R,L})_{32}$  which should not be less than about  $10^{-5}$  GeV, but if we used that as an estimate, we would find a branching fraction for  $\mu \rightarrow e\gamma$  some 3 orders of magnitude above its experimental upper limit of  $5 \times 10^{-11}$ . As it is, since  $\tau$  is odd under  $Z_2$ , it cannot mix with  $e$  or  $\mu$ . The mass matrix in the  $e\text{-}\mu$  sector is purely radiative. Upon diagonalization, the radiative contributions by themselves sum up to zero for each off-diagonal term; hence, the  $\mu \rightarrow e\gamma$  amplitude is naturally suppressed and agreement with experiment is easily obtained. Other rare processes such as  $\mu \rightarrow eee$  and  $\mu\text{-}e$  conversion in nuclei are affected in the same way.

### V. PHENOMENOLOGICAL CONSEQUENCES: OLD PARTICLES

Of all the known particles at present, the ones affected most directly by this model are  $\nu_\tau$  and  $\tau$ . As mentioned already in Sec. III,

$$\nu_\tau = \nu_3 \cos \theta_\tau + \nu_4 \sin \theta_\tau, \quad (5.1)$$

where  $\nu_3$  is a Majorana particle with a radiative mass  $m_3$ , and  $\nu_4$  is a linear combination of two Majorana particles with masses  $m_D^2/m_R$  and  $m_R$ . From double  $W$  exchange,<sup>9</sup> we find

$$m_3 \approx \frac{g^4 \sin^2 \theta_\tau \cos^2 \theta_\tau}{256 \pi^4} \left( \frac{m_E}{m_W} \right)^4 \frac{m_D^2}{m_R} \left[ \ln \frac{m_R^2}{m_E^2} - \frac{3}{4} \ln \frac{m_R^2}{M_W^2} \right]. \quad (5.2)$$

Let us assume that  $m_D^2/m_R > m_\tau$  so that  $\nu_4$  can decay into  $\tau$ , then experimentally,<sup>15</sup>  $m_D^2/m_R$  is either smaller than 2.5 GeV or greater than 5.4 GeV if  $\sin^2 \theta_\tau > 10^{-2}$ . Also,  $m_E > 41$  GeV from collider data,<sup>16</sup> and  $m_3 < 10^2$  eV from cosmology.<sup>17</sup> Assuming that  $m_R = 250$  GeV, we then obtain  $\sin^2 \theta_\tau < 0.079$  if  $m_D^2/m_R$  is between  $m_\tau$  and 2.5 GeV, and  $\sin^2 \theta_\tau < 0.024$  if  $m_D^2/m_R > 5.4$  GeV. On the other hand, from the  $\tau$  lifetime discrepancy, we find  $\sin^2 \theta_\tau = 0.079 \pm 0.036$ . Hence the fourth neutrino of this model is likely to have a mass between  $m_\tau$  and 2.5 GeV. Its various decay modes will be discussed in Sec. VI.

In  $\tau$  decay, all standard-model rates are reduced by  $\cos^2 \theta_\tau$  due to neutrino mixing, but at the same time, there are additional contributions from scalar exchange. Using Eq. (2.4) and noting that  $\nu_R$  has a large Majorana mass, we see that there are only two relevant Yukawa terms:

$$-L_Y = f_8^T \bar{l}_L \tau_R \Phi_2 + f_{10}^T (\bar{\nu}_3, \tau')_L l_R \Phi_2 + \text{H.c.}, \quad (5.3)$$

where  $\tau' = \tau \cos \theta_\tau - E \sin \theta_\tau$ . Therefore, the only additional decay modes are  $\tau \rightarrow e \nu_e \bar{\nu}_3$ ,  $\tau \rightarrow e \nu_\mu \bar{\nu}_3$ ,  $\tau \rightarrow \mu \nu_\mu \bar{\nu}_3$ , and  $\tau \rightarrow \mu \nu_e \bar{\nu}_3$ , as shown in Fig. 2. Since the usual leptonic decay modes of the  $\tau$  are  $\tau \rightarrow e \bar{\nu}_e \nu_3$  and  $\tau \rightarrow \mu \bar{\nu}_\mu \nu_3$ , these amplitudes interfere with the new ones only to the extent that  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_3$  have Majorana masses, but such masses are very small; hence, we need only add their separate rates together. The effective interaction for  $\tau \rightarrow e \nu_e \bar{\nu}_3$  is given by

$$H_{\text{eff}} = [(f_8^T)_e (f_{10}^T)_e / \bar{m}_2^2] \bar{e} \left[ \frac{1-\gamma_5}{2} \right] \nu_3 \bar{\nu}_e \left[ \frac{1+\gamma_5}{2} \right] \tau, \quad (5.4)$$

