# Matrix mechanics as a practical tool in quantum theory: The anharmonic oscillator\*

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(Received 7 April 1975)

For an anharmonic oscillator, it follows from the number of zeros of the exact wave functions as functions of the quantum number *n* that the matrix elements  $\langle n|x|n' \rangle$  and  $\langle n|p|n' \rangle$ should be rapidly decreasing functions of |n - n'|. Matrix elements of polynomials in *x* and *p* should therefore be well approximated by a finite number of terms in their sum-rule decomposition. From the matrix elements of the equations of motion for *x* and for *p* and of the commutator [x, p], one thereby obtains closed sets of nonlinear algebraic equations to characterize subspaces of the Hilbert space of exact eigenfunctions. The approximations are also derived from a novel variational principle, and numerous variant approximation schemes are suggested. Essentially exact numerical results are obtained and compared with previous work. The broad applicability of the techniques is emphasized.

## I. INTRODUCTION

For more than a decade, one of the authors (A. K.) and his associates have been studying problems of nuclear and other collective motion utilizing, among other variants, a calculus derived from matrix elements of the Heisenberg equations of motion and of the commutation relations.<sup>1</sup> When tested on exactly soluble models, these methods of matrix mechanics have proved to be convenient and accurate means of computing both eigenvalues and matrix elements of operators.

It has recently occurred to the authors that the characteristic manner in which the concept of collectivity defines a viable approximation scheme may render the method more widely applicable to problems in the quantum mechanics of particles and fields than was originally contemplated. In this paper we shall illustrate this thesis by studying the anharmonic oscillator with quartic interaction, defined by the Hamiltonian

$$H = \frac{1}{2}(p^2 + x^2) + \frac{1}{4}\lambda x^4 . \tag{1.1}$$

This Hamiltonian has received a surprising amount of attention in recent years directed toward both theoretical and numerical aspects of the problem.<sup>2-8</sup> On the whole, our own efforts have a practical orientation. Our aim is to develop a complete calculational scheme that is neither the direct numerical integration of the Schrödinger equation nor the diagonalization of the Hamiltonian, in a definite preselected basis. In Sec. II we describe a straightforward and systematic version of the method and the one we have exploited numerically: From the equations of motion

$$[x,H] = ip, \qquad (1.2)$$

$$[p,H] = -ix - i\lambda x^3, \qquad (1.3)$$

and from the commutation relation

$$[x,p] = i , \qquad (1.4)$$

we simply form matrix elements between the *exact* eigenstates of H. By the use of sum rules to evaluate the matrix elements of polynomials of x and p and the assumption, which is the *sine qua non*, that these sum rules saturate rapidly, we derive a closed approximate set of nonlinear algebraic equations for a finite set of matrix elements of x and p. The elementary argument for anticipating convergence is given and the method for solution is described (in the Appendix).

In Sec. IV we present the results of our calculation, which are seen to justify our previous optimism; the results converge as anticipated for any value of  $\lambda$ , clearly exhibiting the nonperturbative character of our method. Some comparison with previous work is presented.

In Sec. III we adjoin some theoretical considerations. We show how the calculational scheme of the previous section can be derived from a novel variational principle. We also discuss briefly a number of variants of our scheme. We conclude in Sec. V with a brief discussion.

In this paper we have emphasized methods appropriate to low-lying states; higher approximations proceed by adding states of higher energy. The methods are, however, readily adapted to the study of the semiclassical limit, and thus provide a powerful alternative to the WKB approximation. This remark forms the basis of the work of Halpern,<sup>8</sup> whose approach is closest in spirit to our own. It is our intention to return to the semiclassical limit in a separate publication.

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# II. A COMPUTATIONAL SCHEME BASED ON MATRIX MECHANICS

A. General equations

Let  $|n\rangle$ , with n = 0, 1, 2, ..., be the exact normalized eigenstates of the Hamiltonian (1.1),

$$H|n\rangle = E_n|n\rangle \tag{2.1}$$

and

 $(E_{n})$ 

$$\langle n | H | n' \rangle = 0$$
 if  $n \neq n'$ . (2.2)

In this representation, Eq. (1.4) becomes

$$\sum_{n''} \left( \langle n | x | n'' \rangle \langle n'' | p | n' \rangle - \langle n | p | n'' \rangle \langle n'' | x | n' \rangle \right) = i \delta_{nn'}.$$
(2.3)

Equations (1.2) and (1.3) become

$$(E_{n'} - E_n)\langle n | x | n' \rangle = i \langle n | p | n' \rangle, \qquad (2.4)$$

$$\begin{aligned} (x - E_n) \langle n | p | n' \rangle \\ &= -i \langle n | x | n' \rangle \\ &- i \lambda \sum_{n''} \sum_{n'''} \langle n | x | n'' \rangle \langle n'' | x | n''' \rangle \langle n''' | x | n'' \rangle. \end{aligned}$$

$$(2.5)$$

We emphasize that the conditions  $\langle n | H | n' \rangle = E_n \delta_{nn'}$ expressing (2.1) and (2.2) have been used in deriving the equations above.

It is convenient to eliminate the energies as variables between the above two equations of motion. Thus, we find

$$\langle \boldsymbol{n} | \boldsymbol{p} | \boldsymbol{n}' \rangle^{2}$$
  
=  $-\langle \boldsymbol{n} | \boldsymbol{x} | \boldsymbol{n}' \rangle^{2}$   
 $- \lambda \langle \boldsymbol{n} | \boldsymbol{x} | \boldsymbol{n}' \rangle \sum_{\boldsymbol{n}''} \sum_{\boldsymbol{n}'''} \langle \boldsymbol{n} | \boldsymbol{x} | \boldsymbol{n}'' \rangle \langle \boldsymbol{n}'' | \boldsymbol{x} | \boldsymbol{n}''' \rangle \langle \boldsymbol{n}''' | \boldsymbol{x} | \boldsymbol{n}' \rangle.$   
(2.6)

If we apply the assumption that sum rules are saturated by a reasonable number of states, Eqs. (2.2), (2.3), and (2.6) then constitute a closed set of nonlinear algebraic equations to be satisfied by all the matrix elements  $\langle n | x | n' \rangle$  and  $\langle n | p | n' \rangle$  between these states.

