

Dynamical model for composite quarks and leptons based on supersymmetric quantum chromodynamics

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In supersymmetric quantum chromodynamics (SQCD) with N “colors” and M “flavors” ($N < M$), quarks are generated as quasi-Nambu-Goldstone fermions in $\hat{c}_a \hat{w}_i$ and leptons as chiral fermions in $\epsilon^{abc} \hat{c}_a^C \hat{c}_b^C \hat{c}_c^C \hat{w}_i$ ($N=4$) with antisymmetrized “colors” ($a = \text{three colors}, i = \text{weak isospins}$). Mass protection symmetry is $SU(N-1)_{L+R} \times SU(M-N+1)_L \times SU(M-N+1)_R \times [U(1)\text{'s}]$ supported by complementarity and an effective superpotential. The remaining chiral symmetries are necessarily all broken once supersymmetry is explicitly broken. Masses for quarks and leptons are found to be controlled by the scale $M_F = M_{SSB} (M_{SSB}/\Lambda_{sc})^\kappa$ with $\kappa = (M-N)/[2\rho - (M-N-2)]$ for $2\rho > M-N-2$, where M_{SSB} is the supersymmetry-breaking scale and Λ_{sc} is the scale of SQCD.

I. INTRODUCTION

In supersymmetric composite models of quarks and leptons, the lightness of quarks and leptons is linked to the Nambu-Goldstone mechanism.^{1,2} In the absence of spontaneous supersymmetry (SUSY) breaking, quasi-Nambu-Goldstone fermions (QNGF’s) are generated as massless particles in the Nambu-Goldstone superfields (NGS’s) associated with spontaneous breakdown of global symmetry G to H (Ref. 2). If the subgroup H further includes chiral symmetry, composite fermions called chiral fermions³ (CF’s) will also be generated to satisfy anomaly-matching conditions on H (Ref. 4). Since QNGF’s are controlled by the coset space G/H and CF’s are controlled by the anomalies of H , the spectra of QNGF’s and CF’s are entirely determined by the subgroup H , which is strongly constrained by the underlying dynamics for composites.

The simplest underlying dynamics is given by supersymmetric quantum chromodynamics (SQCD) with N “colors” and M “flavors” that contains the gauge superfield $W_A^B = (\lambda, G_\mu)^B_A$ ($A, B = 1, \dots, N$) and the matter superfields $\Phi^{(1)A}_i = (\phi^{(1)}, \psi^{(1)})^A_i$ and $\Phi^{(2)A}_i = (\phi^{(2)}, \psi^{(2)})^A_i$ ($A = 1, \dots, N; i = 1, \dots, M$). It possesses $G = SU(M)_L \times SU(M)_R \times U(1)_V \times U(1)_A$ with $Q_V = (1,$

$-1, 0)$ for $(\Phi^{(1)}, \Phi^{(2)}, W)$ and $Q_A = (N-M, N, -M, 0)$ for $(\phi^{(1,2)}, \psi^{(1,2)}, \lambda, G_\mu)$, which undergoes spontaneous breakdown to $H = SU(M)_{L+R} \times U(1)_V$ for $N > M$, $H = SU(M)_{L+R} \times U(1)_A$ for $N = M$, and $H = SU(N)_{L+R} \times SU(M-N)_L \times SU(M-N)_R \times U(1)'_V \times U(1)'_A$ for $N < M$ (Refs. 5–7). Since the spontaneous SUSY breaking will be induced if $N > M$ (Refs. 5 and 6) the QNGF mechanism calls for SQCD with $N \leq M$.

In SQCD with $N \leq M$, if there are at least six “flavors” ($M \geq 6$) consisting of three colors of $\hat{c}_{a=1,2,3}$, one $B-L$ of \hat{c}_0 and two weak flavors of $\hat{w}_{i=1,2}$ with the $V-A$ coupling to the weak bosons W^\pm for \hat{w}_{Li} , quark-lepton supermultiplets are generated as NGS’s, $\hat{c}_{La,0} \hat{w}_{Li}$ and $\hat{c}_R^{Ca,0} \hat{w}_R^{Ci}$, for $N=4$ and 6 with $M=6$ (utilizing the minimal “flavor” number) and for $N=4$ with $M=8$ (utilizing two sets of the weak flavors). Dynamical examination on mass generation, however, implies phenomenologically unfavorable features.^{8,9} The models contain (1) light leptoquarks in $\hat{c}_{LO} \hat{c}_R^Ca$ and $\hat{c}_{La} \hat{c}_R^CO$ associated with $[SU(4)_C]_L \times [SU(4)_C]_R \rightarrow [SU(4)_C]_{L+R}$ for $N=4$ and 6 with $M=6$ or (2) the appreciable mixing of quarks and leptons with their mirrors in $(\epsilon_{ijkl} \hat{w}_R^{Cj} \hat{w}_R^{Ck} \hat{w}_R^{Cl}) \hat{c}_{La,0}$ and $(\epsilon^{ijkl} \hat{w}_L^A \hat{w}_L^B \hat{w}_L^C \hat{w}_L^D) \hat{c}_R^{Ca,0}$ (Ref. 10) for $N=4$ with $M=8$ (since \hat{w}_L has the $V-A$ coupling).

In this paper we examine

$$G \rightarrow H = SU(N-1)_{L+R} \times SU(M-N+1)_L \times SU(M-N+1)_R \times [U(1)\text{'s}] \quad (N < M),$$

in which quarks and leptons are provided for $N=4$ and their mirrors and leptoquarks turn out to be absent. The dynamical issue of supporting this breaking pattern is first examined by employing complementarity^{3,11,12} and then by finding an effective superpotential that is taken to be of the Taylor-Veneziano-Yankielowicz type.⁵ By using an effective Lagrangian, we find that masses of light composite fermions are characterized by the SUSY-breaking (SSB) scale M_{SSB} and the SQCD scale Λ_{sc} as

$$M_{SSB} (M_{SSB}/\Lambda_{sc})^\kappa \quad \text{with} \quad \kappa = (M-N)/[2\rho - (M-N-2)] \quad \text{for} \quad 2\rho > M-N-2.$$

