

## Marginally outer trapped tori in black hole spacetimes

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During a binary black hole merger, multiple intermediary marginally outer trapped tubes connect the initial pair of apparent horizons with the final (single) apparent horizon. The marginally outer trapped surfaces (MOTSs) that foliate these tubes can have complicated geometries as well as nonspherical topologies. In particular, toroidal MOTSs form inside both of the original black holes during the early stages of a head-on merger that starts from time-symmetric initial data [1]. We show that toroidal MOTSs also form in the maximal analytic extension of the Schwarzschild spacetime as Kruskal time advances from the  $T = 0$  moment of time symmetry. As for the merger simulations, they cross the Einstein-Rosen bridge and are tightly sandwiched between the apparent horizons in the two asymptotic regions at early times. This strongly suggests that their formation is a consequence of the initial conditions rather than merger physics. Finally, we consider MOTSs of spherical topology in the Kruskal-Szekeres slicing and study their properties. All of these are contained within the apparent horizon but some do not enclose the wormhole.

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### I. INTRODUCTION

Horizon evolution during a binary black hole merger has been studied for more than five decades. For event horizons, the qualitative<sup>1</sup> picture of how two black holes become one has been understood since at least the early 1970s [2,3],<sup>2</sup> but while some knowledge of apparent horizon mergers dates to the same time [3,5] it is only recently that the more intricate process for apparent horizons has been quantitatively studied in numerical simulations [1,6–12]. Event horizon mergers are described by the fairly simple “pair-of-pants” diagram [2], however the process by which two distinct apparent horizons become one is significantly more complicated and involves

multiple marginally outer trapped surfaces (MOTSs) with complicated (often self-intersecting) geometries. Identifying these surfaces has required the introduction of new MOTS-finding techniques [12–14].

While studying the full horizon evolution during a merger necessarily involves numerical simulations, it turns out that some aspects of the process can also be studied with exact solutions. Notably, self-intersecting MOTSs, which were first observed in numerical simulations [7], have subsequently been found to be very common, including in many exact black hole solutions [14–16]. Following from the example of event horizons [17,18], there has also been an attempt to understand the horizon dynamics of extreme mass ratio mergers using the pure Schwarzschild spacetime [14].

In this paper, we return to the Schwarzschild spacetime to investigate another phenomenon that was first observed in numerical simulations. During the merger simulations of [1], toroidal MOTSs were observed inside both of the original apparent horizons. See Fig. 1 for an equatorial cross section of a snapshot of this simulation and then Fig. 2 for how the blue kidney-shaped MOTSs rotate into tori. However, as is evident from the figure, these tori existed at a time when the two black holes were still fairly well-separated and not exhibiting strong gravitational

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<sup>1</sup>Here “qualitative” is being used in the sense that important general features of the mergers have been known since that time, but the quantitative details of any particular merger had to wait for detailed numerical simulations.

<sup>2</sup>See also [4] for recent work on this subject.

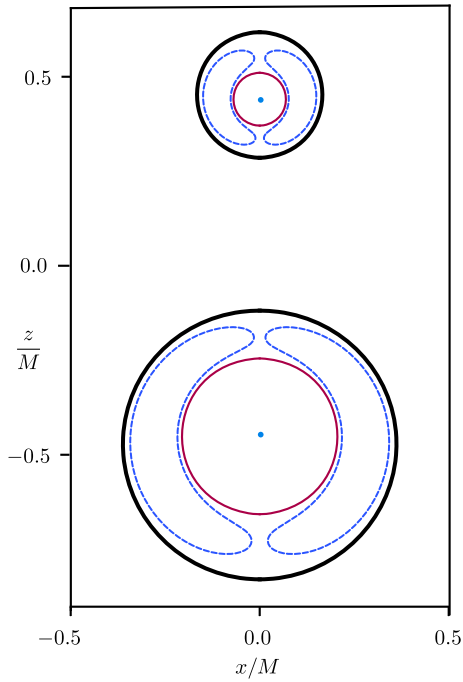


FIG. 1. During the early stages of a head-on black hole merger toroidal MOTSs (the blue dashed lines) were observed to form between the (black) outermost MOTSs (the apparent horizons) and the (red) inner MITs (apparent horizons relative to the asymptotic regions on the other sides of the wormhole). The central (light blue) points are  $\infty$  in the “internal” asymptotic regions. This is adapted from Fig. 9,  $T = M$  in [1]. See that paper for details of the simulation.

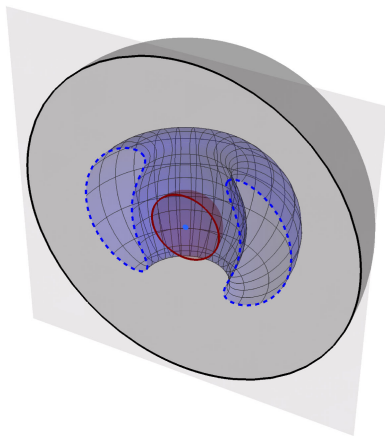


FIG. 2. Three-dimensional cross section showing the equatorial cross sections from Fig. 1 rotated into tori. As usual the light-blue dot in the center is actually  $\infty$  in the internal asymptotic region.

distortions.<sup>3</sup> As such it seemed likely that the toroidal MOTSs were not a consequence of merger physics.

<sup>3</sup>Toroidal MOTSs were also present at earlier times in the simulation. However, at those earlier times, they are harder to visually distinguish.

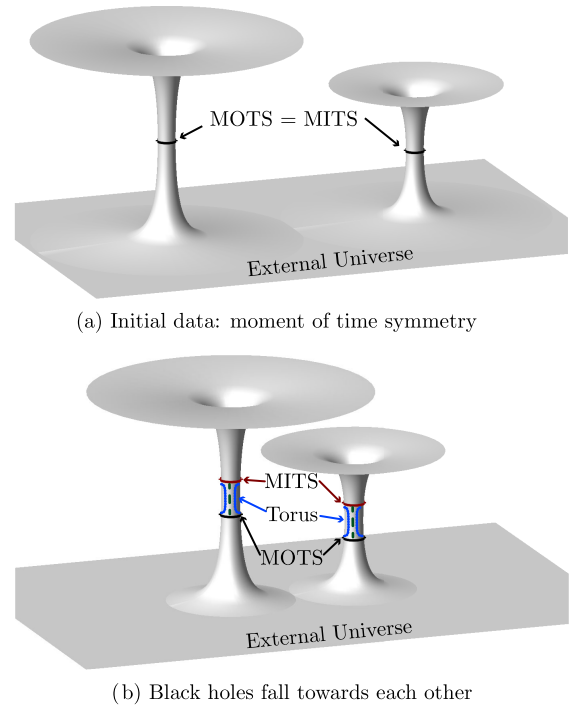


FIG. 3. Cartoon of the early stages of a black hole merger, departing from a moment of time symmetry; (a) represents the initial conditions; a moment of time symmetry in which the horizons from both asymptotic regions coincide, and (b) is after some time evolution when the horizons have separated and the toroidal MOTS have appeared. Dashed green lines are the “north poles” of the black holes.

Instead, we suspected that they were a byproduct of the initial conditions. These simulations were started from Brill-Lindquist initial data. This is time symmetric and avoids the black hole singularities by using time slices that extend through the Einstein-Rosen throats of both black holes into the “universes” on the other side of the wormholes [19]. This is depicted in Fig. 3(a) with the usual asymptotic region on the bottom and the two internal ones on top. As a consequence of the time symmetry, MOTSs are minimal surfaces of the original time-slice intrinsic geometry and so are also marginally inner trapped surfaces (MITs). Equivalently, each is marginally outer trapped with respect to both the top and bottom asymptotic regions (which in this case serve to distinguish between outward and inward directions). This is also shown in the figure.

Once the evolution begins and the spacetime evolves away from the initial moment of time symmetry, the degeneracy is lost and the two minimal surfaces split into a distinct MOTS and MIT (or equivalently two MOTSs with one facing the external and one the internal asymptotic regions). These are on opposite sides of the narrowest part of the wormhole throat and the toroidal MOTS lies in between them, straddling the throat. All of this is shown in Fig. 3(b). Note that unlike embedding diagrams commonly seen in introductory textbooks, this is not a surface of

constant radial coordinate in the equatorial plane ( $\theta = \pi/2$ ). Instead, it is a double copy of the  $\phi = 0$  and  $\phi = \pi$  meridians with  $0 < \theta < \pi$ . To emphasize this point, the north pole  $\theta = 0$  is shown as a dotted green line in the figure (and the south pole is invisible on the other side).

The Schwarzschild spacetime written in Kruskal-Szekeres coordinates can be used to test the idea that toroidal MOTSs are a byproduct of the departure from time symmetry, rather than a core property of black hole mergers. The spacelike hypersurface at timelike coordinate  $T = 0$  in this coordinate system is a moment of time symmetry (and is an example of a Brill-Lindquist spacetime with one black hole). However, the surfaces for other values of  $T$  are no longer time symmetric and so we can use this simplest black hole spacetime to explore the departure from time symmetry.

