

Beta functions of 2D adjoint QCD

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We discuss the long-distance physics of 2D adjoint QCD when it is viewed as an effective field theory and determine the β functions for its two classically marginal four-Fermi operators. These four-fermion terms preserve the invertible symmetries of the kinetic terms, and they have important implications at long distances if they are generated at short distances. Our results are likely to be important for future numerical lattice Monte Carlo studies of 2D adjoint QCD.

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I. INTRODUCTION

One-flavor massless $SU(N)$ adjoint QCD is a popular setting for exploring the physics of confinement; see, e.g., Refs. [1–22]. This theory—which we will call QCD[Adj]—has just two fields, an $SU(N)$ gauge field a_μ and a massless adjoint-representation Majorana fermion ψ , but its physics is very rich. First, the fact that ψ is in the adjoint representation means that there is a \mathbb{Z}_N 1-form symmetry that acts on Wilson loops, which ensures that confinement is a well-defined notion; see, e.g., Refs. [23,24]. Second, massless QCD[Adj] enjoys $\mathcal{N} = 1$ supersymmetry in $d = 4$ spacetime dimensions, but it is not supersymmetric when $d < 4$. Third, QCD[Adj] has a rich matrixlike large N limit in $d \geq 2$, in the sense that the propagating degrees of freedom are $N \times N$ matrices. In 2D, this is to be contrasted with $SU(N)$ QCD with fundamental fermions, where the standard large N limit becomes vectorlike due to the fact that the adjoint (matrix) gluons do not have propagating degrees of freedom in two dimensions, while fundamental representation quarks are N -dimensional vectors. Finally, the large N limit of QCD[Adj] is believed to be equivalent to the large N limit of QCD with a Dirac fermion in the two-index antisymmetric “AS” representation [10]. At $N = 3$ the AS representation is the same as the (anti)fundamental representation, so studying QCD[Adj] yields lessons for an unusual but phenomenologically viable [10,25–29] large N limit of $SU(3)$ QCD in any $d \geq 2$. All of these features make QCD[Adj] a very interesting setting for exploring the physics of confinement.

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The Euclidean action of QCD[Adj] is

$$S = \int d^d x \left[\frac{1}{2g^2} \text{tr} f_{\mu\nu}^2 + \text{tr} \bar{\psi} \not{D} \psi + \dots \right]. \quad (1)$$

The ellipsis represents other local terms built out of a_μ and ψ that are consistent with the symmetries of the kinetic terms. In addition to the \mathbb{Z}_N 1-form symmetry, the invertible symmetries include fermion parity $(-1)^F$, charge conjugation C , time reversal T , a coordinate reflection symmetry R , and a discrete chiral symmetry in even spacetime dimensions: \mathbb{Z}_2 in $d = 2$ and \mathbb{Z}_N in $d = 4$.

In this paper, we will follow a common Wilsonian perspective and interpret Eq. (1) as an effective field theory (EFT), so that Eq. (1) is viewed as the longer-distance effective action corresponding to some short-distance description involving additional heavy degrees of freedom. For instance, we could add an extra scalar field ϕ of mass $M \gg g$ with a Yukawa interaction of the form $\int d^d x y \phi \text{tr} \bar{\psi} \psi$ to Eq. (1) and then consider the physics for energies $\ll M$. As another example, Eq. (1) could be the coarse-grained effective description of a short-distance spacetime lattice model with lattice spacing a . We will try to understand the “universal” aspects of the long-distance physics which are independent of the details of such short-distance modifications, provided that the invertible symmetries of Eq. (1) mentioned above are not explicitly broken at short distances. The dependence of the physics on various possible symmetry-preserving short-distance modifications is parametrized by symmetry-preserving \dots terms in Eq. (1).

In $d \geq 3$, any symmetry-preserving terms other than the kinetic terms in Eq. (1) are technically irrelevant. The only apparent exception is the $d = 4$ term $\frac{i\theta}{32\pi^2} \int d^4 x \epsilon^{\mu\nu\alpha\beta} \text{tr} f_{\mu\nu} f_{\alpha\beta}$ with $\theta = \pi$, but this term has no physical effects, since it can be absorbed in the phase of the massless fermion field. Therefore, if one's goal is to understand the universal

aspects of the long-distance physics of QCD[Adj], then in $d \geq 3$ it is safe to delete the ellipsis in Eq. (1).

In this paper, we focus on the physics in $d = 2$, where the situation is different thanks to the fact that there are two classically marginal four-fermion terms consistent with all the invertible symmetries mentioned above. As a result, the EFT action of 2D QCD[Adj] can be written as [21]

$$S = \int d^2x \left[\frac{1}{2g^2} \text{tr} f_{\mu\nu}^2 + \text{tr} \bar{\psi} \not{D} \psi + \frac{1}{2N} \lambda_j \text{tr} [\bar{\psi} \gamma^\mu \psi \bar{\psi} \gamma_\mu \psi] - \frac{1}{N^2} \lambda_m \text{tr} [\bar{\psi} \psi] \text{tr} [\bar{\psi} \psi] \right]. \quad (2)$$

The λ_j term is like the Thirring interaction for a Dirac fermion [30], while the λ_m term is a version of the Gross-Neveu interaction [31]. As we review below, these two terms are identical at $N = 2$.

