Celestial optical theorem

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We derive the celestial optical theorem from the *S*-matrix unitarity, which provides nonperturbative bootstrap equations of conformal partial wave (CPW) coefficients. This theorem implies that the imaginary parts of CPW coefficients exhibit a positivity property. By making certain analyticity assumptions and using the celestial optical theorem, we derive nonperturbative constraints concerning the analytic structure of CPW coefficients. We discover that the CPW coefficients of four massless particles must and can only have simple poles located at specific positions. The CPW coefficients involving massive particles exhibit double-trace poles, indicating the existence of double-trace operators in nonperturbative celestial conformal field theory. It is noting that, in contrast to AdS/CFT, the conformal dimensions of double-trace operators do not have anomalous dimensions.

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I. INTRODUCTION

Celestial holography connects four-dimensional quantum gravity in asymptotically flat spacetime to a putative two-dimensional celestial conformal field theory (CCFT) [1–7]. In this duality, the conformal correlators in the boundary CCFT, known as celestial amplitudes, are obtained by expressing the bulk scattering amplitudes in terms of the conformal basis.

In CFT, conformal correlators can be expanded into conformal partial wave (CPW) coefficients through the CPW expansion. Conversely, CPW coefficients can be extracted from conformal correlators using the inversion formula. The dynamical information, such as operator spectra and three-point coefficients, is directly related to the analytic structure of the CPW coefficients. As conformal correlators in CCFT, celestial amplitudes also share this CPW expansion, as shown by perturbative examples in [8–19].

All the three objects—scattering amplitude, celestial amplitude, and CPW coefficient—encode the same physical information. For the scattering amplitude, the (generalized) optical theorem, as a direct consequence of bulk unitarity, serves as the starting point of *S*-matrix bootstrap,

*Contact author: reiko@tsinghua.edu.cn *Contact author: wenjie.ma@simis.cn see [20–22] and the references therein. This naturally raises the question (see Fig. 1):

How does bulk unitarity constrain celestial amplitudes or CPW coefficients in CCFT?.

This question was explored in [8,9,11,15,23–25]. Particularly, the authors in [8] discovered that for a particular tree-level exchange diagram, the optical theorem relates the CPW coefficient to the three-point coefficients. However, this relation has only been shown at the tree-level, and the nonperturbative implications of bulk unitarity for CCFT remain unknown.

Here, we answer this question by expanding the optical theorem with conformal basis and CPWs. The result, which we call the celestial optical theorem, provides nonperturbative relations between lower- and higher-point CPW coefficients, and can serve as the bootstrap equations of CCFT.

By the celestial optical theorem, we find that for elastic scattering, the imaginary parts of the CPW coefficients with appropriate conformal dimensions are nonnegative. Moreover, we derive nonperturbative constraints on the analytic structure of the CPW coefficients. Our analysis reveals that for elastic scattering of two massless particles, the CPW coefficients must and can only have simple poles located at specific positions. While if involving massive particles, the CPW coefficients contain double-trace poles, suggesting the existence of double-trace operators in CCFT, even in nonperturbative scenarios.

II. BACKGROUND

Generalized optical theorem. We consider scattering process of bosonic particles in 4d Minkowski spacetime.

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FIG. 1. The relation between scattering amplitude \mathcal{T} , celestial amplitude \mathcal{A} and CPW coefficient ρ .

A generic n_K -particle state is denoted as $|K\rangle \equiv |\alpha_K, p_K, \ell_K\rangle$, where the bold symbols α_K, p_K and ℓ_K are the collection of particle species, on-shell momenta and bulk spins of individual particles respectively. The completeness relation of the Hilbert space is

$$\mathbb{1} = \sum_{K} \int d\Pi_{K} |K\rangle \langle K|, \qquad (1)$$

where $\int d\Pi_K$ denotes the on-shell integral together with polarization sums and appropriate permutation factors for identical particles.

The unitarity of the *S*-matrix is equivalent to $iT^{\dagger} - iT = T^{\dagger}T = TT^{\dagger}$ with S = 1 + iT. From unitarity and completeness (1), for a scattering process $I \rightarrow F$, the optical theorem from $S^{\dagger}S = 1$ provides a nonlinear relation of scattering amplitudes

$$i(\mathcal{T}_{FI})^* - i\mathcal{T}_{IF} = \sum_K \int d\Pi_K (\mathcal{T}_{FK})^* \mathcal{T}_{IK}, \qquad (2)$$

where $\mathcal{T}_{IF} \equiv \langle F|T|I \rangle$ and similar for others. Here and in the following we use $(\cdots)^*$ to denote the complex conjugate of (\cdots) .

