

## Matter effects on three-neutrino oscillations

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We evaluate the influence of coherent forward scattering in matter upon neutrino oscillations in the three-neutrino picture. We write down the exact solution and also approximate first-order solutions that exhibit general features more transparently. Oscillation characteristics in matter that could be observed in deep-mine experiments are discussed and illustrated using an oscillation solution suggested by solar and reactor data.

### I. INTRODUCTION

Interest in neutrino oscillations<sup>1</sup> has been heightened recently by indications from beam-dump experiments,<sup>2-4</sup> from reanalysis<sup>5</sup> of old reactor data,<sup>6</sup> and from a new reactor experiment with reduced sensitivity to spectrum uncertainties.<sup>7</sup> If such oscillations can be clearly resolved, they will not only show that neutrinos are massive but also provide information about their mass differences and mixing matrix.

Deep-mine experiments that measure high-energy events from atmospheric neutrinos offer unique opportunities to probe oscillations in the range  $L/E \sim 1-10^5$  m/MeV (where  $L$  is the path length and  $E$  the energy) that is sensitive to mass-squared differences  $\delta m^2 \gtrsim 10^{-5}$  eV<sup>2</sup>. Wolfenstein<sup>8</sup> has pointed out, however, that the standard vacuum oscillations can be significantly modified by coherent forward scattering from electrons in matter (that selectively affect  $\nu_e$  and  $\bar{\nu}_e$  components) when the path integral of electron number density  $N_e$  is of order  $\int N_e dL \sim 10^9 N_A$  cm<sup>-2</sup> where  $N_A = 6 \times 10^{23}$ . Thus with electron densities in typical terrestrial matter of order  $N_e \sim 2N_A$  cm<sup>-3</sup>, matter effects can occur over the distance of the earth's radius, i.e., in deep-mine events produced by upward neutrinos.

Wolfenstein has given a complete analytic solution for matter corrections to oscillations of two neutrinos.<sup>8</sup> We examine the properties of this solution in detail in Sec. II. We then derive a general solution for matter oscillations with any number of neutrinos, but its implications are not immediately transparent. We therefore also write down first-order approximate solutions that exhibit general properties rather simply. For the case of three neutrinos, we give an exact solution in closed form. In Sec. III we illustrate

the properties of the three-neutrino oscillations in matter based on a vacuum-oscillation solution suggested by solar and reactor data.

### II. OSCILLATIONS IN MATTER

#### A. General equations

Consider a set of neutrino charged-current eigenstates  $\nu_\alpha$  ( $\alpha = e, \mu, \tau, \dots$ ) and mass eigenstates  $\nu_i$  ( $i = 1, 2, 3, \dots$ ) at time  $t=0$ , distinguished by their suffixes and related by a unitary transformation,

$$|\nu_\alpha\rangle = \sum_i U_{\alpha i} |\nu_i\rangle. \quad (1)$$

Then, for a relativistic neutrino beam energy  $E$ , we recall the standard amplitude  $A$  and probability  $P$  for  $\nu_\alpha \rightarrow \nu_\beta$  transitions after a time  $t$  in *vacuo*,

$$A(\nu_\alpha \rightarrow \nu_\beta) = \sum_i U_{\alpha i} \exp(-\frac{1}{2} i m_i^2 t/E) U_{i\beta}^\dagger, \quad (2)$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = |A(\nu_\alpha \rightarrow \nu_\beta)|^2,$$

where  $m_i$  are the mass eigenvalues and the interference terms in  $|A|^2$  are oscillatory. We usually write  $L/E$  in place of  $t/E$  in Eq. (2), where  $L$  is the length of the flight path (units  $\hbar = c = 1$ ).

To treat neutrino evolution in matter, we consider an arbitrary state vector in neutrino-flavor space,

$$|\psi(t)\rangle = \sum_i \psi_i(t) |\nu_i\rangle. \quad (3)$$

For an initial state  $\nu_\alpha$  at time  $t=0$ ,  $\psi_i(0) = U_{\alpha i}$ , and the  $\nu_\alpha \rightarrow \nu_\beta$  transition amplitude is

$$A(\nu_\alpha \rightarrow \nu_\beta) = \sum_i U_{i\beta}^\dagger \psi_i(t). \quad (4)$$

The time evolution is controlled by the equation

$$id\psi_j(t)/dt = m_j^2/(2E)\psi_j(t) - \sum_k \sqrt{2}GN_e U_{ej} U_{ek}^\dagger \psi_k(t) \quad (5)$$

$$= H_{kj}\psi_k(t),$$

where  $N_e$  is the number of electrons per unit volume and  $G$  is the weak coupling constant. In Eq. (5) we have dropped a common overall phase in the  $\psi_i$  which produces no observable effects; this includes the phase shift from neutral-current scattering which is the same for all neutrino flavors. The coefficient  $\sqrt{2}GN_e$  in Eq. (5) differs from the value  $GN_e$  given in Ref. 8; this correction is confirmed by Ref. 9. This equation applies strictly for *neutrinos*; for *antineutrinos*, change the sign of the  $\sqrt{2}GN_e$  term and substitute  $U^*$  for  $U$ .

We assume that the vacuum oscillations are already prescribed so that  $U$  and the  $m_i$  are known. The problem of propagation is therefore to diagonalize the matrix  $H$  defined in Eq. (5). Let us suppose that it can be diagonalized by proceeding to a new set of basis state  $|\nu'_i\rangle = V_{i\alpha}^\dagger |\nu_\alpha\rangle = V_{i\alpha}^\dagger U_{\alpha j} |\nu_j\rangle$ , where  $V$  is a unitary matrix. Then if the eigenvalues of  $H$  corresponding to these states  $|\nu'_i\rangle$  are written as  $M_i^2/2E$ , the solution for a uniform medium is given in principle by Eq. (2) with  $m_i^2$  and  $U$  replaced by  $M_i^2$  and  $V$ , respectively.

There is another way to solve the propagation problem without explicitly constructing the matrix  $V$ . For  $n$  neutrinos, Eq. (5) has  $n$  independent solutions for the row vectors  $\psi_j(t)$ . We choose the set of solutions  $\psi_j^{(i)}$  ( $i=1, \dots, n$ ) that are pure mass eigenstates at time  $t=0$ :

$$\psi_j^{(i)}(t=0) = \delta_{ij}. \quad (6)$$

If these row vectors are assembled into an  $n \times n$  matrix  $X$  according to

$$X_{ij}(t) = \psi_j^{(i)}(t), \quad (7)$$

then  $X$  satisfies the matrix equation

$$idX/dt = XH \quad (8)$$

with the boundary condition  $X(t=0) = 1$ . An analytical solution to Eq. (8) is possible for constant  $N_e$ ,

$$X(t) = \exp(-iHt). \quad (9)$$

Row  $i$  of  $X$  describes the state that started as the mass eigenstate  $|\nu_i\rangle$  at  $t=0$ ; column  $j$  describes the amplitude that it has evolved at time  $t$  into mass eigenstate  $|\nu_j\rangle$ . In the presence of matter the transition amplitude of Eq. (2) is modified to

$$A(\nu_\alpha \rightarrow \nu_\beta) = \sum_{ij} U_{\alpha i} X_{ij} U_{j\beta}^\dagger. \quad (10)$$

