# Analysis of particle production at large transverse momentum\*

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An analysis of large-transverse-momentum data is carried out using local exponents which characterize the dependence of the cross sections on  $p_T$  and  $\epsilon = (\text{missing mass})^2/s$ . The results of this effective-power analysis allow any model to be critically compared to the data in a simple but meaningful way. Selected models are examined. A survey of the features of the constituent-interchange model (CIM) is given for inclusive scattering, and some special features for electromagnetic processes are discussed. The CIM can explain, in a simple way, not only the behavior of the local exponents but also their specific values for each particle type using the quark-counting rules. Quasielastic peaks in the  $\epsilon$  distribution are observed for the difference between particle and antiparticle production  $(p - \bar{p}, K^+ - K^-)$  which are consistent with expectations. Further crucial tests of the CIM are discussed.

#### I. INTRODUCTION

One of the most important questions in stronginteraction physics is whether particle production at large transverse momentum directly reflects the interactions of hadronic constituents at short distances. In the case of deep-inelastic lepton scattering, Bjorken scaling implies that a finite fraction of a nucleon's momentum is carried by pointlike constituents.<sup>1</sup> Accordingly, in the case of hadronic collisions, one expects that particles can be produced at large transverse momentum by a single, hard, large-angle scattering involving these constituents.<sup>2</sup> The application of the hard-scattering constituent models to both exclusive<sup>3-6</sup> and inclusive processes<sup>2,7-10</sup> at high transverse momentum has in fact proven very fruitful.<sup>11</sup> On the other hand, more conventional-strictly hadronic-descriptions have also been utilized. including the multiperipheral,<sup>12</sup> fireball,<sup>13</sup> hydrodynamic,<sup>14</sup> and eikonal<sup>15</sup> models. Each type of approach has had some success in describing some portion of the first available inclusive data; however, with the advent of detailed single-particle inclusive data from the CERN ISR<sup>16, 17</sup> and Fermilab<sup>18</sup> for a variety of particle types, more significant and stringent tests of the basic dynamical mechanisms and the internal consistency of any given model have become possible.

We have found that among the most sensitive experimental parameters and discriminants of various models are the effective powers  $N_{\rm eff}$  and  $F_{\rm eff}$  defined in Eq. (1.3). In the remainder of this section we discuss the motivation for these parameters, and outline the general features of the hardscattering models. In Sec. II, the data are analyzed in such a way as to reveal the basic features

and systematic trends. The results of the effective-power analysis allow any model to be critically compared with the data in a simple but meaningful way. In Sec. II, selected models are examined in light of the above analysis. They are found to either disagree with the data, or to be incompletely developed. Section IV will summarize the essential features of the constituent-interchange model  $(CIM)^{3,4,7-9}$  while in Sec. V the physical interpretation of certain features of the theory will be discussed in detail. Section VI is devoted to a comparison with the data. The CIM is found to reproduce most features of the data in a natural way, though in some interactions several alternative CIM mechanisms are equally viable. In Sec. VI, the type and quality of additional experiments needed to completely specify the appropriate mechanism and to definitively test the model are discussed. In particular, the importance of correlation and angular dependence measurements, and of data taken with a variety of incident beams, is stressed.

All of the parton and "hard-scattering" models which have been proposed to describe hadron processes  $(A + B \rightarrow C + X)$  at large transverse momentum have the common underlying structure illustrated in Fig. 1. In fact, many conventional models also display a similar structure. In the hardscattering models. the large-transverse-momentum reaction is assumed to occur as a result of a single large-angle scattering  $a + b \rightarrow c + d$  of constituents a and b, followed in general by the decay or fragmentation of c into the observed particle C. Particular models differ mainly by the choice of the "active" particles of systems a, b, c, and d. In the model of Refs. 2 and 19, the active particles are assumed to be quarks. In the constituent-in-

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terchange model,<sup>7-9</sup> the underlying large angle reaction involves quark-hadron scattering. In the multiperipheral models of Refs. 12, the largeangle process involves only hadrons. Conceivably all three types of reactions could be involved.<sup>20</sup> Alternatively, it could be that, when fully formulated, portions of the various descriptions will phenomenologically prove equivalent. Indeed to the extent that quarks do not appear in the final state some description in terms of purely hadronic final states must be possible. Explicit models in which this calculational equivalence occurs are, however, still missing, although "bag" models would appear to have the necessary separation between short and long distance behaviors.

The calculation of the cross section corresponding to Fig. 1 takes a simple probabilistic form for large  $p_T^2$ :

$$E \frac{d\sigma}{d^{3}p} (A + B - C + X) \cong \sum_{a,b,c} \int_{0}^{1} dx_{a} \int_{0}^{1} dx_{b} \int_{0}^{1} \frac{dx_{c}}{x_{c}^{2}} G_{a/A}(x_{a}) G_{b/B}(x_{b}) \tilde{G}_{C/c}(x_{c}) \times \delta(s' + t' + u') \frac{s'}{\pi} \frac{d\sigma}{dt'} (a + b - c + d^{*}) \Big|_{s' = x_{a}x_{b}s, t' = x_{a}t/x_{c}, u' = x_{b}u/x_{c}}.$$
(1.1)

Here  $G_{a/A}(x_a)$  is the probability for the constituent or fragment a to have fractional longitudinal momentum  $x_a$  in a frame where  $|p_A| \rightarrow \infty$ ; for quarks the Bjorken scaling function is  $\nu W_{2A}(x)$  $=\sum_{q} e_q^2 x G_{q/A}(x)$ , with  $x = -q^2/2m\nu$ . Equation (1.1) was derived for specific cases by Berman, Bjorken, and Kogut<sup>2</sup> and has been developed in various forms by various authors. It can be derived using infinite-momentum frame methods,<sup>7</sup> or directly from a covariant analysis using lightcone<sup>9</sup> or Sudakov variables.<sup>8</sup> or via generalizations of the multiperipheral model.<sup>12</sup> Note that in case where A, B, or C is an active particle, we can use  $G_{A/A}(x) \propto \delta(1-x)$ , etc. We ignore absorption which, if present, affects only the cross-section normalization.

In order to describe the cross section following from the above form, it is necessary to introduce kinematic variables and for convenience we choose  $s, x_1 = -u/s, x_2 = -t/s, \ \epsilon = \mathfrak{M}^2/s \cong 1 - x_1 - x_2$ , and  $p_T^2 = x_1 x_2 s$ . At 90° in the center of mass, another useful variable is  $x_T = 2p_T/s^{1/2} \simeq 2x_1 = 2x_2$ , and  $\epsilon = 1 - x_T$ . Though the most convenient parametrization of the predictions will depend on the



FIG. 1. The structure of  $A + B \rightarrow C + X$  at large transverse momentum in the hard scattering models. The active particles a, b, c, or d can be quarks, hadrons, leptons, or photons depending on the model and process. The contributing large angle subprocess  $a + b \rightarrow c + d$  is irreducible: No further bremsstrahlung from a, b, or c is allowed.

particular forms employed for the G's and  $d\sigma/dt$ , we choose to be guided by models with power-law behavior for both functions.<sup>3-10</sup> Since the dimensionless G's can be assumed to be scale independent, the  $p_T$  dependence is determined by the fixedangle scaling behavior of  $d\sigma/dt$ . Taking  $d\sigma/dt$ ~  $s^{-N}f(\theta_{c.m.})$ , this yields cross sections of the asymptotic form

$$E \frac{d\sigma}{d^{3}p} \sim \sum_{abc} \epsilon^{F} (p_{T}^{2} + m^{2})^{-N} I(x_{1}, x_{2}) . \qquad (1.2)$$

This suggests that a convenient representation of the data is given by the local powers,<sup>21</sup>

$$N_{\rm eff} = -p_T^2 \left. \frac{\partial}{\partial p_T^2} \ln \left( E \left. \frac{\partial \sigma}{d^3 p} \right) \right|_{\epsilon \text{ fixed}}$$
(1.3a)

and

$$F_{\rm eff} = \epsilon \left. \frac{\partial}{\partial \epsilon} \ln \left( E \frac{d\sigma}{d^3 p} \right) \right|_{P_T \rm fixed} \quad . \tag{1.3b}$$

In power-law models, the deviation of  $N_{\rm eff}$  and  $F_{\rm eff}$ from their naive constant values arises from a number of sources: (a) the presence of more than one term in the sum, (b) finite-mass corrections to the  $p_T^2$  behavior, and (c) the sometimes significant variation of  $I(x_1, x_2)$ . Although the characterization of the data in terms of the parameters  $N_{\rm eff}$ and  $F_{\rm eff}$  is tailored to the power-law models, it will serve as a general description of the data in much the same way as effective Regge trajectories,  $\alpha_{\rm eff}(t)$ , and residues,  $\beta_{\rm eff}(t)$ , can be used to display the systematic features of exclusive data.<sup>22</sup>

Let us assume that  $F_{\text{eff}}$  and  $N_{\text{eff}}$  are slowly varying as one approaches the exclusive limit,  $\epsilon \rightarrow 0$ at large  $p_T^2$  or at fixed angles. The requirement of a smooth connection<sup>23</sup> between inclusive and exclusive scattering then implies that the associated exclusive process has the behavior [see (4.12)]

$$\frac{d\sigma}{dt} \sim s^{-(1+N_{\rm eff}+F_{\rm eff})} f(\theta_{\rm c.m.},\ldots).$$
(1.4)

In most power-law models,  $(N+F+1)_{\text{eff}}$  increases as the number of particles involved in the exclusive reaction increases. Hence the value of  $(N+F+1)_{\text{eff}}$  yields information on the underlying interaction mechanism responsible for the production of a given particle type in a given kinematic region.

# **II. THE EFFECTIVE-POWER ANALYSIS**

Let us now turn to an examination of the existing large- $p_T$  data for various types of particles produced in proton-nucleon collisions. Even though it would be useful to carry out analyses at all angles, the only sufficiently complete body of data useful for our purposes was taken in the vicinity of  $90^{\circ}$  in the center of mass. These consist of  $\pi^{\circ}$  data from the Columbia-CERN-Rockefeller (CCR) (Ref. 16) collaboration and chargedparticle data from the British-Scandinavian (BS) (Ref. 17) collaboration (at ISR energies  $\sqrt{s} = 23.5$ to 52.4 GeV), and finally the comprehensive charged data of the Chicago-Princeton (CP) (Ref. 18) collaboration at Fermilab energies ( $\sqrt{s} = 19.4$ to 27.4 GeV) for protons on heavy nuclear targets. Our analysis will emphasize the observed dynamical differences between production cross sections for different particle types.