This paper is then organized in the following way. In Sec. II we collect a number of results that will be crucial for the analysis in the manuscript. We review the Kruskal-Szekeres slicing of the Schwarzschild spacetime, derive the equations to determine MOTSs in this slicing, discuss the methods used for visualizing MOTSs, the methods for determining the topology of a MOTS, and discuss the stability operator and the pseudospectral numerical techniques we use to obtain its eigenvalue spectrum. Then, we present our results in a streamlined fashion. First, in Sec. III we analyze the MOTSs of toroidal topology found in the Kruskal-Szekeres slicing. These MOTSs are the main result of our work. In Sec. IV we discuss additional MOTSs with spherical topology, including those with and without self-intersections.

Note that while we believe that [20] represents the first observation of toroidal MOTSs in black hole merger spacetimes, it was certainly not the first time that toroidal MOTSs or event horizons have appeared in the literature. For example, [21] constructed spacetimes satisfying the dominant energy condition and containing MOTSs of a variety of topologies, [22] constructed time-symmetric vacuum initial data that contained toroidal MOTSs, [23,24] identified toroidal MOTS in closed Friedmann-Lemaître-Robertson-Walker spacetimes and [25] constructed time symmetric nonvacuum initial that contained toroidal MOTSs. Furthermore, [26,27] observed event horizons with toroidal cross sections during black hole mergers (though these are, of course, not MOTSs).

## II. GENERAL CONSIDERATIONS

To streamline the presentation, here we collect all the necessary preliminary details used in our analysis.

### A. Intrinsic and extrinsic geometry of a two-surface $\mathcal{S}$

Let  $(\mathcal{S}, q_{AB}, \mathcal{D}_A)$  be a spacelike two-surface embedded in a four-dimensional spacetime  $(M, g_{ab}, \nabla_a)$ . The metric on  $\mathcal{S}$  is induced by the full spacetime metric:

$$q_{AB} = e_A^a e_B^b g_{ab}, \quad (1)$$

where  $e_A^a$  is the pullback operator.

The normal space at any point on  $\mathcal{S}$  can be spanned by a pair of null normal vectors  $\ell_+$  and  $\ell_-$ . We assume that these can be extended to smooth vector fields over  $\mathcal{S}$  and for purposes of this paper scale them so that

$$\ell_+ \cdot \ell_- = -2. \quad (2)$$

Then the inverse metric can be written as

$$g^{ab} = e_A^a e_B^b q^{AB} - \frac{1}{2}(\ell_+^a \ell_-^b - \ell_-^a \ell_+^b). \quad (3)$$

Derivatives of the null vectors over the surface characterize the extrinsic geometry of  $\mathcal{S}$ :

$$e_A^a e_B^b \nabla_a \ell_b^\pm = \frac{1}{2} \theta_\pm q_{AB} + \sigma_{AB}^\pm, \quad (4)$$

where  $\theta_\pm := q^{ab} \nabla_a \ell_b^\pm$  are the traces of the left-hand quantities and the  $\sigma_{AB}^\pm$  are the trace-free parts. We assume that  $\mathcal{S}$  has an identified inside and outside and further that  $\ell_-$  points in while  $\ell_+$  points out. Then  $\theta_\pm$  are, respectively, the expansion of congruences of null curves that cross  $\mathcal{S}$  tangent to  $\ell_\pm$  while  $\sigma_{AB}^\pm$  are the shears. Such surfaces are *outer trapped* if  $\theta_+ < 0$  and *marginally outer trapped* if  $\theta_+ = 0$ .

Finally, the Hájiček one-form [28],

$$\omega_B = -\frac{1}{2} e_B^b \ell_a^- \nabla_b \ell_+^a, \quad (5)$$

is the connection on the normal bundle to  $\mathcal{S}$ . Under rescalings of the null vectors  $\tilde{\ell}_\pm = e^{\pm\gamma} \ell_\pm$  it transforms as

$$\tilde{\omega}_A = \omega_A + \mathcal{D}_A \gamma. \quad (6)$$

For now we are chiefly interested in  $\theta_+$ , but the shear and the Hájiček one-form will return in Sec. II F when we consider the stability operator.

### B. Kruskal-Szekeres coordinates

The well-known maximal analytic extension of the Schwarzschild exterior solution is the Kruskal geometry,

$$ds^2 = N^2(-dT^2 + dX^2) + r^2 d\Omega^2, \quad (7)$$

where  $T, X \in \mathbb{R}$  and  $d\Omega^2 = d\theta^2 + \sin^2\theta d\phi^2$  is the standard unit round metric on  $S^2$ , with  $\theta \in (0, \pi)$  and  $\phi \sim \phi + 2\pi$ . The lapse  $N$  is defined by

$$N^2 := \frac{32M^3 e^{-\frac{r}{2M}}}{r}, \quad (8)$$

while  $r > 0$  is defined implicitly in terms of  $T$  and  $X$  by

$$T^2 - X^2 = \left(1 - \frac{r}{2M}\right) e^{\frac{r}{2M}}. \quad (9)$$

The explicit solution to this equation is

$$r(T, X) = 2M \left[ 1 + W\left(\frac{-T^2 + X^2}{e}\right) \right], \quad (10)$$

where  $W$  is the Lambert- $W$  function.

Note that, in contrast to the region outside the event horizon covered by Schwarzschild coordinates, the full Schwarzschild spacetime is not static. In particular, the timelike vector field  $\partial_T$  is not Killing and it is clear that the metric components depend on  $T$ . However, there still remains one moment of time symmetry:  $T = 0$ .

The Schwarzschild geometry can be represented in Kruskal-Szekeres diagrams such as in Fig. 4, where the vertical and horizontal axes correspond to the  $T, X \in \mathbb{R}$  coordinates, respectively. On these diagrams, constant  $t$ ,  $r$  slices are hyperbolic with the singularity at  $r = 0$  corresponding to  $T^2 - X^2 = 1$ . The surfaces of constant Kruskal time can equally well be represented on the familiar Schwarzschild Carter-Penrose diagram, which we show in Fig. 5. For  $T^2 < 1$ , the surfaces of constant time form Einstein-Rosen bridges (wormholes) connecting the left and right asymptotically flat regions. When  $T^2 = 1$ , the throat of the wormhole touches the singularity at the symmetric point  $X = 0$ . For  $T^2 > 1$ , the surfaces of constant time are comprised of two disconnected components terminating at  $X = \pm\sqrt{T^2 - 1}$ . These ideas are concretely visualized in the embedding diagrams such as Fig. 6.

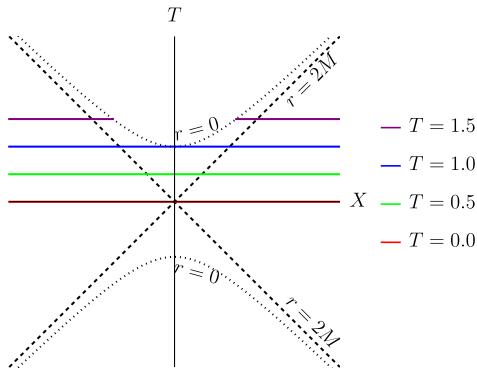


FIG. 4. A Kruskal-Szekeres diagram highlighting four constant  $T$  slices, which correspond to Figs. 5 and 6. The dashed line is the event horizon  $r = 2M$ , the dotted line is the curvature singularity at  $r = 0$ . The solid lines are the  $T, X$  axes.

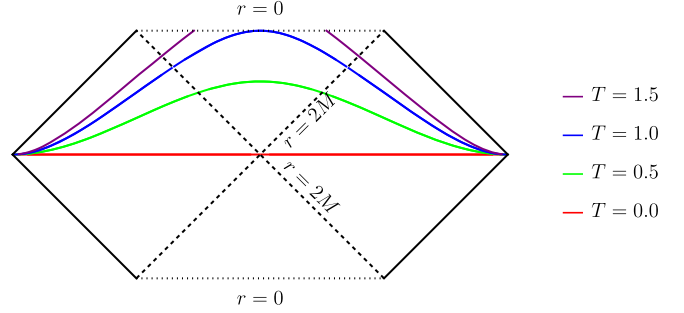


FIG. 5. A typical Carter-Penrose diagram of the maximally extended Schwarzschild spacetime. Lines correspond to the same lines in Fig. 4. For  $|T| \leq 1$ , the  $\Sigma_T$  have two asymptotically flat ends;  $i_1^o$  and  $i_2^o$ .

### C. MOTS in the Kruskal-Szekeres time slices

Given a foliation of a spacetime  $M$  into spacelike three-surfaces  $(\Sigma_T, h_{ij}, D_i)$  and a two-surface  $\mathcal{S}$  embedded in one  $\Sigma_T$ , a natural (though certainly not unique) scaling of the null normals to  $\mathcal{S}$  is

$$\ell_+ = \hat{u} + \hat{N} \quad \text{and} \quad \ell_- = \hat{u} - \hat{N}, \quad (11)$$

where  $\hat{u}$  is the forward-in-time pointing normal to the  $\Sigma_T$  and  $\hat{N}$  is the outward pointing unit spacelike normal to  $\mathcal{S}$  in  $\Sigma_T$ .<sup>4</sup>

With this scaling the outward null expansion can be written as

$$\theta_+ = q^{ij} K_{ij} + q^{ij} D_i \hat{N}_j, \quad (12)$$

where  $K_{ij} = e_i^a e_j^b \nabla_a \hat{u}_b$  is the extrinsic curvature of  $\Sigma_T$  in  $M$  and  $q^{ij} = e_A^i e_B^j q^{AB}$  is the push forward of the inverse metric on  $\mathcal{S}$  into  $\Sigma_T$ . Then our goal in this section is to solve for  $\mathcal{S}$  with  $\theta_+ = 0$ . To do this, we apply the formalism of [16] which is a generalization and systemization of that used in [12].

