

## Bounds on the mass of $W_R$ and the $W_L$ - $W_R$ mixing angle $\zeta$ in general $SU(2)_L \times SU(2)_R \times U(1)$ models

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We consider the phenomenological constraints on the mass  $M_R$  and the  $W_L$ - $W_R$  mixing angle  $\zeta$  in a very general class of  $SU(2)_L \times SU(2)_R \times U(1)$  models. In particular, almost no model-dependent assumptions are made concerning left-right symmetry or the Higgs structure of the theory, which means that  $U^R$ , the mixing matrix for right-handed quarks, is unrelated to the left-handed Cabibbo-Kobayashi-Maskawa matrix  $U^L$ . We consider a number of possibilities for the neutrinos occurring in right-handed currents, including (a) heavy Majorana neutrinos, (b) heavy Dirac neutrinos, (c) intermediate-mass (10–100 MeV) neutrinos, and (d) light neutrinos (e.g., the Dirac partners of the ordinary left-handed neutrinos). For each case we utilize relevant constraints from the  $K_L$ - $K_S$  mass difference,  $B_d\bar{B}_d$  oscillations, the  $b$  semileptonic branching ratio and decay rate, neutrinoless double-beta decay, theoretical relations between mass and mixing, universality, nonleptonic kaon decays, muon decay, and astrophysical constraints from nucleosynthesis and SN 1987A. As is to be expected the limits on  $M_R$  are considerably weaker than for the special case of manifest or pseudomanifest left-right symmetry ( $M_R > 1.4$  TeV). In fact, if extreme fine-tuning is allowed the  $W_R$  could be as light as the ordinary  $W_L$ . However, with reasonable restrictions on fine-tuning one obtains  $M_R > 300$  GeV for  $g_R = g_L$ , with more stringent limits holding for most of parameter space. If  $CP$ -violating phases in  $U^R$  are small the limit on mixing ( $|\zeta| < 0.0025$  for  $g_R = g_L$ ) is almost as stringent as for the case of left-right symmetry. For large phases  $|\zeta|$  could be as large as  $\sim 0.013$ .

### I. INTRODUCTION

Soon after the discovery of parity violation<sup>1</sup> it was established that to first approximation the weak charged currents have  $V - A$  structure.<sup>2</sup> This is incorporated into the standard model<sup>3</sup> by having only the left-handed fermions transform nontrivially under the  $SU(2)$  group. The question then naturally arises as to whether the right-handed fermions take part in charged-current weak interactions at all, and, if they do, with what strength. One can easily introduce charged-current interactions for the right-handed fermions by extending the gauge group.<sup>4</sup> The simplest example is the  $SU(2)_L \times SU(2)_R \times U(1)$  model,<sup>5</sup> in which the left-handed fermions transform as doublets under  $SU(2)_L$  and are invariant under  $SU(2)_R$ , with the situation reversed for the right-handed fermions. The  $U(1)$  factor is also different from the standard model  $U(1)$ : for the ordinary quarks and leptons it couples to  $B - L$ . [One sometimes denotes the gauge group as  $SU(2)_L \times SU(2)_R \times U(1)_{B-L}$ .]

The addition of a new  $SU(2)$  to the gauge group implies the existence of three new gauge bosons: two charged and one neutral. There are thus two sets of charged gauge bosons: the  $W_L^\pm$  belonging to  $SU(2)_L$  are the same as the  $W^\pm$  of the standard model, while the  $W_R^\pm$  of  $SU(2)_R$  are new. In general these gauge group eigenstates mix with each other to form mass eigenstates  $W_{1,2}^\pm$  of mass  $M_{1,2}$ :

$$\begin{aligned} W_L^+ &= \cos\zeta W_1^+ - \sin\zeta W_2^+, \\ W_R^+ &= e^{i\omega}(\sin\zeta W_1^+ + \cos\zeta W_2^+), \end{aligned} \quad (1)$$

where  $\zeta$  is a mixing angle and  $\omega$  is a  $CP$ -violating phase.<sup>6</sup> If  $\zeta$  is small (which turns out to be the case) then  $W_R$  and  $W_L$  approximately coincide with  $W_2$  and  $W_1$ , respectively. In this case,  $M_2 \simeq M_R$  and  $M_1 \simeq M_L$ , where  $M_R$  and  $M_L$  are the masses of  $W_R$  and  $W_L$  in the absence of mixing. There is also a second  $Z$  boson  $Z'$ . Limits on the  $Z'$  mass ( $> 325$  GeV) and mixing angle with the ordinary  $Z$  ( $|\theta| < 0.05$ ) can be obtained from weak neutral-current analyses<sup>7</sup> and will not be discussed here.

There have been many theoretical and phenomenological studies of  $SU(2)_L \times SU(2)_R \times U(1)$  models,<sup>8</sup> and many limits have been presented on  $M_R$  and  $\zeta$  (Ref. 9). However, almost all of these analyses have involved extra assumptions, especially on the Higgs structure of the theory. Most authors have assumed that the Lagrangian is invariant under a discrete left-right ( $L$ - $R$ ) symmetry in which the left- and right-handed fermions are interchanged. These models imply that the gauge couplings  $g_L$  and  $g_R$  of the  $SU(2)_L$  and  $SU(2)_R$  subgroups, respectively, are equal, as well as restrictions on the Yukawa couplings of the theory. They are attractive because they imply that the original Lagrangian is parity conserving; i.e., parity violation occurs because of spontaneous symmetry breaking, which yields different masses for the  $SU(2)_L$  and  $SU(2)_R$  gauge bosons. Such models are viable when considered in isolation, but run into serious difficulties when embedded in grand unified theories or when their cosmological implications are considered. In particular, they lead to much too high a prediction for  $\sin^2\theta_W$ , have severe difficulties in accounting for the cosmological baryon asymmetry, and may lead to cosmo-

logical domain-wall problems.<sup>10</sup> For these reasons, most recent authors<sup>11</sup> have assumed that the discrete  $L$ - $R$  symmetry is not a good symmetry at low (TeV) energies, i.e., that it is broken at much higher scale than the  $SU(2)_L \times SU(2)_R \times U(1)$ -breaking scale. This allows  $g_L \neq g_R$ .

In addition to  $L$ - $R$  symmetry, almost all limits have been derived under the further assumption that the symmetry is either manifest (see Ref. 12 for a detailed discussion) or pseudomanifest.<sup>13</sup> Manifest  $L$ - $R$  symmetry follows from the unrealistic assumption that  $CP$  violation is generated by complex Yukawa couplings, but that the vacuum expectation values (VEV's) of the Higgs field which generate the fermion masses are real. It implies  $U^L = U^R$ , where  $U^L$  is the ordinary Cabibbo-Kobayashi-Maskawa (CKM) quark mixing matrix for the left-handed currents,<sup>14</sup> and  $U^R$  is the analogous mixing matrix for the right-handed currents. Pseudomanifest  $L$ - $R$  symmetry requires that both  $CP$  and  $P$  violation are spontaneous, i.e., that the Yukawa couplings are real. It implies that the quark mixing matrices are related by  $U^R = U^L * K$ , where  $K$  is a diagonal phase matrix.<sup>8</sup> While reasonable on particle-physics grounds, spontaneous  $CP$  breaking runs into the aforementioned difficulties with the cosmological baryon asymmetry and domain walls.

The usual ansatz  $|U_{ij}^L| = |U_{ij}^R|$  is therefore dependent on questionable assumptions concerning the origin of  $CP$ -breaking phases. Such phases could well be large, leading to a large violation. ( $CP$  violation in the standard model is small because of small intergenerational mixing angles, *not* because of a small phase.) The ansatz also depends on the specific Higgs content of the theory: it can be evaded for the more general realizations of  $L$ - $R$  symmetry allowed if there are extra Higgs representations.<sup>8</sup> Finally, it depends on the assumption of a discrete left-right symmetry which restricts the form of the Yukawa couplings. This  $L$ - $R$  symmetry is not required by either the  $SU(2)_L \times SU(2)_R \times U(1)$  gauge symmetry or by its possible extension to  $SO(10)$  (Ref. 15). Despite these caveats, we consider  $|U^L| = |U^R|$  to be the simplest and most likely possibility. However, alternatives should be investigated.

Similarly, most analyses have assumed that the neutrinos involved in right-handed currents are either very light or else are heavy Majorana neutrinos. This is again a model-dependent (i.e., Higgs- and fermion-representation-dependent) ansatz.

We consider the  $SU(2)_L \times SU(2)_R \times U(1)$  gauge structure to be more fundamental than the Higgs/Yukawa/fermion-representation structure, so it seems worthwhile to reexamine the phenomenological limits without assuming manifest or pseudomanifest  $L$ - $R$  symmetry, for a variety of assumptions concerning the right-handed neutrinos, and allowing  $g_L \neq g_R$  (we do assume that  $g_L$  and  $g_R$  are the same order of magnitude). In particular, we investigate the existing constraints and limits on  $M_R$  and  $\zeta$  allowing a completely arbitrary  $U^R$  for several classes of  $SU(2)_L \times SU(2)_R \times U(1)$  models: (a) those involving heavy ( $> m_\mu$ ) Majorana neutrinos in the right-handed currents, (b) those with heavy Dirac neutrinos (whose left-handed partners are distinct from the or-

dinary left-handed neutrinos), (c) intermediate-mass (10–100 MeV) neutrinos, and (d) models involving light right-handed neutrinos (e.g., Dirac partners of the ordinary left-handed neutrinos). The implications of these general models for high-energy colliders<sup>16</sup> and for the rare decay  $K_L \rightarrow \mu e$  (Ref. 17) are considered elsewhere.

The plan of this paper is the following. In Sec. II we briefly review the relevant formalism of general  $SU(2)_L \times SU(2)_R \times U(1)$  theories and of specific models. Section III is devoted to the various experimental and theoretical constraints. Most are generalizations of constraints that have been obtained previously in specific models. The most important are the following.

(i) For light ( $< 1$ – $10$  MeV) right-handed neutrinos there are extremely stringent constraints from nucleosynthesis<sup>18</sup> and from the energetics of Supernova 1987A (Ref. 19).

(ii) Limits on deviations of muon decay parameters from the  $V - A$  predictions yield (Refs. 20–22)

$$M_R > 406 \text{ GeV}, \quad -0.04 < \zeta < 0.056 \quad (2)$$

and the correlated allowed region in Fig. 1. [We have translated these results into our sign convention for  $\zeta$  (Ref. 23).] These limits apply only if the right-handed neutrinos are light enough to be produced without kinematic suppression in  $\mu$  decay.

(iii) The  $K_L$ - $K_S$  mass difference  $\Delta m_K$  can receive an important contribution from the box diagrams in Fig. 2 involving both  $W_L$  and  $W_R$  exchange, which have a strongly enhanced matrix element.<sup>24</sup> For the cases of manifest or pseudomanifest  $L$ - $R$  symmetry, this yields a very stringent bound<sup>24,25</sup>  $M_R > 1.4$ – $2.5$  TeV, with the exact value depending on certain theoretical assumptions. However, this limit is strongly dependent on the assumed

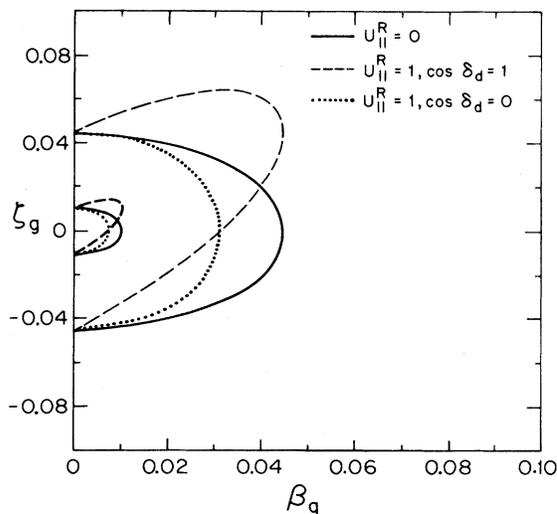


FIG. 1. 90%-C.L. regions in  $\beta_g$  and  $\zeta_g$  from muon decay for forms  $U_{(III)}^R$  or  $U_{(IV)}^R$  (region between solid lines); forms  $U_{(I)}^R$  or  $U_{(II)}^R$  with  $\cos \delta_d = 1$  (dashed lines); forms  $U_{(I)}^R$  or  $U_{(II)}^R$  with  $\cos \delta_d = 0$  (dotted lines). The constraints for PMLRS are almost identical to  $U_{(I)}^R$  and  $U_{(II)}^R$ . The standard model corresponds to  $\beta_g = \zeta_g = 0$ .

