

$(3, \bar{3}) + (\bar{3}, 3)$ chiral $SU(3) \times SU(3)$ symmetry breaking and the Cabibbo angle

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We consider the implications of a possible common origin for the strong symmetry breaking and the nonconservation of strangeness in weak interactions. Assuming that the strong $SU(2) \times SU(2)$ -symmetry-breaking contribution to the pion mass vanishes for a zero Cabibbo angle, then, in order to avoid large strangeness-changing terms, the chiral $SU(3) \times SU(3)$ -symmetry-breaking Hamiltonian cannot transform as a pure $(3, \bar{3}) + (\bar{3}, 3)$ representation.

Some years ago, Gell-Mann, Oakes, and Renner¹ (GMOR) and, independently, Glashow and Weinberg² (GW) clarified the significance of the chiral $SU(3) \times SU(3)$ symmetry. They assumed that the symmetry-breaking Hamiltonian transforms as the $(3, \bar{3}) + (\bar{3}, 3)$ representation so that the Hamiltonian describing the strong interaction can be written in the form

$$H = H_0 + \epsilon_0 u_0 + \epsilon_8 u_8, \quad (1)$$

where H_0 is the $SU(3) \times SU(3)$ -invariant part and where u_0 and u_i ($i=1, \dots, 8$) are the scalar components of the $(3, \bar{3}) + (\bar{3}, 3)$ representation. The ratio ϵ_8/ϵ_0 was found^{1,2} to be near the value $-\sqrt{2}$, which corresponds to the limit of exact $SU(2) \times SU(2)$ symmetry, which is realized through massless pions. Consequently Oakes³ has suggested that the structure of $SU(2) \times SU(2)$ -symmetry breaking is obtained by rotating an invariant $SU(2) \times SU(2)$ Hamiltonian by an angle 2θ about the seventh axis in $SU(3)$ space, where θ is the Cabibbo angle.⁴ This would imply a common origin for strong symmetry breaking and the nonconservation of strangeness in weak interactions. However, starting from the $SU(2) \times SU(2)$ -symmetric Hamiltonian $H(\theta=0)$

$$H(\theta=0) = H_0 + \epsilon_0(u_0 - \sqrt{2} u_8) \quad (2)$$

and applying the Cabibbo rotation⁴

$$\begin{aligned} H(\theta) &= e^{-2i\theta F_7} H(0) e^{2i\theta F_7} \\ &= H_0 + \epsilon_0 u_0 + \epsilon_3 u_3 + \epsilon_6 u_6 + \epsilon_8 u_8, \end{aligned} \quad (3)$$

where F_i ($i=1, \dots, 8$) are the generators of the $SU(3)$ group and

$$\frac{\epsilon_8}{\epsilon_0} = -\sqrt{2} (1 - \frac{3}{2} \sin^2 \theta), \quad (4)$$

$$\frac{\epsilon_3}{\epsilon_0} = -\frac{\sqrt{3}}{\sqrt{2}} \sin^2 \theta, \quad (5)$$

$$\frac{\epsilon_6}{\epsilon_0} = -\sqrt{6} \sin \theta \cos \theta, \quad (6)$$

we end up with a Hamiltonian completely different from that of Eq. (1). The Hamiltonian (3) involves two new terms: u_3 , which breaks the isospin symmetry, and u_6 , which violates strangeness conservation. While the introduction of the u_3 term in the Hamiltonian was welcomed by many authors,⁵⁻¹¹ the u_6 term is undesirable and therefore *was neglected without any theoretical justification*. If one accepts this *ad hoc* assumption, the use of the experimental value¹² $\sin \theta = 0.27 \pm 0.02$ in Eqs. (4) and (5) gives

$$\frac{\epsilon_8}{\epsilon_0} \simeq -1.26, \quad \frac{\epsilon_3}{\epsilon_8} \simeq 0.07. \quad (7)$$

While the result of ϵ_8/ϵ_0 is in agreement with the GMOR and GW analyses, the ϵ_3/ϵ_8 ratio is too big compared with estimates⁶ obtained from $n-p$, $\Lambda-p$, and K^0-K^+ mass differences, which give $\epsilon_3/\epsilon_8 \simeq 0.02$. On the other hand, the hope to explain the $\eta \rightarrow 3\pi$ decay rate with the large ϵ_3/ϵ_8 ratio⁷ given above has been disproved recently,¹³ thus only resulting in difficulties in explaining the $SU(2)$ -breaking effects with a large $\epsilon_3 u_3$ term as given in Eq. (7).