Before proceeding with the effective power analysis, we wish to emphasize that there are a number of complications inherent to the CP data. These include the following:

(a) Corrections must be used for nuclear target effects<sup>24</sup> in the CP data. The measured A dependence of the  $\pi^-$ -production cross sections varies from  $A^{0.9}$  to  $A^{1.1}$  as  $p_T$  ranges from 1 to  $\geq 3$  GeV/c, whereas for proton production the A dependence appears to saturate at  $A^{1.2}$  for  $p_T \geq 4$  GeV/c. The measured effect for K mesons is similar to that for the pions and  $\overline{p}$ 's are similar to protons. We have assumed that the above effective A powers depend only on  $p_T$  in order to extract the effective per-nucleon cross sections from the Tungsten data for each particle type.

(b) Furthermore, the nuclear Fermi motion affects the kinematics so as to increase the average energy in the collision by  $\sqrt{s} \rightarrow \sqrt{s} (1 + \frac{1}{2} P_F/M)$ , where  $P_F$  is a measure of the Fermi momentum. Since the cross section is an increasing function of energy, the fractional error in the extracted F value is

$$\delta F/F = -(1-\epsilon) \,\epsilon^{-2} \,(F-1) \,(P_F^2/4M^2) \,. \tag{2.1}$$

For  $P_F \sim M/10$ , this is at most a 5% correction in

the worst case,  $F \sim 10$ . The effects of the transverse motion are substantially smaller.

(c) Our analysis corrects kinematically for the fact that data for the CP energies was not taken at precisely 90° in the c.m. by noting that  $\epsilon = M^2/s = 1 - x_T \csc \theta$ . This is the only correction required if the  $\theta$  dependence of  $I(\theta)$  in Eq. (1.2) is negligible. This is the case for most hard-scattering models in the vicinity of 90°.

Because of the above uncertainties, in particular (a), and possible systematic errors between energies, any detailed conclusions based upon the present data must be regarded as somewhat tentative.

We now proceed to extract the two effective power parameters,  $N_{\text{eff}}$  and  $F_{\text{eff}}$ , defined in (1.3). The values of  $F_{eff}$  are obtained by using data at different energies but with the same  $p_T$  values, whereas the  $N_{\rm eff}$  extraction requires data at fixed  $\epsilon$  for different energies. Typically a (logarithmic) interpolation in  $p_T$  of the cross section at each energy is required for the latter. This mapping of the data replaces a cross section which varies over ten decades by slowly varying parameters  $F_{\rm eff}$  and  $N_{\rm eff}$  which can be sensitively compared to the predictions of any theory. In contrast, fits to the data can often achieve reasonable  $\chi^2$  values despite systematically incorrect  $F_{\rm eff}$  and  $N_{\rm eff}$  behavior. Ideally, the extraction of  $N_{\text{eff}}$  and  $F_{\text{eff}}$ requires a series of closely spaced energy values. Instead we have, for charged particles, only three Fermilab energies ( $\sqrt{s} = 19.4$ , 23.8, 27.4 GeV) and three CERN ISR energies ( $\sqrt{s} = 30.6$ , 44.8, 52.7 GeV), while for  $p + p \rightarrow \pi^0 + X$  we have  $\sqrt{s} = 23.5$ , 30.6, 44.8, 52.7, and 62.4 GeV. Unfortunately we have had to discard the 62.4-GeV data as being essentially useless for our purposes because of its large statistical errors. The data from each experiment must be analyzed independently in order to avoid difficulties with relative normalizations which can induce anomalous  $N_{\rm eff}$  and  $F_{\rm eff}$  values.

For each pair of energies, at fixed  $p_T$ , we calculate  $(\Sigma \equiv E \, d\sigma/d^3p)$ 

$$F_{\rm eff} = \frac{\ln[\Sigma(p_T, s_1)/\Sigma(p_T, s_2)]}{\ln[\epsilon(p_T, s_1, \theta_1)/\epsilon(p_T, s_2, \theta_2)]} , \qquad (2.2)$$

while, at fixed  $\epsilon$ ,

$$N_{\rm eff} = -\frac{\ln[\Sigma(\epsilon, s_1)/\Sigma(\epsilon, s_2)]}{2\ln(\sqrt{s_1}\sin\theta_1/\sqrt{s_2}\sin\theta_2)} .$$
(2.3)

In general,  $N_{\rm eff}$  and  $F_{\rm eff}$  can both be energy dependent. A further advantage of analyzing the CERN ISR and Fermilab data separately is that any strong energy dependence of  $N_{\rm eff}$  and/or  $F_{\rm eff}$  will be most pronounced in the comparison between the two. However, in light of the present statistical errors and the limited number of energies from a given experiment, an overly detailed examination of energy dependence within a particular experiment's data is not warranted.

It is perhaps useful to display the sensitivity of  $N_{\rm eff}$  and  $F_{\rm eff}$  to the statistical errors in more detail. The percentage errors in  $N_{\rm eff}$  and  $F_{\rm eff}$  are approximately given by

$$\frac{\delta N_{\rm eff}}{N_{\rm eff}} = \frac{\delta \Sigma_1 / \Sigma_1 + \delta \Sigma_2 / \Sigma_2}{\ln(\Sigma_1 / \Sigma_2)} \bigg|_{\epsilon}$$
(2.4)

and

$$\frac{\delta F_{\rm eff}}{F_{\rm eff}} = \frac{\delta \Sigma_1 / \Sigma_1 + \delta \Sigma_2 / \Sigma_2}{\ln(\Sigma_1 / \Sigma_2)} \bigg|_{p_T} \quad . \tag{2.5}$$

This error is particularly large for moderate- $p_T$ CERN ISR data, where the cross-section ratio  $(\Sigma_1/\Sigma_2)$  is quite near 1. Thus we should not be surprised to observe large fluctuations in the  $F_{\rm eff}$ extracted at CERN ISR energies. Even though it is in a region of less sensitivity, some of the CP data points are clearly not of adequate statistical quality to yield meaningful constraints of  $N_{\rm eff}$  and  $F_{\rm eff}$ . Wediscard those points for which error bars are of the order of the difference between cross section of two neighboring energies. This limits, primarily, the available  $\overline{p}$  and  $K^-$  data at the larger  $p_T$  values.

The results are presented in Figs. 2 and 3. For the Fermilab data ×'s indicate extractions using the "low"-energy pair ( $\sqrt{s} = 19.4$  and 23.8 GeV), and •'s indicate those for the high-energy pair ( $\sqrt{s} = 23.8$  and 27.4 GeV). The discrepancies between the two extractions are some measure of the errors involved as well as possible energy trends. The average value should be somewhat more reliable. The corresponding notation for the CERN ISR data is  $\circ$  for the pair  $\sqrt{s} = 23.5 - 30.6$  GeV,  $\blacktriangle$  for 30.6-44.8 GeV, and  $\blacksquare$  for 44.8-52.7 GeV. Note that statistical errors are not shown, but are usually of the same size as the discrepancies from the different energy pairs.

 $\pi^0$ : Figure 2(a) shows the  $N_{\rm eff}$  for  $\pi^0$  CCR data. Clearly  $N_{\rm eff} \sim 4$  over the entire available  $x_T$  range.<sup>25</sup> Figure 2(b) shows the corresponding  $F_{\rm eff}$  values. The average result is  $F_{\rm eff} \sim 11$  though clearly large fluctuations (due presumably to the sensitivity to experimental errors in the small- $x_T$  region) about this central value occur.

 $\pi^{\pm}$ : Figure 3 gives  $N_{\rm eff}$  and  $F_{\rm eff}$  for charged hadron production. Note that on each graph two sets of points appear; those for BS-CERN ISR (concentrated at low  $x_T$  in the  $N_{\rm eff}$  graph) and those for the CP-Fermilab data. Despite the smooth rise of  $N_{\rm eff}$  from 3.5 to 6 over the entire range of data for  $\pi^+$  and  $\pi^-$  production, it is clear from the  $F_{\rm eff}$  plot that the BS and CP data are quite different, the former having a much higher  $F_{\rm eff}$  (more or less consistent with the average value  $F_{\rm eff} \sim 11$ of CCR) than the latter at the small- $p_T$  values where overlapping  $F_{\rm eff}$  extraction is possible. Note, however, that as  $p_T$  increases for the Fermilab data,  $F_{\rm eff}$  rises slowly. The  $\pi^-$  data is quite similar.

 $K^{\pm}$ : The  $K^{+}$  data is quite similar to the pion data with the exception that the CERN ISR  $N_{\text{eff}}$ values are about  $\frac{1}{2}$  unit below those for the pions. In contrast, the  $K^{-}$  data (though limited and not very self-consistent) appears to have a similar  $N_{\text{eff}}$  to the  $K^{+}$  but a quite different  $F_{\text{eff}}$  behavior from the other mesons.  $F_{\text{eff}}$  is more or less con-



FIG. 2. The parameters  $F_{eff}$  and  $N_{eff}$  [see Eqs. (2.2) and (2.3)] obtained from the  $pp \to \pi^0 X$  CERN ISR data of CCR collaboration, Ref. 16. Three energy pairs are used as indicated, with  $p_T > 2.5$  GeV. The statistical errors are of the same size as the discrepancies from the different energy pairs. The prediction of the CIM is  $N_{eff} = 4$  for this kinematic range.

stant throughout the Fermilab regime and has a value substantially larger than those for  $\pi^+$ ,  $\pi^-$ , and  $K^+$  (except at the highest  $p_T$  values). The CERN ISR extractions may also be suggesting a

slightly higher  $F_{\text{eff}}$  for  $K^-$  at CERN ISR energies than for  $K^+$ ,  $\pi^+$ , and  $\pi^-$ .