The resulting transition probability requires only

knowledge of the original mixing matrix  $U$  and the mass-squared differences  $\delta M_{ij}^2 = M_i^2 - M_j^2$ ,  $\delta m_{ij}^2 = m_i^2 - m_j^2$  and  $M_i^2 - m_j^2$ . For computation, the matrix  $X$  can be rewritten via Lagrange's formula as

$$X = \sum_k \left[ \prod_{j \neq k} \frac{(2EH - M_j^2)}{\delta M_{kj}^2} \right] \exp\left(-i \frac{M_k^2 L}{2E}\right). \quad (11)$$

### B. Two-neutrino case

We take the charged-current (CC) eigenstates to be  $\nu_e$ ,  $\nu_\mu$  and parametrize the  $2 \times 2$  matrices  $U$ ,  $V$  that relate them to the mass eigenstates  $\nu_i$  and matter eigenstates  $\nu'_i$  by

$$U_{e1} = U_{\mu 2} = \cos \alpha, \quad U_{e2} = -U_{\mu 1} = \sin \alpha, \quad (12)$$

$$V_{e1} = V_{\mu 2} = \cos \alpha', \quad V_{e2} = -V_{\mu 1} = \sin \alpha'.$$

There is just one mass-squared difference  $\delta m^2 = m_1^2 - m_2^2$ . Vacuum oscillations of two neutrinos determine only  $|\delta m^2|$  and  $\sin^2 2\alpha$ , leaving the sign of  $\delta m^2$  and the quadrant of  $2\alpha$  unresolved. By convention we take  $\alpha < 45^\circ$ . The ambiguity in the sign of  $\delta m^2$  is resolved by the effects of matter.

It is convenient to define the oscillation length in vacuum  $l_V$  and a characteristic length  $l_M$  for matter effects by

$$l_V = 4\pi E / \delta m^2, \quad l_M = 2\pi / (\sqrt{2}GN_e). \quad (13)$$

For *antineutrinos*, the sign of  $l_M$  is reversed. We note that  $l_V$  or  $l_M$  can have either sign. With  $E$  in MeV,  $\delta m^2$  in eV<sup>2</sup>, and  $N_e$  in cm<sup>-3</sup>, the oscillation lengths in meters are given by

$$l_V = 2.48E / \delta m^2, \quad l_M = 1.77 \times 10^7 N_A / N_e. \quad (14)$$

For terrestrial matter<sup>10</sup>  $N_e \sim 2N_A$  cm<sup>-3</sup> in the mantle ( $3.5 \times 10^6 < r < 6.4 \times 10^7$  m) and  $N_e \sim 5N_A$  cm<sup>-3</sup> in the core ( $r < 3.5 \times 10^6$  m).

Following Ref. 8, the solution in the *neutrino case* is given by

$$\tan 2\alpha' = \sin 2\alpha / (\cos 2\alpha - l_V/l_M) \quad (15)$$

for the oscillation angle in matter, and

$$\delta M^2 = \delta m^2 [1 - 2(l_V/l_M) \cos 2\alpha + (l_V/l_M)^2]^{1/2} \quad (16)$$

for the matter eigenmass-squared difference. The associated oscillation length in matter is

$$l = l_V [1 - 2(l_V/l_M) \cos 2\alpha + (l_V/l_M)^2]^{-1/2}. \quad (17)$$

The physical consequences are clear.

(i) If  $|l_V| \ll |l_M|$ , i.e., the vacuum-oscillation length is very short on the matter scale, then  $\alpha' \simeq \alpha$ ,  $\delta M^2 \simeq \delta m^2$ , and matter corrections are negligible.

(ii) If  $|l_V| \gg |l_M|$ , then  $\alpha' \simeq 0$  and the matter corrections damp out all oscillation effects.

(iii) At intermediate values  $|l_V| \sim |l_M|$  the matter corrections are very significant and differ between neutrinos and antineutrinos. Moreover, matter effects resolve the vacuum-oscillation ambiguity in the sign of  $\delta m^2$ .

(iv) For matter corrections to be observable, the distance traversed in matter must also be an appreciable fraction of  $l_M$ . Hence matter corrections are very small in all terrestrial contexts, except when neutrinos traverse a substantial fraction of the earth's diameter and have energies

$$E \text{ (MeV)} \gtrsim 10^5 |\delta m^2 \text{ (eV}^2)|. \quad (18)$$

(v) For given  $\delta m^2$  the vacuum-oscillation length  $l_V$  depends on  $E$ , whereas  $l_M$  does not; hence, there is always some energy range where matter effects are important.

(vi) There is always some energy where  $l_V/l_M = \cos 2\alpha$  and hence  $\alpha' = 45^\circ$  for either  $\nu$  or  $\bar{\nu}$  depending on the sign of  $\delta m^2$ . Hence, there is always some energy where  $\nu$  or  $\bar{\nu}$  matter mixing is maximal. At this energy, the diagonal transition probability vanishes at a distance

$$L = \frac{l_M}{2} \cot 2\alpha. \quad (19)$$

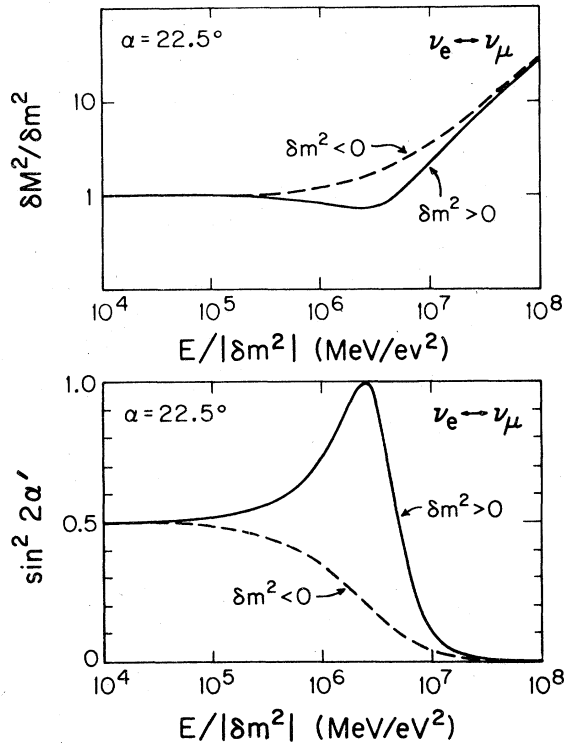


FIG. 1. Matter-to-vacuum eigenmass-squared difference ratio and matter amplitude  $\sin^2 2\alpha'$  for oscillations of two neutrinos with vacuum amplitude  $\sin^2 2\alpha = 0.5$  ( $\alpha = 22.5^\circ$ ).

With  $\alpha = 22.5^\circ$  and  $N_e = 2N_A \text{ cm}^{-3}$ , this distance is  $L \approx 5 \times 10^6 \text{ m}$ , which would correspond to deep-mine events about  $10^\circ$  below the horizontal direction.