II. SYMMETRY BREAKING

A. Complementarity

Complementarity relates two phases of massless SQCD, the Higgs phase and the confining phase,¹¹ and postulates

that the same symmetry-breaking pattern and the same spectrum of massless particles are generated in both phases.¹² The anomaly matching that is trivial in the Higgs phase is automatic in the confining phase. In the Higgs phase, if the scalars $\phi^{(1,2)}$ develop nonvanishing vacuum expectation values (VEV's),

$$\langle \phi^{(1)A}_i \rangle |_{\theta=0} = \langle \phi^{(1)A}_i \rangle \propto \Lambda \delta_i^A \quad (1a)$$

$$(i, A = 1, \dots, N-1),$$

$$\langle \phi^{(2)i}_A \rangle |_{\theta=0} = \langle \phi^{(2)i}_A \rangle \propto \Lambda \delta_i^A \quad (1b)$$

the symmetry $G \times \text{SU}(N)_{\text{sc}}^{\text{loc}}$ spontaneously breaks to

$$H_0 = \text{SU}(N-1)_{L+R} \times \text{SU}(M-N+1)_L \\ \times \text{SU}(M-N+1)_R \times \text{U}(1)_w \times \text{U}(1)_c \times \text{U}(1)_\chi, \quad (2)$$

where $Q_w = [(M-N+1)Q_V - (Q_L + Q_R)]/M$, $Q_c = Q_w + Q_V + Q_{\text{sc}}$, $Q_\chi = [(M-N)(Q_L - Q_R) + (M-N+1)Q_A]/M$ with $Q_{L,R} = (M-N+1, 1-N)$ for $(i=1, \dots, N-1, i=N, \dots, M)$, and $Q_{\text{sc}} = (1, 1-N)$ for $(A=1, \dots, N-1, A=N)$. In the confining phase, the same breaking $G \rightarrow H_0$ is generated by

$$\left\langle \sum_A \Phi^{(1)A}_i \Phi^{(2)j}_A \right\rangle |_{\theta=0} = \left\langle \sum_A \phi^{(1)A}_i \phi^{(2)j}_A \right\rangle \\ \propto \Lambda_{\text{sc}}^2 \delta_i^j \quad (i, j = 1, \dots, N-1). \quad (3)$$

The $\text{U}(1)_c$ charge is defined by $Q_c = Q_w + Q_V$ instead of $Q_c = Q_w + Q_V + Q_{\text{sc}}$. The spectra of massless superfields in both phases are shown in Table I. Composite fermions in \hat{c} and \hat{w} and \hat{c}^C are QNGF's and other fermions are CF's. All anomaly-matching constraints are satisfied by these

QNGF's and CF's.

To implement quarks and leptons, $\text{SU}(N-1)_{L+R}$ is identified with the color- $\text{SU}(3)_C$ symmetry ($N=4$) while $\text{SU}(M-N+1)_{L,R}$ take care of the chiral version of the weak-isospin $\text{SU}(2)_w$ symmetry ($M=\text{odd} \geq 5$). The scale of SQCD Λ_{sc} is taken to be about 1 TeV in order to account for the electroweak scale $G_F^{-1/2} \approx 300$ GeV. The ‘‘flavor’’ superfields consist of $\hat{c}_{a=1,2,3}$ and $\hat{w}_{i=1, \dots, M-3}$. Since \hat{c}_0 is absent, no leptoquarks are generated. $B-L$ can be taken as $Q_c/(N-1)$. The model thus contains quarks as QNGF's in $\hat{c}_{La} \hat{w}_{Li}$ and $\hat{c}_{R^a} \hat{w}_{R^i}$ and leptons as CF's in $(\epsilon_{abc} \hat{c}_{R^a} \hat{c}_{R^b} \hat{c}_{R^c}) \hat{w}_{Li}$ and $(\epsilon^{abc} \hat{c}_{La} \hat{c}_{Lb} \hat{c}_{Lc}) \hat{w}_{R^i}$ (Ref. 10) without their mirrors. Generations can be ascribed to the copies of two weak flavors. Since $M < 3N = 12$ for the asymptotic freedom of SQCD, at most four generations ($M=11$) are allowed.

B. Effective superpotential

The symmetry breaking of $G \rightarrow H_0$ in the massless SQCD should be described by an effective superpotential W_{eff} containing composite superfields $\sum_{A=1}^N \Phi^{(1)A}_i \Phi^{(2)j}_A$. An effective superpotential is taken to be of the Taylor-Veneziano-Yankielowicz type that consists of two parts:⁵ $W_{\text{eff}} = W_{\text{eff}}^{(0)} + W_{\text{eff}}^{(1)}$, where $W_{\text{eff}}^{(0)}$ is responsible for the anomalous $\text{U}(1)_{\text{anom}}$ transformation $\delta \Phi^{(1,2)} = -i \Phi^{(1,2)}$. Composite superfields required are S , T , $Y^{(1,2)}$, and U denoted as

$$S = (g_{\text{sc}}^2 / 32\pi^2) W_A^B W_B^A, \quad (4a)$$

$$T^j = \Phi^{(1)A}_i \Phi^{(2)j}_A, \quad (4b)$$

$$Y^{(1)}_{[i_1 \dots i_N]} = \epsilon(A) \Phi^{(1)A}_{i_1} \dots \Phi^{(1)A}_{i_N}, \quad (4c)$$

$$Y^{(2)}_{[i_1 \dots i_N]} = \epsilon(A) \Phi^{(2)j_1}_{A_1} \dots \Phi^{(2)j_N}_{A_N}, \quad (4d)$$

TABLE I. (a) Transformation properties of superfields $\Phi^{(1,2)}$ and W under H in the confining phase. (x, y) in $\text{U}(1)_\chi$ denotes Q_χ for $(J=0, J=\frac{1}{2})$ of $\Phi^{(1,2)}$ and for $(J=\frac{1}{2}, J=1)$ of W . (adj denotes the adjoint.) (b) The same as in (a) but for massless superfields in the Higgs phase or in the confining phase.

