Structure of the gauge theory vacuum at finite temperatures

Nikos Batakis and G. Lazarides

Department of Physics, University of Ioannina, Ioannina, Greece (Received 26 June 1978)

The space of moduli, i.e., the space of field configurations modulo gauge equivalence is constructed for *calorons* (self-dual gauge fields on $\mathbb{R}^3 \times S^{-1}$) with vanishing topological charge. Such calorons represent vacuum field configurations at a finite temperature. It is found that, unlike its zero-temperature counterpart, this space of moduli is nontrivial, being, in fact, a one-dimensional manifold.

I. INTRODUCTION

It is well known¹ that the space of moduli of all self-dual SU(2) gauge fields on \mathbb{R}^4 with topological number q > 0 is an (8q - 3)-dimensional manifold. This result has been obtained by the use of the Atiyah-Singer index theorem² on S⁴, which is the unique conformal compactification of Euclidean spacetime \mathbb{R}^4 . Moreover, all these pseudoparticle solutions have been explicitly constructed.³

Calorons have been introduced by Harrington and Shepard^{4,5} as the analogs of pseudoparticles⁶ at a finite temperature. The transition from zero to a finite temperature T is generally effected⁷ by the substitution $x_0 \rightarrow -ix_4$, where x_0 is the real time and x_4 is an angular variable which ranges from 0 to $\beta = 1/kT$ (k is the Boltzmann constant) and covers simply an S¹. This substitution applied to the generating functional for Green's functions yields the partition function, which in the case of an SU(2) gauge theory is given by⁴

$$Z = \int (dA) \exp\left[-S(A) + \int_{0}^{\beta} dx_{4} \int_{\mathbf{h}^{3}} d^{3}x \operatorname{Tr}(j_{\mu}A_{\mu})\right].$$
(1.1)

In this formula the fields are defined on $\mathbf{R}^3 \times S^1$, where one also performs the spacetime integrations. The metric is Euclidean, $\mu = 1, 2, 3, 4, j_{\mu}$ denotes an external current, and

$$S(A) = -\frac{1}{2g^2} \int_{\mathbf{R}^3 \times S^1} d^4 x \operatorname{Tr}(F_{\mu\nu}F_{\mu\nu}), \qquad (1.2)$$

where g is the coupling constant and $F_{\mu\nu} = \partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu} + [A_{\mu}, A_{\nu}]$ with $A_{\mu} = (\sigma_{\alpha}/2i)A_{\mu}^{\alpha}$ an SU(2) gauge field on $\mathbb{R}^{3} \times S^{1}$ and $\sigma_{\alpha} (\alpha = 1, 2, 3)$ the Pauli matrices. Field configurations on $\mathbb{R}^{3} \times S^{1}$ with finite action S(A) can be classified⁴ in terms of the topological number⁶

$$q = -\frac{1}{16\pi^2} \int_{\mathbf{R}^3 \times S^1} d^4 x \, \mathrm{Tr}(*F_{\mu\nu}F_{\mu\nu}), \qquad (1.3)$$

where $*F_{\mu\nu} \equiv \frac{1}{2} \epsilon_{\mu\nu\lambda\rho} F_{\lambda\rho}$ is the dual of $F_{\mu\nu}$. A caloron

with charge $q \ge 0$ is a self-dual field configuration $A_{\mu}(*F_{\mu\nu}=F_{\mu\nu})$ on $\mathbb{R}^3 \times S^1$ which minimizes the action S(A) in the sector of gauge fields with topological number q. Such configurations have been shown to exist⁵ for every $q \ge 0$.

