Gauge-independent transition dividing the confinement phase in the lattice SU(2) gauge-adjoint scalar model

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The lattice SU(2) gauge-scalar model with the scalar field in the adjoint representation of the gauge group has two completely separated confinement and Higgs phases according to the preceding studies based on numerical simulations that have been performed in the specific gauge fixing based on the conventional understanding of the Brout-Englert-Higgs mechanism. In this paper, we reexamine this phase structure in a gauge-independent way based on the numerical simulations performed without any gauge fixing. This is motivated to confirm the recently proposed gauge-independent Brout-Englert-Higgs mechanism for generating the mass of the gauge field without relying on any spontaneous symmetry breaking. For this purpose, we investigate correlations between gauge-invariant operators obtained by combining the original adjoint scalar field and the new field called the color-direction field which is constructed from the gauge field based on the gauge-covariant decomposition of the gauge field due to Cho-Duan-Ge-Shabanov and Faddeev-Niemi. Consequently, we reproduce gauge independently the transition line separating the confinement phase and the Higgs phase, and show surprisingly the existence of a new transition line that completely divides the confinement phase into two parts. Finally, we discuss the physical meaning of the new transition and the implications of the confinement mechanism.

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I. INTRODUCTION

In this paper, we investigate the gauge-scalar model to clarify the mechanism of confinement in the Yang-Mills theory in the presence of matter fields and also nonperturbative characterization of the Brout-Englert-Higgs (BEH) mechanism [1] providing the gauge field with the mass, in the gauge-independent way.

For concreteness, we reexamine the lattice SU(2) gauge-scalar model with a radially fixed scalar field (no Higgs mode) which transforms according to the adjoint representation of the gauge group SU(2) without any gauge fixing. In fact, this model was investigated long ago in [2] by taking a specific gauge, say unitary

gauge, based on the traditional characterization for the BEH mechanism to identify the Higgs phase. It is a good place to recall the traditional characterization of the BEH mechanism: If the original continuous gauge group is spontaneously broken, the resulting massless Nambu-Goldstone particle is absorbed into the gauge field to provide the gauge field with the mass. In the perturbative treatment, such a spontaneous symmetry breaking is signaled by the nonvanishing vacuum expectation value of the scalar field. However, this is impossible to realize on the lattice unless the gauge fixing condition is imposed, since gauge noninvariant operators have vanishing vacuum expectation value on the lattice without gauge fixing due to the Elitzur theorem [3]. This traditional characterization of the BEH mechanism prevents us from investigating the Higgs phase in the gaugeinvariant way.

This difficulty can be avoided by using the *gauge-independent description of the BEH mechanism* proposed recently by one of the authors [4,5], which *needs neither the spontaneous breaking of gauge symmetry*, nor the

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nonvanishing vacuum expectation value of the scalar field. Then we can give a gauge-invariant definition of the mass for the gauge field resulting from the BEH mechanism. Therefore, we can study the Higgs phase in the gaugeinvariant way on the lattice without gauge fixing based on the lattice construction of gauge-independent description of the BEH mechanism. Consequently, we can perform numerical simulations without any gauge-fixing and compare our results with those of the preceding result [2] obtained in a specific gauge. Indeed, our gaugeindependent study reproduces the transition line separating Higgs and confinement phases obtained by [2] in a specific gauge.

Moreover, we investigate the phase structure of this model based on the gauge-independent (invariant) procedure to look into the mechanism for confinement. For this purpose we introduce the *gauge-covariant decomposition of the gauge field* originally due to Cho-Duan-Ge-Shabanov and Faddeev-Niemi [6–9], which we call CDGSFN decomposition for short. It has been confirmed that this method is quite efficient to extract the dominant mode responsible for quark confinement in a gauge-independent way [10–12], even if we expect the dual superconductor picture for quark confinement [13].

To discriminate and characterize the phases among confinement phase, Higgs phase, and the other possible phases, we investigate the correlations between the gaugeinvariant composite operators constructed from the scalar field and the color-direction field obtained through the CDGSFN decomposition. As a result of the gaugeindependent analysis, we find surprisingly a new transition line that divides the conventional confinement phase into two parts. Finally, we discuss the physical meaning of this transition and the implications to confinement.

This paper is organized as follows. In Sec. II we define the lattice SU(2) gauge-scalar model with a radially fixed scalar field in the adjoint representation of the gauge group and introduce the gauge-covariant CDGSFN decomposition of the gauge field variable on the lattice. We explain the method of numerical simulations in the new framework of the lattice gauge theory. In Sec. III we present the results of the numerical simulations. We give an analysis in view of the gauge-covariant CDGSFN decomposition. By measuring the correlations between the gauge-invariant composite operators composed of the original adjoint scalar field and the color-direction field obtained from the decomposition, we find a new phase that divides the confinement phase completely into two parts. We further examine two kinds of correlations between $(n \times n)$ -Wilson loops (n = 1, 2) to obtain the consistent result. In Sec. IV, we discuss understanding the new phase structure obtained from numerical simulations. The final section is devoted to conclusion and discussion. In the Appendix, we further examine the Wilson-loop operators to understand the transition between the two confinement phases (I) and (III).

II. LATTICE SU(2) GAUGE-SCALAR MODEL WITH A SCALAR FIELD IN THE ADJOINT REPRESENTATION

A. Lattice action

The SU(2) gauge-scalar model with a radially fixed scalar field in the adjoint representation is given on the lattice with a lattice spacing ϵ by the following action with two parameters β and γ :

$$S_{\rm GS}[U, \boldsymbol{\phi}] \coloneqq S_G[U] + S_H[U, \boldsymbol{\phi}], \tag{1}$$

$$S_{G}[U] \coloneqq \sum_{x,\mu < \nu} \frac{\beta}{2} \operatorname{tr}(1 - U_{x,\mu\nu}) + \text{c.c.}$$

