Muon polarization in  $K_L \rightarrow \mu^+ \mu^-$ 

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The longitudinal polarization of muons in the decay  $K_L \rightarrow \mu^+ \mu^-$  is considered in the presence of nonelectroweak interactions.

The decay  $K_L \rightarrow \mu^+ \mu^-$  occurs with a branching ratio  $B(K_L \rightarrow \mu^+ \mu^-) = (9.1 \pm 1.9) \times 10^{-9}$  (Ref. 1). Although it can be accounted for by the usual electroweak interactions, the possibility that new types of interactions are also involved cannot be ruled out. As the precise value of the electroweak contribution is uncertain,<sup>2</sup> it would be difficult, if not impossible, to discern the presence of a new interaction on the basis of the decay rate alone. In this paper we wish to explore what information one could obtain from a measurement of the longitudinal polarization of the muons.

Assuming CPT invariance, the  $K_L$  state can be expressed in terms of the CP eigenstates  $K_2$  and  $K_1$  as

$$K_L = K_2 + \epsilon K_1 ,$$

$$\epsilon \simeq (2 \times 10^{-3}) \exp(i\pi/4) ,$$
(1)

where we have kept only the first-order term in  $\epsilon$ and used the Wu-Yang phase conventions.<sup>3</sup> We shall consider first the contribution of the CP = -1state  $K_2$  to the muon polarization. The effects of the  $K_1$  component will be discussed at the end of the paper.

The most general matrix element for  $K_2$  decaying into a negative and a positive muon of fourmomenta  $p_-$  and  $p_+$ , respectively, can be written as<sup>4</sup>

$$\mathcal{M}(K_2 \rightarrow \mu^+ \mu^-) = a_2 \overline{u}(p_-) \gamma_5 v(p_+)$$
  
+ $i b_2 \overline{u}(p_-) v(p_+)$ , (2)

where  $a_2$  and  $b_2$  are complex numbers.<sup>5</sup> The amplitude  $a_2$  is CP conserving ( $\mu^+\mu^-$  in a  ${}^1S_0$  state), whereas the  $b_2$  amplitude is *P*- and *CP*-violating ( $\mu^+\mu^-$  in a  ${}^3P_0$  state). It follows that  $K_2 \rightarrow \mu^+\mu^-$  is a parity-conserving decay if CP invariance holds. In particular, a nonvanishing muon longitudinal polarization in  $K_2 \rightarrow \mu^+\mu^-$  cannot arise from a CPinvariant effective quark-lepton interaction. *CPT* invariance, which we shall assume to hold, requires that  $b_2$  and  $a_2$  be relatively real, apart from "unitarity phases" arising from absorptive parts of the contributing Feynman diagrams.<sup>6</sup> In the following we shall choose the common phase of the amplitudes so that  $b_2$  and  $a_2$  are real in the absence of such phases.

The decay probability in the  $K_2$  rest frame, summed, for example, over the  $\mu^+$  variables, is given by

$$dW = \frac{m_K r}{64\pi^2} (|a_2|^2 + r^2 |b_2|^2) (1 + \mathscr{P}\vec{\mathbf{n}} \cdot \vec{\zeta}) d\Omega_n ,$$
(3)

where  $\vec{n} = \vec{p}_{-}/|\vec{p}_{-}|$ ,  $r = (1 - 4m_{\mu}^2/m_K^2)^{1/2}$  $\simeq 0.905$ ,  $m_K$  and  $m_{\mu}$  are the masses of the kaon and the muon, respectively, and  $\vec{\zeta}$  is the polarization vector of  $\mu^-$  in the rest frame of  $\mu^-$ .  $\mathscr{P}$  is the degree of longitudinal polarization of  $\mu^-$ ,

$$\mathscr{P} = \frac{N_R - N_L}{N_R + N_L} ,$$

where  $N_R$  ( $N_L$ ) is the number of  $\mu^-$ 's emerging with positive (negative) helicity. In terms of the decay amplitudes

$$\mathscr{P} = \frac{2r \operatorname{Im}(b_2 a_2^*)}{|a_2|^2 + r^2 |b_2|^2} = \frac{m_K r^2 \operatorname{Im}(b_2 a_2^*)}{4\pi\Gamma}, \qquad (4)$$

where  $\Gamma$  is the  $K_2 \rightarrow \mu^+ \mu^-$  decay rate,

$$\Gamma = \frac{m_K r}{8\pi} (|a_2|^2 + |b_2|^2) .$$
 (5)

The longitudinal polarization of positive muons is the same, given by Eq. (4).