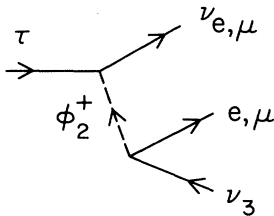


FIG. 2. Decays of  $\tau$  via  $\phi_2^\pm$ .

where  $\bar{m}_2$  is the effective mass of  $\phi_2^\pm$ , which is certainly not a mass eigenstate. Experimentally, the measured rate for  $\tau \rightarrow e \nu \bar{\nu}$  is the sum of the rates for  $\tau \rightarrow e \bar{\nu}_e \nu_3$ ,  $\tau \rightarrow e \nu_e \bar{\nu}_3$ , and  $\tau \rightarrow e \nu_\mu \bar{\nu}_3$ . Hence it differs from the prediction of the standard model by  $(\cos^2 \theta_\tau + r_e)$ , where

$$r_e = \frac{[(f_8^T)_e]^2 + (f_8^T)_\mu^2}{32 G_F^2 \bar{m}_2^4} (f_{10}^T)_e^2. \quad (5.5)$$

If  $r_e$  is significant, it will affect our previous analysis where the  $\tau$  lifetime depends only on  $\theta_\tau$ . The effective interaction of Eq. (5.4) does not have the usual  $V-A$  structure, but as it turns out, the only differences with the standard model are in the parameters<sup>2</sup>  $\xi$  and  $\xi'$ , both of which become  $-1$  instead of  $1$ .

From Eq. (5.3), we see that there are two other possible experimental consequences. The process  $e^- e^- \rightarrow \tau^- \tau^-$  occurs through  $\phi_2^0$  exchange, but the amplitude is proportional to  $(f_8^T)_e (f_{10}^T)_e$  divided by the  $\phi_2^0$  effective mass squared and is undoubtedly very small because it is closely related to  $r_e$  of Eq. (5.5). Similarly, the amplitude for  $e^- e^+ \rightarrow \tau^- \tau^+$  through  $\phi_2^0$  exchange is proportional to  $(f_8^T)_e^2 + (f_{10}^T)_e^2$ , which is not likely to be much bigger.

### VI. PHENOMENOLOGICAL CONSEQUENCES: NEW PARTICLES

Consider first the new leptons. Certainly,  $E$  will decay into  $\nu_4$  or  $\nu_3$  plus a  $W$  boson in the usual way, but it can also decay into  $\bar{\nu}_3 l \nu$  through  $\phi_2^\pm$ . More interestingly, it can decay through  $\phi_2^0$  into the exotic channels  $\tau e^- e^+$ ,  $\tau e^- \mu^+$ ,  $\tau \mu^- \mu^+$ , and  $\tau \mu^- e^+$ . Branching fractions of the order  $10^{-3}$  for the above are quite possible. Note that  $E$  is odd under the unbroken  $Z_2$  discrete symmetry of this model, so its decay product must contain another particle which is odd under  $Z_2$  such as  $\tau$  or  $\nu_3$ . In fact,  $\nu_3$  is the lightest such particle and must therefore be stable. As for  $\nu_4$ , if it is heavier than  $\tau$ , it will decay into  $\tau$  plus a  $W$  boson in the usual way. In Sec. V we used a number of experimental and theoretical constraints to estimate the mass of  $\nu_4$  to be between  $m_\tau$  and 2.5 GeV. If this is the case, all  $\nu_4 \rightarrow \tau$  decays will be kinematically suppressed. On the other hand, the exotic decays of  $\nu_4$  into  $\nu_3 e^- e^+$ ,  $\nu_3 e^- \mu^+$ ,  $\nu_3 \mu^- \mu^+$ , and  $\nu_3 \mu^- e^+$  through  $\phi_2^\pm$  exchange as shown in Fig. 3 are not. They may thus even be experimentally observable at a  $Z^0$  factory such as LEP at CERN, where  $Z^0$  decay would be a copious source of  $\nu_4 \bar{\nu}_4$ . If  $\nu_4$  is lighter than  $\tau$ , then  $\tau$  decays into  $\nu_4$  which must itself decay into  $\nu_3 e^- e^+$ , etc. Such modes have not been identified in experimental studies of  $\tau$  decay, but