Celestial holography. In celestial holography, to manifest the conformal symmetry $\mathfrak{sl}(2,\mathbb{C}) \simeq \mathfrak{so}(3,1)$ on the boundary celestial sphere, the conformal basis

$$|K\rangle_{\partial} \equiv |\boldsymbol{\alpha}_{K}, \boldsymbol{\Delta}_{K}, \boldsymbol{J}_{K}, \boldsymbol{z}_{K}\rangle$$
 (3)

is introduced for a multiparticle state. As previously, the bold symbols Δ_K , J_K , and z_K denote the collection of conformal dimensions, conformal spins and celestial coordinates respectively. The \bar{z} -dependence is omitted when there is no ambiguity.

The transition matrix from $|K\rangle$ to $|K\rangle_{\partial}$ is called the conformal primary wavefunction, denoted as ϕ [1]. Similar to the plane-waves, the conformal primary wave functions provide a complete basis to the solutions of the equation of motion. While the plane-waves manifest the translation symmetry, the conformal primary wave functions transform covariantly under the conformal group.

As an example, with the parametrization of the null momentum $p = \omega(1 + w\bar{w}, w + \bar{w}, -i(w - \bar{w}), 1 - w\bar{w})$, the conformal primary wave function of a massless scalar is [1,2]

$$\phi_{\Delta}(z,p) = \frac{1}{4}\omega^{\Delta-2}\delta^{(2)}(z-w). \tag{4}$$

For massive and spinning particles, the construction and properties of conformal primary wave functions can be found in, e.g., [1,2,26–30].

Given a momentum-space scattering amplitude \mathcal{T}_{IF} , the celestial amplitude \mathcal{A}_{IF} is defined by expanding it with respect to the conformal basis [1,2]. The celestial amplitude \mathcal{A}_{IF} transforms as a boundary conformal correlator with coordinates z_I , z_F and conformal weights (Δ_I, J_I) , (Δ_F, J_F) , i.e.,

$$\mathcal{A}_{IF} \equiv \mathcal{A}_{\alpha_{I}|\alpha_{F}}^{\Delta_{I}J_{I}|\Delta_{F}J_{F}}(z_{I}|z_{F}).$$
(5)

For example, the celestial amplitude of scalars takes the form

$$\mathcal{A}_{IF} = \left(\prod_{a=1}^{n_I + n_F} \int \frac{d^3 p_a}{p_a^0} \phi_{\Delta_a}(z_a, p_a)\right) \mathcal{T}_{IF}.$$
 (6)

Since the transformation is invertible, the celestial amplitude A_{IF} captures the same physical information as the scattering amplitude T_{IF} .

Conformal partial wave expansion. As mentioned before, the CPWs Ψ provide a complete basis for expanding conformal correlators [31–41]. They transform covariantly under the conformal group and are single-valued solutions of conformal Casimir equations. We leave the useful properties of CPWs in the Supplementary Material [42]. With the CPWs, the four-point conformal correlator $\mathcal{F}^{\Delta J}(z)$ can be decomposed as

$$\mathcal{F}^{\Delta J}(z) = \sum_{J'=-\infty}^{+\infty} \int_{1}^{1+i\infty} \frac{d\Delta'}{\mu(\Delta',J')} \rho^{\Delta J}_{\Delta',J'} \Psi^{\Delta,J}_{\Delta',J'}(z), \quad (7)$$

where ρ is called the CPW coefficient and

$$\mu(\Delta, J) = \frac{4\pi^4 i}{J^2 - (\Delta - 1)^2}$$
(8)

is the Plancherel measure. Generalizing to *n*-point, \mathcal{F} can be decomposed into the CPW coefficient ρ in the combchannel [43–63] (see the left panel of Fig. 2):

$$\mathcal{F}^{\Delta,J}(z) = \sum_{J'} \int d\Delta' \rho^{\Delta,J}_{\Delta',J'} \Psi^{\Delta,J}_{\Delta',J'}(z).$$
(9)

Here the exchange conformal weights are denoted by primed symbols, e.g., $\Delta' \equiv \{\Delta'_1, ..., \Delta'_{n-3}\}$. The vectorial



FIG. 2. Comb-channel CPW coefficients. The left is the CPW coefficient $\rho_{\Delta',J'}^{\Delta,J}$ in (9). The right is the CPW coefficient ρ_{IK} associated to \mathcal{A}_{IF} , and the arrows denote the direction of incoming/outgoing.

sum and integral are the (n-3)-fold version of the ones in (7).

