

## Neutrino oscillations and stellar collapse

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It is shown that even if vacuum neutrino oscillations exist, they are effectively inhibited from occurring in collapsing stars because of the high matter density.

Neutrinos play an important role in the calculations of stellar collapse.<sup>1</sup> While such calculations are based on our present experimental and theoretical knowledge of neutrino interactions, one needs to take into account present uncertainties concerning neutrino physics. One intriguing possibility is that neutrinos of one type, for example  $\nu_e$ , are converted into other types, such as  $\nu_\mu$  and  $\nu_\tau$ , as they travel through the vacuum. Such "neutrino oscillations" are the subject of a number of experimental searches and have been suggested as a cause of the deficiency of solar neutrinos.<sup>2</sup> Mazurek<sup>3</sup> has considered the consequences that would follow if  $\nu_e$  trapped during stellar collapse were to oscillate into  $\nu_\mu$  and  $\nu_\tau$  during a time scale of the order of milliseconds with the consequence that the lepton Fermi energy is reduced and there is some conversion of lepton energy to baryon energy. A more extreme possibility would be the oscillation of  $\nu_e$  into a new type of neutrino  $\nu_x$  which does not have the normal weak interactions<sup>4</sup>; as a result, some of the trapped neutrino energy could escape rapidly. Here we wish to point out that even if vacuum oscillations do occur, the oscillations are so modified by the dense matter of the stellar core that they become insignificant.

To illustrate our point, we consider two neutrino types  $\nu_e$  and  $\nu_\mu$ . Vacuum oscillations occur if the eigenvectors of the mass matrix are mixtures:

$$\begin{aligned} |\nu_1\rangle &= \cos\theta_v |\nu_e\rangle + \sin\theta_v |\nu_\mu\rangle, \\ |\nu_2\rangle &= -\sin\theta_v |\nu_e\rangle + \cos\theta_v |\nu_\mu\rangle. \end{aligned} \quad (1)$$

As a result, a state originally  $|\nu_e\rangle$  becomes after propagating a distance  $x$  in vacuum

$$|\nu_e t\rangle \sim \cos\theta_v |\nu_1\rangle - \sin\theta_v |\nu_2\rangle \exp(i2\pi x/l_v), \quad (2)$$

where  $l_v$  is the vacuum oscillation length

$$l_v = 4\pi k / (m_1^2 - m_2^2) = 250 \frac{k \text{ (MeV)}}{\Delta m^2 \text{ (eV)}^2} \text{ cm}, \quad (3)$$

and  $k$  is the neutrino momentum. The probability that  $\nu_e$  has oscillated into  $\nu_\mu$  is then

$$|\langle \nu_\mu | \nu_e t \rangle|^2 = \frac{1}{2} \sin^2 2\theta_v \left( 1 - \cos \frac{2\pi x}{l_v} \right). \quad (4)$$

For propagation in matter<sup>5</sup> it is necessary to take into account the index of refraction  $n$  which is related to the forward scattering by the optical theorem. If we consider only the charged-current scattering of  $\nu_e$  from electrons, then

$$k(n-1) = \frac{2\pi N_e}{k} f(0) = GN_e, \quad (5)$$

where  $N_e$  is the number of electrons per unit volume and  $G$  is the Fermi constant. The characteristic length for a phase change of  $2\pi$  due to this index of refraction is

$$l_0 = \frac{2\pi}{k(n-1)} = \frac{2.7 \times 10^9 \text{ cm}}{\rho_e}, \quad (6)$$

where  $\rho_e = Y_e \rho$  is  $N_e$  divided by  $6 \times 10^{23} \text{ cm}^{-3}$ . In considering  $\nu_e$  and  $\nu_\mu$ , the neutral-current couplings are believed to be the same, but there is no charged-current contribution to the forward scattering of  $\nu_\mu$  as there is for  $\nu_e$ . Thus, Eq. (5) gives the difference between the index of refraction of  $\nu_e$  and that of  $\nu_\mu$ , and  $l_0$  represents the distance over which  $\nu_e$  and  $\nu_\mu$  get out of phase. The vacuum-oscillation mechanism becomes ineffective if  $l_0$  is much less than  $l_v$ . A simple calculation<sup>5</sup> yields the result that in matter we must use Eqs. (1), (2), and (4) with  $\theta_v$ ,  $l_v$  replaced by  $\theta_m$ ,  $l_m$  given by

$$\sin^2 2\theta_m = \sin^2 2\theta_v \left( \frac{l_m}{l_v} \right)^2, \quad (7a)$$

$$l_m^2 = l_v^2 \left[ 1 + \left( \frac{l_v}{l_0} \right)^2 - 2 \frac{l_v}{l_0} \cos 2\theta_v \right]^{-1}. \quad (7b)$$