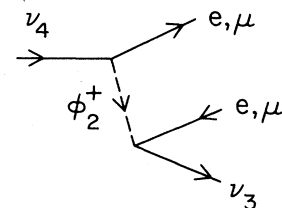


FIG. 3. Decays of  $\nu_4$  via  $\phi_2^\pm$ .

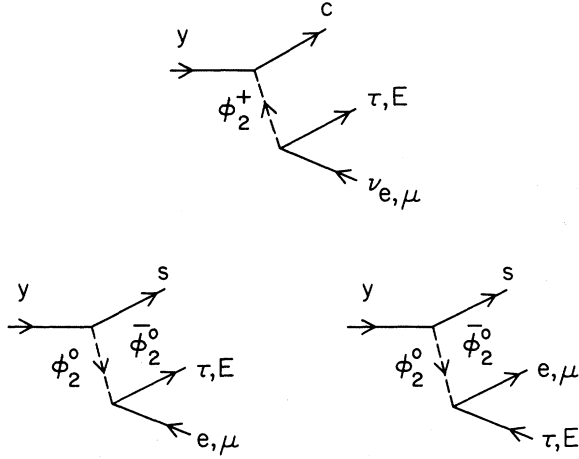


FIG. 4. Decays of  $y$  via  $\phi_2^\pm$  and  $\phi_2^0(\bar{\phi}_2^0)$ .

should be detectable at a certain level depending on the acceptance and efficiency of the detectors involved.

Consider next the new quarks. Both  $h$  and  $y$  are presumably quite heavy, with masses of the order  $10^2$  GeV. They are odd under  $Z_2$ , so they cannot mix with the usual quarks and will not decay into them through the  $W$  boson. If  $m_h > m_y$ , then  $h$  decays into  $y$  plus a  $W$  boson in the usual way, but then  $y$  will have to decay by some other means. From Eq. (2.4), we see that  $y \rightarrow c\bar{l}\bar{\nu}_{3,4}$ ,  $y \rightarrow cL\bar{\nu}_{e,\mu}$ ,  $y \rightarrow s\bar{L}$ , and  $y \rightarrow sL\bar{l}$ , where  $l$  denotes  $e, \mu$ , and  $L$  denotes  $\tau, E$ , through  $\phi_2^\pm$  and  $\phi_2^0(\bar{\phi}_2^0)$  exchange as shown in Fig. 4 are probably the dominant decays. In other words,  $y$  will have only semileptonic decays and some of them, such as  $y \rightarrow s\tau^+\mu^-$ , are not even expected to be observable in the standard model. The experimental signature should be unmistakable. If  $m_y > m_h$ , then  $h \rightarrow s\bar{l}\nu_{3,4}$  and  $h \rightarrow s\bar{L}\nu_{e,\mu}$  through  $\phi_2^\pm$  exchange are important decays. The processes  $h \rightarrow c + 2$  neutral leptons through  $\phi_3^0$  exchange are suppressed by phase space because one of the leptons has to be  $\nu_R$  or  $N_R$ , either of which has a Majorana mass of the order  $10^2$  GeV. The processes  $h \rightarrow c + 2$  charged leptons require mixing between  $\phi_2^0$  and  $\phi_3^0$  which diminishes the amplitudes but may be compensated by the fact that  $f_{7,9}^c$  are likely to be somewhat greater than  $f_{8,10}^s$ . If so, there would be again unmistakable decay modes such as  $h \rightarrow c\tau^+\mu^-$ .