= $\sum_{x,\mu < \nu} \frac{\beta}{2} \operatorname{tr}(1 - U_{x,\mu}U_{x+\hat{\mu},\nu}U_{x+\hat{\nu},\mu}^{\dagger}U_{x,\nu}^{\dagger}) + \text{c.c.}, \quad (2)$

$$S_{H}[U, \boldsymbol{\phi}] \coloneqq \sum_{x,\mu} \frac{\gamma}{2} \operatorname{tr}((D_{\mu}^{e}[U]\boldsymbol{\phi}_{x})^{\dagger}(D_{\mu}^{e}[U]\boldsymbol{\phi}_{x}))$$
$$= \sum_{x,\mu} \gamma \operatorname{tr}(\mathbf{1} - \boldsymbol{\phi}_{x}U_{x,\mu}\boldsymbol{\phi}_{x+\hat{\mu}}U_{x,\mu}^{\dagger}), \qquad (3)$$

where $U_{x,\mu} = \exp(-ig\epsilon A_{x,\mu}) \in SU(2)$ represents a gauge variable on a link $\langle x, \mu \rangle$, $U_{x,\mu\nu}$ is the plaquette variable defined on a plaquette $\langle x, \mu\nu \rangle$ by

$$U_{x,\mu\nu} = U_{x,\mu} U_{x+\hat{\mu},\nu} U^{\dagger}_{x+\hat{\nu},\mu} U^{\dagger}_{x,\nu}, \qquad (4)$$

 $\phi_x = \phi_x^A \sigma^A \in su(2)$ (A = 1, 2, 3) represents a scalar field on a site *x* in the adjoint representation subject to the radially fixed condition $\phi_x \cdot \phi_x = \phi_x^A \phi_x^A = 1$, and $D_{\mu}^{\epsilon}[U]\phi_x$ represents the covariant derivative in the adjoint representation defined as

$$D^{\epsilon}_{\mu}[U]\boldsymbol{\phi}_{x} \coloneqq U_{x,\mu}\boldsymbol{\phi}_{x+\epsilon\hat{\mu}} - \boldsymbol{\phi}_{x}U_{x,\mu}.$$
 (5)

This action reproduces in the naive continuum limit $\epsilon \to 0$ the continuum gauge-scalar theory with a radially fixed scalar field $|\phi_x| = v$ and a gauge coupling constant g where $\beta = 4/g^2$ and $\gamma = v^2/2$ [4].

The lattice action (3) is invariant: $S_{GS}[U', \phi'] = S_{GS}[U, \phi]$ under the local gauge transformation $\Omega_x \in SU(2)_{local}$ for the link variable $U_{x,\mu}$ and the site variable ϕ_x given by

$$U_{x,\mu} \mapsto U'_{x,\mu} = \Omega_x U_{x,\mu} \Omega^{\dagger}_{x+\mu},$$

$$\boldsymbol{\phi}_x \mapsto \boldsymbol{\phi}'_x = \Omega_x \boldsymbol{\phi}_x \Omega^{\dagger}_x.$$
 (6)

Therefore, the color symmetry is preserved in the sense that $S_{\text{GS}}[U, \phi]$ is invariant under the global transformation $\Omega_x = \Omega \in SU(2)_{\text{global}}$ in Eq. (6).

B. Gauge-covariant decomposition

To investigate gauge independently the phase structure of the gauge-scalar model, we introduce the lattice version [10,11] of change of variables based on the idea of the *gauge-covariant decomposition of the gauge field*, so called the CDGSFN decomposition [6–9]. For a review, see [12].

We introduce the (Lie algebra valued) site variable $\mathbf{n}_x := n_x^A \sigma_A \in su(2) - u(1)$ which is called the *color-direction (vector) field*, in addition to the original link variable $U_{x,\mu} \in SU(2)$. The link variable $U_{x,\mu}$ and the site variable \mathbf{n}_x transform under the gauge transformation $\Omega_x \in SU(2)$ as

$$U_{x,\mu} \to \Omega_x U_{x,\mu} \Omega_{x+\mu}^{\dagger} = U'_{x,\mu}, \quad \boldsymbol{n}_x \to \Omega_x \boldsymbol{n}_x \Omega_x^{\dagger} = \boldsymbol{n}'_x.$$
(7)

In the decomposition, a link variable $U_{x,\mu}$ is decomposed into two parts:

$$U_{x,\mu} \coloneqq X_{x,\mu} V_{x,\mu}. \tag{8}$$

We identify the lattice variable $V_{x,\mu}$ with a link variable which transforms in the same way as the original link variable $U_{x,\mu}$:

$$V_{x,\mu} \to \Omega_x V_{x,\mu} \Omega_{x+\mu}^{\dagger} = V_{x,\mu}'. \tag{9}$$

On the other hand, we define the lattice variable $X_{x,\mu}$ such that it transforms in just the same way as the site variable n_x :

$$X_{x,\mu} \to \Omega_x X_{x,\mu} \Omega_x^{\dagger} = X'_{x,\mu}, \qquad (10)$$

which automatically follows from the above definition of the decomposition. Such decomposition is obtained by solving the defining equations:

$$D_{\mu}[V]\boldsymbol{n}_{x} \coloneqq V_{x,\mu}\boldsymbol{n}_{x+\mu} - \boldsymbol{n}_{x}V_{x,\mu} = 0, \qquad (11)$$

$$\operatorname{tr}(\boldsymbol{n}_{\boldsymbol{x}}\boldsymbol{X}_{\boldsymbol{x},\boldsymbol{\mu}}) = 0. \tag{12}$$

This defining equation has been solved exactly, and the resulting link variable $V_{x,\mu}$ and site variable $X_{x,\mu}$ are of the form [10]

$$\widetilde{V}_{x,\mu} \coloneqq U_{x,\mu} + \boldsymbol{n}_{x} U_{x,\mu} \boldsymbol{n}_{x+\mu},
V_{x,\mu} \coloneqq \widetilde{V}_{x,\mu} / \sqrt{\operatorname{tr}(\widetilde{V}_{x,\mu}^{\dagger} \widetilde{V}_{x,\mu})/2},
X_{x,\mu} \coloneqq U_{x,\mu} V_{x,\mu}^{-1}.$$
(13)

This decomposition is obtained uniquely for a given set of link variables $U_{x,\mu}$ once the site variable n_x is given. The configurations of the color-direction field $\{n_x\}$ are obtained by minimizing the functional:

$$F_{\text{red}}[\{\boldsymbol{n}_{x}\}|\{U_{x,\mu}\}] \coloneqq \sum_{x,\mu} \text{tr}\{(D_{x,\mu}[U]\boldsymbol{n}_{x})^{\dagger}(D_{x,\mu}[U]\boldsymbol{n}_{x})\},$$
(14)

which we call the *reduction condition*.

