

Revisiting string-inspired running-vacuum models under the lens of light primordial black holes

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Light primordial black holes (PBHs) with masses $M_{\text{PBH}} < 10^9$ g can interestingly dominate the Universe's energy budget and give rise to early matter-dominated (eMD) eras before big bang nucleosynthesis (BBN). During this eMD era, one is met with an abundant production of induced gravitational waves (GWs) serving as a portal to constrain the underlying theory of gravity. In this work, we study this type of induced GWs within the context of string-inspired running-vacuum models (StRVMs), which, when expanded around de Sitter backgrounds, include logarithmic corrections of the space-time curvature. In particular, we discuss in detail the effects of StRVMs on the source as well as on the propagation of these PBH-induced GWs. Remarkably, under the assumption that the logarithmic terms represent quantum gravity corrections in the PBH era, we show that GW overproduction can be avoided if one assumes a coefficient of these logarithmic corrections that is much larger than the square of the reduced Planck mass. The latter cannot characterize quantum gravity corrections, though, prompting the need for revision of the quantization of StRVMs in different than de Sitter backgrounds, such as those characterizing PBH-driven eMD eras. This nontrivial result suggests the importance of light PBHs as probes of new physics.

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I. INTRODUCTION

Running-vacuum models (RVM) (see, e.g. [1–3] and references therein), constitute a framework for describing the entire evolution of the Universe [4], from inflation till the present era, including its thermodynamical aspects [5,6]. The RVM framework is consistent with the plethora of the available cosmological data [7–9], including big

bang nucleosynthesis (BBN) data [10]. Some RVM variants, are also capable of alleviating [11,12] the currently observed tensions in the cosmological data [13,14], namely the Hubble H_0 and the σ_8 tensions, associated with discrepancies of the Λ CDM-based best fits with the theoretically predicted values of the Hubble parameter H_0 today and the modern-era growth structures of the Universe, respectively.¹

The RVM framework is characterized by a vacuum energy density which (on account of general covariance)

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¹Of course, these tensions may admit either more conventional astrophysical explanations, or even be artefacts of the current statistics in the data, thus potentially disappearing in the future [15].

is a function of even powers of the Hubble parameter, H^{2n} , $n \in \mathbb{Z}^+$. Microscopic frameworks which can result in RVM cosmologies are string theory (the so-called Stringy RVM (StRVM) [16–20]), or quantum field theory of massive fields (of various spins) in expanding-universe backgrounds [21–24]. However, such massive-quantum-matter fields lead also to logarithmic $\ln H$ corrections to the vacuum energy density. Such logarithmic corrections, but of the form $H^{2n} \ln H$, can also arise from graviton loops in quantum gravity models in (approximately) de-Sitter backgrounds, which may characterize both early [19,20,25] and late phases [26] of the StRVM. In the context of StRVM, such quantum-gravity-induced logarithmic corrections are compatible with the late-epoch Universe phenomenology, but they may also contribute [26] to the alleviation of the cosmic tensions [13,14], like the aforementioned variants of the conventional RVM. Remarkably, the order of magnitude of these StRVM logarithmic corrections, as determined by the late Universe phenomenology [7,26], is also compatible with BBN data [10], under the dark-energy interpretation of the models.

On the other hand, primordial black holes (PBHs), first proposed in the early 1970s [27–30], and typically forming out of the collapse of enhanced cosmological perturbations, are currently attracting considerable attention, since they can address a number of issues of modern cosmology. In particular, they can potentially account for a part or all of the dark matter content of the Universe [31], offering in parallel an explanation for the large-scale structure formation through Poisson fluctuations [32,33]. Furthermore, they can constitute viable candidates for the origin of supermassive black holes residing in the galactic centers [34,35], explaining as well some of the black-hole merging events recently detected by the LIGO/VIRGO collaboration [36,37]. Interestingly enough, PBHs can also account naturally for the process of baryogenesis in the early Universe [38–40] as well as for the generation of cosmic magnetic fields [41,42]. For comprehensive reviews on PBHs the interested reader is referred to the Refs. [43,44].

A particularly interesting kind of PBHs are the ultralight ones, with masses lighter than 10^9 g, which have evaporated before BBN. Although light PBHs cannot constitute dark matter candidates, nonetheless, their existence addresses a plethora of cosmological issues. In particular, these ultralight PBHs, naturally produced in the early Universe [45–52], can trigger early matter dominated eras (eDM) [45,53–55] before BBN and reheat the Universe through their evaporation [56]. Interestingly enough, during this eDM era driven by PBHs, induced GWs [57–60] can be abundantly produced potentially being detectable by forthcoming GW observatories [61] and serving as new portal to constrain the underlying gravity theory [62,63]. Notably, recent works [64,65] claim that light PBHs can explain as well the recently released pulsar timing array (PTA) GW data. Moreover, ultralight PBHs can account for

the Hubble tension through the injection of light dark radiation degrees of freedom [66–68] to the primordial plasma (for a review see [69]) while at the same time they can naturally produce the baryon asymmetry through CP violating out-of-equilibrium decays of their Hawking evaporation products [70–72].

In this work, we study the production of GWs induced by PBH energy density fluctuations. By studying the effect of quantum(-graviton) logarithmic corrections of StRVMs on the source and the propagation of these induced GWs, we find that fixing the parameters of the StRVM logarithmic corrections to take on values in the appropriate range to address cosmological tensions [26] leads to an incurable overproduction of GWs. By requiring, thus, the avoidance of such a GW overproduction issue, we impose lower bound constraints on the coefficient of the StRVM curvature logarithmic correction, being unacceptably large in terms of the reduced Planck mass squared.

This unnaturally large value of the curvature logarithmic correction coefficient present in PBH-dominated eras, sheds light on subtleties involved in imposing such GW overproduction constraints, which actually depend on the microscopic details of StRVM. In particular, during eMD eras, like the one considered here, such logarithmic corrections, having been derived only for de-Sitter backgrounds in the context of one-loop quantum (super) gravities, may be significantly suppressed, thus alleviating the inconsistency between StRVM and the scenario of light PBHs early cosmological-epoch dominance. The upshot of our work here is to highlight that the quantization of StRVMs in such non-de Sitter space-time backgrounds, being related to specific microscopically realizations of the stringy running vacuum framework, needs to be revisited before definite conclusions are reached.

The structure of the paper is the following: In Sec. II we review the basic features of the gravitational effective theories stemming from the StRVM explaining also carefully the assumptions and theoretical considerations that underlie the logarithmic-curvature RVM corrections to the general relativity (GR) terms. Then, in Sec. III we recap the physics of a population of ultralight PBHs deriving at the end the power spectrum of the PBH energy density perturbations during a PBH-driven eMD era. Subsequently, in Sec. IV, we present the basics of the GWs induced by PBH energy density fluctuations within the framework of StRVMs while in Sec. V we set constraints on the coefficient c_2 of the StRVM curvature logarithmic correction. Finally, in Sec. VI, we carefully assess the various interpretations of the derived constraints. Some technical aspects of our approach are given in the Appendices A and B.

II. STRINGY RUNNING VACUUM OVERVIEW

As discussed in the context of dynamically broken one-loop $N = 1$ ($3 + 1$)-dimensional supergravity effective

field theories, in local de Sitter spacetime backgrounds [73–75], characterized by a positive cosmological constant $\Lambda > 0$, integrating out massless gravitons, following the pioneering work of [76], leads to an effective one-loop action involving terms that depend on $\Lambda^n \log \Lambda$, $n = 1, 2$, in addition to Λ -dependent terms. Taking into account that $R \propto \Lambda$ is the de-Sitter background spacetime scalar curvature, there was made the suggestion in [73–75] that $R^{2l}, R^{2l} \log R$, $l = 1, 2$ corrections appear in one-loop corrected effective actions in such backgrounds, after massless graviton path-integration.

This has been used in [19,25] to suggest that such corrections may characterise the quantum-gravity StRVM effective actions describing the early and late eras of the Universe, which are characterized by de Sitter phases, such as inflation or current dark-energy-dominance epoch.

Specific interest arises in the role of such corrections in the late Universe, where the data point out to a dark-energy dominance epoch, which is almost de Sitter. In such late de-Sitter-like eras, the dominant quantum-gravity corrections in the $(3+1)$ -dimensional effective gravitational action of the StRVM may be parametrized as [26]²:

$$S = \int d^4x \sqrt{-g} \left\{ c_0 + R \left[c_1 + c_2 \log \left(\frac{R}{R_0} \right) \right] + \mathcal{L}_m \right\}. \quad (1)$$

In the above expression, \mathcal{L}_m represents the matter action, and $R_0 = 12H_0^2$ denotes the current-era scalar curvature of the expanding universe, with H_0 the present-era Hubble parameter. The coefficient

$$c_1 = \frac{1}{16\pi G} = \frac{M_{\text{Pl}}^2}{2} + \tilde{c}_1 > 0, \quad (2)$$

determines an effective $(3+1)$ -dimensional gravitational constant G , including weak quantum-gravity corrections \tilde{c}_1 , such that $\tilde{c}_1/M_{\text{Pl}}^2 \ll 1$, where $M_{\text{Pl}} = \frac{1}{\sqrt{G_N}}$ (with G_N the conventional $(3+1)$ -dimensional Newton's constant) is the reduced Planck mass $M_{\text{Pl}} = 2.4 \times 10^{18}$ GeV. Throughout this work we work in units where $\hbar = c = 1$.

The constant c_0 parametrizes the cosmological-constant dominance in the current-epoch cosmological data [77]. For our phenomenological analysis we can take it to be

$$c_0 \simeq \frac{7}{3} \rho_m^{(0)} = \frac{7}{3} H_0^2 M_{\text{Pl}}^2, \quad (3)$$

where $\rho_m^{(0)}$ is the present-day matter density. However, strictly speaking in the context of StRVM, c_0 is actually not

²We follow the conventions the convention for the signature of the metric $(-, +, +, +)$, and the definitions of the Riemann curvature tensor $R^\lambda{}_{\mu\nu\sigma} = \partial_\nu \Gamma^\lambda{}_{\mu\sigma} + \Gamma^\rho{}_{\mu\sigma} \Gamma^\lambda{}_{\rho\nu} - (\nu \leftrightarrow \sigma)$, the Ricci tensor $R_{\mu\nu} = R^\lambda{}_{\mu\lambda\nu}$, and the Ricci scalar $R = R_{\mu\nu} g^{\mu\nu}$.

a constant, but a slow-varying quantity parametrizing dark energy in the modern era [16]. We should stress at this point that, from the point of view of string theories, a de Sitter phase should only be metastable, in view of obstructions raised by both, perturbative (S-matrix [78,79]) and non-perturbative string (swampland [80–84]) arguments. Thus, within the StRVM framework [16,19,20,26], we envisage a quintessence-type situation in which, in the asymptotically far future, c_0 will eventually disappear, as required by consistency with the underlying string theory. This feature is of course in agreement with the current-data phenomenology of the StRVM.