Superfields	(a)							
	$\text{SU}(N)_{\text{sc}}^{\text{loc}}$	$\text{SU}(N-1)_{L+R}$	$\text{SU}(M-N+1)_L$	$\text{SU}(M-N+1)_R$	$\text{U}(1)_w$	$\text{U}(1)_c$	$\text{U}(1)_\chi$	
$\Phi^{(1)A}_{i=1, \dots, N-1} \hat{c}_L^A$	N*	N-1	1	1	0	1	(0, M-N+1)	
$\Phi^{(1)A}_{i=N, \dots, M} \hat{w}_{R^A}^C$	N*	1	1	M-N+1	-1	0	(N-M, 1)	
$\Phi^{(2)j}_{A=1, \dots, N-1} \hat{c}_{R^A}^C$	N	N-1*	1	1	0	-1	(0, M-N+1)	
$\Phi^{(2)j}_{A=1, \dots, N} \hat{w}_{LA}$	N	1	M-N+1	1	1	0	(N-M, 1)	
W_A^B	adj	1	1	1	0	0	(N-M-1, 0)	
Higgs phase		(b)						
	Confining	$\text{SU}(N-1)_{L+R}$	$\text{SU}(M-N+1)_L$	$\text{SU}(M-N+1)_R$	$\text{U}(1)_w$	$\text{U}(1)_c$	$\text{U}(1)_\chi$	
$\hat{c}_L^{A=1, \dots, N-1} + \hat{c}_{RA=1, \dots, N-1}^C$	$\hat{c}_L \hat{c}_R$	1+adj	1	1	0	0	(0, M-N+1)	
$\hat{w}_{LA=1, \dots, N-1}$	$\hat{c}_L \hat{w}_L$	N-1	M-N+1	1	1	1	(N-M, 1)	
$\hat{w}_{RA=1, \dots, N-1}^C$	$\hat{c}_R \hat{w}_R$	N-1^a	1	M-N+1	-1	-1	(N-M, 1)	
$\hat{w}_{LA=N}$	$[\hat{c}_R^C]^{N-1} \hat{w}_L^a$	1	M-N+1	1	1	1-N	(N-M, 1)	
$\hat{w}_{RA=N}^C$	$[\hat{c}_L]^{N-1} \hat{w}_R^a$	1	1	M-N+1	-1	N-1	(N-M, 1)	

^a $[\hat{c}_R^C]^{N-1} = ([\hat{c}_R^C]^{N-1})^A \propto \sum \epsilon_{i_1 \dots i_{N-1}} (\sum \epsilon^{A A_1 \dots A_{N-1}} \hat{c}_{RA_1}^{C_1} \dots \hat{c}_{RA_{N-1}}^{C_{N-1}})$ and similarly for $[\hat{c}_L]^{N-1}$.

$$\begin{aligned} U_{[i_1 \cdots i_{N-1}]}^{[j_1 \cdots j_{N-1}]} &= \epsilon_{A_1 \cdots A_{N-1}} \epsilon^{B_1 \cdots B_{N-1}} \\ &\times \Phi_{i_1}^{(1)A_1} \cdots \Phi_{i_{N-1}}^{(1)A_{N-1}} \\ &\times \Phi_{B_1}^{(2)j_1} \cdots \Phi_{B_{N-1}}^{(2)j_{N-1}}, \end{aligned} \quad (4e)$$

where the repeated indices are all summed and $\epsilon(A)$ denotes the antisymmetrization of the indices A_i . Quarks represented as QNGF's in $\hat{c} \hat{w}$ will be described by T and U and similarly for singlets in $\hat{c} \hat{c}^C$. Leptons as CF's in $[\hat{c}^C]^3 \hat{w}$ are described by $Y^{(1,2)}$.

$W_{\text{eff}}^{(0)}$ can be constructed from S and T only. The anomalous $U(1)_{\text{anom}}$ transformation of $\delta L_{\text{eff}} = \delta W_{\text{eff}}|_{\theta\theta} + \text{H.c.} = 2M(g_{\text{sc}}^2/32\pi^2)F\bar{F}$ can be translated into

$$X_U = \left[\frac{1}{N_U} \epsilon(i'i', j'j') U_{[i_1 \cdots i_{N-1}]}^{[j_1 \cdots j_{N-1}]} U_{[i_1 \cdots i_{N-1}]}^{[j_1 \cdots j_{N-1}]} T_{i_N}^{j_N} \cdots T_{i_M}^{j_M} T_{i_N}^{j_N} \cdots T_{i_M}^{j_M} \right]^{1/2}, \quad (7a)$$

$$X_Y = \frac{1}{N_Y} \epsilon(i, j) Y_{[i_1 \cdots i_N]}^{(1)} Y^{(2)[j_1 \cdots j_N]} T_{i_{N+1}}^{j_{N+1}} \cdots T_{i_M}^{j_M}, \quad (7b)$$

with $N_U = [(N-1)!]^4 [(M-N+1)!]^2$ and $N_Y = [N!]^2 (M-N)!$. As is readily recognized the fields $Z_{U,Y}$ are neutral under $G \times U(1)_{\text{anom}}$. Since the condensation of (3) corresponds to $\langle T_i^j \rangle|_{\theta=0} \propto \delta_i^j$ ($i, j = 1, \dots, N-1$), the symmetry breaking $G \rightarrow H_0$ described by complementarity is realized if $W_{\text{eff}} = W_{\text{eff}}^{(0)} + W_{\text{eff}}^{(1)}$ allows $\langle Z_U \rangle|_{\theta=0} \neq 0$ and $\langle Z_Y \rangle|_{\theta=0} = 0$. The formation of the condensate $\langle U_{[1 \cdots N-1]}^{[1 \cdots N-1]} \rangle$ is thus essential for $G \rightarrow H_0$ in this kind of effective-Lagrangian approach. Namely, the formation of the condensate $\langle U_{[1 \cdots N-1]}^{[1 \cdots N-1]} \rangle$ spontaneously chooses $\langle T_i^j \rangle|_{\theta=0} \propto \delta_i^j$ ($i, j = 1, \dots, N-1$) while that of $\langle Y_{[1 \cdots N]}^{(1)} \rangle$ and $\langle Y^{(2)[1 \cdots N]} \rangle$ spontaneously chooses $\langle T_i^j \rangle|_{\theta=0} \propto \delta_i^j$ ($i, j = 1, \dots, N$).⁹