Note that this functional has the same form as the action of the scalar field:

$$S_{\phi} = \frac{\gamma}{2} F_{\text{red}}[\{\phi_x\}|\{U_{x,\mu}\}].$$
 (15)

Therefore, the color-direction field obtained as the solution of the reduction condition satisfies the equations of motion of the adjoint scalar field. In other words, the colordirection field is identified with degrees of freedom corresponding to the scalar field extracted from the gauge field. Moreover, by using the color-direction fields, we can construct a gauge-invariant mass term without a scalar field [4].

C. Numerical simulations

The numerical simulation can be performed by updating link variables and scalar fields alternately. For the link variable $U_{x,\mu}$ we can apply the standard Hamiltonian Monte Carlo (HMC) algorithm, while for scalar field $\phi_x \in su(2) - u(1)$ we apply the adjoint-orbit representation for the reparametrization:

$$\boldsymbol{\phi}_{x} \coloneqq Y_{x}\boldsymbol{\phi}_{0}Y_{x}^{\dagger} = Y_{x}\sigma^{3}Y_{x}^{\dagger}, \qquad Y_{x} \in SU(2), \quad (16)$$

which satisfies the normalization condition $\phi_x \cdot \phi_x = 1$ automatically. Under the local gauge transformation $\Omega_x \in SU(2)_{local}$, Y_x is required to transform as

$$Y_x \mapsto Y'_x = \Omega Y_x, \tag{17}$$

to reproduce the transformation of ϕ_x : $\phi_x \mapsto \phi'_x = \Omega_x \phi_x \Omega_x^{\dagger}$.

Therefore, we can replace the Haar measure $\prod_x d\phi_x \delta(\phi_x \cdot \phi_x - 1)$ by $\prod_x dY_x$, which enables us to apply the standard HMC algorithm for Y_x to update configurations of the scalar fields ϕ_x .

We perform Monte Carlo simulations on the 16⁴ lattice with periodic boundary condition in the gauge-independent way (without gauge fixing). In each Monte Carlo step (sweep), we update link variables $\{U_{x,\mu}\}$ and scalar fields $\{\phi_x\}$ alternately by using the HMC algorithm with integral interval $\Delta \tau = 1$ as explained in the previous section. We take thermalization for 5000 sweeps and store 800 configurations for measurements every 25 sweeps. Figure 1 shows datasets of the simulation parameters in the β - γ plane.





FIG. 1. Simulation points in $\beta - \gamma$ plane.

III. LATTICE RESULT AND GAUGE-INDEPENDENT ANALYSES

A. Action densities for the plaquette and scalar parts

The search for the phase boundary is performed by measuring the expectation value $\langle \mathcal{O} \rangle$ of a chosen operator \mathcal{O} by changing γ (or β) along the β = const. (or γ = const.) lines. In order to identify the boundary, we used the bent, step, and gap observed in the graph of the plots for $\langle \mathcal{O} \rangle$.

First of all, in order to determine the phase boundary of the model, we measure the Wilson action per plaquette (plaquette-action density),

$$P \coloneqq \frac{1}{6N_{\text{site}}} \sum_{x,\mu < \nu} \frac{1}{2} \text{tr}(U_{x,\mu\nu}),$$
(18)

and the scalar action per link (scalar-action density),

$$M \coloneqq \frac{1}{4N_{\text{site}}} \sum_{x,\mu} \frac{1}{2} \operatorname{tr}((D_{\mu}[U_{x,\mu}]\boldsymbol{\phi}_{x})^{\dagger}(D_{\mu}[U_{x,\mu}]\boldsymbol{\phi}_{x})), \quad (19)$$

as Brower et al. have done in [2].

First, we try to determine the phase boundary from the plaquette-action density. Figure 2 shows the results of measurements of the plaquette-action density $\langle P \rangle$ in the β - γ plane. The left panel shows the plots of $\langle P \rangle$ along β = const. lines as functions of γ , where error bars are not shown because they are smaller than the size of the plot points. On the other hand, the right panel shows the plots of $\langle P \rangle$ along γ = const. lines as functions of β .

Next, in the same way, we try to determine the phase boundary from the scalar-action density. Figure 3 shows the results of measurement of the scalar-action density $\langle M \rangle$ in the $\beta - \gamma$ plane. The left panel of Fig. 3 shows the plots of $\langle M \rangle$ along β = const. lines as functions of γ , while the right panel of Fig. 3 shows the plots of $\langle M \rangle$ along γ = const. lines as functions of β .

Figure 4 shows the resulting phase boundary. The left panel presents the phase boundary determined from the plaquette-action density $\langle P \rangle$ (see Fig. 2). The right panel shows the phase boundary determined from the scalar-action density $\langle M \rangle$ (see Fig. 3). The interval between the two simulation points corresponds to the short line with ends. The error bars in the phase boundary are due to the spacing of the simulation points. It should be noticed that the two phase boundaries determined from $\langle P \rangle$ and $\langle M \rangle$ are consistent within accuracy of numerical calculations. Thus we find that the gauge-independent numerical simulations reproduce the phase boundary obtained by Brower *et al.* [2].

B. Susceptibilities for *P* and *M*

To find out more about phase boundary, we next measure "susceptibility" for the action densities:



FIG. 2. Average of the plaquette-action density $\langle P \rangle$. Left: $\langle P \rangle$ versus γ on various β = const. lines. Right: $\langle P \rangle$ versus β on various γ = const lines.



