# Multiplicity dependence of the $p_T$ -spectra for identified particles and its relationship with partonic entropy

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We investigate the multiplicity dependence of the transverse momentum  $p_T$  spectra of hadrons produced in high-energy collisions. We propose that the partonic distribution be parametrized by its nonextensive entropy and the parton saturation scale  $Q_s(x)$ . These two variables can be identified from the produced charged hadron distributions and provide important information on the gluon dynamics at the moment of interaction. From this perspective, we interpret data from different ALICE multiplicity classes at  $\sqrt{s}$  = 13 TeV and  $\sqrt{s}$  = 5.02 TeV. A multiplicity-dependent scaling function is presented and the dependence of the interaction area on multiplicity is also investigated.

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## I. INTRODUCTION

The transverse momentum  $p_T$  spectra are traditionally one of the main ways to obtain information about the dynamics of partons in the initial state of the interaction in proton-proton (pp), proton-nucleus (pA), and nucleusnucleus (AA) collisions at high energies. The momentum distribution of the particles produced is sensitive to the geometric parameters of the collision, such as the average area of interaction  $\langle A_T \rangle$ , the nature of the projectile, the collision energy  $\sqrt{s}$ , the pseudorapidity of the hadron produced  $\eta$ , and the observed multiplicity class. Modifications of these collision parameters significantly change the shapes of the spectra, mainly in the region of large  $p_T$ , usually characterized by a power-law multiplicity  $dN/d^2 p_T d\eta \sim p_T^{-m}$  [1–3]. Mapping these observable modifications in terms of QCD degrees of freedom, quarks and gluons, requires a phenomenological analysis that allows connection between variables associated with partonic

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dynamics with the quantities that characterize the hadronic spectrum.

In [3] we proposed a power-law partonic transverse momentum distribution (TMD) function that essentially depends on two quantities: the power index  $\delta n$  and the saturation scale  $Q_s(x)$ , where  $x \sim p_T/\sqrt{s}$  is the fraction of the gluon's longitudinal momentum at central rapidity. These two quantities are easily identified in the final spectra of produced hadrons: the saturation scale is evident from the scaling with respect to the hard scale proven in the interaction  $Q^2 \sim p_T^2$  in the scaling variable  $\tau = Q^2/Q_s^2(x)$ , while the power index can be inferred from the slope of spectra at the region of large  $p_T$ . The data description is relatively good by using these two quantities. In this work, we intend to give a better justification of why this type of model works and its interpretation. In the context of nonextensive statistical mechanics, the power parameter is related to the entropic index q of the Tsallis entropy [4,5]. While in approaches based on Hawking-Unruh radiation in QCD applied to thermal hadronization [6,7] the saturation scale plays the role of a temperature,  $T = Q_s/(2\pi)$ , and is sufficient to describe the system, in our model we also need to specify the power index (entropy). Thus, an analysis of the hadron spectrum on  $p_T$  will provide us with information about partonic dynamics through its entropy  $S_q$  and saturation scale  $Q_s(x)$ , with both quantities being necessary to characterize the system.

In [3] we already made an extensive study of the spectra of identified particles based on their scaling properties in

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relation to the collision energy  $\sqrt{s}$  at the energies of RHIC, TEVATRON, and LHC up to  $\sqrt{s} = 13$  TeV. The underlying QCD dynamics is based on the high-energy factorization or  $k_T$  factorization [8,9], where the building blocks are the transverse momentum distribution of partons (parton TMDs). The corresponding gluon TMD as a function of gluon transverse momentum is denoted by  $\phi(x, k_T)$ . In this article, we investigate the behavior of the spectrum at a fixed energy for different multiplicity classes as defined by ALICE [10] in proton-proton collisions at  $\sqrt{s} = 5.02$  and 13 TeV. The *pp* collisions at high multiplicity have shown properties close to those observed in nuclear collisions, which leads to the question about the relationship of initial-/final-state effects in these different collision systems. In our approach, we intend to show that all of the characteristics of the spectrum and its multiplicities can be well explained just by taking into account the properties of the initial state and the partonic entropy of the gluon system that take part in the initial interaction. The relationship between the average transverse momentum and multiplicity of charged particles produced in different collision systems, such as *pp*, *pA*, and *AA* [11] reactions, presents a challenge to traditional models of particle production, being a fundamental indicator in order to distinguish effects of the initial state and hydrodynamic evolution of the final state.