The formalism assumes a rotational symmetry of  $h_{ij}$  and  $K_{ij}$  generated by a coordinate vector field  $\partial_\phi$ . It then identifies axisymmetric MOTS in  $\Sigma_T$  by manipulating (12) into a pair of coupled differential equations on the (two-dimensional) orbit space  $\tilde{\Sigma} := \Sigma_T/SO(2)$ . The equations describe an accelerated curve:

$$\hat{T}^b \nabla_b \hat{T}^a = \kappa_{\text{MOTS}} \hat{N}^a, \quad (13)$$

where  $\hat{T}$  and  $\hat{N}$  are the unit tangent and normal vectors to the curve in  $\tilde{\Sigma}$  and  $\kappa_{\text{MOTS}}$  is the magnitude of the acceleration. Solutions of these equations are dubbed

<sup>4</sup>It is in order to make this choice that we are choosing the scaling  $\ell_+ \cdot \ell_- = -2$ .

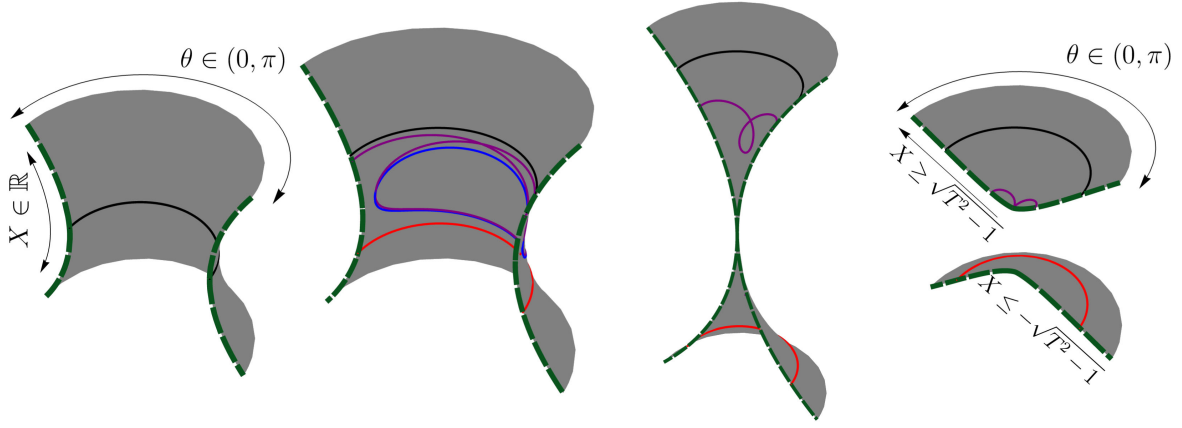


FIG. 6. Embedding diagrams of  $T = 0.0, 0.5, 1.0, 1.5$  hypersurfaces, respectively from left to right. The solid black line is the  $r = 2M$  horizon at  $X = T$  and the solid red is the  $r = 2M$  horizon at  $X = -T$ . The solid blue line is the toroidal MOTS at  $T = 0.5$  (in the family of MOTSs depicted in Fig. 7). The solid purple line is the once-intersected MOTSs in the respective  $T$  slices (exemplary of the MOTSs shown in Fig. 15). The dashed green lines are the poles at  $\theta = 0$  and  $\theta = \pi$  in the usual Kruskal-Szekeres coordinate  $\{T, X, \theta, \phi\}$ . By mirroring these diagrams about  $\theta = 0, \pi$ , one would recover the cartoon in Fig. 3.

*MOTSodesics* and can be rotated by  $\partial_\phi$  into full MOTS in  $\Sigma_T$ .

The main work of the formalism is calculating  $\kappa_{\text{MOTS}}$ . For nonrotating spacetimes, it is relatively straightforward. For rotating ones it can be quite involved. Luckily, we are dealing with a nonrotating spacetime but nevertheless, readers who are only interested in the final form of the equations may want to skip directly to (25) and (26).

On the  $\Sigma_T$  surfaces of constant  $T$  the induced metric is

$$h_{ij}dx^i dx^j = N^2 dX^2 + r^2 d\Omega^2 \quad (14)$$

and the extrinsic curvature is

$$K_{ij}dx^i dx^j = N_T dX^2 + \frac{rr_T}{N} d\Omega^2. \quad (15)$$

The extrinsic curvature is most easily calculated by recalling that  $K_{ij} = \frac{1}{2} \mathcal{L}_{\hat{u}} h_{ij}$  and applying

$$\hat{u} = \frac{1}{N} \frac{\partial}{\partial T}, \quad (16)$$

where  $N$  and  $r$  were defined in (8) and (10), respectively. Clearly  $\partial_\phi$  is a symmetry of both  $h_{ij}$  and  $K_{ij}$  and, with  $r = r(T, X)$ , both are explicitly time dependent.

Following the formalism of [16] we fix coordinates  $x^a = (X, \theta)$  with the remaining coordinate  $\phi$  representing the symmetry direction. Then, the orbit space  $(\tilde{\Sigma}, \underline{h}_{ab}, \underline{\nabla})$  has the metric,

$$\underline{h}_{ab} dx^a dx^b = N^2 dX^2 + r^2 d\theta^2, \quad (17)$$

and the Christoffel symbols associated to the metric connection  $\underline{\nabla}$  are

$$\Gamma_{XX}^X = \frac{N_X}{N}, \quad \Gamma_{\theta\theta}^X = -\frac{rr_X}{N^2} \quad \text{and} \quad \Gamma_{X\theta}^\theta = \frac{r_X}{r}, \quad (18)$$

where a subscript  $X$  denotes partial differentiation with respect to  $X$ .

We consider unit speed curves  $x^a(s) = (P(s), \Theta(s))$  in  $\tilde{\Sigma}$ . These have tangent vector field

$$\hat{T} = \dot{P} \frac{\partial}{\partial X} + \dot{\Theta} \frac{\partial}{\partial \theta}, \quad (19)$$

where the overdot denotes differentiation with respect to the arc length parameter  $s$ . The unit speed condition imposes the constraint

$$N^2 \dot{P}^2 + r^2 \dot{\Theta}^2 = 1. \quad (20)$$

The corresponding unit normal vector field along this curve is

$$\hat{N} = \left(\frac{r}{N}\right) \dot{\Theta} \frac{\partial}{\partial X} - \left(\frac{N}{r}\right) \dot{P} \frac{\partial}{\partial \theta}. \quad (21)$$

Then from Sec. 2 of [16] the acceleration

$$\kappa_{\text{MOTS}} := \mathcal{K} + \mathcal{K}_{\hat{N}} + \mathcal{K}_{\hat{T}\hat{T}}, \quad (22)$$

where

$$\begin{aligned} \mathcal{K} &:= h^{\phi\phi} K_{\phi\phi}, \\ \mathcal{K}_{\hat{N}} &:= \hat{N}^a \underline{\nabla}_a \left( \ln \sqrt{h_{\phi\phi}} \right) \quad \text{and} \\ \mathcal{K}_{\hat{T}\hat{T}} &:= K_{ij} \hat{T}^i \hat{T}^j. \end{aligned} \quad (23)$$

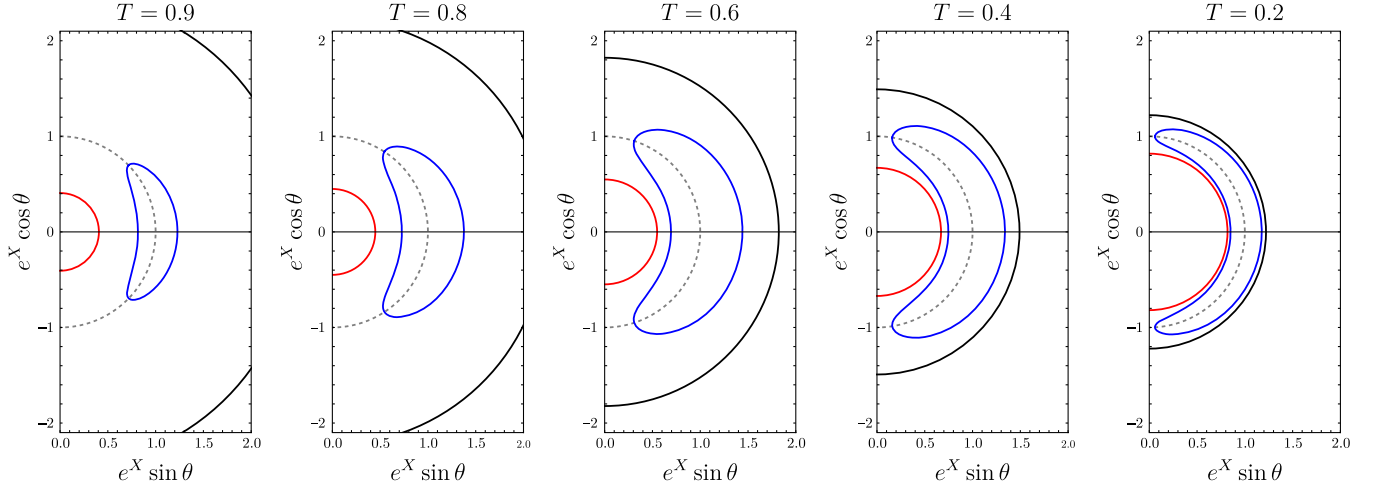


FIG. 7. Toroidal MOTS (in blue) found in the  $T = 0.9$  to  $T = 0.2$  hypersurfaces. The event horizon MOTS is shown in black ( $X = T$ ) and MITS in red ( $X = -T$ ). The plane of spatial symmetry  $X = 0$  is shown as a dashed-gray line. Note the non-Euclidean axes.