FIG. 3. Average of the scalar-action density $\langle M \rangle$: Left: $\langle M \rangle$ versus γ on various β = const. lines. Right: $\langle M \rangle$ versus β on various γ = const. lines.

$$\langle \chi(P) \rangle \coloneqq (6N_{\text{site}})[\langle P^2 \rangle - \langle P \rangle^2],$$
 (20)

$$\langle \chi(M) \rangle := (4N_{\rm site})[\langle M^2 \rangle - \langle M \rangle^2].$$
 (21)

Figure 5 shows the measurements of $\langle \chi(P) \rangle$. The upper panels show plots of $\langle \chi(P) \rangle$ versus γ along β = const. lines, while the lower panels show plots of $\langle \chi(P) \rangle$ versus β along the γ = const. lines.

Figure 6 shows the measurements of $\langle \chi(M) \rangle$. The upper panels show plots of $\langle \chi(M) \rangle$ versus γ along β = const. lines, while the lower panels show plots of $\langle \chi(M) \rangle$ versus β along the γ = const. lines.

Figure 7 shows the phase boundary determined by the susceptibility (specific heat) as a function of β or γ . The green boundary is determined from the position of the peak in the susceptibility graph. The black boundary was determined from the position of the bend in the susceptibility graph. The orange boundary in the left panel of Fig. 7 is determined from the peak position of the plaquette-action susceptibility.

The left panel of Fig. 7 gives same boundary as that determined by $\langle \chi(P) \rangle$ in Fig. 4 for relatively large γ .

It should be remarked that the phase boundaries in Figs. 4 and 7 do not necessarily coincide: in Fig. 4 the boundary line in the region $\beta > 2$ (the black line) extends along the horizontal line $\gamma \simeq 1$ toward the pure scalar axis at $\beta = \infty$, while in the left panel of Fig. 7 the boundary line extends also to the point ($\beta \simeq 2.2, \gamma = 0$) on the pure gauge axis at $\gamma = 0$ (the orange line).

However, the orange part of the phase boundary can only be found from the measurement of susceptibility $\langle \chi(P) \rangle$. Indeed, it cannot be found from the measurement of $\langle \chi(M) \rangle$. This fact indicates that the orange part is not the phase boundary and could be the crossover, discriminating the weak coupling asymptotic scaling region from the strong coupling region, as seen by Bhanot and Creutz in their model [14].

The right panel of Fig. 7 shows the phase boundary determined by measurements of $\langle \chi(M) \rangle$ given in Fig. 6. The phase boundary determined from $\langle \chi(P) \rangle$ and $\langle \chi(M) \rangle$ coincide. Note that the location of the phase boundary is



FIG. 4. The phase boundary determined by the action densities. Left: $\langle P \rangle$. Right: $\langle M \rangle$.



FIG. 5. Susceptibility of plaquette $\langle \chi(P) \rangle$. Upper panels: $\langle \chi(P) \rangle$ versus γ on various $\beta = \text{const.}$ lines. Lower panels: $\langle \chi(P) \rangle$ versus β on various $\gamma = \text{const.}$ lines.

well approximated by the two lines: the right part of $\gamma \simeq 0.75$ and the upper part of $\beta \simeq 1.2$ except for the neighborhood of their intersection point.

C. Correlations between the scalar field and the color-direction field through the gauge covariant decomposition

We measure the scalar-color correlation detected by the scalar-color composite operator:

$$Q = \frac{1}{N_{\text{site}}} \sum_{x} \frac{1}{2} \operatorname{tr}(\boldsymbol{n}_{x} \boldsymbol{\phi}_{x}), \qquad (22)$$

where n_x is the color-direction field in the gauge-covariant decomposition for the gauge link variable. For this purpose, we need to solve the reduction condition (14) to obtain the color-direction field n_x , which however has two kinds of ambiguity. One comes from so-called the Gribov copies that are the local minimal solutions of the reduction condition. In order to avoid the local minimal solutions and to obtain the absolute minima, the reduction condition is solved by changing the initial values to search for the absolute minima of the functional. The other comes from the choice of a global sign factor, which originates from the fact that whenever a configuration $\{n_x\}$ is a solution, the flipped one $\{-n_x\}$ is also a solution, since the reduction functional is quadratic in the color-direction fields. To avoid these issues, we propose to use $\langle |Q| \rangle$ and $\langle Q^2 \rangle$, which are examined as the order parameters that determine the phase boundary.

- The phase boundary is searched for based on two ways: (i) the location at which $\langle |Q| \rangle$ changes from $\langle |Q| \rangle \simeq 0$
- to $\langle |Q| \rangle > 0$. This is also the case for $\langle Q^2 \rangle$.
- (ii) the location at which $\langle |Q| \rangle$ changes abruptly, as was done for $\langle P \rangle$ and $\langle M \rangle$. This is also the case for $\langle Q^2 \rangle$.

Figure 8 shows the measurements of $\langle |Q| \rangle$. The left panel shows plots of $\langle |Q| \rangle$ versus γ along various $\beta = \text{const.}$ lines, while the right panel shows plots of $\langle |Q| \rangle$ versus β along various $\gamma = \text{const.}$ lines.

Figure 9, on the other hand, shows the measurements of $\langle Q^2 \rangle$ in the same manner as $\langle |Q| \rangle$.

Figure 10 shows the phase boundary (critical line) determined by $\langle |Q| \rangle$ and $\langle Q^2 \rangle$. The left panel of Fig. 10 shows the phase boundary determined from $\langle |Q| \rangle$. The right panel of Fig. 10 shows the phase boundary determined from $\langle Q^2 \rangle$.

The purple boundary indicates that (i) $\langle |Q| \rangle$ changes from $\langle |Q| \rangle \simeq 0$ to $\langle |Q| \rangle > 0$ (or $\langle Q^2 \rangle$ changes



FIG. 6. Susceptibility for the scalar action $\langle \chi(M) \rangle$. Upper panels: $\langle \chi(M) \rangle$ versus γ on various β = const. lines. Lower panels: $\langle \chi(M) \rangle$ versus β on various γ = const. lines.

from $\langle Q^2 \rangle \simeq 0$ to $\langle Q^2 \rangle > 0$). The black boundary corresponds to the location at which $\langle |Q| \rangle$ (or $\langle Q^2 \rangle$) has gaps. The orange boundary corresponds to the location at which $\langle |Q| \rangle$ (or $\langle Q^2 \rangle$) bends. The results of left and right panels in Fig. 10 are consistent with each other.