It should be stressed that, as a result of the nature of the coefficient c_2 , which is associated with quantum-gravity corrections, it must be that

$$|c_2| \ll c_1, \quad (4)$$

with c_2 having the same units as c_1 , that is M_{Pl}^2 . The late-era phenomenology of the model (1) can be most conveniently studied by means of the following two parameters [26]:

$$d \equiv c_1 + c_2, \quad \epsilon \equiv \frac{c_2}{c_1 + c_2}. \quad (5)$$

In [26] it was demonstrated that the model can be easily made consistent with the current-era phenomenology, which is shared with conventional forms of RVM, upon restricting the ranges of d , ϵ (and thus c_2), in accordance with the condition (4). Indeed, since the quantity d given in (5) essentially defines in the present era the ratio

$$d = G_{\text{eff}}/G_N \quad (6)$$

of an effective gravitational constant G_{eff} over the standard value of the Newton's constant G , we observe that for $d < 1$ ($d > 1$) we shall have a slower (faster) expansion of the Universe, and hence for $d \neq 1$ the temperature CMB spectra will be affected analogously. Moreover, as argued in [26], a $d < 1$ can alleviate the Hubble H_0 tension [13,14].

The detailed analysis of [26] has also demonstrated that consistency with the CMB and growth of structure data [77] requires the condition

$$|\epsilon| \lesssim \mathcal{O}(10^{-7}). \quad (7)$$

To understand this restriction, one should take into account [26] that negative (positive) values of the parameter ϵ lead to a suppression (enhancement) of the amount of structures in the universe. Thus, by imposing the restriction (7), one can avoid changing drastically the matter fraction Ω_m in the current epoch, thus maintaining consistency with the data [77].

Moreover, as shown in [26], one can alleviate the observed tensions, both the H_0 and the growth of structure

σ_8 , by choosing the following values of the parameters³:

$$0.9 \lesssim d \lesssim 0.95, \quad |\epsilon| \sim \mathcal{O}(10^{-7}), \quad (8)$$

and in this respect the StRVM (1) behaves as some variants of the conventional RVM I [12], specifically RVMII, which can alleviate both tensions simultaneously, by allowing for a mild cosmic time (t) dependence of the effective gravitational constant $G_{\text{eff}}(t)$. In the context of the stringy RVM, such a dependence is replaced by the incorporation of the quantum-gravity logarithmic-curvature corrections to the gravitational coupling.

In strong gravity backgrounds, such as the ones characterized by PBH domination, the quantum gravity corrections might be expected to be significant, making more demanding a detailed embedding of the theory into an UltraViolet (UV) complete quantum gravity framework, such as string theory. At this point, we mention that string-inspired corrections to the general relativity actions, such as higher-curvature corrections, e.g. quadratic Gauss Bonnet (GB) terms coupled to scalar dilaton fields, are known to make the finding de-Sitter-type vacuum solutions to the dynamical equations para-metrically harder than easier [85]. This is in agreement with the Swampland criteria [80–82,84] of quantum gravity and theory generic incompatibility with de Sitter vacua.

In the context of the StRVM [16–20], dilatons have been assumed constant, in which case the nontrivial quadratic curvature corrections come from the gravitational Chern-Simons (CS) term, coupled to the axion fields $b(x)$ of the string gravitational multiplet (gravitational axions), $\int d^4x \sqrt{-g} \frac{1}{2} b(x) \epsilon^{\mu\nu\rho\sigma} R_{\sigma\rho}{}^{\alpha\beta} R_{\mu\nu\alpha\beta}$, but also from higher than quadratic, nonanomalous, curvature corrections. The latter are also expected, in analogy with the GB case, to affect the existence of proper de Sitter vacua. Such higher curvature terms are not important at the current cosmological era, for which the quantum corrections are strongly suppressed, and thus (1) suffices to describe the gravitational dynamics, but they may become important in strong-gravity regimes, such as the aforementioned PBH dominance era.

On the other hand, the anomalous CS terms of the StRVM can condense at early epochs, due to the presence of chiral GWs, leading to a *metastable* condensate characterized by nontrivial imaginary parts [86,87]. This, in turn, can lead to inflation of RVM type [16,19], with a duration determined by those imaginary parts, which can be in the phenomenologically correct ballpark (i.e. inflation with 50-60 e-foldings). We mention for completeness that

the StRVM model is characterized by a pre-RVM inflationary phase, during which the gravitational axion b -field dominates the cosmic fluid with a stiff equation of state. It is at the end of this phase that chiral GW (which are produced in various scenarios [19,20]) can lead to CS condensates. In such eras, the CS anomaly terms alone suffice to describe the passage from the stiff era to a metastable de Sitter one, leading to RVM inflation. The metastability is notably compatible with the swampland criteria. It is toward the end of this RVM inflation, and subsequent eras, that one might have a dominance phase of PBHs, due to enhanced production [88], during which one should expect stringy corrections to affect significantly the RVM de Sitter vacua, and thus the form of the logarithmic-curvature correction terms in the pertinent effective action, which we stress once again is expected to be different from (1).

Although (1) describes well the current de-Sitter-like era, an interesting question arises as to the potential dependence of the magnitude of the coefficient c_2 on the cosmic time, in other words on the specific cosmological era under consideration. Actually, as already mentioned in the previous section, the form of the graviton-loop induced corrections depends on the spacetime backgrounds about which one expands the theory, assuming weak graviton corrections to such backgrounds.

Of course, the full answer to such questions would require the development of a complete theory of quantum gravity, or at least embedding the model into detailed, phenomenologically realistic string theory models, which at present is not available. Nonetheless, it is the point of this article to stress that at least the first of the above questions, namely the cosmic time dependence of the magnitude of c_2 , could be partly settled phenomenologically, at least under some conditions that we shall specify below. The key to this lies on examining the effective StRVM gravitational theory (1) under the lens of PBHs, in particular light PBHs. We stress at this point that, during the light-PBH-production era, of interest to us, the background spacetime is far from de Sitter, hence the considerations of [19,73–76] leading to the form (1) are not strictly valid. We therefore first need to find a more appropriate parametrization of the quantum-graviton corrected effective action for such an era.

In the next and the following sections we embark onto such a task. The conclusions, as we shall see, appear quite interesting, and in some cases, rather decisive, thus reinforcing the role of PBHs as interesting probes of new gravitational physics models.

III. THE PRIMORDIAL BLACK HOLE GAS

A. Early matter dominated eras driven by primordial black holes

We consider here a population (“gas”) of PBHs forming in the RD era after inflation due to the collapse of enhanced

³It is interesting, and simultaneously quite curious, to remark that this value of $\epsilon < 0$ corresponds to quantum-gravity induced logarithmic corrections in the dynamical broken $N = 1$ supergravity model of [25,74,75] with a (sub-Planckian) supersymmetry breaking scale of order $\sqrt{f} \simeq 10^{-5/4} M_{\text{Pl}} \sim 10^{17}$ GeV, which appears quite consistent with the entire cosmological history of the StRVM as outlined in [19].

cosmological perturbations [27,29]. For simplicity, we consider that all PBHs of our population share the same mass M_{PBH} [89,90]. This can be achieved in general by a sharply peaked primordial curvature power spectrum on small scales. At the end, we meet the formation of a PBH with a mass of the order of the cosmological horizon mass being recast as

$$M_{\text{PBH},f} = \frac{4\pi\gamma M_{\text{pl}}^2}{H_f}, \quad (9)$$

where H_f stands for the Hubble parameter at the time of PBH formation and $\gamma \simeq 0.2$ is the fraction of the horizon mass collapsing to PBHs during a RD era [91].

Regarding the dynamical evolution of the PBH abundance Ω_{PBH} , one should account for the fact that Ω_{PBH} will grow as $\Omega_{\text{PBH}} \propto \rho_{\text{PBH}}/\rho_r \propto a^{-3}/a^{-4} \propto a$ since we have a matter component (PBHs in our case) evolving in a RD background. At the end, if the initial PBH abundance at PBH formation time $\Omega_{\text{PBH},f}$ is large enough, PBHs will dominate at some point the Universe's energy budget triggering an eMD era with the scale factor at PBH domination time being recast $a_d = a_f/\Omega_{\text{PBH},f}$, where a_f is the scale factor at PBH formation time. Note here that these transient eMDs should occur before BBN so as that Hawking radiated products do not “disturb” the production of light elements in the early Universe, thus imposing that PBHs should evaporate before BBN.

If one now tracks back the dynamical evolution of the radiation energy density from today up to PBH formation era and takes into account the intervening transient eMD era driven by PBHs before BBN, they can show that a_f can be recast as [58]

$$a_f = \left(\frac{AM_{\text{pl}}^2}{2\pi\gamma\Omega_{\text{PBH},f}^2 M_{\text{PBH}}^2} \right)^{1/6} \Omega_{r,0}^{1/4} \sqrt{\frac{H_0 M_{\text{PBH}}}{4\pi\gamma M_{\text{pl}}^2}}, \quad (10)$$

where $H_0 \simeq 70$ km/s/Mpc is the Hubble parameter today, $\Omega_{r,0} = 4 \times 10^{-5}$ is the present-day abundance of radiation and $A = 3.8 \times g_{\text{eff}}/960$, with $g_{\text{eff}} \simeq 100$ [92] being the effective number of relativistic degrees of freedom present at the epoch of PBH formation. At the end, the scale factor during the aforementioned eMD era will read as $a = a_d(\eta/\eta_d)^2$, where η is the conformal time defined as $dt = ad\eta$, while the conformal Hubble parameter defined as $\mathcal{H} \equiv a'/a$, where $'$ denotes derivation with respect to the conformal time, will be recast as $\mathcal{H} = 2/\eta$.