To overcome the undesired result for ϵ_3/ϵ_8 given in Eq. (7) one may try to start with different Hamiltonians than the one given in Eq. (2). We shall make a Cabibbo rotation on the following two Hamiltonians suggested in the literature¹⁴:

$$H_a(\theta=0) = A(u_0 - \sqrt{2} u_8) + B \left(\frac{\sqrt{2}}{\sqrt{3}} u_0 + \frac{1}{\sqrt{3}} u_8 + u_3 \right), \quad (8)$$

$$H_b(\theta=0) = A(u_0 - \sqrt{2} u_8) + B \left(u_3 + \frac{1}{\sqrt{3}} u_8 \right). \quad (9)$$

Imposing the strangeness conservation on the result, we get

$$H(\theta) = \epsilon_0 u_0 + \epsilon_3 u_3 + \epsilon_8 u_8, \quad (10)$$

where

$$\epsilon_0^a = \frac{\sqrt{2}}{\sqrt{3}} B + A, \quad \epsilon_0^b = A, \quad (11)$$

$$\epsilon_8^a = \epsilon_8^b = \frac{1}{\sqrt{3}} B - \sqrt{2} A + \frac{3}{\sqrt{2}} \sin^2 \theta, \quad (12)$$

$$\epsilon_3^a = \epsilon_3^b = B - \frac{\sqrt{3}}{\sqrt{2}} A \sin^2 \theta. \quad (13)$$

The Hamiltonian (8) was motivated by an $SU(2)_L \times U(1)$ gauge theory,¹⁵ and in quark language it states that $H(\theta=0)$ lacks an \mathcal{H} -quark mass term.¹⁶ The Hamiltonian (9) suggests that the only chiral $SU(2)$ symmetry-breaking terms when $\theta=0$ transform like a U -spin singlet, in analogy to the transformation law of the electromagnetic current. If we take as input the experimental value of the Cabibbo angle and possible values of^{1,10} $-\sqrt{2} \leq \epsilon_8/\epsilon_0 \leq -1.25$ we obtain

$$0.08 \leq \frac{\epsilon_3^a}{\epsilon_8^a} \leq 0.14, \quad (14)$$

$$0.05 \leq \frac{\epsilon_3^b}{\epsilon_8^b} \leq 0.24, \quad (15)$$

where the lower limit corresponds to $\epsilon_8/\epsilon_0 = -1.25$. Thus, although the ratio ϵ_3/ϵ_8 is very sensitive to the input value of ϵ_8/ϵ_0 (but not to the value of $\sin\theta$), it is necessary to have $\epsilon_8/\epsilon_0 \leq -1.25$ in order to obtain a desirable ϵ_3/ϵ_8 ratio.^{6,10}

Since the already suggested "unrotated" Hamiltonians $H(\theta=0)$ are not very satisfactory and the assumption of neglecting the strangeness-changing term ($\sim u_6$) in the "rotated" Hamiltonian $H(\theta)$ is completely unjustified, we shall make an attempt to start with the most general Hamiltonian $H(\theta=0)$, taking into account that the resulting strong Hamiltonian $H(\theta)$ should be CP - and P -invariant, and to conserve charge and hypercharge. Thus,

$$H(\theta=0) = \epsilon_0 u_0 + \epsilon_3(0) u_3 + \epsilon_6(0) u_6 + \epsilon_8(0) u_8 + H_{em} + H_w(\Delta S = 0), \quad (16)$$