Figure 10 shows not only the phase boundary that divides the phase diagram into two phases, the so-called Higgs phase and the confinement phase, but also the new boundary that divides the confinement phase into two different parts. It should be remarked that this finding owes much to gauge-independent numerical simulations



FIG. 7. Critical lines determined from susceptibilities: Left: from $\langle \chi(P) \rangle$. Right: from $\langle \chi(M) \rangle$.



FIG. 8. Average of the scalar-color composite field $\langle |Q| \rangle$. Left: $\langle |Q| \rangle$ versus γ on various $\beta = \text{const.}$ lines. Right: $\langle |Q| \rangle$ versus β on various $\gamma = \text{const.}$ lines.



FIG. 9. Average of the squared scalar-color composite field $\langle Q^2 \rangle$. Left: $\langle Q^2 \rangle$ versus γ on various $\beta = \text{const. lines. Right: } \langle Q^2 \rangle$ versus β on various $\gamma = \text{const. lines.}$



FIG. 10. Critical lines determined (left) from $\langle |Q| \rangle$, and (right) from $\langle Q^2 \rangle$.



FIG. 11. Susceptibility for the scalar-color field $\langle \chi(|Q|) \rangle$. Upper panels: $\langle \chi(|Q|) \rangle$ versus γ on various β = const. lines. Lower panels: $\langle \chi(|Q|) \rangle$ versus β on various γ = const. lines.

and their analyses, and these new results can only be established through our framework.

D. Susceptibility for the scalar and color-direction field

Next we investigate the "susceptibility" of the scalarcolor local correlation:

$$\langle \chi(|Q|) \rangle = (4N_{\text{site}})[\langle Q^2 \rangle - \langle |Q| \rangle^2].$$
(23)

Figure 11 shows the measurement of $\langle \chi(|Q|) \rangle$. The upper panel of Fig. 11 show plots of $\langle \chi(|Q|) \rangle$ versus γ along the β = const. lines, while the lower panels show plots of $\langle \chi(|Q|) \rangle$ versus β along the γ = const. lines.

First, we search for the transition along the vertical lines with fixed values of β in a phase diagram. For a relatively small fixed value of β ($0 \le \beta \le 1.6$), $\langle \chi(|Q|) \rangle$ is nearly equal to zero for small γ , but reaches a large but finite value across a critical value $\gamma_c(\beta)$, showing a peak as γ increases. For larger values of β ($1.8 \le \beta \le 4.0$), $\langle \chi(|Q|) \rangle$ increases from zero to a finite value, which shows however no peak and increases monotonically as γ increase. These observations yield the existence of a new transition line $\gamma = \gamma_c(\beta)$.

Next, we search for the transition along the horizontal line with fixed values of γ in a phase diagram. For a

small fixed value of γ ($0 \le \gamma \le 1.5$), $\langle \chi(|Q|) \rangle$ shows a nonzero value for small β , and decreases monotonically as β increases.

Figure 12 shows the phase boundary (critical line) determined from $\langle \chi(|Q|) \rangle$. The blue boundary is obtained



FIG. 12. Critical line determined from the susceptibility $\langle \chi(|Q|) \rangle$.



FIG. 13. Left: the graphical representation of Eq. (25). The green lines represent link variables $U_{x,\mu}$, and the orange circle represents the position where the scalar field ϕ_x is inserted. Right: the graphical representation of $\hat{W}_{x,\mu\nu}^{(2\times2)}$ in Eq. (27). A Wilson loop of the 2 × 2 rectangular is connected by the Schwinger lines. The orange circle represents the position where the scalar field is inserted.

from the location of the rapid change. The red and black intervals are obtained from the location of bends. This result agrees with the critical line already obtained above.

E. Correlations between the scalar field and small Wilson loops

To confirm the results obtained in the previous section, we further examine two kinds of correlations between $(n \times n)$ -Wilson loops (n = 1, 2) and scalar fields. They are defined in the gauge invariant way using only the original field variables without relying on the color-direction field.

First, we consider the gauge-invariant correlation between a (1×1) -Wilson loop (a plaquette) and scalar fields:

$$R \coloneqq \frac{1}{6N_{\text{site}}} \sum_{x,\mu<\nu} \frac{1}{2} \operatorname{tr}(\overline{U_{x,\mu\nu}} \boldsymbol{\phi}_x U_{x,\mu\nu}^{\dagger} \boldsymbol{\phi}_x), \qquad (24)$$

where $\overline{U_{x,\mu\nu} \phi_x U_{x,\mu\nu}^{\dagger} \phi_x}$ represents the averaged correlation defined by

$$\overline{U_{x,\mu\nu}\boldsymbol{\phi}_{x}U_{x,\mu\nu}^{\dagger}\boldsymbol{\phi}_{x}}$$

$$:=\frac{1}{4}(U_{x,\mu,\nu}\boldsymbol{\phi}_{x}U_{x,\mu,\nu}^{\dagger}\boldsymbol{\phi}_{x}+U_{x,\nu,-\mu}\boldsymbol{\phi}_{x}U_{x,\nu,-\mu}^{\dagger}\boldsymbol{\phi}_{x}$$

$$+U_{x,-\mu,-\nu}\boldsymbol{\phi}_{x}U_{x,-\mu,-\nu}^{\dagger}\boldsymbol{\phi}_{x}+U_{x,-\nu,\mu}\boldsymbol{\phi}_{x}U_{x,-\nu,\mu}^{\dagger}\boldsymbol{\phi}_{x}), \quad (25)$$

with $U_{x,-\mu} = U_{x-\hat{\mu},\mu}^{\dagger}$, which is identical to averaging the correlations between a scalar field and four plaquettes surrounding it (see the left panel of Fig. 13). Here we want to define a lattice version of a local operator in the continuum measuring the correlation between the gauge field strength and the scalar field by using plaquette variables and scalar field variables on the lattice. However, on the lattice such definitions are not unique. Therefore, to avoid such arbitrariness, we have defined the

operator R by averaging over the plaquettes around the scalar field to reduce the lattice artifact.