Explicitly these are

$$\begin{aligned} \mathcal{K} &= \frac{r_T}{Nr}, \\ \mathcal{K}_{\hat{N}} &= \frac{\dot{\Theta} r_X}{N} - \frac{N \cot \Theta \dot{P}}{r} \quad \text{and} \\ \mathcal{K}_{\hat{T}\hat{T}} &= N_T \dot{P}^2 + \frac{r r_T}{N} \dot{\Theta}^2, \end{aligned} \quad (24)$$

where a subscript  $T$  denotes a partial derivative with respect to  $T$ .

Then by (13), (18) and (24) we obtain the MOTSodesic equations:

$$\ddot{P} = -\left(\frac{N_X}{N}\right) \dot{P}^2 + \left(\frac{r r_X}{N^2}\right) \dot{\Theta}^2 + \left(\frac{r \kappa_{\text{MOTS}}}{N}\right) \dot{\Theta}, \quad (25)$$

$$\ddot{\Theta} = -\left(\frac{2r_X}{r}\right) \dot{P} \dot{\Theta} - \left(\frac{N \kappa_{\text{MOTS}}}{r}\right) \dot{P}, \quad (26)$$

where

$$\begin{aligned} \kappa_{\text{MOTS}} &= \frac{r_T}{rN} - \left(\frac{N \cot \Theta}{r}\right) \dot{P} + \left(\frac{r_X}{N}\right) \dot{\Theta} \\ &+ (N_T) \dot{P}^2 + \left(\frac{r r_T}{N}\right) \dot{\Theta}^2. \end{aligned} \quad (27)$$

As a simple check, consider a cross section of the event horizon branch defined by  $X = T = \text{constant}$ . We then have  $\dot{P} = 0$  and the unit speed condition implies  $\dot{\Theta} = \pm(2M)^{-1}$  so that  $\ddot{\Theta} = 0$ . Choosing the upper sign for concreteness, note that (26) is automatically satisfied. We then find (25) reduces to the requirement,

$$\frac{r_X + r_T}{MN^2} = 0, \quad (28)$$

which holds automatically since  $r_X = 4Me^{-1}X = -r_T$  when evaluated on the surface  $T = X$ . This verifies that the cross sections of the event horizon are indeed MOTS.

#### D. Visualizing the MOTS

We visualize the MOTS by plotting their corresponding MOTSodesics in  $\tilde{\Sigma}$ . However, we first need to choose a way to represent  $\tilde{\Sigma}$ . We use two different methods, each one with advantages and disadvantages.

- (1) *Polarlike coordinates*: The first is to map  $\tilde{\Sigma}$  into the half-plane via  $(x, y) = (e^X \cos \theta, e^X \sin \theta)$ . In these coordinates,  $X \rightarrow -\infty$  maps to the origin  $(0, 0)$  while  $X \rightarrow \infty$  sends  $x, y \rightarrow \infty$ . The throat of the wormhole ( $X = 0$ ) is the unit semicircle in these coordinates.

This method gives a simple, two-dimensional representation of the MOTS (see, for example, Fig. 7). However, the coordinate system distorts the geometry. While the two asymptotic ends of  $\tilde{\Sigma}$  are geometrically equivalent, they appear very differently in this coordinate system.

- (2) *Embedding*: The second is to represent  $\tilde{\Sigma}$  as an embedded surface in the half of Euclidean  $\mathbb{R}^3$  that is covered by cylindrical coordinates  $0 < \rho < \infty$ ,  $-\infty < z < \infty$  and  $0 < \vartheta < \pi$ . Note that this is different from standard embedding diagrams. One typically embeds the disk at fixed  $\theta = \pi/2$  on the full Euclidean  $\mathbb{R}^3$ . However, the interesting features of the MOTSs are encoded in the  $\theta$  coordinate, so embedding the orbit space  $\tilde{\Sigma}$  better showcases their properties.

The metric is

$$ds^2 = dz^2 + d\rho^2 + \rho^2 d\vartheta^2. \quad (29)$$

A surface parametrized by  $z = z(X)$  and  $\rho = \rho(X)$  then has metric

$$ds^2 = \left( \left( \frac{dz}{dX} \right)^2 + \left( \frac{d\rho}{dX} \right)^2 \right) dX^2 + \rho^2 d\vartheta^2. \quad (30)$$

Matching with the induced metric (17) on  $\tilde{\Sigma}$  [holding  $T$  constant such that  $r(T, X) \rightarrow r(X)$ ] we obtain equations for the embedding:

$$\begin{aligned} \vartheta &= \theta, & \rho &= r(X) \quad \text{and} \\ N^2 &= \left( \left( \frac{dz}{dX} \right)^2 + \left( \frac{d\rho}{dX} \right)^2 \right). \end{aligned} \quad (31)$$

On (numerically) solving the differential equation for  $z(X)$  we have a parametrization of the embedding surface in terms of  $(X, \vartheta)$ . Due to the symmetry about  $X = 0$ , the solution for  $z(X)$  is multiplied by a factor of  $\text{sign}(X)$  to encode this symmetry about the  $z = 0$  plane. Figure 6 shows the embedding diagrams of the same constant- $T$  slices that appeared in Figs. 4 and 5 along with examples of MOTSs.

The Einstein-Rosen bridge is clearly shown, along with the fact that it pinches off at  $T = 1$ . The symmetry about  $X = 0$  has also been constructed to be shown about the  $z = 0$  plane. We emphasize that these diagrams do not reflect the axisymmetry (invariance under rotations in the periodically identified coordinate  $\phi$ ). The MOTSs are ultimately the curves in  $\tilde{\Sigma}$  (such as those depicted in Figs. 7, 10, 12, 15) rotated about the  $\phi$  direction to result in surfaces such as Fig. 2.

### E. Topology of a MOTS

The MOTSs considered here are two-dimensional and orientable and so their topology is completely determined by the Euler characteristic. This is found by integrating the Gauss curvature  $K$  over the MOTS with induced area element  $da = \sqrt{\det(q_{AB})} ds \wedge d\phi$ ,

$$\chi = \frac{1}{2\pi} \int_S K da. \quad (32)$$

The Gauss curvature  $K = \frac{1}{2} R^{(2)}$ , where  $R^{(2)}$  is the scalar curvature associated to the induced metric on the MOTS

$$q_{AB} dx^A dx^B = ds^2 + q_{\phi\phi}(s) d\phi^2, \quad (33)$$

where  $s$  is the arc length parameter on the curve  $(P(s), \Theta(s))$  which generates the surface. Explicitly,

$$q_{\phi\phi}(s) = r(T, P(s))^2 \sin^2 \Theta(s). \quad (34)$$

In the present case, the induced metric is both diagonal and axisymmetric, so

$$R^{(2)} = -\frac{1}{(q_{\phi\phi})^{1/2}} \frac{d}{ds} \left( \frac{\dot{q}_{\phi\phi}}{(q_{\phi\phi})^{1/2}} \right). \quad (35)$$

The  $(P(s), \Theta(s))$  from (25) and (26) determine  $q_{AB}$  and  $K$ . Then in cases in which the topology is unclear we can check the topology with (32).

### F. The stability operator

The stability operator encodes detailed information about a MOTS in its spectrum [29–31]. Generically, the spectrum of the stability operator is complex, but the principal (smallest) eigenvalue is guaranteed to be real. A MOTS is called strictly stable if its principal eigenvalue is positive, stable if it is non-negative, and unstable if it is negative. Strictly stable MOTSs enjoy a number of properties that make them well-suited to serve as quasilocal black hole boundaries. They are guaranteed to persist under time evolution and they serve as boundaries separating trapped and untrapped regions. For these reasons we use the term “apparent horizon” to refer to a MOTS that is strictly stable, in line with [1]. (Note that this characterization of an apparent horizon is related to the “standard” definition of an apparent horizon—the boundary of the region containing trapped surfaces—by Theorem 2.1 of [31].)

While unstable MOTSs are unsuitable as quasilocal horizons they still play an important role in black hole dynamics. For example, the continuous sequence of MOTSs connecting the initial and final states in the head-on merger of two axisymmetric nonrotating black holes are largely made up from unstable MOTS [7]. In particular unstable MOTSs are responsible for annihilating the apparent horizons of the original black holes in such a merger [11]. Here we will study the spectrum of the stability operator for the MOTSs found in the Kruskal slicing of the Schwarzschild black hole.