The main ideas underpinning the present study are as follows. The high-energy collision probes a system of gluons with a probability distribution  $P(x, k_T)$  given by the Fourier transform to the QCD color dipole scattering amplitude  $\mathcal{N}(x, r)$ , with r being the transverse size of a color dipole. Due to the diffusion of gluons in momentum space given in a time scale  $t \sim 1/x$ , the variation of the distribution  $P(x, k_T)$  is related to the probe of different substructures of the target. This process is described by an anomalous diffusion. We argue that when the probability distribution  $P(x, k_T)$  is given by the entropy maximization, two situations can occur: (i) the Boltzmann-Gibbs entropy, which in steady state results in a Gaussian distribution in transverse momentum, and (ii) the Tsallis entropy, which in steady state results in our model containing a power (entropic) index. By maximizing the Tsallis entropy, one associates a Lagrange multiplier  $\beta$  with the average value of the gluon transverse momentum  $k_T$ . Making use of a scaling property very common to systems presenting anomalous diffusion, one obtains  $\langle k_T^2 \rangle \sim \beta^{-1} (x_s/x)^{1/3}$ . This can be interpreted as a generalization of the Einstein relation and thus  $\beta$  can be understood as the inverse of temperature. More specifically, we intend to explore the connection between the multiplicity of produced hadrons,  $dN/d^2 p_{T_k} d\eta$ , and partonic dynamics at high energies. In order to investigate such a connection, we define an indicator of partonic entropy associated with the diffusion of gluons in  $k_T$  space. Considering that in the equilibrium situation  $\sqrt{s} \to \infty$  a behavior like  $\propto k_T^{-4}$  is expected, in contrast to the Boltzmann-like exponential form, we consider that the most appropriate indicator is that of Tsallis [4,5], where the monotonic shape  $\sim k_T^{-4}$  corresponds to the entropic index q = 3/2. From this indicator, we observe a relationship between the growth of entropy, the area of interaction, and the final multiplicity of charged hadrons.

This work is organized as follows. In Sec. II A we present the  $k_T$ -factorization formalism applied to the description of transverse momentum spectra of produced hadrons. In Sec. II B we propose a partonic entropy indicator based on the formalism of nonextensive statistical mechanics and its implications for the production of hadrons at high energies. Our main results are presented in Sec. III, where the model is compared with ALICE data for different multiplicities. Finally, in Sec. IV the main conclusions are summarized.

## II. THEORETICAL FRAMEWORK AND MAIN PREDICTIONS

## A. $p_T$ spectrum in $k_T$ factorization

To carry out our phenomenological investigation, we employ the  $k_T$ -factorization formalism, where the cross section for particle production can be expressed in terms of the unintegrated gluon distribution (UGD), dependent on transverse momentum  $k_T$ . Different hard observables in pp, pA, and AA collisions have been well described using this formalism [12–14], in addition to diffractive processes [15] and structure functions in electron-proton (ep) and electronnucleus (eA) interactions [16–24] (see also the reviews in Refs. [25,26]). In all of these approaches, the fundamental element is the partonic dynamics represented by the color dipole scattering amplitude, whose Fourier transform in momentum space  $k_T$  is directly connected with the gluon number of the target,  $\phi(x, k_T)$ . Different models have been proposed for this quantity for both protons and large nuclei [12,16,17,27-31], which include different considerations about partonic dynamics in relation to scaling, impact parameter  $(\vec{b})$  and geometry dependence, the high- $Q^2$  limit, and so on.

Partons develop an anomalous diffusion-like dynamic in the two-dimensional transverse momentum space  $\vec{k}_T$ , while its longitudinal dynamics is trivial. That can be described in the picture of QCD color dipoles [32–35]. One of the main features of this dynamic is the emergence of a saturation scale  $Q_s(x) \sim x^{-1/3}$ , which limits the growth of the gluon distribution at small Bjorken variable *x*. This behavior ends up being translated into observables, such as the  $p_T$ spectrum of hadrons, where the cross section for hadronic production can be described by a universal function *f*, i.e.,  $d\sigma(p_T, \sqrt{s})/d^2p_T \sim f(\tau)$ . Here,  $\tau$  is the scaling variable, which can be defined in the context of the geometric scaling property of parton saturation approaches.

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In the  $k_T$ -factorization formalism, the cross section for producing a gluon jet with transverse momentum  $p_T$  results in the convolution of the nonintegrated gluon distributions of the target and projectile,

$$E\frac{d^3\sigma^{ab\to g+X}}{d\vec{p}^3} = \frac{\mathcal{A}}{p_T^2} \int d^2k_T \phi(x_a, k_T^2)\phi(x_b, q_T^2), \quad (1)$$

$$=f(\tau),\tag{2}$$

which can be reduced to a universal function  $f(\tau)$  due to the scaling in relation to the saturation scale  $Q_s(x)$  characteristic of the parton saturation formalism. Namely, the scaling variable is given by  $\tau = p_T^2/Q_s^2(x)$ . Here,  $\mathcal{A} = K \frac{2\alpha_s}{C_F}$  is the overall normalization and  $\vec{q}_T = (\vec{p}_T - \vec{k}_T)$ . The *K* factor is a multiplicative constant, as used in [3]. The Casimir is  $C_F = (N_c^2 - 1)/2N_c = 4/3$  and  $\alpha_s$  is the strong coupling constant. The scaling curve for the case of gluon production in the range  $\tau > 1$  has the following form [3]:

$$f(\tau) = C \frac{\kappa}{\kappa - 1} \left[ 1 - \frac{1 + \kappa \tau}{(1 + \tau)^{\kappa}} \right] \frac{1}{(1 + \tau)^{1 + \kappa}}, \qquad (3)$$

with *C* being the overall normalization and  $\kappa = 1 + \delta n$ .