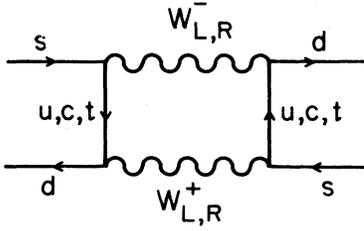


FIG. 2. Box diagrams for  $K^0$ - $\bar{K}^0$  mixing, ignoring  $W_L$ - $W_R$  mixing (which gives negligible corrections for allowed parameters). The  $W_L$ - $W_L$  diagram is the standard-model contribution.

(pseudo)manifest  $L$ - $R$  symmetry (PMLRS). For arbitrary  $U^R$  the limits are much weaker.<sup>26</sup> In fact, there are certain fine-tuned values for the elements of  $U^R$  for which  $\Delta m_K$  yields no useful constraint on  $M_R$ . In Sec. III we will formulate reasonable criteria forbidding extreme fine-tuning. In that case there are two small (but not excessively fine-tuned) regions of parameter space which yield the weakest constraints. These are centered around

$$U_{(A)}^R(\alpha) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_\alpha & \pm s_\alpha \\ 0 & s_\alpha & \mp c_\alpha \end{pmatrix}, \quad (3)$$

$$U_{(B)}^R(\alpha) = \begin{pmatrix} 0 & 1 & 0 \\ c_\alpha & 0 & \pm s_\alpha \\ s_\alpha & 0 & \mp c_\alpha \end{pmatrix},$$

where  $c_\alpha \equiv \cos \alpha$ ,  $s_\alpha \equiv \sin \alpha$ , and  $\alpha$  is an arbitrary angle. We will concentrate on the special cases  $\alpha=0$  and  $\alpha=\pi/2$ , which yield the four special forms  $U_{(I)}^R$ - $U_{(IV)}^R$  listed in Table I. The results for other values of  $\alpha$  smoothly interpolate between these limits. It should be noted that given the observed hierarchy of quark mass eigenvalues one expects small mixings between families in  $U^{L,R}$  except for fine-tuned values of the quark mass matrices. Only form  $U_{(I)}^R \sim I$  satisfies this criterion. We

TABLE I. Special forms for  $U^R$  allowed by three-family unitarity. The constraints on  $M_R$  are weakest for  $U^R$  in the vicinity of  $U_{(A)}^R(\alpha)$  and  $U_{(B)}^R(\alpha)$  in (3).  $U_{(A)}^R(\alpha)$  interpolates smoothly between  $U_{(I)}^R$  and  $U_{(II)}^R$  as  $\alpha$  varies between 0 and  $\pi/2$ , while  $U_{(B)}^R(\alpha)$  interpolates between  $U_{(III)}^R$  and  $U_{(IV)}^R$ . (Only the absolute values of the matrix elements are relevant.)  $U_{(LR)}^R$  can represent either the case of manifest ( $U_{(LR)}^R = U^L$ ) or pseudomanifest ( $U_{(LR)}^R = U^L * K$ ) left-right symmetry.

$U_{(I)}^R = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$U_{(III)}^R = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$
$U_{(II)}^R = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$	$U_{(IV)}^R = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$
$ U_{(LR)}^R _{ij} =  U_{ij}^L $	

will, however, consider the phenomenological implications for all four cases. The weakest limit from  $\Delta m_K$  is for  $U_{(I)}^R \sim I$ , which yields  $M_R > 300$  GeV for  $g_R \approx g_L$ , independent of the properties of the right-handed neutrinos. We emphasize, however, that most of the volume of parameter space yields stronger constraints, closer to those for PMLRS.

(iv)  $B_d \bar{B}_d$  mixing places a stringent constraint on  $M_R$  for  $U_{(IV)}^R$ . [The  $W_R$ -exchange diagrams are unimportant for PMLRS (Ref. 27).] Neither  $B_s \bar{B}_s$  mixing (expected to be near maximal in the standard model) nor  $D\bar{D}$  mixing yield important constraints.

(v) For the case of very heavy ( $> m_b$ ) neutrinos the right-handed current does not contribute to leptonic or semileptonic decays of the known fermions.<sup>28</sup> In this case the  $b$ -quark semileptonic branching ratio is modified from the standard-model prediction. This constraint improves the bounds obtained from  $\Delta m_K$  alone (from around 350 GeV to  $\sim 450$  GeV) for  $U^R$  near  $U_{(II)}^R$  or  $U_{(IV)}^R$ . Plausible arguments involving the total  $b$  lifetime<sup>29</sup> and the  $b$  semileptonic decay spectrum can be used to extend these limits to neutrino masses smaller than  $m_b$ .

(vi) Mohapatra has argued<sup>30</sup> that the combination of limits on neutrinoless double-beta decay<sup>31</sup> ( $\beta\beta_{0\nu}$ —the relevant diagram is shown in Fig. 3) and vacuum stability imply strong constraints on models with PMLRS for the case of heavy Majorana neutrinos. We generalize the argument to the case of arbitrary  $U^R$  and show that it considerably strengthens the limits on  $M_R$  for forms I and II.

There are several stringent bounds on the  $W_L$ - $W_R$  mixing angle  $\zeta$ .

(a) Masso<sup>32</sup> has derived the important bound

$$|\zeta| \leq \beta \equiv \frac{M_1^2}{M_2^2}, \quad (4)$$

for the case of  $L$ - $R$  symmetry. In fact,  $L$ - $R$  symmetry only enters in the justification of using relatively small Higgs representations of  $SU(2)_L \times SU(2)_R \times U(1)$  and for taking  $g_L = g_R$ . We show that (4) generalizes to

$$|\zeta_g| \leq C\beta_g, \quad (5)$$

where

$$\zeta_g \equiv \frac{g_R}{g_L} \zeta, \quad \beta_g \equiv \frac{g_R^2}{g_L^2} \beta = \frac{g_R^2}{g_L^2} \frac{M_1^2}{M_2^2}, \quad (6)$$

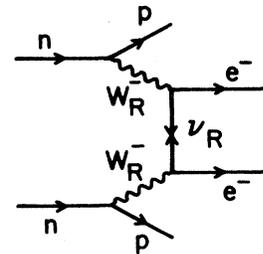


FIG. 3. New contribution to neutrinoless double-beta decay ( $\beta\beta_{0\nu}$ ) for the case of a heavy Majorana neutrino. The effects of  $W_L$ - $W_R$  mixing are unimportant (Ref. 30).

and  $C$  is a constant that is of order unity for all reasonable Higgs representations. Equation (5) is mainly important for very heavy (TeV)  $W_R$ .

(b) Wolfenstein<sup>33</sup> has derived a stringent limit ( $|\zeta_g| < 0.002$  using current data) from weak universality for the case of heavy neutrinos and PMLRS. We generalize the constraint to arbitrary  $U^R$  and show that it actually applies (to leading order in small quantities) to the case of light neutrinos as well. The universality constraint is very stringent for all  $U^R$  as long as  $CP$ -violating phases in  $e^{i\omega}U^R$  are small. For maximal phases, however, only a much weaker second-order constraint (which applies only to heavy neutrinos) survives.

(c) Demanding that the PCAC (partial conservation of axial-vector-current) relations between  $K \rightarrow 2\pi$  and  $K \rightarrow 3\pi$  be valid to an accuracy of 10%, Donoghue and Holstein obtained a bound on  $\zeta_g$  comparable to that from universality for the case of PMLRS, independent of the neutrino masses.<sup>34</sup> Its generalization has a somewhat different dependence on  $U_{ud}^R$  and  $U_{us}^R$  than the universality constraint, and they are therefore complementary. Again, the constraints are very stringent for small phases in  $e^{i\omega}U^R$ . For large phases, second-order constraints from PCAC are weaker by a factor  $\sim 3.5$ , but nevertheless lead to the best limits on mixing for this case. The theoretical assumptions in the  $K$  decay limit are somewhat more questionable than the other constraints—the sensitivity of the results (for small phases) to this particular input can be read off from Figs. 5–7 below.

(d)  $W_L$ - $W_R$  mixing reduces the mass  $M_1$  of the lighter gauge boson from the standard-model value  $M_L$  (Ref. 35). Current data sets a limit of a few percent on  $|\zeta|$  for  $M_R$  in the several hundred GeV range. Future data should improve this constraint considerably.

Several other constraints on  $M_2$  and  $\zeta$  are not used because they are weaker than those considered here. These include direct production limits,<sup>36</sup>  $\beta$ -decay asymmetries and distributions,<sup>37</sup> and the  $y$  distribution in deep-inelastic charged-current neutrino scattering.<sup>38</sup> There are many limits<sup>39</sup> on the mixing between the ordinary neutrinos and possible new heavy neutrinos in the  $SU(2) \times U(1)$  model, particularly for heavy neutrinos in the 10 MeV–10 GeV range. Some of these could possibly be translated into useful constraints on  $M_2$  and  $\zeta$  for narrow ranges of right-handed neutrino masses, but we have not attempted to do so. We have not included any constraints from  $CP$  violation.<sup>40</sup> That is an entirely different dimension involving many new phases. ( $CP$ -violating effects could be important when  $e^{i\omega}U^R$  has large phases—the subject merits further investigation.) Similarly, we do not consider flavor-changing neutral currents induced by Higgs bosons.<sup>8</sup>

In Sec. IV we present the limits on  $M_2$  and  $\zeta$ . One cannot effectively separate  $g_R/g_L$ , so limits are presented for  $M_{2g} \equiv g_L M_2/g_R$ ,  $\zeta_g$ , and  $\beta_g$ . The most important single constraint is  $\Delta m_K$ , which severely limits the value of  $M_{2g}$  except in the vicinity of the special values of  $U^R$  listed in (3) and in Table I. (We have carried out fits in which the elements of  $U^R$  were completely arbitrary except for unitarity constraints, but have found no weaker limits or interesting cases other than these special forms.) Essentially

all of the constraints are ineffective for certain cases for  $U^R$  and the neutrino masses, but collectively they set reasonably strong limits on  $M_2$  and  $\zeta$  in all cases. The weakest limit (barring extreme fine-tuning) turns out to be  $\beta_g < 0.075$  ( $M_{2g} > 300$  GeV) at 90% C.L., which occurs for a heavy Dirac neutrino for  $U^R$  near  $U_{(I)}^R$  (the identity); the limits are much stronger in most other cases. (These results assume three-family unitarity, but would be essentially unchanged if there are additional families with small mixing with the first three.) The limits on  $M_{2g}$  for all cases are summarized in Table II, and estimates of possible production limits at the Superconducting Super Collider (SSC) and Fermilab Tevatron are given in Fig. 4.

Limits on  $\zeta_g$  are summarized in Table III and in Figs. 5–7. The limits depend critically on the phases  $\delta_{d,s}$  of  $e^{i\omega}U_{ud}^R$  and  $e^{i\omega}U_{us}^R$ . We therefore consider the extreme cases  $\cos\delta_{d,s} = 1$  (small  $CP$  violation) and  $\cos\delta_{d,s} = 0$  (maximum  $CP$  phases). The limits on  $|\zeta_g|$  are always very stringent for  $\cos\delta = 1$ , the weakest being

TABLE II. 90%-C.L. limits on  $\beta_g \equiv g_R^2 M_1^2 / g_L^2 M_2^2$  and on  $M_{2g} \equiv g_L M_2 / g_R$  (GeV) for forms  $U_{(I)}^R - U_{(IV)}^R$  and PMLRS and for various assumptions concerning the neutrinos. The  $B_d \bar{B}_d$  constraints (relevant to case IV only) are for  $M_t = 50$  GeV. The limits in square brackets for case IV are obtained by omitting the  $B_d \bar{B}_d$  mixing constraint. The limits listed for light neutrinos are from SN 1987A or nucleosynthesis only. The intermediate-mass limits also apply where they are more stringent. For light and intermediate-mass Majorana  $\nu_{eR}$  the stringent restrictions in (55) and (56) from  $\beta\beta_{0\nu}$  also apply.

