

## Geometry of Kaluza-Klein theory. I. Basic setting

M. D. Maia

*International Centre for Theoretical Physics and Universidad de Brasília, Departamento de Matemática,  
70910 Brasília DF-Brasil\**

(Received 30 January 1984)

Kaluza-Klein theory is derived from the hypothesis that the four-dimensional space-time is locally and isometrically embedded in a high-dimensional space which presumably originated at the big bang. For mathematical simplicity the high-dimensional space is taken to be a flat, Minkowski space with 14 dimensions assumed to be the ground state of the theory. The resulting metric is more general than the usual zero-mode metric ansatz but it reduces to the latter in the low-energy sector of the theory. The compactification of the internal space results from the existence of the second quadratic form of the embedded  $V_4$ . A simple model of spherical compact space is considered as a working example, where the spontaneous compactification is a hyperbolic function of the strength of the gravitational field. The symmetry group of the embedding is a combined symmetry which breaks into  $P_4 \times SO(10)$  in the flat limit of the space-time.

### I. INTRODUCTION

The modern versions of Kaluza-Klein theory assume the existence of a  $(4+n)$ -dimensional space with topology  $V_4 \times B_n$ , where  $V_4$  is the four-dimensional space-time and  $B_n$  is an  $n$ -dimensional compact coset space  $G/H$  for a given gauge group  $G$  and a maximal subgroup  $H$ . In this construction the functions defined in  $V_4 \times B_n$  depend periodically on the internal variables so that they can be harmonically expanded in these variables. The field equations are derived from the Einstein-Hilbert action for that space with a metric ansatz for the zero mode. The extreme high-energy levels associated with the theory suggests that the unification of the gravitational and Yang-Mills fields is effective near the big bang. With the expansion and cooling off of the universe the compact range of the  $n$  extra dimensions becomes sufficiently small so that they become "invisible" at low energies. The ground state of the theory is tentatively assumed to be  $M_4 \times B_n$ , where  $M_4$  is the Minkowski space. The basic difficulties of the theory are the inexistence of a more fundamental principle leading to the metric ansatz, the compactification of the space  $B_n$ , the problem of vacuum stability for the ground state  $M_4 \times B_n$ , and the large fermion masses which appear in nonzero modes.<sup>1-7</sup>

The purpose of the present paper is to present a geometrical approach to the theory with the hope that some of the mentioned difficulties could be solved. The basic postulates of the theory such as the existence of the high-dimensional space and the low-energy sector are retained. However, the topology  $V_4 \times B_n$  and the metric ansatz are derived from a more fundamental principle stating that the four-dimensional space-time is a subspace locally and isometrically embedded in a space  $V_{4+n}$  which presumably emerged at the big bang. In fact,  $V_4$  is a subspace of  $V_4 \times B_n$ , but in this case, with the usual metric ansatz the embedding of  $V_4$  is trivial in the sense that the second quadratic form of  $V_4$  vanishes. In a more general embedding this quadratic form cannot be neglected, and it as-

sumes an important physical role when regarded from the point of view of Kaluza-Klein theory.

The derived metric structure differs from the usual metric ansatz by the presence of the second-quadratic-form coefficients and as such it possibly represents not only the zero-mode metric but the full metric. The presence of the second quadratic form in the metric is also responsible for the compactification of the space of internal coordinates so that locally the structure  $V_4 \times B_n$  is recovered. By use of the low-energy sector of the theory a simple model of spherical  $B_n$  is implemented whose radius varies with the curvature of the space-time. In the limit of weak gravitation the zero-mode metric is recovered.

The gauge potentials (and the gauge group) are also derived from the embedding assumption. The dynamics of these fields and of the second quadratic form is left to a subsequent paper where the analysis of fermion masses is also studied.

The geometry of the high-dimensional space is subjected to the Einstein-Hilbert action so that in principle we could start with an arbitrary pseudo-Riemannian space  $V_{4+n}$ . However the embedding of  $V_4$  in an arbitrary curved space  $V_{4+n}$  is a problem of difficult solution. In particular the physical interpretations of the integrability conditions are not simple. For this reason we have chosen to start with a simpler case where  $V_{4+n}$  is the Minkowski space  $M_{4+n}$  for which at least some embedding results are known. Physically this choice may be explained in the following way: If an observer sitting in  $V_4$  approaches the big bang he will become aware of the surrounding space by the impossibility of separating gauge and space-time symmetries (Sec. III). Such a situation prevails even if the observer performs experiments which are sufficiently local so as to neglect the curvature of  $V_{4+n}$  but not that of  $V_4$  itself. The separation of gauge and space-time symmetries occurs in the flat limit of  $V_4$ . Following the example of general relativity  $M_{4+n}$  is taken as the ground state of the theory so that no problems with vacuum in-

stability arise. Contrarily to what may seem, the results obtained with the flat space  $M_{4+n}$  are not trivial. To a great extent the standard Kaluza-Klein theory results from the embedding of  $V_4$  into  $M_{4+n}$ .

For reasons of clearness, Sec. II includes some embedding basics with the appropriate notation.