 $p, \overline{p}$ : The extractions for the proton are seen to be quite different from the meson results.  $N_{\text{eff}}$ 



FIG. 3. The parameters  $F_{eff}$  and  $N_{eff}$  for charged hadron production at the CERN ISR BS collaboration (Ref. 17) pp collisions and the Fermilab CP collaboration (Ref. 18)  $p_L = 200$ , 300, 400-GeV proton-Tungsten collisions obtained using Eqs. (2.1) and (2.2). The energy pairs for the CERN ISR (connected by wavy lines) are ( $\sqrt{s} = 30.6-44.8$  GeV), and ( $\sqrt{s} = 44.8-52.7$  GeV). The energy pairs for Fermilab (connected by straight lines) are ( $\sqrt{s} = 19.4-23.8$  GeV) and ( $\sqrt{s} = 23.8-27.4$  GeV). A  $p_T$ -dependent nuclear correction is assumed for the Fermilab data (see Sec. II). Only  $p_T > 2$  GeV/c data are used.

rises from ~4 (CERN ISR region) to 8 (Fermilab region—high  $x_T$ ).  $F_{eff}$  is again larger for the CERN ISR energies than for the Fermilab energies. As in the case of  $\pi^{\pm}$  and  $K^{+}$ 's, as  $p_T$  increases over the Fermilab range,  $F_{eff}$  rises from quite a low value to ~5 at  $p_T ~7$  GeV/c.  $F_{eff}$  in the CERN ISR region is apparently lower than for the meson data. The  $N_{eff}$  behavior for antiproton production appears quite similar to that for protons, but the  $F_{eff}$  values are quite similar to that for protons, but the  $F_{eff}$  values are quite different. In the Fermilab regime  $F_{eff}$  is flat as a function of  $p_T$ , large (~8 or 9), and seems to be even higher for CERN ISR energies ~12-14.

Before turning to more detailed considerations we give in Table I the values of  $(F_{\rm eff} + N_{\rm eff} + 1)$  for the CP and BS data. As stated earlier, the sum  $(F_{\rm eff} + N_{\rm eff} + 1)$  represents, at least roughly, the over-all energy dependence of an associated exclusive-limit process which scales as (1.4). From Table I we see that different particle types yield distinctly different results; the observed ordering agrees with what is expected in models, like the CIM, which associate exclusive processes for  $\bar{p}$ and  $K^-$  production with higher quark number, and hence stronger energy damping.

#### **III. OTHER THEORIES**

One may reasonably ask whether the above results are able to discriminate against any of the various models proposed for high- $p_T$  phenomenology. Although an exhaustive discussion of all possible models is beyond the aims of this note, we will at least briefly describe a representative sample of quite different models. The principal experimental features which any given model must reproduce are the differences in  $F_{\text{eff}}$  and  $N_{\text{eff}}$  between the various types of produced particles, i.e., the strong quantum number dependence of the cross sections. Other dynamical features which must be explained are the energy and momentum depen-

TABLE I. Approximate values of  $(1 + F_{\rm eff} + N_{\rm eff})$ . These values are obtained from Figs. 2 and 3, and are uncertain by at least ±1.

	$\pi^0$	$\pi^+$	π-	$K^{+}$	K <sup></sup>	Þ	$\overline{p}$
$CP - Fermilabp_T \sim 5-6 GeV\epsilon = 1 - x_T\sim 0.5$	•••	12.5	12.5	12.5	14	13	17
BS-CCR—CERN ISR $p_T \sim 3 \text{ GeV}$ $\epsilon = 1 - x_T$ $\sim 0.87$	16	14.5	15.5	14.5	16	12	18

dence of  $F_{\rm eff}$  and  $N_{\rm eff}$ , and, finally, the limiting values of these two quantities in different kinematic regimes.

## Hydrodynamical and thermodynamic models<sup>14</sup>

These models tend to obtain similar  $F_{\rm eff}$ 's for particle and antiparticle at all  $p_T$  in apparent conflict with the data. At large  $p_T$ , universal particleindependent values of  $F_{\rm eff}$  and  $N_{\rm eff}$  are predicted because there is no memory of the initial-state quantum numbers and all particles have the same temperature. Even allowing for different temperatures, there are more detailed dynamical difficulties. For example, these models suggest that

$$E \frac{d\sigma}{d^3 p} \propto \exp(-ap_T s^{-\hbar}) , \qquad (3.1)$$

yielding

$$N_{\rm eff} = \frac{a}{4} \left( 1 - 2h \right) x_T s^{1/2 - h} \tag{3.2}$$

Popular values of h, such as  $h = \frac{1}{8}$  (Ref. 26) or  $h = \frac{1}{4}$  (Ref. 27), yield a very strong systematic energy dependence at fixed  $x_T$  which is contrary to the data. For example,  $N_{\text{eff}}$  should change by  $\sim 30\%$  over the Fermilab energy range for  $h = \frac{1}{8}$ .

# Multiperipheral models

Two immediate difficulties of the simplest versions of such models (Ref. 28) are that they predict the same  $F_{\text{eff}}$  and  $N_{\text{eff}}$  for particles and antiparticles and that the pion and kaon cross sections should have the same form except for mass-dependent effects (Ref. 29) that should be small at the larger  $p_T$  values. Although these models can naturally yield an  $N_{\text{eff}} \sim 4$  (for example, by assuming an underlying  $\phi^3$  theory), they have considerable difficulty in accomodating the higher  $N_{\text{eff}}$  values appropriate to the Fermilab data. The data can perhaps be interpreted in terms of generalized versions of the above models which incorporate quantum-number dependence in trajectories and more general energy dependence.

## Quark-quark scattering<sup>2,19</sup>

The first and most obvious difficulty of this approach is that the natural expectation  $N_{\rm eff} = 2$  for scale-invariant quark-quark scattering is considerably below that appropriate to any present data. Regardless of the assumed energy dependence of the basic scattering process, all such models have systematic difficulties with particle ratios since a universal  $N_{\rm eff}$  is predicted. Furthermore, in the absence of "leading particle" diagrams,  $F_{\rm eff}$  for pions should be lower than that for protons at any given p. This, too, is contrary

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to the data.<sup>30</sup> Natural modifications of the naive quark-quark scattering form can arise in asymptotically free gauge theories. One proposal is that the cross section should have the form<sup>31</sup>

$$E \frac{d\sigma}{d^3 p} \propto \frac{\epsilon^{F+a \ln \ln (p_T^2/\mu^2)}}{p_T^4 \ln(p_T^2/\mu^2)}, \qquad (3.3)$$

implying

$$F_{\rm eff} \sim F + a \ln \ln(p_T^2/\mu^2)$$
 (3.4)

independent of energy. Even though the over-all magnitude of  $N_{\rm eff}$  ( $\approx 2 + a/\ln p_T^2$ ) is improved, the predicted energy independence of it and  $F_{\rm eff}$  in going from CERN ISR to Fermilab energies is a difficulty for this approach. Another possibility is that the scale-invariant interaction itself is modified by neutral vector mesons or gluons in a gauge theory. The form suggested by Fried *et al.*<sup>15</sup> is

$$E \frac{d\sigma}{d^3 p} = G(x_T) s^{-n} , \qquad (3.5)$$

$$n \cong 2 + 4\gamma x_T$$
.

While the extracted  $N_{\rm eff}$  values could be consistent with the predicted dependence (provided  $\gamma$  is particle dependent) the Fermilab meson data yield  $N_{\rm eff}$ 's which change very slowly at high  $x_T$ . In addition,  $F_{\rm eff}$  is predicted to be energy independent (since G is) at fixed  $p_T$ . This, again, appears to be in conflict with the transition between CERN ISR and Fermilab data.

A common difficulty of many of the above approaches is the assumption of a single contributing subprocess so that the cross section is dominated by one term. While the use of more than one subprocess will not solve all the difficulties of the above approaches, it is clear that this type of freedom is essential to describe the experimental results—in particular, the difference between CERN ISR and Fermilab energies.

## **IV. CIM THEORY**

In order to present a meaningful analysis of the data within the framework of the constituent interchange model, we will present in this section a review of the essential features of this approach to large- $p_T$  phenomena. Within the framework of hard scattering models there are a great number of possible candidates for the underlying large- $p_T$ subprocesses. It is thus necessary to systematize the calculational rules in order to present a simple confrontation with the data. The primary ingredient is the use of dimensional counting,<sup>5,6</sup> which has already been shown to be successful in describing the experimental features of fixed-angle two-body scattering processes. To review briefly, since any two-body reaction a+b-c+d has fixedangle behavior of the form

$$\frac{d\sigma}{dt} \left( a + b - c + d \right) \sim s^{-(n_a + n_b + n_c + n_d - 2)} f(t/s) , \quad (4.1)$$

the inclusive cross section Eq. (1.1) behaves as

$$E \frac{d\sigma}{d^3 p} \equiv \sum_{abcd} \left[ p_T^2 + M^2(abcd) \right]^{-N} f(\theta_{c.m.}, \epsilon), \quad (4.2)$$

where

$$N = n_a + n_b + n_c + n_d - 2 \tag{4.3}$$

and  $M_{(abcd)}$  is a mass characteristic of the subprocess. Here  $n_H$  is the number of quarks in hadron H. More generally,  $N+2 = n_{\text{active}}$  is the number of elementary fields (lepton-photon-quark) participating in the large-angle subprocess. Thus for electron-quark or photon-quark or quark-quark scattering  $(n_{\text{active}} = 4)$  one obtains the standard scaleinvariant  $p_T^{-4}$  predictions of the parton model. Quark-meson scattering ( $n_{\text{active}} = 6$ ) gives the CIM prediction of  $p_T^{-8}$ . Other quark-hadron or hadronhadron reactions yield  $p_T^{-8}, p_T^{-12}, p_T^{-16}, \ldots$  as more and more elementary fields are involved in the large- $p_T$  reaction. Physically, one pays the "penalty" in the cross section of a factor  $p_T^{-4}$  for changing the direction of each additional quark or lepton line. Note that these quark counting rules automatically incorporate the predictions of asymptotic dipole baryon and monopole meson form factors. They are derived assuming a basis scaleinvariance of the scattering amplitude at short distance (as is characteristic of simple Born graphs in renormalizable perturbation theory) and assuming that the hadronic Bethe-Salpeter wave function is finite (corresponding to limited binding corrections). One can extend the predictions to allow for logarithmic modifications of the scaling laws or small anomalous dimensions, but we shall not find this necessary here.

Besides the counting rules for the subprocess fixed-angle energy dependence, one is fortunate in also having closely related constraints on the distribution functions  $G_{a/A}(x)$ . These are obtained by assuming, as before, an underlying scale-invariant theory; it is easy to show that for x - 1 (Ref. 9)

$$G_{a/A}(x) \propto (1-x)^{2n(a/A)-1}$$
, (4.4)

where  $n(\bar{a}A)$  is the number of quarks in the state  $\bar{a} + A$ ; i.e., the number of quarks "left behind." Some typical cases are  $(x \rightarrow 1)$ 

$$G_{q/p} \propto (1-x)^3, \quad G_{q/p} \propto (1-x)^7, \quad G_{q/\pi} \propto (1-x) \;.$$
  
(4.5)

All these results are consistent with the generalized form-factor behavior

$$F_{a/A}(t) \propto t^{-n(\overline{a}A)} \tag{4.6}$$

and the analog of the Drell-Yan-West relation for  $\nu W_2$ .