Case	$\beta_g$	$M_{2g}$
Heavy Majorana neutrino ( $\Delta m_K + B_d \bar{B}_d + b + \beta\beta_{0\nu}$ )		
$U_{(I)}^R$	0.0099	810
$U_{(II)}^R$	0.010	800
$U_{(III)}^R$	0.015	670
$U_{(IV)}^R$	0.012 [0.032]	740 [450] GeV
$U_{(LR)}^R$	0.0036	1.4 TeV
Heavy Dirac neutrino ( $\Delta m_K + B_d \bar{B}_d + b$ )		
$U_{(I)}^R$	0.075	300
$U_{(II)}^R$	0.032	460
$U_{(III)}^R$	0.015	670
$U_{(IV)}^R$	0.012 [0.032]	740 [450] GeV
$U_{(LR)}^R$	0.0036	1.4 TeV
Intermediate-mass neutrino ( $\Delta m_K + B_d \bar{B}_d + \mu$ decay)		
$U_{(I)}^R$	0.027	500
$U_{(II)}^R$	0.027	500
$U_{(III)}^R$	0.021	560
$U_{(IV)}^R$	0.012 [0.038]	740 [420] GeV
$U_{(LR)}^R$	0.0039	1.3 TeV
Light neutrino $m_{\nu_{iR}} < 10$ MeV [Supernova 1987A (Ref. 19)]		
$U_{(III)}^R, U_{(IV)}^R$	0.013	720
$U_{(I)}^R, U_{(II)}^R, U_{(LR)}^R$	$2.5 \times 10^{-5}$	16.2 TeV
Light neutrinos $m_{\nu_{iR}} < 1$ MeV [nucleosynthesis (Ref. 18)]		
All		$O(1$ TeV)

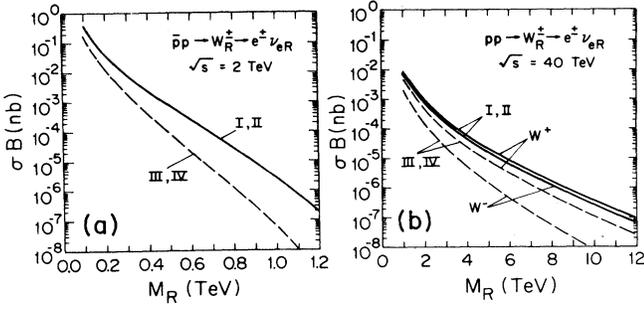


FIG. 4. (a) Production cross section times branching ratio for  $\bar{p}p \rightarrow W_R^\pm \rightarrow e^\pm \nu_{eR}$  ( $\nu_{eR}$ ) as a function of  $M_R$  at Tevatron energies ( $\sqrt{s}=2$  TeV). They are computed as in Ref. 61 with  $g_R=g_L$ , for forms  $U_{(I,II,LR)}^R$  (dominated by  $u\bar{d}$  or  $\bar{u}d$ , solid lines) and  $U_{(III,IV)}^R$  (dominated by  $u\bar{s}$  or  $\bar{u}s$ , dashed lines).  $\sigma B = 10^{-4}$  nb (ten events for an integrated luminosity of  $10^{38}$   $\text{cm}^{-2}$ ) corresponds to  $M_R = 680$  or  $490$  GeV, respectively. (b) Same as (a), for  $pp \rightarrow W_R^+ \rightarrow e^+ \nu_{eR}$  or  $W_R^- \rightarrow e^- \nu_{eR}$  at SSC energies ( $\sqrt{s}=40$  TeV).  $\sigma B = 10^{-6}$  nb (ten events for an integrated luminosity of  $10^{40}$   $\text{cm}^{-2}$ ) corresponds to  $M_R = 8.6$  or  $8.2$  TeV for  $W_R^+$ , or  $7.3$  or  $5.3$  TeV for  $W_R^-$ .

$|\zeta_g| < 0.0025$ . For  $\cos\delta=0$ ,  $|\zeta_g|$  could be as large as 0.013.

We also discuss briefly the implications for the right-handed neutrinos. The astrophysical constraints effectively preclude light neutrinos (e.g., Dirac partners of the ordinary neutrinos) unless  $M_{2g}$  is very large ( $> 1-16$  TeV). Neutrinoless double-beta decay eliminates the possibility of Majorana neutrinos in the range 1 MeV–10 GeV unless  $M_{2g}$  is *extremely* large, and leads to strong constraints ( $> 670$  GeV) on  $M_{2g}$  for larger neutrino masses. The weakest limits are for Dirac neutrinos

TABLE III. 90%-C.L. limits on  $\zeta_g \equiv g_R \zeta / g_L$  for various forms for  $U^R$ . The  $\zeta_g$  limits are almost independent of the nature of the neutrinos, except for the additional supernova constraint  $|\zeta_g| < 3 \times 10^{-5}$  for light neutrinos (Ref. 19). The universality constraint disappears (except for small second-order effects) for  $\cos\delta_d=0$  (cases I, II, LR) or for  $\cos\delta_s=0$  (cases III, IV). The nonleptonic kaon constraint becomes of second order for  $\cos\delta_i=0$ .

$\cos\delta_d=1$	
$U_{(I)}^R$	$-0.0024 < \zeta_g < 0.0008$
$U_{(II)}^R$	$-0.0025 < \zeta_g < 0.0007$
$U_{(III)}^R$	$-0.0014 < \zeta_g < 0.0012$
$U_{(IV)}^R$	$-0.0014 < \zeta_g < 0.0011$
$U_{(LR)}^R$	$-0.0020 < \zeta_g < 0.0007$
$\cos\delta_d=0$	
$U_{(I)}^R$	$ \zeta_g  < 0.013$
$U_{(II)}^R$	$ \zeta_g  < 0.013$
$U_{(III)}^R$	$ \zeta_g  < 0.0045$
$U_{(IV)}^R$	$ \zeta_g  < 0.0045$
$U_{(LR)}^R$	$ \zeta_g  < 0.0030$

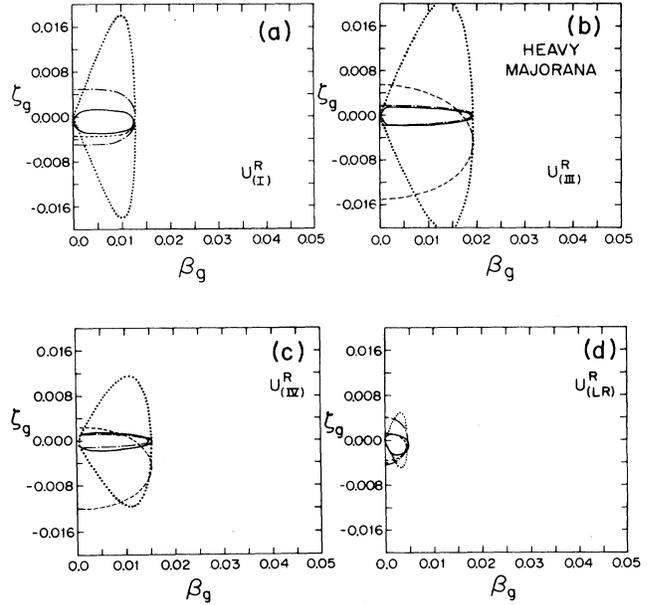


FIG. 5. (a) 90%-C.L. allowed regions for  $\beta_g$  and  $\zeta_g$  for heavy Majorana neutrinos, assuming form  $U_{(I)}^R$ . The curves are  $|\zeta_g| \leq \beta_g$  (dotted), universality (dashed),  $K_{\pi 3}$  (dotted-dashed), where in each case these are combined with  $\Delta m_K$ ,  $B_d \bar{B}_d$  oscillations,  $b$  decay, and  $\beta\beta_{0\nu}$ . The solid line is the combined fit to all of these constraints. The contours for  $U_{(II)}^R$  are almost identical. (b) Same, for  $U_{(III)}^R$ . (c) Same, for  $U_{(IV)}^R$ . (d) Same, for  $U_{(LR)}^R$ . All of the curves assume  $\cos\delta_i=1$ , where  $i=d$  for (I,II,LR) and  $i=s$  for (III,IV).

heavier than around 10 MeV.

It should be emphasized that some of the constraints utilized have theoretical uncertainties and somewhat arbitrary assumptions, such as in the magnitude that is considered tolerable for new contributions to  $\Delta m_K$ . Also, we have imposed plausible but nevertheless arbitrary restrictions on possible fine-tuned cancellations between different contributions to  $\Delta m_K$ . Our results therefore cannot be considered as rigorous, but only as a rough guide to which domains of the many-dimensional param-

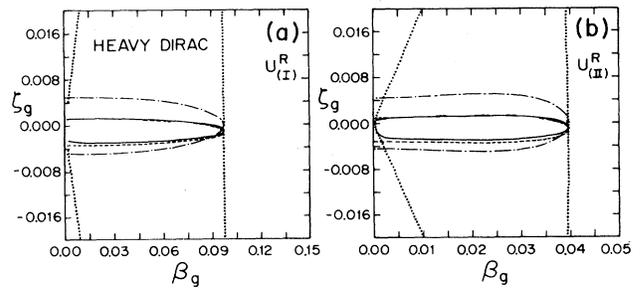


FIG. 6. Same as Fig. 5, only for heavy Dirac neutrinos (no  $\beta\beta_{0\nu}$  constraint). (a) For  $U_{(I)}^R$  (note the expanded  $\beta_g$  scale). (b) For  $U_{(II)}^R$ . Forms  $U_{(III)}^R$ ,  $U_{(IV)}^R$ , and  $U_{(LR)}^R$  are almost identical to the corresponding contours for heavy Majorana neutrinos (Fig. 5).

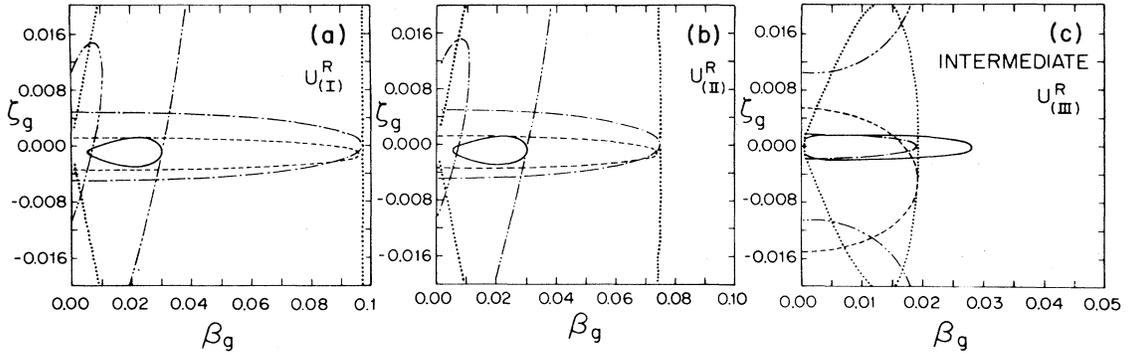


FIG. 7. Same as Fig. 5, only for intermediate-mass neutrinos (no  $b$  decay or  $\beta\beta_{0\nu}$ ). The muon decay constraints (combined with  $\Delta m_K$ ) are shown as dashed-double-dotted lines. For case III the allowed regions from  $\mu$  decay, in the upper and lower left corners, are in mild conflict with the other constraints. Similar statements hold for  $U_{(IV)}^R$  and  $U_{(LR)}^R$ . (a) For  $U_{(I)}^R$ . (b) For  $U_{(II)}^R$ . (c) For  $U_{(III)}^R$ . Note the expanded  $\beta_g$  scales for I and II. The cases of  $U_{(IV)}^R$  and  $U_{(LR)}^R$  are nearly identical to Fig. 5.

eter space are excluded and which are not.

We also comment briefly in Sec. IV on the possibility of an extremely light  $W_R$  (e.g., in the range between  $M_1$  and 300 GeV), which is allowed by  $\Delta m_K$  and the other constraints if we relax our prohibitions on fine-tuning. In Sec. V we summarize our conclusions.