Next, we consider the gauge-invariant correlation between (2×2) -Wilson loops and scalar fields:

$$W := \frac{1}{6N_{\text{site}}} \sum_{x,\mu < \nu} \frac{1}{2} \operatorname{tr}(\hat{W}_{x,\mu,\nu}^{(2\times2)} \boldsymbol{\phi}_x \hat{W}_{x,\mu,\nu}^{(2\times2)\dagger} \boldsymbol{\phi}_x), \quad (26)$$

where we have introduced the variable $\hat{W}_{x,\mu\nu}^{(2\times2)}$ defined by the (2 × 2)-Wilson loop along a path *C* (a 2 × 2 rectangular loop) connected by the Schwinger lines (link variables):

$$\hat{W}_{x,\mu\nu}^{(2\times2)} \coloneqq U_{x,\mu} \left(\prod_{\langle x,\rho\rangle \in C} U_{x,\rho}\right) U_{x,\mu}^{\dagger}.$$
(27)

Here we have an arbitrariness in defining the correlation between the Wilson loop and the scalar field, at which site the scalar field is located. We here define the correlation between the Wilson loop and the scalar field at the center x of the Wilson loop so that W has similar information to R. To construct a gauge-invariant operator, we connect the Wilson loop and the scalar field ϕ_x at the center using the Schwinger lines (see the right panel of Fig. 13.)

Now we examine the data for the correlation between the scalar field and Wilson loop: $\langle R \rangle$ and $\langle W \rangle$. The phase boundaries are searched in the same way as in the previous section.

Figure 14 shows the measurements of $\langle R \rangle$. The left panel shows plots of $\langle R \rangle$ versus γ along various β = const. lines. The right panel shows plots of $\langle R \rangle$ versus β along various γ = const. lines.

Figure 15 shows the measurements of $\langle W \rangle$. The left panel shows plots of $\langle W \rangle$ versus γ along various $\beta = \text{const.}$ lines. The right panel shows plots of $\langle W \rangle$ versus β along various $\gamma = \text{const.}$ lines.

The left panel of Fig. 16 shows the phase boundary determined from $\langle R \rangle$. The blue boundary ($\beta_c(\gamma)$) corresponds to the location at which $\langle R \rangle$ has gaps or bends. The green boundary ($\gamma_c(\beta)$) corresponds to the location at which the function $\langle R \rangle$ changes shape from a constant function $\langle R \rangle$ = const. to the increasing function. These phase boundaries reproduce those determined from the scalar-color correlation, i.e., $\langle |Q| \rangle$ and $\langle Q^2 \rangle$ (see Fig. 10).

The right panel of Fig. 16 shows the phase boundary determined from $\langle W \rangle$. The blue boundary $(\gamma_c(\beta))$ corresponds to the location at which $\langle W \rangle$ has gaps or bends. The green $(\gamma_c(\beta))$ and orange $(\beta'_c(\gamma))$ boundaries correspond to locations at which the function $\langle W \rangle$ changes the shape from a constant function to the increasing function. The green boundary shows the function $\langle W \rangle (\beta)$ jumps at the critical points. When we focus on blue and green boundaries $(\beta_c(\gamma))$ and $\gamma_c(\beta)$, the result reproduces the



FIG. 14. Average of scalar-plaquette correction function $\langle R \rangle$. Left: $\langle R \rangle$ versus γ on various $\beta = \text{const. lines. Right: } \langle R \rangle$ versus β on various $\gamma = \text{const. lines.}$



FIG. 15. Average of the correlation function $\langle W \rangle$ between scalar and (2×2) -Wilson loop. Left: $\langle W \rangle$ versus γ on various $\beta = \text{const.}$ lines. Right: $\langle W \rangle$ versus β on various $\gamma = \text{const.}$ lines.



FIG. 16. Critical lines determined (left) from $\langle R \rangle$, and (right) from $\langle W \rangle$.

phase diagram determined from the scalar-color correlation (see Fig. 10).

On the other hand, the orange boundary seems to separate the region below the green boundary into two regions: in the region $\beta < \beta'_c(\gamma)$ we have no correlation between the Wilson loop and the scalar field, $\langle W \rangle \simeq 0$, while in the region $\beta > \beta'_c(\gamma) \langle W \rangle$ takes a nonzero value, $\langle W \rangle \simeq > 0$. However, the orange boundary shows the location at which $\langle W \rangle (\beta)$ has the bent, although it is continuous without any gaps. On the other hand, we cannot find such a boundary from the measurement of $\langle R \rangle (\beta)$ (in sharp contrast to that of $\langle W \rangle (\beta)$). Therefore, this orange boundary could be the crossover as was discussed in Sec. III B.

In Fig. 16, although the transition line from $\langle W \rangle$ is located a little higher than the transition line from $\langle R \rangle$, these two transition lines agree within errors of the numerical simulations, as seen by the error bars.

IV. UNDERSTANDING THE NEW PHASE STRUCTURE OBTAINED FROM NUMERICAL SIMULATIONS

Finally, we discuss why the above phase structure should be obtained and how the respective phase is characterized from the physical point of view. Figure 17 shows the schematic view of the resulting phase structure.

(i) First, we consider the region below the new critical line γ < γ_c(β). In the limit γ → 0, especially, the SU(2) gauge-scalar model reduces to the pure compact SU(2) gauge model which is expected to have a single confinement phase with no phase transition and has a mass gap on the whole β axis in four spacetime dimensions [15]. Confinement is expected to occur due to vacuum condensations of "non-Abelian" magnetic monopoles [13]. Here the "non-Abelian" magnetic monopole should be carefully defined gauge independently using the



FIG. 17. Phase structure of the lattice SU(2) gauge-adjoint scalar model: (I) confinement phase, (II) Higgs phase, (III) confinement phase.

gauge-invariant method, which is actually realized by extending the gauge-covariant decomposition of the gauge field; see [12] for a review. More comments will be given below.