Following the conventions of [29] the stability operator is

$$\begin{aligned} L[\psi] &:= -\Delta\psi + 2\omega^A \partial_A \psi \\ &+ \left[ \frac{R^{(2)}}{2} - \frac{1}{2} \|\sigma_+\|^2 + \mathcal{D}_A \omega^A - \|\omega\|^2 \right] \psi \end{aligned} \quad (36)$$

$$= -\mathcal{P}^A \mathcal{P}_A \psi + \frac{1}{2} [R^{(2)} - \|\sigma_+\|^2] \psi, \quad (37)$$

where  $\|\sigma_+\|^2 = \sigma_+^{AB} \sigma_{AB}^+$ ,  $\|\omega\|^2 = \omega^A \omega_A$  and  $\mathcal{P}_A = \mathcal{D}_A - \omega_A$ . The shear and connection  $\omega_A$  were defined in Sec. II A; however, for purposes of this section it is also useful to write them relative to initial data:

$$\omega_A = e_A^i K_{ij} \hat{N}^j, \quad (38)$$

$$\sigma_{AB}^+ = e_A^i e_B^j (K_{ij} + D_i \hat{N}_j). \quad (39)$$

Note that there is no need to subtract the trace to obtain a trace-free  $\sigma_{AB}^+$ . With

$$\theta_+ = q^{AB} e_A^i e_B^j (K_{ij} + D_i \hat{N}_j) = 0, \quad (40)$$

it is automatically trace-free.

In general the stability operator is not self-adjoint and has complex eigenvalues, however if  $\omega$  is exact then there is a significant simplification. This is most easily seen from the second form of the stability operator. If  $\omega_A = \mathcal{D}_A \gamma$  for some  $\gamma$ , then for any scalar or tensor  $T$  a direct calculation shows that

$$\mathcal{P}_A(e^\gamma T) = (\mathcal{D}_A - \omega_A)(e^\gamma T) = e^\gamma \mathcal{D}_A T. \quad (41)$$

It then follows that

$$\mathcal{P}^A \mathcal{P}_A \psi = e^\gamma \mathcal{D}^A \mathcal{D}_A (e^{-\gamma} \psi) \quad (42)$$

and so

$$L[\psi] = e^\gamma \bar{L}[e^{-\gamma} \psi], \quad (43)$$

for

$$\bar{L} := -\Delta + \frac{1}{2} [R^{(2)} - \|\sigma_+\|^2]. \quad (44)$$

For further discussion of this transformation and its equivalence to rescaling the null vectors, see [32,33]. The transformation is significant as an operator of the form (44) is self-adjoint and so necessarily has a real eigenvalue spectrum with a smallest principal eigenvalue  $\lambda_0$ . However, it is clear from (43) that if  $L\psi_i = \lambda_i \psi_i$  then  $\bar{L}(e^{-\gamma} \psi_i) = \lambda_i (e^{-\gamma} \psi_i)$ : they have the same eigenvalue spectrum and the eigenfunctions of one are simple rescalings of those of the other. For our purposes we only care about the eigenvalue spectrum and so if  $\omega_A$  is exact, we can forget about  $\omega_A$  and instead study (44).

For the  $\mathcal{S}$  generated by rotating the MOTSodesic  $(P(s), \Theta(s))$  an application of (21) and (38) gives

$$\omega = F(s) ds \quad \text{for } F(s) := \dot{P} \dot{\Theta} r_T \left( \frac{1}{2} \frac{(N^2)'}{N^2} - 1 \right). \quad (45)$$

This is exact and so henceforth we can forget about the complications of (36) and instead calculate the eigenvalue spectrum of (44).

Calculating the rest of the terms in  $\bar{L}$ , the Laplacian for metric (33) is

$$\Delta = \partial_s^2 + \frac{1}{2} \left( \frac{d}{ds} (\log q_{\phi\phi}) \right) \frac{\partial}{\partial s} + \frac{1}{q_{\phi\phi}} \frac{\partial^2}{\partial \phi^2}, \quad (46)$$

and the Ricci scalar was already calculated in (35). The shear is a little more involved. Keeping in mind that  $q_{AB}$  is diagonal, it follows from (40) that

$$\sigma_{ss}^+ = -q^{\phi\phi} \sigma_{\phi\phi}^+. \quad (47)$$

Then, with  $\sigma_{s\phi} = 0$  we have

$$\|\sigma^+\|^2 = \frac{2(\sigma_{\phi\phi}^+)^2}{r^4 \sin^4 \Theta}, \quad (48)$$

where

$$\sigma_{\phi\phi}^+ = \frac{\sin^2 \Theta}{N} (rr_T + r^2 r_X \dot{\Theta}) - \cos \Theta \sin \Theta N r \dot{P}.$$

With these expressions in hand and given a numerical solution of (25) and (26), we determine the spectrum of the stability operator numerically using pseudospectral techniques [34,35]. Since these methods have been described in detail elsewhere, e.g. [13,15], we will be relatively brief with our overview.

We are restricting here to MOTSs that share the axisymmetry of the spacetime. Therefore, we expand the eigenfunctions of the stability operator as

$$\psi(s, \phi) = \sum_{m=-\infty}^{m=\infty} \psi_m(s) e^{im\phi}, \quad (49)$$

reducing the eigenvalue problem to a one-dimensional one. In the following we will restrict attention to the  $m=0$  eigenfunctions since the principal eigenfunction, which determines the stability, must be invariant under the isometries of the MOTS.

With the  $\phi$ -direction suppressed, the MOTS of interest reduces to an arc length parametrized MOTSodesic  $(P(s), \Theta(s))$  with  $s \in [0, s_{\max}]$ . We expand the eigenfunction of the stability operator in Chebychev polynomials,

$$\psi_m(s) = \sum_{n=0}^N a_n \cos\left(\frac{n\pi s}{s_{\max}}\right), \quad (50)$$

and divide the interval  $[0, s_{\max}]$  into  $N+1$  equally spaced points,

$$s_j = \frac{s_{\max}}{N+2} j \quad \text{for } j \in \{1, 2, \dots, N, N+1\}. \quad (51)$$

Since the Chebychev polynomials are regular at  $s=0$  and  $s=s_{\max}$  it is not necessary to implement additional boundary conditions.



Using the numerically determined MOTSodesic  $(P(s), \Theta(s))$  and the Chebyshev expansion of the eigenfunction, we construct a derivative matrix corresponding to the stability operator,

$$\bar{L}[\psi] = \lambda\psi \rightarrow \bar{L}_{ij}a_j = \lambda\Phi_{ij}a_j, \quad (52)$$

where  $\bar{L}_{ij} = (\bar{L}_\Sigma\phi_j)(s_i)$  and  $\Phi_{ij} = \phi_j(s_i)$ . The spectrum of the stability operator is then determined by finding the eigenvalues of the matrix  $\mathbf{M} = \Phi^{-1}\mathbf{L}$ , which we do using Mathematica. Convergence is tested by repeating the process for several distinct values of  $N$ .

### III. TOROIDAL MOTSs

The main result of our analysis is the observation of MOTSs of toroidal topology in the Kruskal-Szekeres time slices. Toroidal MOTSs are located in the black hole interior and, in the examples we have found, the MOTS straddles the throat of the wormhole, meaning portions of the surface have  $X > 0$  while other portions have  $X < 0$ . The extent of the toroidal MOTS in both asymptotic regions is equal, thus in these symmetrical cases we say the MOTS “straddles” the wormhole throat. In all cases, the numerically computed Euler characteristic is zero to within numerical precision. None of the toroidal MOTSs we have located have self-intersections.

We show several representative toroidal MOTSs in Fig. 7 for different values of Kruskal time. Numerically, we can resolve with confidence toroidal MOTSs from  $0.145 < T < 1$ . For  $T < 0.145$  there are indications that these surfaces continue to exist, but their numerical identification is hampered due to a large number of nearby MOTSs.

As  $T \rightarrow 1$ , the time slices approach the singularity. We find that the toroidal MOTSs gradually shrink and at some finite time before  $T = 1$  we no longer have the numerical accuracy to locate them. Moreover, we have found no examples of toroidal MOTSs for  $T > 1$ . This suggests that these surfaces are present only when Kruskal time slices connect the left and right asymptotic regions via an Einstein-Rosen bridge.

We can be more confident in this conclusion by studying the area of the MOTSs as a function of time  $T$ . The area of the MOTSs can be evaluated by integrating  $da = \sqrt{q_{\phi\phi}}ds \wedge d\phi$  over the surface,

$$\text{Area} = 2\pi \int_s r(T, P(s)) \sin(\Theta(s)) ds. \quad (53)$$

We show that the area of several MOTSs studied in this work in Fig. 8. The plot shows the area of the toroidal MOTSs is monotonically decreasing, approaching zero in the limit  $T \rightarrow 1$ .