We shall write the amplitudes  $a_2, b_2$  as sums of

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FIG. 1. Electroweak contributions to  $K_L \rightarrow \mu^+ \mu^-$ . (a)  $2\gamma$ -exchange contribution; (b) induced  $Z^0$  contribution; (c) box diagram.

contributions  $a_2^{(e)}, b_2^{(e)}$  from the electroweak interactions and of possible nonelectroweak contributions  $a_2^{(n)}, b_2^{(n)}$  (Ref. 7),

$$a_{2} = a_{2}^{(e)} + a_{2}^{(n)} ,$$
  

$$b_{2} = b_{2}^{(e)} + b_{2}^{(n)} .$$
(6)

Figure 1 shows the lowest-order contributions from the electroweak interactions [in the standard  $SU(2)_L \times U(1)$  model]. Possible nonelectroweak contributions are shown in Fig. 2. From the electroweak contributions only the two-photon-exchange diagram (a) has an absorptive part. Ignoring possible contributons from multiboson exchanges (not shown in Fig. 2) involving light bosons, the lowestorder nonelectroweak amplitudes are real. As a result,

$$\operatorname{Re}a_2 = \operatorname{Re}a_2^{(e)} + a_2^{(n)}$$
, (7)

$$Ima_2 = Ima_2^{(e)} [= Im(a_2^{(e)})_{\gamma\gamma}],$$
 (8)

$$\operatorname{Reb}_{2} = \operatorname{Reb}_{2}^{(e)} + b_{2}^{(n)} , \qquad (9)$$

$$Imb_{2} = Imb_{2}^{(e)} \left[ = Im(b_{2}^{(e)})_{\gamma\gamma} \right].$$
(10)

In the following we shall neglect the amplitude  $b_2^{(e)}$ .  $\operatorname{Im} b_2^{(e)}$  can receive a contribution only from diagram (a) in Fig. 1, dominated presumably by the process  $K_2 \rightarrow \pi \pi \rightarrow \gamma \gamma (CP = +1) \rightarrow \mu^+ \mu^{-.8}$  Thus [since CP violation in  $K_2 \rightarrow \pi \pi$  is constrained by the experimental limit  $|\epsilon'/\epsilon| \leq \frac{1}{50}$  (Ref. 9)] one expects  $|\operatorname{Im} b_2^{(e)}/\operatorname{Im} a_2^{(e)}| \leq 10^{-4}$ . On the same grounds one expects  $|\operatorname{Re}(b_2^{(e)})_{\gamma\gamma}/\operatorname{Re} a_2^{(e)}| \leq 10^{-4}$  for the contribution of diagram (a) to  $\operatorname{Re} b_2^{(e)}$ . Diagrams (b) and (c) in Fig. 1 do not contribute to  $\operatorname{Re} b_2^{(e)}$  in the standard  $\operatorname{SU}(2)_L \times \operatorname{U}(1)$  electroweak model, <sup>10</sup> as they lead to an effective nonderivative four-fermion interaction involving only vector and axial-vector couplings.<sup>8,11</sup> Using Eqs. (7)–(10) with  $b_2^{(e)}=0$ , the muon polarization can be expressed as



FIG. 2. Possible nonelectroweak contributions to  $K_L \rightarrow \mu^+ \mu^-$ . (a) Contribution of a flavor-changing gauge boson; (b) flavor-changing Higgs-boson contribution; (c) leptoquark contribution.

(c)

$$\mathscr{P} = -\frac{m_{K}r^{2}}{4\pi\Gamma}b_{2}^{(n)}\mathrm{Im}(a_{2}^{(e)})_{\gamma\gamma}.$$
 (11)

The magnitude of  $\operatorname{Im}(a_2^{(e)})_{\gamma\gamma}$  can be calculated in a model-independent way using the unitarity relation. Keeping only the dominant two-photon intermediate state one has<sup>12</sup>

$$|\operatorname{Im}(a_{2}^{(e)})_{\gamma\gamma}| = \frac{1}{4r} \alpha \frac{m_{\mu}}{m_{K}} \ln \frac{1+r}{1-r} |\operatorname{Re}F_{2}|$$
, (12)

where  $F_2$  is the  $K_2 \rightarrow 2\gamma$  decay constant

$$|F_2| \simeq [64\pi\Gamma(K_L \rightarrow 2\gamma)/m_K]^{1/2}$$

The contribution of the absorptive part of the  $K_L \rightarrow 2\gamma$  amplitude to  $\Gamma(K_L \rightarrow 2\gamma)$  is expected to be small.<sup>12</sup> Neglecting it and using

$$\Gamma(K_L \rightarrow 2\gamma)_{\rm exp} \simeq 6.2 \times 10^{-12} \, {\rm eV}$$