Even in the region $0 < \gamma < \gamma_c(\beta)$, the effect of the scalar field would be relatively small, and confinement would occur in the way similar to the pure SU(2) gauge theory, which we call confinement phase (I). Confinement phase (I) is regarded as a disordered phase in the sense that the color direction field n_x takes various possible directions with no specific direction in color space. This can be estimated through Q in relation to the direction of the adjoint scalar field ϕ_x , which yields very small or vanishing values of the average $\langle |Q| \rangle = 0$.

(ii) Next, we consider the region above the new critical line $\gamma > \gamma_c(\beta)$ where $\langle |Q| \rangle$ takes the nonvanishing value $\langle |Q| \rangle > 0$, including the two phases: Higgs phase (II) $\gamma > \gamma_c(\beta), \ \beta > \beta_c(\gamma)$ and confinement phase (III) $\gamma > \gamma_c(\beta), \beta < \beta_c(\gamma)$. In order to consider the difference between the two phases (II) and (III), we first consider the limit $\gamma \to \infty$. In this limit, the SU(2) gauge-scalar model reduces to the pure compact U(1) gauge model. The pure compact U(1) gauge model in four spacetime dimensions has two phases: confinement phase with massive U(1) gauge field in the strong gauge coupling region $\beta < \beta_* = \beta_c(\infty)$ and the Coulomb phase with massless U(1) gauge field in the weak gauge coupling region $\beta > \beta_* = \beta_c(\infty)$, which has been proved rigorously [16,17]. Confinement in the compact U(1) gauge model in the strong gauge coupling region $\beta < \beta_* = \beta_c(\infty)$ is understood based on the U(1) magnetic monopole as shown in [18].

For large but finite $\gamma < \infty$, furthermore, the critical line $\beta = \beta_c(\gamma)$ extends into the interior of the phase diagram from the critical point ($\beta_* = \beta_c(\infty), \gamma = \infty$) as shown in [2] by integrating out the scalar field to obtain the effective gauge theory, which supports the above two regions even for finite $\gamma < \infty$.

In the Higgs phase (II), $\beta > \beta_c(\gamma)$ above the new critical line $\gamma > \gamma_c(\beta)$ with a finite $\gamma < \infty$, the off diagonal gauge fields for the modes SU(2)/U(1) become massive due to the BEH mechanism, which is a consequence of the (partial) spontaneous symmetry breaking $SU(2) \rightarrow U(1)$ according to the conventional understanding of the BEH mechanism, although this phenomenon is also understood gauge independently based on the new understanding of the BEH mechanism without the spontaneous symmetry breaking [4]. Therefore, the diagonal gauge field for the mode U(1) always remains massless everywhere in the phase (II).

This is not the case in the other phases. Therefore, the Higgs phase (II) can be clearly distinguished from the other phases. In the limit $\gamma \to \infty$, especially, the off diagonal gauge fields become infinitely heavy and decouple from the theory, while the diagonal gauge field survives the limit both in (II) and (III). Consequently, the SU(2) gauge-scalar model reduces to the pure compact U(1) gauge model. This observation is consistent with the above consideration in the limit $\gamma \to \infty$.

The nonvanishing value $\langle |Q| \rangle > 0$ means that the color-direction field n_x correlates strongly with the given scalar field ϕ_x which tends to align to an arbitrary but a specific direction in the region $\gamma > \gamma_c(\beta)$ as expected from the spontaneous symmetry breaking in an ordered phase.

(iii) In the left region (III) $\beta < \beta_c(\gamma)$ above the new critical line $\gamma > \gamma_c(\beta)$ to be identified with another confinement phase (III), the gauge fields become massive due to different physical origins. In the region (III), indeed, the gauge fields become massive due to self-interactions among the gauge fields, as in the phase (I). In the confinement phase (III), no massless gauge field exists, and the gauge fields for all the modes become massive, which is consistent with the belief that the original gauge symmetry SU(2) would be kept intact and not spontaneously broken.

In the confinement phases (I) and (III) there occur magnetic monopole condensations which play the dominant role in explaining quark confinement based on the dual superconductor picture, while in the Higgs phase (II) there are no magnetic monopole condensations, and confinement would not occur. However, it should be remarked that the origin of magnetic monopoles is different in the two regions, (I) and (III). In (III) the magnetic monopole is mainly originated from the adjoint scalar field just like the 't Hooft-Polyakov magnetic monopole in the Georgi-Glashow model [19]. In (I) the magnetic monopole is constructed from the gauge field. Indeed, the magnetic monopole can be constructed only from the gauge degrees of freedom, which is explicitly constructed from the color direction field in the gauge-invariant way [12].

V. CONCLUSION AND DISCUSSION

In this paper, we have investigated the lattice SU(2) gauge-scalar model with the scalar field in the adjoint representation of the gauge group in a gauge-independent way. This model was considered to have two completely separated confinement and Higgs phases according to the preceding studies [2] based on numerical simulations

which have been performed in the specific gauge fixing based on the conventional understanding of the Brout-Englert-Higgs mechanism [1].

We have reexamined this phase structure in the gaugeindependent way based on the numerical simulations performed without any gauge fixing, which should be compared with the preceding studies [2]. This is motivated to confirm the recently proposed gauge-independent Brout-Englert-Higgs mechanics for giving the mass of the gauge field without relying on any spontaneous symmetry breaking [4,5]. For this purpose we have investigated correlation between gauge-invariant operators obtained by combining the original adjoint scalar field and the new field called the color-direction field which is constructed from the gauge field based on the gauge-covariant decomposition of the gauge field due to Cho-Duan-Ge-Shabanov and Faddeev-Niemi.

Consequently, we have reproduced gauge independently the transition line separating confinement and Higgs phase obtained in [2], and show surprisingly the existence of a new transition line that divides completely the confinement phase into two parts. Moreover, we have shown that the same new transition line as the above is also obtained based on the gauge-invariant operators defined by the original gauge field and scalar fields alone without using the colordirection field.