The behavior as  $T \rightarrow 0$  is more subtle, since we can only resolve the toroidal MOTS for  $T > 0.145$ . We find no clear

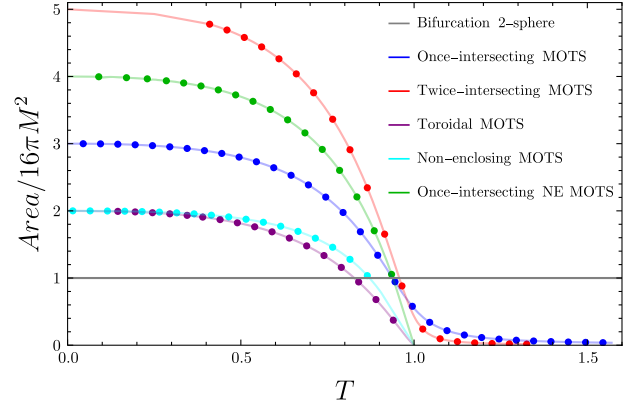


FIG. 8. Areas of several families of MOTSs as a function of  $T$ . The dots correspond to numerical data, while the solid lines are interpolation and extrapolation of the numerical results. Note that not all MOTSs are shown to avoid overcrowding. The cyan and green class of MOTSs are introduced in Sec. IV.

indication that the toroidal MOTS annihilates with another surface. Instead, the resolution issue is related to the large number of MOTSs present in a smaller numerical domain. In the limit  $T \rightarrow 0$ , the MOTS and MITS corresponding to the intersection of the event horizon with the Kruskal time slices become closer together. All interior MOTSs are sandwiched between the horizon MOTS/MITS, and distinguishing them from one another requires increasing precision as  $T \rightarrow 0$ .

Tracking the area of this surface as  $T \rightarrow 0$  we see that it approaches twice the area of the bifurcation two-sphere. This is consistent with the idea that as  $T \rightarrow 0$ , the toroidal MOTS becomes increasingly sandwiched between the horizon MOTS/MITS, effectively wrapping the bifurcation two-sphere twice as  $T \rightarrow 0$ . Confirming this qualitative picture will require improving the resolution of our numerical MOTS finder.

Finally, we discuss the stability of the toroidal MOTSs. The toroidal MOTSs found in the numerical simulations performed in [1] were all unstable with a negative principal eigenvalue. We find similar results here. Like that work, here we find that the shear  $\sigma_{AB}^+$  is nonvanishing for the toroidal MOTSs. This fact should be thought of in the context provided by Lemma 9.2 of [30]. This Lemma indicates that a toroidal MOTS must be either unstable or shear free (and Ricci flat). Since we find here that the shear is nonvanishing, we can conclude that these MOTSs are unstable.<sup>5</sup>

This expectation is confirmed via a direct evaluation of the spectrum of the stability operator. In Fig. 9 we plot the first few  $m = 0$  eigenvalues of the stability operator as a function of Kruskal time. The first three of these eigenvalues are strictly negative, confirming that the surfaces are

<sup>5</sup>See also [36]—in particular Theorems 1.2 and 1.3—for related rigorous results.

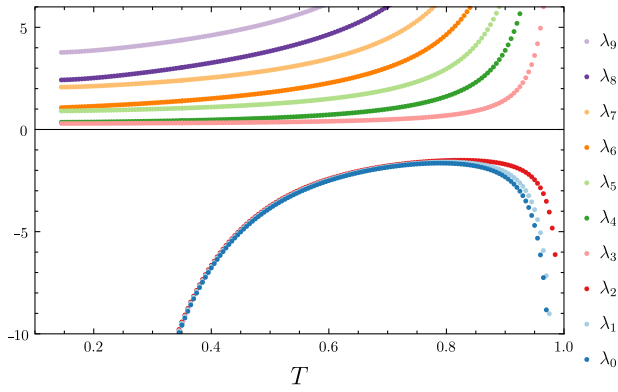


FIG. 9. Lowest 10 eigenvalues of the stability operator on the toroidal MOTSs, as in Fig. 7, for different slices  $T$ .

unstable MOTSs. All other eigenvalues are positive. In the limit  $T \rightarrow 1$ , all eigenvalues appear to grow without bound. For smaller values of  $T$ , the first three eigenvalues take on large negative values. This is consistent with these eigenvalues diverging as  $T \rightarrow 0$ . The remaining eigenvalues remain finite as  $T$  is decreased.

#### IV. TOPOLOGICALLY SPHERICAL MOTSs

In addition to the toroidal MOTSs, we find many other examples of MOTSs that all have spherical topology with numerically computed Euler characteristic  $\chi = 2$ . These MOTSs are reminiscent of the MOTS shown in Fig. 12 of [1]. There are examples without and with self-intersections.

This class of topologically spherical MOTSs do not enclose the  $r = 0$  curvature singularity. As such, we will synonymously refer to these MOTSs as the “nonenclosing (NE)” MOTSs. The toroidal MOTSs also do not enclose

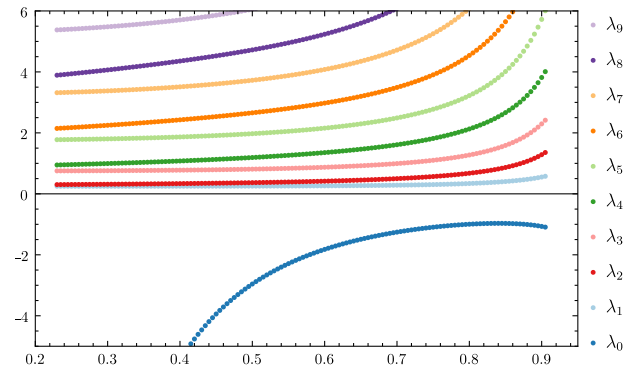


FIG. 11. Lowest 10 eigenvalues of the stability operator on the topologically spherical but non-intersecting MOTSs (as in Fig. 10) for different slices  $T$ .

the singularity, but are uniquely identified with the “toroidal” name.

Focusing first on examples without self-intersections, we present some examples of such surfaces in Fig. 10. These MOTSs are topological spheres with one pole occurring for  $X > 0$  and the other occurring for  $X < 0$ . They are not geometric spheres and do not, for example, have constant Ricci curvature.

MOTSs of this type can be located only for  $T < 1$ . As  $T \rightarrow 1$ , these MOTSs shrink in area and ultimately appear to vanish—see the cyan curve in Fig. 8 for the area as a function of time. On the other hand, as  $T \rightarrow 0$ , this MOTS becomes sandwiched between the horizon MOTS/MITS. While we ultimately cannot track this MOTS all the way to  $T = 0$ , its area evolution is suggestive of the fact that it ultimately wraps the horizon twice in this limit.

These MOTSs are also unstable, as can be seen from their stability operator spectrum in Fig. 11. There is a single negative eigenvalue in the  $m = 0$  sector of the spectrum.

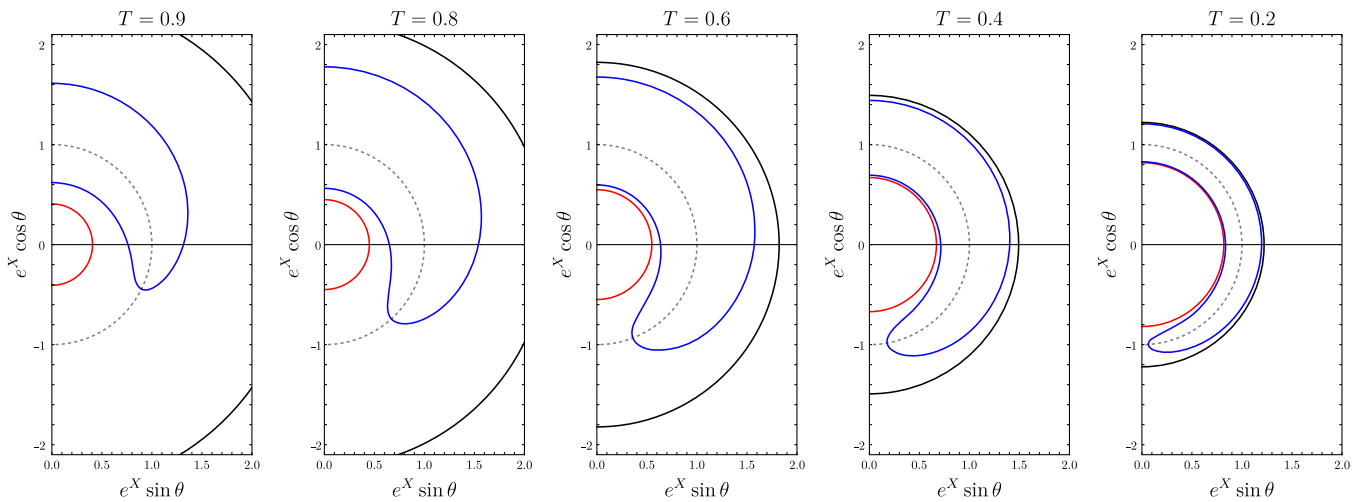


FIG. 10. Nonintersecting nonenclosing MOTSs (in blue) found in the  $T = 0.9$  to  $T = 0.2$  hypersurfaces. The event horizon MOTS is shown in black ( $X = T$ ) and MITS in red ( $X = -T$ ). The place of spatial symmetry  $X = 0$  is shown as a dashed-gray line. Please mind the non-Euclidean axes.