B. The primordial black hole gravitational potential

Having described above the dynamics of the PBH gas, let us discuss here the PBH gravitational potential Φ during the PBH-dominated era, which is associated with the PBH

energy density perturbations themselves and will in fact constitute the source of our induced GW signal. PBHs form from the collapse of enhanced primordial curvature perturbations. Thus, the statistical properties of the primordial curvature perturbations will be inherited to PBH energy density fluctuations. Considering, then, Gaussian primordial curvature perturbations as imposed by Planck [93], distant curvature perturbations are uncorrelated, leading to a random spatial distribution of our PBH population [90,94,95]. Consequently, PBH statistics follow the Poisson distribution, resulting in the following PBH matter power spectrum [see Sec. II and Appendix B of [57] for more details.]:

$$\mathcal{P}_{\delta_{\text{PBH}}}(k) = \frac{2}{3\pi} \left(\frac{k}{k_{\text{UV}}} \right)^3. \quad (11)$$

In the above expression, we note the appearance of a UV-cutoff scale being related to the PBH mean separation scale and defined as $k_{\text{UV}} \equiv (\gamma/\Omega_{\text{PBH},f})^{1/3} k_f^{-1}$, where k_f is the typical PBH comoving scale crossing the horizon at PBH formation time. In particular, at distances much larger than the mean PBH separation scale, namely k_{UV}^{-1} , the PBH gas can be effectively treated as a pressureless fluid [57]. For scales smaller than k_{UV}^{-1} however, one enters the non-linear regime where $\mathcal{P}_{\delta_{\text{PBH}}}(k)$ becomes larger than unity. In this regime, perturbation theory as well as the effective PBH pressureless fluid description break down. In the following, we consider scales where $k \leq k_{\text{UV}}$.

Interestingly enough, the fact that PBHs can be viewed as discrete objects entails inhomogeneities in the PBH matter fluid, whereas the radiation background is homogeneous. Therefore, the initial PBH energy density perturbations being proportional to the PBH number density fluctuations can be regarded as isocurvature perturbations [96], which are converted into adiabatic perturbations Φ deep in the PBH-driven eMD era. One can then straightforwardly deduce [57,58] that the power spectrum for the PBH gravitational potential Φ during the PBH-dominated era can be written in terms of the PBH matter power spectrum as

$$\mathcal{P}_{\Phi}(k) = S_{\Phi}^2(k) \left(5 + \frac{8k^2}{9k_d^2} \right)^{-2} \mathcal{P}_{\delta_{\text{PBH}}}(k), \quad (12)$$

where $\mathcal{P}_{\delta_{\text{PBH}}}(k)$ is given by Eq. (11) and k_d is the comoving scale crossing the horizon at the onset of PBH-dominated era. $S_{\Phi}(k)$ is a suppression factor defined as $S_{\Phi}(k) \equiv (k/k_{\text{evap}})^{-1/3}$, introduced here due to the fact that scales having a time variation which is larger than the PBH evaporation rate Γ , i.e. $k \gg \Gamma$, are effectively suppressed by the nonzero pressure of the radiation fluid [97].

Accounting now for the effects of StRVMs, being seen as $f(R)$ gravity theories, on the aforementioned Φ power

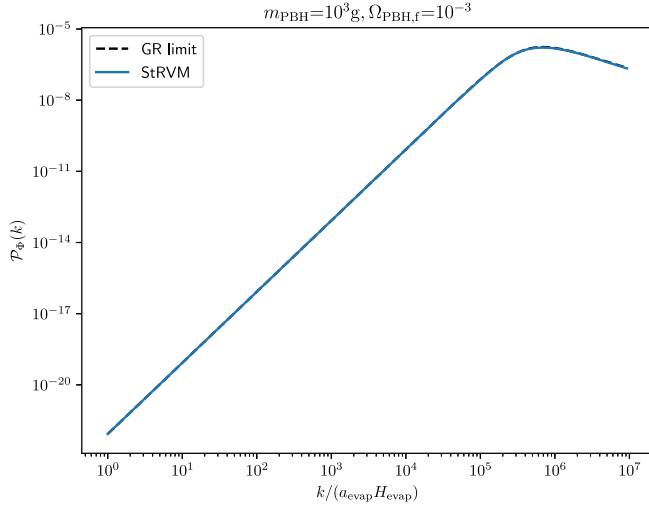


FIG. 1. The power spectrum of the PBH gravitational potential in GR (dashed black line) and in the StRVM framework (solid blue line) for $M_{\text{PBH}} = 10^3$ g and $\Omega_{\text{PBH},f} = 10^{-3}$ and for $c_2/c_1 = 10^{-7}$.

spectrum, one can show [62] that $\mathcal{P}_\Phi(k)$ will read as:

$$\mathcal{P}_\Phi(k) = \mathcal{P}_{\delta_{\text{PBH}}}(k) \mathcal{S}_\Phi^2(k) \times \left[5 + \frac{2}{3} \left(\frac{k}{\mathcal{H}} \right)^2 \frac{F}{\xi(a)} \left(\frac{1 + 3 \frac{k^2 F_R}{a^2 F}}{1 + 2 \frac{k^2 F_R}{a^2 F}} \right) \right]^{-2}. \quad (13)$$

In the above expression, $\xi(a)$ is defined as

$$\xi(a) \equiv \frac{\delta_{\text{PBH}}(a)}{\delta_{\text{PBH}}(a_f)}, \quad (14)$$

where \mathcal{H} is the conformal Hubble function and $\delta_{\text{PBH}}(a)$ is the solution of the subhorizon Meszaros equation. Note that in the case of GR we have $F = 1$ and $\xi(a) \simeq \frac{3}{2} \frac{a}{a_d}$ recovering the GR result.

As one can see in Fig. 1, where we plot $\mathcal{P}_\Phi(k)$ for $M_{\text{PBH}} = 10^3$ g, $\Omega_{\text{PBH},f} = 10^{-3}$ and $c_2/c_1 = 10^{-7}$, the deviations of the StRVM compared to GR is really small. The same conclusions are inferred by varying the PBH mass and the initial PBH abundance as long as $c_2/c_1 < 1$. One then can conclude that the effect of StRVMs at the level of the PBH gravitational potential power spectrum is negligible.

C. The relevant PBH parameters

Let us discuss here the relevant PBH parameters of the problem at hand. These are actually the PBH mass M_{PBH} and the initial PBH abundance at formation time $\Omega_{\text{PBH},f}$. Regarding the PBH mass range, we need to stress that in our setup we focus on PBHs which form after the end of inflation and evaporate before BBN time. This yields both,

a lower and an upper bound on the PBH mass. In particular, on taking into account the current Planck upper bound on the tensor-to-scalar ratio for single-field slow-roll models of inflation, which gives $\rho_{\text{inf}}^{1/4} < 10^{16}$ GeV [77], and that the PBH mass is given by Eq. (9), by simply requiring that $\rho_f^{1/4} \leq \rho_{\text{inf}}^{1/4} < 10^{16}$ GeV, one can show, using the Friedmann equation ($\rho = 3M_{\text{Pl}}^2 H^2$), that $M_{\text{PBH}} > 1$ g. Then, by requiring that $\rho_{\text{evap}}^{1/4} > \rho_{\text{BBN}}^{1/4} \sim 1$ MeV one arrives at $M_{\text{PBH}} < 10^9$ g. At the end, one has that [57]

$$10 \text{ g} < M_{\text{PBH}} < 10^9 \text{ g}. \quad (15)$$

Concerning now the range of $\Omega_{\text{PBH},f}$, one should require that PBHs evaporate after their PBH domination time, i.e. $t_{\text{evap}} > t_d$, in order to have eMD eras driven by PBHs. Thus, since $t_{\text{evap}} \propto M_{\text{PBH}}^3$ [98], $t_d = 1/(2H_d)$ and $a_d = a_f/\Omega_{\text{PBH},f}$, one can straightforwardly show that

$$\Omega_{\text{PBH},f} > 7 \times 10^{-14} \frac{10^4 \text{ g}}{M_{\text{PBH}}}. \quad (16)$$

Furthermore, one should account for the fact that GWs produced during an eMD era can contribute to the effective number of extra neutrino species ΔN_{eff} , which is tightly constrained by BBN and CMB observations as $\Delta N_{\text{eff}} < 0.3$ [99]. This upper bound constraint on ΔN_{eff} can then be translated to an upper bound on the GW amplitude $\Omega_{\text{GW},0} h^2 \leq 10^{-6}$ [100]. Employing this upper bound on the GW amplitude in the context of eMD eras driven by PBHs, one can derive, at least within GR, an upper bound on $\Omega_{\text{PBH},f}$ which reads as

$$\Omega_{\text{PBH},f} < 10^{-6} \left(\frac{M_{\text{PBH}}}{10^4 \text{ g}} \right)^{-17/24}. \quad (17)$$

Having derived here the relevant PBH parameter space, we are now well equipped to proceed to the study of light PBH-induced GWs within StRVMs, and thus derive constraints on the corresponding logarithmic quantum correction c_2 in that era.