Possible condensations generated by W_{eff} are $\pi_{ci} = \langle T_i^j \rangle|_{\theta=0}$ ($i = 1, \dots, N-1$), $\pi_{wi} = \langle T_{i+N-1}^{i+N-1} \rangle|_{\theta=0}$ ($i = 1, \dots, M-N+1$), $\pi_u = \langle U_{[1 \cdots N-1]}^{[1 \cdots N-1]} \rangle|_{\theta=0}$, $\pi_{y1} = \langle Y_{[1 \cdots N]}^{(1)} \rangle|_{\theta=0}$, $\pi_{y2} = \langle Y^{(2)[1 \cdots N]} \rangle|_{\theta=0}$, and $\pi_\lambda = \langle S \rangle|_{\theta=0}$. The VEV's are determined by $W_{;I} = \partial W_{\text{eff}} / \partial \pi_I = 0$ ($I = ci, wi, u, ya, \lambda$) with

$$W_{;ci} = [1 + z_U f'_U(z_U) + z_Y f'_Y(z_Y)] (\pi_\lambda / \pi_{ci}) \quad (i = 1, \dots, N-1), \quad (8a)$$

$$W_{;wi} = [1 + z_Y f'_Y(z_Y)] (\pi_\lambda / \pi_{wi}) \quad (i = 1), \quad (8b)$$

$$W_{;wi=2, \dots, M-N+1} = \pi_\lambda / \pi_{wi} \quad (i = 2, \dots, M-N+1), \quad (8c)$$

$$W_{;u} = -z_U f'_U(z_U) (\pi_\lambda / \pi_u), \quad (8d)$$

$$W_{;ya} = -z_Y f'_Y(z_Y) (\pi_\lambda / \pi_{ya}), \quad (8e)$$

$$\begin{aligned} W_{;\lambda} &= \ln \left[(\pi_\lambda / \Lambda_{\text{sc}}^3)^{N-M} \prod_{i=1}^{N-1} (\pi_{ci} / \Lambda_{\text{sc}}^2) \right. \\ &\quad \left. \times \prod_{i=1}^{M-N+1} (\pi_{wi} / \Lambda_{\text{sc}}^2) \right] \\ &\quad + f_U(z_U) + f_Y(z_Y), \end{aligned} \quad (8f)$$

$\delta W_{\text{eff}} = -2iMS$ since $S|_{\theta\theta}$ contains $F\bar{F}$, leading to $T\partial W_{\text{eff}} / \partial T = MS$. The invariance of $W_{\text{eff}}|_{\theta\theta}$ under $U(1)_A$ reads

$$[-M(S\partial/\partial S - 1) + (N-M)\partial/\partial T] W_{\text{eff}} = 0.$$

By considering other invariances, one obtains

$$W_{\text{eff}}^{(0)} = S \{ \ln[S^{N-M} \det(T_i^j) / \Lambda_{\text{sc}}^{3N-M}] + M - N \}. \quad (5)$$

The remaining $W_{\text{eff}}^{(1)}$ is the function of $Z_{U,Y} = \det(T_i^j) / X_{U,Y}$:

$$W_{\text{eff}}^{(1)} = S [f_U(Z_U) + f_Y(Z_Y)], \quad (6)$$

where

where $z_U = Z_U|_{\theta=0} = \prod_{i=1}^{N-1} \pi_{ci} / \pi_u$; $z_Y = Z_Y|_{\theta=0} = \prod_{i=1}^{N-1} \pi_{ci} \pi_{wi} / \pi_{y1} \pi_{y2}$. The solutions should be given by $\pi_{ci} \sim \Lambda_{\text{sc}}^2$ and $\pi_u \sim \Lambda_{\text{sc}}^{N-1}$ and $\pi_{wi} = \pi_{y1} = \pi_{y2} = \pi_\lambda = 0$. Since $\pi_{y1} = \pi_{y2} = 0$ are required to be exact constraints valid even in the presence of SUSY breaking, that is, the SUSY breaking should not generate $\pi_{y1, y2} \propto M_{\text{SSB}}^{(\text{power})}$, the form of $f_Y(Z_Y)$ can be taken as

$$f_Y(Z_Y) = h Z_Y^{-1}, \quad (9a)$$

which yields $h Y^{(1)} Y^{(2)}$ like the ordinary Higgs-boson coupling. As a result, Eq. (8e) is satisfied by $\pi_{y1, y2} = 0$. From $W_{;\lambda} = 0$ in Eq. (8f), the singularity due to $\pi_{wi} = \pi_\lambda = 0$ in $W_{\text{eff}}^{(0)}$ dictates $f_U(z_U)$ [because $f_Y(z_Y) = 0$ from $\pi_{y1, y2} = 0$]:

$$\begin{aligned} f_U(z_U) &= -\ln \left[(\pi_\lambda / \Lambda_{\text{sc}}^3)^{N-M} \prod_{i=1}^{M-N+1} (\pi_{wi} / \Lambda_{\text{sc}}^2) \right] \\ &\quad + \text{const}, \end{aligned} \quad (9b)$$

where const represents the terms from $\pi_{ci} / \Lambda_{\text{sc}}$. Since $\pi_\lambda / \pi_{wi} = 0$ from Eqs. (8b) and (8c), Eq. (9b) is consistent if

$$f_U(z_U) = \rho \ln [Z_U - \langle Z_U \rangle|_{\theta=0}] + \text{regular} \quad (\rho > 0), \quad (10)$$

where regular stands for the regular terms such as $\ln Z_U$. The singularity due to $\pi_\lambda / \pi_{wi} = 0$ is transferred to the one due to $Z_U = \langle Z_U \rangle|_{\theta=0}$. One observes that QNGF's in T and U are placed in the singular part $\ln(Z_U - \langle Z_U \rangle|_{\theta=0})$ with $\langle Z_U \rangle|_{\theta=0} \neq 0$ while CF's are contained in the regular part $\propto Z_Y^{-1}$ with $\langle Z_Y^{-1} \rangle|_{\theta=0} = 0$. For comparison, we note that SQCD with $N=M$ is described by $W_{\text{eff}}^{(1)} = -\rho \ln Z_Y$ ($0 < \rho < 1$) (Ref. 8) leading to $\pi_i \sim \Lambda_{\text{sc}}^2$

($i=1, \dots, M$) and $\pi_{y1,y2} \sim \Lambda_{sc}^M$ without U and SQCD with $N < M$ possessing the chiral $SU(M-N)$ symmetry is by $W_{\text{eff}}^{(1)} = S[\rho \ln(Z_Y - \langle Z_Y \rangle |_{\theta=0}) + \text{regular}]$ ($\rho > 0$) (Ref. 9) leading to $\pi_i \sim \Lambda_{sc}^2$ ($i=1, \dots, N$) and $\pi_{y1,y2} \sim \Lambda_{sc}^N$ without U .