A very interesting feature of 2D QCD[Adj] is that, when λ_m is turned off, the model has an exotic “noninvertible” symmetry [22]; see also [32,33]. This exotic symmetry would be explicitly broken by the adjoint quark mass term $m \text{tr} \bar{\psi} \psi$, which would also break the \mathbb{Z}_2 chiral symmetry. The λ_m term is the square of the mass term and does not break chiral symmetry, but it turns out that it *does* break the noninvertible 0-form symmetry [22]. The other four-fermion term, $\lambda_j \text{tr} [\bar{\psi} \gamma^\mu \psi \bar{\psi} \gamma_\mu \psi]$, does not break any of the symmetries. Because of the infamous subtleties involved in defining chiral lattice fermions (reviewed in, e.g., Ref. [34]), the noninvertible symmetry discovered in Ref. [22] seems unlikely to be preserved in spacetime lattice formulations of 2D QCD[Adj]. One might, therefore, worry that, without fine-tuning, numerical lattice simulations of 2D QCD[Adj] might end up reaching continuum limits described by Eq. (2) that have nonzero values of λ_m and λ_j . This is one of several motivations for understanding the impact of the four-fermion terms on the long-distance physics.

We calculate the β functions of λ_j and λ_m in Sec. III after setting out our conventions in Sec. II. We find that, with a natural choice of signs for λ_j and λ_m , these couplings generically become large in the long-distance limit. We then discuss the implications of the renormalization group (RG) flow for confinement in Sec. IV. Finally, readers may have noticed that with $\lambda_m = \lambda_j = 0$, 2D QCD[Adj] becomes superrenormalizable, and it may seem impossible for a four-fermion term to be generated through radiative corrections in such a quantum field theory (QFT). While this is true in the naive continuum theory, in Sec. V we will show how it can be false on the lattice. We then conclude in Sec. VI.

II. CONVENTIONS

For concreteness, we use the following representation of 2D Euclidean gamma matrices:

$$\gamma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \gamma^2 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad (3)$$

and define $\gamma \equiv i\gamma^1\gamma^2$. The charge conjugation matrix $C = -\gamma$ satisfies $C\gamma^\mu C^{-1} = -(\gamma^\mu)^T$, and we define the charge conjugate of ψ to be $\psi^c = C^{-1}\bar{\psi}^T$; see, e.g., Ref. [35] for an extensive discussion of possible conventions. The Majorana condition $\psi^c = \psi$ then implies $\bar{\psi} = \psi^T C^T = \psi^T \gamma$. The Euclidean action takes the form

$$S = \int d^2x \left[\frac{N}{2\lambda} \text{tr} [f_{\mu\nu} f^{\mu\nu}] + \text{tr} [\bar{\psi} \not{D} \psi] + m \text{tr} [\bar{\psi} \psi] + \frac{1}{2N} \lambda_j \text{tr} [\bar{\psi} \gamma^\mu \psi \bar{\psi} \gamma_\mu \psi] - \frac{1}{N^2} \lambda_m \text{tr} [\bar{\psi} \psi] \text{tr} [\bar{\psi} \psi] \right], \quad (4)$$

where the field strength $f_{\mu\nu} = \partial_\mu a_\nu - \partial_\nu a_\mu - i[a_\mu, a_\nu]$, the covariant derivative $\not{D} = \gamma^\mu (\partial_\mu - i[a_\mu, \cdot])$, $\psi = \psi_A t^A$ is a Majorana fermion in the adjoint representation of $SU(N)$, t^A are the generators of $SU(N)$ in the fundamental representation with $A = 1, \dots, N^2 - 1$, the trace is taken over color indices with $\text{tr}_A t_B = \frac{1}{2} \delta_{AB}$, the transpose in $\bar{\psi}$ acts on spinor (but not color) indices, $\lambda = g^2 N > 0$ is the 't Hooft coupling, and $N \geq 2$. We are ultimately interested in the $m = 0$ theory, but we will use the mass term to regulate low-momentum divergences in the Feynman diagram calculations.

The $\text{tr} [\bar{\psi} \psi] \text{tr} [\bar{\psi} \psi]$ term is an adjoint Majorana version of the four-Fermi interaction in the Gross-Neveu model [31]. Our sign of the λ_m term in Eq. (4) matches that of Gross and Neveu, who fixed the sign of the four-fermion coupling in their model by requiring it to match the effective coupling that would be induced by integrating out a heavy scalar ϕ with a Yukawa coupling to the mass operator, which takes the form $\int d^2x y \phi \text{tr} \bar{\psi} \psi$ in QCD[Adj]. We also chose the sign of the $\text{tr} [\bar{\psi} \gamma^\mu \psi \bar{\psi} \gamma_\mu \psi]$ term to match the standard sign of the four-fermion coupling in the Thirring model [30].¹ Happily, these two choices are nicely correlated with each other in QCD[Adj] thanks to the fact that for $N = 2$ [21]

$$\text{tr} [\bar{\psi} \gamma^\mu \psi \bar{\psi} \gamma_\mu \psi] = -\text{tr} [\bar{\psi} \psi] \text{tr} [\bar{\psi} \psi]. \quad (5)$$

This also means that for $N = 2$ there is only one independent symmetry-preserving four-fermion operator.

Before moving on, we should note that it is sometimes possible for four-fermion terms to have the “wrong” sign without physical problems. This is famously the case for the Thirring coupling g_t for a single Dirac fermion, which

¹Our sign choices are also compatible with the sign choices of Ref. [36], which studied the β functions of classically marginal couplings, including some four-fermion couplings, in generalized Schwinger models with N fermions. We also note that Ref. [37] recently calculated the β functions of four-fermion deformations of some 2D chiral gauge theories, while Ref. [38] studied four-fermion couplings in the one-flavor charge N Schwinger model.

can be negative as long as $g_t > -\pi/2$. While we will focus on $\lambda_j \geq 0$ and $\lambda_m \geq 0$, it would be interesting to understand whether there are well-motivated UV completions of 2D adjoint QCD that yield negative values for these couplings.