IV. SCALAR INDUCED GRAVITATIONAL WAVES IN THE STRINGY RUNNING VACCUM

As already stated in the introduction, we shall consider a population of light PBHs, that is PBHs with masses smaller than 10^9 g, which is present after the end of reheating. This population can dominate the energy budget of the Universe transiently after the end of inflation and evaporate before BBN. In the context of the StRVM [19,20,101,102] we will apply the analysis first presented in [62] in which the gravitational wave signal induced by PBH energy density fluctuations is extracted in the framework of a generic $f(R)$ gravitational theory, since the RVM can easily be recast as

an $f(R)$ theory as follows:

$$f(R) = c_0 + R \left(c_1 + c_2 \log \left(\frac{R}{R_0} \right) \right). \quad (18)$$

For our purposes, one of the most relevant features of $f(R)$ is the existence of an extra massive tensor polarization mode, the so-called ‘‘scalaron’’ field [103] whose propagation equation reads as

$$\square F(R) = \frac{1}{3} [2f(R) - F(R)R + 8\pi GT^m] \equiv \frac{dV}{dF}, \quad (19)$$

where we have set $F \equiv df(R)/dR$ and T^m is the trace of the energy-momentum tensor of the (total) matter content of the Universe. As we observe, Eq. (19) is a wave equation for $\phi_{sc} \equiv F(R)$ whose mass is given by $m_{sc}^2 \equiv d^2V/dF^2$, reading as

$$m_{sc}^2 = \frac{1}{3} \left(\frac{F}{F_{,R}} - R \right), \quad (20)$$

where $F_{,R} \equiv dF/dR = d^2f/dR^2$. In our running vacuum setup, from (18) we obtain that

$$m_{sc}^2 = \frac{R}{3} \left(\frac{c_1}{c_2} + \log \left(\frac{R}{R_0} \right) \right) \quad (21)$$

A. Tensor perturbations

We shall now study the tensor perturbations h_{ij} induced by the gravitational potential Φ . In particular, the perturbed metric in the Newtonian gauge, assuming as usual zero anisotropic stress and $\delta F/F < 1$ [see Figs. 5, 6 and 7 in Appendix A], is written as

$$ds^2 = a^2(\eta) \left\{ -(1 + 2\Phi)d\eta^2 + \left[(1 - 2\Phi)\delta_{ij} + \frac{h_{ij}}{2} \right] dx^i dx^j \right\}, \quad (22)$$

where we have multiplied by a factor 1/2 the second order tensor perturbation as is standard in the literature. We remark that the contribution from the first-order tensor perturbations is not considered here since we concentrate on gravitational waves induced by scalar perturbations at second order.⁴ Then, by Fourier transforming the tensor

⁴It is worthy of pointing out that while tensor modes remain gauge invariant at first order, this is not the case at second order [104–110]. This necessitates specifying the observational gauge for GWs. However, our study focuses on a GW backreaction problem, disregarding observational predictions. Specifically, if the energy density from induced GWs surpasses that of the background, perturbation theory is expected to fail regardless of the gauge. Thus, our findings are independent of the gauge choice.

perturbations and taking into account the three polarization modes of the GWs in $f(R)$ gravity, namely the (\times) and the ($+$) as in GR and the scalaron one, denoted with (sc), the equation of motion for the tensor modes h_k reads as

$$h_k^{s''} + 2\mathcal{H}h_k^{s'} + (k^2 - \lambda m_{sc}^2)h_k^s = 4S_k^s, \quad (23)$$

where $\lambda = 0$ when $s = (+), (\times)$ and $\lambda = 1$ when $s = (sc)$. The scalaron mass term, m_{sc}^2 , is given by Eq. (21) and the source term S_k^s can be written as

$$S_k^s = \int \frac{d^3\mathbf{q}}{(2\pi)^{3/2}} e_{ij}^s(\mathbf{k}) q_i q_j \left[2\Phi_{\mathbf{q}} \Phi_{\mathbf{k}-\mathbf{q}} + \frac{4}{3(1+w_{\text{tot}})} \times (\mathcal{H}^{-1}\Phi'_{\mathbf{q}} + \Phi_{\mathbf{q}})(\mathcal{H}^{-1}\Phi'_{\mathbf{k}-\mathbf{q}} + \Phi_{\mathbf{k}-\mathbf{q}}) \right], \quad (24)$$

with w_{tot} being the effective parameter of state which arises from treating an $f(R)$ gravity theory as GR plus an effective curvature fluid (see e.g. [62,111])—its cumbersome explicit form is not important for our purposes.

The polarization tensors $e_{ij}^s(\mathbf{k})$ are defined as [112]

$$e_{ij}^{(+)}(\mathbf{k}) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad e_{ij}^{(\times)}(\mathbf{k}) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

$$e_{ij}^{(sc)}(\mathbf{k}) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad (25)$$

while the time evolution of the potential Φ , considering only adiabatic perturbations, can be obtained from combining the first order perturbed field equations in $f(R)$ gravity, which yields [62]

$$\Phi_k'' + \frac{6(1+w_{\text{tot}})}{1+3w_{\text{tot}}} \frac{1}{\eta} \Phi_k' + w_{\text{tot}} k^2 \Phi_k = 0. \quad (26)$$

In the case of an MD era ($w_{\text{tot}} \simeq 0$), the nondecaying solution of the above equation gives us a constant value of Φ . Writing the solution of Φ as $\Phi_k(\eta) = T_\Phi(\eta)\phi_k$, where ϕ_k is the value of the gravitational potential at some initial time (which here we consider it to be the time at which PBHs dominate the energy content of the Universe, x_d) and $T_\Phi(\eta)$ is a transfer function one can normalize the MD transfer function to unity, i.e. $T_\Phi(\eta) = 1$. Consequently, Eq. (24) can be written in a more compact form as

$$S_k^s = \int \frac{d^3\mathbf{q}}{(2\pi)^{3/2}} e^s(\mathbf{k}, \mathbf{q}) F(\mathbf{q}, \mathbf{k} - \mathbf{q}, \eta) \phi_{\mathbf{q}} \phi_{\mathbf{k}-\mathbf{q}}, \quad (27)$$

where

$$\begin{aligned}
F(\mathbf{q}, \mathbf{k} - \mathbf{q}, \eta) &\equiv 2T_\Phi(q\eta)T_\Phi(|\mathbf{k} - \mathbf{q}|\eta) \\
&+ \frac{4}{3(1+w)} [\mathcal{H}^{-1}qT'_\Phi(q\eta) + T_\Phi(q\eta)] \\
&\cdot [\mathcal{H}^{-1}|\mathbf{k} - \mathbf{q}|T'_\Phi(|\mathbf{k} - \mathbf{q}|\eta) + T_\Phi(|\mathbf{k} - \mathbf{q}|\eta)], \quad (28)
\end{aligned}$$

and $e_{ij}^s(\mathbf{k})q_iq_j \equiv e^s(\mathbf{k}, \mathbf{q})$ can be written in terms of the spherical coordinates (q, θ, φ) of the vector \mathbf{q} as

$$e^s(\mathbf{k}, \mathbf{q}) = \begin{cases} \frac{1}{\sqrt{2}}q^2\sin^2\theta\cos 2\varphi & \text{for } s = (+) \\ \frac{1}{\sqrt{2}}q^2\sin^2\theta\sin 2\varphi & \text{for } s = (\times) \\ \frac{1}{\sqrt{2}}q^2\cos^2\theta & \text{for } s = (\text{sc}) \end{cases}. \quad (29)$$

At the end, Eq. (23) can be solved by means of the Green's function formalism giving us a tensor perturbation h_k^s reading as

$$a(\eta)h_k^s(\eta) = 4 \int_{\eta_d}^{\eta} d\bar{\eta} G_k^s(\eta, \bar{\eta}) a(\bar{\eta}) S_k^s(\bar{\eta}), \quad (30)$$

where the Green's function $G_k^s(\eta, \bar{\eta})$ is actually the solution of the homogeneous equation being recast as

$$G_k^{s''}(\eta, \bar{\eta}) + \left(k^2 - \lambda m_{\text{sc}}^2 - \frac{a''}{a}\right) G_k^s(\eta, \bar{\eta}) = \delta(\eta - \bar{\eta}), \quad (31)$$

with the boundary conditions $\lim_{\eta \rightarrow \bar{\eta}} G_k^s(\eta, \bar{\eta}) = 0$ and $\lim_{\eta \rightarrow \bar{\eta}} G_k^{s'}(\eta, \bar{\eta}) = 1$.

One then can extract the tensor power spectrum, $\mathcal{P}_h(\eta, k)$ for the different polarization modes, which is defined as follows:

$$\langle h_k^r(\eta) h_k^{s,*}(\eta) \rangle \equiv \delta^{(3)}(\mathbf{k} - \mathbf{k}') \delta^{rs} \frac{2\pi^2}{k^3} \mathcal{P}_h^s(\eta, k), \quad (32)$$

where $s = (\times)$ or $(+)$ or (sc) . At the end, after a lengthy but straightforward calculation, one gets $\mathcal{P}_h(\eta, k)$ reading as [113–116]

$$\begin{aligned}
\mathcal{P}_h^{(\times)\text{or}(+)}(\eta, k) &= 4 \int_0^\infty dv \int_{|1-v|}^{1+v} du \left[\frac{4v^2 - (1+v^2 - u^2)^2}{4uv} \right]^2 \\
&\times I^2(u, v, x) \mathcal{P}_\Phi(kv) \mathcal{P}_\Phi(ku), \quad (33)
\end{aligned}$$

whereas for the scalaron polarization one obtains that

$$\begin{aligned}
\mathcal{P}_h^{(\text{sc})}(\eta, k) &= 8 \int_0^\infty dv \int_{|1-v|}^{1+v} du \left[\frac{(1+v^2 - u^2)^2}{4uv} \right]^2 \\
&\times I^2(u, v, x) \mathcal{P}_\Phi(kv) \mathcal{P}_\Phi(ku). \quad (34)
\end{aligned}$$

In Eqs. (33) and (34) u and v are two auxiliary variables defined as $u \equiv |\mathbf{k} - \mathbf{q}|/k$ and $v \equiv q/k$, and the kernel

function $I(u, v, x)$ is reads as

$$I(u, v, x) = \int_{x_d}^x d\bar{x} \frac{a(\bar{x})}{a(x)} k G_k^s(x, \bar{x}) F_k(u, v, \bar{x}), \quad (35)$$

where $x = k\eta$. In a MD era as the one we consider here $T_\Phi = 1$, hence we obtain from Eq. (28) that $F = 10/3$.