As in the case of the harmonic oscillator, the energy eigenstates have definite parities which alternate. Hence

$$\langle n | x | n' \rangle = \langle n | p | n' \rangle = 0, \quad |n - n'| \text{ even}.$$
 (2.7)

In addition, time-reversal invariance allows us to choose

$$\langle \boldsymbol{n} | \boldsymbol{x} | \boldsymbol{n}' \rangle = \langle \boldsymbol{n}' | \boldsymbol{x} | \boldsymbol{n} \rangle,$$

$$\langle \boldsymbol{n} | \boldsymbol{p} | \boldsymbol{n}' \rangle = -\langle \boldsymbol{n}' | \boldsymbol{p} | \boldsymbol{n} \rangle.$$

$$(2.8)$$

For numerical purposes it is convenient to express these restrictions by a change of notation. With n = 2I - 2 and n' = 2J - 1, we set

$$X(I,J) = \langle n | x | n' \rangle = \langle n' | x | n \rangle,$$
  

$$Y(I,J) = -i \langle n | p | n' \rangle = i \langle n' | p | n \rangle.$$
(2.9)

The first index of X(I, J) and Y(I, J) refers to the *I*th even-parity states and the second refers to the *J*th odd-parity state. In this new notation, Eq. (2.6) becomes

$$-Y(I,J)^{2} + X(I,J)^{2} + \lambda X(I,J) \sum_{I'} \sum_{J'} X(I,J') X(I',J') X(I',J)$$
$$\equiv E_{\mu}(I,J) = 0, \quad (2.10)$$

and Eq. (2.3) divides into two sets, one for each parity, namely,

$$\sum_{J} \left[ X(I,J)Y(I',J) + Y(I,J)X(I',J) \right] + \delta(I,I')$$
$$\equiv C_{E}(I,I') = 0 \quad (2.11)$$

and

$$\sum_{I} \left[ X(I,J) Y(I,J') + Y(I,J) X(I,J') \right] - \delta(J,J')$$
  
$$\equiv C_0(J,J') = 0 . \quad (2.12)$$

## B. Approximation scheme based on the ground state

We first describe an approximation scheme which starts with the ground state and works up in energy. Actually we can start at an excited state, if we assume we know all the relevant matrix elements to lower states. This idea will allow us later to reach rather high states in the spectrum without having to consider at one time all states below.

The basic physical idea behind the construction of an approximation scheme is that the matrix elements  $\langle n | x | n' \rangle$  and  $\langle n | p | n' \rangle$  decrease rapidly with increasing |n - n'|. That this should be so is intuitively clear from the standard consideration of the number of zeros for different *n* of the wave functions in coordinate space (or momentum space). By considering the matrix element  $\langle 0 | x^3 | 1 \rangle$  which enters into  $E_M(1, 1)$ , the first equation of motion considered, we see that

$$\langle 0 | x^{3} | 1 \rangle \cong \langle 0 | x | 1 \rangle \langle 1 | x | 0 \rangle \langle 0 | x | 1 \rangle$$

$$+ \langle 0 | x | 1 \rangle \langle 1 | x | 2 \rangle \langle 2 | x | 1 \rangle$$

$$+ \cdots, \qquad (2.13)$$

where  $\cdots$  refers to smaller terms. Since  $\langle 0 | x | 1 \rangle$  is of the same order as  $\langle 1 | x | 2 \rangle$ , we conclude that the lowest order of approximation which

makes physical sense in this problem is to work with three states 0, 1, 2.

Owing to the parity consideration, it is easy to see that we must include two more states, one of each parity, for each further step of approximation. Thus, in the  $\nu$ th order of approximation we have  $2\nu + 1$  states,  $\nu + 1$  of even parity and  $\nu$  of odd parity. At this stage we have  $2\nu(\nu+1)$  variables, namely X(I,J) and Y(I,J) with  $1 \le I \le \nu + 1$ ,  $1 \le J \le \nu$ . Hence, to find the solutions for these matrix elements, we also need  $2\nu(\nu+1)$  independent equations. In fact, for every possible order of approximation, we always have more than enough equations to be satisfied by the matrix elements. This redundancy is a general feature of the present algebraic method. It gives us alternatives for selecting a closed set of equations to determine the relevant variables and provides us room for self-consistent checks.

Since the diagonalization of the Hamiltonian has already been used in deriving the equations of motion (2.10), we leave the conditions (2.2) as the set of self-consistent checks to the final solutions. Thus, Eqs. (2.10)-(2.12) are the remaining equations available. Totally there are still  $\nu$ +1 equations more than necessary. To carry through a general scheme, we omit those even commutator equations in (2.11) which most severely involve the boundary  $I = \nu + 1$ ,  $I' = 1, 2, ..., \nu + 1$ . This is sensible since the main truncation errors come from matrix elements involving boundary states. However, as we shall note in the next section, this was not the only possible course open to us.

In the  $\nu$ th order of approximation, therefore, we solve the following three sets of equations:

$$E_{M}(I,J) = 0, \ 1 \le I \le \nu + 1, \ 1 \le J \le \nu,$$
 (2.14)

$$C_E(I, I') = 0, \ 1 \le I' \le I \le \nu,$$
 (2.15)

$$C_{O}(J,J') = 0, \ 1 \le J' \le J \le \nu,$$
 (2.16)

which comprise  $\nu(\nu+1)$ ,  $\frac{1}{2}\nu(\nu+1)$ , and  $\frac{1}{2}\nu(\nu+1)$ equations, respectively. The basic method utilized in solving these nonlinear equations, which has been described previously,<sup>9</sup> is summarized and extended in the Appendix. The technique described therein allows us to write a program suitable for every possible order of approximation. The results of our calculation are given in Sec. IV.

Of course,  $\nu$  will have some upper limit for the above program determined by computer capacity or economics, or both. The structure of the problem allows us to overcome these limitations to a considerable extent in seeking information about high-lying states. To within the preassigned accuracy of the solutions of (2.14)-(2.16), we should and do find that there is a  $\nu_0$ such that for  $\nu > \nu_0$  the matrix elements X(1, 1) and Y(1, 1) are independent of  $\nu$ , i.e., we have found their exact value. For a still greater  $\nu_0$ , others of the matrix elements relating to the lowest-lying states will have converged to their exact values. Treating these matrix elements as known constants, we can increase  $\nu$  commensurately without thereby increasing the number of variables in the problem defined by (2.14)-(2.16). If carried out systematically, such a procedure can carry us as high into the spectrum as we have patience to probe. The results of a specific procedure of this type will also be described in Sec. V.

## **III. VARIATIONAL CONSIDERATIONS**

The starting point of such considerations was the thought that the matrix elements  $\langle n | \mathbf{x} | n' \rangle$  and  $\langle n | \mathbf{p} | n' \rangle$  might be useful as variational parameters for a Rayleigh-Ritz principle.<sup>10</sup> The stimulus for such a suggestion comes from the application of sum rules to the evaluation of  $\langle n | H | n \rangle$ ,

$$\langle n | H | n \rangle = \sum_{n'} \left( \frac{1}{2} \langle n | p | n' \rangle \langle n' | p | n \rangle + \frac{1}{2} \langle n | x | n' \rangle \langle n' | x | n \rangle \right) + \frac{1}{4} \lambda \sum_{n'n''n'''} \left( \langle n | x | n' \rangle \langle n' | x | n'' \rangle \langle n'' | x | n''' \rangle \langle n''' | x | n \rangle \right),$$

$$(3.1)$$

and the desire to study the condition that  $\langle n | H | n \rangle$  be stationary.

One is, however, confronted with two obvious difficulties:

(i) How many of the matrix elements are independent variables?

(ii) The same matrix elements recur in different energy functionals. Thus  $\langle n | x | n' \rangle$  occurs in both  $\langle n | H | n \rangle$  and  $\langle n' | H | n' \rangle$ . This is to be expected

since this matrix element helps determine two wave functions.