Finally, we note that the fermion ψ can be decomposed into right- and left-moving components, R and L , respectively, which in this basis takes the form

$$\psi = \frac{1}{\sqrt{2}} \left(R \begin{pmatrix} 1 \\ i \end{pmatrix} + L \begin{pmatrix} i \\ 1 \end{pmatrix} \right). \quad (6)$$

This leads to a useful alternate form of the four-Fermi interactions:

$$\begin{aligned} \text{tr}[\bar{\psi}\gamma^\mu\psi\bar{\psi}\gamma_\mu\psi] &= 4\text{tr}[LLRR], \\ \text{tr}[\bar{\psi}\psi]\text{tr}[\bar{\psi}\psi] &= -4\text{tr}[LR]\text{tr}[LR]. \end{aligned} \quad (7)$$

III. CALCULATING BETA FUNCTIONS

Our goal is to calculate the β functions of λ_j and λ_m to one-loop accuracy. The gauge coupling is dimensionful while λ_j and λ_m are dimensionless, so gluons cannot contribute to the β functions of λ_j and λ_m at any finite loop order. Therefore, we do not consider gluon loops in this section.

We calculate the β function in two different ways: from the operator product expansion (OPE) (see, e.g., Ref. [39]) and from standard considerations of one-loop Feynman diagrams. The agreement of the results provides a check on our calculations.

A. Beta functions from OPEs

Let us define the operators

$$\begin{aligned} \mathcal{O}_j &= \frac{2}{N} : \text{tr}[LLRR] :, \\ \mathcal{O}_m &= \frac{4}{N^2} : \text{tr}[LR]\text{tr}[LR] :, \end{aligned} \quad (8)$$

where $::$ denotes normal ordering. The β functions for λ_j and λ_m are then given by² [39]

$$\begin{aligned} \beta_j &= \pi(c_{jj}^j\lambda_j^2 + 2c_{mj}^j\lambda_m\lambda_j + c_{mm}^j\lambda_m^2), \\ \beta_m &= \pi(c_{jj}^m\lambda_j^2 + 2c_{mj}^m\lambda_m\lambda_j + c_{mm}^m\lambda_m^2), \end{aligned} \quad (9)$$

where c_{IJ}^K are the OPE coefficients

²We thank Diego Delmastro for introducing us to this method.

$$\mathcal{O}_I(z)\mathcal{O}_J(0) = \sum_K \frac{c_{IJ}^K \mathcal{O}_K(0)}{z^{\Delta_I + \Delta_J - \Delta_K}} + \dots, \quad (10)$$

where $I, J, K = j, m$ and here $\Delta_I = 2$ for all I .

When $m = 0$, the propagators are

$$\begin{aligned} \langle L^a_b(z)L^c_d(0) \rangle &= \frac{1}{4\pi iz} \left(\delta_d^a \delta_b^c - \frac{1}{N} \delta_b^a \delta_d^c \right) \\ &= -\langle R^a_b(z)R^c_d(0) \rangle, \end{aligned} \quad (11)$$

where $a, b, c, d = 1, \dots, N$ are fundamental color indices. To determine the OPE coefficients, we use Wick's theorem to evaluate contractions in products of \mathcal{O}_j and \mathcal{O}_m and find

$$\begin{aligned} \mathcal{O}_j(z)\mathcal{O}_j(0) &= \frac{1}{z^2} \left(-\frac{1}{4\pi^2} \mathcal{O}_j(0) \right) + \dots, \\ \mathcal{O}_m(z)\mathcal{O}_j(0) &= \frac{1}{z^2} \left(\frac{1}{N^2\pi^2} \mathcal{O}_j(0) - \frac{1}{2\pi^2} \mathcal{O}_m(0) \right) + \dots, \\ \mathcal{O}_m(z)\mathcal{O}_m(0) &= \frac{1}{z^2} \left(-\frac{1-3/N^2}{\pi^2} \mathcal{O}_m(0) \right) + \dots. \end{aligned} \quad (12)$$

Note that \mathcal{O}_m does not appear in the $1/z^2$ part of the contraction of $\mathcal{O}_j(z)\mathcal{O}_j(0)$, and vice versa. Applying Eq. (9), we find

$$\begin{aligned} \beta_j &= -\frac{\lambda_j}{\pi} \left(\frac{1}{4}\lambda_j - \frac{2}{N^2}\lambda_m \right), \\ \beta_m &= -\frac{\lambda_m}{\pi} \left(\left(1 - \frac{3}{N^2} \right) \lambda_m + \lambda_j \right) \end{aligned} \quad (13)$$

and observe that there is neither a λ_m^2 term in β_j nor a λ_j^2 term in β_m .

B. Beta functions from Feynman diagrams

We check Eq. (13) by calculating β_j and β_m using standard Feynman diagram methods with the Feynman rules in Fig. 1. Dropping the gauge field (since it does not contribute to our calculation), we express the action with counterterms in dimension $d = 2 - \epsilon$ as

$$\begin{aligned} S &= \int d^d x \frac{1}{2} \psi_A^T \gamma (\not{\partial} + m) \psi_A + \frac{1}{2} \psi_A^T \gamma (\delta_\psi \not{\partial} + \delta_m) \psi_A \\ &\quad - \frac{1}{2N} \mu^\epsilon \lambda_j \text{tr}[\psi^T \gamma^i \psi \psi^T \gamma_i \psi] - \frac{1}{N^2} \mu^\epsilon \lambda_m \text{tr}[\psi^T \gamma \psi] \text{tr}[\psi^T \gamma \psi] \\ &\quad - \frac{1}{2N} \mu^\epsilon \delta_{\lambda_j} \text{tr}[\psi^T \gamma^i \psi \psi^T \gamma_i \psi] - \frac{1}{N^2} \mu^\epsilon \delta_{\lambda_m} \text{tr}[\psi^T \gamma \psi] \text{tr}[\psi^T \gamma \psi], \end{aligned} \quad (14)$$