Pseudoparticle solutions are believed to be fundamental in understanding the problem of color confinement. Should this prove to be the case, calorons would possibly provide us with a mechanism of thermal color liberation. While a very restricted class of caloron solutions is known,⁵ it is certain that any realistic calculation would necessitate a much deeper understanding. involving the study and construction of the spaces of moduli M(q) for each q. In particular, the dimensionalities of these spaces must be found. However, one is faced here with a serious difficulty. The Atiyah-Singer index theorem, which worked well for the case of pseudoparticles, cannot be directly applied here, because the unique conformal compactification of $R^3 \times S^1$ is singular⁸ and, further, it cannot accommodate even the already known caloron solutions. In spite of this, the space of moduli M(0) for q = 0 can be explicitly constructed. It is found that, unlike its zero-temperature counterpart, it is nontrivial and, in fact, it is a one-dimensional manifold. This is a first indication of an essential difference between the zero- and finite-temperature cases.

II. THE VACUUM AT FINITE TEMPERATURES

In the following, SU(2)' denotes the Lie algebra of SU(2). $A = A_{\mu}dx_{\mu}$ and $F = \frac{1}{2}F_{\mu\nu}dx_{\mu} \wedge dx_{\nu} = dA$ $+\frac{1}{2}A \wedge A$ are SU(2)'-valued forms on $\mathbb{R}^{3} \times S^{1}$ with d the exterior differentiation and \wedge the exterior multiplication defined as $A \wedge A = [A_{\mu}, A_{\nu}]dx_{\mu} \wedge dx_{\nu}$.

Any self-dual SU(2) gauge field configuration on $\mathbb{R}^3 \times S^1$ with vanishing topological number (q = 0) must have vanishing action and thus be a vacuum configuration (F=0). We will now seek to construct the space of moduli for F=0, i.e., the space of all A's with F=0 modulo gauge equiva-

18

lence. Consider the obvious vacuum configuration A = 0 and any infinitesimal variation δA thereof, such that $F = d(\delta A) = 0$. We consider these variations modulo the infinitesimal gauge transformations of A = 0 which are given by $\delta A = d(\delta \theta)$, where $\delta \theta$ is an infinitesimal SU(2)'-valued 0-form on $\mathbb{R}^3 \times S^1$. Thus δA belongs to $H^1_{SU(2)}(\mathbb{R}^3 \times S^1)$, the first cohomology group of SU(2)'-valued forms on $\mathbb{R}^3 \times S^1$. One can easily see that the space of δA 's is three-dimensional. This follows from the fact that

$$\dim H^{4}_{SU(2)}' (\mathbb{R}^{3} \times S^{1}) = 3 \dim H^{1}(\mathbb{R}^{3} \times S^{1})$$
$$= 3 \dim H^{1}(S^{1}) = 3,$$

where H^1 denotes the first de Rham cohomology group.⁹ Noticing further that dx_4 is a closed but not exact one-form on $\mathbb{R}^3 \times S^1$ we can write δA = $i\delta\xi_{\alpha}\sigma_{\alpha}dx_4$. Moreover, it is not difficult to see that the fields

$$A = i\xi_{\alpha}\sigma_{\alpha}dx_{4} \equiv \xi dx_{4} \tag{2.1}$$

are also vacuum configurations for any real constants ξ_{α} .

We will now prove that any vacuum configuration $A = A_{\mu}(x)dx_{\mu}$ on $\mathbb{R}^3 \times S^1$ can be gauge transformed to a configuration of the form (2.1). To this end, we consider the gauge transformation

$$g(x) = P \exp\left[\int_{\{x_j\}}^0 A_i(y) dy_i\right], \qquad (2.2)$$

where i, j = 1, 2, 3 and the integral is path-ordered along any path in \mathbb{R}^3 connecting the point $\{x_j\}$ with 0. This is a well-defined gauge transformation on $\mathbb{R}^3 \times S^1$ since \mathbb{R}^3 is simply connected and F = 0. Now it is not hard to see that the gauge-transformed field $A' = g^{-1}Ag + g^{-1}dg$ is of the form $A' = A'_4(x_4)dx_4$. There remains, however, a freedom for gauge transformations, which depend only on x_4 . Exploiting this, A' can be brought into the form of Eq. (2.1) by the well-defined gauge transformation on $\mathbb{R}^3 \times S^1$,

$$g'(x_4) = P \exp\left[\int_{x_4}^0 A'_4(y_4) dy_4\right]$$
$$\times \exp\left(-\frac{\xi x_4}{\beta}\right), \qquad (2.3)$$

where $\xi \in SU(2)'$ is given by

$$\exp(\xi) = P \exp\left[\int_{\beta}^{0} A'_{4}(y_{4}) dy_{4}\right].$$
 (2.4)