In order to illustrate how the UGD [3] considered here, the Moriggi-Peccini-Machado (MPM) parametrization, compares with others in the literature, in Fig. 1 (left) it is contrasted with the well-known Golec-Biernat– Wüsthoff (GBW) parametrization [36,37],  $\phi_{\text{GBW}}(x, k_T^2) =$  $c_g(k_T^2/Q_s^2(x))e^{-k_T^2/Q_s^2(x)}$ , and the updated Lipatov-Lykasov-Malyshev (LLM) approach [22],  $\phi_{\text{LLM}}(x, k_T, Q^2)$ . The GBW model and ours do not take into account the QCD evolution [the Catani-Ciafaloni-Fiorani-Marchesini

(CCFM) evolution equations] of the gluon function, which also depends on the scale  $Q^2$  of the problem. The LLM approach provides the UGD at low  $Q^2$  with a different analytical form from ours, and at large  $Q^2$  the QCD evolution with CCFM equations is calculated. The soft and hard hadron productions have been computed and the model allows for a good data description (see more details in Ref. [22]). In the figure, the UGD is shown as a function of gluon transverse momentum  $k_T$  for fixed  $x = 10^{-4}$  and at the hard scale Q = 20 GeV. The saturation scales in the GBW (dot-dashed line) and MPM (solid line) models are very close and scale independent, which is characterized by the transverse momentum peak of the distribution. The LLM approach (LLM-2022, dashed line) exhibits a higher saturation scale at this scale. In the right plot, the MPM and LLM approaches are compared in the description of the minimum bias data (INEL > 0) for 13 TeV at the LHC [10]. As expected, the QCD evolution starts to be important at  $p_{T_h} \gtrsim 10$  GeV. It is worth mentioning that the parameters K and  $\langle z \rangle$  in the MPM model were obtained for the range  $1 < \tau_h < 100$  with  $\tau_h = p_{T_h}^2 / Q_s^2(x_h)$ .

Moving now to the multiplicity distributions, it is desirable to constrict the scaling function in Eq. (1) in terms of the multiplicity degree of freedom,  $f(\tau) \rightarrow f(\tau_i)$ . Let us consider the variation of the saturation scale in each multiplicity class *i* in relation to its minimum bias value,  $X_i = Q_{s_i}(x)/Q_s(x)$ , in the following way:

$$\tau_i = \frac{Q^2}{[X_i Q_s(x)]^2},\tag{4}$$

where the momentum scale involved in the hard interaction is given by  $Q^2 = p_T^2 + m_j^2$ , with  $m_j$  being the mass of the



FIG. 1. Left: comparison of the corresponding UGDs: LLM-2022 (dashed line), GBW (dot-dashed line), and MPM (solid line). The LLM approach includes CCFM evolution of the gluon function (see text). Right: numerical results for the MPM and LLM-2022 approaches compared to minimum bias data INEL > 0 for 13 TeV measured by the ALICE Collaboration [10].

produced gluon jet. The value for the jet mass used here is the same as that considered in Ref. [3],  $m_j = 0.56$  GeV. The parton-hadron transition can be approximated by assuming that the hadron carries a fraction of momentum  $\langle z \rangle$  of the parton. In the numerical calculations, the value  $\langle z \rangle \simeq 0.4$  will be used, which is determined from data on pp collisions at the LHC [3]. In addition, we should replace the gluon transverse momentum  $p_T \rightarrow \frac{p_{T_h}}{\langle z \rangle}$ , with  $p_{T_h}$  being the hadron transverse momentum. Final-state processes could destroy the spectrum scaling, but this does not happen, indicating that the role of these effects is secondary, at least in pp collisions.