Some of these results are illustrated in Fig. 1, showing the ratio  $\delta M^2/\delta m^2$  (describing the correction to the oscillation wavelength) and  $\sin^2 2\alpha'$  (describing the oscillation amplitude) versus  $E/|\delta m^2|$ . This illustration is based on  $\alpha = 22.5^\circ$  and  $N_e = 2N_A$ . As expected, there is little matter correction for  $E \text{ (MeV)} < 10^5 |\delta m^2 \text{ (eV}^2)|$ . The mixing becomes maximal in one channel ( $\nu$  or  $\bar{\nu}$ ) at one energy. At sufficiently large energy where  $l_V \gg l_M$  the mixing is damped out. When  $\delta m^2$  changes sign,  $\nu$  and  $\bar{\nu}$  exchange roles. The transition probabilities are given simply by

$$\begin{aligned} P(\nu_e \rightarrow \nu_\mu) &= P(\nu_\mu \rightarrow \nu_e) = 1 - P(\nu_e \rightarrow \bar{\nu}_e) \\ &= 1 - P(\bar{\nu}_e \rightarrow \nu_\mu) = \sin^2(2\alpha') \sin^2(\frac{1}{4}\delta M^2 L/E). \end{aligned} \quad (20)$$

Figure 2 compares vacuum- and matter-oscillation results for  $P(e \rightarrow e)$  in the two-neutrino case at a fixed distance  $L = 5 \times 10^6 \text{ m}$ .

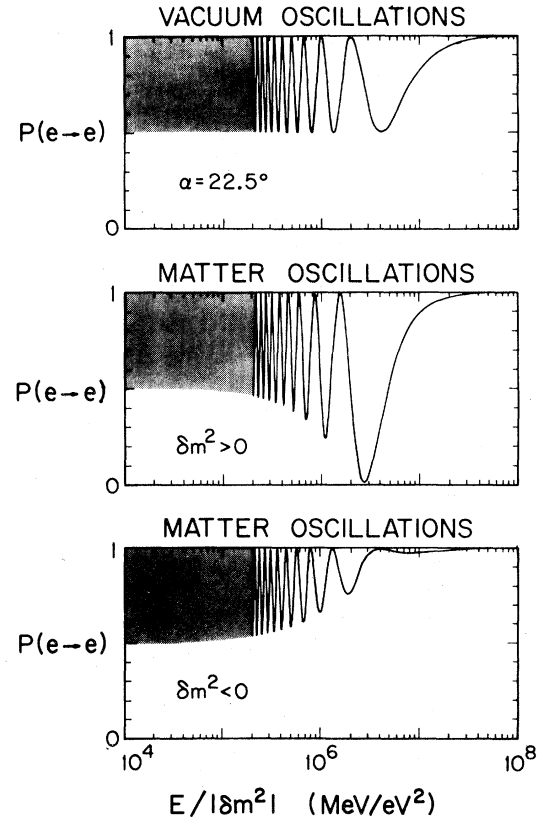


FIG. 2. Comparison of vacuum and matter transition probability  $P(e \rightarrow e)$  for two neutrinos at fixed  $L = 5 \times 10^6 \text{ m}$  for density  $N_e = 2N_A$ .

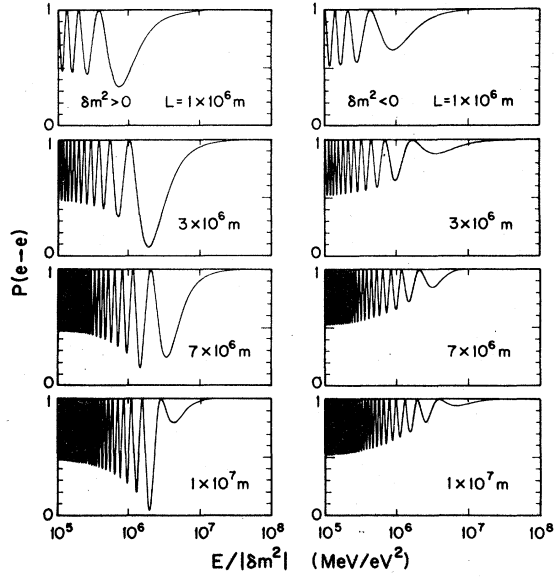


FIG. 3. Dependence of  $P(e \rightarrow e)$  on distance  $L$  with  $N_e = 2N_A$  for two neutrinos.

Figure 3 compares matter results at distances up to the earth's diameter. As  $L$  increases, the oscillation pattern moves to higher  $E$ , as might be expected, and the oscillation amplitude also changes. Figure 4 shows results with different electron densities  $N_e$  at fixed  $L = 5 \times 10^6$  m. Matter damping [point (ii) above] is clearly seen at the higher energies in Figs. 3 and 4 when either the distance  $L$  or the density  $N_e$  is sufficiently large; in general, significant damping of vacuum oscillations occurs for energies at which  $L > l_\nu > l_M$ .

### C. Three-neutrino case

For constant density  $N_e$ , we have algebraically performed the diagonalization of Eq. (5) in the three-neutrino case. After tedious but straightforward algebra, the "modified mass" parameters  $M_i^2$  which are the eigenvalues of the matrix  $H_{ij}$ , are found to be

### D. First-order solution in $GN_e$ , any number of neutrinos

The approximate solution of Eq. (5) to first order in  $GN_e$  has the form, for any number of neutrinos,

$$\psi_i(t) = \exp(-\frac{1}{2}im_i^2 t/E)(1 + i\sqrt{2}GN_e t |U_{ei}|^2)\psi_i(0) + \sum_{j \neq i} \exp[-\frac{1}{4}i(m_i^2 + m_j^2)t/E] \frac{\sin(\frac{1}{4}\delta m_{ij}^2 t/E)}{(\frac{1}{4}\delta m_{ij}^2/E)} i\sqrt{2}GN_e U_{ei} U_{je}^* \psi_j(0). \quad (23)$$

This implies the propagation amplitude

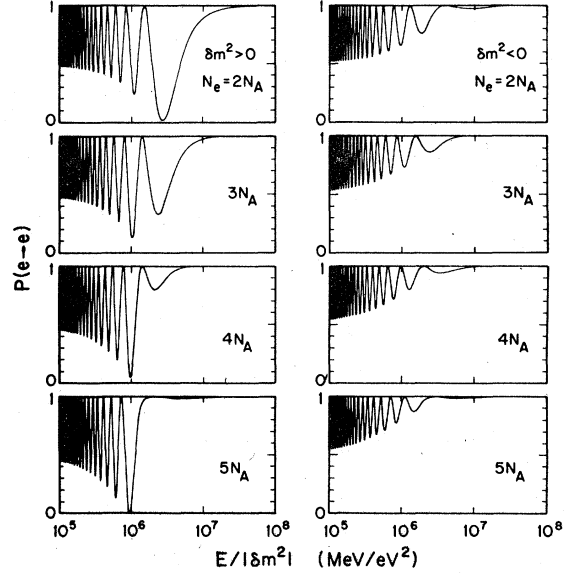


FIG. 4. Dependence of  $P(e \rightarrow e)$  on  $N_e$  at distance  $L = 5 \times 10^6$  m for two neutrinos.

$$M_i^2 = -\frac{2}{3}(\alpha^2 - 3\beta)^{1/2} \cos\left[\frac{1}{3}\arccos\left(\frac{2\alpha^3 - 9\alpha\beta + 27\gamma}{2(\alpha^2 - 3\beta)^{3/2}}\right)\right] + m_1^2 - \alpha/3, \quad (21)$$

with

$$\begin{aligned} \alpha &= 2\sqrt{2}EGN_e + \delta m_{12}^2 + \delta m_{13}^2, \\ \beta &= \delta m_{12}^2 \delta m_{13}^2 + 2\sqrt{2}EGN_e [\delta m_{12}^2 (1 - |U_{e2}|^2) + \delta m_{13}^2 (1 - |U_{e3}|^2)], \end{aligned} \quad (22)$$

$$\gamma = 2\sqrt{2}EGN_e \delta m_{12}^2 \delta m_{13}^2 |U_{e1}|^2.$$

Here  $\delta m_{ij}^2 = m_i^2 - m_j^2$  and the three separate  $M_i^2$  values ( $i = 1, 2, 3$ ) are provided by the three distinct roots of  $\cos(\frac{1}{3}\arccos)$ . Notice that only mass difference  $\delta m_{ij}^2$  enter the relations determining  $\delta M_{ij}^2 = M_i^2 - M_j^2$ ;  $m_1^2$  itself does not.