## II. $SU(2)_L \times SU(2)_R \times U(1)$ MODELS

As described in the Introduction, the left- and right-handed fermions transform as doublets under  $SU(2)_L$  and  $SU(2)_R$ , respectively. Defining the  $U(1)$  generator  $Y$  by

$$Q = T_{3L} + T_{3R} + \frac{Y}{2}, \quad (7)$$

where  $Q$  is the electric charge, the quark and lepton ( $T_L, T_R, Y$ ) assignments are

$$\begin{bmatrix} u' \\ d' \end{bmatrix}_{iL} = (\frac{1}{2}, 0, \frac{1}{3}), \quad \begin{bmatrix} u' \\ d' \end{bmatrix}_{iR} = (0, \frac{1}{2}, \frac{1}{3}) \quad (8)$$

and

$$\begin{bmatrix} \nu' \\ l' \end{bmatrix}_{iL} = (\frac{1}{2}, 0, -1), \quad \begin{bmatrix} \nu' \\ l' \end{bmatrix}_{iR} = (0, \frac{1}{2}, -1), \quad (9)$$

respectively. The primes indicate that the fermions are gauge group rather than mass eigenstates. In (9) the  $\nu_{iR}$  are the right-handed neutrinos that must be introduced as the  $SU(2)_R$  partners of the right-handed charged leptons.

In order to generate masses for the quarks and charged leptons one requires at least one Higgs multiplet  $\Phi$  of the form

$$\Phi = \begin{bmatrix} \phi_1^0 & \phi_1^+ \\ \phi_2^- & \phi_2^0 \end{bmatrix} = (\frac{1}{2}, \frac{1}{2}^*, 0). \quad (10)$$

The most general form of the vacuum expectation value

(VEV) of  $\Phi$  that is invariant under the electromagnetic  $U(1)_Q$  is

$$\langle \Phi \rangle = \begin{bmatrix} k & 0 \\ 0 & k' \end{bmatrix}, \quad (11)$$

where  $k$  and  $k'$ , in general, are complex. Of course, one can have more than one  $\Phi$ -type multiplet.<sup>41</sup>

Additional Higgs multiplets with  $Y \neq 0$  are needed to break the symmetry down to  $U(1)_Q$ . Also, one requires an  $SU(2)_L$ -singlet,  $SU(2)_R$ -nonsinglet Higgs multiplet with a large VEV in order to generate  $M_R \gg M_L$  (assuming that  $g_R$  is not much larger than  $g_L$ ). The simplest choice is to introduce the doublets

$$\delta_L = \begin{bmatrix} \delta_L^+ \\ \delta_L^0 \end{bmatrix} = (\frac{1}{2}, 0, 1), \quad \delta_R = \begin{bmatrix} \delta_R^+ \\ \delta_R^0 \end{bmatrix} = (0, \frac{1}{2}, 1). \quad (12)$$

$\delta_R$  can generate a large  $M_R$  if  $v_{\delta_R} \gg (k, k', v_{\delta_L})$ , where  $v_{\delta_{L,R}} \equiv \langle \delta_{L,R}^0 \rangle$ . It can also generate a large Dirac mass for the  $\nu_R$  if appropriate left-handed partners (distinct from the ordinary  $\nu_L$ ) with  $(T_L, T_R, Y) = (0, 0, 0)$  are introduced into the theory.  $\delta_L$  is not really required unless one imposes an  $L$ - $R$  symmetry on the theory.

A popular alternative is to introduce Higgs triplets instead:<sup>42</sup>

$$\Delta_L = \begin{bmatrix} \Delta_L^{++} \\ \Delta_L^+ \\ \Delta_L^0 \end{bmatrix} = (1, 0, 2), \quad (13)$$

$$\Delta_R = \begin{bmatrix} \Delta_R^{++} \\ \Delta_R^+ \\ \Delta_R^0 \end{bmatrix} = (0, 1, 2),$$

with  $v_{\Delta_{L,R}} \equiv \langle \Delta_{L,R}^0 \rangle$ .  $v_{\Delta_R}$  can produce a large  $M_R$  and can also generate a Majorana mass for  $\nu_R$ .  $v_{\Delta_L}$ , which can generate a Majorana mass for  $\nu_L$ , must be much smaller than  $k$  or  $k'$  ( $< 8.1\%$ ) because the neutral-current  $\rho$  parameter.<sup>7</sup> We will allow both  $\delta_{L,R}$  and  $\Delta_{L,R}$  type

multiplets, as well as arbitrary generalizations.

The gauge-covariant derivatives for the left- and right-handed fermions are given by

$$D^\mu f'_{L,R} = \partial^\mu f'_{L,R} + \frac{i}{2}(g_{L,R} \tau^a A_{L,R}^{\mu a} + g' Y B^\mu) f'_{L,R}, \quad (14)$$

where  $\tau^a$  are the Pauli matrices,  $g'$  is the U(1) gauge coupling, and  $A_L^a$ ,  $A_R^a$ , and  $B$  are the SU(2)<sub>L</sub>, SU(2)<sub>R</sub>, and U(1) gauge bosons, respectively. The covariant derivatives of the Higgs  $\delta_{L,R}$  or  $\Delta_{L,R}$ , are defined in a similar way, with the  $\tau^a$  being replaced by matrices of appropriate dimension. Similarly,

$$D_\mu \Phi = \partial_\mu \Phi + \frac{i}{2}(g_L \tau^a A_{\mu L}^a \Phi - g_R \Phi \tau^a A_{\mu R}^a). \quad (15)$$

We will also need the Yukawa couplings

$$-L_{\text{Yukawa}} = \sum_i \sum_j [\bar{f}'_{iL} (r_{ij} \Phi + s_{ij} \tilde{\Phi}) f'_{jR} + \text{h.c.}], \quad (16)$$

where  $\tilde{\Phi} = \tau^2 \Phi^* \tau^2$ . (Additional neutrino mass terms may be added, as described above.) From (11) and (16) the quark mass matrices are

$$M^u = rk + sk'^*, \quad M^d = rk' + sk^*, \quad (17)$$

$$M_W^2 = \begin{pmatrix} \frac{1}{2} g_L^2 (|v_L|^2 + |k|^2 + |k'|^2) & -g_L g_R k' k^* \\ -g_L g_R k'^* k & \frac{1}{2} g_R^2 (|v_R|^2 + |k|^2 + |k'|^2) \end{pmatrix} = \begin{pmatrix} M_L^2 & M_{LR}^2 e^{i\alpha} \\ M_{LR}^2 e^{-i\alpha} & M_R^2 \end{pmatrix}, \quad (19)$$

where  $|v_{L,R}|^2 = |v_{\delta L,R}|^2 + 2|v_{\Delta L,R}|^2$  and  $\alpha$  is the phase of  $k' k^*$ .  $M_W^2$  is a Hermitian matrix. It can be diagonalized by a unitary transformation, which can be written in terms of one angle and three phases. Two of these phases can be absorbed in the definition of the mass eigenstates  $W_{1,2}$ , so the gauge eigenstates  $W_{L,R}$  can be written as

$$\begin{pmatrix} W_L^+ \\ W_R^+ \end{pmatrix} = \begin{pmatrix} \cos \zeta & -\sin \zeta \\ e^{i\omega} \sin \zeta & e^{i\omega} \cos \zeta \end{pmatrix} \begin{pmatrix} W_1^+ \\ W_2^+ \end{pmatrix}, \quad (20)$$

where  $\zeta$  is a mixing angle and  $\omega$  is a phase. The mass eigenvalues are

$$M_{1,2}^2 = \frac{1}{2} \{ M_L^2 + M_R^2 \mp [(M_R^2 - M_L^2)^2 + 4|M_{LR}^2|^2]^{1/2} \}. \quad (21)$$

$\zeta$  and  $\omega$  are given by

$$\tan 2\zeta = \frac{\mp 2M_{LR}^2}{M_R^2 - M_L^2}, \quad e^{i\omega} = \pm e^{i\alpha}. \quad (22)$$

The two signs represent two physically equivalent phase conventions for  $W_2$ , as will be discussed below. For  $|v_R|^2 \gg |k|^2, |k'|^2, |v_L|^2$ , we have

$$\begin{aligned} M_1^2 &\approx \frac{1}{2} g_L^2 (|v_L|^2 + |k|^2 + |k'|^2), \\ M_2^2 &\approx \frac{1}{2} g_R^2 |v_R|^2, \end{aligned} \quad (23)$$

where  $r$  and  $s$  are the quark Yukawa matrices with elements  $r_{ij}$  and  $s_{ij}$ , respectively. In general, these matrices are completely arbitrary.

The simplest form of an additional  $L$ - $R$  symmetry that can optionally be imposed on the Lagrangian is

$$\begin{aligned} A_L^a &\leftrightarrow A_R^a, \quad B \leftrightarrow B, \\ f_L &\leftrightarrow f_R, \quad \Phi \leftrightarrow \Phi^\dagger, \\ \delta_L &\leftrightarrow \delta_R, \quad \Delta_L \leftrightarrow \Delta_R. \end{aligned} \quad (18)$$

This symmetry would imply  $g_L = g_R$  and that the Yukawa matrices  $r$  and  $s$  are Hermitian. Additional restrictions would apply to the Higgs potential and to the Yukawa couplings involving  $\delta_{L,R}$  or  $\Delta_{L,R}$ . From (17) we see that  $L$ - $R$  symmetry alone is not sufficient to make  $M^u$  or  $M^d$  Hermitian or symmetric. However, if  $k$  and  $k'$  are real (which is not natural if there are explicit  $CP$ -violating phases in  $r$  and  $s$ ), then  $M^{u,d}$  are Hermitian (manifest  $L$ - $R$  symmetry). Similarly, if  $r$  and  $s$  are real but  $k$  and/or  $k'$  are complex (spontaneous  $CP$  violation) then  $M^{u,d}$  are complex symmetric matrices (pseudomani-  
fest  $L$ - $R$  symmetry).

For the Higgs fields described above the charged-boson mass matrix is<sup>43</sup>

and

$$\zeta \approx \pm \frac{g_L}{g_R} \frac{2|kk'|}{|v_R|^2}. \quad (24)$$

Equations (23) and (24) immediately lead to the inequality

$$|\zeta_g| \leq \beta_g. \quad (25)$$

This result continues to hold for multiple representations with the quantum numbers of  $\Phi$ ,  $\delta_{L,R}$ , and  $\Delta_{L,R}$ . Further generalizations are discussed in Sec. III.

The gauge and mass eigenstates of the quarks are related to each other by the unitary transformations

$$u'_{L,R} = C_{L,R} u_{L,R}, \quad d'_{L,R} = D_{L,R} d_{L,R}, \quad (26)$$

Where the unprimed fields denote mass eigenstates. In terms of these the charged-current interactions are

$$\begin{aligned} -L_{\text{CC}} &= \frac{g_L}{\sqrt{2}} \bar{u}_{iL} \gamma_\mu U_{ij}^L d_{jL} W_L^{\mu+} \\ &+ \frac{g_R}{\sqrt{2}} \bar{u}_{iR} \gamma_\mu U_{ij}^R d_{jR} W_R^{\mu+} + \text{H.c.}, \end{aligned} \quad (27)$$

where

$$U^L = C_L^\dagger D_L, \quad U^R = C_R^\dagger D_R. \quad (28)$$

In general  $U^R$  is unrelated to  $U^L$ . With appropriate redefinitions of the quark phases the CKM matrix  $U^L$  can be parametrized in terms of three angles and one phase.  $U^R$  is a function of three angles and six phases all of which are observable. For the special case of manifest

$L$ - $R$  symmetry  $M^{u,d}$  are Hermitian, so that  $U^R = U^L$ . For pseudomanifest symmetry,  $M^{u,d}$  are symmetric, implying  $U^R = U^L K$ , where  $K$  is a diagonal phase matrix.

Transforming the gauge bosons into mass eigenstates, the charged-current Lagrangian in Eq. (27) can be written as

$$-L_{CC} = \frac{\cos\zeta}{\sqrt{2}} \bar{u} \gamma_\mu [(g_L U^L \gamma_L + \tan\zeta g_R e^{i\omega} U^R \gamma_R) W_1^{\mu+} + (-\tan\zeta g_L U^L \gamma_L + g_R e^{i\omega} U^R \gamma_R) W_2^{\mu+}] d + \text{H.c.}, \quad (29)$$

where  $\gamma_{L,R} \equiv (1 \mp \gamma^5)/2$ . (One can, if desired, absorb the phase  $e^{i\omega}$  into the quark mixing matrix  $U^R$ .) From (22) and (24) it is apparent that the sign of  $\zeta$  is fixed if one picks a definite convention for the phase of  $W_2$  (assuming  $g_R/g_L > 0$ ). With such a convention one must allow for an arbitrary phase for  $e^{i\omega} U_{11}^R$ . We will use a more convenient convention in which the phase of  $W_2$  is chosen so that  $\text{Re}(e^{i\omega} U_{ij}^R)$ , ( $ij=11$  or  $12$ , depending on the context), is positive definite. Accordingly, we must allow for an arbitrary sign for  $\zeta$ .