We can assume that the variation in multiplicity is related to the variation in the collision impact parameter, which leads to an increase in the transverse area of proton overlap  $\langle A_T \rangle$ , up to a maximum area value associated with the total overlap  $\langle A_{T_{\text{max}}} \rangle$ . The cross section can be expressed in a given multiplicity class scaling [Eq. (1)] in the form

$$E\frac{d^{3}\sigma_{i}{}^{ab\to g+X}}{d\vec{p}^{3}} \sim \frac{\langle A_{T} \rangle}{\langle A_{T_{\max}} \rangle} f(\tau_{i}).$$
(5)

The multiplicity can be obtained from the cross section at a given multiplicity,  $\sigma_i$ , by assuming  $\sigma_i/\sigma_{inel} = N_i/\langle N \rangle$ . Here,  $\sigma_{inel}$  is the inelastic cross section, and  $N_i$  and  $\langle N \rangle$  are the number of produced particles in the multiplicity class and its average, respectively. The spectrum modification factor in relation to its minimum bias value  $\langle dN/d^2 p_{T_h} d\eta \rangle$  is defined as the ratio

$$R_i(p_{T_h}) = \frac{dN_i/d^2 p_{T_h} d\eta}{\langle dN/d^2 p_{T_h} d\eta \rangle},\tag{6}$$

which captures small variations in the slope of large  $p_{T_h}$  at each centrality. Given these considerations, we only have two parameters to be fitted in each multiplicity. They are the ratios between areas and the saturation scale in relation to the reference value, i.e.,  $\frac{\langle A_T \rangle}{\langle A_{T_{max}} \rangle}$  and  $X_i$ .

We will not make an *a priori* estimate of these quantities, but in Sec. III we will analyze the resulting behavior in terms of relevant indicators of multiplicity such as  $\langle p_T \rangle_i$ . Bulk properties can be inferred from integrated spectra:

$$\frac{dN_i}{d\eta} = \int d^2 p_{T_h} \frac{dN_i}{d^2 p_{T_h} d\eta},\tag{7}$$

$$\langle p_{T_h} \rangle_i = \int d^2 p_{T_h} p_{T_h} \frac{dN_i/d^2 p_{T_h} d\eta}{\langle dN/d\eta \rangle}.$$
 (8)

The characterization of the gluon distribution appearing in Eq. (1) required to produce the universal scaling function  $f(\tau_i)$  and its particularities are given in the next section.

#### **B.** Partonic entropy

In the dipole picture, the fundamental element used to describe the collision is the color dipole scattering amplitude  $\mathcal{N}(x, \vec{r})$  in the dipole coordinate space ( $\vec{r}$  is the transverse size of the color dipole). In the transverse momentum space, its Fourier transform  $P(x, k_T)$  decodes target information by exchanging multiple gluons, making this object proof of the target's gluon distribution. Due to these multiple interactions, the scattering amplitude acquires a statistical character [38,39] describing a diffusive process in the transverse momentum space in relation to the longitudinal momentum fraction x. The last quantity gives the inverse of the diffusion time. Different models have been proposed for this object. In phenomenological terms, it was proposed [3] that a good description of the  $p_T$ spectrum of produced hadrons can be made considering the following distribution:

$$P^{\text{MPM}}(\delta n, x, k_T) = \frac{1 + \delta n}{\pi Q_s^2(x)} \frac{1}{(1 + k_T^2 / Q_s^2(x))^{2 + \delta n}}, \quad (9)$$

where the parameter  $\delta n$  takes into account deviation from the expected amplitude at leading order,  $\propto 1/k_T^4$ . This distribution was initially proposed to describe the slope in the region of large  $p_T$  in the transverse momentum spectrum in pp collisions. Moreover, it can also provide a good description of the  $p_T$  distribution in large systems like pA and AA interactions [12–14,40,41], as well as diffractive processes [42–44], which prove a distinct kinematic region. The number of gluons with a certain transverse momentum  $k_T$  is given by

$$\phi(x,k_T) = \frac{3}{4\pi^2 \alpha_s} k_T^2 P(x,k_T) \tag{10}$$

considering a homogeneous target with impact parameter dependence  $\propto \Theta(R_p^2 - b^2)$ . We investigate the dependence of the collision geometry of this object by letting the saturation scale depend on the multiplicity at each centrality. The emergence of scaling in the variable  $Q_s^2(x) \sim x^{-\lambda}$  is a remarkable property of the QCD in the high-energy regime with ample experimental evidence [3,45–51]. The successful phenomenological GBW model [36,37] describes this process by an exponential function on the scaling variable  $k_T^2/Q_s^2$ ,

$$P^{\text{GBW}}(x,k_T) = \frac{1}{\pi Q_s^2(x)} \exp\left[-k_T^2/Q_s^2(x)\right].$$
 (11)