Equations (10), (11), (21), and (22) define the complete three-neutrino oscillation solution in a uniform medium. Unfortunately, the algebra is rather impenetrable. It is therefore interesting to construct also the approximate solutions to first order in  $GN_e$  or first order in  $\delta m_{ij}^2$ .

$$A(\nu_\alpha \rightarrow \nu_\beta) = \sum_i \exp(-\frac{1}{2}im_i^2 L/E)(1 + i\sqrt{2}GN_e L |U_{ei}|^2)U_{\alpha i}U_{i\beta}^\dagger + \sum_{j \neq i} \exp[-\frac{1}{4}i(m_i^2 + m_j^2)L/E] \frac{\sin(\frac{1}{4}\delta m_{ij}^2 L/E)}{(\frac{1}{4}\delta m_{ij}^2/E)} i\sqrt{2}GN_e U_{ei}U_{i\beta}^\dagger U_{\alpha j}U_{je}^\dagger. \quad (24)$$

For antineutrinos, reverse the sign of  $G$  and substitute  $U^*$  for  $U$ .

The following remarks should be made:

(i) The matter correction is of order  $GN_e t = GN_e L$ ; the perturbative solutions, Eqs. (23) and (24), are only valid when this is small compared to unity.

(ii) If  $\delta m_{ij}^2 L/E$  is of order unity or less, the off-diagonal ( $j \neq i$ ) terms in the summation in Eq. (24) are of full strength  $\sqrt{2}GN_e L$ . If  $\delta m_{ij}^2 L/E$  is large, however, the  $j \neq i$  terms are only of order  $\sqrt{2}GN_e E/\delta m_{ij}^2$ ; i. e., they are suppressed by a factor of order  $E/(\delta m_{ij}^2 L)$ . Depending on the numerical values involved, these off-diagonal terms may then be negligible.

(iii) If all  $\delta m_{ij}^2$  are large enough for all off-diagonal terms to be ignored, Eq. (5) can be solved to all orders in  $GN_e$  to give (for  $t \ll E/\delta m_{ij}^2$ )

$$\psi_i(t) = \exp(-\frac{1}{2}im_i^2 t/E + i\sqrt{2}GN_e |U_{ei}|^2 t)\psi_i(0), \quad (25)$$

$$A(\nu_\alpha \rightarrow \nu_\beta)$$

$$= \sum_i \exp(-\frac{1}{2}im_i^2 L/E + i\sqrt{2}GN_e L |U_{ei}|^2)U_{\alpha i}U_{i\beta}^\dagger. \quad (26)$$

In other words, when the vacuum oscillations are sufficiently fast, they suppress off-diagonal matter corrections, in the mass-eigenstate basis, and the effect is simply to introduce effective mass parameters,

$$m_i^2 - m_i^2 - \sqrt{2}GN_e E |U_{ei}|^2, \quad (27)$$

$$\delta m_{ij}^2 - \delta m_{ij}^2 - 2\sqrt{2}GN_e E (|U_{ei}|^2 - |U_{ej}|^2).$$

However, the off-diagonal terms that we have assumed negligible are themselves of order  $GN_e E/\delta m_{ij}^2$ ; the corrections to  $\delta m_{ij}^2$  are of the same

$$\psi_\alpha(t) = \exp(-\frac{1}{2}im_\alpha^2 t/E) \left(1 - it \sum_i |U_{\alpha i}|^2 \frac{\delta m_{i1}^2}{2E}\right) \psi_\alpha(0) - \sum_{\beta \neq \alpha} \sum_i \exp[-\frac{1}{4}i(m_\alpha^2 + m_\beta^2)t/E] \frac{\sin(\frac{1}{4}\delta m_{\alpha\beta}^2 t/E)}{(\frac{1}{4}\delta m_{\alpha\beta}^2/E)} iU_{i\alpha}^\dagger U_{\beta i} \left(\frac{\delta m_{i1}^2}{2E}\right) \psi_\beta(0). \quad (30)$$

For all  $\alpha \neq e$ ,  $\beta \neq e$  this simply gives the lowest-order terms in the normal vacuum-oscillation amplitude Eq. (2). For  $\alpha = e$ , however, the off-diagonal couplings are strongly suppressed by

relative order and hence are equally negligible.

(iv) To summarize, the solution to first order in  $GN_e$  shows that the matter correction is potentially of order  $\sqrt{2}GN_e L$ . However, if the vacuum oscillations have sufficiently short wavelength (such that  $\delta m_{ij}^2 \gg 2\sqrt{2}GN_e E$  for all  $i, j$ ) the matter corrections are always negligible. These general properties have already been seen in the two-neutrino case above.<sup>8</sup>

#### E. First-order solution in $\delta m_{ij}^2$ , any number of neutrinos

If  $\delta m_{ij}^2 \ll 2\sqrt{2}GN_e E$ , the region  $L \geq l_\nu$  where vacuum oscillations develop is beyond the range of applicability of Eq. (23). However, on general physical grounds we can surmise that amplitudes relating  $\nu_e$  to other CC states will not build up, because the matter effect gives  $\nu_e$  a relatively fast-changing phase. In this regime, the matter correction simply decouples  $\nu_e$  while the other CC eigenstates have normal vacuum oscillations to one another. This can be seen explicitly by constructing a solution in which the matter effect is treated exactly and the mass-squared differences are treated perturbatively instead. Writing an arbitrary neutrino state vector in terms of the charge-current eigenstates as

$$|\psi(t)\rangle = \sum_\alpha \psi_\alpha(t) |\nu_\alpha\rangle, \quad (28)$$

the propagation equation can be cast in the form

$$id\psi_\alpha(t)/dt = m_\alpha^2/(2E)\psi_\alpha(t) + \sum_{i\beta} U_{i\alpha}^\dagger U_{\beta i} \left(\frac{m_i^2 - m_\beta^2}{2E}\right) \psi_\beta(t). \quad (29)$$

Here we have adopted the convenient shorthand  $m_\alpha^2 = m_1^2$  ( $\alpha \neq e$ ),  $m_e^2 = m_1^2 - 2\sqrt{2}GN_e E$ . The solution to first order in  $\delta m_{i1}^2 = m_i^2 - m_1^2$  is very similar to Eq. (23):

the relative factor  $\sin(\frac{1}{4}\delta m_{\alpha\beta}^2 t/E)/(\frac{1}{4}\delta m_{\alpha\beta}^2 t/E)$  and the  $\nu_e$  mode is essentially decoupled.