It should be noted that $W_{\text{eff}}^{(0)}$ correctly produces the anomaly relation of the Konishi type^{5,13} in the massive SQCD with

$$W_{\text{mass}} = \sum_{i=1}^M m_i \Phi_i^{(1)} \Phi^{(2)i} .$$

In the effective superpotential, W_{mass} is equivalent to $W_{\text{mass}}^{(2)} = \sum_{i=1}^M m_i T_i^i$ and $\partial(W_{\text{eff}}^{(0)} + W_{\text{eff}}^{(1)} + W_{\text{mass}}^{(2)}) / \partial \pi_i = 0$ gives

$$\pi_\lambda = m_i \pi_{wi} . \quad (11)$$

Therefore, the form of $W_{\text{eff}}^{(0)}$ is unique in this respect.

III. EFFECTIVE POTENTIAL

Our starting Lagrangian L_{eff} is given by $L_{\text{eff}} = L_0 + [(W_{\text{eff}} + W_{\text{mass}}^{(2)}) |_{\theta\theta} + \text{H.c.}] - L_{\text{mass}}$ with

$$L_0 = \sum_I K_I(\Omega_I, \Omega_I^*) |_{\theta\theta\bar{\theta}\bar{\theta}} , \quad (12a)$$

$$\begin{aligned} L_{\text{mass}} = & \left[\sum_i \mu_{iL}^2 \left[\sum_j (\Lambda_{sc}^{-2} |T_j^j|^2 + \Lambda_{sc}^{-(4N-6)} |U_j^j|^2) + \Lambda_{sc}^{-2(N-1)} |Y_i^{(1)}|^2 \right] \right. \\ & + \sum_j \mu_{jR}^2 \left[\sum_i (\Lambda_{sc}^{-2} |T_i^i|^2 + \Lambda_{sc}^{-4(N-6)} |U_i^i|^2) + \Lambda_{sc}^{-2(N-1)} |Y^{(1)j}|^2 \right] \\ & \left. + \sum_i \mu_i^2 (T_i^i + T_i^{i*}) + m_\lambda (S + S^*) \right] |_{\theta=\bar{\theta}=0} , \end{aligned} \quad (12b)$$

where

$$U_j^j = [(N-2)!]^{-1} \sum_{\{k,l\}} \epsilon^{k_1 \dots k_{N-2}} \epsilon_{l_1 \dots l_{N-2}} U_{[k_1 \dots k_{N-2}] [l_1 \dots l_{N-2}]} ,$$

$$Y_i^{(1)} = [(N-1)!]^{-1} \sum_{\{k\}} \epsilon^{k_1 \dots k_{N-1}} Y_{[k_1 \dots k_{N-1}] i}$$

and similarly for $Y^{(2)j}$. L_0 is the kinetic term for the composite fields $\Omega_I = S, T, Y^{(1,2)}$, and U taken to be $\partial^2 K / \partial \Omega_I^* \partial \Omega_J = \delta_{IJ} G_I^{-1} (\Omega_I^* \Omega_I)$; and L_{mass} to the SUSY-breaking mass terms $\mu_L^2 \phi^{(1)*} \phi^{(1)}$, $\mu_R^2 \phi^{(2)*} \phi^{(2)}$, $\mu^2 \phi^{(1)} \phi^{(2)}$, and $m_\lambda \lambda \lambda$.

The quark-lepton model ($N=4$) requires the following. (1) $\mu_i = \text{const}$ and $\mu_{iL,R} = \text{const}$ ($i=1, \dots, N-1$) for the color- $SU(N-1)$ symmetry. (2) $m_i (=m_{ci}) = 0$ ($i=1, \dots, N-1$) for the anomaly relation $m_{ci} \pi_{ci} = \pi_\lambda = 0$ (Refs. 5 and 13). (3) $m_i = 0$ ($i=N, \dots, M$) to avoid spontaneous SUSY breaking due to the instanton effect.¹⁴ (4) $m_i = \mu_i = 0$ ($i=N, \dots, M$) for W_μ^\pm and Z_μ based on the electroweak $[SU(2)_W]_L^{\text{loc}}$ symmetry correlated to $SU(M-N+1)_L$. In addition to these constraints, the present phenomenology requires that $m_i \ll M_{\text{SSB}}$ since the scalar partners of quarks and leptons have not been discovered. This requirement is consistent with the massless SQCD defined in the limit of $M_{\text{SSB}} \gg m_i \rightarrow 0$ but not with the massive SQCD in the limit of $m_i (\neq 0) \gg M_{\text{SSB}} \rightarrow 0$.

The effective potential V_{eff} is written as

$$\begin{aligned} V_{\text{eff}} = & G_T \left[\sum_{i=1}^{N-1} |W_{;ci}|^2 + \sum_{i=1}^{M-N+1} |W_{;wi}|^2 \right] + G_U |W_{;u}|^2 + \sum_{a=1}^2 G_{Y(a)} |W_{;ya}|^2 \\ & + G_S |W_{;\lambda}|^2 + \sum_{i=1}^M [(\mu_{iL}^2 + \mu_{iR}^2) \Lambda_{sc}^{-2} |\pi_i|^2 + \mu_i^2 \pi_i] + \sum_{i=1}^{N-1} (\mu_{iL}^2 + \mu_{iR}^2) \Lambda_{sc}^{-(4N-6)} |\pi_u|^2 \\ & + \Lambda_{sc}^{-2(N-1)} \left[\left[\sum_{i=1}^{N-1} \mu_{iL}^2 + \mu_{NL}^2 \right] |\pi_{y1}|^2 + \left[\sum_{i=1}^{N-1} \mu_{iR}^2 + \mu_{NR}^2 \right] |\pi_{y2}|^2 \right] + m_\lambda \pi_\lambda . \end{aligned} \quad (13)$$