For collapsing stellar cores we are interested in  $\rho_e > 10^{10}$  so that  $l_0 < 1 \text{ cm}$ . Present experiments<sup>2</sup> indicate  $\Delta m^2 < 4 \text{ eV}^2$  so that for neutrinos with  $k \sim 10$  to  $100 \text{ MeV}$  we have  $l_v > 600 \text{ cm}$ . Thus  $l_0 \ll l_v$ , and we can approximate Eqs. (7) as

$$l_m \approx l_0 < 1 \text{ cm},$$

$$2\theta_m < \frac{l_0}{l_v} < \frac{1}{600}.$$

It follows that the oscillations have a negligible

magnitude, less than  $10^{-5}$  in probability.

The same arguments clearly hold for the case of oscillations of  $\nu_e$  into  $\nu_x$ . Indeed, oscillations from any weakly interacting  $\nu$  to one which has no weak interactions are effectively inhibited by the index-of-refraction effect. Thus, trapped neutrinos can never oscillate into freely escaping neutrinos.

A more extreme oscillation mechanism we have suggested is one in which there are no vacuum oscillations but there are oscillations induced by matter.<sup>5</sup> This occurs if the neutral-current interaction changes neutrinos from one type to another; this is contrary to the basic idea of the  $SU(2) \times U(1)$  gauge theories that agree so beautifully with experiment; therefore, it is unlikely, but it is not ruled out experimentally. In this case it is possible to get a large mixing angle in matter independent of the density<sup>6</sup> with an oscillation length given approximately by Eq. (6). Thus the oscillations are effectively instantaneous and their only effect would be to share the neutrino energy among sev-

eral neutrino types, all of which are trapped.

It would be important<sup>3</sup> if it were possible for  $\nu_e$  to transform into  $\bar{\nu}_e$ . However, normal  $\bar{\nu}_e$  are right-handed and oscillations do not change left-handed particles into right-handed particles. However, if  $\nu_e$  has a mass it has both left-handed ( $\nu_{eL}$ ) and right-handed ( $\nu_{eR}$ ) states and can possibly have a small magnetic moment. Thus, it is conceivable that large magnetic fields could transform  $\nu_{eL}$  into  $\nu_{eR}$ . However,  $\nu_{eR}$  must have very different weak interactions than  $\nu_{eL}$ , since the usual charged current only couples electrons to  $\nu_{eL}$ . Therefore, because of the index-of-refraction effect, the transformation of  $\nu_{eL}$  into  $\nu_{eR}$  would have to take place over a distance less than  $l_0$ , which would require absurdly large magnetic fields.

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<sup>1</sup>For a review, see K. A. Van Riper in *Neutrinos-78*, edited by E. Fowler (Purdue University Press, W. Lafayette, Indiana 1978).

<sup>2</sup>For a review see S. Bilenky and B. Pontecorvo, *Usp. Fiz. Nauk.* **123**, 181 (1977) [*Sov. Phys. Usp.* **20**, 776 (1977)].

<sup>3</sup>T. Mazurek, in Proceedings of the Neutrino-79 Conference, Bergen, Norway (unpublished).

<sup>4</sup>Within the framework of  $SU(2) \times U(1)$  theories,  $\nu_x$  could be a left-handed singlet.

<sup>5</sup>L. Wolfenstein, *Phys. Rev. D* **17**, 2369 (1978).

<sup>6</sup>The mixing angle  $\theta$  is given by Eq. (15) of Ref. 5. For the neutral-current couplings of the Weinberg-Salam mode, this gives  $\tan 2\theta_m = (1 - Y_e)/Y_e$  provided the neutral current is completely off-diagonal.