At the end, one can straightforwardly show that the GW spectral abundance $\Omega_{\text{GW}}(k, \eta)$ defined as the energy density contribution of GWs per logarithmic comoving scale, i.e. $\Omega_{\text{GW}}(k, \eta) \equiv \frac{1}{\rho_{\text{tot}}} \frac{d\rho_{\text{GW}}(k, \eta)}{d \ln k}$ can be recast as

$$\Omega_{\text{GW}}(k, \eta) = \frac{1}{96} \left(\frac{k}{\mathcal{H}(\eta)} \right)^2 \left[2\overline{\mathcal{P}_h^{(\times)}}(\eta, k) + \overline{\mathcal{P}_h^{(\text{sc})}}(\eta, k) \right]. \quad (36)$$

B. Redefining the stringy running vacuum parameters

Before proceeding with the calculation of the GW signal, let us make a brief discussion on the StRVM parameters, namely c_0 [(3)], c_1 [(2)] and c_2 . At this point, it is important to note a conceptual issue when using the effective action (1) in the PBH-dominated era. Unfortunately, the derivation of this action holds only in de Sitter backgrounds, at an one-loop approximation of weak quantum gravity [73–76]. In the context of the StRVM [19], which is based on superstring theory, such de-Sitter phases could occur: (i) either in the very early universe, shortly after the big bang, which is assumed to be dominated by a phase of a dynamically broken (superstring-embeddable) supergravity [73,75], characterized by an early (hill-top) inflationary era (approximately de Sitter) [75,117], that precedes the RVM inflationary epoch, or (ii) at late eras, which are not supersymmetric, but during which the StRVM universe reenters another approximately de-Sitter era.

During the PBH-dominated era, the dominant spacetime background is far from de Sitter. Moreover, especially near light PBHs, which will introduce the most severe constraints of the model, perturbative quantum gravity is expected to cease to exist, and one enters possibly a strong gravity regime. In such a situation, the aforementioned derivations of (1) [25,74,75] are not valid, and thus the form (1) does not give us the correct dynamics. It is not clear what modifications to the Einstein-Hilbert term one obtains in such a case, by including resummation (or actually nonperturbative string dynamics) effects. Even if the form (1) were valid, the corresponding effective action should correctly represent an expansion about the spacetime background of the PBH-dominated era. Thus, the corresponding gravitational dynamics should be described by an action (1) modified by the replacement of the quantity R_0 (which in late eras represents the current-era curvature scalar [26]) by

$$R_0 \rightarrow R_{\text{PBH}}. \quad (37)$$

where R_{PBH} represents the curvature scalar during (light) PBH-domination era (*cf.* (43) below). In this setting, the coefficients c_i , $i = 1, 2$, in the current era appearing in (1) should be replaced by the corresponding ones representing the quantum-gravity-corrected effective action at the epoch of primordial-PBH dominance

$$c_i \rightarrow c_i^{\text{PBH}} \neq c_i \quad i = 1, 2. \quad (38)$$

Under these assumptions, the gravitational model to be used in our phenomenology of the StRVM during the PBH domination will therefore be given by (1) upon the replacements (37) and (38), that is by

$$S^{\text{PBH}} = \int d^4x \sqrt{-g} \left\{ c_0 + R \left[c_1^{\text{PBH}} + c_2^{\text{PBH}} \log \left(\frac{R}{R_{\text{PBH}}} \right) \right] + \mathcal{L}_m^{\text{PBH}} \right\}, \quad (39)$$

where $\mathcal{L}_m^{\text{PBH}}$ denotes the corresponding matter Lagrangian during the PBH epoch.

In the following section we shall make such an assumption (39), which is the most conservative, albeit, as explained above, not truly valid, scenario in a generic quantum gravity setting. Lacking a complete theory of quantum gravity, though, adopting such a scenario will

allow us to impose much stronger constraints, in order to avoid GW overproduction during the era of PBH domination, on the coefficients c_2^{PBH} at that *era*, as compared to the corresponding values (8), required for an alleviation of the current-era cosmological tensions [26].

C. The stringy running vacuum gravitational-wave propagator

In this subsection we shall study GW propagation within the StRVM framework (39). In particular, we shall derive the modifications of the Green's function (31), entering Eq. (30), which actually yields the GW propagator. To this end, we first note that for the polarization modes (\times) and ($+$), one recovers the usual GR GW propagator, which, for a MD era, reads as

$$kG_k^{(\times) \text{ or } (+)}(x, \bar{x}) = \frac{1}{x\bar{x}} \left[(1 + x\bar{x}) \sin(x - \bar{x}) - (x - \bar{x}) \cos(x - \bar{x}) \right], \quad (40)$$

where $x \equiv k\eta$ and $\bar{x} \equiv k\bar{\eta}$.

We now proceed to derive the pertinent modifications of the scalaron GW propagator. For an eMD era, driven by PBHs, the scalaron mass Eq. (20), m_{sc}^2 , is calculated to be

$$m_{\text{sc}}^2 = \frac{M_{\text{PBH}}^3}{\eta^6 g_{\text{eff}} H_0^3 M_{\text{Pl}}^4 \Omega_{r,0}^{3/2}} \left[1286 \frac{c_1^{\text{PBH}}}{c_2^{\text{PBH}}} + 2700 + 1286 \ln \left(\frac{M_{\text{PBH}}^5}{\eta^6 \gamma^2 g_{\text{eff}} H_0^3 M_{\text{Pl}}^8 \Omega_{\text{PBH},f}^4 \Omega_{r,0}^{3/2}} \right) \right], \quad (41)$$

where we have taken into account that the Ricci scalar during a PBH-dominated era is given by

$$R = \frac{3860 M_{\text{PBH}}^3}{\eta^6 g_{\text{eff}} H_0^3 M_{\text{Pl}}^4 \Omega_{r,0}^{1/4}}, \quad (42)$$

while the Ricci scalar at the onset of the PBH domination era, R_{PBH} , can be recast as

$$R_{\text{PBH}} = \frac{474 \gamma^2 M_{\text{Pl}}^4 \Omega_{\text{PBH},f}^4}{M_{\text{PBH}}^2}. \quad (43)$$

For notational brevity in this and the following sections we shall use the notation c_i for c_i^{PBH} , $i = 1, 2$ that appear in (39). Since we exclusively concentrate here on the PBH-dominance epoch, there is no danger in bringing any confusion with such a notation. Substituting now in Eq. (41) $g_{\text{eff}} = 100$, being a typical order of magnitude of the number of the relativistic degrees of freedom at the time of PBH formation [92], under the assumption that the standard model of particle physics describes the matter

part $\mathcal{L}_m^{\text{PBH}}$ of the effective action (39),⁵ $\gamma \simeq 0.2$, being the fraction of the cosmological horizon collapsing into a PBH [91], $H_0 = 70$ km/s/Mpc, and $M_{\text{Pl}} = 2.41 \times 10^{18}$ GeV, we obtain

$$m_{\text{sc}}^2 = \frac{3 M_{\text{PBH}}^3 10^{60}}{\eta^6} \left[\frac{c_1}{c_2} + \ln \left(\frac{10^{-14} M_{\text{PBH}}^5}{\eta^6 \Omega_{\text{PBH},f}^4} \right) \right]. \quad (44)$$

Interestingly enough, for the relevant range of our parameter space $1 \text{ g} < M_{\text{PBH}} < 10^9 \text{ g}$, and $7 \times 10^{-10} \frac{10^4 \text{ g}}{M_{\text{PBH}}} \leq \Omega_{\text{PBH},f} \leq 10^{-6} \left(\frac{M_{\text{PBH}}}{10^4 \text{ g}} \right)^{-17/24}$ [see discussion in Sec. III C], it was found numerically [see Fig. 2] that the value of the logarithmic term $\ln \left(\frac{10^{-14} M_{\text{PBH}}^5}{\eta^6 \Omega_{\text{PBH},f}^4} \right)$ during the PBH-eMD era is below 10. Thus since $c_1/c_2 \gg 1$, in order for c_2 to be a quantum correction, the logarithmic term can be safely

⁵We note though that, in general, in string-inspired models beyond the standard model of particle physics, this number can be much larger, up to about a 1000, in some phenomenologically realistic string theories.

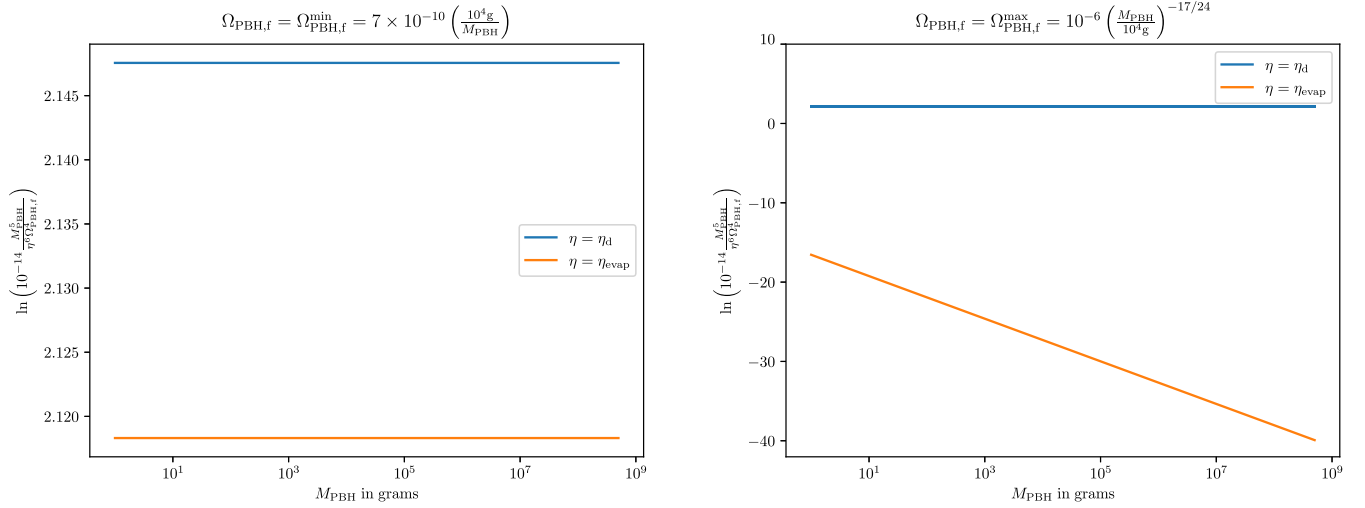


FIG. 2. Left panel: the logarithmic term $\ln\left(\frac{10^{-14}M_{\text{PBH}}^5}{\eta^6\Omega_{\text{PBH},f}^4}\right)$ as a function of the PBH mass for the minimum value of the initial PBH abundance $\Omega_{\text{PBH}}^{\min} = 7 \times 10^{-10} \left(\frac{10^4 \text{ g}}{M_{\text{PBH}}}\right)$. Right panel: the logarithmic term $\ln\left(\frac{10^{-14}M_{\text{PBH}}^5}{\eta^6\Omega_{\text{PBH},f}^4}\right)$ as a function of the PBH mass for the maximum value of the initial PBH abundance $\Omega_{\text{PBH}}^{\max} = 10^{-6} \left(\frac{M_{\text{PBH}}}{10^4 \text{ g}}\right)^{-17/24}$.

neglected, which leaves us with

$$m_{\text{sc}}^2(\eta, m_{\text{PBH}}) \approx \frac{c_m c_1 m_{\text{PBH}}^3}{3c_2 \eta^6}, \quad (45)$$

where $c_m \equiv 3 \times 10^{60} \text{ GeV}^{-7}$.