The leptonic charged-current interaction is analogous to (29), with  $u \rightarrow \nu$ ,  $d \rightarrow e$ , and  $U^{L,R} \rightarrow V^{L,R}$ , where  $V^{L,R}$  are the leptonic analogues of  $U^{L,R}$  (Ref. 17). For massless or very light  $\nu_L$  (and neglecting small light-heavy mixings), one can choose a basis such that  $V^L$  is the identity.

From (29) one has the four-Fermi interaction

$$H = \frac{4\hat{G}_F}{\sqrt{2}} (a J_{L\mu}^\dagger J_L^\mu + b J_{L\mu}^\dagger J_R^\mu + c J_{R\mu}^\dagger J_L^\mu + d J_{R\mu}^\dagger J_R^\mu), \quad (30)$$

where

$$\frac{\hat{G}_F}{\sqrt{2}} = \frac{g_L^2 \cos^2 \zeta}{8M_1^2}, \quad (31)$$

$$J_{L,R\mu}^\dagger = \bar{u}_{L,R} \gamma_\mu U^{L,R} d_{L,R} + \bar{\nu}_{L,R} \gamma_\mu V^{L,R} e_{L,R}, \quad (32)$$

and

$$\begin{aligned} a &= 1 + \beta \tan^2 \zeta, \\ b^* &= c = e^{i\omega} \frac{g_R}{g_L} \tan \zeta (1 - \beta), \\ d &= \frac{g_R^2}{g_L^2} (\tan^2 \zeta + \beta), \end{aligned} \quad (33)$$

where  $\beta \equiv M_1^2/M_2^2$ .

### III. CONSTRAINTS

In this section we describe the constraints on the  $W_2$  mass and  $W_L$ - $W_R$  mixing, which turn out to be largely decoupled. We first consider the mass constraints on  $M_{2g}$ , then the mixing constraints on  $\zeta_g$ , and finally some mixed constraints relevant to light and intermediate-mass neutrinos.

#### A. Bounds on $M_{2g} \equiv g_L M_2/g_R$

##### 1. The $K_L$ - $K_S$ mass difference

The most important single constraint on  $M_2$  is from the  $K_L$ - $K_S$  mass difference  $\Delta m_K$ . Since this is a purely hadronic quantity, it is independent of the right-handed neutrino masses.  $\Delta m_K$  is measured to be

$$\Delta m_K |_{\text{expt}} = 0.35 \times 10^{-14} \text{ GeV}. \quad (34)$$

Theoretically the short-distance part of  $\Delta m_K$  is given by

$$\Delta m_K = 2 \langle K^0 | H_{\Delta S=2}^{\text{eff}} | \bar{K}^0 \rangle, \quad (35)$$

where  $H_{\Delta S=2}^{\text{eff}}$  is the effective Hamiltonian for  $|\Delta S|=2$  transitions. In the standard model this is calculated from the box diagram shown in Fig. 2. In the approximation of neglecting  $m_u$  compared to  $m_c$  one has the well-known result<sup>44</sup>

$$H_{\text{SM}}^{\text{eff}} = \eta^{LL} \frac{G_F^2 m_c^2}{4\pi^2} \sin^2 \theta_c \bar{d} \gamma_\mu \gamma_L s \bar{d} \gamma^\mu \gamma_L s + \text{H.c.}, \quad (36)$$

where  $\eta^{LL}$  is the short distance QCD correction factor, which is close to unity.<sup>45</sup> The vacuum-saturation estimate

$$\langle K^0 | \bar{d} \gamma_\mu \gamma_L s \bar{d} \gamma^\mu \gamma_L s | \bar{K}^0 \rangle = \frac{1}{3} f_K^2 m_K \quad (37)$$

yields a value of  $\Delta m_K$  that is close to the experimental value. Of course, vacuum saturation may not be reliable,<sup>9</sup> and there may also be important long-distance contributions to  $\Delta m_K$  that have not been taken into account.<sup>46</sup> Nevertheless, it seems reasonable to require that any new contributions to  $\Delta m_K$  should not be larger than the experimental value, or to the standard-model prediction using (37).

In the  $\text{SU}(2)_L \times \text{SU}(2)_R \times \text{U}(1)$  model the box diagrams in Fig. 2 contribute to  $\Delta S=2$  transitions. We ignore  $W_L$ - $W_R$  mixing for  $\Delta m_K$ . Terms proportional to  $\tan \zeta$  are also proportional to the external momenta and hence can be neglected, while higher-order terms are negligible. The  $W_R$ - $W_R$  diagram is suppressed by  $\beta_g^2$  with respect to the standard model and can be neglected. However, Beall, Bander, and Soni pointed out<sup>24</sup> that the two diagrams with one  $W_L$  and one  $W_R$  can be important because of enhanced matrix elements, despite a factor of  $\beta_g$ .

Neglecting mixing, the  $W_L$ - $W_R$  diagrams yield an effective Hamiltonian<sup>47</sup>

$$H_{LR}^{\text{eff}} = \frac{2G_F^2}{\pi^2} \beta_g \bar{d} \gamma_L s \bar{d} \gamma_R s \sum_{i=u,c,t} m_i U_{id}^{L*} U_{is}^R \sum_{j=u,c,t} m_j U_{jd}^{R*} U_{js}^L \eta_{ij}^{LR} J_0(x_i, x_j, \beta) + \text{H.c.}, \quad (38)$$

where  $x_i = m_i^2/M_1^2$ ,  $\beta = M_1^2/M_2^2$ ,  $\beta_g = g_R^2 \beta/g_L^2$ , and the  $\eta$ 's are short-distance QCD corrections.<sup>25</sup> The function  $J_0$  is

$$J_0(x_i, x_j, \beta) = \left[ \frac{x_i \ln x_i}{(x_j - x_i)(1 - x_i)(1 - \beta x_i)} + \frac{x_j \ln x_j}{(x_i - x_j)(1 - x_j)(1 - \beta x_j)} + \frac{\beta \ln \beta}{(1 - \beta)(1 - \beta x_i)(1 - \beta x_j)} \right]. \quad (39)$$

In the vacuum-saturation approximation

$$\langle K^0 | \bar{d} \gamma_L s \bar{d} \gamma_R s | \bar{K}^0 \rangle = \left[ \left( \frac{m_K}{m_s + m_d} \right)^2 + \frac{1}{6} \right] \frac{1}{4} f_K^2 m_K, \quad (40)$$

where  $[m_K/(m_s + m_d)]^2 \simeq 6$  for  $m_s \simeq 200$  MeV (Ref. 48). Hence, the ratio  $R$  of new to standard-model contributions to  $\Delta m_K$  is

$$|R_{ij}| = 6 \left[ \left( \frac{m_K}{m_s + m_d} \right)^2 + \frac{1}{6} \right] \beta_g \frac{|\eta_{ij}^{LR} m_i m_j U_{id}^{L*} U_{is}^R U_{jd}^{R*} U_{js}^L J_0(x_i, x_j, \beta)|}{\sin^2 \theta_c m_c^2} \leq 1. \quad (42)$$

The constraints in (42) are difficult to apply directly because they depend on many variables. In principle they depend on both the explicit factor of  $\beta_g$  and separately on  $\beta$  (in  $J_0$ ). However, as long as  $g_R/g_L$  is not drastically different from unity (which we assume), the  $\beta$  dependence is actually rather weak for all values of  $M_2 > 100$  GeV. Since we are interested in lower bounds on  $M_R$  we only keep the explicit  $\beta_g$  factor and evaluate  $J_0$  using  $M_2 = 100$  GeV. Similarly, the dependence on  $m_t$  is very weak (the dependence of  $J_0$  on  $m_t$  compensates the explicit factors very well) for the allowed range of  $\sim 40$ – $200$  GeV (Ref. 7), with the coefficients in (42) changing by at most 30%. Hence, we will fix  $m_t = 50$  GeV ( $m_t = 200$  GeV would allow values for  $M_{2g}$  at most 15% smaller). Finally, we use the values for the ordinary CKM matrix elements determined in standard-model analyses (Ref. 14); i.e., we assume that the new interactions in  $SU(2)_L \times SU(2)_R \times U(1)$  are perturbations that do not significantly affect the determinations of  $U^L$ . The only questionable process is  $b$  decay, which is strongly suppressed in the standard model and is therefore sensitive to perturbations. However, we will argue below that the  $b$  semileptonic spectrum and branching ratio indicate that the decays are mediated by  $W_L$  rather than  $W_R$ , so the use of canonical  $U^L$  is justified.

Another complication involves the value of  $m_u$ . The

$$R = 8 \times \frac{3}{4} \left[ \left( \frac{m_K}{m_s + m_d} \right)^2 + \frac{1}{6} \right] \beta_g \times \sum_{i,j} \frac{\eta_{ij}^{LR} m_i m_j U_{id}^{L*} U_{is}^R U_{jd}^{R*} U_{js}^L J_0(x_i, x_j, \beta)}{\sin^2 \theta_c m_c^2}. \quad (41)$$

Thus, the  $W_L$ - $W_R$  diagrams are enhanced by matrix-element and various numerical factors. Also, the  $i = j = c$  term has a large  $\eta_{cc}^{LR} J_0$  factor of order 10. For the case of PMLRS the  $cc$  term dominates, and the requirement  $|R| < 1$  implies the stringent limit  $\beta < 0.0021$  ( $M_R > 1.8$  TeV) (Ref. 49).

For a general  $U^R$  the terms in  $R$  involving  $t$ , and to a much lesser extent  $u$ , exchange can be important. One complication is that there could be cancellations between different terms in  $R$ . (Recall that  $U^R$  involves six unknown phases.) However, to obtain  $|R| < 1$  from a cancellation of much larger terms would require extreme fine-tuning. Our interest is in allowed regions of parameter space that do not require extreme fine-tuning (except for a brief discussion in the next section), so we require that each individual contribution to  $\Delta m_K$  is smaller than the standard model.<sup>50</sup>

small current-quark mass  $m_u^{\text{cur}} \sim 5.6$  MeV is suitable at short distances (Ref. 48), while a constituent mass  $m_u^{\text{con}} \sim 300$  MeV is appropriate at long distances. The latter should be interpreted as setting the scale for a running mass which falls as  $1/Q^2$  (up to logarithms) at large  $Q^2$ . Since both short and long distances are relevant, we will use an effective running mass

$$m_u(Q^2) = m_u^{\text{cur}} + m_u^{\text{con}} \frac{\lambda^2}{\lambda^2 + Q^2}, \quad (43)$$

where  $\lambda \sim 1$  GeV is the strong-interaction scale. Of course, the use of a running mass modifies the analytic expression for  $H_{LR}^{\text{eff}}$ . We do not display the explicit expression, but incorporate it in our numerical results. The form in (43) and other long-distance corrections to the  $u$ -exchange diagrams are considerably more uncertain than the short-distance diagrams involving  $c$  and  $t$  only. We have therefore done fits in which  $m_u^{\text{cur}}$  is used instead or in which the  $u$ -exchange constraints are omitted. Fortunately, the  $u$  diagrams only affect one of the regions of  $U^R$  in Table I significantly, and hardly affect the overall limits on  $\beta_g$ .

For the assumptions described above, (42) yields nine constraints of the form

$$\beta_g |U_{is}^R| |U_{jd}^R| < a_{ij}, \quad (44)$$

where the  $a_{ij}$  are listed in Table IV. Clearly, the four constraints derived from  $c$  and  $t$  exchange are very much more stringent than the others. These four can be evaded entirely if  $U^R$  takes one of the special forms in (3), and by far the weakest limits on  $\beta_g$  are obtained from  $U^R$  in the vicinity of one of these. (We are assuming three-family unitarity. If there were more families with significant mixing with the first three there would be more solutions allowing a small  $M_2$ .) In particular, we concentrate on the four special cases  $U_{(I)}^R - U_{(IV)}^R$  in Table I. Other values for  $\alpha$  in (3) interpolate smoothly between cases I and II or III and IV.