A symmetrical solution to the second problem is to form the trace of H over the subspace considered and to require

$$\delta \operatorname{Tr} H = \delta \sum_{n} \langle n | H | n \rangle = 0.$$
(3.2)

A solution to the first problem is to impose all

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the kinematical constraints within the subspace, namely

$$\delta\langle n | [x, p] | n' \rangle = 0.$$
(3.3)

To exploit (3.3), we multiply by a Lagrange multiplier  $i\langle n'|h|n\rangle$ , sum over n, n', and subtract the results from (3.2). (Note that the elements  $\langle n'|h|n\rangle$  form a Hermitian matrix.) We are thus led to a master variational principle,

$$\delta \operatorname{Tr}\{H - ip[h, x]\} = \delta \operatorname{Tr}\{H - ix[p, h]\} = 0.$$
 (3.4)

This yields the equations

$$\langle n | p | n' \rangle - i \langle n | [h, x] | n' \rangle = 0, \qquad (3.5)$$

$$\langle n | x | n' \rangle + \lambda \langle n | x^3 | n' \rangle + i \langle n | [h, p] | n' \rangle = 0.$$
 (3.6)

Up to now the basis  $|n\rangle$  was arbitrary (because of the invariance of the trace), but the most convenient choice is the one in which h is diagonal. Equivalently, identifying h with the Hamiltonian certifies (3.5) and (3.6) as the equations of motion.

As we have asserted in the previous section, this formulation provides a convenient basis for a systematic numerical treatment of the problem. There are, however, infinitely many variants, several of which have been found useful in the applications to the many-body problem.<sup>11</sup> It is perhaps worth listing some of these variants in order to emphasize the flexibility of the method. In comparing this listing with the method described in the previous section, we keep in mind that in the latter we use all the equations derived from the variational principle (3.4) and *most* but not all of the commutation relations. The variants are the following.

(i) Substitute conditions  $\langle n|H|n'\rangle = 0$ ,  $n \neq n'$ , for the least accurate equations of motion. For instance, for  $\nu = 1$ , we normally use two equations of motion (n, n') = (0, 1) and (1, 2) and two commutation relations (0, 0) and (1, 1). For the equation of motion (1, 2) we would substitute the condition  $\langle 0|H|2\rangle = 0$ . As Halpern has noted,<sup>8</sup> if we use *all* the kinematical constraints the conditions that the Hamiltonian be diagonal completely define the dynamics. For the case  $\nu = 1$ , we would adjoin to the condition  $\langle 0|H|2\rangle = 0$  the three commutation relations (0, 0), (1, 1), (0, 2).

The following further possibilities arise.

(ii) There was no compulsion to form the trace in (3.2). Thus we consider more generally, with arbitrary  $\alpha_n$ ,

$$\delta \sum_{n} \alpha_n \langle n | H | n \rangle = 0 .$$
 (3.7)

A rationale for choosing a weighted average exists. It is that in any given order of approximation the energies of the lower states are more accurately determined and therefore should be emphasized in the variational method. Proceeding as before we see that there follow again "equations of motion" but these are no longer recognized as the Heisenberg equations of motion. Therefore,  $h \neq H$ . If we insist that h be diagonal we determine a representation of x and p, but after all this we must take the further steps of constructing the Hamiltonian matrix in our subspace and diagonalizing it, thus carrying out a unitary transformation on x and p.

Again the double procedure just described may be avoided by not insisting that h be diagonal, and including the H diagonal conditions as part of the nonlinear system, either in place of some of the equations of motion or in place of some of the off-diagonal commutation relations.

The variants just described subsume, except for purely technical differences, all the cases which have been considered by us in the past.

> IV. CONVERGENCE AND APPLICATION TO HIGHER-STATE CALCULATIONS A. Convergence of the numerical results

In this subsection we present some of the computer solutions of Eqs. (2.14)-(2.16) for various orders of approximation to show the rapid convergence of the method. From the wide range of anharmonicity for which these results were calculated, one can clearly see that our method is nonperturbative in spirit.

In Table I some of the matrix elements relating to the lowest states are given. For a given order of approximation, it is clear that the least accurate results are those matrix elements X(I, J)and Y(I, J) for which  $I \cong \nu + 1$  and  $J \cong \nu$ . But when they recur in the calculations of the next orders of approximation, they also converge very rapidly. Although we have only listed the results for  $\lambda = 1.0$ , the rapid convergence of the numerical results is a general feature of our method for the whole range of anharmonicity. This feature can also be seen from the results of the energies shown in Table II. The basic physical idea of the method is thus well verified.

Once the most significant matrix elements have been found we can actually calculate all other physical quantities of interest to us, especially the energy eigenvalues. Usually the  $2\nu + 1$  energies in the  $\nu$ th order of approximation can be calculated from the expressions of the diagonal Hamiltonian matrix elements in terms of X(I,J) and Y(I,J). However, in what follows the results evaluated from (0|H|0) and the equations of motion (2.4) are much more accurate, especially

| $\frac{\text{Matrix}}{\text{elements}} \nu$ | 1                           | 2                            | 3                                     | 4                                    | 5                                    | 6                             |
|---|-----------------------------|------------------------------|---------------------------------------|--------------------------------------|--------------------------------------|-------------------------------|
| X(1, 1)<br>Y(1, 1)                          | $0.59107949 \\ -0.84590991$ | 0.59533663<br>-0.83652938    | $0.59534389 \\ -0.83648159$           | 0.595 343 90<br>-0.836 481 48        | 0.59534390<br>-0.836 481 48          | 0.58534390<br>-0.836 481 48   |
| X(2, 1)<br>Y(2, 1)                          | $0.83591264\ 1.19629726$    | 0.77109732<br>1.28802730     | $0.770\ 988\ 14\ 1.289\ 275\ 35$      | $0.770\ 876\ 78$<br>$1.289\ 279\ 20$ | $0.770\ 876\ 78$<br>$1.289\ 279\ 20$ | 0.77087678<br>1.28927920      |
| X(1, 2)<br>Y(1, 2)                          |                             | 0.01998137<br>-0.09926345    | 0.020 133 97<br>-0.099 396 90         | 0.020 134 22<br>-0.099 395 62        | 0.02013422<br>-0.09939562            | 0.020 134 22<br>-0.099 395 62 |
| X(2, 2)<br>Y(2, 2)                          |                             | 0.88831169<br>-1.680 $93521$ | 0.894 473 22<br>-1.663 056 71         | $0.894\ 483\ 48 - 1.662\ 958\ 52$    | 0.89448350<br>-1.662 958 27          | 0.89448350<br>-1.662 958 27   |
| X(3, 1)<br>Y(3, 1)                          |                             | $0.03020506 \\ 0.15964795$   | $0.02723704\ 0.15089270$              | 0.027 228 59<br>0.150 913 07         | $0.02722857\ 0.15091319$             | 0.02722857<br>0.15091319      |
| X(3, 2)<br>Y(3, 2)                          |                             | $1.07614896\ 1.85399765$     | $0.992\ 766\ 90\ 1.993\ 718\ 39$      | 0.992 484 34<br>1.995 725 54         | $0.99248387\ 1.99573195$             | 0.992 483 87<br>1.995 731 96  |
| X(1, 3)<br>Y(1, 3)                          |                             |                              | $0.000\ 705\ 54$<br>-0.006\ 43\ 7\ 72 | $0.000\ 710\ 92\ -0.006\ 461\ 12$    | 0.000 710 93<br>-0.006 461 10        | 0.000 710 93<br>-0.006 461 10 |
| X(2, 3)<br>Y(2, 3)                          |                             |                              | $0.032\ 311\ 19\ -0.195\ 387\ 66$     | 0.032 557 76<br>-0.195 699 75        | 0.03255816<br>-0.19569729            | 0.03255817<br>-0.19569729     |
| X(3, 3)<br>Y(3, 3)                          |                             |                              | 1.06743137<br>-2.326 526 54           | 1.074 935 58<br>-2.301 311 93        | $1.074\ 948\ 04$<br>-2.301 168 56    | 1.07494806<br>-2.301 168 18   |
| X(4, 1)<br>Y(4, 1)                          |                             |                              | 0.00108835<br>0.01050257              | 0.000 981 54<br>0.009 752 30         | $0.000\ 981\ 23\ 0.009\ 752\ 05$     | 0.00098123<br>0.00975206      |
| X(4, 2)<br>Y(4, 2)                          |                             |                              | $0.040\ 957\ 32\ 0.250\ 587\ 90$      | 0.036 939 74<br>0.236 566 09         | $0.036\ 928\ 22 \\ 0.236\ 597\ 54$   | $0.03692820\ 0.23659773$      |
| X(4, 3)<br>Y(4, 3)                          |                             |                              | $\frac{1.24446923}{2.40240988}$       | 1.14715500<br>2.58383612             | 1.14682375<br>2.58653135             | 1.14682320<br>2.58654015      |