[from

$$\Gamma(K_L \rightarrow 2\gamma) / \Gamma(K_L \rightarrow \text{all})_{\text{exp}} \simeq 4.9 \times 10^{-4}$$

and

$$\Gamma(K_L \rightarrow \text{all}) \simeq 1.27 \times 10^{-8} \text{ eV}$$
],

one obtains<sup>13</sup>

$$\operatorname{Im}(a^{(e)})_{\gamma\gamma} |\simeq 2 \times 10^{-12}$$
 (13)

It follows that

$$|\mathscr{P}| \simeq (5.7 \times 10^{11}) |b_2^{(n)}|$$
 (14)

The experimental  $K_L \rightarrow \mu^+ \mu^-$  rate,<sup>1</sup>

$$\Gamma(K_L \rightarrow \mu^+ \mu^-)_{exp} \simeq 1.16 \times 10^{-16} \text{ eV}$$

implies the bound

$$b_{2}^{(n)} = \frac{1}{r} \left[ \frac{8\pi\Gamma}{m_{K}r} - (\mathrm{Im}a_{2}^{(e)})^{2} - (\mathrm{Re}a_{2})^{2} \right]^{1/2}$$
  
$$\leq \frac{1}{r} \left[ \frac{8\pi\Gamma}{m_{K}r} - (\mathrm{Im}a_{2}^{(e)})^{2} \right]^{1/2}$$
  
$$\simeq 1.7 \times 10^{-12} . \qquad (15)$$

The muon polarization for the maximum allowed value of  $b_2^{(n)}$  (corresponding to  $\text{Re}a_2=0$ ) would be about  $|\mathcal{P}| \simeq 0.96$ .

The actual value of  $|\mathscr{P}|$  depends on the magnitude of  $b_2^{(n)}$ . To consider the latter, we shall assume that the interaction which would give rise to  $b_2^{(n)}$  can be represented by an effective Hamiltonian of the form

$$H_{eff} = \frac{G}{\sqrt{2}} (g_{AA} \bar{s} \gamma_{\lambda} \gamma_{5} d\bar{\mu} \gamma_{\lambda} \gamma_{5} \mu + g_{PP} \bar{s} i \gamma_{5} d\bar{\mu} i \gamma_{5} \mu + g_{SP} \bar{s} i \gamma_{5} d\bar{\mu} \mu) + \text{H.c.}, \qquad (16)$$

where  $g_{AA}$ ,  $g_{PP}$ , and  $g_{SP}$  are constants, and  $Gm_p^2 \simeq 10^{-5}$ . Equation (16) is the most general Hermitian four-fermion coupling not involving derivatives of the fermion fields that can contribute to  $K_2 \rightarrow \mu^+ \mu^-$  and  $K_1 \rightarrow \mu^+ \mu^-$ . It accounts for the important cases of  $K_{2,1} \rightarrow \mu^+ \mu^-$  mediated by the exchange of single gauge bosons, Higgs bosons, or leptoquark bosons. Note that (16) does not contain terms involving  $\overline{\mu}\gamma_{\lambda}\mu$  and  $\overline{\mu}\sigma_{\mu\nu}\mu$ , as the hadronic part of the  $K_{1,2} \rightarrow \mu^+\mu^-$  matrix element is proportional to the kaon four-momentum  $p_{\lambda}$  ( $p_{\lambda}p_{\nu}$  in the case of the tensor term), and  $p^{\lambda}\overline{\mu}\gamma_{\lambda}\mu=0$ ,  $p^{\lambda}p^{\nu}\overline{\mu}\sigma_{\lambda\nu}\mu=0$ .

The contribution of (16) to the  $K_2 \rightarrow \mu^+ \mu^-$  amplitudes is given by

$$a_2^{(n)} = i\sqrt{2}Gm_{\mu}m_Ka_A\operatorname{Reg}_{AA} + i\frac{Gm_K^2}{\sqrt{2}}a_P\operatorname{Reg}_{PP},$$
(17)

$$b_2^{(n)} = -i \frac{Gm_K^2}{\sqrt{2}} a_P \operatorname{Reg}_{SP} ,$$
 (18)

where  $a_A$  and  $a_P$  are defined by

$$\langle 0 | \bar{s} \gamma_{\lambda} \gamma_{5} d + \bar{d} \gamma_{\lambda} \gamma_{5} s | K_{2}(p) \rangle$$
  
=  $i m_{K} a_{A} p_{\lambda} / \sqrt{2m_{K}}$ , (19)  
 $\langle 0 | \bar{s} i \gamma_{5} d + \bar{d} i \gamma_{5} s | K_{2}(p) \rangle = m_{K}^{2} a_{P} / \sqrt{2m_{K}}$ .