where $A = 1, \dots, N^2 - 1$ is an adjoint color index and the mass scale μ has been introduced so that λ_j and λ_m are dimensionless. We include the fermion mass to regulate IR

divergences and take $m \rightarrow 0$ and the end. The β functions can be built from

$$\Lambda_j(\lambda_j, \lambda_m) \equiv \lambda_j \frac{Z_{\lambda_j}}{Z_\psi^2}, \quad \Lambda_m(\lambda_j, \lambda_m) \equiv \lambda_m \frac{Z_{\lambda_m}}{Z_\psi^2}, \quad (15)$$

where the Z 's are related to the δ 's by

$$\delta_\psi = Z_\psi - 1, \quad \delta_{\lambda_{j,m}} = \lambda_{j,m} (Z_{\lambda_{j,m}} - 1). \quad (16)$$

The β functions can then be obtained from the system of equations (see, e.g., [40])

$$\begin{aligned} 0 &= \epsilon \Lambda_j + \beta_j \frac{\partial \Lambda_j}{\partial \lambda_j} + \beta_m \frac{\partial \Lambda_j}{\partial \lambda_m}, \\ 0 &= \epsilon \Lambda_m + \beta_j \frac{\partial \Lambda_m}{\partial \lambda_j} + \beta_m \frac{\partial \Lambda_m}{\partial \lambda_m}. \end{aligned} \quad (17)$$

We evaluate bubble diagrams involving λ_m and λ_j of the form shown in Fig. 2 using the Feynman rules in Fig. 1 and simplify the results using standard SU(N) identities (see, e.g., [41]) to obtain

$$\begin{aligned} \delta_\psi &= 0, \\ \delta_{\lambda_j} &= -\frac{1}{4\pi\epsilon} \lambda_j^2 + \frac{2}{N^2 \pi\epsilon} \lambda_j \lambda_m, \\ \delta_{\lambda_m} &= -\frac{1-3/N^2}{\pi\epsilon} \lambda_m^2 - \frac{1}{\pi\epsilon} \lambda_j \lambda_m. \end{aligned} \quad (18)$$

The resulting β functions exactly match Eq. (13). As another consistency check, we note that, when $N = 2$, β_j and β_m coincide, in the sense that if we turn off, e.g., λ_m when calculating β_j and vice versa, we get the same result.

$$\begin{aligned} \langle \psi_A^r (\psi_B^T)^s \rangle &= \psi_A^r \xrightarrow{k} \psi_B^s = \left(\frac{1}{\gamma(-i\mathbf{k} + m)} \right)^{rs} \delta_{AB} \\ &= \frac{2}{N^2} \mu^t \lambda_m \{ \gamma^{rs} \gamma^{tu} \delta_{AB} \delta_{CD} - \gamma^{rt} \gamma^{su} \delta_{AC} \delta_{BD} + \gamma^{ru} \gamma^{st} \delta_{AD} \delta_{BC} \} \\ &= \frac{1}{2N} \mu^t \lambda_j \{ \gamma_i^{rs} \gamma_i^{tu} [\frac{2}{N} (-\delta_{AC} \delta_{BD} + \delta_{AD} \delta_{BC}) - d_{ACE} d_{BDE} + d_{ADE} d_{BCE}] \\ &\quad - \gamma_i^{rt} \gamma_i^{su} [\frac{2}{N} (-\delta_{AB} \delta_{CD} + \delta_{AD} \delta_{CB}) - d_{ABE} d_{CDE} + d_{ADE} d_{CBE}] \\ &\quad + \gamma_i^{ru} \gamma_i^{st} [\frac{2}{N} (-\delta_{AB} \delta_{DC} + \delta_{AC} \delta_{DB}) - d_{ABE} d_{DCE} + d_{ACE} d_{DBE}] \} \end{aligned}$$

FIG. 1. Feynman rules for the action in Eq. (14). Here, $A, B, C, D, E = 1, \dots, N^2 - 1$ are adjoint color indices, r, s, t , and u are spinor indices, i is a Lorentz index, d_{ABC} are the totally symmetric structure constants of SU(N), and summation over repeated indices is implied.

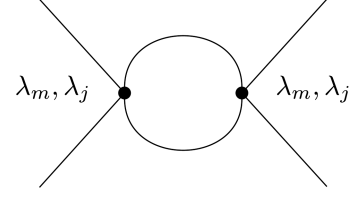


FIG. 2. The one-loop Feynman diagrams needed for our calculation of the β functions all take the basic form sketched in this diagram.

This is consistent with the fact that when $N = 2$ the \mathcal{O}_j and \mathcal{O}_m operators are identical.

IV. THE LONG-DISTANCE LIMIT

We now discuss the implications of our results for the long-distance physics of 2D QCD[Adj]. We first discuss the behavior of the couplings in the long-distance limit in Sec. IV A and then summarize the consequences for confinement in Sec. IV B.

A. Renormalization group flow

We first observe that the β functions in Eq. (13) say that if at the short-distance cutoff we have $\lambda_j > 0$ but $\lambda_m = 0$, then λ_m remains zero in the IR as long as the one-loop approximation can be trusted, and vice versa. The fact that turning on λ_j does not generate λ_m is expected from symmetry considerations, because the theory with $\lambda_m = 0$ has an enhanced (noninvertible) symmetry [22]. But the fact that turning on λ_m does not induce the λ_j coupling cannot be explained by any known symmetry, so this seems likely to be a one-loop artifact.