One can define an entropy indicator in the gluon diffusion process based on the Boltzmann-Gibbs (BG) statistics in the following way:

$$S^{\text{BG}} = -\int P(x, k_T) \log [P(x, k_T)] d^2 k_T,$$
 (12)

where all we need to describe partonic interactions is the quantity  $Q_s(x)$ . An immediate consequence of scaling in the variable  $k_T^2/x^{-\lambda}$  is that the BG entropy is logarithmic in time 1/x, and additive with respect to rapidity  $Y = \log(1/x)$ ,

$$S^{\rm BG} = c_1 + c_2 \lambda \log(1/x), \tag{13}$$

where  $c_1$ ,  $c_2$  are constants and  $\lambda$  is a function of x and the scale  $Q^2$ . Initially, the partons are located in a small region around the saturation point, and as time passes the proton becomes almost homogeneous in transverse momentum space and the entropy increases quickly. It is interesting to note that if  $\lambda = \lambda(x, Q^2)$ , as predicted in other models [52], then the BG entropy may grow faster/slower and become nonadditive. Therefore, models of this type, when analyzed from the perspective of BG entropy, will not be extensive in relation to rapidity, indicating a break in the scaling. One of the reasons for this break and consequently entropy additivity is that gluons occupy a region d in the transverse plane of the inverse order to their average transverse momentum  $d \sim k_T^{-1} \sim Q_s(x)^{-1}$ . When a dependence is introduced on the scale  $Q \sim D^{-1}$  at which the proton structure is proved, one can suggest that the entropy becomes dependent on the resolution  $Q^2/Q_s^2 \sim d^2/D^2$  in which the system is seen. If  $Q^2/Q_s^2 \gg 1$ , then we can resolve details of the partonic substructure and one should expect a decrease of entropy, and we will have a loss of information between different rapidity layers.

In the distribution of Eq. (9), information about nonadditivity is given by the parameter  $\delta n$ , given by

$$\delta n(\tau_i) = 0.075 \tau_i^{0.188}, \tag{14}$$

$$Q_s^2(x) = \left(\frac{x_s}{x}\right)^{1/3}.$$
(15)

Now it should be noted that the distribution in Eq. (9) can be obtained by maximizing the Tsallis entropy  $S_q$ ,

$$S_q = \int d^2 k_T \frac{1 - [P(x, k_T)]^q}{q - 1},$$
 (16)

if we identify

$$q = \frac{3 + \delta n}{2 + \delta n},\tag{17}$$

$$Q_s^{2\prime} = Q_s^2(q-1) \tag{18}$$

and impose the constraints [5]

$$\langle k_T^2 \rangle_q = \frac{\int d^2 k_T k_T^2 [P(k_T)]^q}{\int d^2 k_T [P(k_T)]^q} = D_q,$$
(19)

$$\int d^2k_T P(k_T) = 1. \tag{20}$$

By using the Lagrange method to find the optimizing distribution  $P_{\text{opt}} \sim e_q^{-\beta k_T^2}$ , where  $\beta$  is the Lagrange parameter, we can make the following identification:

$$\langle k_T^2 \rangle_q = D_q = \beta^{-1}. \tag{21}$$

Now, if we interpret the Lagrange parameter as the inverse of the temperature  $\beta^{-1} = T$ , using the scaling hypothesis,

$$\langle k_T^2(x) \rangle_q \sim \beta^{-1} (x_s/x)^{1/3},$$
 (22)

we have a generalization of the Einstein relation for anomalous diffusion [53,54].

These two entropies,  $S^{BG}$  and  $S_q$  [Eqs. (12) and (16)], differ in nonadditive character, except in the case q = 1where they coincide. Parton dynamics can be described in terms of the difference in rapidity between two layers in the parton cascade  $\Delta Y = Y_a - Y_b = \log(x_a/x_b)$ ,

$$S_q(Y_a + \Delta Y) \le S_q(Y_a) + S_q(\Delta Y), \tag{23}$$

which implies that there is a loss of information when comparing the two systems in relation to the BG case. The entropy in Eq. (16) is nonadditive, and nonadditivity depends on the parameter  $\delta n$ , which will measure the loss of information when we compare the same situation described above with different values of this parameter.

In general terms, we can argue that if the steady state of the distribution has the form (9), a natural choice for the entropy would be (16). Considering that in the high-energy regime  $Q_s(x)^2/Q^2 \rightarrow \infty$ , we expect a point-particle scattering  $\phi(k_T)_{LO} \sim k_T^{-2}$  characterized by  $q_{\infty} = 3/2$ . In practice, q is always close to 3/2, which justifies the approximation  $q \simeq 3/2 + \delta n(\tau_i)/4$ . The variation of q as a function of the scale tested in the system was modeled as a power in the form (14). Such behavior of the entropic index is justified in analogy to other similar physical systems that exhibit this behavior [55],

$$q_{\infty} - q \simeq \tau_i^{0.188}, \qquad (24)$$

and the value of the entropic index must increase with  $Q^2$ . The resulting entropy is given by

$$S_q(Q^2, Q_s^2) = \frac{1}{q-1} - \left(\frac{2-q}{q-1}\right)^q (\pi Q_{s_i}^2)^{1-q}.$$
 (25)

This entropy can be expressed in terms of the partonic kinematic variables  $x, Q^2$  or expressed in terms of the spectrum variables  $p_{T_h}, \sqrt{s}$  in each multiplicity. As q > 1,

the entropy grows more slowly than log(1/x), eventually saturating.