The constants  $a_A$  is related to the  $K^+ \rightarrow \mu^+ \nu_{\mu}$  decay amplitude as

(20)

$$\langle 0 | \bar{s} \gamma_{\lambda} \gamma_{5} d + \bar{d} \gamma_{\lambda} \gamma_{5} s | K_{2}(p) \rangle = \sqrt{2} \langle 0 | \bar{s} \gamma_{\lambda} \gamma_{5} u | K^{+} \rangle$$

$$\equiv \sqrt{2} f_{K} p_{\lambda} / \sqrt{2m_{K}} ,$$

$$(21)$$

while  $a_P$  can be expressed in terms of  $a_A$  using the relation

$$\partial^{\lambda}(\bar{s}\gamma_{\lambda}\gamma_{5}d + \bar{d}\gamma_{\lambda}\gamma_{5}s)$$

$$=(m_s+m_d)(\bar{s}\,i\gamma_5d+\bar{d}i\gamma_5s)\;.$$
 (22)

One finds<sup>14</sup>

$$a_A \simeq 0.49$$
, (23)

$$a_P = a_A m_K / (m_s + m_d) \ge 1.5$$
 (24)

Consequently,

$$a^{(n)} \simeq (4 \times 10^{-7}) i \operatorname{Reg}_{AA} + (3 \times 10^{-6}) i \operatorname{Reg}_{PP}$$
, (25)

$$b^{(n)} \simeq (3 \times 10^{-6}) i \operatorname{Reg}_{SP}$$
, (26)

and

$$|\mathscr{P}| \simeq (1.8 \times 10^6) \operatorname{Reg}_{SP} . \tag{27}$$

We shall turn to consider now some possible mechanisms which would give rise to an interaction of the form (16), concentrating on the amplitude  $b_2^{(n)}$  which enters the expression for the muon polarization.

(1) Flavor-changing gauge-boson exchange [diagram (a) in Fig. 2]. An example is a flavorchanging gauge boson associated with possible horizontal gauge symmetries. The effective quarklepton interaction corresponding to the exchange of a gauge boson is a linear combination of vector and axial-vector type couplings. These give no contribution to the *b* amplitude, and consequently  $\mathcal{P} = 0$  for this mechanism.

(2) Flavor-changing Higgs-boson exchange [diagram (b) in Fig. 2]. In the standard  $SU(2)_L \times U(1)$ model with only one Higgs doublet the Higgsboson-fermion couplings conserve flavor and the Higgs boson couples to scalar rather than pseudoscalar densities. In the standard model with more than one Higgs doublet the Higgs-boson-fermion couplings do not conserve flavor in general, and pseudoscalar-type couplings are in general also present.

Let us consider the contribution of a Hermitian Higgs field  $\phi$  to  $\mathcal{P}$  (Ref. 15). The most general coupling of  $\phi$  to (sd) and  $(\mu\mu)$  is of the form

$$\mathscr{L} = (f'_S \overline{\mu} \mu + f'_P \overline{\mu} i \gamma_5 \mu + f'_S \overline{s} d + f'_P \overline{s} i \gamma_5 d) \phi$$
  
+H.c. (28)

The constants  $f'_S$  and  $f'_P$  can be chosen to be real

without loss of generality. We shall take also  $f_s''$  and  $f_P''$  to be real, for simplicity.<sup>16</sup> The Lagrangian (28) leads to an effective interaction of the form (16) with

$$g_{PP} = \frac{\sqrt{2}}{G} \frac{f'_P f''_P}{m_H^2} , \qquad (29)$$

$$g_{SP} = \frac{\sqrt{2}}{G} \frac{f'_{s} f''_{P}}{m_{H}^{2}}$$
(30)

(and  $g_{AA} = 0$ ), where  $m_H$  is the mass of the Higgs boson. The bound (15) (which follows from the experimental  $K_L \rightarrow \mu^+ \mu^-$  rate) implies

$$m_H \ge (1.3 \times 10^3) [2^{1/4} (f'_S f''_P)^{1/2} / \sqrt{G}]$$
 (31)

Recall that the equality sign in (31) would correspond to  $|\mathscr{P}| \simeq 0.96$ .