The prediction  $\nu W_2^{\frac{n}{2}} \propto (1-x)^7$  for antiquarks in the nucleon has been used to great advantage in Ref. 32 for the parametrization of the parton distributions obtained from neutrino and electron deepinelastic scattering. It is, however, evident that one must take  $G_{d/p}(x) \propto (1-x)^4$  for the down-quark distribution in the proton and up-quark distribution in the neutron in order to parametrize the observed behavior of  $\nu W_2^p / \nu W_2^n$ . This indicates strongly that a simple symmetrical three-quark model for the nucleon may be too naive. The applications to the Fermilab data discussed in this paper, however, are not sensitive to this modification, because of the nuclear target.

Using Eq. (4.4) we also have  $(M = \pi, K^{-}, \rho, \ldots)$ 

$$G_{M/p} \propto (1-x)^5, \quad G_{K^-/p} \propto (1-x)^7, \quad (x \to 1) \quad (4.7)$$
  
$$G_{\overline{p}/M} \propto (1-x)^5, \quad G_{\overline{p}/p} \propto (1-x)^{11}.$$

Some consequences of these results for inclusive reactions in the triple-Regge region are discussed in Ref. 9. Note also the mild falloff of  $G_{(qq)/p} \sim (1-x)$  reflecting the ability of the diquark system to carry off a large fraction of the nucleon momentum (only one quark has to be stopped).

Applying the result (4.4) to Eq. (1.1) we immediately obtain the convenient counting rule (as  $\epsilon \rightarrow 0$ )

$$E \frac{d\sigma}{d^3 p} \sim \sum_{abcd} (p_T^2)^{2^{-n} \text{active}} \epsilon^F f(\theta_{\text{c.m.}}) , \qquad (4.8)$$

where F, the degree of "forbiddenness," is given by<sup>9</sup>

$$F = 2n_{\text{passive}} - 1 \tag{4.9}$$

and where, for hadronic reactions,

$$n_{\text{passive}} = n(\overline{a}A) + n(\overline{b}B) + n(\overline{C}C)$$
(4.10)

is the number of passive spectators in the reaction. One can readily check that this rule is consistent with the Bjorken-Kogut correspondence principle,<sup>23</sup> crossing properties,<sup>33</sup> and normal parton-model predictions for lepton-hadron processes, such as  $pp \rightarrow \mu X$ . In the case of electromagnetic couplings, we have the further rule that

$$F = 2n_{\text{passive}}^{\text{hadronic}} + n_{\text{passive}}^{\text{em}} - 1 , \qquad (4.11)$$

where  $n_{\text{passive}}^{\text{em}}$  is the number of spectator quarks or leptons arising from a point electromagnetic coupling. Note that photons are not counted in the spectator rule. Accordingly, spin-one gluons which have an elementary coupling to quarks never affect the  $\epsilon \rightarrow 0$  endpoint behavior or probability distributions to finite order in perturbation theory. The difference in counting emerges as a result of the pointlike nature of electromagnetic interactions.<sup>34</sup> This rule applies to ordinary radiative processes and radiative corrections (in which case there is an extra factor of  $(\log s/m_e^2)$  for each electromagnetically radiated particle) as well as to photoinduced processes. The counting rules correspond to the intuition that as the number of spectators increases there is less available phase space and the power of  $\epsilon$  increases.

As a final constraint and classification tool, we shall use the correspondence principle of Bjorken and Kogut,<sup>23</sup> which requires a smooth connection between the form of the inclusive cross section for  $\epsilon = \Re^2/s \to 0$  and a corresponding exclusive cross section. This connection, the generalization of Bloom-Gilman duality for deep-inelastic lepton scattering, can be proven in theories of the type considered, as was mentioned above. Thus, if a contribution to the inclusive cross section for  $A + B \to C + X$  at fixed  $\theta_{c.m.}$  is to join smoothly for  $\epsilon \to 0$  to an exclusive cross section for  $A + B \to C + X$  we then have<sup>23</sup>

$$\int^{M^{2}} d\mathfrak{M}^{2} \frac{d\sigma}{dt \, d\mathfrak{M}^{2}} \, (A + B \rightarrow C + X)$$

$$\simeq \int^{M^{2}} \frac{1}{\pi} \, \frac{d\mathfrak{M}^{2}}{s} \, \frac{\epsilon^{F}}{(p_{T}^{2})^{N}} f^{\text{incl}}(\theta)$$

$$\simeq \frac{1}{s^{N} \exp^{-1}} f^{\exp^{-1}}_{A+B \rightarrow C+D+\dots+E}(\theta_{c.m.}, \dots),$$
(4.12)

where

$$N = n_a + n_b + n_c + n_d - 2 \tag{4.13}$$

and

$$N_{\text{excl}} = N + 2n_{\text{passive}}$$
$$= 1 + N + F . \qquad (4.14)$$

It is apparent that in this exclusive limit the total number of active quarks (since all are active) is, in fact,  $N+2n_{\text{passive}}+2$ , so that the power,  $N_{\text{excl}}$ , conforms to dimensional counting. The angular functions  $f^{\text{excl}}$  and  $f^{\text{incl}}$  are similarly and internally related.

Note further that all of the contributions which yield the same  $N_{\text{excl}}$ , i.e., are dual to the same exclusive channel, may be summed in the form<sup>9</sup>

$$\sim \frac{\epsilon^{F}}{\left(p_{T}^{2}\right)^{N}} \left[1 + O\left(\frac{M^{2}}{p_{T}^{2}\epsilon}\right)^{2} + \dots + O\left(\frac{M^{2}}{p_{T}^{2}\epsilon}\right)^{F+1}\right] ,$$

$$(4.15)$$

where the first term clearly dominates for  $p_T^2 \gg M^2$ , and the subsequent terms correspond to allowing the passive spectator quarks to become active large-momentum-transfer participants.

The last term gives the exclusive channel limit. Note that the corrections to the leading term are of the same form as that obtained by using

$$(p_T^2)^{-N} \epsilon^{-1} (\epsilon')^{F+1}$$
,

where  $\epsilon'^2 = \epsilon^2 + O(M^4/p_T^4)$ , and are analogous to the corrections from using the Bloom-Gilman variable  $\omega'$  rather than  $\omega$  in the analysis of deepinelastic scattering. The calculation of the crosssection normalization is very difficult in this limit since the various terms become coherent in this limit.

Thus, hard scattering model predictions for particle ratios at large  $p_T$ , Eq. (2.2), can be summarized as follows: For the same  $p_T$  power law  $(p_T^{-4}, p_T^{-8}, \text{ or } p_T^{-12}, \ldots)$ , the cross section for production of particle type C as compared to type C' is given by

$$\frac{E(d\sigma/d^3p)(A+B-C+X)}{E(d\sigma/d^3p)(A+B-C'+X)} \sim \epsilon^{N_E(C)-N_E(C')} ,$$
(4.16)

where, at 90°,  $\epsilon = 1 - X_T$ . Here  $N_E(C)$  is the dimensionally determined power falloff at fixed angle of the cross section for the first exclusive contributing channel (i.e., with fewest elementary quarks) which contains particle C plus other nonexotic hadrons, and originates from A + B. The corresponding form for  $E d\sigma/d^3p$  for a given value of  $N_E(C)$  is

$$E \frac{d\sigma}{d^{3}p} (A + B - C + X) \sim \frac{I_{4}}{p_{T}^{8}} \epsilon^{N_{E}(C) - 5} + \frac{I_{6}}{p_{T}^{12}} \epsilon^{N_{E}(C) - 7} + \cdots$$

$$+ \cdots$$

$$(4.17)$$

These statements assume that all possible relevant subprocesses are significant. However, certain of these subprocesses may not be allowed by a specific model. For example, in the CIM, in which the contributing hard interactions must all be derived from quark interchange or exchange among hadrons, the  $p_T^{-4}$  terms which arise only from quark-quark scattering are absent. The reaction  $q + (qq) \rightarrow q + (qq)$  is also not allowed in the CIM, but it is present in Preparata's massive quark model.<sup>10</sup> Conversely, assumptions which are too restrictive can be inconsistent with crossing to the process  $\overline{C} + B \rightarrow \overline{A} + X$ ;<sup>35</sup> this is the case if one assumes absolute dominance of annihilation processes such as  $q + \overline{q} \rightarrow M + \overline{M}$ , for example.<sup>25</sup>

Let us now turn to a more detailed discussion of the contributions present in the CIM. Given the absence of any quark-quark scattering terms (as first predicted on the basis of a study of the angular dependence of elastic scattering), the leading contributions then arise from terms with six active quarks and have N=4. Thus the limiting behavior at fixed  $\epsilon$  and angle for any hadronic production process will be  $p_T^{-8}$ . However, it is equally clear that as  $\epsilon$  becomes small, terms with larger N,  $p_T^{-12}$ ,  $p_T^{-16}$ , ..., which in general can have smaller values of F, will become increasingly important. As we shall see in Sec. V the above expectations are consistent with the available data. In particular, the  $p_T^{-8}$  behavior does seem to emerge at CERN ISR energies.

The allowed subprocesses in the CIM for the lowest three values of N are listed in Table II. For each subprocess, the minimal F value for the production of a given particle type is given for protonnucleon collisions.<sup>36</sup> In general, subprocesses which are related by crossing to those listed in the table, such as  $M + \overline{M} \rightarrow q + \overline{q}$ , also are to be included, but these yield higher F values and thus are nonleading contributions in the  $\epsilon \rightarrow 0$  limit for proton-proton scattering.

The CIM postulate, which allows only subprocesses involving at least one hadron, is natural from the point of view of containment or bag models in which the direct quark-quark interaction can be made small without affecting the quark-container interaction. Additionally, the CIM can be regarded as a dynamical prescription for calculating duality diagrams.

Within the CIM framework, proton production is a somewhat special case. First, there is no minimal F=5, N=4 subprocess. Second, the subprocess  $q+q \rightarrow B+\bar{q}$  is not necessarily present. It is intimately related to the basic wave function of the baryon. This term would be absent in theories in which the baryon is a bound state of a quark plus a strongly bound diquark core. This is a striking example of how large- $p_T$  experiments may be able to resolve an essential feature of the short-distance structure of baryons.

While the above N and minimal F values appropriate to a given subprocess describe the dominant kinematic variations for sufficiently small  $\epsilon$ 

TABLE II. CIM subprocesses.