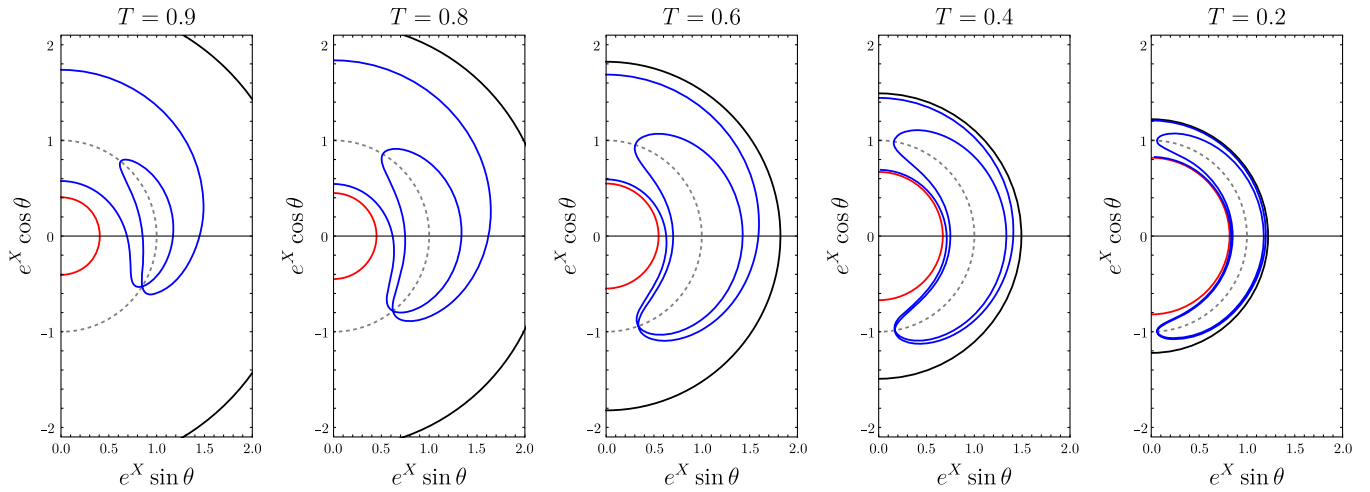


FIG. 12. Once-intersecting nonenclosing MOTSs (in blue) found in the  $T = 0.9$  to  $T = 0.2$  hypersurfaces. The event horizon MOTS is shown in black ( $X = T$ ) and MITS in red ( $X = -T$ ). The plane of spatial symmetry  $X = 0$  is shown as a dashed-gray line. Please mind the non-Euclidean axes.

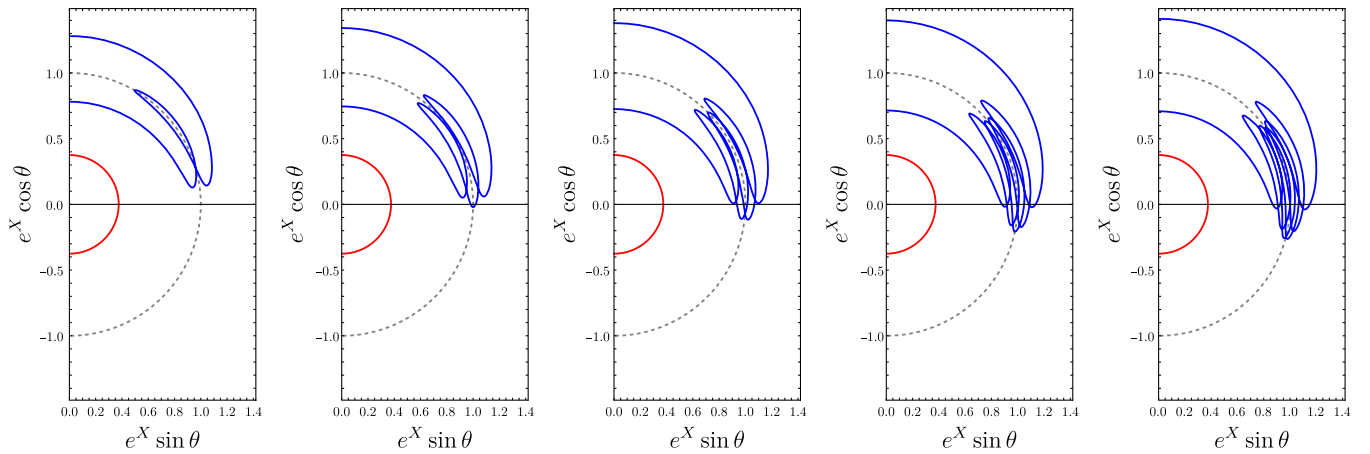


FIG. 13. The once-, twice-, thrice-, four-times-, and five-times-intersecting nonenclosing MOTSs (in blue) found in the  $T = 0.98$  hypersurface. The event horizon cross section at  $X = -T$  is shown in red—the other sphere at  $X = T$  is out of frame. The plane of spatial symmetry  $X = 0$  is shown as a dashed-gray line. Please mind the non-Euclidean axes.

This eigenvalue tends toward  $-\infty$  as  $T \rightarrow 0$ , while all other eigenvalue approach finite values. In the limit  $T \rightarrow 1$  all eigenvalues appear to diverge.

Generalizations of these surfaces with self-intersections also exist, with examples shown in Fig. 12. These surfaces share the feature of having one pole at positive  $X$  and the other at negative  $X$ . They span the throat of the wormhole, and can only be located for  $0 < T < 1$ . At Kruskal-Szekeres times near the collapse of the wormhole ( $T = 1 - \epsilon$ ), we are able to numerically resolve more self-intersecting nonenclosing MOTSs, shown in Fig. 13. This suggests a large number of self-intersecting nonenclosing MOTSs that exists in the  $T^2 < 1$  domain of hypersurfaces. We focus our attention only on the once-intersecting NE MOTSs in our stability operator analysis.

These MOTSs are also unstable, as can be seen in the stability operator eigenvalues plotted in Fig. 14. There are

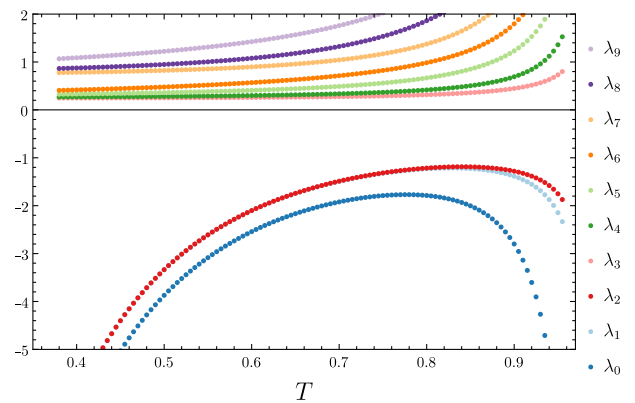


FIG. 14. Lowest 10 eigenvalues of the stability operator on the once-intersecting MOTSs (such as in Fig. 12) for different slices  $T$ .

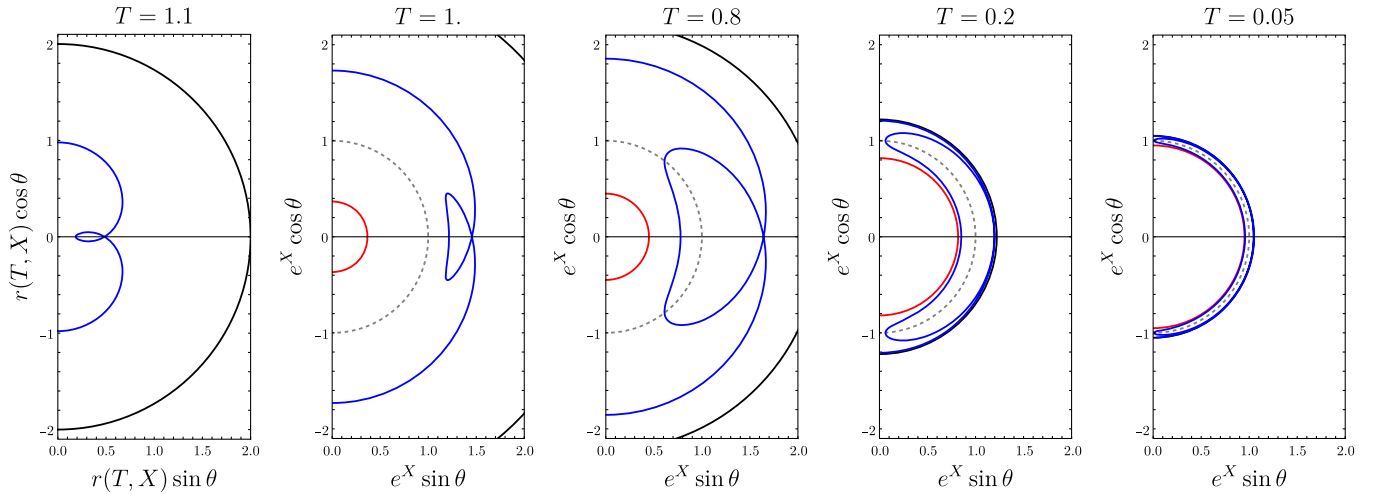


FIG. 15. Once-intersecting MOTSs (in blue) found in the  $T = 1.1$  to  $T = 0.2$  hypersurfaces. The event horizon MOTS is shown in black ( $X = T$ ) and MITS in red ( $X = -T$ ). The plane of spatial symmetry  $X = 0$  is shown as a dashed-gray line. Please mind the non-Euclidean axes other than the left-most panel. The leftmost panel uses a Euclidean axes format to make clear the connection with [14].

three negative eigenvalues, two more than the corresponding surfaces with no self-intersections. All the eigenvalues appear to diverge as  $T \rightarrow 1$ , while only the negative eigenvalues appear to diverge in the limit  $T \rightarrow 0$ . In this latter limit, it appears that the MOTS approaches the bifurcation two-sphere, wrapping around it four times—see the green curve in Fig. 8.