TABLE I. Convergence of the results for the matrix elements X(I,J) and Y(I,J) calculated in the first six orders of approximation;  $\lambda = 1.0$ .

for lower orders and the boundary states in a given order. We thus write

$$E(0) = (0 | H | 0)$$
  
=  $\frac{1}{2} \sum_{J=1}^{\nu} \left[ Y(1, J)^2 + X(1, J)^2 \right]$   
+  $\frac{\lambda}{4} \sum_{J=1}^{\nu} \sum_{I=1}^{\nu+1} \sum_{J'=1}^{\nu} X(1, J) X(I, J) X(I, J') X(1, J') .$   
(4.1)

The odd-parity-state energies are given by

$$E(2J-1) = E(0) - \frac{Y(1,J)}{X(1,J)}, \quad J = 1, \dots, \nu$$
 (4.2)

and the even-parity-state energies by

$$E(2I-2) = E(1) + \frac{Y(I,1)}{X(I,1)}, \quad I = 2, \dots, \nu + 1.$$
(4.3)

In Table II we show the first eleven energy eigenvalues evaluated with (4.1)-(4.3) in the first six orders of approximation for four different values of  $\lambda$ , i.e.,  $\lambda = 0.01$ , 0.10, 1.00, and 10.0.

It can be clearly seen that the energies of the lower states converge very rapidly to their exact values. The results for the upper states are less accurate, as anticipated. In prinicple we can find their exact values by successively increasing the level of approximation. However, in practice, this has an upper limit set by computer capacity or economy. In the next subsection, we shall develop a general scheme which will enable us to evaluate accurately the matrix elements and energies of the upper states for large n. All further results reported below were calculated with this scheme.

As mentioned before, we use the off-diagonal Hamiltonian equations (2.2) as self-consistency checks of our results. For those not seriously involving the boundary states, these checks are always very good. They also improve as we increase the order of approximation.

#### B. Application to the calculations of higher states

As asserted at the end of Sec. II and justified by the numerical results in the previous subsection, the most significant matrix elements relating to TABLE II. Convergence of the results for the energies calculated in the first six orders of approximation;  $\lambda = 0.01, 0.10, 1.00, 10.0$ .

| $n \setminus v$ | 1                 | 2            | 3                   | 4             | 5                    | 6                            |  |
|-----------------|-------------------|--------------|---------------------|---------------|----------------------|------------------------------|--|
|                 |                   |              | ) = (               | 0.01          |                      |                              |  |
|                 |                   |              | χ=(                 | 0.01          |                      |                              |  |
| 0               | 0.50186114        | 0.50185891   | 0.50185891          | 0.50185891    | 0.50185891           | 0.50185891                   |  |
| 1               | 1.50927842        | 1.50924977   | 1.50924977          | 1.50924977    | 1.50924977           | 1.50924977                   |  |
| 2               | 2.51669569        | 2.52391282   | 2.52391312          | 2.52391312    | 2.52391312           | 2.52391312                   |  |
| 3               |                   | 3.545 787 73 | 3.545 705 52        | 3.545 705 51  | 3.545 705 51         | 3.54570551                   |  |
| 4               |                   | 4.56382317   | 4.57448971          | 4.57449063    | 4.57449063           | 4.57449063                   |  |
| 5               |                   |              | 5.61030064          | 5.61013873    | 5.610 138 72         | 5.610 138 72                 |  |
| 0               |                   |              | 6.63662870          | 6.652 524 08  | 6.652 526 08         | 6.652 526 08                 |  |
| 8               |                   |              |                     | 8 737 216 53  | 8 757 048 04         | 7.701 004 00<br>8 757 051 69 |  |
| 9               |                   |              |                     | 0.10121000    | 9 819 345 37         | 9 818 969 10                 |  |
| 10              |                   |              |                     |               | 10.863 576 56        | 10.88717761                  |  |
|                 |                   |              | $\lambda = 0$       | 10            |                      |                              |  |
|                 |                   |              |                     |               |                      |                              |  |
| 0               | 0.51752077        | 0.51736489   | 0.51736485          | 0.51736485    | 0.51736485           | 0.51736485                   |  |
| 1               | 1.585 443 78      | 1.58361397   | 1.58361268          | 1.58361268    | 1.58361268           | 1.583 612 68                 |  |
| 2               | 2.653.366.79      | 2.708 542 86 | 2.70863065          | 2.70863071    | 2.70863071           | 2.70863071                   |  |
| 3<br>1          |                   | 5 020 260 24 | 5 10765744          | 5 107 994 09  | 5 107 925 09         | 3.00013004<br>5 10799509     |  |
| 5               |                   | 5.030 500 54 | 6 378 161 14        | 6 372 669 65  | 6 372 662 36         | 6 372 662 35                 |  |
| 6               |                   |              | 7 581 662 64        | 7 676 096 04  | 7 676 369 91         | 7 676 370 19                 |  |
| 7               |                   |              | 1.001 001 01        | 9.023 536 49  | 9.01630243           | 9 016 291 21                 |  |
| 8               |                   |              |                     | 10.281 182 41 | 10.38981424          | 10.390 185 53                |  |
| 9               |                   |              |                     |               | 11.805 000 65        | 11.796 156 89                |  |
| 10              |                   |              |                     |               | 13.11119596          | 13.23203233                  |  |
|                 |                   |              | $\lambda = 1$       | 1.00          |                      |                              |  |
| 0               | 0 694 016 49      | 0 620 022 21 | 0 620 927 04        | 0 620 927 02  | 0 620 027 02         | 0 690 097 09                 |  |
| 1               | 2 055 143 57      | 2 026 068 96 | 2 025 966 40        | 2 025 966 16  | 2 025 966 16         | 2 025 966 16                 |  |
| 2               | 3 486 270 71      | 3 696 451 23 | 3 698 444 77        | 3 698 450 31  | 3 698 450 32         | 3 698 450 32                 |  |
| 3               | 0.100 110 11      | 5.588 732 08 | 5.557 703 02        | 5.55757744    | 5.55757714           | 5.557 577 14                 |  |
| 4               |                   | 7.311 539 57 | 7.56594715          | 7.568 415 77  | 7.56842286           | 7.56842287                   |  |
| 5               |                   |              | 9.74550322          | 9.70929935    | 9.70914825           | 9.70914788                   |  |
| 6               |                   |              | 11.67597204         | 11.96168558   | 11.96453524          | $11.964\ 543\ 60$            |  |
| 7               |                   |              |                     | 14.36395309   | <b>14.323 438 33</b> | 14.32326564                  |  |
| 8               |                   |              |                     | 16.46144700   | 16.77326833          | 16.77644329                  |  |
| 9               |                   |              |                     |               | 19.36137843          | 19.31714636                  |  |
| 10              |                   |              |                     |               | 21.600 952 68        | 21.93537804                  |  |
|                 | $\lambda = 10.00$ |              |                     |               |                      |                              |  |
| 0               | 1.02352050        | 1.00920933   | 1.00917042          | 1.00917032    | 1.00917032           | 1.00917032                   |  |
| 1               | 3.62476468        | 3.50737035   | 3.506 741 63        | 3.506 739 60  | 3.506 739 59         | 3.506 739 59                 |  |
| <b>2</b>        | 6.22600887        | 6.72576982   | 6,733 <b>8</b> 3330 | 6.73386511    | 6.73386521           | 6.73386521                   |  |
| 3               |                   | 10.50396429  | 10.40753241         | 10.40698525   | 10.40698348          | 10.40698347                  |  |
| 4               |                   | 13.83087463  | 14.42893283         | 14.43746533   | 14.43749808          | 14.43749818                  |  |
| 5               |                   |              | 18.87338474         | 18.76998136   | <b>18.769</b> 409 46 | 18.76940763                  |  |
| 6               |                   |              | 22.69617957         | 23.35578038   | 23.36481823          | 23.36485235                  |  |
| 7               |                   |              |                     | 28.30700870   | 28.196 686 78        | 28.19608671                  |  |
| 8               |                   |              |                     | 32.52018647   | 33.23215432          | 33.241 685 92                |  |
| 9               |                   |              |                     |               | 38.60201936          | 38.48530831                  |  |
| 10              |                   |              |                     |               | 43.143 035 43        | 43,900 897 78                |  |