Thus our perturbative solutions show how the general properties of oscillations in matter ex-

trapolate from two neutrinos to the  $n$ -neutrino case.

### III. ILLUSTRATIONS OF MATTER EFFECTS

We illustrate matter effects in the three-neutrino system using a representative vacuum-oscillation solution from Ref. 11 (solution A) and taking  $N_e = 2N_A \text{ cm}^{-3}$  as before. The leading mass-squared difference  $\delta m_{31}^2 \approx 0.9 \text{ eV}^2$  was chosen to correspond to indications from reactors.<sup>5,6</sup> The mixing matrix  $U$  was chosen in accordance with accelerator limits on  $P(\nu_\mu \rightarrow \nu_e)$  and the reactor data on  $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$  and to give an average value  $\langle P(\nu_e \rightarrow \nu_e) \rangle \approx \frac{1}{3}$  for solar neutrinos.<sup>12</sup> The signs of the  $\delta m_{ij}^2 = m_i^2 - m_j^2$  were essentially undetermined; hence, there is a fourfold sign ambiguity. In the notation of Refs. 5 and 11, the parameters for the solution that we illustrate are

$\theta_1$ (deg)	$\theta_2$ (deg)	$\theta_3$ (deg)	$ \delta m_{31}^2 $	$ \delta m_{21}^2 $
50	20	30	0.9	0.05 or $10^{-4}$ .

(31)

Here the  $\delta m_{ij}^2$  are in  $\text{eV}^2$ . The CP-violating phase  $\delta$  is set equal to zero for simplicity. In Ref. 11 the secondary mass difference of solution A was chosen to be  $|\delta m_{21}^2| = 0.05 \text{ eV}^2$ . Since matter effects are most sensitive to the smallest  $\delta m_{ij}^2$ , we consider the alternative possibilities in Eq. (31).

Figure 5 shows the results for  $\delta M_{ij}^2$  versus  $E$ ; the four possible choices of sign for  $\delta m_{ij}^2$  and the two choices of magnitude for  $|\delta m_{21}^2|$  are distinguished. At low  $E$ ,  $\delta M_{ij}^2 = \delta m_{ij}^2$ ; as  $E$  increases, a given  $\delta M_{ij}^2$  begins to change when  $E (\text{MeV}) > 10^5 |\delta m_{ij}^2 (\text{eV}^2)|$ . Results for anti-neutrinos are the same as those for neutrinos with the signs of the  $\delta m_{ij}^2$  reversed.

We do not attempt to illustrate the behavior of all the individual mixing matrix elements, but concentrate instead on the final observable result, namely, the transition probabilities for  $\nu_\mu \rightarrow \nu_\mu$ ,  $\nu_e \rightarrow \nu_\mu$ ,  $\nu_e \rightarrow \nu_e$ ,  $\nu_e \rightarrow \nu_\tau$ , and  $\nu_\mu \rightarrow \nu_\tau$ . Figure 6 shows transition probabilities at the distance  $L = 5 \times 10^6 \text{ m}$  (approximately one earth's radius) for  $N_e = 2N_A \text{ cm}^{-3}$ . For other  $L$  values, the calculations cannot be simply scaled with  $L/E$  since the matter-oscillation length is energy-independent. Quantitative comparisons with deep-mine data will require a numerical solution of Eq. (5) at each  $L$ , taking into account the variation of  $N_e$  with distance.

We make the following observations about the results in Fig. 6:

(i) The onset of matter effects occurs in general in two different  $E$  regions, in association with the

two vacuum mass-squared differences [see, e.g.,  $P(e \rightarrow e)$  in Fig. 6(c)].

(ii) The secondary mass difference  $\delta m_{21}^2$  controls the energy above which matter effects are present. For  $E (\text{MeV}) \lesssim 10^5 |\delta m_{21}^2 (\text{eV}^2)|$  the probabilities are governed by vacuum oscillations.

(iii) The leading mass difference  $\delta m_{31}^2$  controls the energy above which all oscillation effects become small. For  $E (\text{MeV}) \geq 5 \times 10^7 |\delta m_{31}^2 (\text{eV}^2)|$ ,  $P(e \rightarrow e)$  and  $P(\mu \rightarrow \mu)$  approach unity and all off-diagonal transitions go to zero, as also is the case for vacuum oscillations.

(iv) In channels that are effectively decoupled from the leading mass-squared difference  $\delta m_{31}^2$  by the choice of vacuum mixing matrix, there is only the  $E$  region and the probabilities diagonalize for  $E (\text{MeV}) \geq 5 \times 10^7 |\delta m_{21}^2 (\text{eV}^2)|$ . In the illustration this decoupling occurs for the  $\mu$  channels.

(v) For the intermediate energy range  $10^5 |\delta m_{21}^2 (\text{eV}^2)| < E (\text{MeV}) < 5 \times 10^7 |\delta m_{31}^2 (\text{eV}^2)|$ , oscillations exist with mixing angles and transition probabilities which may differ from the vacuum val-

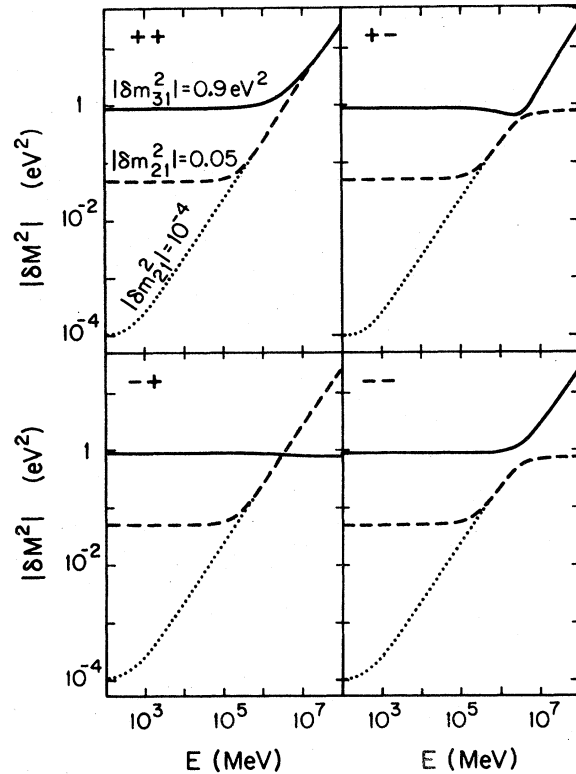


FIG. 5. Eigenmass-squared differences  $\delta M^2$  in matter for the three-neutrino vacuum-oscillation parameters of Eq. (31). The signs of  $(\delta m_{21}^2, \delta m_{31}^2)$  label the fourfold ambiguity of solutions.

ues.

(vi) Diagonal probabilities may approach zero and off-diagonal probabilities unity at certain energies. See  $P(e \rightarrow e)$  in Fig. 6(c) and  $P(e \rightarrow \tau)$  in Fig. 6(d). This is the analog to the maximal matter mixing of the two-neutrino case in Fig. 1. The energies of these extrema are correlated

with the leading mass scale,  $E_{\text{extrema}} (\text{MeV}) \approx 3-4 \times 10^6 |\delta m_{31}^2| (\text{eV}^2)$ .