In particular, as a boundary conformal correlator, we denote the CPW coefficient associated to the celestial amplitude A_{IF} as ρ_{IK} . Explicitly, ρ_{IK} depends on the $(n_I + n_F)$ external conformal weights (Δ_I, J_I) , (Δ_F, J_F) , and $(n_I + n_F - 3)$ exchange ones (Δ', J') , see the right panel of Fig. 2.

We emphasize that due to the unitarity condition of the Euclidean conformal group, the conformal dimensions in conformal primary wave functions, celestial amplitudes and CPW coefficients are initially restricted on the principal series $1 + i\mathbb{R}$. In practice they can be analytically continued to a larger domain of holomorphy, and we will adopt this prescription by default.

III. CELESTIAL OPTICAL THEOREM

In this section, we present the celestial optical theorem, which is a consequence of the bulk unitarity and the completeness relation of the Hilbert space (1). In the rest of this letter, we will focus on 2-to-2 scattering $I \rightarrow F$, i.e., $n_I = n_F = 2$ and

$$|I\rangle_{\partial} = |\alpha_1, \alpha_2, \Delta_1, \Delta_2, J_1, J_2, z_1, z_2\rangle, |F\rangle_{\partial} = |\alpha_3, \alpha_4, \Delta_3, \Delta_4, J_3, J_4, z_3, z_4\rangle.$$
 (10)

Moreover, for a boundary state $|K\rangle_{\partial}$ in (3), we define its hatted conjugation $|\hat{K}\rangle_{\partial}$ as

$$^{\wedge} : |K\rangle_{\partial} \mapsto |\hat{K}\rangle_{\partial} \equiv |\boldsymbol{\alpha}_{K}, \boldsymbol{\Delta}_{K}^{*}, -\boldsymbol{J}_{K}, \boldsymbol{z}_{K}\rangle.$$
(11)

Here Δ_K^* is a collection of conformal dimensions whose elements are complex conjugate of those in Δ_K . As the derivation is standard but tedious, we leave it to the Supplementary Material [42]. The main idea contains the following two steps.

Step I. Similar to the derivation of the optical theorem, we use the completeness relation of the conformal basis to expand the optical theorem (2) into celestial amplitudes:

$$(\mathcal{A}_{\hat{F}\hat{I}})^* - i\mathcal{A}_{IF} = \sum_K \int d\Omega_K \int d^2 z_K (\mathcal{A}_{\hat{F}K})^* \mathcal{A}_{IK}.$$
 (12)

Here $\mathcal{A}_{\hat{F}\hat{I}}$, depending on the hatted boundary states $|\hat{I}\rangle_{\partial}$ and $|\hat{F}\rangle_{\partial}$, is an abbreviation as in (5). The integral over Ω_{K} is

$$\int d\Omega_K = \frac{1}{S_K} \prod_{a=1}^{n_K} \sum_{J_a \in L(\ell_a)} \int_{1-i\infty}^{1+i\infty} \frac{d\Delta_a}{\mathcal{N}(\Delta_a, J_a, \ell_a)}, \quad (13)$$

where the symmetry factor S_K takes into account identical intermediate particles. If the *a*th particle in *K* is massive with mass *m* and bulk spin ℓ , then the set $L(\ell)$ and the factor $\mathcal{N}(\Delta, J, \ell)$ are

$$L(\ell) = \{-\ell, -\ell + 1, \dots, \ell - 1, \ell\},\$$
$$\mathcal{N}(\Delta, J, \ell) = \frac{(-1)^{J+1} 2^{6+\ell} \pi^6 i(\ell - J)!(\ell + J)!}{m^2 (\Delta + J - 1)(\Delta - J - 1)(2\ell)!}.$$
 (14)