	Subprocess	$F_{\min}$ for $\pi^{\pm}, 0, K^{+}, \rho^{\pm}, 0$	r ( <i>pp</i> K	→) ₽	Þ
N=4	$q + M \rightarrow q + M$	9	13	13	15
	$q + \overline{q} \rightarrow M + \overline{M}$	11	11	17	17
	$q + q \rightarrow B + \overline{q}$	9	9	7	11
N = 6	$q + (qq) \rightarrow M + B$	5	9	5	11
	$q + B \rightarrow q + B$	5	9	3	11
	$M + M \rightarrow M + M$	11	11	17	17
	$q + \overline{q} \rightarrow B + \overline{B}$	17	17	11	11
N=8	$(qq) + B \rightarrow (qq) + B$	7	11	1	11
	$M+B \rightarrow M+B$	5	9	5	11

and large  $p_T$ , there are corrections to both as one moves away from this region. In the case of  $N_{\rm eff}$ , the corrections are relatively simple. They take the form of mass corrections to  $p_T^2$ . As outlined earlier, a given subprocess is assumed to have the form  $(p_T^2 + M^2)^{-N}$  and hence the local  $N_{\rm eff}$  is

$$N_{\rm eff} = N(1 + M^2 / p_T^2)^{-1} . \tag{4.18}$$

Local exponents appropriate to N=4 and 6 are shown as a function of  $x_T$  for two energies (CERN ISR and Fermilab) and several  $M^2$  values in Fig. 4. These can be used as a tableau to directly compare with the extracted  $N_{\text{eff}}$ 's and to estimate the mass values appropriate to a given reaction. Another modification, which is important at quite small  $p_T^2$ , arises because of moving trajectories.<sup>3,7,9</sup> The power N is related to the asymptotic value  $\alpha(t' \rightarrow -\infty)$  of the Regge trajectory of the subprocess  $d\sigma/dt'(a+b\rightarrow c+d)$ . When  $|t'| \leq 2$ GeV<sup>2</sup>,  $\alpha(t')$  rises toward positive values and N is correspondingly decreased. The effect is difficult to distinguish from the  $M^2$  effects for the  $p_T > 2$ GeV/c data analyzed here.

# V. THE PHYSICS OF $F_{eff}$

Although the limiting value of  $N_{\rm eff}$  has direct physical significance, its shape is determined



FIG. 4. Effects of finite-mass corrections in  $(p_T^2+M^2)^{-N}$  upon  $N_{\rm eff}$  [see Eq. (4.18)]. The results are shown for  $\sqrt{s} = 50$  and 25 GeV and  $M^2 = 1$ , 2, or 3 GeV<sup>2</sup>.

mostly by essentially kinematic mass corrections. This is definitely not the case for the shape of the  $F_{\rm eff}$  curve, which can directly reflect the constituent nature of the hadrons involved. In this regard it is important to note that mass corrections to  $\epsilon$  are of the order  $M^2/s$  and hence are generally small. Thus it is the detailed shape of the overall probability functions which will significantly modify the naive minimal F values when  $\epsilon$  is not small.

Since a typical distribution function appearing in the convolution integral, Eq. (1.1), may be expected to display a peaking or at least a plateau as in the case for the deep-inelastic structure functions, it follows that the inclusive cross section should display a corresponding smeared quasielastic peak or plateau. Such behavior can be thought of as arising from several distinct sources. The first effect is that the minimal F values arise from processes with the minimum number of spectators. Quite often this means that the associated term is far from Feynman scaling, since it involves a minimum quark configuration whose wave function does not have Regge behavior. Therefore, background terms arising from high wave-function components (involving more spectators) which lead to larger F values must eventually become dominant in order to achieve Feynman scaling as  $\epsilon - 1$ .

The next complication is that for probability functions of a given shape the convolution integral and the angular distribution of the given subprocess can introduce additional variation of  $F_{\rm eff}$  at finite  $\epsilon$ .

In order to discuss these effects more fully, it will be convenient to write the convolution integral, Eq. (1.1), in the following form<sup>37</sup>:

$$E \frac{d\sigma}{d^{3}p} = \frac{2}{\pi} \int_{-(1-2x_{1})}^{(1-2x_{2})} \frac{dz}{1-z^{2}} F_{a/A}\left(\frac{2x_{1}}{1+z}\right) F_{b/B}\left(\frac{2x_{2}}{1-z}\right) \times \frac{d\sigma}{dt'}\left(s' = \frac{4p_{T}^{2}}{1-z^{2}}, z\right), \quad (5.1)$$

where z is the cosine of the scattering angle in the c.m. of the subprocess and F(y) = yG(y). This result can be readily extended to allow for fragmentation of c into C using Eq. (1.1).

In a moment we will discuss the limiting behaviors of Eq. (5.1) and the resulting predictions for  $F_{\text{eff}}$ . First, let us consider the simple case when the distributions are peaked at their natural momentum fraction:  $G_{a/A}(x) = \delta(x - n_a/n_A)$ ,  $G_{b/B}(x)$  $= \delta(x - n_b/n_B)$ ; here  $n_A = n_a + n(\overline{a}A)$  and  $n_B = n_b + n(\overline{b}B)$ are the total number of available quarks in the projectile and target, respectively. Note that this is the *exact* distribution in the limit of *zero* binding. For the peaked distributions, we must have

$$z = \frac{n_A}{n_a} x_1 - \frac{n_B}{n_b} x_2 \tag{5.2}$$

and

$$1 = \frac{n_A}{n_a} x_1 + \frac{n_B}{n_b} x_2 .$$
 (5.3)

Thus we expect that for detection at  $90^{\circ}$  the cross sections wil have a quasielastic peak at the value

$$x_T = \hat{x}_T \equiv \frac{2}{n_B / n_b + n_A / n_a}$$
 (5.4)

and be spread out around this value by the effects of finite binding. At the peak, the derivative with respect to  $x_T$  vanishes, and hence  $F_{\text{eff}}$  should vanish also at this point. [Note that  $\hat{x}_T$  is multiplied by  $n_C/(n_C + n_{\overline{C}c})$  if final-state bremsstrahlung occurs.] Note also that the center-of-mass angle of the recoil system d in the active process a+b $\rightarrow c+d$  peaks at

$$\tan\hat{\theta}_{a} = \frac{\hat{x}_{T}}{n_{b}/n_{B} - n_{a}/n_{A}} \,. \tag{5.5}$$

The actual physical distributions which must be used in Eq. (5.1) can be expected to have a relatively complicated behavior, but for simplicity they may be characterized as a sum of terms of the form

$$G(y) = \frac{F(y)}{y} = Gy^{h}(1-y)^{g} .$$
 (5.6)

The endpoint behavior at y=0 and y=1 can be established: For  $G_{a/A}(y)$ , we have  $g = \gamma n(\overline{a}A) - 1$ , where  $n(\bar{a}A)$  is the number of spectators, as discussed earlier. (The value of  $\gamma$  is 2 for quark spectators bound in hadrons, 1 for elementarily coupled leptons.) The value of h depends on the type of wave-function component being considered. For a single "valence" type wave function, i.e., a state containing a finite number of particles, his  $\gamma n_a - 1$ . This ensures the correct wave-function normalization and that  $\langle x_a \rangle = n_a / [n_a + n(\bar{a}A)] = n_a / n_A$ . On the other hand, we also know that the physical distributions at  $y \rightarrow 0$  are related to the high-energy behavior of the forward  $\overline{a}A$  amplitude: Pomeron and Regge behavior require  $h = -\alpha < 0$ . Specifically, for a Pomeron-behaved sea component, h = -1, corresponding to the Feynman dx/x distribution; Reggeon components have  $h \sim -\frac{1}{2}$ . Accordingly, the Pomeron and Regge terms must be interpreted as a coherent superposition of states with an arbitrary number of spectators.<sup>38</sup> We emphasize that the separation of the physical distributions into Pomeron, Regge, and valence components of the form of Eq. (5.6) should be regarded as a convenient idealization of a more complicated situation.

Writing the differential cross section for the subprocess as

$$\frac{d\sigma}{dt} = s'^{-N} \left(\frac{1-z}{2}\right)^{-T} \left(\frac{1+z}{2}\right)^{-U}$$
(5.7)

and introducing probability functions of the form (5.6), the convolution integral becomes

$$E \frac{d\sigma}{d^{3}p} \simeq (p_{T}^{2})^{-N} \epsilon^{F} x_{1}^{r_{A}} x_{2}^{r_{B}} J(x_{1}, x_{2}), \qquad (5.8)$$

where

$$J(x_{1}, x_{2}) = J_{0} \int_{0}^{1} d\eta \, \eta^{g} A (1 - \eta)^{g} B(x_{1} + \epsilon \eta)^{N} A$$

$$\times [x_{2} + \epsilon (1 - \eta)]^{N} B, \qquad (5.9)$$

$$N_{A} = N - 1 - g_{A} - r_{A} - U,$$

$$N_{B} = N - 1 - g_{B} - r_{B} - T,$$

$$F = 1 + g_{A} + g_{B}$$

$$= 2n(\bar{a}A) + 2n(\bar{b}B) - 1,$$

$$r_{A} = h_{A} + 1, \quad r_{B} = h_{B} + 1,$$

and recall that at 90°,  $x_1 = x_2 = x_T/2$ .

The effect of the  $\eta$  integral is usually to increase  $F_{\rm eff}$  for  $\epsilon \sim 1$ , whereas the explicit powers of  $x_1$  and  $x_2$  outside the integral tend to decrease the effective F value as  $\epsilon$  increases. At 90°, the  $F_{\rm eff}$  for a contribution of the above form is

$$F_{\rm eff} = F - \frac{\epsilon}{1 - \epsilon} (r_A + r_B) + \epsilon \frac{\partial}{\partial \epsilon} \ln J , \qquad (5.10)$$

where in the limit  $\epsilon = 1$ ,

$$\epsilon \frac{\partial}{\partial \epsilon} \ln J \bigg|_{\epsilon=1} = \frac{1}{2} N_A \left( 1 - \frac{1 + N_B + g_B}{N_A + g_A} \right) + (A \leftrightarrow B) .$$
(5.11)

Except for a few cases, this last term's contribution tends to increase  $F_{\text{eff}}$  for  $\epsilon \sim 1$ . It is always positive for Feynman-scaling contributions and decreases to zero as  $\epsilon \rightarrow 0$ . Thus the Feynmanscaling contributions monotonically decrease with  $\epsilon$ , whereas the terms with  $r_A + r_B \neq 0$  correspond to a peaked "quasielastic" distribution in  $\epsilon$ .