## II. EMBEDDING THE SPACE-TIME

Assuming that  $V_4$  is a pseudo-Riemannian space-time with general metric  $\bar{g}_{ij}$ , we can always regard it as a hypersurface locally and isometrically embedded in  $M_{4+n}$  with metric signature  $r(+)+s(-)$  and with a sufficiently large dimension. In order to avoid dimension and signature ambiguities the embedding is also assumed to be minimal in the sense that no dimension is taken in excess.<sup>9</sup>

The embedding is realized when a point in  $V_4$  with arbitrary coordinates  $x^i, i=1, \dots, 4$ , can be described by a set of  $4+n$  Cartesian coordinates  $X^\mu(x^i)$ ,  $\mu=1, \dots, 4+n$  in  $M_{4+n}$  with respect to an arbitrary origin. Then the isometric condition is expressed by

$$\bar{g}_{ij} = X_{,i}{}^\mu X_{,j}{}^\nu \eta_{\mu\nu}, \quad (1)$$

where  $\eta_{\mu\nu}$  denotes the Cartesian components of the metric tensor of  $M_{4+n}$ . Thus  $\bar{g}_{ij}$  is induced by  $\eta_{\mu\nu}$  via the tangent vectors  $X_{,i}{}^\mu$  which act as generalized vierbeins.<sup>10</sup> When the functions  $X^\mu(x^i)$  are analytic the embedding of  $V_4$  into  $M_{4+n}$  requires that  $4+n$  is at most 10. On the other hand, if the functions  $X^\mu(x^i)$  are differentiable, then  $4+n$  is at most 14.<sup>11</sup> These theorems refer to a general metric  $\bar{g}_{ij}$ . For some specific cases the number of dimensions required to embed  $V_4$  is smaller than the above limits. For example, all space-times with constant curvature can be embedded in  $M_5$  [either  $M_5(3,2)$  or  $M_5(4,1)$ ]. Since we are assuming that the space  $M_{4+n}$  emerged at the moment of the big bang, then it is reasonable to suppose that the dimension  $4+n$  reached the differentiable limit of 14 dimensions. This is compatible with the idea that a space-time admitting a point singularity like the big bang would not exhibit any specific symmetry except perhaps moments after the big bang itself. As is known, the minimal embedding dimension decreases as the space-time becomes more symmetric.<sup>11</sup> On the other hand, the pointlike character of the singularity also suggests that the metric signature of  $M_{4+n}$  is  $(3+n)(+)+1(-)$ . Although there is no general proof of this result, the example of Schwarzschild space-time serves as an indication: The minimal isometric embedding space of Schwarzschild space-time is  $M_6(4,2)$ . However if its singularity is removed to the origin—by means of a non-isometric transformation leading to the Kruskal metric—the embedding space changes to  $M_6(5,1)$ .<sup>12</sup> From the point of view of physics, the signature  $(3+n)(+)+1(-)$  is important and even if the other signatures are mathematically possible, in what follows we restrict to the above case.

The index convention is as follows. All greek indices run from 1 to  $4+n$ . Lower-case latin indices run from 1 to 4 and capital latin indices run from 5 to  $4+n$ .

In a neighborhood of the embedding point of  $V_4$  construct  $n$  unit vector fields  $N_A$  with Cartesian components

$N_A^\mu(x^i)$  orthogonal to each other and to  $V_4$ :

$$N_A^\mu N_B^\nu \eta_{\mu\nu} = \bar{g}_{AB}, \quad N_A^\mu X_{,i}{}^\nu \eta_{\mu\nu} = 0, \quad (2)$$

where in the present case  $\bar{g}_{AB} = \delta_{AB}$  (for different embedding signatures  $g_{AB} = \epsilon_A \delta_{AB}$ ,  $\epsilon_A = \pm 1$ ). Equations (1) and (2) are the basic equations for the embedding. In order to derive the integrability conditions for these equations consider a point of  $M_{4+n}$  not necessarily in  $V_4$  given by the Cartesian coordinates

$$Z^\mu(x^i, x^A) = X^\mu(x^i) + x^A N_A^\mu(x^i). \quad (3)$$

Here  $x^A$  are  $n$  parameters which together with  $x^i$  define a natural  $V_4$ -based Gaussian coordinate system  $\{x^\alpha\} = \{x^i, x^A\}$ , in which  $V_4$  is defined by  $x^A = 0$ . The expression (3) is then a transformation between Gaussian and Cartesian coordinates in  $M_{4+n}$  so that tensors are related by its derivative map. Thus, for example, the metric of  $M_{4+n}$  in the  $V_4$ -based Gaussian frame is

$$\gamma_{\alpha\beta} = Z^\mu{}_{,\alpha} Z^\nu{}_{,\beta} \eta_{\mu\nu}. \quad (4)$$