=

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the lowest states converge very rapidly and, to within the preassigned accuracy, become independent of further levels of approximation. By treating them as known constants we can enlarge the level of approximation without at the same time increasing the number of equations to be solved. This feature then enables us to evaluate the matrix elements, and thereby the energies of the upper states, essentially with the same ease as before.

Suppose that we have found the matrix elements of the lowest states by the  $\nu$ th-order approximation and consider the most significant matrix elements relating to the lowest two states as essentially exact. In the next step of calculation we include two more upper states, one for each parity. This time we are going to solve for the  $2\nu(\nu+1)$  matrix elements X(I,J) and Y(I,J) with  $I = 2, \ldots, \nu+2$ and  $J = 2, \ldots, \nu+1$  from the following equations:

$$E_{\mathcal{H}}(I,J) = -Y(I,J)^2 + X(I,J)^2 + \lambda X(I,J) \sum_{I'=1}^{\nu+2} \sum_{J'=1}^{\nu+1} X(I,J') X(I',J') X(I',J) = 0$$

where

7 0

 $I=2,\ldots,\nu+2,$ 

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$$J = 2, \dots, \nu + 1,$$
  

$$C_{E}(I, I') = \sum_{J=1}^{\nu+1} \left[ X(I, J) Y(I', J) + Y(I, J) X(I', J) \right] + \delta(I, I')$$
  

$$= 0,$$

where

 $I = 2, ..., \nu + 1,$  I' = 2, ..., I,  $C_{O}(J, J') = \sum_{I=1}^{\nu+2} \left[ X(I, J) Y(I, J') + Y(I, J) X(I, J') \right]$   $-\delta(J, J')$  = 0,

where

$$J = 2, ..., \nu + 1,$$
  
 $J' = 2, ..., J.$ 

The matrix elements X(1, J), Y(1, J) and

X(I, 1), Y(I, 1) with  $J = 1, ..., \nu$ ,  $I = 1, ..., \nu + 1$  are included in all the above intermediate summations but are regarded as known constants.

 $X(1, \nu+1), Y(1, \nu+1)$  and  $X(\nu+2, 1), Y(\nu+2, 1)$  occur in the sums but are set to zero because they are not in the range of the previous  $\nu$ th-order calculation and are usually very small. The previous results for X(I,J), Y(I,J) with  $I,J \ge 2$  are to be used as starting values for the solution of Eqs. (4.4)-(4.6). For those matrix elements relating to the two upper states which have just been added to the set of variables, the method of assigning proper initial values described in (A5) was used.

The procedure described above can be successively applied to calculate the matrix elements for more and more higher states. However, because of the rapidly decreasing nature of the matrix elements, it is not necessary that the intermediate summations always start from the lowest even-parity or odd-parity state. Therefore, at the *l*th step of such a calculation we solve for X(I,J), Y(I,J) with  $I = l, \ldots, \nu + l, J = l, \ldots, \nu + l - 1$  from

$$E_{M}(I,J) = -Y(I,J)^{2} + X(I,J)^{2}$$
$$+ \lambda X(I,J) \sum_{I'=I_{0}}^{\nu+1} \sum_{J'=J_{0}}^{\nu+1-1} X(I,J') X(I',J') X(I',J)$$
$$= 0.$$

with

(4.4)

(4.5)

(4.6)

$$l \leq I \leq \nu + l; \quad l \leq J \leq \nu + l - 1,$$

$$C_{E}(I,I') = \sum_{J=J_{0}}^{\nu+l-1} \left[ X(I,J)Y(I',J) + Y(I,J)X(I',J) \right] + \delta(I,I)$$
  
= 0,

with

$$l \leq I' \leq I \leq \nu + l - 1,$$

and

$$C_{0}(J, J') = \sum_{I=I_{0}}^{\nu+I} \left[ X(I, J) Y(I, J') + Y(I, J) X(I, J') \right]$$
  
-  $\delta(J, J')$   
= 0

with

$$l \leq J' \leq J \leq \nu + l - 1$$

where  $I_0 = J_0 = \max(1, l - \nu)$ . At each step we include at most  $\nu$  possible lower states for all the dummy indices I and J. This is sufficient to take the lower boundary effects properly into account. Since at each step we are primarily interested in results relating to the lth even-parity and odd-parity states, which are already nested in the center of all the states included in the intermediate sums in this way, it appears that there is no need to sum over more lower states.