Qualitatively, three-neutrino matter oscillations display all the features of the two-neutrino case with the added complication of two  $\delta m^2$  scales. For particular energy and length values, dramatic matter corrections can be expected.

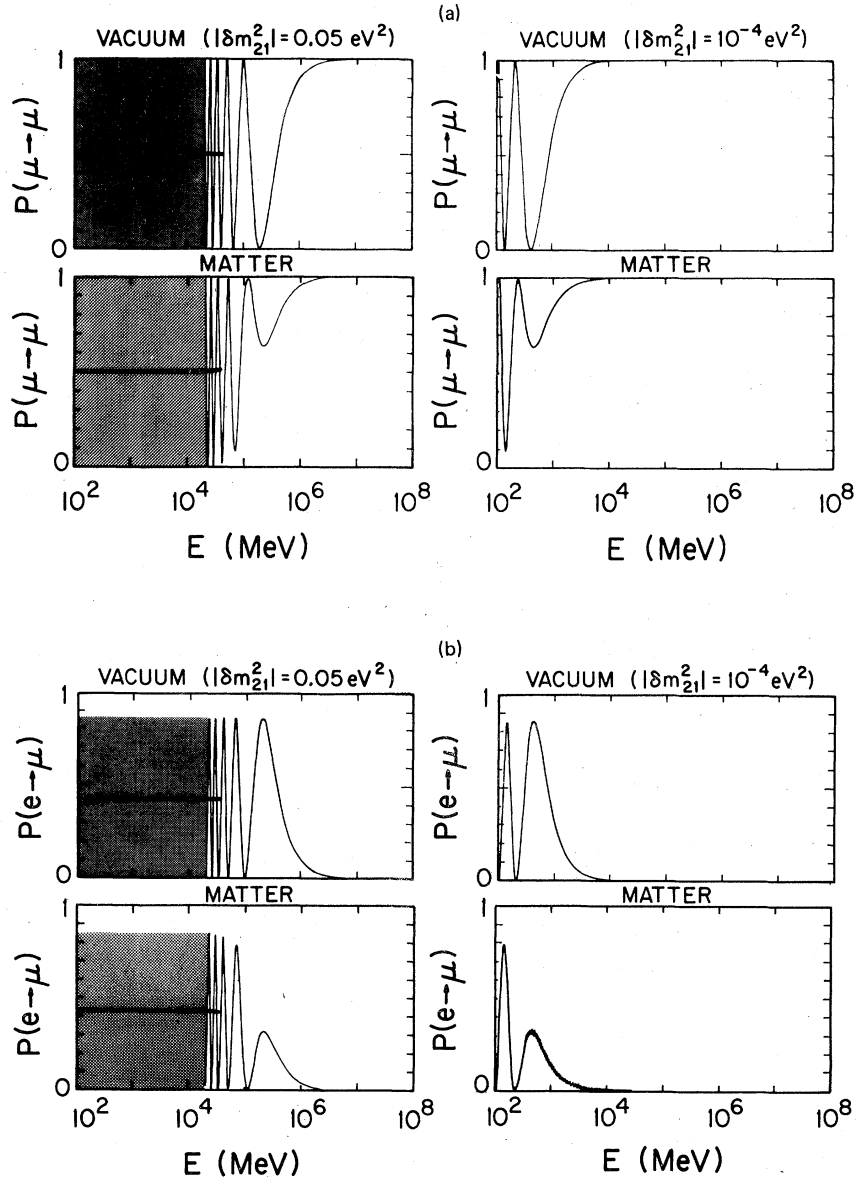


FIG. 6. Transition probabilities in vacuum and matter for the parameters of Eq. (31) at  $L = 5 \times 10^6$  m for  $N_e = 2N_A \text{ cm}^{-3}$ . (a)  $P(\mu \rightarrow \mu)$ ; (b)  $P(e \rightarrow \mu)$ ; (c)  $P(e \rightarrow e)$ ; (d)  $P(e \rightarrow \tau)$ ; (e)  $P(\mu \rightarrow \tau)$ . The signs denote  $(\delta m_{21}^2, \delta m_{31}^2)$ ; unlabeled cases are essentially independent of the signs of the  $\delta m^2$ . The shaded areas are the regions of rapid oscillations; the central solid lines are the averaged values.

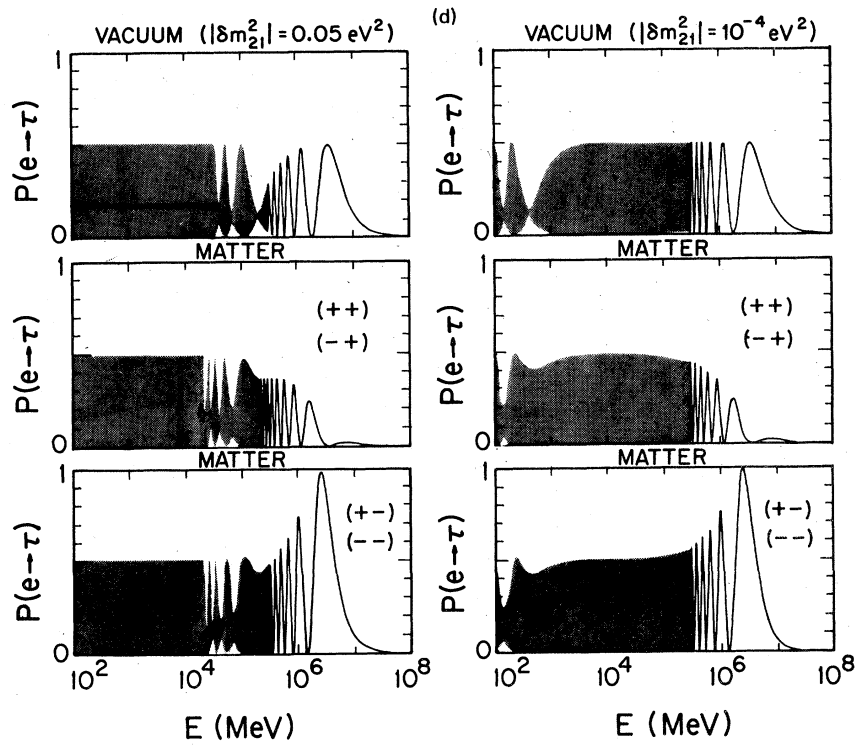
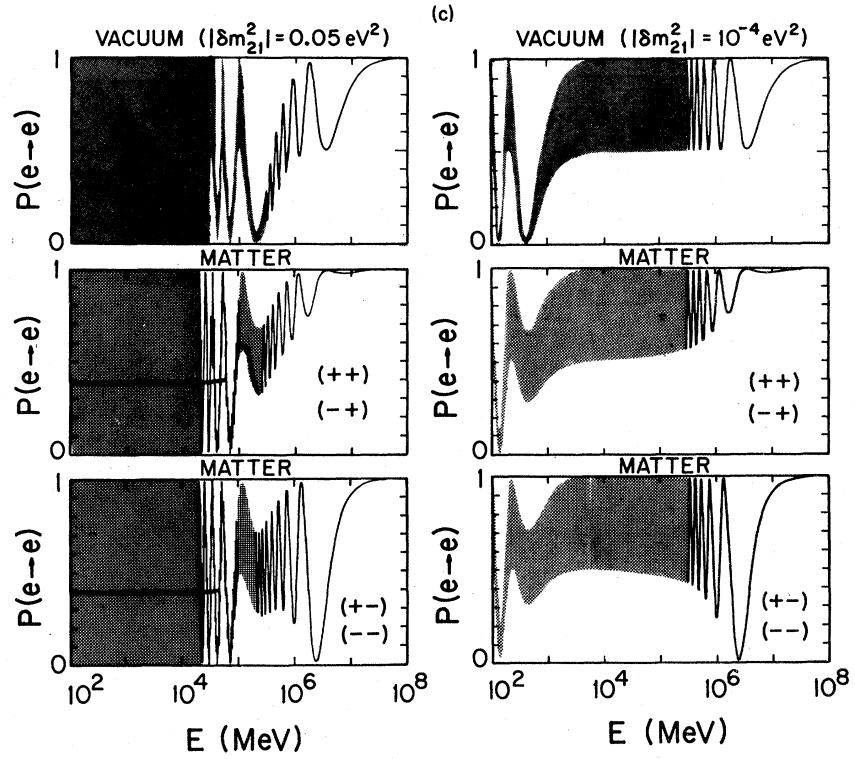