As we have noted, the possibility of a vanishing  $F_{\rm eff}$  in Eq. (5.10) has an elegant and simple interpretation. Physically, each secondary particle, a or b, carries average momentum in the c.m. frame:

$$|\vec{\mathbf{p}}_{a}| \sim \frac{\sqrt{s}}{2} \frac{n_{a}}{n_{a}+n(\overline{a}A)}, \quad |\vec{\mathbf{p}}_{b}| \sim \frac{\sqrt{s}}{2} \frac{n_{b}}{n_{b}+n(\overline{b}B)}.$$
(5.12)

For example, the simple momentum distribution  $xG_{a/A}(x) \sim x^{\gamma n_a}(1-x)^{\gamma n(\overline{a}A)-1}$  peaks at  $x \sim n_a/(n_a + n(\overline{a}A) - \gamma^{-1})$ , which is close to the weak bind-

ing value. (This sensible result again motivates the choice  $h = \gamma n_a - 1$  for the finite particle wave function.) Thus the most likely kinematical situation consistent with the on-shell constraint s' + t' + u' = 0 and a fixed number of spectators are the values of  $x_1$ ,  $x_2$ , and  $x_T$  given in Eqs. (5.2)-(5.4). In particular, the peak of the inclusive distribution at 90° and the zero of  $F_{\rm eff}$  should occur near this value of  $\hat{x}_T$ . This is the natural extension of the average momentum value for probability distributions: the relative number of active and spectator quarks in each hadron A, B, and Cdetermines the most likely trigger-particle  $x_T$ value at any given transverse momentum.

Thus the shape of the  $F_{\rm eff}$  curve can be physically quite meaningful provided the various components can be at least approximately isolated. Isolation of these components requires use of difference data, sum rules, and other intercomparisons. Some experimental examples will be presented in Sec. VI. Such techniques have been applied with substantial success to separation of the various components of quark distribution functions from deep-inelastic data. In any case, the larger the contributions from Feynman scaling and Regge terms and hence the greater the number of spectators, the smaller the value of  $x_T$  at which  $F_{\rm eff}$ will pass through zero.

A simplifying device, which was shown to be adequate in the extraction of the quark distributions from deep-inelastic data,<sup>39</sup> is to associate two extra spectators  $(q\bar{q})$  with the Pomeron (P)and Regge (R) components beyond the minimum number required for the valence (V) component. Here we use this device only to illustrate how Fis expected to increase as one gets into the Regge and Pomeron region. Since in the present case two such distributions are being convoluted, we can expect contributions in the general case with the following types of limiting behaviors<sup>40</sup>:

$$\begin{aligned} x_{T}^{4} \epsilon^{F} & (V-V), \\ x_{T}^{2+1/2} \epsilon^{F+4} & (V-R+R-V), \\ x_{T}^{2} \epsilon^{F+4} & (V-P+P-V), \\ x_{T} \epsilon^{F+8} & (R-R), \\ x_{T}^{1/2} \epsilon^{F+8} & (R-P+P-R), \\ \epsilon^{F+8} & (P-P). \end{aligned}$$
(5.13)

The relative weightings of the P, R, and V terms may be quite different for the quark, antiquark, and hadron distributions. Thus it should be stressed that in general such details of the theory can be checked at this level only by comparing different reactions that involve the same probability functions. Regardless of the modifications to  $F_{\text{eff}}$  which one may reasonably expect in the region where  $\epsilon$  is not small, we emphasize that the ultimate tests of the predictions for *F* lie in the limiting case of  $\epsilon \rightarrow 0$ .

#### VI. EXPERIMENTAL COMPARISON

A comparison of the predictions made in Sec. V with experiment will now be made. Let us start by describing a few important over-all features. First we note that the CIM quite naturally incorporates the differences between particle types needed to describe the various  $F_{\rm eff}$  and  $N_{\rm eff}$  extractions. It also incorporates naturally the differences observed between the CERN ISR and Fermilab energy ranges. More specifically, we find the following:

(a) The value of  $N_{\rm eff} \sim 4$  for the CERN ISR  $\pi^0$ data was predicted by the CIM.<sup>7</sup> This confirmation is essential before proceeding further with this model. It is to be expected that this minimum Nvalue should dominate all the moderate- $p_T$  CERN ISR meson data and indeed this is consistent with the experimental values for  $N_{\rm eff}$  provided the expected mass effects illustrated in Fig. 4 with  $M^2$ or order 1 to 2 GeV<sup>2</sup> are incorporated. Although the experimental errors are considerable, the values of the  $F_{\rm eff}$ 's for mesons are relatively large and consistent with the values predicted on the basis of  $p_T^{-8}$  subprocesses.

(b) Because of the smaller  $\epsilon$  values of the Fermilab data, subprocesses with smaller F values, and consequently higher N values, should become more important. Indeed, all the Fermilab meson data appears to be consistent with the dominance of  $(p_T^2 + M^2)^{-6}$  terms. The  $F_{\rm eff}$  values are lower than those from the CERN ISR and their limiting values are consistent with expectations.

(c) The p and  $\overline{p}$  data are somewhat more complex and clearly show substantial  $N_{\rm eff}$  = 8 terms in the Fermilab energy range. Despite this complexity, the  $F_{\rm eff}$  values behave as expected (note the low values for p compared to  $\overline{p}$ ) and a consistent picture can be constructed.

(d) A convenient way of displaying the over-all consistency of this approach is to compare the limiting experimental values of  $N_{EX} = (F+N+1)_{eff}$  with the predictions of various subprocesses in the CIM and in Table III. The general consistency with the data is striking. The predicted ordering  $N_{EX}(\overline{p}) > N_{EX}(K^-) > N_{EX}(K^+, \pi) \ge N_{EX}(p)$  is correct, as well as the individual absolute predictions.

(e) Since the  $\overline{p}$ 's and  $K^{-}$ 's are apt to originate from beam-independent, Feynman scaling distributions, the  $F_{\text{off}}$  curves are expected to be much flatter than the primarily valence-derived particles and may possibly even rise as  $\epsilon \rightarrow 1$ . As

Produced particle	$[\pi^{\pm_{\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!\!$	Κ-	Þ	Þ
Minimum exclusive channel	12	14	10	16
CIM subprocesses				
$(\dot{q} + q \rightarrow B + \overline{q})$	14	14	12	16
$N=4  )  q+M \rightarrow q+M$	14	20	18	22
$p_T^{-8} = \overline{q} + M \rightarrow \overline{q} + M$	16	18	22	20
$\left( q + \overline{q} \rightarrow M + \overline{M} \right)$	16	16	22	22
CERN ISR (see Table I)	$15 \pm 1$	$16 \pm 1$	$12^{a} \pm 1$	$18^{a} \pm 1$
$(q+2q \rightarrow B+M)$	12	16	12	18
$N=6) q+B \rightarrow q+B$	12	16	10	18
$p_T^{-12} \overline{q} + q \rightarrow B + \overline{B}$	18	18	18	18
$(M+M \rightarrow M+M)$	18	18	18	18
Fermilab (see Table I)	$12.5 \pm 1$	$14 \pm 1$	$13 \pm 1$	$18 \pm 1$

TABLE III. Predictions for  $N_{EX} = N_{eff} + F_{eff} + 1$  (proton-nucleon collisions).

<sup>a</sup>Note that the  $N_{\rm eff}$  for p and  $\overline{p}$  production at the CERN ISR indicate contributions from N=6 processes.



FIG. 5. The extraction of  $F_{\text{eff}}$  for the difference of the p and  $\overline{p}$  production cross sections and the difference of the  $K^+$ and  $K^-$  production cross sections at the CERN ISR (Ref. 17) (BS collaboration) and Fermilab (Ref. 18) (CP collaboration). The points are labeled as in Fig. 3. A zero value for  $F_{\text{eff}}$  indicates a quasielastic peak in the  $\epsilon$  distribution (see Sec. V).

discussed in Sec. V, the primarily valence-derived particles  $(p, K^+, \pi^{\pm,0})$  are expected to have decreasing  $F_{\text{eff}}$ 's as  $\epsilon \rightarrow 1$ .

(f) The  $N_{\rm eff}$  and  $F_{\rm eff}$  obtained from the difference between particle and antiparticle cross sections are particularly interesting. The  $F_{\rm eff}$  dependence can directly reflect the quasielastic features of the hard-scattering model as discussed in Sec. V. The  $F_{\text{eff}}$  analysis for  $K^+ - K^-$  and  $p - \overline{p}$  is shown in Fig. 5. Although the errors are magnified,  $F_{eff}$ actually does vanish for both differences, as expected from the model. The zeros occur at a quite reasonable position,  $\hat{x}_T \sim 0.2 - 0.3$  in the Fermilab energy range and  $\hat{x}_T \sim 0.1 - 0.2$  in the CERN ISR range. These values of  $\hat{x}_T$  indicate the typical fraction of center-of-mass hadronic beam energy which is maximally effective in producing large- $p_T$  particles. The fact that  $\hat{x}_T$  is lower at the higher CERN ISR energies is consistent with the fact that there are more spectators (and higher multiplicity) in the beam and target fragmentation regions for the processes which are important at the CERN ISR as compared to the Fermilab regime:  $F_{\text{eff}}$  (CERN ISR) >  $F_{\text{eff}}$  (Fermilab). The  $N_{\text{eff}}$  of the difference  $K^+ - K^- (p - \overline{p})$  is consistent with that of  $K^+$  or  $K^-$  (p or  $\overline{p}$ ) at both CERN ISR and Fermilab energies.

There are uncertainties in trying to interpret the experimental values of  $\hat{x}_{\tau}$  directly in terms of the probability distributions and subprocesses. However, using the framework of Sec. V, one might be able to proceed as follows. If the  $q + qq \rightarrow B + M$  subprocess is dominant for Fermilab energies, then the minimum number of spectators is 3 (this is consistent with  $F_{\rm eff} \sim 5$  at  $\epsilon \sim 0$  for por  $K^+$  production), and  $(\hat{x}_T)_{\text{max}} = \frac{4}{9}$  using Eq. (5.4). However, the Reggeon terms in the distribution are surely important [see Eq. (5.10)] reducing  $\hat{x}_r$ to about  $\frac{1}{3}$  or smaller, corresponding to at least 5 spectators [see discussion of Eqs. (5.13) and Ref. 38]. Precise checks of the predicted values of  $x_r$  will require double and triple difference experiments which can isolate the various components.

Now let us try to understand in more detail the particular subprocesses that dominate the production cross sections of each particle.