The interaction (28) leads also to a  $\Delta S = 2$  nonleptonic term

$$H_{\rm eff}^{|\Delta S=2|} = \frac{1}{2} \frac{(f_P'')^2}{{m_H}^2} \bar{s} \, i\gamma_5 d\bar{s} \, i\gamma_5 d + {\rm H.c.}$$
(32)

which gives a contribution

$$(\Delta m_K)_H = \frac{(f_P'')^2}{m_H^2} \langle \overline{K}^0 | \overline{s} \, i\gamma_5 d \, \overline{s} \, i\gamma_5 d | K^0 \rangle \qquad (33)$$

to the  $K_L$ - $K_S$  mass difference. An estimate of (33) using the vacuum insertion method gives<sup>17</sup>

$$|(\Delta m_K)_H| = \frac{(f_P'')^2}{m_H^2} \left| 1 - 11 \left[ \frac{m_K}{m_s + m_d} \right]^2 \left| \frac{f_K^2 m_K}{12} \right| \\ \simeq (1.3 \times 10^{-1}) \frac{(f_P'')^2}{m_H^2} \text{ GeV}^3, \qquad (34)$$

where  $f_K \simeq 1.23 \ m_{\pi}$  (Ref. 18) is the  $K^+ \rightarrow \mu^+ \nu$  decay constant. Barring cancellations among the various contributions to  $\Delta m_K$  we have

$$(\Delta m_K)_H \leq (\Delta m_K)_{exp} \simeq 3.5 \times 10^{-15} \text{ GeV}$$

which yields

$$m_H > (6 \times 10^6) |f_P''| \text{ GeV}$$
 (35)

Substituting (35) to (27) yields the bound

$$\left| \mathscr{P} \right| \leq (6 \times 10^{-3}) \left| f'_S / f''_P \right| \tag{36}$$

for the muon polarization.

In the presence of two or more Higgs doublets, the couplings of the Higgs bosons to fermions are undetermined. Special cases include the following<sup>19</sup>

(a) Higgs-boson-fermion couplings are governed roughly by the mass of the fermions they couple to, i.e.,

$$\mathcal{L}_{H} \simeq 2^{1/4} \sqrt{G} (m_{1} + m_{2}) \overline{\psi}_{1} (\alpha_{12} + \beta_{12} \gamma_{5}) \psi_{2} \phi$$
  
+H.c. (37)

(b) Higgs-boson-fermion couplings are proportional to the mass  $M_F$  of some heavy fermion in the theory, i.e.,

$$\mathscr{L}_H \simeq 2^{1/4} \sqrt{G} M_F \overline{\psi}_1(\kappa_{12} + \rho_{12}\gamma_5) \psi_2 + \text{H.c.} \quad (38)$$

In (37) and (38),  $\alpha_{12}$ ,  $\beta_{12}$ ,  $\kappa_{12}$ , and  $\rho_{12}$  are some combinations of mixing angles in the fermion and Higgs sectors.

In case (a) one has

$$f'_{S} \simeq 2^{5/4} \sqrt{G} m_{\mu} \alpha_{\mu\mu} ,$$
  
 $f''_{P} \simeq 2^{1/4} \sqrt{G} (m_{s} + m_{d}) \beta_{sd} ,$ 

and

$$f'_S/f''_P \simeq 1.3 \alpha_{\mu\mu}/\beta_{sd}$$

The bounds (31) and (35) are in this case

$$m_H \ge 0.35(|\alpha_{\mu\mu}\beta_{sd}|)^{1/2} \text{ TeV}$$

and

$$m_H \geq 3.9 |\beta_{sd}|$$
 TeV,

respectively. The upper limit in (36) would coincide with the maximal  $|\mathcal{P}|$  (about 0.96) allowed by the bound (15) for  $|\alpha_{uu}/\beta_{ef}| \simeq 125$ .

bound (15) for  $|\alpha_{\mu\mu}/\beta_{sd}| \simeq 125$ . Taking in case (b)  $f'_s = 2^{1/4}\sqrt{G}m_{\tau}\alpha_{\tau}$  and  $f''_p = 2^{1/4}\sqrt{G}m_b\rho_b^{20}$ , we have

$$f'_S / f''_P \simeq 0.4 \kappa_\tau / \rho_b . \tag{40}$$

The bounds (31) and (35) read now

$$m_H \ge 5.5(|\kappa_\tau \rho_b|)^{1/2} \text{ TeV}$$

and

$$m_H \ge 116 |\rho_b| \text{ TeV},$$

respectively.  $\mathscr{P} \simeq 0.96$  would be possible if  $|\kappa_{\tau}/\rho_b| \simeq 440$ .