The energies for the  $2\nu+1$  states at the *l*th-step calculation are evaluated from the formulas

(4.7)

(4.8)

(4.9)

(4.10)

$$\begin{split} \omega_1 &= E(2l-2) \\ &= \frac{1}{2} \sum_{J=J_0}^{\nu+l-1} \left[ Y(l,J)^2 + X(l,J)^2 \right] \\ &+ \frac{\lambda}{4} \sum_{J=J_0}^{\nu+l-1} \sum_{I=I_0}^{\nu+l} \sum_{J'=J_0}^{\nu+l-1} X(l,J) X(I,J) X(I,J') X(l,J') \,, \end{split}$$

where

$$I_0 = J_0 = Max(1, l - \nu),$$

$$E(2J-1) = \omega_1 - \frac{Y(l,J)}{X(l,J)} \text{ for } J = l, \dots, \nu + l - 1;$$
(4.11)

and

$$\omega_2 = E(2l-1), \qquad (4.12)$$

$$E(2I-2) = \omega_2 + \frac{Y(I, l)}{X(I, l)} \text{ for } I = l+1, \dots, \nu+l.$$
(4.13)

For any two successive steps of such a calculation there are always  $2\nu - 1$  energies in common being evaluated from (4.10)-(4.13). This provides us consistency checks on the results of the two steps. By picking up the first two energies calculated at each step, we can find the energy eigenvalues of all the states up to very large n. Besides, we have found that if the third energy of the (l-1)st step is the same as the first one of the *l*th step to some decimal digit, then, to a very good approximation, the first two energies calculated at the *l*th step are also accurate to that digit.

In Table III the results for some of the energies calculated with this step-by-step procedure are given. In Table IV we compare our results with the previous work done by Chan, Stelman, and Thompson.<sup>3</sup> To be consistent we transform the operators x and p into the operators X and P used in their work. Besides, we include another parameter  $\mu$  before the harmonic interaction term. This requires a trivial modification of our method. Hence the Hamiltonian becomes

$$H = \frac{1}{2}p^{2} + \frac{1}{2}\mu x^{2} + \frac{1}{4}\lambda x^{4}. \qquad (4.14)$$

By setting

$$x = (k/\sqrt{2}) X,$$

$$p = (1/k\sqrt{2})P,$$
(4.15)

we have the correct commutation rule for X and P, i.e.,

$$[X,P]=2i$$
.

Also, this brings our Hamiltonian (4.14) into the form

TABLE III. Results of the first 22 energies calculated by the step-by-step procedure with  $\nu$  = 5;  $\lambda$  = 0.01, 0.10, 1.00, 10.0.

| n <sub>\lambda</sub> | 0.01              | 0.10          | 1.0                  | 10.0           |
|----------------------|-------------------|---------------|----------------------|----------------|
| 0                    | 0.501 858 91      | 0.51736485    | 0.620 927 03         | 1.00917032     |
| 1                    | 1.50924977        | 1.58361268    | 2.02596616           | 3.506 739 59   |
| 2                    | 2.52391312        | 2.70863071    | 3.69845032           | 6.73386521     |
| 3                    | $3.545\ 705\ 51$  | 3.88513564    | 5.55757714           | 10.40698347    |
| 4                    | 4.57449063        | 5.10783508    | 7.56842287           | 14.43749818    |
| 5                    | $5.610\ 138\ 72$  | 6.37266235    | 9.70914788           | 18.76940762    |
| 6                    | 6.652 526 08      | 7.67637019    | 11.96454362          | 23.36485245    |
| 7                    | $7.701\ 534\ 66$  | 9.01629120    | 14.32326520          | 28,196 084 80  |
| 8                    | 8.757 051 62      | 10.39018596   | 16.77645279          | 33.241 721 60  |
| 9                    | 9.818 968 97      | 11.79614146   | 19.316 954 30        | 38.48467862    |
| 10                   | 10.88718327       | 13.232 500 16 | 21.93884936          | 43.91093043    |
| 11                   | 11.961 595 30     | 14.697 808 87 | 24.63713938          | 49,508 715 76  |
| 12                   | 13.042 109 79     | 16.190 780 74 | 27.40753616          | 55.268 002 41  |
| 13                   | 14.12863518       | 17.71026647   | 30.24631216          | 61.180 112 30  |
| 14                   | 15.221 083 39     | 19.25523193   | 33.15019114          | 67.23745019    |
| 15                   | 16.319 369 61     | 20.824 740 57 | 36.11626623          | 73.43330200    |
| 16                   | $17.423\ 412\ 12$ | 22.41793935   | 39.14193724          | 79.761 681 63  |
| 17                   | 18.533 132 09     | 24.03404729   | 42.22486172          | 86.21721203    |
| 18                   | 19.648 453 42     | 25.67234612   | 45.36291626          | 92.79503169    |
| 19                   | 20.769 302 62     | 27.33217253   | 48.55416532          | 99.490 719 62  |
| 20                   | 21.89560863       | 29.01291167   | 51.79683585          | 106.30023478   |
| 21                   | 23.027 302 72     | 30.713 991 67 | 55.0892 <b>9</b> 647 | 113.219 866 21 |

2318

|          | $\alpha = 0.0$ | $=0.0$ $\alpha = 0.1$ |                  | $\alpha = 0.5$ |                  | $\alpha = 1.0$ |              |
|----------|----------------|-----------------------|------------------|----------------|------------------|----------------|--------------|
| n        |                | CST                   | Present work     | CST            | Present work     | CST            | Present work |
| 0        | 2              | 1.991 99              | 1.99198528       | 2.27341        | 2.27340671       | 2.67195        | 2.671 945 0  |
| 1        | 6              | 6.14195               | 6.14194751       | 7.74393        | 7.74392876       | 9.57458        | 9.5745761    |
| <b>2</b> | 10             | 10.5953               | 10.59527401      | 14.6492        | 14.6492490       | 18.7872        | 18.787182    |
| 3        | 14             | 15.3050               | 15.30496743      | 22.4645        | 22.4645109       | 29.3429        | 29.342 920   |
| 4        | 18             | 20.2396               | 20.2395759       | 31.0097        | 31.0096958       | 40.9773        | 40.977234    |
| 5        | 22             | 25.3761               | 25.3761336       | 40.1725        | 40.1725439       | 53.5174        | 53.517346    |
| 6        | 26             | 30.6969               | $30.696\ 941\ 8$ | 49.8766        | $49.876\ 622\ 7$ | 66.8476        | 66.847 558   |
| 7        | 30             | 36.1878               | 36.1878398       | 60.0657        | 60.0657100       | 80.8835        | 80.883 398   |
| 8        | 34             | 41.8376               | 41.8371790       | 70.6963        | 70.6963559       | 95.5600        | 95.559974    |
| 9        | 38             | 47.6367               | 47.6351699       | 81.7337        | 81.7337686       | 110.8256       | 110.82557    |

TABLE IV. Comparison of Chan-Stelman-Thompson (CST) method with present work for the energy eigenvalues.

$$H = \frac{1}{4k^2} \left( P^2 + \mu k^4 X^2 + \frac{1}{4} \lambda k^6 X^4 \right) \,. \tag{4.16}$$

With

$$\mu = 1, \ \lambda = 4 \left(\frac{\alpha}{1-\alpha}\right)^{3/2}, \ \text{and} \ k = (1-\alpha)^{1/4},$$
(4.17)

 $\mathbf{or}$ 

$$\mu = \frac{1-\alpha}{\alpha}, \quad \lambda = 4, \text{ and } k = \alpha^{1/4},$$
 (4.18)

(4.16) becomes

$$4k^{2}H = P^{2} + (1 - \alpha)X^{2} + \alpha^{3/2}X^{4}, \qquad (4.19)$$

which is just the reduced Hamiltonian used in Ref. 3. In our method (4.17) and (4.18) are equivalent within a canonical transformation, and exactly the same results are obtained for (4.19), for  $\alpha \neq 1$ . Because of the singularity of  $\lambda$  in (4.17) at  $\alpha = 1$ , for this pure quartic interaction case we can only use (4.18). From Table IV it can be seen that the numerical results obtained for the energy eigenvalues by the present approach are in very good agreement with those obtained by the Chan-Stelman-Thompson method. The technique of directly diagonalizing the Hamiltonian matrix of finite dimension in some selected representation was used by these authors. The results thereby obtained are usually representation-dependent and less accurate for higher eigenvalues, as can be seen from the slight discrepancies (especially for  $\alpha = 0.1$ , because the harmonic-oscillator representation was used in this case, instead of the quartic-oscillator representation in other cases). The present approach works directly with matrix elements in the exact energy eigenstate representation and, because of the good behavior of the matrix elements, should give more accurate results.