 $\pi^{0}$ : As seen from Fig. 2, a single term of the form  $\epsilon^{11}(p_{T}^{2}+M_{4}^{2})^{-4}$  provides a good representation of the CCR data. The fluctuations of  $F_{\text{eff}}$  are probably due to small errors in the data since Eq. (2.5) yields  $\delta F/F \sim 60-70\%$  for 10% statistical errors. The  $M_{4}^{2}$  parameter is not easily determined from the CCR data which only requires  $M_{4}^{2} \leq 1.5$ . The value  $F \sim 11$  is consistent with all the N=4 subprocesses when the possibility of both Feynman scaling and nonscaling terms is

taken into account. Correlation measurements will be required to distinguish between the quarkmeson scattering and  $q\bar{q} \rightarrow M\bar{M}$  annihilation contributions. The rising multiplicity<sup>41</sup> on the side opposite to the  $\pi^0$  is natural to the  $q + M \rightarrow q + M$ process. The observed constancy of the ratio  $(\eta^0/\pi^0) = 0.55 \pm 0.11$  (Ref. 42) is consistent with the CIM dynamics and the assumed quark content of these mesons.

 $\pi^{\pm}$ : The  $\pi^{\pm}$  data of BS and CP clearly show that both N=4 and 6 terms are required. The normalization of the N=4 term is essentially determined by the CCR  $\pi^0$  data. The dominance of the N=6term in the CP data is consistent with this normalization because of the difference in F values of the two terms. The N=6 subprocesses with minimal F (= 5) are  $q + (qq) \rightarrow M + B$  and B + q $\rightarrow B^* + (q) \rightarrow B^* + (q' + M)$ . The experimental  $F_{\text{eff}}$ does seem to be approaching the limiting minimal value of 5. Both subprocesses predict a recoil baryon system on the opposite side (and on the same side if the detected meson arises from the decay of the  $B^*$ ). The shape of the  $N_{\text{eff}}$  curve in the two regions suggests masses of  $M_4^{\ 2}(\pi) \simeq 1.2$ GeV<sup>2</sup> and  $M_6^2(\pi) \sim 1.7$  GeV<sup>2</sup>. The  $F_{\rm eff}$  curves for the CP data display the expected behavior. The fact that the  $F_{\rm eff}$ 's do not vanish implies that there is a large Feynman-scaling contribution as  $\epsilon \sim 1$ . (The near equality of  $\pi^+$  and  $\pi^-$  at all  $x_{\tau}$  does not imply complete dominance by Feynman-scaling terms since the valence contributions are nearly symmetric for a nuclear target.) Measurements of the angular dependence of the  $\pi^+$  and  $\pi^-$  yields even on a nuclear target-would help separate these terms since the ratio  $\pi^+/\pi^-$  should increase towards the forward direction.

 $K^{\pm}$ : The  $K^{+}$  cross section is very similar to that of  $\pi^{\pm}$  in accord with expectation. The only observable difference besides an over-all normalization is that at the lowest  $x_{T}$  values both the BS and CP data have  $N_{\rm eff}(K) \simeq N_{\rm eff}(\pi) - 0.5$  for both the  $K^{+}$  and  $K^{-}$ . This is easily accounted for by slightly larger mass parameters for the K's, namely  $M_{4}^{-2}(K) \simeq 2.0 \text{ GeV}^{2}$  and  $M_{6}^{-2}(K) \simeq 2.1 \text{ GeV}^{2}$ , entirely in accord with one's naive expectations.

In contrast to the near equality of  $N_{\rm eff}$  for  $K^+$ and  $K^-$ , their respective  $F_{\rm eff}$ 's are quite different. For the CERN ISR range,  $F_{\rm eff}(K^-)$  is around 14, though a value of 11 cannot be ruled out. This is higher than the value found for pions, and is close to the minimal F appropriate to the q + M - q + Mprocess, F = 13 (17 if nonminimal bremsstrahlung). Thus it is very interesting to determine  $F_{\rm eff}$  more accurately and to measure correlations in order to distinguish the contributing subprocess. Note that the minimal annihilation process always has a recoiling strange meson opposite to the detected  $K^-$ ; this is not necessarily the case if one triggers on a  $K^+$ . If the minimal  $q + M \rightarrow q + M$ subprocess dominates where  $M = K^+$  or  $K^-$ , then the strangeness is expected to be balanced in the beam fragmentation region.

For the Fermilab range,  $F_{\text{eff}}(K^-)$  is between 7 and 9. In the framework of the CIM, the limiting value of F cannot be less than 9 for N = 6 unless the selection rules for basic processes are violated, and can never fall below 7 in the most general quark theory. However, the usual reduction in F as  $\epsilon \rightarrow 1$  due to nonscaling behavior is consistent with the present data.

 $P^{\pm}$ : The  $N_{\text{eff}}$ 's for p and  $\overline{p}$  are very similar. The presence of N=8 terms is clearly demanded by the CP data; N=6 or 4 terms are also required by data in the CERN ISR range. A single N=8term cannot fit both energy ranges. From the  $N_{\text{eff}}$  curves alone, it is not certain whether N=4terms are needed, but if one restricts  $M_6^2 < 4$ GeV<sup>2</sup>, their presence is probably indicated. The situation becomes clearer by examining the  $F_{\text{eff}}$ curves which show a distinct difference between Fermilab and CERN ISR energy ranges.

The  $F_{\rm eff}$  values in the CERN ISR range for both p and  $\overline{p}$  are distinctly higher than the values expected from minimal (nonscaling) processes with N=6 or 8.

In the Fermilab range at large  $p_T$ ,  $F_{eff}$  for protons is consistent with a value 3-5. The value 5 is characteristic of the N=6 process  $q+(qq) \rightarrow$ B+M, which is also seen in the  $\pi^{\pm}$  and  $K^{+}$  spectrum. The values 1 and 5 are consistent with proton CIM processes with N=8. The  $N_{eff}$  curve clearly prefers the latter choice with  ${M_8}^2 \simeq 2.5 -$ 3 GeV<sup>2</sup>. A single term with N = 8,  $M_8^2 = 3$  GeV<sup>2</sup>, and a limiting F = 5 (the subprocess in  $M + B \rightarrow M + B$ ) could account for the entire CP data range.43 This extreme is probably in conflict with the strong N=6process seen in the pion data which simultaneously creates large- $p_T$  baryons and thus requires that a reasonable percentage of the protons arise from this mechanism. This is consistent with the  $N_{\rm eff}$ curve if  $M_8^2$  is small. The decreasing trend of of  $F_{\rm eff}$  should arise from the usual non-Feynman scaling effects.

One would be tempted to explain the BS CERN ISR data by the subprocess  $q + q - B + \overline{q}$  which has N = 4 and F = 7. However, it is difficult to achieve the sharp transition in the behavior of  $F_{\text{eff}}$  between CERN ISR and Fermilab with only this additional term. This effect, together with the rise of  $N_{\text{eff}}$  above 4 over the CERN ISR range, suggests that a term with N = 4 and large  $F (\gg 7, \text{ per$  $haps ~13})$ , together with a term with N = 6 or 8 with a moderate F, are both important in the CERN ISR energy range. The proton distributions are clearly the most complicated. Large  $-p_T$  CERN ISR data should help greatly to clarify the situation.

Although the kinematic range of the  $\overline{p}$  extractions is limited, N=4, N=6, and N=8 terms seem to be required. The minimal CIM  $F_{eff}$  value, for the N=6 and N=8 terms (which presumably dominate the Fermilab data), is 11. The extracted  $F_{\rm eff}$  values are consistent with this if non-Feynman scaling effects are present. Experiments capable of probing closer to  $\epsilon = 0$  are clearly desirable. The higher  $F_{\rm eff}$  values for the BS CERN ISR data again suggest the presence of important high-F, N=4 terms. The minimal N=4 CIM value is F=11(from  $q + q \rightarrow B + \overline{q}$ ) and 15 (from other processes). In analogy to the proton case, the extracted F and N values in this region may be a result of a combination of high-F, N=4 terms and F=11, N=6(and N=8) terms. Much more experimental information will be needed to substantiate these hints from present data.

## VII. CONCLUSIONS

As we have seen, the constituent-interchange model, combined with quark-counting rules, can give a simple accounting of many of the features of the inclusive data at large  $p_T$ . Among the successes of the CIM are the following:

(1) The plateaus for  $N_{\text{eff}}$  are at the predicted values N=4, 6 for meson production. The N=4 terms with high F dominate at large  $p_T$  in the  $\epsilon \sim 1$  CERN ISR region, and the N=6 terms with lower values of F dominate at the smaller- $\epsilon$  Fermilab energy range. There is also the probable presence of N=8 terms for p or  $\overline{p}$  production at Fermilab.

(2) The CIM yields the relative ordering and values for  $N_{\text{excl}} = F + N + 1$  for different produced particles.

(3) It provides a general understanding of the shapes of  $F_{\text{eff}}$  and  $N_{\text{eff}}$  for particular particles.

(4) It gives the quasielastic peak  $(F_{\text{eff}} = 0)$  in the  $\epsilon$  distribution for  $(p - \overline{p})$  and  $(K^+ - K^-)$  particle production differences. Further, for the peak values,  $\epsilon_{\text{CERN ISR}} > \epsilon_{\text{Fermilab}}$ , consistent with the greater number of spectators expected in the higher-energy regime (and its higher  $F_{\text{eff}}$  values).

We reemphasize, however, that the comparisons with the present data are subject to the uncertainties of both statistical and systematic (especially nuclear target effects) errors, and further confirmations of the above effects are required.

Although the CIM makes a great number of specific predictions, it is difficult to ascertain the relative contributions of those basic subprocesses that have similar F and N values using only single-particle inclusive cross-section data. Correlation data between large- $p_T$  particles on both sides, including quantum-number identification and angular distribution data, should be decisive here. As we have seen, certain subprocesses demand that the balancing recoil system carries specific baryon or strangeness quantum numbers. In the case of the  $qM \rightarrow qM$  subprocess, the system recoiling from the detected meson *M* has the same jetlike final-state features as the quark-parton system recoiling in deep-inelastic lepton scattering. Alternatively, if the detected meson arises from fragmentation of the final-state quark, one expects substantial same-side correlation and a recoiling mesonic system. Note that for subprocesses such as  $q + \overline{q} \rightarrow C + H$ , the recoiling system H never has the same charge as C. See Sec. VI for further examples. We note that many features of the present correlation data, including the multiplicity patterns, are consistent with the structure of the hard-scattering models, although the  $\phi$  noncollinear angular correlation may be uncomfortably broad. The predictions for same-side correlations have not yet been fully worked out since they depend on the details of resonance formation. It has been pointed out by Sivers<sup>44</sup> that if the basic subprocess involves the production of a third particle, then the final state is not coplanar and the  $\phi$  correlations will be broadened.