Making use of (1)–(3), it follows that

$$\gamma_{ij} = \bar{g}_{ij} - 2x^A b_{ijA} + x^A x^B N_{A,i}^\mu N_{B,j}^\nu \eta_{\mu\nu},$$

$$\gamma_{iA} = x^E N_{A,i}^\mu N_{E,i}^\nu \eta_{\mu\nu}, \quad \gamma_{AB} = \bar{g}_{AB},$$

where we have used the notation

$$b_{ijA} = -N_{A,i}^\mu X_{,j}{}^\nu \eta_{\mu\nu}, \quad (5)$$

representing the coefficient of the second quadratic form of the embedded  $V_4$ . It is also convenient to denote ( $g_0$  is a constant to be later identified with the Yang-Mills coupling constant. For pure geometrical considerations we may take  $g_0 = 1$  or absorb  $g_0$  in  $A_{iAB}$ )

$$A_{iAB} = g_0 \bar{A}_{iAB} = -N_{A,i}^\mu N_B^\nu \eta_{\mu\nu}. \quad (6)$$

From (2) and (3) it follows that  $b_{ijA} = b_{jiA}$  and  $A_{iAB} = -A_{iBA}$ . Using (5) and (6),  $N_{A,i}$  can be expressed as

$$N_{A,i}^\mu = \bar{g}^{MN} A_{iMA} N_N^\mu - \bar{g}^{mn} b_{imA} X_{,n}{}^\nu, \quad (7)$$

where  $\bar{g}^{AC} \bar{g}_{CB} = \delta_B^A$  and  $\bar{g}^{ik} \bar{g}_{kj} = \delta_j^i$ . Replacing (6) and (7) in (4), it follows that

$$\gamma_{ij} = \bar{g}_{ij} - 2x^E b_{ijE} + x^E x^F (\bar{g}^{mn} b_{imE} b_{jnF} + \bar{g}^{MN} A_{iME} A_{jNF}),$$

$$\gamma_{iA} = x^E A_{iAE}.$$

The Lorentz group of  $M_{4+n}$ ,  $SO(3+n, 1)$ , has as a noninvariant subgroup the group of rotations  $SO(n)$  of the space  $N_n$  generated by  $N_A$ . The Lie-algebra generators of this subgroup can be described as

$$L^{AB} = \frac{1}{2} (x^A \partial / \partial x_B - x^B \partial / \partial x_A)$$

and the Killing vector field basis of the space generated by  $x^A$  are

$$k_C^{AB} = L^{AB}(x_C) = x^{[A} \delta_C^{B]}.$$

Therefore for the Lie-algebra-valued quantities  $A_i = A_{iAB} L^{AB}$ , the Killing basis components are  $A_{iC} = A_{iAB} k_C^{AB} = A_{iAC} x^A$ . It follows that

$$x^E x^F \bar{g}^{MN} A_{iME} A_{jNF} = \bar{g}^{MN} A_{iM} A_{jN}$$

so that  $\gamma_{\alpha\beta}$  can be expressed as

$$\gamma_{\alpha\beta} = \begin{pmatrix} g_{ij} + \bar{g}^{MN} A_{iM} A_{jN} & A_{iA} \\ A_{iB} & \bar{g}_{AB} \end{pmatrix}, \quad (8)$$

where

$$g_{ij} = \bar{g}_{ij} - 2x^E b_{ijE} + x^E x^F \bar{g}^{mn} b_{imE} b_{jnF}. \quad (9)$$

As can be seen, Eq. (8) resembles the Kaluza-Klein metric ansatz where  $A_{iM}$  plays the role of the gauge potentials for  $SO(n)$  in the Killing basis. In Sec. IV we shall see that these quantities, or rather  $A_{iAB}$ , in fact, transform as gauge potentials.

### III. COMPACTIFICATION

The real difference from (8) to the Kaluza-Klein metric is that  $g_{ij}$  as given by (9) is not the space-time metric. The coefficients  $b_{ijA}$  cannot be neglected in the general embedding picture and they are responsible for the compactification of the coordinate space  $B_n$  generated by  $x^A$ . In fact, Eq. (9) can also be expressed as

$$g_{ij} = \bar{g}^{mn} (\bar{g}_{im} - x^A b_{imA}) (\bar{g}_{jn} - x^B b_{jnB}).$$

Since  $\det \gamma_{\alpha\beta} = \det g_{ij} \det g_{AB} \neq 0$  it follows that  $\det g_{ij} \neq 0$ . Therefore  $x^A$  cannot be a solution of

$$\det(g_{im} - x^A b_{imA}) = 0. \quad (10)$$

It happens that (10) is the definition of the local curvature radii  $\rho_m^A$  of  $V_4$  corresponding to a normal  $N_A$  and a principal direction  $dx^{m10}$  (see also the Appendix).