For $N = 2$ there is only one independent four-fermion coupling consistent with the invertible symmetries of 2D QCD[Adj], and it is asymptotically free. It flows to strong coupling at long distances, so if it is generated with a small positive coefficient at short distances, it will have important quantitative effects in the IR. Whether it also has important qualitative effects is tied up with the anomaly structure of the theory, as we will discuss below.

The RG flow of the λ_j and λ_m couplings for $N = 3$ is illustrated in Fig. 3. As one would expect from a continuum analysis of a superrenormalizable QFT, if $\lambda_m = \lambda_j = 0$ at the short-distance cutoff, then these couplings remain zero as one goes to long distances. However, if $\lambda_m > 0$ at short distances, then it increases in the long-distance limit regardless of the value of λ_j . The behavior of λ_j depends on the relative sizes of λ_j and λ_m at the short-distance cutoff. If λ_j is sufficiently large compared to λ_m at the cutoff, then both λ_j and λ_m increase as we flow to long distances. If λ_j is much smaller than λ_m at the cutoff, then λ_j decreases as we flow to long distances, while λ_m increases. However, the one-loop approximation breaks down once either coupling becomes large, and so at finite N a perturbative calculation

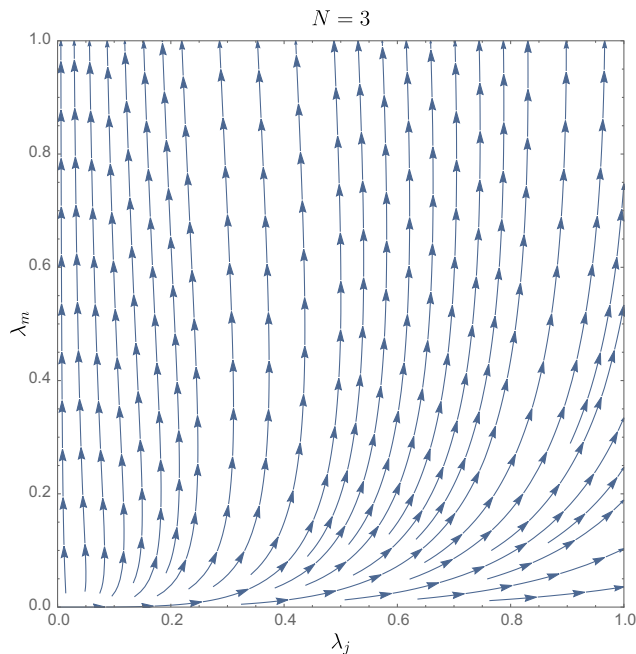


FIG. 3. One-loop renormalization group flow of the four-fermion couplings λ_m and λ_j of 2D $N = 3$ QCD[Adj], with the arrows pointing toward the long-distance limit. The λ_m coupling always grows as we flow to the long-distance limit, while the behavior of λ_j depends on the starting point in parameter space. While the plot suggests that λ_j flows to zero if $\lambda_j \ll \lambda_m$, this conclusion is not reliable, because λ_m becomes large in the same limit, leading to a breakdown of the one-loop approximation.

is not sufficient to determine the long-distance fate of λ_j when $0 < \lambda_j \ll \lambda_m$ at the short-distance cutoff.

In the large N limit, the situation simplifies: The double-trace coupling λ_m does not affect the RG flow of the single-trace coupling λ_j . Therefore, in the large N limit our one-loop analysis allows us to conclude that *both* λ_j and λ_m flow to strong coupling in the long-distance limit, and it is natural to expect $1/N$ corrections to be quantitatively small already at $N = 3$ in this model with adjoint matter, where the large N expansion goes in powers of $1/N^2$.

We can summarize our discussion of the RG flow by saying that if some small positive λ_j , λ_m four-fermion couplings are induced from short-distance effects, then they become large in the long-distance limit and must be taken into account to understand the long-distance physics.

B. Consequences for confinement

To understand the qualitative consequences of the discussion above, we have to review the 't Hooft anomalies of 2D QCD[Adj]. We first recall that the lowest critical dimension for a discrete 1-form symmetry is $d = 3$ [24], so it is generally natural to expect 2D gauge theories with \mathbb{Z}_N symmetries to confine test quarks, since their 1-form symmetry cannot be spontaneously broken; see Ref. [42] for a beautiful effective field theory explanation as well as

Ref. [43] for an earlier closely related discussion. However, there is an important subtlety: In $d = 2$, if a \mathbb{Z}_N 1-form symmetry has a mixed 't Hooft anomaly with a 0-form symmetry, then both symmetries will be at least partially spontaneously broken on \mathbb{R}^2 , with some subtle features like the presence of “universes” [22,44]. This is nicely illustrated by the behavior of the charge N Schwinger model [22,38,45], which is in a fully deconfined phase on \mathbb{R}^2 because the rank of its \mathbb{Z}_N 0-form chiral symmetry precisely matches the rank of its \mathbb{Z}_N 1-form symmetry, and these two symmetries have a mixed 't Hooft anomaly.

Since 2D QCD[Adj] has only a \mathbb{Z}_2 chiral symmetry, the invertible symmetries leave no room for this sort of anomaly-driven complete deconfinement for $N > 2$. (If N is even, there is a mixed chiral/1-form anomaly that leads to Wilson loops in representations with N -ality $N/2$ to be deconfined [21].) However, as we already mentioned, 2D QCD[Adj] has an exotic noninvertible symmetry so long as $\lambda_m = 0$ and $m = 0$ [22]. The topological line operators generating this noninvertible symmetry carry all possible charges under the \mathbb{Z}_N 1-form symmetry, and this leads to the same type of anomaly-driven complete deconfinement seen in the charge N Schwinger model.