However, these special forms are themselves highly fine-tuned. Any small change in the zero elements can lead to a significant contribution to  $\Delta m_K$ . We therefore impose a second restriction on fine-tuning, viz., that the constraints in (44) should continue to hold as each  $U_{ij}^R$  is varied by  $\epsilon$  from its central value in Table I. This implies the new set of constraints

$$\beta_g |U_{ij}^R| < b_{ij}/\epsilon. \quad (45)$$

The values of the  $b_{ij}$  are listed in Table IV. We consider this restriction to be quite reasonable, but of course the value chosen for  $\epsilon$  is arbitrary. Based on our experience with  $U^L$  we choose  $\epsilon=0.01$ . Because of this and other assumptions the limits cannot be considered rigorous—we are only trying to map out the most likely region in a multidimensional parameter space.

The upper limits on  $\beta_g$  and corresponding lower limits on  $M_{2g}$  obtained from  $\Delta m_K$  are listed in Table V for forms  $U_{(I)}^R - U_{(IV)}^R$  and for the case of PMLRS. It is seen that even with our fine-tuning assumptions a much lighter  $M_2$  is allowed than in the case of PMLRS. Altogether, the combined assumptions of  $\Delta m_K$ , approximate three-family unitarity, and no fine-tuning imply

$$\beta_g \leq 0.075, \quad M_{2g} \geq 300 \text{ GeV}. \quad (46)$$

This would only be slightly weakened if the  $u$ -exchange diagrams were omitted.

### 2. $B_d \bar{B}_d$ mixing

The  $W_L - W_R$  box diagram does not have an important effect of  $B_d \bar{B}_d$  mixing for PMLRS (Ref. 27). However,

TABLE IV. Constraints from  $\Delta m_K$  from Eqs. (44) and (45). We choose  $\epsilon=0.01$  in the numerical fits. The upper limits are interpreted as  $1\sigma$  errors.

Quantity	Limit	Quantity	Limit
$\beta_g  U_{us}^R   U_{ud}^R $	$<0.018$	$\beta_g  U_{ud}^R $	$<0.018/\epsilon$
$\beta_g  U_{us}^R   U_{cd}^R $	$<0.0091$	$\beta_g  U_{cd}^R $	$<0.00047/\epsilon$
$\beta_g  U_{us}^R   U_{td}^R $	$<0.10$	$\beta_g  U_{td}^R $	$<0.00035/\epsilon$
$\beta_g  U_{cs}^R   U_{ud}^R $	$<0.18$	$\beta_g  U_{us}^R $	$<0.0091/\epsilon$
$\beta_g  U_{cs}^R   U_{cd}^R $	$<0.00047$	$\beta_g  U_{cs}^R $	$<0.00047/\epsilon$
$\beta_g  U_{cs}^R   U_{td}^R $	$<0.0010$	$\beta_g  U_{td}^R $	$<0.00035/\epsilon$
$\beta_g  U_{ts}^R   U_{ud}^R $	$<0.98$		
$\beta_g  U_{ts}^R   U_{cd}^R $	$<0.00049$		
$\beta_g  U_{ts}^R   U_{td}^R $	$<0.00035$		

TABLE V. 90%-C.L. upper limits on  $\beta_g$  and lower limits on  $M_{2g}$  (in GeV) obtained from  $\Delta m_K$  for forms  $U_{(I)}^R - U_{(IV)}^R$  and for PMLRS. The second and third columns assume  $m_u$  from (43). The fourth column displays the limits on  $\beta_g$  if  $m_u^{\text{cur}}$  is used instead, while the last column lists the  $\beta_g$  limits if the  $u$ -exchange diagrams are omitted. Dropping the  $u$ -exchange diagrams has little effect except for forms  $U_{(III)}^R$ . The overall limit ( $M_{2g} > 290$  GeV) is hardly changed.

Case	$\beta_g [m_u(Q^2)]$	$M_{2g} [m_u(Q^2)]$	$\beta_g [m_u^{\text{cur}}]$	$\beta_g [\text{no } u]$
$U_{(I)}^R$	0.075	300	0.077	0.077
$U_{(II)}^R$	0.057	340	0.057	0.057
$U_{(III)}^R$	0.015	670	0.048	0.071
$U_{(IV)}^R$	0.054	350	0.054	0.057
$U_{(LR)}^R$	0.0036	1350	0.0036	0.0036

the  $tc$  diagram can be important for  $U^R$  near  $U_{(IV)}^R$ . (The  $tt$  diagram is smaller for allowed  $m_t$ .) From formulas analogous to (42) and theoretical assumptions as in Ref. 27 we obtain<sup>50</sup>

$$|U_{td}^R| |U_{cb}^R| \beta_g < 6.7 \times 10^{-3} \left[ \frac{50 \text{ GeV}}{m_t} \right], \quad (47)$$

where we have required that the new contributions be no larger than the experimental mixing.<sup>51</sup> For  $U_{(IV)}^R$  (47) implies  $\beta_g < 0.012$  ( $50 \text{ GeV}/m_t$ ) [ $M_{2g} > 740 \text{ GeV}$  ( $m_t/50 \text{ GeV})^{1/2}$ ] at 90% C.L. There are no significant constraints from  $B_s \bar{B}_s$  mixing (predicted to be near maximal in the standard model) or  $D\bar{D}$  mixing.

### 3. $b$ decays

If the right-handed neutrinos are sufficiently heavy (either Dirac or Majorana) then the right-handed currents cannot contribute to normal leptonic and semileptonic decays. In particular, for  $m_{\nu_R} > m_b - m_c \simeq 3.5 \text{ GeV}$  (since it is known that  $b \rightarrow c$  predominates over  $b \rightarrow u$ )  $W_R$  can contribute only to nonleptonic  $b$  decays (ignoring mixing). Since the special forms  $U_{(II)}^R$  and  $U_{(IV)}^R$  have  $U_{cb}^R = 1$ , a light  $W_R$  could significantly affect the  $b$  semileptonic branching ratio. The experimental branching ratio<sup>52</sup>

$$B(b \rightarrow e \nu_e X)|_{\text{expt}} = 11.5 \pm 0.5\% \quad (48)$$

is consistent with the standard-model prediction<sup>53</sup>

$$B(b \rightarrow e \nu_e X)|_{\text{SM}} = 13.3 \pm 1.6\%. \quad (49)$$

This constrains any new contribution  $\Gamma_{\text{NL}}^{\text{new}}$  to the nonleptonic width, viz.,

$$\begin{aligned} \frac{\Gamma_{\text{NL}}^{\text{new}}}{\Gamma^0} &= \left[ 1 - \frac{B(b \rightarrow e \nu_e X)|_{\text{expt}}}{B(b \rightarrow e \nu_e X)|_{\text{SM}}} \right] \frac{\hbar}{\Gamma^0 \tau_b^{\text{expt}}} \\ &= (0.13 \pm 0.11)(7.8 \pm 1.8) \times 10^{-3} \\ &= (1.05 \pm 0.90) \times 10^{-3} < 0.27(7.8 \pm 1.8) \times 10^{-3}, \end{aligned} \quad (50)$$

where  $\Gamma^0 \equiv G_F^2 m_b^5 / 192 \pi^3$ . In the  $\text{SU}(2)_L \times \text{SU}(2)_R \times \text{U}(1)$  model with a heavy neutrino one has

$$\frac{\Gamma_{\text{NL}}^{\text{new}}}{\Gamma^0} = \eta^{\text{NL}} \sum_i \sum_j [ |U_{cb}^R|^2 |U_{ij}^R|^2 \beta_g^2 f(m_c, m_j, m_i) - 2\beta_g |U_{cb}^L| |U_{ij}^L| |U_{cb}^R| |U_{ij}^R| h(m_c, m_j, m_i) + (c \rightarrow u) ], \quad (51)$$

where  $\eta^{\text{NL}} \sim 3.5$  is a QCD correction and  $f(m_i, m_j, m_k)$  and  $h(m_i, m_j, m_k)$  are three-body phase-space factors.<sup>54</sup> The signs of the  $L$ - $R$  interference terms are unknown, so we have chosen  $-1$  to give the weakest constraints. (In fact, the interference terms are unimportant in the parameter regions of interest.) All the terms are proportional to  $U_{ub}^R$  or  $U_{cb}^R$ . Therefore the constraint in (50) is trivially satisfied by forms  $U_{(I)}^R$  and  $U_{(III)}^R$ . For forms  $U_{(II)}^R$  and  $U_{(IV)}^R$ , for which  $U_{cb}^R \simeq 1$ , the limits from  $\Delta m_K$  are strengthened to

$$\beta_g \leq 0.032. \quad (52)$$

This only applies for right-handed neutrinos heavier than  $m_b - m_c$ . There are constraints from muon decay that are comparable to (52) for neutrinos light enough to be produced (we assume for simplicity that the right-handed neutrinos are degenerate; the remarks can easily be generalized to the nondegenerate case). For the intermediate range between  $m_\mu/2$  and  $m_b - m_c$  one still has constraints from the total  $b$  decay rate. Since both  $W_L$  and  $W_R$  contribute to the decay one has

$$(|\beta_g|^2 + |U_{bc}^L|^2)^{1/2} \equiv |\tilde{U}_{bc}^L| \simeq 0.043, \quad (53)$$

for forms  $U_{(II)}^R$  and  $U_{(IV)}^R$ , where  $\tilde{U}_{bc}^L$  is the apparent value of  $U_{bc}^L$  extracted from the data assuming the validity of the standard model. This can be strengthened, however, because the semileptonic  $b$  decay spectrum clearly indicates that the decay is dominated by  $V - A$  rather than  $V + A$  (Ref. 55). It is hard to quantify this argument, but it is reasonable to assume  $\beta_g < |U_{bc}^L|$ , implying  $\beta_g < \tilde{U}_{bc}^L / \sqrt{2} \simeq 0.030$ . We will therefore reinterpret (52) as a constraint from all aspects of  $b$  decay, applying for forms  $U_{(II)}^R$  and  $U_{(IV)}^R$  down to small neutrino masses.

#### 4. Neutrinoless double-beta decay ( $\beta\beta_{0\nu}$ )

The current limit  $\tau_{1/2} > 10^{24}$  yr for  $^{76}\text{Ge} \rightarrow ^{76}\text{Se} e^- e^-$  implies (Ref. 31) an upper limit of around 1 eV on the ordinary  $\nu_{eL}$  mass if it is Majorana. Mohapatra emphasized (Ref. 30) that the  $W_R$ - $W_R$  diagram in Fig. 3 can also give a significant contribution to  $\beta\beta_{0\nu}$  for PMLRS and heavy Majorana  $\nu_R$ . Generalizing his results one obtains

$$\beta_g^2 |U_{ud}^R|^2 m_R F(A, m_R) < 1 \text{ eV} (10^{24} \text{ yr} / \tau_{1/2})^{1/2}, \quad (54)$$

where  $m_R$  is the  $\nu_{eR}$  mass (assuming it is Majorana).  $F(A, m_R) \equiv \langle e^{-m_R r} / r \rangle / \langle 1/r \rangle$  is a nucleus-dependent propagator factor; it is  $\sim 1$  for  $m_R \ll 40$  MeV, while  $F \simeq m_0^2 / m_R^2$  for  $m_R \gg 40$  MeV, where (Ref. 31)  $m_0 \sim 95$  MeV for  $^{76}\text{Ge}$ . Hence, for a light Majorana  $\nu_{eR}$  one has

$$\beta_g |U_{ud}^R| < \left[ \frac{1 \text{ eV}}{m_R} \right]^{1/2} (10^{24} \text{ yr} / \tau_{1/2})^{1/4}, \quad (55)$$

while for a heavy Majorana neutrino,

$$\beta_g |U_{ud}^R| < 3.3 \times 10^{-4} \left[ \frac{m_R}{1 \text{ GeV}} \right]^{1/2} (10^{24} \text{ yr} / \tau_{1/2})^{1/4}. \quad (56)$$

(55) and (56) together imply that the  $W_R$  is probably too heavy to observe directly if  $|U_{ud}^R| \sim 1$  and  $m_R$  is in the 1 MeV–10 GeV range.