In Fig. 1 the most significant matrix elements X(I, J) with J = I, I - 1 and relating to the lowest 14 states are plotted against the anharmonicity parameter  $\lambda$ . It can be seen that these matrix elements decrease rapidly for small anharmonicity. The asymptotic behavior can be easily shown to be so from Eqs. (2.10)-(2.12) by considering only those matrix elements X(I, J), Y(I, J) with J = I and I - 1, namely the lowest order of approximation. From the diagonal commutator equations of (2.11) and (2.12), we have

$$X(I, I) Y(I, I) + X(I, I - 1) Y(I, I - 1) \cong -\frac{1}{2}, \qquad (4.20)$$

$$X(I, I-1) Y(I, I-1) + X(I-1, I-1) Y(I-1, I-1) \cong \frac{1}{2}$$

and

$$X(1,1) Y(1,1) \cong -\frac{1}{2}$$
 (4.22)

Knowing (4.22) we can successively determine X(I, I-1) Y(I, I-1) and X(I, I) Y(I, I) for  $I = 2, 3, \ldots$  from the recursion relations (4.20) and (4.21). Thus,

$$X(I, I-1) Y(I, I-1) \cong I - 1, \qquad (4.23)$$

$$X(I, I) Y(I, I) \cong -(I - \frac{1}{2}).$$
 (4.24)

The off-diagonal commutator equations yield less accurate information about the products of the leading matrix elements because of the cancellations between them, and in fact there is no need to use these equations in the following proof.

In the lowest order of approximation we consider only *I*th and (I + 1)st even-parity states and *I*th odd-parity states at one time. Therefore, by neglecting the harmonic term and using (4.23), (4.24), the equations of motion become

(4.21)



FIG. 1. The most significant matrix elements  $\chi_{n,n\pm 1}$  relating to 14 lower states plotted against  $\lambda$  for the anharmonic oscillator with  $H = \frac{1}{2}(p^2 + x^2) + \frac{1}{4}\lambda x^4$ .

$$-\frac{(I-\frac{1}{2})^2}{X(I,I)^2} + \lambda X(I,I)^2 [X(I,I)^2 + X(I+1,I)^2] \cong 0$$
(4.25)

and

$$-\frac{I^2}{X(I+1,I)^2} + \lambda X(I+1,I)^2 [X(I+1,I)^2 + X(I,I)^2] \cong 0.$$

(4.26)

These readily yield the results that

$$X(I, I) \cong \left[\frac{(I - \frac{1}{2})^3}{(2I - \frac{1}{2})\lambda}\right]^{1/6}$$
(4.27)

and

$$X(I+1, I) \cong \left[\frac{I^3}{(2I-\frac{1}{2})\lambda}\right]^{1/6}$$
 (4.28)

Thus, as  $\lambda \rightarrow \infty$ 

$$X(I, I), X(I+1, I) \sim \lambda^{-1/6}$$
, (4.29)

and

$$Y(I, I), Y(I+1, I) \sim \lambda^{1/6}$$
 (4.30)

Although the conclusions above follow from the lowest-order consideration, it is plausible to expect they are true to every order of approximation because, as  $\lambda \rightarrow \infty$ , if X(I,J) and Y(I,J) are the solution of Eqs. (2.10)-(2.12) for  $\lambda = \lambda_0$ , it is easy to see that  $(\lambda_0/\lambda_1)^{1/6}X(I,J)$  and  $(\lambda_1/\lambda_0)^{1/6}Y(I,J)$  also satisfy Eqs. (2.10)-(2.12) for  $\lambda = \lambda_1$ .

In Fig. 2 some matrix elements  $(n|x|n \pm 3)$  are plotted against  $\lambda$ . It is seen that these matrix elements increase rapidly from zero to some maximum values and then decrease smoothly also as  $\lambda^{-1/6}$ . In Fig. 3 the first 14 energy eigenvalues are shown as functions of  $\lambda$ . Their asymptotic behavior is  $E_n \sim \lambda^{1/3}$  as  $\lambda \rightarrow \infty$ . In fact, the correct asymptotic behaviors of the matrix elements and the energies have been checked numerically up to  $\lambda = 100$ .



FIG. 2. The matrix elements  $\chi_{n,n+3}$  relating to 14 lower states plotted against  $\lambda$  for the anharmonic oscillator with  $H \approx \frac{1}{2} (p^2 + x^2) + \frac{1}{4} \lambda x^4.$ 

## V. DISCUSSION

In principle, the systematic scheme set up in the previous sections can readily be applied to finding the relevant matrix elements and the energy eigenvalues for the potential well of any evenpower polynomial in x. In particular, for the anharmonic oscillator characterized by the Hamiltonian

## $H = \frac{1}{2}(p^2 + x^2) + \frac{1}{6}\lambda x^6,$

we have found rapidly convergent results in exact agreement with those obtained by direct numerical integration<sup>7</sup> to the accuracy limit of the latter. The general features of this problem are essentially the same as those of the anharmonic oscillator considered in the previous sections except that it takes a little more time to find the solution because there are more intermediate summations now.

One may wonder why we did not apply Eqs. (2.10)-(2.12) directly to any subspace of states not starting from the ground state and solve the problem locally without having to sum over states outside this subspace for the intermediate summations. If we had solved this problem in that way, then for all such subspaces selected we would have used essentially the same set of equations and would have obtained the same results even for entirely different subspaces selected. This should not be surprising from the variational-principle point of view. As asserted in Sec. III, our method can be derived from a novel variational principle. For a variational calculation for the energies of higher excited states, these states cannot be arbitrary. In fact, the coupling of these states with all the lower states through the commutator equations (2.11), (2.12) must be properly taken into account as in the step-by-step procedures of Sec. IV B or, more primitively, as in the successive



FIG. 3. Energy-level correlation diagram for the anharmonic oscillator with  $H = \frac{1}{2}(p^2 + x^2) + \frac{1}{4}\lambda x^4$ .

determinations of X(I, I)Y(I, I) and X(I, I-1)Y(I, I-1) for I > 2 beginning with X(1, 1)Y(1, 1) calculated from (4.20)-(4.22) in the lowest-order calculation. Tentatively, we have added the lowest-order result X(I, I-1)Y(I, I-1)  $\cong I - \frac{1}{2}$  to the first even commutator equation  $C_{g}(I, I) = 0$  of the  $2\nu + 1$  states starting from the Ith even-parity state and successfully solved the problem locally without having to include any lower state in the intermediate sums. For  $\nu = 5$  or 6, the energies and the matrix elements for the centered states are exactly the same as those obtained by the step-by-step procedure within the accuracy of our calculations. We shall also elaborate on this in the separate paper mentioned in Sec. I.