One then can check numerically that the ‘‘corrected’’ scalaron mass term (45) is the dominant one compared to the k^2 and a''/a ($= 2/\eta^2$ in a MD era) present in Eq. (31)

[see Figs. 8 and 9 of Appendix B]. Thus, Eq. (31) can be recast as

$$G_k^{\text{sc},\prime\prime}(\eta, \bar{\eta}) - \left(\frac{c_m c_1 m_{\text{PBH}}^3}{3c_2 \eta^6}\right) G_k^{\text{sc}}(\eta, \bar{\eta}) = \delta(\eta - \bar{\eta}), \quad (46)$$

whose solution is

$$kG^{\text{sc}}(x, \bar{x}) = \frac{\sqrt{x\bar{x}}}{2\sqrt{2}} \pi \left[I_{-\frac{1}{4}}\left(\frac{\sqrt{c_1}\sqrt{c_m}M_{\text{PBH}}^{3/2}k^2}{2\sqrt{3}\sqrt{c_2}\bar{x}^2}\right) + I_{\frac{1}{4}}\left(\frac{\sqrt{c_1}\sqrt{c_m}M_{\text{PBH}}^{3/2}k^2}{2\sqrt{3}\sqrt{c_2}\bar{x}^2}\right) - I_{\frac{1}{4}}\left(\frac{\sqrt{c_1}\sqrt{c_m}M_{\text{PBH}}^{3/2}k^2}{2\sqrt{3}\sqrt{c_2}\bar{x}^2}\right) I_{-\frac{1}{4}}\left(\frac{\sqrt{c_1}\sqrt{c_m}M_{\text{PBH}}^{3/2}k^2}{2\sqrt{3}\sqrt{c_2}\bar{x}^2}\right) \right] \quad (47)$$

where I_n is the modified Bessel function of the first kind. At the end, the kernel function for a MD era where $F = 10/3$ reads as

$$I_{\text{MD}}^{(\text{sc})2}(x) = \frac{25}{63504x^{12}} \left\{ 56_0F_1\left(\frac{3}{4}; \frac{c_v^2}{4x^4}\right) \left[x^3 {}_1F_2\left(-\frac{3}{4}; \frac{1}{4}, \frac{5}{4}; \frac{c_v^2}{4x^4}\right) - x_{\text{d}1}^3 {}_1F_2\left(-\frac{3}{4}; \frac{1}{4}, \frac{5}{4}; \frac{c_v^2}{4x_{\text{d}}^4}\right) \right] + \frac{1}{x_{\text{d}}^4} {}_0F_1\left(\frac{5}{4}; \frac{c_v^2}{4x^4}\right) \right. \\ \left. \times \left[c_v^4 x_{\text{d}}^4 {}_2F_3\left(1, 1; 2, \frac{11}{4}, 3; \frac{c_v^2}{4x^4}\right) - c_v^4 x^4 {}_2F_3\left(1, 1; 2, \frac{11}{4}, 3; \frac{c_v^2}{4x_{\text{d}}^4}\right) + 14x^4 x_{\text{d}}^4 \left(-3x^4 + 3x_{\text{d}}^4 + 4c_v^2 \ln \frac{x_{\text{d}}}{x}\right) \right] \right\}^2, \quad (48)$$

where $c_v \equiv 10^{30} \text{ GeV}^{-7/2} \sqrt{\frac{c_1}{c_2}} M^{3/2} k^2$ and ${}_pF_q(a_1, \dots, a_p; b_1, \dots, b_q, z)$ is the generalized hypergeometric function.

V. CONSTRAINING THE STRINGY RUNNING VACUUM LOGARITHMIC CORRECTIONS

Having discussed in previous sections the PBH gas and the associated scalar-induced GWs within the context of

StRVMS, we now proceed to impose constraints on the parameter c_2 of the StRVM quantum logarithmic correction (39), using the aforementioned GW portal.

Before doing so, it is worthwhile to clarify the status of the scalaron polarization mode. Interestingly enough, if one views the scalaron as a new physical degree of freedom [112], one should require that its mass be smaller than the UV cutoff of the theory, which in the context

of StRVM can be naturally taken to be the string scale M_s [102]. Since M_s should be smaller than, or at most equal to, the Planck scale M_{Pl} , one obtains $m_{\text{sc}} \leq M_{\text{Pl}}$. The regime where $m_{\text{sc}} \geq M_{\text{Pl}}$ corresponds actually to the limit where $c_2 \rightarrow 0$ (GR limit) where $m_{\text{sc}} \rightarrow \infty$. In this large mass regime, the scalaron becomes in fact a ‘‘heavy’’ mode, not contributing to the polarization modes of GWs. Consequently, by the simple requirement that the scalaron mass should be smaller than the reduced Planck scale M_{Pl} at both, the onset of the PBH domination era (η_d), and the PBH evaporation time (η_{evap}), so as to avoid trans-Planckian modes, one can derive a lower limit on the ratio c_2/c_1 as a function of the PBH mass and their initial abundance at formation time reading as

$$\frac{c_2}{c_1} \geq 10^{-46} \max \left[\left(\frac{\Omega_{\text{PBH}}}{10^{-7}} \right)^4 \left(\frac{10^4 \text{ g}}{M_{\text{PBH}}} \right)^2, 10^{-9} \left(\frac{10^4 \text{ g}}{M_{\text{PBH}}} \right)^6 \right], \quad (49)$$

where the right term within the brackets of the right-hand side of Eq. (49) comes by requiring $m_{\text{sc}}(\eta_{\text{evap}}, M_{\text{PBH}}) < M_{\text{Pl}}$ while the left one originates by demanding that $m_{\text{sc}}(\eta_d, M_{\text{PBH}}) < M_{\text{Pl}}$. In Fig. 3, we show this lower bound constraints on the ratio of c_2/c_1 as a function of M_{PBH} and $\Omega_{\text{PBH},f}$.

Focusing now on the GW amplitude on the PBH-driven eMD era, one can constrain c_2 just by requiring that the GW amplitude at the end of the PBH-dominated era, namely at the end of PBH evaporation, should be smaller than unity so as to avoid GW overproduction. Remarkably, $I_{\text{MD}}^{(\text{sc})2}(x_{\text{evap}})$ diverges for values of $c_v^2/(4x^4) \gtrsim 1000$ signaling a GW backreaction issue, since the spectral abundance of the induced GWs associated with the scalaron mode

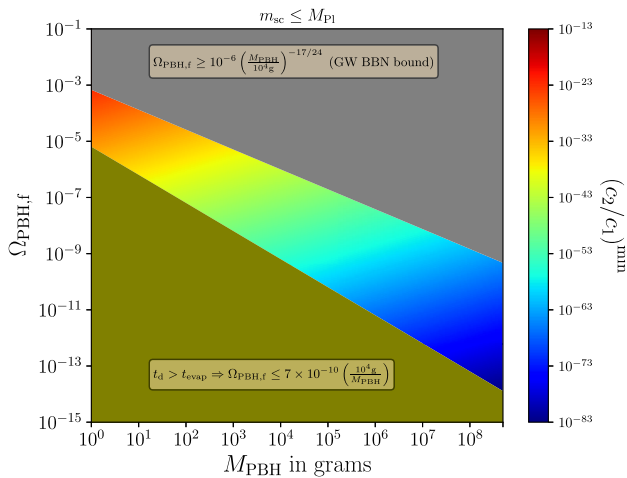


FIG. 3. The lower bound constraints on c_2/c_1 (color bar axis) as a function of the PBH mass M_{PBH} (x -axis) and the initial PBH abundance at formation $\Omega_{\text{PBH},f}$ (y -axis) accounting for the fact that scalaron mass should be smaller than the Planck scale.

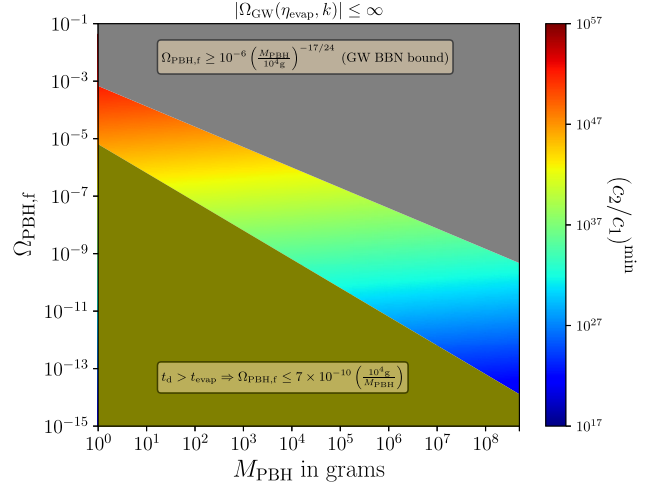


FIG. 4. The lower bound constraints on c_2/c_1 (color bar axis) as a function of the PBH mass M_{PBH} (x -axis) and the initial PBH abundance at formation $\Omega_{\text{PBH},f}$ (y -axis) accounting for GW overproduction during an eMD era driven by light PBHs.

produced during the PBH-dominated era is proportional to $I_{\text{MD}}^{(\text{sc})2}(x)$, as it can be seen from Eq. (34) and Eq. (36), or in other words $\Omega_{\text{GW}}^{(\text{sc})}(\eta, k) \propto I_{\text{MD}}^{(\text{sc})2}(x)$ (see also [118]). Therefore, in order to avoid such a GW overproduction issue, one should require that $c_v^2/(4x^4) \leq 1000$. Since $x_{\text{evap}} > x_d$, we require conservatively that $c_v^2/(4x_d^4) \leq 1000$ leading to the following lower bound constraint on c_2/c_1 :

$$\frac{c_2}{c_1} \geq 2 \times 10^{59} \left(\frac{10^4 \text{ g}}{M_{\text{PBH}}} \right)^{1/3} \Omega_{\text{PBH},f}^{1/3}. \quad (50)$$