For heavy Majorana neutrinos it is useful to rewrite (56) as

$$\beta_g^{5/2} |U_{ud}^R|^2 < 8.9 \times 10^{-6} \left[ \frac{g_R m_R}{g_L M_R} \right] (10^{24} \text{ yr} / \tau_{1/2})^{1/2}. \quad (57)$$

Mohapatra has also argued (Ref. 28) that vacuum stability requires  $m_R < M_R$  (independent of  $U^R$ ). Hence, the weakest limit for heavy Majorana neutrinos occurs for  $m_R \sim M_R$  (we also take  $g_R \sim g_L$  on the right-hand side) (Ref. 50). Equation (57) implies the considerably strengthened bound  $\beta_g < 0.01$  for cases I or II if the  $\nu_R$  is Majorana.

#### B. Bounds on the mixing angle $\zeta_g \equiv g_R \zeta / g_L$

##### 1. Theoretical bound

The same Higgs fields that lead to  $W_L$ - $W_R$  mixing also contribute to  $M_L^2$ . As we will now show this leads to a generalization of (25) for arbitrary Higgs representations. The charged-boson mass matrix can be parametrized as in (19), with

$$\begin{aligned} M_L^2 &= g_L^2 \sum [t_L(t_L + 1) - t_{L3}^2] |v(t_L, t_{L3}, t_R, t_{R3})|^2, \\ M_R^2 &= g_R^2 \sum [t_R(t_R + 1) - t_{R3}^2] |v(t_L, t_{L3}, t_R, t_{R3})|^2, \\ M_{LR}^2 e^{-\alpha} &= g_L g_R \sum c^+(t_R, t_{R3}) c^-(t_L, t_{L3}) \\ &\quad \times v^*(t_L, t_{L3} - 1, t_R, t_{R3} + 1) \\ &\quad \times v(t_L, t_{L3}, t_R, t_{R3}), \end{aligned} \quad (58)$$

where the sum extends over the neutral Higgs fields with quantum numbers  $(t_L, t_{L3}, t_R, t_{R3})$  and VEV  $v$ , and

$$c^\pm(t, t_3) = [(t \mp t_3)(t \pm t_3 + 1)]^{1/2}. \quad (59)$$

The assumption  $M_2 \gg M_1$  implies that either (a)  $M_L \sim M_R \sim |M_{LR}|$ , with  $|\zeta| \sim 45^\circ$ , or (b)

$$M_R \gg M_L, M_{LR}. \quad (60)$$

Rejecting case (a) as unphysical, (60) implies

$$M_1^2 \simeq M_L^2, \quad M_2^2 \simeq M_R^2, \quad |\zeta| \simeq \frac{M_{LR}^2}{M_R^2}. \quad (61)$$

Hence,

$$|\zeta_g| \simeq \frac{g_L M_{LR}^2}{g_R M_L^2} \beta_g \quad (62)$$

Applying the Schwarz inequality and simple manipulations to (58) this implies

$$|\zeta_g| \leq C\beta_g, \quad (63)$$

where

$$C \equiv \frac{1}{2} \max_{t_L \neq 0} \left[ \frac{c^+(t_R, t_{R3})c^-(t_L, t_{L3}) + c^-(t_R, t_{R3})c^+(t_L, t_{L3})}{t_L(t_L + 1) - t_{L3}^2} \right]. \quad (64)$$

In (64) the maximum is with respect to all Higgs representations with  $t_L \geq \frac{1}{2}$  which have significant VEV's. For the Higgs representations in Sec. II we have  $C=1$ , recovering (25). In general,  $C$  will be order 1 except for ridiculous representations with  $t_R \gg 1$ . In the likely case that only Higgs with  $t_L = \frac{1}{2}$  are relevant (as is supported by the weak neutral current data<sup>7</sup>), then

$$C = \max_{t_L=1/2} c^\pm(t_R, t_{R3}). \quad (65)$$

We will always take  $C=1$ .

The constraints from universality and kaon decay are much more important than (63) for small  $M_{2g}$  and the special forms in Table I (unless  $\cos\delta_{d,s} \simeq 0$ ). Equation (63) is mainly important for precluding the possibility of a large mixing when  $M_{2g}$  is in the several TeV range, for which  $\Delta m_K$  is unimportant and  $U^R$  is completely arbitrary.

## 2. Universality

Wolfenstein pointed out (Ref. 33) that  $W_L$ - $W_R$  mixing could modify the hadronic vector currents in beta and kaon decay, leading to an apparent violation of universality. If the right-handed neutrinos are heavy then from (30) the effective Hamiltonian for semileptonic decay is

$$H^{\text{eff}} = \frac{4\hat{G}_F}{\sqrt{2}} a (\bar{l}_L \gamma_\mu \nu_L) \left[ \bar{u} \gamma^\mu \left[ \gamma_L U^L + \frac{c}{a} \gamma_R U^R \right] d \right] + \text{H.c.}, \quad (66)$$

where  $a$  and  $c$  are defined in (33). The apparent (measured) values of the CKM matrix elements<sup>14</sup>

$$\begin{aligned} \tilde{U}_{ud}^L &= 0.9744 \pm 0.0010, \\ \tilde{U}_{us}^L &= 0.220 \pm 0.002, \end{aligned} \quad (67)$$

are obtained by dividing the observed coefficients of the hadronic vector currents in beta and kaon decay by the experimental value  $G_F = 1.16637 \times 10^{-5} \text{ GeV}^{-2}$  obtained from the muon decay rate. Since only the  $a$  term in (33) is relevant to muon decay, we must identify

$$G_F = \hat{G}_F a. \quad (68)$$

Combining (66) and (68) we have the relation

$$\tilde{U}_{ij}^L = U_{ij}^L \left| 1 + \frac{c U_{ij}^R}{a U_{ij}^L} \right| \quad (69)$$

between the apparent and true values of  $U_{ij}^L$ . Combining the experimental values in (67) with the limit

$|\tilde{U}_{ub}^L| \leq 0.012$  (Ref. 52) and three-family unitarity ( $\sum_i |U_{ui}^L|^2 = 1$ ), one obtains

$$\begin{aligned} \sum_i |\tilde{U}_{ui}^L|^2 &= 1 + 2\zeta_g \sum_i U_{ui}^L \text{Re}(e^{i\omega} U_{ui}^R) \\ &= 0.9979 \pm 0.0021, \end{aligned} \quad (70)$$

where we have expanded to lowest order in  $\zeta_g$  and  $\beta_g$ . Clearly, there is little room for mixing-induced nonunitarity in  $\tilde{U}^L$ . Neglecting  $U_{ub}^L$  this implies

$$\begin{aligned} \zeta_g (\tilde{U}_{ud}^L |U_{ud}^R| \cos\delta_d + \tilde{U}_{us}^L |U_{us}^R| \cos\delta_s) \\ = -0.00105 \pm 0.00105, \end{aligned} \quad (71)$$

where

$$\cos\delta_i \equiv \frac{\text{Re}(e^{i\omega} U_{ui}^R)}{|U_{ui}^R|}. \quad (72)$$

Equation (71) is a generalization and updating of the constraint originally derived by Wolfenstein<sup>33</sup> for the case of PMLRS.

Equation (71) is very stringent for small  $CP$ -violating phases  $\cos(\delta_{d,s}) \sim 1$ . For maximal phases ( $\cos\delta_{d,s} \sim 0$ ) only the second-order  $|c|^2/|a|^2$  term in  $|\tilde{U}|^2$  survives, implying  $|\zeta_g|^2 = -0.0021 \pm 0.0021$ , or

$$|\zeta_g| < 0.049 \quad (\cos\delta=0, \text{ heavy } \nu_R) \quad (73)$$

at 90% C.L., independent of  $U^R$ .

Equations (71) and (73) were derived for heavy neutrinos. However, (71) actually holds for very light or massless neutrinos as well. In this case (68) and (69) become

$$\begin{aligned} G_F &= \hat{G}_F \lambda, \\ \tilde{U}_{ij}^L &= (|a U_{ij}^L + c U_{ij}^R|^2 + |b U_{ij}^L + d U_{ij}^R|^2)^{1/2} / \lambda, \end{aligned} \quad (74)$$

where  $\lambda \equiv (|a|^2 + |b|^2 + |c|^2 + |d|^2)^{1/2}$ . The extra terms in (74) are due to the emission of right-handed neutrinos. Expanding to lowest order in  $\beta_g$  and  $\zeta_g$  one recovers (71). There is no second-order constraint analogous to (73) for massless neutrinos, because the  $|a|^2 + |b|^2 + |c|^2 + |d|^2$  terms in the numerator and denominator of  $\sum_i |\tilde{U}_{ui}^L|^2$  cancel.

The linear constraint (71) also holds for intermediate mass or nondegenerate neutrinos. The appropriate quadratic constraint analogous to (73) can easily be derived for each case.

## 3. Nonleptonic kaon decays

Donoghue and Holstein<sup>34</sup> have argued that the standard-model PCAC relations between the nonleptonic amplitudes for  $K \rightarrow 3\pi$  and  $K \rightarrow 2\pi$  are extremely sensitive to small admixtures of right-handed currents, because the latter lead to new operators that are enhanced by the  $\Delta I = \frac{1}{2}$  rule. They further argue that the  $K_{\pi 3}$  predictions are successful to 10% in amplitude. Generalizing their results and forbidding fine-tuned cancellations, this implies<sup>50</sup>

$$\begin{aligned} |U_{ud}^L| |U_{us}^R| |b| \cos\delta_s &< 8 \times 10^{-4}, \\ |U_{us}^L| |U_{ud}^R| |b| \cos\delta_d &< 5 \times 10^{-4}, \end{aligned} \quad (75)$$



TABLE VIII. Best-fit values for  $\beta_g$  and  $\zeta_g$  from the muon decay constraint (80). Case  $U_{(LR)}^R$  is almost identical to cases  $U_{(I)}^R$  and  $U_{(II)}^R$ . In each case  $\beta_g^2$  is  $\sim 2.4\sigma$  away from zero.

Case	$\zeta_g = 0$		$\zeta_g$ free		$\zeta_g$
	$\beta_g$	$M_{2g}$	$\beta_g$	$M_{2g}$	
$U_{(I)}^R, U_{(II)}^R$	0.023	540	0.023	530	$0.0014 \pm 0.008$
$U_{(III)}^R, U_{(IV)}^R$	0.032	450	0.028	480	$0.016 \pm 0.014$

## 2. Astrophysical and cosmological constraints for light $\nu_R$

If the  $\nu_R$  are lighter than around 1 MeV and were produced in sufficient numbers in the early Universe they would have contributed significantly to the expansion rate, thus affecting the  $n/p$  ratio and leading to the production of too much helium. Steigman *et al.* have calculated<sup>18</sup> that if all three  $\nu_R$  are light then the observed  ${}^4\text{He}$  abundance requires that the  $\nu_R$  decoupled before  $T \sim 0.2$  GeV. The dominant production mechanism is  $e^+e^- \rightarrow \nu_R \bar{\nu}_R$  via  $W_R$  and  $Z'$  exchange. The limit depends on both  $M_{2g}$  and the  $Z'$  mass in a complicated model-dependent way, but typically yields a lower bound of around 1 TeV on  $M_{2g}$ , independent of  $U^R$ .

Two groups<sup>19</sup> have recently obtained stringent constraints from the energetics of Supernova 1987A. If the  $\nu_{eR}$  is lighter than about 10 MeV then it could be produced by the charged-current processes  $e_R^- p \rightarrow \nu_{eR} n$  and  $e^+ e^- \rightarrow \nu_{eR} \bar{\nu}_{eR}$ . If the production rate is too large, the  $\nu_{eR}$  would drain too much energy out of the supernova.<sup>57</sup> Generalizing these results, the  $e_R^- p \rightarrow \nu_{eR} n$  reaction implies

$$|U_{11}^R| \beta_g < 2.5 \times 10^{-5}, \quad |\zeta_g| < 3 \times 10^{-5}. \quad (81)$$

Hence, for forms  $U_{(I)}^R$ ,  $U_{(II)}^R$ , and  $U_{(LR)}^R$  ( $U_{11}^R \sim 1$ ) one has the very strong limit  $M_{2g} > 16.2$  TeV. The  $\zeta_g$  limit is independent of  $U_{11}^R$ . For forms  $U_{(III)}^R$  and  $U_{(IV)}^R$  one has the weaker limit

$$\beta_g < 0.013 \quad (M_{2g} > 720 \text{ GeV}) \quad (82)$$

from  $e^+ e^- \rightarrow \nu_{eR} \bar{\nu}_{eR}$  (Ref. 58). [The limit  $\beta_g < 2.5 \times 10^{-5}/\epsilon$  would result from (81) if we apply the same kind of fine-tuning restrictions as for  $\Delta m_K$ .]