Since we have started with an observer in  $V_4$  for which  $x^A = 0$ , then the coordinates  $x^A$  are limited to an interval  $x^A \in [0, \alpha \rho_m^A]$ ,  $\alpha < 1$ . Therefore at each point of  $V_4$  there is a bounded coordinate space  $B_n$  generated by  $x^A$ . It would be desirable to eliminate the dependence on the principal directions. This can be achieved by introducing a curvature radius for each direction  $N_A$  defined by

$$\rho^A{}^2 = \bar{g}^{mn} \rho_m^A \rho_n^A$$

which is an invariant with respect to the coordinate transformations of  $V_4$ . In this case the bounded interval becomes  $x^A \in [0, 2\pi\alpha\rho^A]$ , where  $\alpha$  is chosen so that  $2\pi\alpha\rho^A < \rho_m^A$  for all values of  $A$  and  $m$ . Since the various directions  $N_A$  are mapped into one another by the action of  $SO(n)$ , the space  $B_n$  can also be constructed in a more invariant fashion by use of the invariant curvature radius  $\rho$  (see the Appendix) and the interval  $[0, \alpha(\rho)]$ , with  $\alpha(\rho) = 2\pi\alpha\rho < \rho_m^A$ . Following the Einstein tube construction, we may associate to each point  $P$  of  $V_4$  a closed space  $B_n$  generated by  $x^A$ . In such a construction the physical space considered is the local slab limited by  $V_4(x^A = 0)$  and  $V_4(x^A = \alpha(\rho))$  and such that  $P$  coincides with certain  $P'$  of  $V_4'$  (see Fig. 1).<sup>3</sup> In particular,  $B_n$  may be taken to be the  $n$ -sphere

$$S_n = SO(n)/SO(n-1),$$

with radius  $\alpha(\rho)/2\pi$  and equation

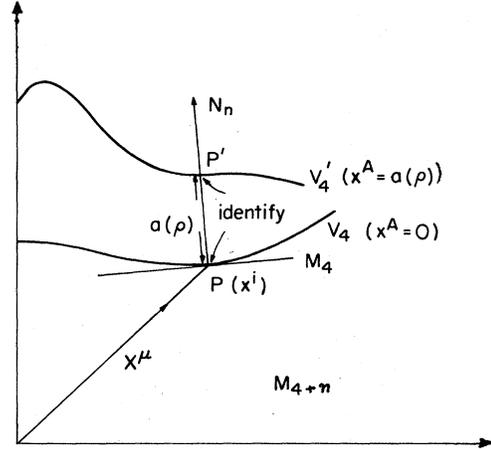


FIG. 1. The local Einstein tube.

$$\sum (x^A)^2 - 4\pi\alpha \sum x^A \rho^A = 0.$$

Therefore even though  $M_{4+n}$  is not compact a local structure line  $V_4 \times B_n$  is specified at each neighborhood of  $V_4$ . Consequently, as in the usual Kaluza-Klein formulations, functions defined in the local slab may be expanded harmonically in terms of  $x^A$ .

In order to achieve the size of Planck's length  $R_0 \approx 10^{-33}$  cm for  $a(\rho)$  one has to appeal to the low-energy sector of Kaluza-Klein theory which requires that in the limit of weak gravitation (that is, for large values of  $\rho$ ),  $a(\rho)$  tends to  $R_0$ .<sup>13</sup> This suggests that the factor  $\alpha$  cannot be constant, but a function of the space-time curvature. Thus,  $a(\rho)$  may be represented by a truncated asymptotic expansion  $\sum_{k=0}^N C_k / \rho^k$  with  $C_0 = R_0$ . The remaining coefficients may be adjusted to meet other conditions of the low-energy sector. A simple example of compactification may be obtained with two terms in the asymptotic expansion of  $a(\rho)$  with  $C_1 = R_0^2$ . In this case  $B_n$  compactifies hyperbolically

$$(a(\rho) - R_0)\rho = R_0^2,$$

where the diameter of  $S_n$  becomes of the order of  $R_0$  in the very early universe when  $\rho = R_0$ . Now the compact local coordinate space  $B_n$  can be identified with the internal space corresponding to the internal symmetry  $SO(n)$ . The energy required to observe or excite the internal states of radius  $a(\rho)$  depends on the strength of the gravitational field represented by  $\rho$ :  $E(\rho) = hc/a(\rho)$ . Physically this means that the gravitational environment contributes to the excitation of the internal states. In the simple hyperbolic example the excitation energy is

$$E(\rho) = \frac{\rho}{\rho + R_0} \frac{hc}{R_0} \quad (11)$$

so that when  $\rho = R_0$  (a very strong gravitational field) only half of the energy is required as compared to an observer in Minkowski space-time.

Notice that the metric given by (8) is exact in the sense that the dependence on  $x^A$  is complete. If we wish we may expand  $\gamma_{\alpha\beta}(x^i, x^A)$  harmonically in  $x^A$  in the periodic Einstein tube construction of  $B_n$ . However this is not

necessary and (8) possibly represents the full Kaluza-Klein metric. To recover the zero-mode metric we remember that there is a coupling constant  $g_0$  absorbed in the definition of  $A_{iAB}$  and that  $x^A$  is limited to  $a(\rho)$ . Therefore if the gravitational field is sufficiently weak so as to neglect the terms containing  $x^A$  in (9) but not neglect the factor  $(g_0 a(\rho))^2$  in (8), then the metric (8) reduces to the usual Kaluza-Klein metric ansatz. It is now clear why the compactification of  $B_n$  has to be postulated in the usual Kaluza-Klein theory formulations: The zero-mode metric ansatz does not give any information concerning the existence of the second quadratic form which responds for the compactification of  $B_n$ .