## APPENDIX

We wish to solve a set of nonlinear equations

$$F_i(x_j) = 0, \quad i, j = 1, \dots, N$$
 (A1)

which also contains at least one coupling parameter

 $\lambda$ . Suppose that for  $\lambda = \lambda_0$  an approximate solution  $x_j^{(0)}$  is known and

$$F_{i}(x_{j}^{(0)}) = -B_{i}.$$
 (A2)

Then from (A1) and (A2) we have approximately, with  $\delta x_{j}^{(0)} = x_j - x_{j}^{(0)}$ ,

$$\sum_{j} \left( \frac{\partial F_{i}}{\partial x_{j}^{(0)}} \right) \delta x_{j}^{(0)} = \sum_{j} A_{ij} \delta x_{j}^{(0)} \cong B_{i}.$$
(A3)

Then

$$x_{i}^{(1)} = x_{i}^{(0)} + \delta x_{i}^{(0)} \tag{A4}$$

is our first approximation. We repeat the process to obtain  $x_j^{(2)}$  etc. until convergence. It may happen that if  $x_j^{(0)}$  is too far from the correct solution, one may have to proceed cautiously and allow only a fraction of the change  $\delta x_j^{(0)}$  in defining  $x_j^{(1)}$ .

Assuming one has found the solution of (A1) for  $\lambda = \lambda_0$ , one takes this to be the approximate solution for  $\lambda = \lambda_0 + \delta \lambda$  and proceeds as before. For the anharmonic oscillator we have started from  $\lambda = 0$ , the harmonic-oscillator limit, and have found that this method permits us to continue without hin-

drance to arbitrarily large  $\lambda$ . If one is primarily interested in large  $\lambda$ , it is more efficient to start from an approximate large- $\lambda$  solution which can be readily obtained from our equations by omitting the harmonic interaction and using the lowest (equivalently  $\nu = 1$ ) level of approximation. Then one enlarges the level of approximation to  $\nu' = \nu + 1$  by using the results of the previous order as part of the initial values for the variables. For those most significant matrix elements not previously covered, one sets

$$X(I, \nu') \cong X(I-1, \nu'-1),$$
(A5)  

$$Y(I, \nu') \cong Y(I-1, \nu'-1) \text{ for } I = \nu' \text{ and } \nu'+1.$$

Other less important matrix elements can be conveniently assigned some small numbers of correct signs. Note that under the usual phase convention

$$X(I,J) > 0$$
 for all I and J,

but

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$$Y(I, J) > 0 \quad \text{if } I > J,$$
  

$$Y(I, J) < 0 \quad \text{if } I \leq J.$$
(A6)

This procedure can then carry one successively to the desired order of approximation for this particular  $\lambda$ .

In the method described by (A1)-(A4) to solve a set of nonlinear equations, before we really define  $x_j^{(1)}$  in each iteration we have to determine the correct change  $\delta x_j^{(0)}$  from(A3). This is usually achieved by using the routine based on the normal Gauss elimination method. Of course, the derivatives  $A_{ij}$  and the functions  $B_i$  should be evaluated beforehand. For the anharmonic-oscillator problem, the following is the most efficient way to do it:

Because of the linear storage nature of computer memory for matrices, the variables and the equations in (A1)-(A4) can be labeled equivalently well by a set of indices instead of just a single subscript *i* or *j*, provided that the total number of the variables (or equations) specified by the multiple indices is the same as that originally specified. This feature allows us to redefine the matrix elements X(I, J) and Y(I, J), and similarly the three types of equations, into a compact set by using an additional index, and thereby the procedure (A1)-(A4) applies more readily. Thus we set

$$V(I, J, 1) \equiv X(I, J),$$
  

$$V(I, J, 2) \equiv Y(I, J)$$
(A7)

$$F(I, J, 1) \equiv E_M(I, J) = 0,$$
  

$$F(I'', I', 2) \equiv C_E(I', I'') = 0,$$
  

$$F(J+1, J', 2) \equiv C_O(J, J') = 0$$

with

$$1 \le I \le \nu + 1,$$
  

$$1 \le J' \le J \le \nu,$$
  

$$1 \le I'' \le I' \le \nu.$$

Hence the  $2\nu(\nu + 1)$  equations F(I, J, K) = 0 are what we have to solve for the  $2\nu(\nu + 1)$  matrix elements V(I, J, K). The matrices  $B_i$  and  $A_{ij}$  then take the following forms:

$$B(I, J, K) = -F(I, J, K)$$
 (A9)

and

$$A(I, J, K, M, N, L) = \partial F(I, J, K) / \partial V(M, N, L),$$

with

$$1 \leq I, M \leq \nu + 1,$$

$$1 \leq J, N \leq \nu, 1 \leq K, L \leq 2.$$

When written out explicitly by using the definitions (A7), (A8) and the fact that  $\partial X(I,J)/\partial X(M,N)$  $= \partial Y(I,J)/\partial Y(M, N) = \delta(I,M)\delta(J,N)$  etc. the resulting expressions for (A9) and (A10) in X(I, J), Y(I, J) and appropriate Kronecker  $\delta$ 's can be directly programmed for every order of approximation. Note that when the previously mentioned routine is called, the matrices A(I, J, K, M, N, L) and B(IJ, K)are automatically viewed as a  $2\nu(\nu+1) \times 2\nu(\nu+1)$ two-dimensional matrix and a  $2\nu(\nu+1)\times 1$  column matrix, respectively, by the computer. Equations (A7) - (A10) can readily be applied to the step-bystep procedure described in Sec. IV B if at each step of calculation we properly take care that the ranges of those indices in A and B of (A9) and (A10) do not increase. For instance, at the *l*th step, with other things unchanged, A and B can be set to be A(I - l + 1, J - l + 1, K, M - l + 1, N - l + 1, L) and B(I-l+1, J-l+1, K), respectively.

In more general cases it might be difficult to redefine the variables or the equations in such fashions as described in (A7) and (A8). When this happens, after performing the necessary intermediate summations and derivatives by the help of two-subscript variables, we can then transform these subscripts into a single one at each appropriate step. This can be carried out as  $K = \nu(I - 1) + J$  for X(I, J) and  $K' = \nu(\nu + 1) + \nu(I - 1) + J$  for Y(I, J) and similar transformations for the equations. The resulting matrices A, B are then two-subscript and one-subscript, respectively, as usual.

and

(A8)

(A10)

- \*Work supported in part by the U.S. Energy Research and Development Administration.
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- <sup>9</sup>G. J. Dreiss and A. Klein, Nucl. Phys. <u>A139</u>, 81 (1969).
- <sup>10</sup>Similar variational ideas in the nuclear many-body problem were first proposed by R. M. Dreizler, G. J. Dreiss, G. Do Dang, A. Klein, and C. S. Wu, Nucl. Phys. A114, 501 (1968).
- <sup>11</sup>For example, see M. Vallieres, A. Klein, and R. M. Dreizler, Phys. Rev. C 7, 2188 (1973).