FIG. 6 (Continued.)



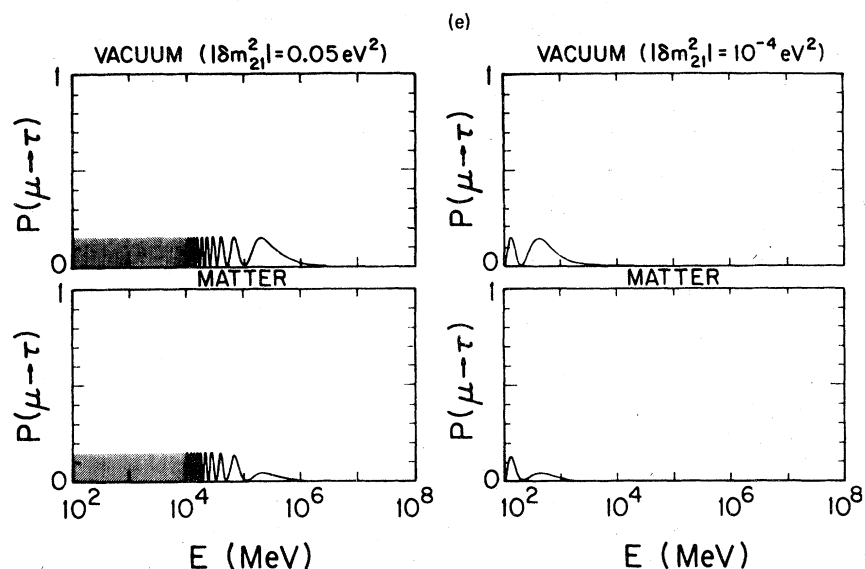


FIG. 6 (Continued.)

## ACKNOWLEDGMENTS

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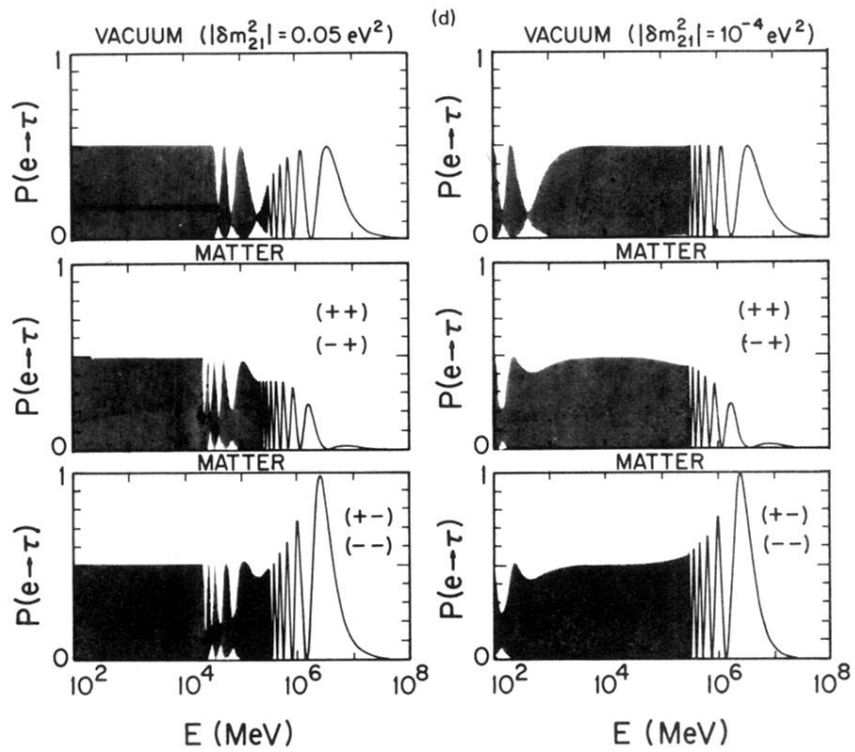
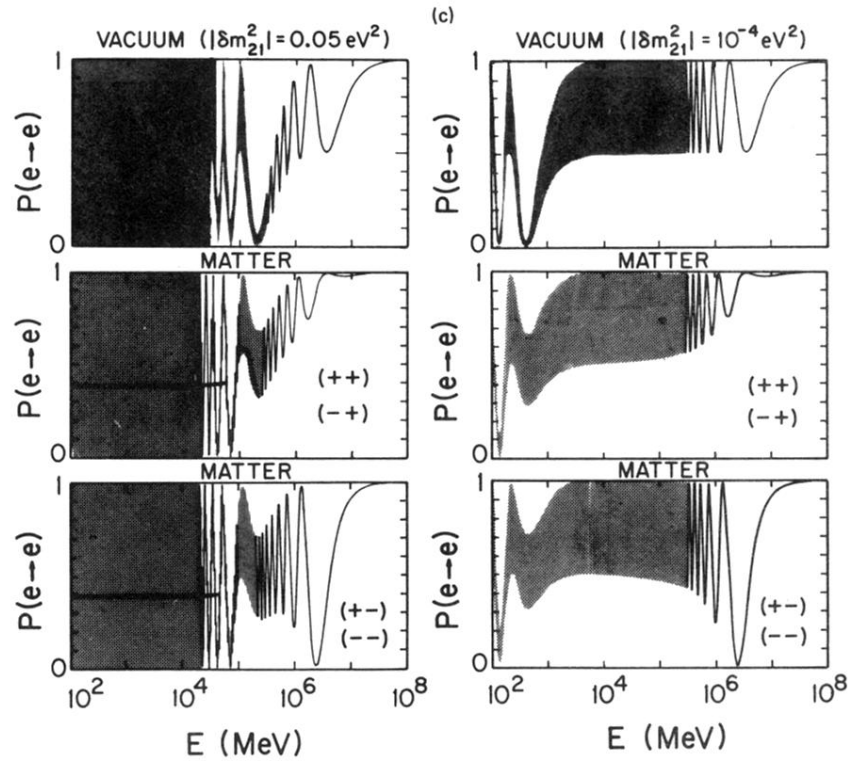


FIG. 6 (Continued.)