#### IV. THE EMBEDDING SYMMETRY

Previously we have described a compactification of the space of internal coordinates  $x^A$  to a sphere  $S^n = \text{SO}(n)/\text{SO}(n-1)$ , by examining the conditions for the metric (8) to be invertible. In the limit of vanishing gravitation the radius of the compact space tends to  $R_0$ . Such compactification can also be associated to a symmetry breaking which is triggered by the limit of vanishing gravitation. We shall see that in this limit the group of invariance of the embedding as seen from  $V_4$ , breaks into  $P_4 \times \bar{G}$  where  $P_4$  is the Poincaré group, and  $\bar{G}$  is  $\text{SO}(n)$ .

The embedding coordinates  $X^\mu(x^i)$  are determined up to an isometry of  $M_{4+n}$ . Therefore the local isometric embedding is invariant under the homogeneous group of isometries  $\text{SO}(3+n,1)$  of  $M_{4+n}$  (besides the manifold mapping group of  $V_4$ ). In particular the infinitesimal transformations of this group relating two  $V_4$ -based Gaussian systems is

$$x'^\alpha = x^\alpha + \xi^\alpha(x^i, x^A), \quad \xi^{\alpha(\beta)} = 0 \quad (\text{fixed origin}), \quad (12)$$

where the covariant derivative is calculated with respect to  $\gamma_{\alpha\beta}$ . An observer in  $V_4$  will read the Killing equations as

$$\xi^{(i;j)}|_{V_4} = 0, \quad \xi^{(i;A)}|_{V_4} = 0, \quad \xi^{(A;B)}|_{V_4} = 0, \quad (13)$$

where  $|_{V_4}$  means the restriction to  $V_4$ :  $x^A = 0$ . The corresponding group is denoted by  $\text{SO}(3+n,1)$ . Explicitly, the first equation (13) reads

$$\bar{\xi}^{(i;j)} = \bar{g}^{k(i}\bar{g}^{j)n} b_{knM} \bar{\xi}^M, \quad (14)$$

where  $\bar{\xi}^{(i;j)}$  denotes the covariant derivative of  $\bar{\xi}^i = \xi^i|_{V_4}$  with respect to  $\bar{g}_{ij}$  and where  $\bar{\xi}^A = \xi^A|_{V_4}$ . The second equation (13) is equivalent to

$$\frac{1}{2} \bar{g}^{ki} \xi^A_{,k} |_{V_4} + \frac{1}{2} \bar{g}^{MA} \xi^i_{,M} |_{V_4} + \frac{1}{2} \bar{g}^{ki} \bar{g}^{AD} A_{kDM} \bar{\xi}^M = 0.$$

Therefore

$$\xi^i_{,M} |_{V_4} = -\bar{g}_{MA} \bar{g}^{ki} (\bar{\xi}^A_{,k} + \bar{g}^{AD} A_{kDN} \bar{\xi}^N). \quad (15)$$

Finally the last equation (13) gives

$$(\gamma^{\alpha(A}\xi^{B)},_{\alpha} + \gamma^{\alpha(A}\Gamma_{\alpha\gamma}^{B)}\xi^\gamma)|_{V_4} = 0$$

so that

$$g^{M(A}\xi^{B)},_M |_{V_4} = \xi^{(A,B)} |_{V_4} = 0. \quad (16)$$

Notice that the particular transformations which send space-time points to space-time points require that  $\xi^A|_{V_4} = \bar{\xi}^A = 0$ . From (14) and (15) it follows that these particular transformations correspond to isometries of  $V_4$  (if they exist). In the general case, however, the transformations generated by  $\xi^i$  and  $\xi^A$  are not independent.

The Lie algebra of  $\text{SO}(3+n,1)$  can be obtained from the projection of that of  $\text{SO}(3+n,1)$  given by

$$[l_{\mu\nu}, l_{\rho\sigma}] = \eta_{\mu\rho} l_{\nu\sigma} + \eta_{\nu\sigma} l_{\mu\rho} - \eta_{\mu\sigma} l_{\nu\rho} - \eta_{\nu\rho} l_{\mu\sigma}.$$

In the Gaussian frame the generators of  $\text{SO}(3+n,1)$  are

$$L_{\alpha\beta} = Z^\mu{}_{,\alpha} Z^\nu{}_{,\beta} l_{\mu\nu}$$

and a straightforward calculation shows that

$$\begin{aligned} [L_{\alpha\beta}, L_{\gamma\delta}] &= Z^\mu{}_{,\alpha} Z^\nu{}_{,\beta} Z^\rho{}_{,\gamma} Z^\sigma{}_{,\delta} [l_{\mu\nu}, l_{\rho\sigma}] \\ &= C_{\alpha\beta\gamma\delta}^{\epsilon\phi} L_{\epsilon\phi}, \end{aligned} \quad (17)$$

where the structure constants are

$$C_{\alpha\beta\gamma\delta}^{\epsilon\phi} = 4\delta_{[\beta\gamma\alpha][\gamma\delta\delta]}^{\epsilon\phi}. \quad (18)$$