Another way of looking at the difference between the exponential distribution and power law is through the superstatistics framework of Beck and Cohen [56], where the Tsallis distribution is obtained from the gamma fluctuations of the inverse of the saturation scale  $\beta = 1/Q_s^2(x)$ . In our case, one has

$$\int d\beta P^{\text{GBW}}(k_T,\beta)g(n,\beta,\beta_0) = P^{\text{MPM}}(k_T,\beta_0), \quad (26)$$

where  $g(n, \beta, \beta_0)$  is the gamma distribution. It is interesting to note that gamma distributions are needed in models like those shown in Refs. [57,58] to generate the necessary multiplicity fluctuation in pp and AA collisions.

The growth of q with  $Q^2$  is consistent with the statistical argument of Ref. [56] in the analysis of turbulent flow, where the authors argued that the variances in the fluctuations of  $\beta$  are smaller if taken at a larger distance scale, so q must grow with  $Q^2$ . It is noteworthy that the parameter q = 3/2 expected in the QCD parton picture is the same as that described by those authors in the context of turbulent flow on small scales.

Finally, the use of the proposed entropy offers a simple and economical way in terms of the number of parameters to describe experimental data of the  $p_T$  spectra and makes clear the partonic dynamics in a given collision process. In the next section, we explore the connection between the multiplicity of produced hadrons and partonic dynamics at high energies by using the associated indicator of partonic entropy and investigate the relationship between the growth of entropy, the area of interaction, and the final multiplicity of charged hadrons.

### **III. RESULTS AND DISCUSSIONS**

First, we address the issue of the centrality classes. For each ALICE multiplicity class [10] (energies 5.02 and 13 TeV), a value is adjusted for the parameter  $X_i$ , which measures the deviation in relation to the average saturation scale  $X_i = Q_{s_i}(x)/Q_s(x)$ , in addition to the transverse area ratio  $\langle A_T \rangle / \langle A_{T_{\text{max}}} \rangle$ . We consider the range  $p_{T_h} < 10$  GeV, where scaling is observed with good precision. The resulting parameters are presented in Table I for the two collision energies. The multiplicity classes are labeled by ten identifiers (index I to X). Although the saturation scale grows with each multiplicity, the interaction area saturates at a limit close to  $\langle A_{T_{\text{max}}} \rangle$  characterizing the total overlap of the protons. This trend is expected, as it was observed in [45,59].

The resulting  $p_T$  spectra given by Eq. (5) are presented in Fig. 2 for each multiplicity class at energies of  $\sqrt{s} =$ 5.02 TeV and  $\sqrt{s} = 13$  TeV and compared with data from ALICE [10]. In order to convert multiplicity to cross section, the inelastic cross section we use is  $\sigma_{\text{inel}}(\sqrt{s}=5.02)/\sigma_{\text{inel}}(\sqrt{s}=13)=0.87$  [60]. Considering the large momentum,  $p_{T_h} \approx 10$  GeV, we observe that the slope is smaller for events of high multiplicity (like class I) than for low multiplicity (for instance, in class X) as a consequence of the increase in partonic entropy (16) in events of high multiplicity. The entropy for these situations is presented in Fig. 3, along with the entropic index qassociated with the same  $p_{T_k}$  region. As entropy increases, so does multiplicity, but this growth is faster for interactions with large transferred momentum  $Q^2 \sim p_{T_b}^2$ . In the limit where  $p_{T_b}/Q_s(x) \rightarrow 0$ , the entropy would be flat, establishing a limit for particle production in this kinematic region.

The scaling in the universal function  $f(\tau_i)$  is evident in the spectrum shown in Fig. 4 at different energies as the data/theory error is very close to 1. A relevant deviation is only seen for the region of  $\tau_i \sim 10^3$ , where the validity of the model is in its limit of application. This sort of scaling in *pp* collisions was also verified in Ref. [49], where the multiplicity dependence was embedded on the saturation momentum within the geometrical scaling approach. The corresponding scaling is assumed in both semi-inclusive and inclusive distributions.