Finally, there are examples of self-intersecting MOTSs that are similar to those observed in Painlevé-Gullstrand time slices of the Schwarzschild spacetime [14]. We show a time progression for one of these MOTSs in Fig. 15. These MOTSs are topologically spherical, and have both their poles located at positive  $X$  values. These are also the only MOTSs we have discussed so far that can be tracked beyond  $T = 1$ —see the blue curve in Fig. 8. We find examples of MOTSs of this type with multiple self-intersections, Fig. 15 plots the time progression for the once-intersecting surface, while we include the area evolution of both the once- and twice-intersecting surfaces of this kind in Fig. 8.

For  $T < 1$ , as is clear from Fig. 15, a portion of the MOTS extends across the wormhole at early times. As time increases toward  $T = 1$ , this MOTS pulls back until it is entirely contained in the  $X > 0$  region. The MOTS can be continued to be tracked for larger values of  $T > 1$ , for which the time slices terminate at the singularity. As  $T$  continues to become larger, the MOTS becomes increasingly distorted and eventually can no longer be numerically resolved. See Fig. 6, which illustrates some of these features on an embedding diagram.

On the other hand, as  $T \rightarrow 0$  these MOTSs become sandwiched between the two components of the event horizon. This is shown in Fig. 15 and is similar to what happens with all the MOTSs described earlier. Just like in that case, it is not possible to numerically resolve what

happens in the strict  $T \rightarrow 0$  limit, but tracking the area of the MOTS as a function of time is suggestive of the fact that it limits to a MOTS that wraps the bifurcation sphere some number of times. The number of wrappings appears to be equal to  $2L + 1$ , where  $L$  is the number of loops formed by self-intersection. It would be interesting to see if these new types of MOTSs arise generically in maximally extended black hole spacetimes.

Just as in the Painlevé-Gullstrand slicing, these looping MOTSs are unstable. The  $m = 0$  eigenvalues of the stability operator are shown in Fig. 16 for the once-intersecting MOTS. There are two negative eigenvalues, and both of these appear to be divergent in the  $T \rightarrow 0$  limit. The positive eigenvalues appear to have finite limits as  $T \rightarrow 0$ . As  $T$  increases, a number of eigenvalues begin to grow large, suggesting they ultimately diverge. However, the time at which this divergence occurs is no longer equal to  $T = 1$ , as these MOTSs can be tracked beyond that

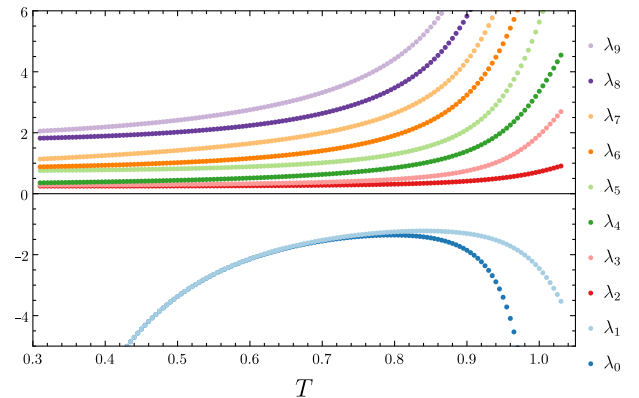


FIG. 16. Lowest 10 eigenvalues of the stability operator on the once-intersecting MOTSs (as in Fig. 15) for different slices of  $T$ .

point. There may be a connection between the loss of the ability to numerically resolve this once-looping MOTS and the divergence of its eigenvalue spectrum. As  $T$  increases, the time slices tend increasingly toward being null. A similar feature was observed for these MOTSs in the generalized Painlevé-Gullstrand slicing of the Schwarzschild spacetime—cf. Fig. 5 of [15].

## V. CONCLUSIONS

We have investigated the existence of axisymmetric MOTSs with toroidal topology lying in constant  $T$  spacelike hypersurfaces in the maximally extended Kruskal-Szekeres spacetime. This work is motivated by more sophisticated numerical simulations in [1] that reveal that toroidal MOTSs arise naturally in dynamical black hole mergers. Their appearance in these examples suggests that toroidal MOTSs are not intrinsically associated with merger physics, but instead can be attributed to the departure from time symmetry.

Along the way, our analysis demonstrated the existence of new spherical MOTSs in the Schwarzschild interior. These novel MOTSs are symmetric about the wormhole throat (i.e. about the timelike line  $X = 0$  in the standard Kruskal-Szekeres coordinates). In the region  $T \in (-1, 0) \cup (0, 1)$ , these MOTSs “straddle” the two asymptotic regions connected by the Einstein-Rosen bridge. For the hypersurfaces with  $|T| > 1$ , however, the Einstein-Rosen bridge collapses and the surface must intersect the singularity (as depicted in Figs. 4, 5, and 6).

The construction of these axisymmetric MOTSs is an application of the MOTSodesic method introduced in [14–16]. These new types of MOTSs are unstable, as we demonstrated by a numerical analysis of the stability operator and its spectrum. In particular, the toroidal MOTSs appear to have three negative  $m = 0$  eigenvalues of the stability operator, whereas the topologically spherical MOTSs have a number of negative eigenvalues related to the number of self-intersections in a manner consistent with previous studies. The negative eigenvalues imply that these MOTSs do not separate an inside region which can be foliated by outer trapped surfaces from an outside regions that can be foliated by outer untrapped surfaces [30].

Finding MOTSs becomes difficult for  $T \rightarrow 0$  and  $T \gg 1$  (besides, of course, the MOTS corresponding to the event horizon). It can be shown that the constant  $T$  spacelike hypersurfaces asymptote to null surfaces as  $T$  increases. This is reflected by the divergence of eigenvalues of the stability operator. Near  $T \rightarrow 0$ , the density of MOTSs is substantial, as all found MOTSs are sandwiched between the two apparent horizons, and the problem becomes distinguishing them.

It is unclear whether the loss of MOTSs as  $T \gg 1$  is due to them annihilating with other MOTSs at critical values of  $T$ . For example, “annihilation” or “bifurcation” have

been observed in previous studies [1,15] and occur simultaneously with a vanishing eigenvalue of the stability operator. As there is no evidence of any found eigenvalues going to zero together with eigenvalues diverging near the loss of the MOTS, we expect that these MOTSs are lost due to lack of numerical precision. Improving this issue is not trivial; simply increasing numerical precision will not definitively extend the range of our MOTS finding techniques. There are a large number of axisymmetric MOTSs located in the Schwarzschild interior. As  $T \rightarrow 0$ , these are “sandwiched” into the increasingly small domain between the two apparent horizons and it becomes very difficult to distinguish individual MOTSs in this limit. Meanwhile, as  $T \gg 1$ , it has been previously observed how MOTSs behave for slices that transition from spacelike to null—they steadily warp into a sharp surface that nears the  $r = 0$  singularity in the near-null coordinates. This behavior is difficult to deal with numerically.

The eigenvalue spectrum of the stability operator on the nonapparent horizon MOTSs exhibits a curious behavior as  $T \rightarrow 0$ . We observe that the non-negative eigenvalues of the stability operator of all nonapparent-horizon MOTSs tends towards the corresponding eigenvalues of the event horizon cross section, but with multiplicities. The multiplicity of each eigenvalue is equal to ratio of the area of the MOTSs to the area of the event horizon cross section as  $T \rightarrow 0$ , which is an integer (Fig. 8). As an example, the eigenvalue spectrum of the stability operator (Fig. 14) for the once-intersecting NE MOTSs (Fig. 12) showcases this. From the plots of its area as  $T$  varies (Fig. 8), the area of the once-intersecting NE MOTS approaches 4 times that of the event horizon cross section. Towards the rightmost panel of Fig. 12, this MOTS “wraps” the event horizon cross sections four times, hence the quadruple area factor. As this MOTS gets sandwiched between the two horizons, its unit normal vector  $\hat{N}^a$  begins to coincide with that of the horizons with degeneracy due to the multiple wrapping. This picture is consistent with the multiplicities of the positive  $m = 0$  eigenvalues and the negative eigenvalues may be thought of as a result of the normal vector field pointing tangentially to the horizons. Investigating this feature may be a topic for future work.

It would be interesting to see whether similarly extended spacetimes would exhibit similar behavior, for example a Kruskal-Szekeres extension of the Reissner-Nordström spacetime. The MOTSs within the charged black hole have been studied in an earlier work [15] using a further generalized Painlevé-Gullstrand slicing and exhibit the aforementioned annihilation/bifurcation events in the charge parameter space  $\mathcal{Q}$ . Other coordinate systems that have a nonvanishing extrinsic curvature and that span both asymptotic regions, such as hyperboloidal slicings, could be studied further to see whether they harbor similar MOTSs to the ones found here.

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