To summarize, if the pattern of Higgs couplings corresponds to cases (a) or (b), the muon polarization could be large (of the order of 1) provided that the ratio of the pertinent combinations of mixing angles in the quark and the leptonic sectors would be of the order of  $10^{-2}-10^{-3}$ .

It should be noted that the constraint (35) from the  $K_L$ - $K_s$  mass difference might be weaker due to possible cancellations among the various contributions to  $\Delta m_K$  including those from different Higgs bosons.<sup>21</sup> The uncertainties surrounding an estimate of (33) have to be also kept in mind.

(3) Leptoquark exchange [diagram (c) in Fig. 2].  $K_L \rightarrow \mu^+ \mu^-$  could also be mediated by leptoquarks (bosons causing quark  $\leftrightarrow$  lepton transitions). Lepto-

(39)

quarks appear in theories which unify the strong and the flavor interactions. In a class of models due to Pati and Salam<sup>22</sup> they might be sufficiently light to cause observable effects in rare K decays. Relatively light leptoquarks appear also in extendedhypercolor models.<sup>23</sup>

Phenomenological constraints on leptoquarks have been studied recently by Shanker.<sup>24</sup> The strangeness-changing interactions mediated by leptoquarks are not constrained significantly by the  $K_L$ - $K_S$  mass difference, since in lowest order leptoquark exchange does not generate a nonleptonic interaction. Leptoquark exchange leads (after a Fierz transformation) to an effective interaction of the form (16). For pseudoscalar-leptoquark exchange (appearing in hypercolor theories) the coupling strength  $g_{kl}$  ( $kl = AA, PP, SP, \ldots$ ,) is

$$g_{kl} = \frac{\sqrt{2}}{G} (f^2 / M_P^2)$$

 $(M_P = \text{mass of the pseudoscalar leptoquark})$ , with  $f^2$  expected to be of the order of

$$\frac{m_f m_{f'}}{(250 \text{ GeV})^2}$$

 $(m_f, m'_f = \text{fermion masses})$ . For vector-leptoquark exchange

$$g_{kl} \simeq \frac{\sqrt{2}}{G} (g^2/M^2)$$

(M = mass of the vector leptoquark) with  $g^2 \simeq 0(\alpha)$ . Shanker finds<sup>15</sup> that the  $K_L \rightarrow \mu^+ \mu^-$  rate can be reproduced with  $M_P \simeq 1$  TeV (assuming  $m_f \simeq m'_f = 1$ GeV) and  $M \simeq 60$  TeV. Constraints from other processes give comparable bounds on  $M_P$  and M. It follows that if the leptoquark-fermion couplings violate CP invariance, leptoquark exchange could lead to a large (of the order of 1) muon polarization in- $K_2 \rightarrow \mu^+ \mu^-$ .

In our discussion so far the  $K_1$  component of  $K_L$  has been neglected. When included, the  $K_L \rightarrow \mu^+ \mu^-$  matrix element becomes

$$\mathcal{M}(K_L \to \mu^+ \mu^-) = a\overline{u}(p_-)\gamma_5 v(p_+) + ib\overline{u}(p_-)v(p_+) \quad (41)$$

with

$$a = a_2 + i\epsilon a_1 ,$$
  

$$b = b_2 + i\epsilon b_1 ,$$
(42)

where  $a_1 = a_1^{(e)} + a_1^{(n)}$ ,  $b_1 = b_1^{(e)} + b_1^{(n)}$  are the  $K_1 \rightarrow \mu^+ \mu^-$  amplitudes. They would be real in the absence of absorptive contributions. Neglecting terms of order  $\epsilon^2$ , the muon polarization is given by

$$\mathscr{P} = \frac{m_{K}r^{2}}{4\pi\Gamma} \text{Im}ba^{*}$$

$$\simeq \frac{m_{K}r^{2}}{4\pi\Gamma} \text{Im}(b_{2}a_{2}^{*} - i\epsilon^{*}b_{2}a_{1}^{*} + i\epsilon b_{1}a_{2}^{*}), \quad (43)$$

where the first term has already been considered. The second term, which requires CP violation also in the  $K_1 \rightarrow \mu^+ \mu^-$  matrix element, is presumably less important, in view of the factor  $\epsilon$ . The last term, proportional to  $\operatorname{Im}(i\epsilon b_1 a_2^*)$ , we know gives a nonvanishing contribution to  $\mathscr{P}$ : it contributes also in the absence of nonelectroweak interactions and it does not require the presence of a further CP violation, beyond the one involved in the  $K^0 \cdot \overline{K}^0$  mass matrix. The term  $(\operatorname{Im} a_2^{(e)})(\operatorname{Im} b_1^{(e)})\operatorname{Re}\epsilon$  in  $\operatorname{Im}(i\epsilon b_1 a_2^*)$ , which contains only absorptive amplitudes, can be calculated with relative confidence. Using<sup>25</sup>