Komargodski *et al.* observed that turning on a small λ_m coupling produces a nonvanishing string tension [22] proportional to λ_m . However, if λ_m were marginally irrelevant, this λ_m -induced string tension would vanish in the long-distance limit. But here we have shown that λ_m is marginally *relevant*. This implies that if a tiny $\lambda_m > 0$ is induced from some short-distance regularization, then it will grow at long distances, badly breaking the noninvertible symmetry and inducing confinement with a string tension set by some combination of the 't Hooft coupling λ and the strong scale Λ_m of λ_m . Similarly, if a small $\lambda_j > 0$ is induced in the action of 2D QCD[Adj] from some short-distance physics, we expect that, e.g., the particle masses will be sensitive to the strong scale Λ_j associated to λ_j , in addition to their sensitivity to the dimensionful 't Hooft coupling λ .

In summary, the four-fermion interactions of 2D QCD[Adj] can have important quantitative and qualitative effects on the long-distance physics, for example, driving massless 2D QCD[Adj] to confine fundamental test quarks for any $N > 2$. If λ_j and λ_m are induced in the effective action of 2D QCD[Adj] from some short-distance physics, they must be carefully taken into account when studying the long-distance correlation functions of the theory.

V. FOUR-FERMION OPERATORS AND THE LATTICE

There are several ways to quantitatively explore the long-distance physics of 2D QCD[Adj]. One approach is to work on the light cone, using either discretized light-cone quantization [1–3,5,46,46–49] or light-cone conformal truncation [50,51]. These methods have the advantage that

they appear to preserve the noninvertible 0-form symmetry of 2D QCD[Adj]; see the discussion in, e.g., Ref. [52] regarding the decoupling of left- and right-handed excitations in light of the results of Ref. [22]. The light-cone approaches also have the nice feature of being relatively inexpensive numerically, at least at large N . They also have some disadvantages, ranging from challenges with directly studying spontaneous symmetry breaking using light-cone methods—see, e.g., Refs. [53–56]—as well as difficulties with calculating correlation functions of large Wilson loops, which are the most natural observables for studying the physics of confinement and the realization of the \mathbb{Z}_N 1-form symmetry.

Another approach, which is especially widely used to study gauge theories in $d > 2$, is to perform numerical Monte Carlo calculations of Euclidean correlation functions using lattice gauge theory. So far, the only lattice gauge theory calculations of 2D adjoint one-flavor QCD in the massless limit is Ref. [57], which constructed a remarkable Hamiltonian lattice discretization that correctly captures all of the invertible symmetries of the model, including chiral symmetry. However, the numerical analysis of Ref. [57] focused on $N = 2$, where (a) λ_m is equivalent to λ_j , and (b) the model is deconfined simply due to the anomalies of the invertible symmetries. The continuum-limit behavior of $N > 2$ QCD[Adj] defined on spacetime (or for that matter spatial) lattices is not yet fully clear.

As discussed above, if we set $\lambda_m = \lambda_j = 0$ in the classical Lagrangian of the continuum theory, then these couplings stay zero in the quantum theory by dimensional analysis. But it is less clear what would happen to λ_j and λ_m if we start with a lattice discretization. The lattice brings in another dimensionful parameter, the lattice spacing a , and necessarily breaks some spacetime symmetries, such as translation symmetry. It also often breaks or modifies some internal symmetries, such as chiral symmetry [34]. Can four-fermion interaction terms that cannot be generated in a continuum field theory be radiatively generated when such a theory is discretized?

A naive massless lattice fermion action leads to 2^d massless “doubler” fermions in the continuum limit; see, e.g., [34,58,59]. There are several known ways around this, but all of them do something subtle to chiral symmetry. For example, let us consider Wilson lattice fermions [58]. The idea of Wilson fermions is to add a (dangerously) irrelevant term to the fermion action which explicitly breaks chiral symmetry and also breaks the degeneracy between the fermion doubler modes, so that the continuum action resulting from coarse graining the lattice action becomes (in a continuum notation)

$$S_W = \int d^d x \left[\frac{1}{2g^2} \text{tr} f_{\mu\nu}^2 + \text{tr} \bar{\psi} \not{D} \psi + m \text{tr} \bar{\psi} \psi + r \text{tr} \bar{\psi} \not{D}^2 \psi + \dots \right], \quad (19)$$

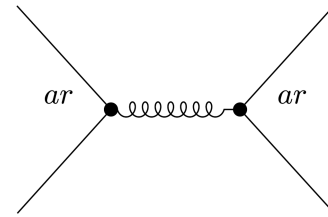


FIG. 4. A tree-level gluon-exchange diagram from the Wilson term in Eq. (19), which leads to an effective $\lambda_j \sim r^2 g^2 a^2$.

where the r term is the Wilson term, a is the lattice spacing, and \dots stands for other terms induced by coarse graining the lattice action. For generic values of m , one gets 2^d heavy fermions with mass $m \sim 1/a$ in the continuum limit. However, it is known that one can tune the bare quark mass m to get a single light or massless physical quark in the continuum limit, while the extra $2^d - 1$ doubler quarks remain heavy, with masses $\sim 1/a$.