We have, so far, established a homomorphism of SU(2)' onto M(0), i.e., to every element $\xi = i\xi_{\alpha}\sigma_{\alpha}$ of SU(2)! we have associated an element of M(0)represented by A in Eq. (2.1). However, this homomorphism is not one-to-one. A constant gauge transformation g applied on $A = \xi dx_4$ yields $A' = g^{-1}Ag = g^{-1}\xi g dx_4 \equiv \xi' dx_4$ with $|\xi'| = |\xi|$, where

$$|\xi|^2 = -\frac{1}{2} \operatorname{Tr}(\xi \cdot \xi), |\xi| \ge 0.$$

Clearly then every element ξ of SU(2)' lying on the two-sphere $|\xi|$ = const corresponds to the same point of M(0). It will thus suffice to consider only those ξ 's which belong to a one-dimensional linear subspace of SU(2)' and, without loss of generality, assume that

$$A = \xi dx_4 = i\xi_1 \sigma_1 dx_4. \tag{2.5}$$

Finally, to exhaust the remaining gauge freedom, let us consider the gauge transformations $g = g(x_4)$ which preserve the form of A in Eq. (2.5). From

$$A = \xi dx_4 - A' = g^{-1}Ag + g^{-1}dg$$
$$= \xi' dx_4 \equiv i\xi_1'\sigma_1 dx_4, \qquad (2.6)$$

it follows that

$$\partial_4 g(x_4) = -\xi g + g \xi' , \qquad (2.7)$$

whose general solution is

$$g(x_4) = e^{-\xi x_4} g_0 e^{\xi' x_4}, \qquad (2.8)$$

where $g_0 = \alpha_0 + i\alpha_{\alpha}\sigma_{\alpha}$ ($\alpha_{\mu}\alpha_{\mu} = 1$) is any element of SU(2). A necessary condition for $g(x_4)$ to be well defined on $\mathbb{R}^3 \times S^1$ is $g(0) = g(\beta)$, which gives

$$g_0 e^{\xi' \beta} g_0^{-1} = e^{\xi \beta} . \tag{2.9}$$

For $e^{\ell'\beta} \neq \pm 1$, Eq. (2.9) implies

$$\alpha_0 \alpha_2 + \alpha_1 \alpha_3 = \alpha_1 \alpha_2 - \alpha_0 \alpha_3 = 0, \qquad (2.10)$$

which admits the following two solutions:

$$\alpha_0 = \alpha_1 = 0 \tag{2.11}$$

and

$$\alpha_2 = \alpha_3 = 0. \tag{2.12}$$

From Eq. (2.9) and each of (2.11) and (2.12) we have $\exp [\beta(\xi \pm \xi')] = 1$. respectively, which implies

$$\xi \pm \xi' = n\rho, \quad n = 0 \pm 1, \dots,$$
 (2.13)

where $\rho = i\rho_1\sigma_1$ and $\rho_1 = 2\pi/\beta$. The gauge transformation in Eq. (2.8) reduces to

$$g(x_4) = e^{-(\ell \pm \ell')x_4} g_0 = e^{-n\rho x_4} g_0, \qquad (2.14)$$

which, by virtue of Eq. (2.13), is well defined on $\mathbf{R}^3 \times S^1$. For $e^{\xi'\beta} = \pm 1$ we have $\xi' = n'\rho$ and $\xi' = (n' + \frac{1}{2})\rho$, respectively, and Eq. (2.9) implies $e^{\xi\beta} = \pm 1$, i.e., $\xi = n\rho$ and $\xi = (n + \frac{1}{2})\rho$, respectively. Then Eq. (2.8), with $g_0 = 1$, reduces to the well-defined gauge transformation

$$g(x_4) = \exp(\xi' - \xi)x_4 = \exp(n' - n)\rho x_4.$$
 (2.15)

We thus have found that the only gauge fields A of the form given in Eq. (2.5) which are gauge equivalent are the ones for which Eq. (2.13) holds. In other words, for every $A' = \xi' dx_4$ corresponding to an element $\xi' = i\xi'_1\sigma_1$ of the one-dimensional linear subspace of SU(2)', there always exists a gauge equivalent field $A = i\xi_1\sigma_1 dx_4$ with ξ_1 restricted to the interval $[0, \pi/\beta]$.