$$\mathrm{Im}b_{1}^{(e)} = 7.58 \times 10^{-13} \tag{44}$$

and (13), one obtains

$$\left| \mathscr{P} \right|_{\operatorname{Imb}_{1}^{e}\operatorname{Ima}_{2}^{e}} \simeq 7.1 \times 10^{-4} .$$

$$(45)$$

The remaining electroweak terms in  $\text{Im}(i \epsilon b_1 a_2^*)$  should be of comparable magnitude.

We are now ready to summarize our conclusions.

A muon polarization larger than about  $10^{-3}$  would suggest the presence of a nonelectroweak interaction. As gauge-boson exchange gives no contribution to  $\mathscr{P}$ , the nonelectroweak interaction would presumably originate from Higgs-boson exchange or leptoquark exchange. Values of  $|\mathscr{P}|$  near to 1 due to either of these interactions are not ruled out. A large polarization would most likely originate from the  $K_2 \rightarrow \mu^+ \mu^-$  amplitudes and thus require the existence of a *CP*-violating nonelectroweak quark-lepton interaction.

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<sup>1</sup>Particle Data Group, Rev. Mod. Phys. <u>52</u>, S1 (1980).

- <sup>2</sup>R. E. Shrock and M. B. Voloshin, Phys. Lett. <u>87B</u>, 375 (1979); T. Inami and C. S. Lim, Prog. Theor. Phys. <u>65</u>, 297 (1981); A. J. Buras, Phys. Rev. Lett. <u>46</u>, 1354 (1981).
- <sup>3</sup>See, for example, L. Wolfenstein, in *Theory and Phenomenology in Particle Physics*, edited by A. Zichichi (Academic, New York, 1969), p. 218.
- <sup>4</sup>The kinematics of pseudoscalar-meson decays into massive lepton pairs, including lepton polarization, has

been discussed in A. Pais and S. Treiman, Phys. Rev. <u>176</u>, 1974 (1968); see, also, L. A. Sehgal, *ibid*. <u>181</u>, 2151 (1969). Kinematical aspects of  $K_2 \rightarrow \mu^+ \mu^-$  are identical to those of  $\pi^0 \rightarrow e^+e^-$ . A discussion of the latter is given in P. Herczeg, Phys. Rev. D <u>16</u>, 712 (1977).

<sup>5</sup>Since a common phase factor is irrelevant,  $K_2 \rightarrow \mu^+ \mu^-$  is characterized by three real numbers: the magnitudes of the amplitudes  $a_2$  and  $b_2$  and their relative phase.

- <sup>7</sup>Nonelectroweak contributions to  $K_{L,S} \rightarrow \mu^+ \mu^-$  have been considered before the advent of gauge theories in various contexts by E. de Rafael, Phys. Rev. 157, 1486 (1966); A. Pais and S. Treiman, Ref. 4 (see also L. A. Sehgal, Ref. 4); L. Wolfenstein, in Particles and Fields-1971, proceedings of the Meeting of the Division of Particles and Fields of the APS, Rochester, edited by A. C. Melissinos and P. F. Slattery (AIP, New York, 1971); and by G. V. Dass and L. Wolfenstein, in Phys. Lett. 38B, 435 (1972). Recently such contributions have been considered by P. Herczeg, in Proceedings of the Workshop on Nuclear and Particle Physics at Energies up to 31 GeV, Los Alamos, 1981, edited by J. D. Bowman, L. S. Kisslinger, and R. R. Silbar (Los Alamos National Laboratory, Los Alamos, 1981), p. 58 and by O. Shanker, Nucl. Phys. <u>B206</u>, 253 (1982).
- <sup>8</sup>J. Ellis, M. K. Gaillard, and D. V. Nanopoulos, Nucl. Phys. <u>B109</u>, 213 (1976).
- <sup>9</sup>K. Kleinknecht, Annu. Rev. Nucl. Sci. <u>26</u>, 1 (1976).
- <sup>10</sup>More generally,  $\operatorname{Reb}_2^{(e)} = 0$  would hold in any electroweak model in which the effective quark-lepton interaction does not contain a term of the form  $\overline{s} i \gamma_5 d \overline{\mu} \mu$  and some forms of derivative couplings.
- <sup>11</sup>A. I. Vainshtein and I. B. Khriplovich, Pis'ma Zh. Eksp. Teor. Fiz. <u>18</u>, 141 (1973) [JETP Lett. <u>18</u>, 83 (1973)]; M. K. Gaillard and B. W. Lee, Phys. Rev. D <u>10</u>, 897 (1974); M. K. Gaillard, B. W. Lee, and R. E. Shrock, *ibid.* <u>13</u>, 2674 (1976).
- <sup>12</sup>C. Quigg and J. D. Jackson, UCRL Report No. 18487, 1968 (unpublished); L. M. Sehgal, Phys. Rev. <u>183</u>, 1511 (1969); B. R. Martin, E. de Rafael, and J. Smith, Phys. Rev. D <u>2</u>, 179 (1970). See also the review by M. K. Gaillard and H. Stern, Ann. Phys. (N.Y.) <u>76</u>, 580 (1973), and references quoted therein.
- <sup>13</sup>Note that  $Im(a^{(e)})_{\gamma\gamma}$  given by Eq. (13) includes in addition to the standard electroweak contribution any further contributions that might be involved in  $K_2 \rightarrow \gamma\gamma$ .
- <sup>14</sup>P. Herczeg, in Proceedings of the Kaon Factory Workshop, Vancouver, 1979, edited by M. K. Craddock