In Fig. 4 we show the c_2/c_1 GW lower bound constraints (50) as a function of the PBH mass M_{PBH} and the initial PBH abundance $\Omega_{\text{PBH},f}$. Notably, in the entirety of the relevant parameter space of our physical setup, the lower bound on c_2/c_1 is too large, many orders of magnitude larger than unity. As already mentioned, for consistency within a perturbative quantum gravity framework (see Sec. IV B), such a ratio has to be much smaller than one, which leads to constraints on the relevant PBH parameters, in particular on the ratio $\Omega_{\text{PBH},f}/M$.⁶ Thus, as it can be seen from Eq. (50), in order to get a lower bound on c_2/c_1 smaller than unity, one should either go to very large PBH masses, above 10^9 g, or assume very small initial PBH

⁶We need to mention that our analysis does not hold only for the case of an eMD driven by light PBHs but it can be extended as well to any physical setup accepting eMD eras such as the ones driven by massive scalar [49] or vector [119] fields as well as Q-balls [120]. In the latter cases, the lower bound constraints on the ratio c_2/c_1 and the consistency of the StRVMs with such eMD eras would depend on the parameters of the physical setup at hand.

abundances, many orders of magnitude below the lower bound on $\Omega_{\text{PBH},f}$ (16), required from the existence of a PBH-driven eMD era.

Consequently, one can conclude that eMD eras driven by light PBHs are inconsistent with quantum logarithmic corrections within the StRVM framework. This is somehow justified based on theoretical grounds [see also discussion in Sec. IV B] since the logarithmic corrections present in Eq. (1) were derived by quantizing StRVMs within a de-Sitter background, which is not the case in MD era. Interestingly enough, if in the future we detect light PBHs with masses smaller than 10^9 g, this will further necessitate the recasting of the StRVM quantum logarithmic corrections.

At this point, it is important to stress that such stringy quantum corrections can affect PBHs themselves as well, e.g. the PBH lifetime. In particular, regarding the PBH evaporation process, there have been some recent works [121–123] suggesting that quantum effects such as memory burden puts the process of Hawking evaporation out of the self-similar semiclassical regime prolonging at the end the black hole evaporation time. In such a case, a prolonged PBH-dominated era will lead to enhanced GW amplitude thus to smaller c_2/c_1 lower bounds, potentially smaller than unity since the GW amplitude scales inversely with the ratio c_2/c_1 as it can be seen by Fig. 4. However, since such quantum corrections on the Hawking evaporation time have been treated up to now only at the phenomenological level [124,125], one cannot give a definite answer whether or not higher than one lower bound constraints on the ratio c_2/c_1 can be avoided. To address this issue, one needs to perform a quite technical calculation extracting the PBH evaporation time within the framework of StRVMs, something which goes beyond the scope of the current work.

VI. DISCUSSION

In this work we have studied GWs induced by the energy density perturbations of ultralight PBHs ($M_{\text{PBH}} < 10^9$ g) within the framework of the stringy running-vacuum models (StRVMs). We accounted for the effects of employing StRVMs both, on the source and on the propagation of the induced GW signal. Regarding the effect on the source of the induced GW signal, namely the power spectrum of the PBH gravitational potential, $\mathcal{P}_\Phi(k)$, we found a minor effect of StRVMs giving us a behavior similar to that of GR. See Fig. 1.

By treating the scalaron mode as a physical degree of freedom, we required its mass to be smaller than the UV cutoff of the theory which can saturate at the Planck scale. Interestingly enough, imposing such a requirement we found a lower bound constraint on c_2 as a function of M_{PBH} and $\Omega_{\text{PBH},f}$.

Focusing on the GW propagator, namely the Green function of the tensor perturbations, we found considerable GW overproduction for small values of the StRVM quantum correction c_2 . In order to avoid such a GW overproduction issue, we found that c_2 should be unnaturally large, at least greater than 10^{17} in reduced Planck mass units square, something which is inconsistent with the quantum nature of the correction c_2 , which was derived when expanding StRVMs around de Sitter backgrounds.

There are thus two possible ways of interpreting these very large lower bounds on the value of the c_2 coefficient of the quantum corrections: (i) if we consider the scalaron field as a physical mode ($m_{\text{sc}} \leq M_{\text{Pl}}$), then we find that, the requirement of avoiding GW overproduction, leads to a lower limit of the quantity c_2/c_1 of more than 10^{17} [see Fig. 2]. This signals that this quantum correction should not be valid in the early Universe. One can then claim that in order to solve such an inconsistency, they should impose GR in such early cosmic times before BBN. As a consequence, $m_{\text{sc}} > M_{\text{Pl}}$, leading to c_2/c_1 at best smaller than 10^{-13} [see Fig. 1], 6 orders of magnitude tighter than the c_2/c_1 constraint derived from late-Universe observations from the requirement of alleviating the observed cosmic tensions [26], giving us $c_2/c_1 \sim 10^{-7}$. (ii) On the other hand, if we do not consider the scalaron field as a physical mode, then the scalaron mass is unbounded from above. In such a case, one obtains only an extremely large lower bound constraint of c_2/c_1 [see Fig. 4] in order to avoid GW overproduction. This points, therefore, to the fact that, either there are no light PBHs in case the dynamics of the physical early universe is described by stringy RVMs with actions of the form Eq. (39), or, if light PBHs are detected in the future, then stringy RVMs should be reconsidered due to GW overproduction.

We recapitulate by stressing that the aforementioned analysis does not rule out the StRVM, but dictates that the corrections to the Einstein gravity induced by quantum-graviton loops are necessarily very different during the light PBH-dominated era, as compared to those in the modern epoch, otherwise one would be faced with an unacceptably large GW amplitude. In particular, if such corrections were logarithmic in the scalar curvature, then their coefficients in the light-PBH-domination era should be much more enhanced than their counterparts in the current era in order to avoid GW overproduction. This non trivial result demonstrates the importance of PBHs as probes of new physics.

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APPENDIX A: THE ANISOTROPIC STRESS

Before BBN, which is the period we focus on, there are no free streaming particles, namely neutrinos or photons,

with the dominant matter species being in the form of PBHs. We can then safely consider that $\Pi_r = \Pi_m = 0$ with the only source of anisotropic stress being the $f(R)$ gravity effective fluid, having a pure geometrical origin. In particular, following [62] one can show that the scalar metric perturbations Φ and Ψ are related as

$$\Phi - \Psi = \frac{\delta F}{F}, \quad (\text{A1})$$

with $\delta F = F_{,R}\delta R$ and δR , denoting the first order perturbation of the scalar curvature, which can be recast as [126]

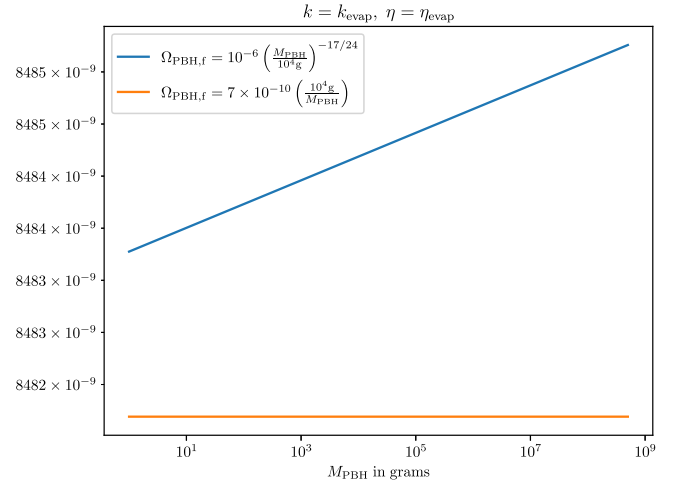
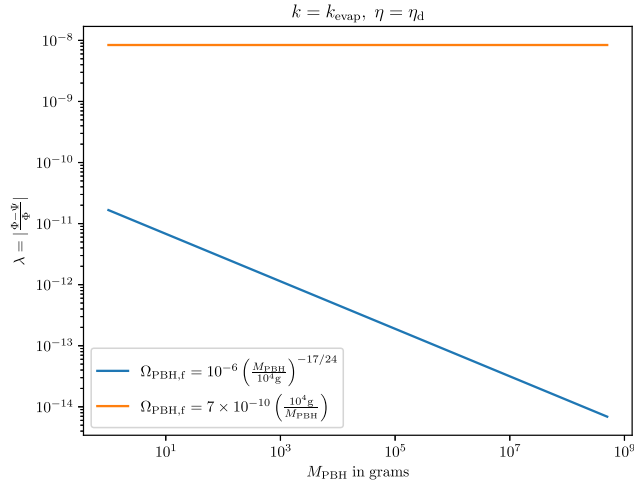


FIG. 5. Left panel: the dimensionless parameter λ for $k = k_{\text{evap}}$ and $\eta = \eta_d$ for different values of the PBH masses M_{PBH} and the initial PBH abundances $\Omega_{\text{PBH},i}$. Right panel: the dimensionless parameter λ for $k = k_{\text{evap}}$ and $\eta = \eta_{\text{evap}}$ for different values of the PBH masses M_{PBH} and the initial PBH abundances $\Omega_{\text{PBH},i}$.