To summarize, the space of moduli M(0) is isomorphic to the D^1 submanifold of SU(2)' defined by $\xi = i\xi_1\sigma_1$ with $0 \le \xi_1 \le \pi/\beta = \pi kT$. At the zero-temperature limit, M(0) reduces to a point, as expected.

We would like to conclude with a comment, to which the above result has led us. Consider a general gauge field configuration A on $\mathbb{R}^3 \times S^1$ with $F \to 0$ as $r^2 = x_i^2 \to \infty$. It has been assumed in the literature⁴ that

$$A \sim g^{-1} dg, \qquad (2.16)$$

- ¹A. Schwarz, Phys. Lett. <u>67B</u>, 172 (1977); R. Jackiw and C. Rebbi, *ibid*. <u>67B</u>, 189 (1977); M. F. Atiyah, N. J. Hitchin, and I. M. Singer, Proc. Natl. Acad. Sci. USA 74, 2662 (1977).
- ²M. F. Atiyah and I. M. Singer, Ann. Math. <u>87</u>, 484 (1968).
- ³M. F. Atiyah, N. J. Hitchin, V. G. Drinfeld, and Yu. I. Manin, Phys. Lett. <u>65A</u>, 185 (1978).
- ⁴B. J. Harrington and H. K. Shepard, Nucl. Phys. <u>B124</u>, 409 (1977).
- ⁵B. J. Harrington and H. K. Shepard, Phys. Rev. D <u>17</u>, 2122 (1978).

where, g is a map $S^2 \times S^1 \rightarrow SU(2)$. However, it should be clear now that this is not quite the case. Instead, we have

$$A \sim g^{-1}(i\xi_1\sigma_1 dx_4)g + g^{-1}dg \qquad (2.17)$$

with $0 \le \xi_1 \le \pi kT$ and, again, $g:S^2 \times S^1 \to SU(2)$. The topological number q of A can now be written^{6,10} as

$$q = \frac{1}{24\pi^2} \int_{S^2 \times S^1} d\sigma_{\mu} \epsilon^{\mu\nu\lambda\rho} \operatorname{Tr}(A_{\nu}A_{\lambda}A_{\rho})$$
$$= \frac{1}{24\pi^2} \int_{S^2 \times S^1} d\sigma_{\mu} \epsilon^{\mu\nu\lambda\rho} \operatorname{Tr}(g^{-1}\partial_{\nu}gg^{-1}\partial_{\lambda}gg^{-1}\partial_{\rho}g)$$
(2.18)

and the relation q = -degree(g) still holds. For the definition of the *degree* of a map see, e.g., Ref. 9.

- ⁶A. Belavin, A. Polyakov, A. Schwarz, and Y. Tyupkin, Phys. Lett. <u>59B</u>, 85 (1975).
- ⁷S. Weinberg, Phys. Rev. D 9, 3357 (1974); L. Dolan and R. Jackiw, *ibid.* 9, 3320 (1974); A. Fetter and J. Walecka, *Quantum Theory of Many-Particle Systems* (McGraw-Hill, New York, 1971).
- ⁸R. Penrose, private communication. We are grateful to Professor Penrose for clarifying this point to us.
- ⁹V. Guilleminn and A. Pollack, *Differential Topology* (Prentice-Hall, Englewood Cliffs, New Jersey, 1974).
- ¹⁰R. Jackiw and C. Rebbi, Phys. Rev. D <u>14</u>, 517 (1976).

4712