The conditions of $\partial V_{\text{eff}} / \partial \pi_I = 0$ are given by $\pi_{ya} = 0$ and

$$G_T W_{;wi}^* (\pi_\lambda / \pi_{wi}) = G_S W_{;\lambda}^* + M_{wi}^2 + G_T' |\pi_{wi}|^2 \sum_j |W_{;j}|^2 , \quad (14a)$$

$$(1 + \epsilon) G_T W_{;ci}^* (\pi_\lambda / \pi_{ci}) = \eta X + (1 + \epsilon) G_S W_{;\lambda} + M_{ci}^2 + G_T' |\pi_{ci}|^2 \sum_j |W_{;j}|^2 , \quad (14b)$$

$$\epsilon G_U W_{;u}^* (\pi_\lambda / \pi_u) = \eta X + \epsilon G_S W_{;\lambda} - M_u^2 - G_U' |\pi_u|^2 |W_{;u}|^2 , \quad (14b)$$

$$G_T \sum_j W_{;j}^* (\pi_\lambda / \pi_j) + \epsilon X + (N-M) G_S W_{;\lambda}^* + m_\lambda \pi_\lambda + |\pi_\lambda|^2 G_S' |W_{;\lambda}|^2 = 0 , \quad (14d)$$

with $\epsilon = zf'(z)$, $\eta = z[zf'(z)]'$, and $f(z) = \rho \ln(z - \langle z \rangle |_{\theta=0})$ ($z = z_U$), where $M_{li}^2 = (\mu_{liL}^2 + \mu_{liR}^2)\pi_{li}^2 \Lambda_{sc}^{-2} + \mu_{li}^2 \pi_{li}$ ($l = w, c$), $M_u^2 = \sum_{i=1}^{N-1} (\mu_{iL}^2 + \mu_{iR}^2)\pi_u^2 \Lambda_{sc}^{-(4N-6)}$, and $X = \sum G_T W_{;ci}(\pi_\lambda/\pi_{ci}) - G_U W_{;u}(\pi_\lambda/\pi_u)$.

By keeping leading terms of $(z - \langle z \rangle)^{-1}$ ($= \xi^{-1} \gg 1$), i.e., $\epsilon \approx \rho z / \xi$ and $\eta \approx \rho z \langle z \rangle / \xi^2$, and by adopting canonical kinetic terms for composites, i.e., $G_I = f_I = \text{const}$, we find that, for real VEV's,

$$f_T(\pi_\lambda/\pi_{wi})^2 = f_S W_{;\lambda} + M_{wi}^2, \quad (15a)$$

$$f_T(\rho \langle z \rangle \pi_\lambda / \xi \pi_c)^2 = [(\rho - 1)f_S W_{;\lambda} - (M_c + M_u^2)] / (N - 2), \quad (15b)$$

$$f_U(\rho \langle z \rangle \pi_\lambda / \xi \pi_u)^2 = [(N - 1 - \rho)f_S W_{;\lambda} + (N - 1)(M_c^2 + M_u^2)] / (N - 2), \quad (15c)$$

$$(1 + \rho)f_S W_{;\lambda} = - \left[\sum_j M_{wj}^2 + m_\lambda \pi_\lambda \right] + \xi [(\rho - 1)(N - 1)M_c^2 + (\rho - N + 1)M_u^2] / [\rho \langle z \rangle (N - 2)], \quad (15d)$$

where $\pi_c = \pi_{ci}$ and $M_c^2 = M_{ci}^2$ ($i = 1, \dots, N - 1$). The SUSY breaking by $M_{c,u}^2$ is not compatible with Eqs. (15b) and (15c) because $f_S W_{;\lambda} \sim \xi M_{c,u}^2 \ll M_{c,u}^2$ while the breakings by $m_\lambda \pi_\lambda$ and M_{wi}^2 are allowed if $1 < \rho < N - 1$ and $f_S W_{;\lambda} > 0$. This restriction on the allowed breakings is not specific to the canonical kinetic terms but also valid for noncanonical kinetic terms with $G_I' \neq 0$. Other general properties which do not depend on the choice of the kinetic terms are the ξ and M_{SSB} dependencies of π_I ($\xi \rightarrow 0$ as $M_{SSB} \rightarrow 0$): (1) $\pi_{ci} \sim \Lambda_{sc}^2$ and $\pi_u \sim \Lambda_{sc}^{2(N-1)}$, (2) $\pi_{wi} \sim \xi \Lambda_{sc}^2$ ensured by the singular behavior of $W_{\text{eff}}^{(1)}$; (3) $\pi_\lambda \sim \xi^2 M_{SSB} \Lambda_{sc}^2$ for M_{SSB} dominated by m_λ and $\mu_{wiL,R}$ and $\pi_\lambda \sim \xi^{3/2} M_{SSB} \Lambda_{sc}^2$ for M_{SSB} by μ_{wi} .

IV. MASS SPECTRUM OF COMPOSITES

Since W_{eff} contains U that mixes with T by $f(Z_U)$, W_{eff} should guarantee the decoupling of one combination of T and U as $M_{SSB} \rightarrow 0$ in agreement with complementarity. The mass matrix M for quarks in NGS's, T and U , is calculated from $\partial^2 W_{\text{eff}} / \partial \tilde{\pi}_I \partial \tilde{\pi}_J$ with $\tilde{\pi}_I = \pi_I / \sqrt{f_I}$ and is found to be

$$M = - (\pi_\lambda / \tilde{\pi}_{ca} \tilde{\pi}_{wi}) \times \begin{bmatrix} 1 + \langle z \rangle \rho / \xi & - \langle z \rangle \rho \tilde{\pi}_c / \xi \tilde{\pi}_u \\ - \langle z \rangle \rho \tilde{\pi}_c / \xi \tilde{\pi}_u & \langle z \rangle \rho \tilde{\pi}_c^2 / \xi \tilde{\pi}_u^2 \end{bmatrix}, \quad (16)$$

on the (\tilde{T}, \tilde{U}) basis, for $a = 1, \dots, N - 1$ and $i = 1, \dots, M - N + 1$. We obtain

$$m_{\text{light}} = -r^2 \pi_\lambda / (1 + r^2) \tilde{\pi}_{ca} \tilde{\pi}_{wi} \quad (17a)$$

$$m_{\text{heavy}} = -(1 + r^2) \rho \langle z \rangle \pi_\lambda / \xi \tilde{\pi}_{ca} \tilde{\pi}_{wi} \quad (r = \tilde{\pi}_c / \tilde{\pi}_u) \quad (17b)$$