The ratio between the multiplicity classes and  $\langle dN/d^2 p_{T_h} d\eta \rangle$ , defined in Eq. (6), is presented in Fig. 5

	Multiplicity class	$X_i$	$A_T/A_{T_{\max}}$	$\chi^2/d.o.f.$		Multiplicity class	$X_i$	$A_T/A_{T_{\max}}$	$\chi^2/d.o.f.$
$\sqrt{s} = 5.02 \text{ TeV}$	Ι				$\sqrt{s} = 13 \text{ TeV}$	Ι	1.765	0.777	0.86
	II	1.588	0.775	0.25	·	II	1.567	0.849	0.68
	III	1.489	0.782	0.17		III	1.486	0.837	0.55
	IV	1.407	0.782	0.14		IV	1.402	0.843	0.53
	V	1.326	0.772	0.14		V	1.323	0.831	0.48
	VI	1.235	0.758	0.16		VI	1.240	0.809	0.42
	VII	1.133	0.741	0.16		VII	1.146	0.778	0.28
	VIII	1.012	0.714	0.20		VIII	1.036	0.731	0.20
	IX	0.845	0.698	0.27		IX	0.882	0.680	0.14
	Х	0.603	0.582	0.42		Х	0.637	0.498	0.06

TABLE I. Fitted parameters  $X_i$  and  $A_T/A_{T_{max}}$  from experimental data [10] at the energies 5.02 and 13 TeV in each multiplicity class.



FIG. 2. The  $p_{T_h}$  spectrum for different multiplicities compared to ALICE data [10]. Results are obtained by fitting the saturation scale given in Eq. (5). The data bins are multiplied by a factor  $2^i$  for better visualization.



FIG. 3. Left: evolution of the entropic index with the hadron transverse momentum, given by Eq. (24). Right: partonic entropy  $S_q$  given by Eq. (16) for different multiplicities. In both plots, representative multiplicity classes are considered (I,V,VII,IX,X).

for the energies of 5.02 TeV (left plot) and 13 TeV (right plot) as a function of hadron transverse momentum  $p_{T_h}$ . This observable is interesting because the ratio is sensitive to small variations in the slope of  $p_{T_h}$ . We can see that our model provides a good description of the data for all multiplicities. It is interesting to note that the slope of the spectrum given by  $\delta n$  in the distribution of Eq. (9) determines the growth of the ratio in the region of large  $p_{T_h}$ . It is not *a priori* expected that the parameter  $\delta n$  of Eq. (14) could provide the appropriate slope for each multiplicity just by rescaling  $Q_s \rightarrow X_i Q_s$  without any extra parameters. As shown in Fig. 6 (left plot), where  $X_i = (\langle p_{T_h} \rangle_i / \langle p_{T_h} \rangle)^2$ , the relationship established is that the spectrum slope in each multiplicity class can be derived from its minimum bias multiplicity by just rescaling  $Q_s \rightarrow \langle p_{T_h} \rangle^2$  in the UGD power index,  $\delta n$ .

Concerning the scaling of  $Q_s$  on multiplicity in the context of parton saturation approaches, it is expected that for high-multiplicity events, based on the local parton-hadron duality, the density of gluon grows as a function of multiplicity [61–65]. This leads by consequence to multiplicity dependence of the saturation scale. The integrated spectra at the given energy (7) under the scaling can be expressed as

$$\frac{dN_i}{d\eta} \sim \frac{\langle A_T \rangle}{\langle A_{T_{\text{max}}} \rangle} X_i^2.$$
(27)



FIG. 4. Scaling function obtained using the relation (5). Comparison is done between the scaling behavior obtained from data at different multiplicities and the scaling curve calculated in the  $k_T$ -factorization formalism.



FIG. 5. Ratio between different multiplicity classes and  $\langle \frac{dN}{d^2 p_{T_h} d\eta} \rangle$ , calculated using Eq. (6), as a function of hadron transverse momentum  $p_{T_h}$ . Results are shown for the energies of 5.02 TeV (left plot) and 13 TeV (right plot) and the theoretical prediction is represented by solid lines.

The specific shape of the overlap area dependence and the saturation scale with multiplicity can give us important information about partonic dynamics. In our model, the saturation scale growth due to the multiplicity  $dN_i/d\eta$  can be approximated by

$$X_i \sim \left(\frac{dN_i/d\eta}{\langle dN/d\eta \rangle}\right)^{1/3},$$
 (28)

which is shown in Fig. 7. This behavior is different from the one obtained in Ref. [49], where  $X_i \sim c_1 + c_2 (dN_i/d\eta)^{1/6}$ , or the linear behavior used in [66,67].

Now, in order to understand the relation between the area of interaction, multiplicity, and entropy, let us calculate the entropy relative to the distribution on  $1/Q_s^2(x)$  approximated by q = 3/2. This provides the following result:

$$S_{3/2}(X_i) = 2\left(1 - \frac{1}{(\pi X_i^2)^{1/2}}\right).$$
 (29)

If we assume that entropy is extensive with respect to the area of interaction, then we come to the conclusion that

$$S_{3/2}(X_i) \sim \langle A_T \rangle \sim (dN_i/d\eta)^{1/3}, \tag{30}$$

with  $\langle A_T \rangle$  [and  $S_{3/2}(X_i)$ ] reaching a saturation at a maximum value at large multiplicities.