If the *a*-th particle in *K* is massless with bulk spin ℓ , then $L(\ell)$ and $\mathcal{N}(\Delta, J, \ell)$ are

$$L(\ell) = \{-\ell, \ell\},$$

$$\mathcal{N}(\Delta, J, \ell) = 2^{\ell+3} \pi^4 i.$$
(15)

Step II. The relation (12) serves as a nonperturbative bootstrap equation of celestial amplitudes. We further expand it into CPW coefficients and reach the following celestial optical theorem:

$$i(\rho_{\hat{F}\hat{I}})^* - i\rho_{IF} = \sum_{K}' \xi_K((C_{\hat{F}K})^* C_{IK} + (K \leftrightarrow \tilde{K})) + \sum_{K}'' \sum_{J'_K} \int d\Delta'_K \int d\Omega_K(\rho_{\tilde{F}K})^* \rho_{IK}.$$
 (16)

This equation can be illustrated by Fig. 3.



FIG. 3. Illustration of celestial optical theorem (16). We use the red color denoting complex conjugation. The pairs of red and blue arrows connected by yellow plaquettes represent the variables that should be integrated out.



FIG. 4. Dependence of each term in (16) on external and exchange conformal dimensions and spins. Here 1 denotes (Δ_1, J_1) , $\hat{3}$ denotes $(\Delta_3^*, -J_3)$, 1' denotes (Δ'_1, J'_1) , and similar for others. Notice that (Δ'_K, J'_K) denotes the collection of exchange conformal weights without the first one (Δ'_1, J'_1) .

Now we explain this equation in detail. For each term in (16), the dependence on conformal weights is summarized in Fig. 4. The free variables are the external conformal weights (Δ_i, J_i) for i = 1, 2, 3, 4 and the exchange one (Δ'_1, J'_1) .

On the right side of (16), the primed sum accounts for contributions from intermediate single-particle states, while the double-primed sum includes contributions from multiparticle states. C_{IK} is the three-point coefficient of the 2-to-1 scattering $I \rightarrow K$, and $C_{I\tilde{K}}$ is the one with the outgoing particle K expanded by the shadow conformal basis [2,15,19,28,64]. The factor $\xi_K(\Delta'_1, J'_1)$ is

$$\xi_{K}(\Delta, J) = \begin{cases} \frac{\mu(\Delta, J)}{\mathcal{N}(\Delta, J, \ell_{K})}, & \text{if } J \in L(\ell_{K}), \\ 0, & \text{if } J \notin L(\ell_{K}). \end{cases}$$
(17)

The integral $\int d\Omega_K$ is defined in (13) and $\sum_{J'_K} \int d\Delta'_K$ is the $(n_K - 2)$ -fold version of the ones in (7). Here, (Δ'_K, J'_K) is the collection of exchange conformal weights excluding the first one (Δ'_1, J'_1) , see Fig. 4.

The relation (16) serves as a bootstrap equation in the boundary CCFT imposed by the bulk unitarity $S^{\dagger}S = 1$, and we expect numerous physical information can be extracted from it. Additionally, another celestial optical theorem can be similarly derived from $SS^{\dagger} = 1$, which we have included in the Supplementary Material [42].

Celestial optical theorem vs optical theorem. The optical theorem (2) and the celestial optical theorem (16) are equivalent up to the change of scattering basis, and both of them serve as bootstrap equations. In the conventional *S*-matrix program, to utilize the optical theorem, the 2-to-2

scattering amplitudes are further expanded by Legendre/ Jacobi polynomials for scalar/spinning particles. However, for scattering amplitudes with more than four particles there is currently no such polynomial basis. This lack of knowledge makes such amplitudes challenging to handle. Moreover, the optical theorem involves on-shell vectorial integrals which are difficult to manipulate. In contrast, there exists a natural basis in CCFT—the conformal partial waves—to expand higher-point celestial amplitudes. This enables us to obtain scalar equations of CPW coefficients containing only Mellin-Barnes integrals.