Using (8) and denoting  $\bar{L}_{\alpha\beta} = L_{\alpha\beta}|_{V_4}$ , the projected Lie algebra is

$$\begin{aligned} [\bar{L}_{ij}, \bar{L}_{kl}] &= \bar{C}_{ijkl}^{mn} \bar{L}_{mn}, \quad [\bar{L}_{AB}, \bar{L}_{CD}] = \bar{C}_{ABCD}^{EF} \bar{L}_{EF}, \\ [\bar{L}_{ij}, \bar{L}_{kA}] &= \bar{g}_{ik} \bar{L}_{jA} - \bar{g}_{jk} \bar{L}_{iA}, \quad [\bar{L}_{ij}, \bar{L}_{AB}] = 0, \\ [\bar{L}_{iA}, \bar{L}_{jB}] &= \bar{g}_{ij} \bar{L}_{AB} + \bar{g}_{AB} \bar{L}_{ij}, \\ [\bar{L}_{iA}, \bar{L}_{BC}] &= \bar{g}_{AC} \bar{L}_{iB} - \bar{g}_{AB} \bar{L}_{iC}, \end{aligned} \quad (19)$$

where  $\bar{C}_{ijkl}^{mn}$  and  $\bar{C}_{ABCD}^{EF}$  are obtained with the projection of (18).

In complete analogy with the de Sitter group the above algebra can be contracted into another algebra in the flat limit of  $V_4$ .<sup>16,17</sup> For that purpose modify the basis of (19) with the introduction of the operators

$$\bar{\pi}_i = \alpha^A \bar{L}_{iA}, \quad (20)$$

where  $\alpha^A = 1/\rho^A$ . In terms of  $\bar{\pi}_i$ , (19) reads as

$$\begin{aligned} [\bar{L}_{ij}, \bar{L}_{kl}] &= \bar{C}_{ijkl}^{mn} \bar{L}_{mn}, \quad [\bar{L}_{AB}, \bar{L}_{CD}] = \bar{C}_{ABCD}^{EF} \bar{L}_{EF}, \\ [\bar{L}_{ij}, \bar{\pi}_k] &= \bar{g}_{ik} \bar{\pi}_j - \bar{g}_{jk} \bar{\pi}_i, \quad [\bar{\pi}_i, \bar{\pi}_j] = \bar{g}_{AB} \alpha^A \alpha^B \bar{L}_{ij}, \\ [\bar{\pi}_i, \bar{L}_{BC}] &= \alpha^A g_{AC} \bar{L}_{iB} - \alpha^A g_{AB} \bar{L}_{iC}. \end{aligned} \quad (21)$$

Notice the existence of two noninvariant subgroups: The 10-parameter subgroup  $\bar{E}$  generated by  $\bar{L}_{ij}$ ,  $\bar{\pi}_k$ , and the  $n(n-1)/2$ -parameter subgroup  $\bar{G}$  generated by  $\bar{L}_{AB}$ :

$$[\bar{E}, \bar{E}] = \bar{E}, \quad [\bar{E}, \bar{G}] \in \text{SO}(3+n,1), \quad [\bar{G}, \bar{G}] = \bar{G}.$$

Now taking the flat limit of  $V_4$ ,  $\rho^A \rightarrow \infty$  then  $\alpha^A \rightarrow 0$  so that (21) gives

$$\begin{aligned} [{}^0L_{ij}, {}^0L_{kl}] &= {}^0C_{ijkl}^{mn} L_{mn}, \\ [{}^0L_{ij}, {}^0\pi_k] &= {}^0g_{ik} {}^0\pi_j - {}^0g_{jk} {}^0\pi_i, \\ [{}^0\pi_i, {}^0\pi_j] &= 0, \end{aligned}$$

$$[{}^0\pi_i, {}^0L_{BC}] = 0,$$

$$[{}^0L_{AB}, {}^0L_{CD}] = [\bar{L}_{AB}, \bar{L}_{CD}] = \bar{C}_{ABCD}^{EF} \bar{L}_{EF},$$

where the presuperscript zero indicates the flat-limit situation. It follows that the flat limit of  $\bar{E}$  is isomorphic to the Poincaré group  $P_4$  which now becomes completely disconnected from  $\bar{G}$ :

$$\overline{\text{SO}(3+n,1)}|_{\text{flat}} \rightarrow P_4 \times \bar{G}.$$

The above symmetry breaking suggests an alternative explanation for the nondirect observability of the internal states at low energies and weak gravitational field. In the presence of gravitation the orbits of the subgroups  $\bar{E}$  and  $\bar{G}$  belong to the same space  $M_{4+n}$  so that an observer sitting in  $V_4$  under the action of  $\overline{\text{SO}(3+n,1)}$  does not necessarily remain "confined" to  $V_4$  provided he can use probes with sufficient energy  $E(\rho)$  as, for example, given by (11) in our hyperbolic model. In the case where a very strong gravitational field is present the awareness of the extra dimensions would require probes with less energy. On the other hand, in the limit of vanishing gravitation the orbits of  $P_4$  and  $\bar{G}$  belong entirely to separate subspaces  $M_4$  and  $B_n$  of  $M_{4+n}$  so that in theory an observer of  $M_4$  could not "look" into  $B_n$ .<sup>8</sup>