Another discriminant of the various contributing subprocesses are the angular distributions of the single-particle inclusive data. In the forward (triple-Regge) regions, the behavior for  $x_L \sim 1$  reflects the spectators in the beam fragmentation region (see Ref. 9). The large-angle cross sections depend on the angular structure of  $d\sigma/dt(a + b \rightarrow c + d)$ as well as the distribution functions, as given in Eq. (2.1). When a and b have strongly different distribution functions, such as q and  $\overline{q}$ in p-p scattering, the resultant angular distribution is broad and most likely peaked away from 90°. Also, if the incident particles A and B are different, then one can distinguish between subprocesses that have a strong t or u dependence. A more detailed discussion of the subprocess angular dependence in the CIM is given in Refs. 3, 4, and 9.

Among the most important tests of the CIM is the intercomparison of particle production using different beams and targets. Generally speaking, meson and photon beams are predicted to be more effective in producing particles at large  $x_T$  because of the fewer number of beam spectators; quasielastic peaks for differences of cross sections will be at smaller values of  $\epsilon$  for mesons relative to baryons. The comparison of inclusive cross sections using  $\pi$ , K, p,  $\overline{p}$  beams and proton or deuteron targets with the production of different particle types will further specify the most important subprocesses, and suitable double and triple differences can permit the isolation of the various components of the probability distribution. Since the Feynman-scaling contributions are particle independent, such differences can be used to further isolate the valence quark content of the beam, target, and trigger. Note also that certain subprocesses are also eliminated by taking crosssection differences, e.g.,  $q + \overline{q} \rightarrow p + \overline{p}$  cancels between the p and  $\overline{p}$  production cross sections.

There are several important normalization checks that must be satisfied in the CIM. For example, the subprocess  $q + (qq) \rightarrow M^* + B^*$  contributes equally to meson and baryon production, except for the differences in the sums over final state resonances. Another constraint arises from crossing symmetry. Any basic contribution to  $A + B \rightarrow C + X$  will yield an analogous term in  $\overline{C} + B$  $\rightarrow \overline{A} + X$  which is expected to be of the same order of magnitude. The absolute normalization of CIM processes requires specific knowledge of the wave function and distribution functions involved. Information on these can be obtained from momentum sum rules, decay rates, asymptotic form factors, and structure functions in the threshold region. Thus far it has proved difficult to use the inclusive-exclusive connection as a normalization constraint mainly because the inclusive data does not extend close enough to  $\epsilon = 0$  and also the elastic data must be extrapolated to very large s. For instance, an attempt to normalize the contribution of the leading-particle subprocess  $q + p \rightarrow q + p$  to  $pp \rightarrow pX$  cross section using the proton elastic data yields much too large an inclusive cross section (using the ratio of the form factor contribution to  $\nu W_2$  in deep-inelastic scattering). However, the process  $qq + p \rightarrow qq + p$  alone yields a reasonable value.

Earlier it was pointed out that a model may provide an acceptable fit to the data but yet give a poor representation of  $F_{\text{eff}}$  and  $N_{\text{eff}}$ . The effective power analysis of the data is more sensitive to systematic features of the data. There are many additional applications of this data-analysis technique beyond large- $p_T$  inclusive cross sections. These include the following:

(a) lp + lX,  $e^+e^- + HX$ ,  $\mu\rho + \nu X$ , etc. If Bjorken scaling holds for lp + lX, then the  $F_{\text{eff}}$  and  $N_{\text{eff}}$ analysis will yield  $N_{\text{eff}} = 2$  (corresponding to the lq + lq subprocess) and  $F_{\text{eff}} \sim 3$ , which is the standard Drell-Yan prediction (two spectators). Interesting background terms arising from l(qq)+ l(qq) with N = 4 and F = 1 can be important at small  $\epsilon$ ; their presence is usually hidden by using the  $\omega'$  variable (see Sec. V), but they can be ex-

plicitly detected from the  $F_{\rm eff}$  and  $N_{\rm eff}$  plots. Note that radiative corrections in finite order do not affect the *F* values but change the over-all energy dependence by logarithms.

(b)  $ep \rightarrow HX$ , where *H* is produced at large  $p_T$  relative to the incident *e*. This unusual process is normally not studied, but it can clarify the roles played by various subprocesses. The two basic ones for meson production are  $eq \rightarrow eq \rightarrow e(M+q)$ , with N=2, F=5, and  $\gamma q \rightarrow Mq$ , with N=3, F=4. In the first case, one has a correlated lepton recoil system, and in the second, one has a quark.

(c)  $\gamma p \rightarrow \gamma X$ . The leading subprocess for large  $p_T$  is  $\gamma q \rightarrow \gamma q$  with N=2, F=3 (see Ref. 1) and the leading one for  $\epsilon \rightarrow 0$  is  $\overline{q}B \rightarrow \gamma(qq)$  with N=5 and F=0. The latter term seems to dominate at the present (SLAC) energies (see Ref. 9 for further discussion of this reaction and inclusive photomeson production).

(d)  $pp \rightarrow \mu X$ . The Drell-Yan process  $q\overline{q} \rightarrow "\gamma" \rightarrow \mu^+ \mu^-$  predicts N=2, F=11 but there are many other possible candidates. Since the present data<sup>45</sup> indicate a constant  $\mu/\pi$  and  $l/\pi$  ratio, hadronic production mechanisms are undoubtably important. Again, a detailed  $F_{\text{eff}}$  and  $N_{\text{eff}}$  analysis will help to determine the dominant production mechanisms.

In conclusion, we have seen that the CIM can explain both the form and detailed differences between the inclusive cross sections for various produced particles in a wide kinematic regime. Further tests of this approach will have to be of a much more detailed nature. Other theories of large- $p_T$  processes are not as fully developed as the CIM. We expect that a properly formulated Regge parametrization can be constructed that would account for the data if for no other reason than the fact that each contribution in this approach has two associated arbitrary functions  $\beta(t)$  and  $\alpha(t)$ . Furthermore, the CIM has already been shown to develop Regge behavior and to predict the asymptotic behaviors of the residue and trajectory functions, and the proper threshold behavior in the triple-Regge limit. It has far fewer parameters than a pure Regge theory.

The 90° region concentrated upon this paper is most naturally associated with the pionization (central) region. The standard double-Regge parametrization does not have the threshold factor  $\epsilon^F$ which is prescribed in the CIM. The presence of such threshold factors in the model allows a unified description and smooth continuation throughout the entire Peyrou plot. The explicit powers of  $x_1$  and  $x_2$ , Eq. (5.8), do have direct Regge analogs which are present in the non-Feynman scaling terms.

The CIM in combination with the quark-counting rules provides a beautifully simple hadronic model which incorporates the following desirable properties:

 unified description of the entire Peyrou plot,
 correct crossing behavior for exclusive and inclusive amplitudes,

(3) smooth connection to Regge behavior in all appropriate limits,

(4) smooth inclusive-exclusive connection at any angle,

(5) the proper approach to Feynman scaling,

(6) the usual quark-parton model and Bjorken scaling,

(7) the quark degrees of freedom and thus the strong quantum-number dependence and duality features of hadronic reactions.

The next crucial test of the CIM involves the consistency between the predicted values of F and N for various subprocesses and their associated correlations.

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- <sup>34</sup>In the language of J. Gunion, Ref. 9, particle bremsstrahlung of an electron (by pair creation or by associated photon emission) requires only one uncompensated large-energy denominator in the limit  $x \rightarrow 1$  for each additional spectator. In contrast, for hadrons every spectator is assumed to have also appeared in the initial hadronic state. This implies two large uncompensated energy denominators per spectator. The results are quantum electrodynamics agree with the endpoint behavior of the usual equivalent photon and lepton expressions (M. C. Chen and P. Zerwas, private communication).
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- <sup>36</sup>For example, the tabulated F value for  $pp \rightarrow \overline{p}X$ ,

arising from the basic process  $q_1 + M_1 \rightarrow q_2 + M_2$ , is computed as follows: There are two spectators associated with emission of  $q_1$  from an incident nucleon, three associated with the emission of  $q_1$  from an incident nucleon, three associated with the emission of  $q_1$  from an incident nucleon, and three associated with  $M_1$ . The fragmentation of  $M_2$  into a  $\overline{p}$  requires at least three final spectators. Thus  $N_{\text{passive}} = 8$  and hence F = 15.

<sup>37</sup>Equation (5.1) also provides a convenient covariant form for the calculation of the two-photon process  $ee \rightarrow eeA\overline{A}$ . In this case the *F*'s are computed from the equivalent-photon approximation.

<sup>38</sup>A simple realization of coherent sum which gives Regge behavior is

$$G_{a/A}(x) = \sum_{\delta=0, 1, \dots} \frac{[\lambda (1-x)^3 \ln(1/x)]^{\delta}}{\delta!} \times (1-x)^{2n} (\tilde{a}A) - 1x^{2n}a^{-1}.$$

which matches with the endpoint behavior of Eq. (5.6) if  $h = 2n_a - 1 - \lambda = -\alpha$ . This form shows that the contribution from an extra spectator quark pair vanishes faster by a factor  $(1-x)^4$  at  $x \to 1$ . This form for Regge behavior is typical to what is obtained from

summing ladder graphs in field theory, as shown, for example, in the pseudoscalar model by S. Drell, D. Levy, and T. M. Yan, Phys. Rev. D <u>1</u>, 1035 (1970). Examples of valence structure functions which vanish at x = 0 are also discussed here and in Refs. 1 and 39. <sup>39</sup>J. F. Gunion, Ref. 9.

- <sup>40</sup>In order to illustrate the effects of the exact integration using detailed distribution functions, we have computed Eq. (5.1) directly, employing the nucleon's quark distribution extracted from the data in Ref. 39, convoluted with a representative  $(1-x)^5$  distribution. The result is that  $F_{\text{eff}}$  is close to the minimal value F = 9 through most of the range of  $\epsilon$ , but is 1 or 2 units less at  $\epsilon \sim 1$ . To the extent that the shape of  $\nu W_2$  and the behavior of the latter calculational example are representative, it is unlikely that a given
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  <sup>43</sup>Such processes are discussed by M. Teper, Ref. 12.
  <sup>44</sup>D. Sivers (private communication).
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