IV. APPLICATIONS

We assume that the bulk *S*-matrix is unitary so that the celestial optical theorem (16) holds, and will study properties of the CPW coefficient. We focus on the elastic scattering process, i.e., the 3-rd (4th) outgoing particle has the same species as the 1st (2nd) incoming particle. In this case, for fixed external conformal spins J_i with i = 1, 2, 3, 4 and exchange one J'_1 , the CPW coefficient ρ_{IF} is a function of external conformal dimensions Δ_i and exchange one Δ'_1 . For clarity we relabel the exchange conformal weights as $(\Delta'_1, J'_1) \equiv (\Delta, J)$ and the CPW coefficient as $\rho_{IF} \equiv \rho_J^{J_i}(\Delta; \Delta_i)$.

Positivity. Setting $(\alpha_1, \Delta_1, J_1) = (\alpha_3, \Delta_3^*, -J_3)$ and $(\alpha_2, \Delta_2, J_2) = (\alpha_4, \Delta_4^*, J_4)$ in (16), each term on the right side is manifestly nonnegative (see also Fig. 5), hence we obtain the following positivity property:

$$\mathrm{Im}\rho_{J}^{J_{1},J_{2},-J_{1},-J_{2}}(\Delta;\Delta_{1},\Delta_{2},\Delta_{1}^{*},\Delta_{2}^{*}) \geq 0$$
 (18)

for $\Delta \in 1 + i\mathbb{R}$.

If the putative CCFT corresponds to a unitary bulk theory, the CPW coefficients must satisfy this positivity condition (18). We mention that similar positivity conditions have also been established in [11]. There, the authors found that if the external conformal dimensions are real, the imaginary part of the four-point celestial amplitude can be positively expanded by the Poincare partial waves.

Analyticity. As mentioned previously, $\rho_J^{J_i}(\Delta; \Delta_i)$ is initially defined for the conformal dimensions Δ and Δ_i on the principal series $1 + i\mathbb{R}$. In practice it can be extended to a meromorphic function on a larger domain. Particularly, when the corresponding conformal correlator admits a



FIG. 5. Illustration of positivity.

convergent conformal block expansion, we may naturally assume that ρ is meromorphic for $\Delta \in \mathbb{C}$. Besides, perturbative examples suggest that ρ is also meromorphic for Δ_i . We make the above more rigorous into the following assumptions:

- (1) $\rho_J^{J_i}(\Delta; \Delta_i)$ is meromorphic with respect to $\Delta \in \mathbb{C}$ and decays sufficiently fast to zero as $\Delta \to \infty$; and
- (2) $\rho_J^{J_i}(\Delta; \Delta_i)$ is meromorphic with respect to $\Delta_i \in \mathbb{C}^4$.

Under the above assumptions and by the technique of complex analysis, we can prove the following properties of $\rho_J^{J_i}(\Delta; \Delta_i)$ for generic Δ_i [65]. The proofs are left in the Supplementary Material [42].

Property 1. Exactly one of the following statements is true:

- (1) for any J and J_i , $\rho_J^{J_i}(\Delta; \Delta_i) = 0$; and
- (2) for $J_1 = -J_3$ and $J_2 = -J_4$, $\rho_J^{J_i}(\Delta; \Delta_i)$ contains at least one Δ -pole.

Property 2. Given any three-point coefficient C_{IK} illustrated in Figure 4 with $(\Delta'_1, J'_1) \equiv (\Delta, J)$, a pole $\Delta = f(\Delta_1, \Delta_2)$ of C_{IK} is also a pole of $\rho_J^{J_i}(\Delta; \Delta_i)$ for $J_1 = -J_3$ and $J_2 = -J_4$, if the following conditions hold:

f is meromorphic with respect to (Δ₁, Δ₂) ∈ C²; and
 the equation Ref(Δ₁, Δ₂) = 1 has solutions for (Δ₁, Δ₂) ∈ C².

Property 3. If the two incoming particles are both massless, exactly one of the statements is true:

- (1) for any J and J_i , $\rho_J^{J_i}(\Delta; \Delta_i) = 0$; and
- (2) for $J_1 = -J_3$ and $J_2 = -J_4$, $\rho_J^{J_i}(\Delta; \Delta_i)$ must and can only contain simple Δ -poles located at

$$\begin{aligned} \Delta &= -\Delta_{34} - J_{34} - J + 2(n+1), \\ \Delta &= \Delta_{34} - J_{34} + J + 2(n+1), \\ \Delta &= \Delta_{12} + J_{12} - J - 2n, \\ \Delta &= -\Delta_{12} + J_{12} + J - 2n, \end{aligned} \tag{19}$$

for some $n \in \mathbb{N}$. Here $\Delta_{ij} \equiv \Delta_i - \Delta_j$ and similar for J_{ij} .