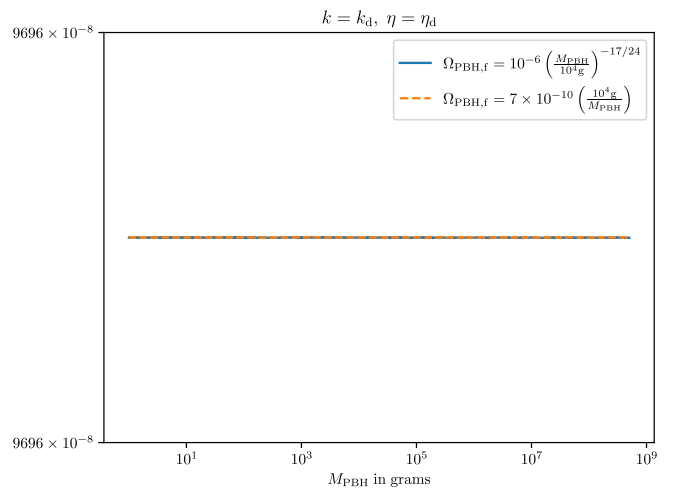
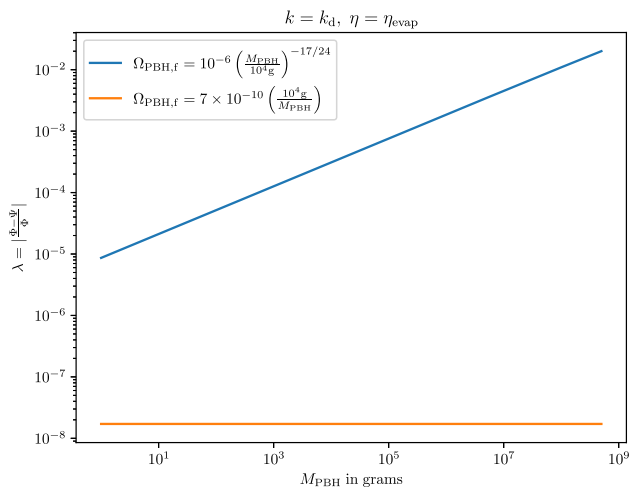


FIG. 6. Left panel: the dimensionless parameter λ for $k = k_d$ and $\eta = \eta_{\text{evap}}$ for different values of the PBH masses M_{PBH} and the initial PBH abundances $\Omega_{\text{PBH},i}$. Right panel: the dimensionless parameter λ for $k = k_d$ and $\eta = \eta_d$ for different values of the PBH masses M_{PBH} and the initial PBH abundances $\Omega_{\text{PBH},i}$.

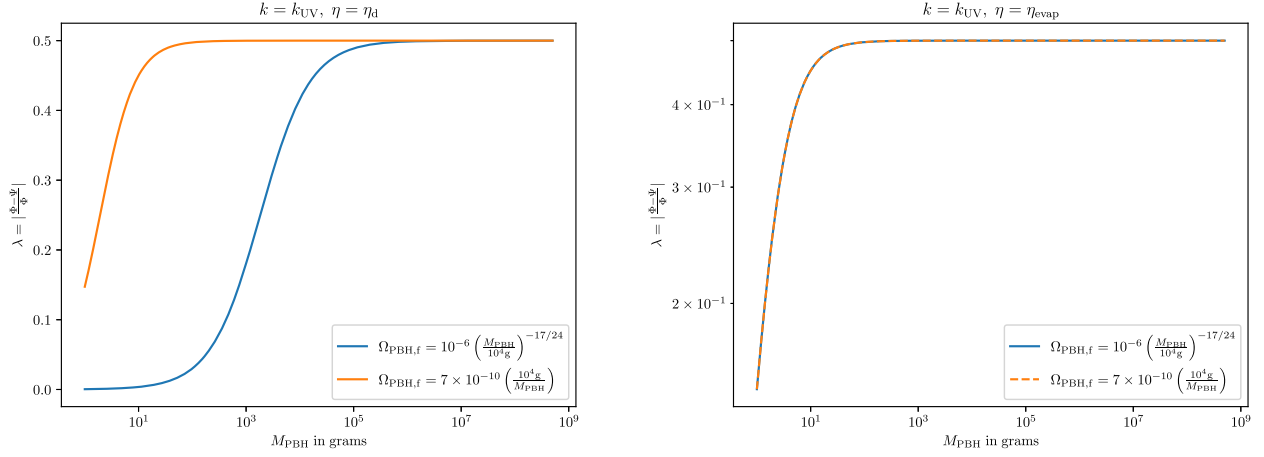


FIG. 7. Left panel: the dimensionless parameter λ for $k = k_{UV}$ and $\eta = \eta_d$ for different values of the PBH masses M_{PBH} and the initial PBH abundances $\Omega_{PBH,i}$. Right panel: the dimensionless parameter λ for $k = k_{UV}$ and $\eta = \eta_{evap}$ for different values of the PBH masses M_{PBH} and the initial PBH abundances $\Omega_{PBH,i}$.

$$\delta R = -2 \frac{k^2}{a^2} \frac{\Phi}{1 + 4 \frac{k^2 F_R}{a^2 F}}. \quad (\text{A2})$$

Defining thus the dimensionless quantity λ as

$$\lambda \equiv \frac{\Phi - \Psi}{\Phi}, \quad (\text{A3})$$

we can actually quantify the anisotropic stress of geometrical origin. In the case of $f(R)$ gravity, which category StRVMS belong to, plugging Eq. (A2) into $\delta F = F_{,R} \delta R$ and then δF into Eq. (A1), one obtains that

$$\lambda = \frac{-2 \frac{k^2 F_R}{a^2 F}}{1 + 4 \frac{k^2 F_R}{a^2 F}}. \quad (\text{A4})$$

Below we plot this quantity for different values of the wave number k within the range $[k_{evap}, k_{UV}]$, and for different values of the PBH masses M_{PBH} within the range $[10 \text{ g}, 10^9 \text{ g}]$. With regards to the initial PBH abundance $\Omega_{PBH,i}$, the latter is considered within the range defined by Eq. (16) and Eq. (17). As one may see from Fig. 5, Fig. 6 and Fig. 7, the parameter λ is much smaller than one, except for values of k close to the UV cutoff where λ is close to 0.5, still remaining though less than unity. One then can legitimately neglect the anisotropic stress of geometrical origin and take $\Phi = \Psi$ (Newtonian gauge) in the derivation of the induced GW spectrum.

APPENDIX B: THE DIFFERENT TERMS IN THE GREEN EQUATION

We compare below in Fig. 8 and in Fig. 9 the three terms k^2 , a''/a and m_{sc}^2 present in the equation (31) governing the evolution of the Green function (GW propagator), by plotting the ratios k^2/m_{sc}^2 and $(a''/a)/m_{sc}^2$ for different values of the PBH masses M_{PBH} within the range $[10 \text{ g}, 10^9 \text{ g}]$ and of the initial PBH abundance $\Omega_{PBH,i}$ within the range defined by Eq. (16) and Eq. (17). The comoving scales k are varying between k_{evap} and k_{UV} .

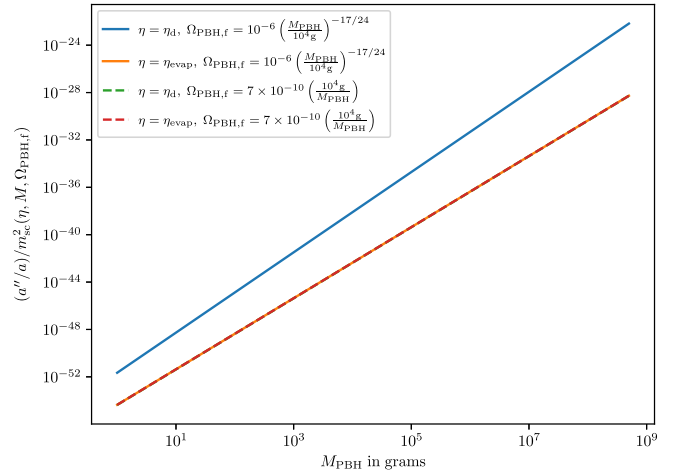


FIG. 8. The ratio $(a''/a)/m_{sc}^2$ as a function of the PBH mass for different values of the initial PBH abundances $\Omega_{PBH,i}$.

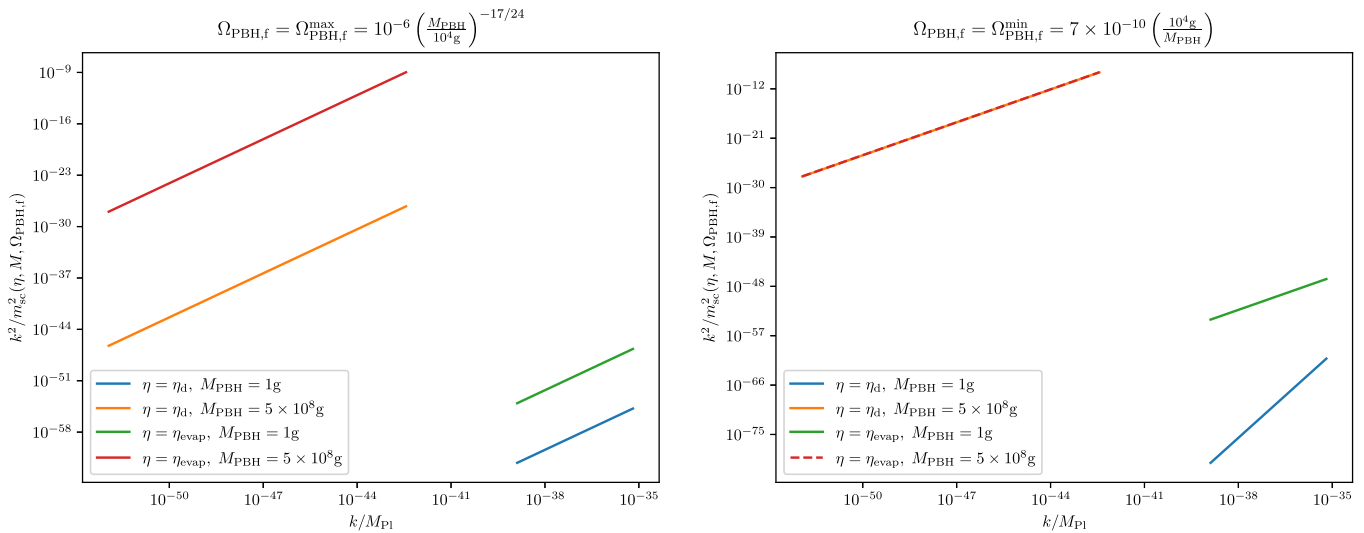


FIG. 9. The ratio k^2/m_{sc}^2 as a function of the comoving scale k for different values of the PBH masses M_{PBH} and of the initial PBH abundances $\Omega_{\text{PBH},f}$.

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