where the lighter field $\sim r\tilde{T} + \tilde{U}$ and the heavier one $\sim \tilde{T} - r\tilde{U}$. For $M_{SSB} = m_\lambda$ and/or $\mu_{wiL,R}$, $m_{\text{heavy}} \sim \tilde{\pi}_\lambda / \xi \tilde{\pi}_{wi} \sim M_{SSB}$ while, for $M_{SSB} = \mu_{wi}$, $m_{\text{heavy}} \sim M_{SSB} / \xi^{1/2}$. The decoupling is thus only possible for $\pi_\lambda \sim \xi^{3/2} M_{SSB} \Lambda_{sc}^2$ ($M_{SSB} = \mu_{wi}$).¹⁴ The SUSY breaking is thus required to be dominated by $\mu_{wi}^2 \pi_{wi}$ with $\pi_{wi} < 0$ for $f_S W_{;\lambda} > 0$ in Eq. (15d). The μ_{wi} dominance is allowed if $\mu_{wi}^2 \gg \mu_{ca}^2, \mu_{caL,R}^2$ while $\mu_{wiL,R}$ and m_λ can be the same order as μ_{wi} because $\pi_{wi} / \Lambda_{sc}^2, \pi_\lambda / \pi_{wi} \Lambda_{sc} \ll 1$. Since $\mu_{wi}^2 \pi_{wi}$ invalidates the mass generation of W^\pm and Z based on $[\text{SU}(2)_W]_L^{\text{loc}}$, W^\pm , and Z should be described by composites.

We compute $\pi_{\lambda,wi}$ generated by the SUSY breaking

from μ_{wi} , which are taken to be $\mu_{wi} = \mu_w$ ($i = 1, \dots, n$) $\gg \mu_{wi}$ ($i = n + 1, \dots, M - N + 1$) that give $f_S W_{;\lambda} \sim - \sum_{i=1}^n M_{wi}^2$. Our results¹⁶ are $1 < \rho < \min(N - 1, n - 1)$ leading to $n \geq 3$, $r (= \tilde{\pi}_c / \tilde{\pi}_u) = \sqrt{(N - 1 - \rho) / (\rho - 1)}$,

$$\pi_{wi=1, \dots, n} = -\gamma \xi |\pi_c|, \quad (18a)$$

$$\pi_{wi=n+1, \dots, M-N+1} = \sqrt{(n-1-\rho)/n} \pi_{wi=1, \dots, n}, \quad (18b)$$

$$\pi_\lambda = \pm \sqrt{(n-\rho-1)/(\rho+1)} f_T(\gamma |\pi_c| \xi / f_T)^{3/2} \mu_w, \quad (18c)$$

$$\xi^{2\rho-(M-N-2)} = C(\mu_w / \Lambda_{sc})^{2(M-N)}, \quad (18d)$$

where

$$C = \gamma^3 [\gamma(n-1-\rho)\pi_c / (1+\rho)f_T]^{M-N+1} \times [n / (n-1-\rho)]^{M-N+1-n} (\Lambda_{sc}^2 / \pi_c)^{2N+1}$$

and

$$\gamma = (\rho \langle z \rangle)^{-1} [n(\rho-1) / (N-2)(n-1-\rho)]^{1/2}.$$

The decoupling is then realized by $\rho \leq M - N - 1$. Fermion masses are calculated to be

$$m_q = f_T r^2 |\pi_\lambda| / (1 + r^2) |\pi_c \pi_{wi}|, \quad (19a)$$

$$m_l = h \sqrt{f_{Y(1)} f_{Y(2)}} |\pi_\lambda| / |(\pi_c)^{N-1} \pi_{wi}|, \quad (19b)$$

$$m_8 = f_T \rho \langle z \rangle |\pi_\lambda| / \xi \pi_c \pi_c, \quad (19c)$$

$$m_1 = f_T \rho \langle z \rangle r^2 |\pi_\lambda| / (3 + r^2) \xi \pi_c \pi_c, \quad (19d)$$

where m_q stands for quarks as QNGF's, m_l for leptons as CF's, m_8 for the color octet as QNGF's, and m_1 for the color singlet as QNGF. The mass splitting between quarks and leptons is controllable owing to the difference of QNGF's from CF's, i.e.,

$$m_q / m_l \sim f_T \Lambda_{sc}^{2(N-1)} / h \sqrt{f_{Y(1)} f_{Y(2)}}$$

but is not predictive. Since $\pi_{wi} \sim \xi \pi_c$, the scale for these composite fermions, M_F , is determined to be

$$M_F (\sim f_T |\pi_\lambda / \pi_c \pi_{wi}|) = M_{SSB} (M_{SSB} / \Lambda_{sc})^{(M-N) / [2\rho - (M-N-2)]} \quad (20)$$

with $M_{SSB} = \mu_w$ and $2\rho - (M - N - 2) > 0$, from which $M_F \rightarrow \text{small}$ as $\rho \rightarrow \text{small}$ (> 1). For $\rho = \frac{5}{4}$ with $M = 7$ and $n = 4$, one obtains $M_F = M_{SSB} (M_{SSB} / \Lambda_{sc})^2$ and estimates $M_F \sim 100$ MeV if $M_{SSB} = 50$ GeV and $\Lambda_{sc} = 1$ TeV

($\sim G_F^{-1/2}$), which will fit the second generation. A similar result has also been obtained in SQCD with the chiral $SU(M-N)$ symmetry ($N < M$) although this case is unfavorable owing to the presence of appreciable mixing with mirror quarks and leptons.⁹

$$m_{sq}^2 = \left[c_{TU} \mu_{wiV}^2 \pm r^2 f_T \pi_{ca}^{-1} \left| \mu_{wi}^2 - c_{sq} \sum_{j=1}^n \mu_{wj}^2 (\pi_{wj} / \pi_{wi}) \right| \right] / (1+r^2), \quad (21a)$$

$$m_{sl}^2 = f_Y (\mu_{wiV}^2 \pm h \mu_{wi}^2) / \Lambda_{sc}^{2(N-1)}, \quad (21b)$$

with $c_{TU} = [(f_T r^2 / \Lambda_{sc}^2) + (\pi_u / \Lambda_{sc}^{2(N-1)})^2]$ and $c_{sq} = (\rho - 1) / (N - 2)(\rho + 1)$, where $\mu_{wiL} = \mu_{wiR} (= \mu_{wiV})$ and $f_{Y(1)} = f_{Y(2)} (= f_Y)$ are assumed for simplicity and $\mu_{wiV}^2 \gg \mu_{caL,R}^2$ are used. Since μ_{wiV} can be the similar order to μ_w , $(m_{sq,sl})^2 > 0$ imply $(m_{sq,sl})^2 (\sim \mu_{wiV}^2 \pm \mu_{wi}^2) \sim \mu_{wiV}^2 \sim \mu_w^2$.