Now we are in position to formally identify  $A_{iAB}$  (or  $A_{iA}$ ) as the gauge potentials for the group  $\bar{G} = \text{SO}(n)$ . The general solution of Killing's Eq. (16) is

$$\xi^A = \theta_i^A(x^j) + \theta_B^A(x^j)x^B, \quad (22)$$

where the parameters  $\theta_\alpha^A(x^i)$  depend only on  $x^i$  [so that  $\text{SO}(n)$  is a local gauge group] and are such that  $\theta^{(AB)} = \gamma^{\alpha(B}\theta^A)_{\alpha}|_{v_4} = 0$ . Under an infinitesimal transformation  $x'^\alpha = x^\alpha + \xi^\alpha$  of  $\text{SO}(3+n,1)$ ,  $A_{iAB} = \partial\gamma_{iA}/\partial x^B$

transforms as

$$\begin{aligned} A'_{iAB} &= A_{iCB}\xi^C_{,A} - A_{iAC}\xi^C_{,B} - A_{kAB}\xi^k_{,i} - \xi^k_{,A}\gamma_{ik,B} \\ &\quad - \gamma_{kA}\xi^k_{,iB} - \gamma_{AC}\xi^C_{,iB} - \gamma_{ik}\xi^k_{,AB} - \xi^C_{,AB}\gamma_{iC}. \end{aligned}$$

Using (22) and introducing the structure constants of the subgroup  $\bar{G}$ ,

$$A_{iBC}\theta_A^C - A_{iAC}\theta_B^C = -A_{iEF}\bar{C}_{ABCD}^{EF}\theta^{CD}.$$

It follows that

$$\begin{aligned} A'_{iAB} &= A_{iAB} + \bar{C}_{ABCD}^{EF}\theta^{CD}A_{iEF} - \theta_{AB,i} - A_{kAB}\xi^k_{,i} \\ &\quad - \gamma_{ik,B}\xi^k_{,A} - \gamma_{ik}\xi^k_{,AB} - \gamma_{kA}\xi^k_{,iB}. \end{aligned} \quad (23)$$

The first three terms in (23) correspond to a local gauge transformation of  $A_{iAB}$  where the gauge group is  $\bar{G} = \text{SO}(n)$ . The remaining terms appear because we have used the full combined symmetry  $\text{SO}(3+n,1)$  and in general  $\bar{G}$  is not an invariant subgroup of the latter. To obtain a pure gauge transformation either take  $\xi^k = 0$  (no space-time transformations) or take the flat limit of  $V_4$  where, as we have seen, the Lie algebra of  $\bar{G}$  decouples from that of  $P_4$ . Therefore the functions  $A_{iAB}$  (or  $A_{iM}$  in the Killing basis) which appear in (8) in fact transform as gauge potentials for the gauge group  $\bar{G} = \text{SO}(n)$ .

## V. CONCLUSIONS

We have shown that if the space-time  $V_4$  is locally and isometrically embedded in a high-dimensional space  $V_{4+n}$  which originated at the beginning of the universe, then a geometric form of Kaluza-Klein theory is derived even when a simpler case of flat-space  $M_{4+n}$  is considered. The space  $B_n$  generated by the  $n$  extra variables is naturally compact as a consequence of the second quadratic form. Various forms of compactification of  $B_n$  can be implemented with the help of the low-energy sector of Kaluza-Klein theory. The resulting metric is more general than the traditional zero-mode metric ansatz. More interesting and puzzling however is the fact that the gauge potentials are geometric entities derived from the embedding. In order to understand the physical idea behind this we need to work out the integrability conditions for the embedding, the Gauss-Codazzi-Ricci and Einstein's equations, to be dealt with in a subsequent paper.

It should be mentioned that the present analysis would also apply to local gravitational fields embedded in flat spaces with lower dimensions and possibly with noncompact gauge groups. The theory does not give gauge potentials in the five-dimensional case ( $A_{i55} = 0$ ) so that they have to be postulated. In the present case  $4+n = 14$  was taken to be the limit of differentiable embedding so as to accommodate the very irregular gravitational field near the big bang. This choice leads to the gauge group  $\text{SO}(10)$  which makes the theory compatible with more recent grand unification schemes. On the other hand it exceeds the dimensionality limit of supergravity.