It is easy to verify that the extra terms hiding in \dots include four-fermion interactions. In particular, it is easy to check that the *tree-level* gluon exchange diagram in, e.g., Fig. 4 produces an effective $\lambda_j \sim r^2 g^2 a^2$ interaction. This should not be surprising, because no symmetry forbids such an interaction, and the extra dimensionful scale a invalidates the naive continuum argument for the impossibility of gluon loops producing classically marginal local four-fermion interactions. It is natural to expect that higher-order diagrams induced by the Wilson term also produce the λ_m coupling, since λ_m is also not forbidden by either symmetries or dimensional analysis arguments. While these effective four-fermion couplings λ_j and λ_m will necessarily appear suppressed by positive powers of ga , and, hence, appear to be small near the continuum limit where $ga \ll 1$, these couplings run and can become large at long distances. Tuning m to reach the chiral limit clearly does not generically tune four-fermion couplings to zero. Therefore, if one were to formulate 2D QCD[Adj] on the lattice using Wilson fermions, one would study the physics of Eq. (2) with nonzero four-fermion couplings λ_j and λ_m . To avoid this, one would have to turn on λ_j and λ_m in the bare lattice action and fine-tune them.

To show that Wilson fermions are not special in inducing four-fermion interactions in lattice field theories—even though it appears to be impossible to induce such interactions when naively thinking about the continuum theory—let us consider an even simpler example: a massless Euclidean 2D Dirac fermion Ψ with a current-current Thirring interaction term,

$$S_\Psi = \int d^2 x [\bar{\Psi} \not{D} \Psi + g_t (\bar{\Psi} \gamma^\mu \Psi)^2]. \quad (20)$$

This simple field theory has the standard vector and axial symmetries $U(1)_V$ and $U(1)_A$, and the Thirring coupling g_t is

exactly marginal and does not run [30]. After gauging fermion parity $(-1)^F$ (which just means summing over periodic and antiperiodic conditions on, e.g., a spacetime torus), it is known that the Thirring model gets a dual description as a scalar field theory [60–62]

$$S_\varphi = \int d^2x \frac{R^2}{4\pi} (\partial_\mu \varphi)^2, \quad (21)$$

where $R^2 = \frac{1}{2} + \frac{g_t}{\pi}$, the scalar φ is compact $\varphi = \varphi + 2\pi$, $j_V^\mu = \bar{\Psi} \gamma^\mu \Psi \sim i\epsilon^{\mu\nu} \partial_\nu \varphi$, and $j_A^\mu = \bar{\Psi} \gamma^5 \gamma^\mu \Psi \sim \partial^\mu \varphi$. The vanishing of the β function for g_t translates to parameter R being marginal, which is indeed clear by inspection of the free-field action in Eq. (21).

It is known that 2D compact scalars with R and $1/R$ are T -dual to each other, in the sense that physics at R is the same as at $1/R$ with φ exchanged with its dual field θ , related via $\partial_\mu \varphi = R^{-2} \epsilon_{\mu\nu} \partial^\nu \theta$. The T -dual action is

$$S_\theta = \int d^2x \frac{1}{4\pi R^2} (\partial_\mu \theta)^2. \quad (22)$$

The 2π periodic field θ transforms by shifts under $U(1)_V$, while its winding current $i\epsilon^{\mu\nu} \partial_\nu \theta$ is identified with $U(1)_A$.

The ‘‘Dirac point’’ $R = \sqrt{1/2}$, which corresponds to $g_t = 0$, enjoys a somewhat exotic enhanced 0-form symmetry. In 2D, 0-form symmetries are generated by topological line operators. It is known that, when $R = \sqrt{1/k}$ for $k \in \mathbb{Z}$, S_θ is self-dual under the combination of gauging $\mathbb{Z}_k \in U(1)_V$ and performing T -duality. As a result, there exists a topological line operator \mathcal{N}_k associated with performing these transformations in, e.g., half of spacetime [63–66]. The topological line operator \mathcal{N}_k is a symmetry generator despite not being invertible for $k \neq 1$ (see, e.g., Ref. [67] for a nice review). This means that no matter how we complicate the continuum model by, e.g., adding interactions, as long as $\mathbb{Z}_2 \in U(1)_V$ and T -duality remain unbroken, the line operator \mathcal{N}_2 will remain topological, and the point $R = \sqrt{1/2}$ will be protected by the noninvertible symmetry associated with \mathcal{N}_2 . This gives a symmetry-based way to understand why g_t remains zero at all length scales if it is set to zero at short distances.

Can R —and, hence, g_t —get renormalized if the short-distance version of the model is defined on a spacetime lattice? To understand why the answer is yes, let us discretize, e.g., S_θ using the Villain formalism

$$S_{\text{lat}} = \sum_\ell \frac{1}{4\pi R_{\text{lat}}^2} [(d\theta)_\ell - 2\pi n_\ell]^2, \quad (23)$$

where $\theta_s \in \mathbb{R}$, $n_\ell \in \mathbb{Z}$, $(d\theta)_\ell = \theta_{s+\ell} - \theta_s$, s and ℓ denote sites and links, respectively, and R_{lat} is the lattice analog of R . This action has a discrete gauge redundancy $\theta_s \rightarrow \theta_s + 2\pi k_s$, $n_\ell \rightarrow n_\ell + (dk)_\ell$ with $k_s \in \mathbb{Z}$, so that n_ℓ is a discrete

gauge field associated with 2π shifts of θ . The $U(1)_V$ symmetry acts by constant shifts of θ_s , but, as is common in lattice discretizations of continuum field theories with chiral symmetry, $U(1)_A$ is not preserved at finite lattice spacing. To see this, note that the chiral charge Q_A within a region bounded by a closed curve C is the winding number of θ :

$$Q_A(C) = -\frac{1}{2\pi} \sum_{\ell \in C} [(d\theta)_\ell - 2\pi n_\ell] \in \mathbb{Z}. \quad (24)$$

Unfortunately, the path integral involves sums over all possible n_ℓ , and so Q_A is not conserved with this lattice discretization.