(TRIUMF, Vancouver, 1979), p. 20. The value (24) corresponds to the choice  $m_s = 150$  MeV,  $m_d = 7.5$  MeV for the current quark masses [cf. S. Weinberg, Trans. N.Y. Acad. Sci. <u>38</u>, 185 (1977)]. For larger current quark masses [cf. M. D. Scadron, Rep. Prog. Phys. <u>44</u>, 213 (1981)],  $a_p$  would be correspondingly smaller.

- <sup>15</sup>Higgs-boson contribution to the  $K_L \rightarrow \mu^+ \mu^-$  rate has been considered by Shanker (Ref. 7).
- <sup>16</sup>Note, however, that for real  $f_P''(28)$  does not contribute to  $K_1 \rightarrow \mu^+ \mu^-$ . For complex  $f_P''(28)$  generates a  $\Delta S = 2$ interaction which contributes both to the real and to the imaginary part of the  $K^0 \rightarrow \overline{K}^0$  amplitude. The constraint on the Higgs-boson mass would be in such a case more severe than the one implied by the  $K_L \cdot K_S$ mass difference, unless a small *CP*-violating phase is involved in the couplings.
- <sup>17</sup>B. McWilliams and O. Shanker, Phys. Rev. D <u>22</u>, 2853 (1980). See also O. Shanker, Ref. 7.
- <sup>18</sup>R. E. Shrock and S. B. Treiman, Phys. Rev. D <u>19</u>, 2148 (1979).
- <sup>19</sup>See, for example, B. McWilliams and L.-F. Li, Nucl. Phys. <u>B179</u>, 62 (1981).
- <sup>20</sup>It is, of course, posssible that other masses are the relevant ones in this case.
- <sup>21</sup>A cancellation among the contributions of different Higgs bosons to the *CP*-violating part of the  $K^0 \rightarrow \overline{K}^0$ amplitude occurs in the model of A. B. Lahanas and C. E. Vayonakis [Phys. Rev. D <u>19</u>, 2158 (1979)]. However for this to happen some special assumptions have to be made regarding the Higgs-boson-fermion couplings (cf. O. Shanker, Ref. 7).
- <sup>22</sup>A recent review of these models is given in J. C. Pati, invited talk at the International Conference on Baryon Nonconservation, Tata Institute of Fundamental Research, Bombay, India, 1982 [University of Maryland Report No. 82-151, 1982 (unpublished)]. Lepto-quark masses in Pati-Salam models have been analyzed by T. Goldman, in *Particles and Fields—1981: Testing the Standard Model*, proceedings of the meeting of the Division of Particles and Fields of the APS, Santa Cruz, California, edited by C. A. Heusch and W. T. Kirk (AIP, New York, 1981).
- <sup>23</sup>Phenomenological implications of hypercolor schemes and some associated problems of these theories are discussed in J. Ellis, M. K. Gaillard, D. V. Nanopoulos, and P. Sikivie, Nucl. Phys. <u>B182</u>, 529 (1981); S. Dimopoulos and J. Ellis, *ibid*. <u>B182</u>, 505 (1981).
- <sup>24</sup>Shanker (Ref. 7).
- <sup>25</sup>J. Smith and Z.E.S. Uy, Phys. Rev. D 7, 2738 (1973).

<sup>&</sup>lt;sup>6</sup>L. A. Sehgal, Ref. 4.