As for the scalar bosons,  $H_1$  acts very much like the standard-model Higgs boson, but its off-diagonal fermion couplings are enhanced, as discussed already in Sec. IV. The other scalar bosons are odd under  $Z_2$ , so they must decay eventually down to  $\nu_3$ . For example,  $\phi_2^0$  or  $\bar{\phi}_2^0$  ( $\phi_3^0$  or  $\bar{\phi}_3^0$ ) can decay directly (through mixing) into  $\mu^+\tau^-$ , then  $\tau^-$  decays into  $\nu_3$  plus a virtual  $W$  boson. The  $\phi_{2,3}^\pm$  decay modes, such as  $\phi_{2,3}^+ \rightarrow \nu_3\mu^+$ ,  $\phi_{2,3}^- \rightarrow \nu_3\tau^-$ , etc., are less spectacular, but there should be a finite probability for  $\phi_{2,3}^+ \rightarrow \phi_{2,3}^0 W^+$ , where the two final-state particles are not necessarily on shell, with subsequent conversion of  $\phi_{2,3}^0$  into  $\mu^+\tau^-$ , etc.

## VII. CONCLUSION

We have analyzed in this paper the model of Ref. 3 in some detail. This model has a  $Z_4$  discrete symmetry which is softly broken down to  $Z_2$ . Under  $Z_2$ , the first three quark generations and the first two lepton generations are even, but the fourth quark generation and the third, fourth lepton generations are odd. There are two additional right-handed neutral-lepton singlets  $\nu_R$  and  $N_R$  (even and odd, respectively, under  $Z_2$ ) which have gauge-invariant Majorana masses. Upon spontaneous breaking of the gauge symmetry, the tree-level masses are obtained for all fermions odd under  $Z_2$  as well as for one linear combination each of the  $(u, c, t)$  and  $(d, s, b)$  quarks. All other fermion masses and mixing are then one-loop induced by the exchange and mixing of scalar doublets odd under  $Z_2$ , or in the case of neutrinos, through double  $W$  exchange. Consequently, there is no need for very small couplings. The  $f$ 's in Eq. (2.4) are all greater than  $10^{-2}$ . There is also no need for very large masses, as would be the case if physics beyond the electroweak mass scale is required.

Because of mixing,  $\nu_\tau$  is a linear combination of  $\nu_3$  which is the lightest particle odd under  $Z_2$  and  $\nu_4$  which is presumably heavier than the  $\tau$ . Hence  $\tau$  decays into only  $\nu_3$ , with a reduced coupling and thus a longer lifetime. Using available experimental and theoretical constraints, we estimate the mass of  $\nu_4$  to be between  $m_\tau$  and 2.5 GeV. In addition to decaying into  $\tau$  plus a virtual  $W$  boson,  $\nu_4$  should also decay into  $\nu_3 e^- e^+$ ,  $\nu_3 e^- \mu^+$ ,  $\nu_3 \mu^- \mu^+$ , and  $\nu_3 \mu^- e^+$  through  $\phi_2^\pm$  exchange as shown in Fig. 3. Similarly, the fourth charged lepton  $E$  can decay into  $\tau e^- e^+$ ,  $\tau e^- \mu^+$ ,  $\tau \mu^- \mu^+$ , and  $\tau \mu^- e^+$ . Some of these modes should have unmistakable signatures.

The fourth-generation quarks  $h$  and  $y$  are odd under  $Z_2$ . If  $m_h > m_y$ , then  $y$  must decay into  $\nu_3$ ,  $\nu_4$ ,  $\tau$ , or  $E$  as shown in Fig. 4. We expect decay modes such as  $y \rightarrow s\tau^+\mu^-$  to stand well above background. Similarly, if  $m_y > m_h$ , then  $h$  must decay into  $\nu_3$ ,  $\nu_4$ ,  $\tau$ , or  $E$ , and decay modes such as  $h \rightarrow c\tau^+\mu^-$  will appear.

Flavor-changing neutral currents are absent at the tree level among the first three generations of quarks and among the first two of leptons. However, the one-loop-induced off-diagonal fermion couplings to  $H, \gamma, Z$ , and gluon receive additional contributions from scalar exchange and may be enhanced in some cases, such as,  $B^0$ - $\bar{B}^0$  mixing, and not in others. In fact, the prime motivation for separating the third and fourth lepton generations from the first and second is to make sure that  $\mu \rightarrow e\gamma$  does not proceed at a rate higher than the experimental limit as discussed in Sec. IV. As a result,  $\tau$  is odd under  $Z_2$  and so is the neutrino that it decays into. The new particles of this model must then have decay products containing  $\tau$  or  $\nu_3$ . The observation of such a particle would be the key experimental evidence for it.

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