where H_{em} and H_w are the usual electromagnetic and weak Hamiltonians. After performing the Cabibbo rotation on Eq. (16) to get

$$H(\theta) = \epsilon_0 u_0 + \epsilon_3(\theta) u_3 + \epsilon_6(\theta) u_6 + \epsilon_8(\theta) u_8 + H_{em} + H_w(\Delta S = 0) + H_w(\Delta S = 1), \quad (17)$$

where

$$\epsilon_3(\theta) = \epsilon_3(0) \left(1 - \frac{1}{2} \sin^2 \theta\right) + \epsilon_6(0) \frac{1}{2} \sin 2\theta + \epsilon_8(0) \frac{1}{2} \sqrt{3} \sin^2 \theta, \quad (18)$$

$$\epsilon_6(\theta) = -\epsilon_3(0) \frac{1}{2} \sin 2\theta + \epsilon_6(0) \cos 2\theta + \epsilon_8(0) \frac{1}{2} \sqrt{3} \sin 2\theta, \quad (19)$$

$$\epsilon_8(\theta) = \epsilon_3(0) \frac{1}{2} \sqrt{3} \sin^2 \theta - \epsilon_6(0) \frac{1}{2} \sqrt{3} \sin 2\theta + \epsilon_8(0) \left(1 - \frac{3}{2} \sin^2 \theta\right), \quad (20)$$

we require strangeness conservation [i.e., $\epsilon_6(\theta) = 0$], which gives

$$\epsilon_6(0) = \left[-\frac{1}{2} \sqrt{3} \epsilon_8(0) + \frac{1}{2} \epsilon_3(0)\right] \tan 2\theta. \quad (21)$$

At this point it is important to realize that if one does not require the existence of the strangeness-changing term u_6 in $H(\theta=0)$, namely $\epsilon_6(0) = 0$, then solving Eqs. (18)–(20) one gets

$$\epsilon_3(\theta) = \epsilon_3(0) = \sqrt{3} \epsilon_8(\theta) = \sqrt{3} \epsilon_8(0), \quad (22)$$

which results in a very sizable $SU(2)$ breaking, and therefore this possibility should be neglected. In order to keep within the spirit of Oakes's original assumption,³ we shall require that the pions are not massless due to the nonvanishing of the Cabibbo angle and

$$m_\pi^2(\theta=0) = 0. \quad (23)$$

If $\epsilon_3 \neq 0$ then the u_3 term mixes π_3 with π_8 , but still this contribution to the pion mass is of order $\epsilon_3^2(0)/\epsilon_0$, which is believed to be one order of magnitude smaller than the usual electromagnetic interaction $-H_{em}$ present in Eq. (17).¹⁷ Therefore, the assumption given in Eq. (23) is equivalent to the relation

$$\epsilon_8(0) = -\sqrt{2} \epsilon_0, \quad (24)$$

which together with Eq. (21) in Eqs. (18) and (20) gives

$$\epsilon_3(\theta) = -\sqrt{2} \epsilon_0 \left(\frac{1}{2} \sqrt{3} \sin^2 \theta - \frac{1}{4} \sqrt{3} \sin 2\theta \tan 2\theta\right) + \epsilon_3(0) \left(1 - \frac{1}{2} \sin^2 \theta + \frac{1}{4} \sin 2\theta \tan 2\theta\right), \quad (25)$$

$$\epsilon_8(\theta) = -\sqrt{2} \epsilon_0 \left(1 - \frac{3}{2} \sin^2 \theta + \frac{3}{4} \sin 2\theta \tan 2\theta\right) + \epsilon_3(0) \left(\frac{1}{2} \sqrt{3} \sin^2 \theta - \frac{1}{4} \sqrt{3} \sin 2\theta \tan 2\theta\right). \quad (26)$$