We have discussed the physical meaning of the new transition and implications to confinement mechanism. To establish our claim given in this paper, it is important to show how the distinction between (I) and (III) manifests itself in physical observables, such as the string tension, mass spectrum, or scattering amplitudes. In order to continue our research to obtain the evidence in this direction, we plan to measure the mass spectrum and magnetic monopole condensation in each phase to examine our claim, in addition to some theoretical considerations possibly derived from the analytical calculations in subsequent papers, together with more discussions on the physical properties of the respective phase. In particular, it is quite important to study whether or not the new phase (III) is a lattice artifact and survives the continuum limit.

The result obtained in this paper should be compared with the lattice SU(2) gauge-scalar model with the scalar field in the fundamental representation of the gauge group in a gauge-independent way. This model has a single confinement-Higgs phase where two confinement and Higgs regions are analytically continued according to the preceding studies [20,21]. Even in this case, it is shown [22] that the composite operator constructed from the original fundamental scalar field and the color-direction field can discriminate two regions and indicate the existence of the transition line separating the confinement-Higgs phase into two completely different phases, the confinement phase and Higgs phase.

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APPENDIX: PHASE BOUNDARY EXAMINED BY THE WILSON-LOOP AVERAGE

To understand the nature of the new transition between the two confinement phases (I) and (III), we further examine the Wilson-loop operator defined by

$$W_C^{(R \times T)} \coloneqq \frac{1}{2} \operatorname{tr} \left[\prod_{\langle x, \rho \rangle \in C} U_{x, \rho} \right], \tag{A1}$$

where the path *C* represents the loop consisting of links $\langle x, \rho \rangle$ on the perimeter of a $(R \times T)$ rectangle. To reduce the statistical errors, we use the averaged operator W(R, T) reflecting the translation and rotation invariance of the Wilson-loop operator:

$$W(R,T) := \frac{1}{N_{\text{site}}} \frac{1}{6} \sum_{\{C\}} W_C^{(R \times T)},$$
 (A2)

where the sum $\sum_{\{C\}}$ runs over all possible $(R \times T)$ rectangular loops $\{C\}$ on the six planes on the

four-dimensional lattice. In addition, we apply the APE smearing method [23] to reduce the noise.

We first measure a Wilson-loop average $\langle W(R,T)\rangle$. The search for a phase boundary is performed by measuring the Wilson-loop average $\langle W(R,T)\rangle$ by changing γ along the β = const. lines. To identify the phase boundary, we use the bent, step, and gap observed in the graph for $\langle W(R,T)\rangle$ as is done for $\langle P\rangle$.

Figure 18 shows the results of measurements of the Wilson-loop average $\langle W(R,T) \rangle$ in the $\beta - \gamma$ plane. The left panel of Fig. 18 shows plots of $\langle W(3,3) \rangle$ versus γ along the β = const. lines. For smaller values of β : $\beta < 1.2$, $\langle W(3,3)\rangle$ takes almost the same constant value for changing γ . We find no phase boundary between the phases (I) and (III) from measurements of the Wilson-loop average. For larger values of β : $\beta > 1.2$, on the other hand, $\langle W(3,3) \rangle$ is constant up to a critical point $\gamma_c(\beta)$ as γ increases, but for $\gamma > \gamma_c(\beta)$ it changes rapidly to take larger values. Thus we identify the critical line $\gamma_c(\beta)$ with the phase boundary from the Wilsonloop average. Moreover, the right panel of Fig. 18 shows plots of $\langle W(4,4) \rangle$ versus γ along the β = const. lines. We obtain the same results on the phase boundary as in the case of $\langle W(3,3) \rangle$. Note that these results are consistent with that obtained by $\langle P \rangle$ (see the left panel of Fig. 2).

Next, we examine the static potential from the Wilsonloop average to obtain the information about the string tension:

$$V(R,T) = -\frac{1}{T} \log \langle W(R,T) \rangle. \tag{A3}$$

Figure 19 shows the plots of static potentials for various values of γ along the β = const. lines. The left panel of



FIG. 18. Average of the Wilson loops versus γ on various $\beta = \text{const. lines: (left) } \langle W(3,3) \rangle$, and (right) $\langle W(4,4) \rangle$.



FIG. 19. Static potential $V(R, T/\epsilon = 6)$ obtained from the Wilson-loop average for various γ along the β = const. line: (left) β = 0.8, and (right) β = 2.0.

Fig. 19 shows the plots of the static potentials,¹ $V(R, T/\epsilon = 6)$, for various values of γ along the $\beta = 0.8$ line which crosses the phase boundary between the phases (I) and (III). The potential V increases as R increases up to the critical distance $R_c \simeq 3$ and immediately becomes flat for $R > R_c$, which could be the string breaking due to the screening of the gauge field by the pair creation of the scalar particles from the vacuum. All plots of the static potential overlap within errors irrespective of the values of γ .

The right panel of Fig. 19 shows the plots of the static potentials, $V(R, T/\epsilon = 6)$, for various values of γ along the $\beta = 2.0$ line which crosses the phase boundary between the

phases (I) and (II). We find two kinds of potentials depending on the value of γ : smaller or larger than a critical value $\gamma_c(\beta)$. In the confinement phase (I) $(\gamma < \gamma_c(\beta))$ the potential *V* increases as *R* increases up to the critical distance $R_c \simeq 3$ and becomes flat for $R > R_c$, while in the Higgs phase (II) $(\gamma > \gamma_c(\beta))$ the potential V(R) increases as *R* increases in the whole range of *R*, which could be identified with the Yukawa potential.

In the text, we have shown that the phase boundary separating confinement phases (I) and (III) can be elucidated through the correlation between the scalar field and the Wilson loop (or the color direction field extracted by the gauge-covariant decomposition). As shown in this appendix, indeed, we cannot detect any phase boundary that separates confinement phases (I) and (III) by using the Wilson-loop operator alone without taking into account its correlation with the scalar field. This result shows that the new transition we claim is not a transition detectable by the string tension.

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¹For smaller values of β , the Wilson-loop averages have smaller values, but the errors are large compared with those for larger values of β . Therefore, as for $\beta = 0.8$, we use 15,000 additional configurations for measurements to obtain signals.

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