We can now understand why the point $R_{\text{lat}} = \sqrt{1/2}$ does not have any enhanced symmetry on the lattice. The appearance of an enhanced symmetry at $R = \sqrt{1/2}$ in the continuum model required both $U(1)_V$ symmetry and T -duality. But while the lattice model in Eq. (23) preserves $U(1)_V$, it does not preserve T -duality: For small R_{lat} the model is gapped, while for large R_{lat} the physics is completely different and the model is gapless; see, e.g., [68,69]. Given that $R_{\text{lat}} = \sqrt{1/2}$ does not have any enhanced symmetries, R_{lat} should get renormalized as we go to the continuum limit. Reaching a continuum limit with $g_t = 0$ should require tuning R_{lat} to a bare value which is *not* $R_{\text{lat}} = \sqrt{1/2}$.

That this renormalization really does occur can be read off from numerical and analytic lattice calculations [68,69]. A continuum analysis based on Eq. (22) implies that ‘‘vortex operators’’ $e^{i\theta}$ become relevant when R hits the enhanced-symmetry value 2. If the relation $R_{\text{lat}} = R$ were to hold, then when R_{lat} goes through 2, the system should go through a Berezinskii-Kosterlitz-Thouless transition, from a conformal field theory phase with an emergent $U(1)_A$ symmetry to a gapped phase with no $U(1)_A$ symmetry. However, the critical value of R_{lat} is known to be [68,69]

$$R_{\text{lat}} \approx 2.16 > 2. \quad (25)$$

So R_{lat} —and, hence, also $(g_t)_{\text{lat}}$ —is indeed renormalized in the lattice theory, despite what one might have expected when considering the continuum theory.

This section illustrates the familiar lesson that parameters can get renormalized differently in lattice versus continuum field theories. To prevent a parameter from being renormalized, it must be a point of enhanced symmetry in whichever theory one considers, be it in the continuum or on the lattice. In particular, when studying QCD[Adj] using lattice Monte Carlo methods, one should interpret the bare values of λ_j and λ_m as part of its parameter space, and studying any particular point in the physical

(λ_j, λ_m) plane is likely to require fine-tuning of the bare parameters.

VI. CONCLUSIONS

Two-dimensional QCD with one massless adjoint fermion is an interesting setting for studying confinement, with surprisingly rich and subtle physics. These subtleties include a rich set of symmetries and anomalies, including ones that involve an exotic noninvertible symmetry [22]. These anomalies can have the surprising result of driving 2D QCD[Adj] into a deconfined phase characterized by a spontaneously broken \mathbb{Z}_N 1-form symmetry [1–3,5,22,32,33]. Another interesting subtlety is the possibility of adding two classically marginal four-fermion interaction terms to the action of the theory for $N > 2$.

In this paper, we have explored the interplay of these subtleties by viewing QCD[Adj] as a Wilsonian effective field theory, where the dimensionless four-fermion couplings λ_j and λ_m must be interpreted as part of its parameter space. These couplings can easily be produced by short-distance physics such as a lattice regularization, as we have discussed in Sec. V. Even if they appear with small (positive) values, these couplings turn out to run and generically become large at long distances, as discussed in Sec. III. Therefore, 2D adjoint QCD can be viewed as a theory with *three* dimensional parameters: the 't Hooft coupling λ and the strong scales Λ_j and Λ_m associated with λ_j and λ_m [21], rather than just one dimensional coupling λ , as was done historically.

Our results are likely to be helpful for lattice Monte Carlo studies of 2D QCD[Adj] on spacetime lattices. Without careful fine-tuning, these numerical simulations are likely to probe the physics of adjoint QCD with nonzero values of λ_j and λ_m . One way to check whether, e.g., the λ_m coupling is generated in a lattice simulation is to calculate

$$\langle \text{tr} \bar{\psi} \psi \text{tr} \bar{\psi} \psi \rangle. \quad (26)$$

This expectation value must vanish in a QFT that enjoys the noninvertible symmetry uncovered in Ref. [22] in the

infinite volume limit.³ But if $\lambda_m = 0$ in the bare lattice action while $\langle \text{tr} \bar{\psi} \psi \text{tr} \bar{\psi} \psi \rangle \neq 0$ even in the chiral limit, then one can conclude that the noninvertible symmetry is explicitly broken and the λ_m term has been radiatively generated. One can quantify the size and sign of the radiatively generated λ_m by turning on a bare λ_m coupling and tuning it to get (26) to vanish. However, as we argued in Sec. V, it is natural to expect the coefficients of the four-Fermi terms to be small near the continuum limit, scaling as $\sim (ga)^p$, $p > 1$. Therefore, it is likely to be easiest to examine (26) on small lattices with $ga \sim 1$, i.e., far from the continuum limit. After that, one could explore the behavior of (26) near the continuum limit in large boxes with characteristic size $L \gg g^{-1}$.

The fact that λ_j and λ_m can get large in the long-distance limit implies that, e.g., the continuum-limit particle spectra produced from spacetime lattice Monte Carlo simulations may differ appreciably from the particle spectra that have been extracted from light-cone calculations with $\lambda_j = \lambda_m = 0$, unless the Monte Carlo simulations are carefully fine-tuned. In future work, it would be interesting to explore whether, e.g., domain-wall or overlap fermion lattice actions induce $\lambda_m \neq 0$ and $\lambda_j \neq 0$ as one goes to the continuum limit as well as to numerically explore what happens to the spectrum as one dials λ/Λ_j and λ/Λ_m .

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³Whether noninvertible symmetries lead to simple selection rules in finite volume depends on the spacetime topology, and, in the particular case of a 0-form noninvertible symmetry, there is no simple selection rule when spacetime is a torus; see, e.g., Appendix A in Ref. [70].

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