## IV. RESULTS

The limits on  $\beta_g \equiv g_R^2 M_1^2 / g_L^2 M_2^2$ ,  $M_{2g} \equiv g_L M_2 / g_R$ , and  $\zeta_g \equiv g_R \zeta / g_L$  from global fits to all data are summarized in Tables II and III for various right-handed neutrino properties, for PMLRS and for the special forms  $U_{(I)}^R - U_{(IV)}^R$ . The latter are the extreme cases of the two regions of parameters  $U_{(A,B)}^R$  in (3) which give the weakest limits (barring extreme fine-tuning). We have checked that allowing  $U^R$  to be completely arbitrary except for three-family unitarity constraints does not yield weaker or otherwise interesting solutions. The limits on  $\beta_g$  are mainly determined by  $\Delta m_K$ ,  $B_d \bar{B}_d$  mixing,  $b$  decay,  $\beta\beta_{0\nu}$ , and  $\mu$  decay, and are largely uncorrelated with the mixing limits from universality, kaon decay, the  $M_1$  mass shift, and the theoretical constraint  $|\zeta_g| \leq \beta_g$ .

The 90%-C.L. allowed contours in  $\beta_g$  and  $\zeta_g$  for  $\cos\delta_{d,s} = 1$  (small  $CP$ -violating phases) are shown in Figs. 5–7. In each case the contours allowed by combining individual constraints on  $\zeta_g$  with the relevant mass constraints ( $\Delta m_K$ ,  $B_d \bar{B}_d$ ,  $b$  decay,  $\beta\beta_{0\nu}$ ) are shown, as well as the result of the overall fit to all appropriate data.

For heavy Majorana neutrinos the combination of  $\Delta m_K$ ,  $B_d \bar{B}_d$  mixing,  $b$  decay, and  $\beta\beta_{0\nu}$  requires  $M_{2g} > 670$  GeV, with more stringent limits for most  $U^R$ . Also,  $\beta\beta_{0\nu}$  implies that the  $\nu_R$  should be quite heavy (comparable to  $M_2$ ) unless either  $M_2$  is unobservably large or  $\nu_R$  is lighter than  $\sim 1$  MeV. The correlated limits on  $\beta_g$  and  $\zeta_g$  are shown in Fig. 5 for  $\cos\delta_d = 1$  (cases I, II, LR) or for  $\cos\delta_s = 1$  (cases III, IV). It is seen that the combination of universality and  $K_{\pi 3}$  always gives limits on  $\zeta_g$  comparable to the case of PMLRS, even though the  $\beta_g$  limits are much weaker. The case  $\cos\delta_i = 0$  (Ref. 59) is discussed below.

For heavy Dirac neutrinos (i.e., for  $\nu_R$  heavier than around  $m_\mu/2$ ) one loses the  $\beta\beta_{0\nu}$  constraint.  $\Delta m_K$ ,  $B_d \bar{B}_d$  mixing, and  $b$  decay allow the weaker limit  $m_{2g} > 300$  GeV for  $U_{(I)}^R$ . The limits on  $\zeta_g$  are similar to the Majorana case, as can be seen in Fig. 6 for  $\cos\delta_i = 1$ .

For intermediate-mass Dirac neutrinos (between  $\sim 10$  MeV and  $m_\mu/2$ ) the mass limits are given by  $\Delta m_K$ ,  $B_d \bar{B}_d$  mixing, and muon decay, and one has  $M_{2g} > 500$  GeV. Mixing is still limited by universality and  $K_{\pi 3}$ . Figure 7 is for  $\cos\delta_i = 1$ . For  $\cos\delta_i = 0$  the muon constraints are modified slightly, as can be seen in Fig. 1. However, the mass limits in Table II are unchanged to two significant digits.

The limits for the intermediate-mass neutrinos continue to hold for light or massless right-handed neutrinos. However, for neutrinos lighter than  $\sim 10$  MeV the additional more stringent constraints from cosmology and SN 1987A apply as well.

For  $\cos\delta_{d,s} = 0$  (maximal  $CP$ -violating phases) (Ref. 59) the linear universality constraints (71) on  $\zeta_g$  disappear. The first-order limit from nonleptonic kaon decay also disappears, but the second-order constraints in (76) continue to be important. These lead to the 90%-C.L. upper limits on  $\zeta_g$  shown in Table III. The largest allowed  $|\zeta_g|$  is seen to be  $\sim 0.013$  (cases I and II). Since the  $K_{\pi 3}$  constraints are perhaps less solid theoretically than some of the others, it should be remarked that slightly weaker limits are obtained from other sources. In particular,  $|\zeta_g| < 0.049$  from universality for heavy neutrinos, with a comparable limit from muon decay for light and intermediate mass neutrinos. Limits of a few percent (depending on  $M_2$ ) follow from the  $M_1$  mass shift and  $|\zeta_g| \leq \beta_g$  (Table VI).

It should be commented that a very light  $W_R$  could be tolerated if one abandons the prohibitions on extreme fine-tuning. For example, there are small variations on  $U^R \sim U_{(I)}^R$  or  $U_{(III)}^R$  for which the  $\Delta m_K$  constraint is satisfied by fine-tuned cancellations between the different diagrams. For a heavy Dirac neutrino and small  $\zeta_g$  none of the other constraints considered here would be relevant. Even the direct production limits based on leptonic decay modes<sup>36</sup> would be ineffective if the  $\nu_R$  were

comparable to  $M_2$ . The UA2 Collaboration<sup>60</sup> has reported the observation of a broad enhancement in the invariant mass distribution for  $\bar{p}p \rightarrow 2$  jets which is consistent with the nonleptonic decays of the ordinary  $W$  and  $Z$ . There is no sign in their data for a second bump (from a light  $W_2$ ) up to 150 GeV. Since the expected production cross section falls more slowly with mass than the background distribution in Ref. 60, it is likely that there is no  $W_R$  in that range. However, caution is advised since the UA2 analysis was directed towards observing the ordinary  $W$  and  $Z$  and not towards looking for new physics.

## V. CONCLUSIONS

The simplest extension of the standard electroweak model involving additional charged gauge bosons is the  $SU(2)_L \times SU(2)_R \times U(1)$  group, which could either occur in the context of an  $SO(10)$  grand unified theory or independently. It is conceivable that the additional gauge bosons could be light enough to be observable at present or future colliders. However, most previous studies of existing limits have involved further assumptions concerning the Higgs structure of the theory, additional discrete left-right symmetries, and/or the masses and nature of the right-handed neutrinos. In this paper we have studied the existing experimental and theoretical constraints on  $M_R$ , the mass of the right-handed charged gauge boson  $W_R$ , and on  $\zeta$ , the mixing angle between  $W_L$  and  $W_R$ , for a very general case of  $SU(2)_L \times SU(2)_R \times U(1)$  models. In particular, we have allowed the right-handed quark mixing matrix  $U^R$  to be completely arbitrary except for constraints from three-family unitarity (the results would apply equal well if there are more families, provided their mixings with the first three families are small). We also allow the gauge couplings  $g_L$  and  $g_R$  of the  $SU(2)_L$  and  $SU(2)_R$  subgroups to be different, though not by orders of magnitude. Finally, we have allowed the right-handed neutrinos to be light or heavy and either Majorana or Dirac. We have generalized constraints that were derived previously for manifest or pseudomanifest left-right symmetry ( $|U_{ij}^R| = |U_{ij}^L|$ ) and specific neutrino properties—or in some cases derived new constraints—from the  $K_L$ - $K_S$  mass difference,  $B_d\bar{B}_d$  oscillations,  $b$  decay properties, neutrinoless double-beta decay, muon decay, universality, nonleptonic  $K$  decays, the relation between gauge-boson masses and mixings, and astrophysical and cosmological constraints for light neutrinos.

With so much freedom in  $U^R$  and the neutrinos most of the constraints can be evaded in some cases. However, the combination is sufficient to require

$$\beta_g < 0.075 \quad (M_{2g} > 300 \text{ GeV}) \quad (83)$$

at 90% C.L. The limits on  $\beta_g$  are dominated by the  $K_L$ - $K_S$  mass difference  $\Delta m_K$  and by  $B_d\bar{B}_d$  mixing. The  $\Delta m_K$  limit is well known to be extremely stringent for PMLRS ( $M_{2g} > 1.4$  TeV), but it is weaker for other values of  $U^R$ , especially in the vicinity of the special forms  $U_{(A)}^R$  and  $U_{(B)}^R$  in (3). Each of these involves an angle  $\alpha$  which smoothly interpolates between the special forms  $U_{(I)}^R - U_{(II)}^R$  and  $U_{(III)}^R - U_{(IV)}^R$  listed in Table I. Given the observed hierarchy of quark masses, the most likely pos-

sibility is that the off-diagonal elements of  $U^R$  are small. Of the forms in Table I only  $U_{(I)}^R \sim I$  satisfies this criterion, but we consider all four cases for completeness.

In regions  $U_{(A)}^R$  and  $U_{(B)}^R$  much smaller values of  $M_{2g}$  are allowed than for PMLRS, but we emphasize that this is only the case for relatively small, though not excessively fine-tuned, regions of parameter space. The limits may be strengthened somewhat by  $b$  decay,  $\beta\beta_{0\nu}$ , and  $\mu$  decay, depending on the neutrino properties, and the result for  $U_{(IV)}^R$  is greatly strengthened by  $B_d\bar{B}_d$  mixing.

The lower limits on  $M_{2g}$  for the various cases are listed in Table II. The lightest possible  $W_R$  occurs for a heavy Dirac neutrino. Somewhat stronger limits of 500 and 670 GeV are obtained for the (theoretically more popular) cases of neutrinos light enough to be produced in muon decay or for heavy Majorana neutrinos, respectively. (In fact the muon decay constraints deviate from the standard model predictions by  $2.4\sigma$ , suggesting the possible existence of a  $W_R$  with a mass around 500 GeV. However, we choose to be conservative and interpret the results as upper limits on new physics.) Comparison with the expected production cross sections in Fig. 4 indicates that there is an excellent chance of discovering a  $W_R$  at the SSC, which should be sensitive up to 8–9 TeV, and even a window at the Tevatron (sensitive to 500–700 GeV). Fortunately, the cross section for  $pp \rightarrow W_R^+$ , which occurs via  $u\bar{d}$  or  $u\bar{s} \rightarrow W_R^+$ , is not very sensitive to the form of  $U^R$  as long as  $U_{ub}^R$  is small.<sup>62</sup>

In contrast to  $M_{2g}$ , the limits on the mixing angle  $\zeta_g$ , which is dominated by universality and  $K_{\pi 3}$  decay, are almost as stringent as for the case of PMLRS as long as  $\cos\delta_{d,s} \sim 1$  (small  $CP$ -violating phases). This can be seen in Table III and in Figs. 5–7. The weakest limit is

$$|\zeta_g| < 0.0025 \quad (\cos\delta \sim 1) \quad (84)$$

for cases I and II.

However, it is possible that the  $CP$ -violating phases in  $e^{i\omega}U^R$  are large, and in fact large phases are one of the mechanisms for evading the predictions of PMLRS if there is an underlying  $L$ - $R$  symmetry. We have therefore allowed for the possibility of maximal phases ( $\cos\delta_{d,s} \sim 0$ ). In that case, one obtains the weaker limits on  $|\zeta_g|$  in Table III. These are dominated by second-order constraints from nonleptonic kaon decay, and yield

$$|\zeta_g| < 0.013 \quad (\cos\delta \sim 0) \quad (85)$$

for  $U_{(I,II)}^R$ . Other constraints from universality, muon decay,  $|\zeta_g| \leq \beta_g$ , and the  $M_1$  mass shift place limits of a few percent on  $|\zeta_g|$ . The mass shift limit should be significantly improved in the future. Constraints from  $CP$  violation in the kaon system may also be significant but have not been investigated here. Limits for values of  $\cos\delta_i$  between 1 and 0 (Ref. 59) interpolate between the extremes in Table III.

The limits we have quoted are not rigorous. There are a number of theoretical uncertainties, and we have incorporated reasonable but not rigorous prohibitions on extreme fine-tuning (in fact we discuss a finely tuned solution which could possibly allow  $M_R \simeq M_L$ ). However, we believe they represent reasonable guides to the most likely places to look for new physics.

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