Eliminating $\epsilon_3(0)$ from these equations we get a value for $\epsilon_8(\theta)/\epsilon_0$:

$$\frac{\epsilon_8(\theta)}{\epsilon_0} = -\sqrt{2} \frac{\left[1 + \left(2 + \frac{\sqrt{3}}{2\sqrt{2}} \frac{\epsilon_3(\theta)}{\epsilon_0}\right) \frac{\sin^2 \theta}{\cos 2\theta}\right]}{1 + \left(\frac{\sin^2 \theta}{2 \cos 2\theta}\right)} \quad (27)$$

$$\simeq -\sqrt{2} \left[1 + \frac{\sqrt{3}}{2} \left(\sqrt{3} + \frac{\epsilon_3(\theta)}{\sqrt{2} \epsilon_0}\right) \frac{\sin^2 \theta}{\cos 2\theta}\right] < -\sqrt{2}.$$

(27')

For any reasonable value of $\epsilon_3(\theta)$, ϵ_8/ϵ_0 is outside the allowed domains¹⁸ in the GMOR and GW models. Therefore the result given in Eq. (27) suggests that if our scheme is accepted the chiral symmetry breaking cannot transform as a pure $(3, \bar{3}) + (\bar{3}, 3)$ representation, but might contain other terms transforming like $(8, 8)$ (Ref. 19) or $(6, \bar{6}) + (\bar{6}, 6)$ (Ref. 20) under the group $SU(3) \times SU(3)$. It is im-

portant to mention that the analysis made in this paper, as well as the results obtained by Oakes³ and others²¹ making a Cabibbo rotation [which is a pure SU(3) rotation], are independent of the chiral-symmetry transformation properties of the Hamiltonian once Eq. (23) is assumed.²²

To summarize, the existence of a common origin for the strong symmetry breaking, represented by the pion mass, and the nonconservation of strangeness in weak interactions, characterized by the Cabibbo angle, would suggest the relation

$$H(\theta) = e^{-2i\theta F_7} H(\theta=0) e^{2i\theta F_7}. \quad (28)$$

In order to avoid a large strangeness-changing term ($\sim u_6$) in the Hamiltonian $H(\theta)$, one has to require the existence of this term in $H(\theta=0)$; otherwise one has to accept the *ad hoc* assumption of ignoring undesirable terms in $H(\theta)$. The conjectures $\epsilon_6(\theta)=0$ and $m_\pi^2(\theta=0)=0$ give the result (27), which is inconsistent with a pure chiral (3, $\bar{3}$) + ($\bar{3}$, 3) symmetry breaking. If the above suggested scheme

[i.e., Eqs. (28) and (23)] is to be successful in generating the Cabibbo angle, then the Hamiltonian must be more complicated than a single (3, $\bar{3}$) + ($\bar{3}$, 3) term. This conclusion is supported by a recent report²³ discussing $\pi\pi$ s-wave scattering lengths. The indications from $\pi^+\pi^- \rightarrow \pi^0\pi^0$ results favor rather larger values of a_0^0 than those obtained from a pure chiral (3, $\bar{3}$) + ($\bar{3}$, 3)-symmetry-breaking Hamiltonian. This $\pi\pi$ problem, together with the scheme suggested in this paper [which relates the Cabibbo angle to the SU(3)-symmetry breaking] may satisfactorily be obtained in a model where the symmetry-breaking Hamiltonian transforms, for example, as (3, $\bar{3}$) + ($\bar{3}$, 3) + (8, 8) or (3, $\bar{3}$) + ($\bar{3}$, 3) + 6, $\bar{6}$ + ($\bar{6}$, 6) under chiral SU(3) × SU(3).

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¹⁶If we start with a Hamiltonian

$$H(\theta=0) = A(u_0 - \sqrt{2}u_8) + B\left(\frac{\sqrt{2}}{\sqrt{3}}u_0 + \frac{1}{\sqrt{3}}u_8 - u_3\right),$$

which states that $H(\theta=0)$ lacks a \mathcal{O} -quark mass, we end with a vanishing Cabibbo angle, $\theta=0$.

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