Comparison to known examples. The validity of our properties can be verified by examining the known examples of CPW coefficients [8,15,16,19,66]. Particularly, using the results from [66,67], we find the Δ -poles of CPW coefficients for the MHV amplitudes fall into the set described in the property 3.

Existence of double-trace operators. The property 2 implies that the analytic structure of the four-point CPW coefficient ρ_{IF} is closely related to that of the three-point coefficient C_{IK} . Here, we provide a concrete example of scalar particles.

We first show the Δ -poles in the scalar three-point coefficient C_{IK} will not be corrected by loop diagrams. Using (6), any scalar three-point celestial amplitude takes the form as

$$\mathcal{A}_{IK} = \left(\prod_{a=1}^{3} \int \frac{d^3 p_a}{p_a^0} \phi_{\Delta_a}\right) \delta^{(4)}(p_1 + p_2 - p_3) \mathcal{M}_{IK}, \quad (20)$$

where $\Delta_3 \equiv \Delta$ and \mathcal{M}_{IK} is the scattering amplitude \mathcal{T}_{IF} with the momentum conservation δ -function dropped off. Using Lorentz symmetry and momentum conservation, \mathcal{M}_{IK} only depends on the mass squares and thus can be taken out from the integral. The remaining integral in (20) is exactly the tree-level three-point celestial amplitude without coupling constant, and the Δ -poles can only come from this integral.

Together with property 2, we conclude that the Δ -poles in the full ρ_{IF} is directly related to the ones in the tree-level C_{IK} .

As an example, we consider the case that at least one of the two incoming particles in *I* is massive and there exists a nonvanishing three-point coefficient C_{IK} with $\ell_K = 0$ [68]. As shown in [19], the tree-level scalar three-point coefficients C_{IK} involving more than two massive scalars have poles at $\Delta = \Delta_1 + \Delta_2 + 2n$ for $n \in \mathbb{N}$, which implies that the full C_{IK} also have the same poles as we discussed above. Then since $\Delta = \Delta_1 + \Delta_2 + 2n$ is meromorphic and $\operatorname{Re}(\Delta_1 + \Delta_2 + 2n) = 1$ has solutions for $(\Delta_1, \Delta_2) \in \mathbb{C}^2$, by the property 2, ρ_{IF} must contain double-trace poles at $\Delta = \Delta_1 + \Delta_2 + 2n$ for $n \in \mathbb{N}$ nonperturbatively [69].

V. DISCUSSION

The celestial optical theorem (16) can serve as a nonperturbative bootstrap equation in celestial holography. While we have focused on the 2-to-2 scattering, this equation can be trivially generalized to arbitrary scatterings, resulting in a complete set of bootstrap equations of CPW coefficients. We have derived several analyticity properties of CPW coefficients, and it would be intriguing to explore numerical methods for solving these equations.

There are two other ingredients in celestial holography the conformally soft theorem [70,71] and the $w_{1+\infty}$ symmetry [72]. We expect that our equations, together with the constraints from the conformally soft theorem and the $w_{1+\infty}$ symmetry, would provide a more precise prescription for celestial bootstrap.

The positivity (18) provides a criterion to discriminate which CFT can be celestial, i.e., corresponding to a unitary bulk theory. Moreover, it also reminds us the cosmological bootstrap [73,74], where the dS unitarity implies that the CPW coefficient itself is nonnegative, instead of the imaginary part. Recent attempts trying to relate celestial amplitudes with (E)AdS/dS correlators can be found in [24,75–77].

Another avenue is to explore the implication of the *S*-matrix crossing symmetry on the CPW coefficients. The two central ingredients in the modern *S*-matrix bootstrap are

unitarity and crossing symmetry, see, e.g., [20-22,78-81], and the former have been encoded in the celestial optical theorem. It would be of great interest to study the celestial counterpart of the bulk crossing symmetry. We expect that the crossing symmetry of *S*-matrix would provide another set of equations on the CPW coefficients.

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