## APPENDIX: THE CURVATURE RADII OF $V_4$

Consider an infinitesimal displacement  $dx^i$  in  $V_4$ . The variation of the coordinates  $Z^\mu(x^i, x^A)$  given by (3) corresponding to that displacement is

$$\Delta Z = (X^\mu_{,i} + x^A N^\mu_{A,i}) dx^i \quad (\text{no sum on } A).$$

The points of  $M_{4+n}$  which remain fixed,  $\Delta Z^\mu = 0$ , are the centers of curvature of  $V_4$  with respect to the displacement  $dx^i$ :

$$(X^\mu_{,i} + x^A N^\mu_{A,i}) dx^i = 0.$$

Contraction of this equation with  $X^\mu_{,j}\eta_{\mu\nu}$  gives

$$(\bar{g}_{ij} - x^A b_{ijA}) dx^i = 0 \quad (A1)$$

and contraction with  $N^\mu_B \eta_{\mu\nu}$  gives

$$A_{iAB} dx^i = 0. \quad (A2)$$

Equation (A2) only tells that in order to have  $\Delta Z^\mu = 0$  the variation of  $N_A$  must be orthogonal to  $N_A$ . On the other hand, Eq. (A1) determines the conditions for the existence of the displacement  $dx^i$ . Equation (A1) admits a non-trivial solution  $dx^i$ , called a curvature line of  $V_4$  when,

$$\det(\bar{g}_{ij} - x^A b_{ijA}) = 0, \quad (A3)$$

which is a polynomial equation in  $x^A$ . Its solutions  $x^A = \rho_i^A$  are the curvature radii of  $V_4$  corresponding to each curvature line  $dx^i$  and to each normal  $N_A$ . There

are at most four independent orthogonal curvature lines through each point in  $V_4$ , corresponding to the nondegenerate solutions of (A3). These solutions are invariant under the manifold mapping group of  $V_4$ .<sup>10</sup> With these solutions we may construct the 10 quantities

$$\rho_{mn}^2 = \bar{g}_{AB} \rho_m^A \rho_n^B.$$

The diagonal terms  $\rho_m = \rho_{mm}$  are the inverses of the four eigenvalues of the Weyl tensor and in a sense they are the fundamental observables of the pure gravitational field. An invariant quantity called the gravitational length (or curvature radius) may be defined by

$$\rho^2 = \bar{g}^{mn} \rho_{mn}^2.$$

On the other hand, for each normal direction  $N_A$  a curvature radius may be defined by

$$\rho^{A^2} = \bar{g}^{mn} \rho_m^A \rho_n^A.$$

Therefore, when  $\bar{g}_{AB} = \delta_{AB}$ ,

$$\rho^2 = \bar{g}_{AB} \bar{g}^{mn} \rho_m^A \rho_n^B = \bar{g}_{AB} \rho^A \rho^B.$$

The behavior of  $\rho$  near a space-time singularity depends on the topological nature of that singularity. In the case of a pointlike singularity all values of  $\rho_m^A$  tend to zero so that  $\rho$  also tends to zero. On the other hand, in the flat limit of  $V_4$  at least one of the quantities  $\rho_m^A$  tend to  $\infty$  so that the flat limit of  $V_4$  implies that  $\rho \rightarrow \infty$  and  $\rho^A \rightarrow \infty$ . Reciprocally when  $\rho^A \rightarrow \infty$ , then  $V_4$  is flat.

#### ACKNOWLEDGMENTS

The author is grateful to Professor J. Tiomno for the stimulating discussion and suggestions. He is also indebted to Professor A. Salam, the International Atomic Energy Agency, and UNESCO for hospitality at the International Centre for Theoretical Physics, Trieste.

\*Permanent address.

<sup>1</sup>Th. Kaluza, Sitzungsber. Preuss. Akad. Wiss. Phys. Math. Kl. 966 (1921).

<sup>2</sup>O. Klein, Z. Phys. 37, 895 (1926).

<sup>3</sup>A. Einstein and P. G. Bergmann, Ann. Math. 39, 683 (1938).

<sup>4</sup>E. Witten, Nucl. Phys. B195, 481 (1982).

<sup>5</sup>A. Salam and J. Strathdee, Ann. Phys. (N.Y.) 141, 316 (1982).

<sup>6</sup>A. Zee, in *Grand Unified Theories and Related Topics*, proceedings of the 4th Kyoto Summer Institute, 1981, edited by N. Konuma and T. Maskawa (World Scientific, Singapore, 1981).

<sup>7</sup>Y. M. Cho, J. Math. Phys. 16, 2029 (1975).

<sup>8</sup>M. D. Maia, ICTP Trieste Report No. IC/83/97, 1983 (unpublished).

<sup>9</sup>M. D. Maia, Rev. Bras. Fis. 8, 429 (1978).

<sup>10</sup>L. P. Eisenhart, *Riemannian Geometry* (Princeton University Press, Princeton, New Jersey, 1966), p. 193.

<sup>11</sup>H. F. Goenner, in *General Relativity and Gravitation*, edited by A. Held (Plenum, London, 1980).

<sup>12</sup>C. Fronsdal, Phys. Rev. 116, 778 (1959).

<sup>13</sup>J. Strathdee, ICTP Trieste Report No. IC/83/3, 1983 (unpublished).

<sup>14</sup>T. Appelquist and A. Chodos, Phys. Rev. Lett. 50, 141 (1983).

<sup>15</sup>T. Appelquist, A. Chodos, and E. Myers, Phys. Lett. 127B, 51 (1983).

<sup>16</sup>E. P. Wigner and E. Inonu, Proc. Natl. Acad. Sci. USA 39, 510 (1953).

<sup>17</sup>C. de Concini and G. Vitiello, Nucl. Phys. B116, 141 (1976).