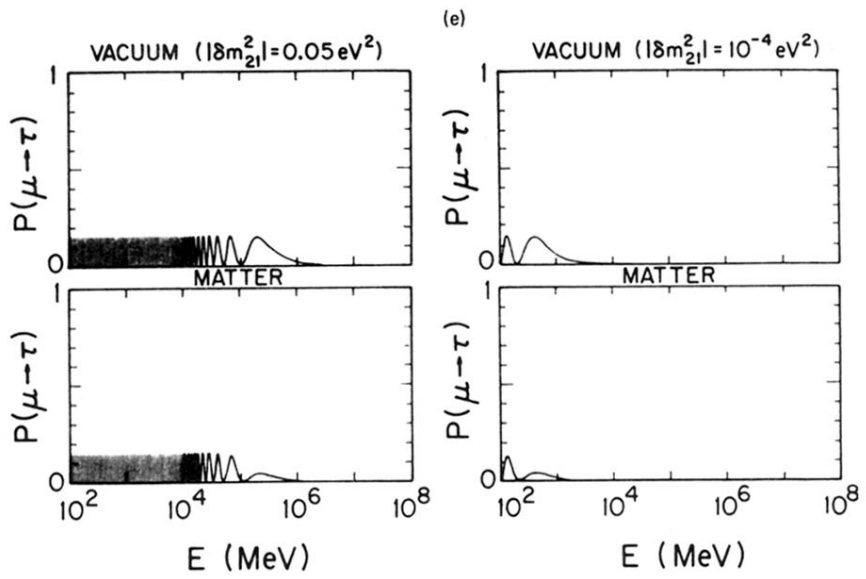


FIG. 6 (Continued.)

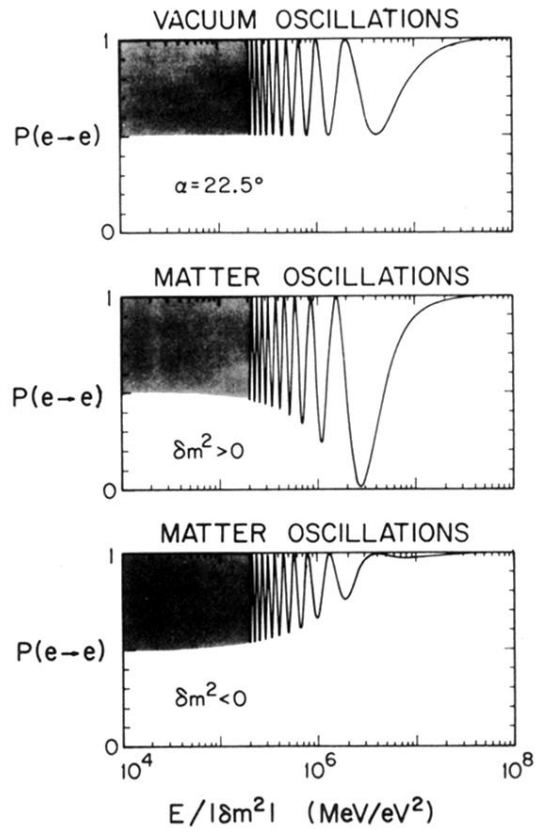


FIG. 2. Comparison of vacuum and matter transition probability  $P(e \rightarrow e)$  for two neutrinos at fixed  $L = 5 \times 10^6$  m for density  $N_e = 2N_A$ .

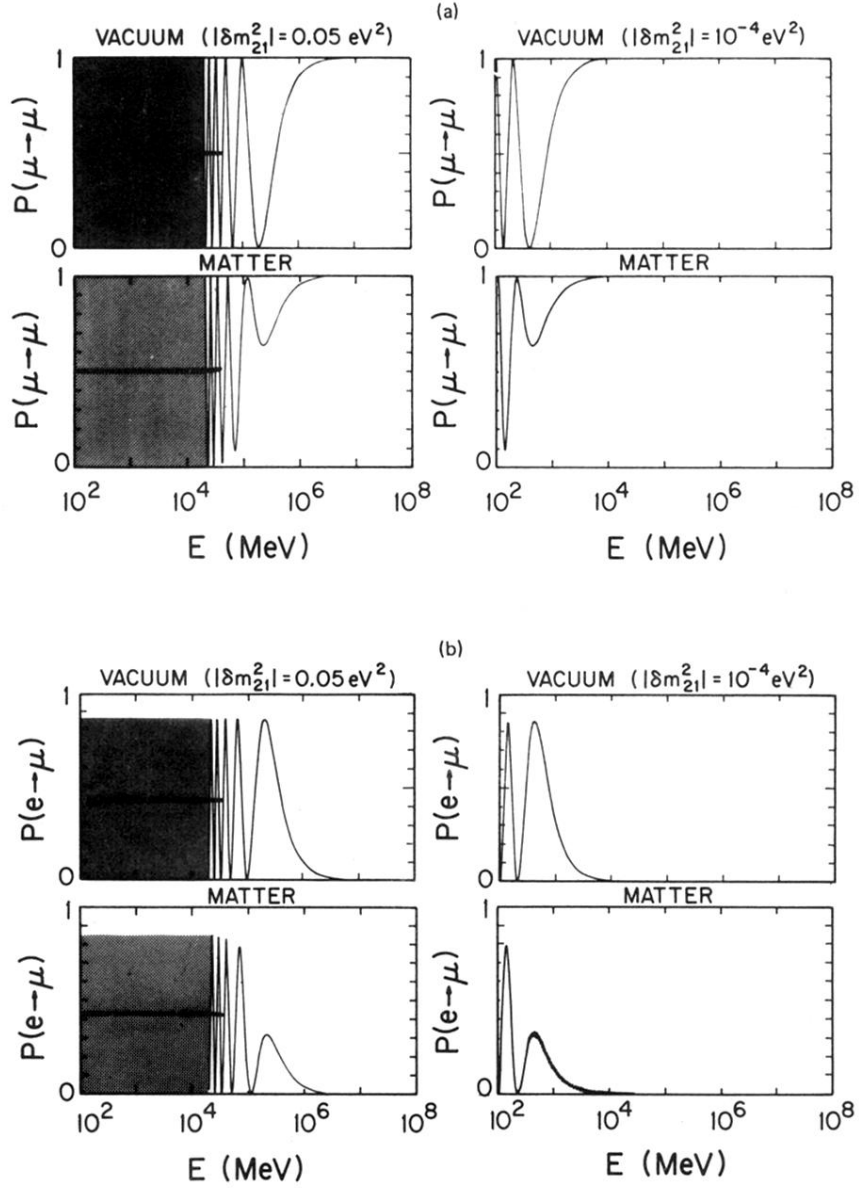


FIG. 6. Transition probabilities in vacuum and matter for the parameters of Eq. (31) at  $L = 5 \times 10^6$  m for  $N_e = 2N_A \text{ cm}^{-3}$ . (a)  $P(\mu \rightarrow \mu)$ ; (b)  $P(e \rightarrow \mu)$ ; (c)  $P(e \rightarrow e)$ ; (d)  $P(e \rightarrow \tau)$ ; (e)  $P(\mu \rightarrow \tau)$ . The signs denote  $(\delta m^2_{21}, \delta m^2_{31})$ ; unlabeled cases are essentially independent of the signs of the  $\delta m^2$ . The shaded areas are the regions of rapid oscillations; the central solid lines are the averaged values.