In Fig. 6 (right plot) the average interaction area is presented as a function of the saturation scale ratio  $X_i$ . By using the proportionality between the average interaction area and the entropy [Eq. (30)], in Fig. 6 the results are shown for a fit in the form of Eq. (29). Namely, the relation  $\frac{\langle A_T \rangle}{\langle A_{T_{max}} \rangle} =$  $\xi(1 - a/X_i)$  is used, where  $\xi$  is a proportionality constant. The value found for the parameter  $a = 0.67 \pm 0.06$  is close to  $1/\sqrt{(\pi)} = 0.56$  appearing in Eq. (29). The dependence of the interaction area on multiplicity was investigated in Refs. [45,49,59], where it was argued that the interaction area has a natural dependence on multiplicity in the form  $\langle A_T \rangle \sim (dN_i/d\eta)^{2/3}$  (scales with the volume  $R^3$ ), until its saturation at a certain limit for high multiplicities. If we assume that the transverse area scales with partonic entropy, we have a different result, that is,  $\langle A_T \rangle \sim (dN_i/d\eta)^{1/3}$ .

Finally, putting the present work in context, in Ref. [68] the normalized transverse momentum distributions of produced hadrons were used to compute the BG entropy. The heat capacity was also determined from the entropy. Statistics of three different fitting functions were considered: thermal, confluent hypergeometric, and the Hagedorn distribution. Minimum bias data in pp collisions at RHIC and LHC were considered, and it was shown that the BG entropy of the final state increases with the collision energy. In Ref. [69], a connection was proposed between the framework of the evolution of states with dynamical SL(2, R) symmetry in the context of the Krylov basis and the evolution of QCD color dipoles in the Mueller dipole cascade framework. The latter has been used to define a parton (mostly gluons at large rapidities) entanglement entropy,  $S_E$  [39]. In the simplest case of (1 + 1) dimensions, one obtains  $S_E = \ln[xg(x)]$ , where xq(x) is the integrated gluon distribution. At high energies, the hadron becomes a maximally entangled state and the multiplicity distributions in deep inelastic scattering and hadron-hadron scattering can be deduced from the QCD parton cascade [70,71]. Work in [69] connected the Kcomplexity to the number of color dipoles in the parton cascade and the K-entropy to their  $S_E$ . On the other hand, a dynamical entropy for dense QCD states was proposed in Ref. [72], which is based on statistical physics tools for farfrom-equilibrium processes. The numerical analysis using realistic gluon UGDs was done in Ref. [73]. This entropy is written as an overlap functional between the gluon distribution at different total rapidities Y and saturation radius,  $R_s(Y) = 1/Q_s(Y)$ , where  $Q_s(Y)$  is the saturation scale.



FIG. 6. Left: values  $X_i = Q_{s_i}/Q_s$  for the saturation scale of each centrality class (see Table I) as a function of the average transverse momentum ratio,  $u = \langle p_{T_h} \rangle_i / \langle p_{T_h} \rangle$ . The solid line corresponds to a quadratic behavior,  $X_i = u^2$ . Right: average interaction area normalized by its maximum value as a function of  $X_i = Q_{s_i}/Q_s$  or  $u^2$ . The band corresponds to a nonlinear fit based on the form shown in Eq. (29) by using the proportionality proposed in Eq. (30).



FIG. 7. Saturation scale as a function of multiplicity expressed in terms of the relation between the quantity  $X_i$  and  $dN_i/d\eta$ . The solid line corresponds to a power-like fit to the relation.

In the weak coupling regime, the dynamical entropy characterizes the change of the color correlation length  $R_s(Y_0) \rightarrow R_s(Y)$ , mirroring the rapidity evolution  $Y_0 \rightarrow Y$ of a dense gluon state. The entropy functional  $\Sigma^{Y_0 \rightarrow Y}$  is defined in terms of the gluon transverse momenta probability distribution. In some aspects, the analysis presented here is more directly connected to this dynamical entropy.

#### **IV. SUMMARY AND CONCLUSIONS**

In this work, we presented a description of the  $p_T$  spectra of charged hadrons produced in high-energy collisions

taking into account their dependence on multiplicity. In order to do so, we interpreted the distribution of gluons in terms of their entropy and showed how characteristics of the spectrum can be well described in terms of this quantity plus the partonic saturation scale. We showed that multiplicity data exhibit scaling in relation to the saturation scale. An important consequence of our formulation is that, although the partonic entropy is nonextensive with respect to the rapidity, as expected from the BG statistics, when we compare the area of interaction of the protons it appears that the partonic entropy grows with the interaction area. This may suggest that the dependence on geometric aspects is fundamental for partonic dynamics, which is usually not included in the evolution of the rapidity in the distributions. Finally, we note that the correct slope of the spectrum under the rescaling of the UGD power parameter, as well as the economic number of parameters and the linear dependence of entropy on the partonic interaction area, are the main results of this work.

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