The model contains light neutral and color-octet QNGF's with the mass $\sim M_F$ and color-octet scalars with the mass $\sim \mu_{cL,R}^2, \mu_c^2 \ll \mu_w^2$. The color octets can develop heavy dynamical masses ($\gtrsim 100$ GeV) due to QCD because of larger color charges.¹⁷ However, the neutral fermion remains as light as quarks and leptons.

V. SUMMARY

We have demonstrated that quarks and leptons are generated, respectively, as QNGF's and CF's by SQCD with $N=4$. The approximate mass-protection symmetry can be taken as $H = SU(N-1)_{L+R} \times SU(M-N+1)_L \times SU(M-N+1)_R \times U(1)_w \times U(1)_c \times U(1)_\chi$, which is specified by the condensations $\langle \hat{c}_{La} \hat{c}_{R}^{Cb} \rangle |_{\theta=0} \sim \pm \delta_a^b \Lambda_{sc}^2$ and

$$\langle [\hat{c}_L]^{N-1} [\hat{c}_R^c]^{N-1} \rangle |_{\theta=0} \sim \pm \Lambda_{sc}^{2(N-1)}.$$

The massless composite superfields consist of the Nambu-Goldstone superfields associated with this breaking and the chiral superfields required by complementarity to saturate the anomalies of H (Ref. 18).

The dynamical observation depends on the effective Lagrangian of SQCD of the Taylor-Veneziano-Yankielowicz type, which can incorporate the anomaly relation of $\langle \lambda \lambda \rangle = m_i \langle \phi_i^{(1)} \phi^{(2)i} \rangle$. Composite quarks being QNGF's contained in the superfields T and U and composite leptons being CF's in the superfields $Y^{(1,2)}$ are placed in the effective superpotential symbolically specified by $S \{ \ln \det(T) + \rho \ln [\det(T) - (UU)^{1/2}] + h Y^{(1)} Y^{(2)} \}$. The SUSY breaking is so constrained as to be induced by the SQCD gaugino mass given by $m_\lambda \lambda \lambda$ and/or the fundamental scalar masses given by $\mu_{wi}^2 \hat{w}_{Li} \hat{w}_R^{Ci} |_{\theta=0}$, $\mu_{wiL}^2 | \hat{w}_{Li} |^2 |_{\theta=\bar{\theta}=0}$, and $\mu_{wiR}^2 | \hat{w}_R^{Ci} |^2 |_{\theta=\bar{\theta}=0}$ that break mass protection symmetries $SU(M-N+1)_{L,R}$ and $U(1)_\chi$. All chiral symmetries in G can be broken [by the term $f_S W_{;\lambda}$ in Eqs. (15a)–(15d)] even if the SUSY breaking (by m_λ and/or $\mu_{wiL,R} = \text{const}$) preserves the chiral symmetries $SU(M-N+1)_{L,R}$. This feature is in accord with the QCD result: the spontaneous breaking of all chiral sym-

The scalar masses calculated are consistent with diagrammatic argument: Attach $\mu_{iL(iR)}^2$ to the line for $|\phi_i^{(1)}|^2 (|\phi^{(2)i}|^2)$ and μ_i^2 to the line for $\phi_i^{(1)} \phi^{(2)i}$. For example, masses for scalar quarks m_{sq}^2 and scalar leptons m_{sl}^2 are calculated as

metries because SQCD turns out to be QCD well below the scale M_{SSB} . However, the consistency with the anomaly matching at $M_{SSB}=0$ in agreement with complementarity requires that the SUSY breaking be induced by $M_{SSB} = \mu_{wi}$ from $\mu_{wi} \hat{w}_{Li} \hat{w}_R^{Ci} |_{\theta=0}$, which explicitly break remaining chiral symmetries.

The successive breaking of chiral symmetries is signaled by

$$\langle T_i^j \rangle_{\theta=0} (i, j = N, \dots, M-N+1) = \langle \hat{w}_{Li} \hat{w}_R^{Ci} \rangle |_{\theta=0} \sim -\delta_i^j \Lambda_{sc}^2 (M_{SSB} / \Lambda_{sc})^{2\kappa}$$

and

$$\langle S \rangle |_{\theta=0} = \langle \lambda \lambda \rangle |_{\theta=0} \sim \pm M_{SSB} \Lambda_{sc}^2 (M_{SSB} / \Lambda_{sc})^{3\kappa}$$

for $\kappa > 0$, where $\kappa = (M-N) / [2\rho - (M-N-2)]$. The consistency with the anomaly matching on H at $M_{SSB}=0$ is satisfied by $\rho \leq M-N-1$. The quark-lepton masses are controlled by the scale M_F (Ref. 19):

$$M_F = M_{SSB} (M_{SSB} / \Lambda_{sc})^\kappa \quad (M_{SSB} \ll \Lambda_{sc}),$$

while masses for scalar partners are characterized by the scale M_{SSB} .

Other features can be summarized as follows. (i) The mass splitting between quarks and leptons is due to the difference between QNGF's and CF's; (ii) the mass splitting between up and down weak flavors is not large enough because $SU(M-N+1)_{L,R}$ can be broken by the $SU(M-N+1)$ -singlet term $f_S W_{;\lambda}$ as in Eq. (15a) and the large mass splitting is only possible by $G_S W_{;\lambda} \ll M_{wi}^2$ subject to the fine-tuning of $m_\lambda \pi_\lambda + \sum_j M_{wj}^2 \approx 0$; (iii) at most four generations can be included and large mass splittings among generations will also depend on the fine-tuning; and (iv) the nearly masslessness of neutrinos will be ascribed to the seesaw mechanism²¹ based on $\langle \nu_R^c \rangle |_{\theta=0} \sim \Lambda_{sc}$, whose inclusion in W_{eff} is, however, difficult.

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- $$\left\langle \prod_{i=1}^{N-1} \phi_i^{(1)} \phi_i^{(2)} \right\rangle \lambda \lambda \propto \prod_{i=N}^M m_i ,$$
- which indicates $\langle \lambda \lambda \rangle \neq 0$ contradicting $\pi_\lambda (= \langle \lambda \lambda \rangle) = m_i \pi_i = 0$ ($i = N, \dots, M$) and spontaneous SUSY breaking is implied (Ref. 6).
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