Separation-of-charge confinement and the Higgs transition in SU(3) gauge-Higgs theory

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With SU(3) gauge-Higgs theory as an example, we examine critically the idea that the confinement property in an SU(N) gauge-Higgs theory, with the Higgs field in the fundamental representation, persists in an unbroken SU(N-1) subgroup.

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I. INTRODUCTION

It has been argued elsewhere [[1\]](#page-10-0) that the transition to the Higgs phase of an SU(N) gauge-Higgs theory, with the Higgs field in the fundamental representation of the gauge group, is characterized by the spontaneous breaking of the global center subgroup of the gauge group, with an associated nonlocal order parameter which is closely analogous to the Edwards-Anderson order parameter for a spin glass [\[2\]](#page-10-1). In the absence of a massless phase, the transition to the Higgs phase is accompanied by a transition in confinement type, from "separation-of-charge" (S_c) confinement in the confinement phase to a weaker "color" (C) confinement property in the Higgs phase. SU(3) gauge-Higgs theory is a good testing ground for these assertions, in particular because of the idea that in this theory the SU(3) gauge symmetry is broken to SU(2), so that in some way the confinement property of the SU(3) theory is retained in a subgroup. The purpose of this article is to examine this idea critically; the object is to study whether the concept of separation-of-charge confinement applies to color charges (static "quark" sources) in color directions orthogonal to the color orientation of the Higgs field.

In Sec. [II](#page-0-0) we review the order parameter for the confinement-to-Higgs transition presented in Ref. [[1](#page-10-0)], define and contrast the S_c and C varieties of confinement, and expand on the nature of the problem we address. Section [III](#page-4-0) is devoted to the results of our numerical simulations of SU(3) gauge-Higgs theory concerning symmetry breaking and confinement. Section [IV](#page-9-0) contains our conclusions.

II. CONFINEMENT IN GAUGE-HIGGS THEORIES

In this article the expression "gauge-Higgs theory" will refer specifically to SU(N) gauge theories with a single Higgs field in the fundamental representation of the gauge group. For convenience we impose a unimodular constraint $|\phi|=1$ on the Higgs field. Gauge-Higgs theories in the Higgs phase, and theories with the unimodular constraint in particular, are probably either trivial in the continuum limit or at least require extreme fine-tuning [[3](#page-10-2)–[5](#page-10-3)]. This fact will not concern us here. We are content to regard these models as effective theories of some kind and simply explore their properties toward the decoupling limit, where some of the SU(3) degrees of freedom freeze out and the model reduces to a pure SU(2) gauge theory. The question is whether confinement in the separation-of-charge sense exists in the Higgs phase in an $SU(2)$ subgroup, and if not, how this property is regained in the decoupling limit.

We will be especially concerned with the spontaneous breaking of the global Z_3 center subgroup of the SU(3) gauge group. This global symmetry, like the larger local symmetry, is an invariance of the Lagrangian. However, unlike the larger symmetry, only the matter fields $\phi(x) \rightarrow z\phi(x), z \in Z_3$ transform under this global Z_3 symmetry; while the lattice gauge fields $U_k(x) \rightarrow$ $zU_k(x)z^{\dagger} = U_k(x)$ are unchanged,

A. Separation-of-charge confinement

The property of confinement in a gauge theory, with either no matter fields or with only matter fields of zero N-ality, can be formulated in this way: Let us consider, in lattice regularization, physical states of the form

$$
|\Psi_V\rangle \equiv \bar{\psi}^a(x)V^{ab}(x,y;U)\psi^b(y)|\Psi_0\rangle, \qquad (1)
$$

where Ψ_0 is the ground state and $\bar{\psi}$, ψ are operators creating static fermion-antifermion sources, transforming in the fundamental representation of the gauge group, at positions x , y .

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Superscripts are color indices. The operator $V^{ab}(x, y; U)$ is a bicovariant functional of the lattice gauge field U , transforming under a gauge transformation g as follows:

$$
V^{ab}(\boldsymbol{x},\boldsymbol{y};U)\to g^{ac}(\boldsymbol{x})V^{cd}(\boldsymbol{x},\boldsymbol{y};U)g^{\dagger db}(\boldsymbol{y}).\qquad(2)
$$

If the energy expectation value above the vacuum energy

$$
E_V(R) = \langle \Psi_V | H | \Psi_V \rangle - \mathcal{E}_{\text{vac}} \tag{3}
$$

diverges to infinity as $R = |x - y| \rightarrow \infty$, regardless of the choice of V, then the gauge theory is said to be confining. Of course, there is one particular V , a flux tube state of some kind, which minimizes the energy of a static quark-antiquark system, with $E_V(R) \approx \sigma R$ at large R.

The proposal in [[6\]](#page-10-4) is that the very same condition can be used as a criterion for confinement in a gauge theory with matter fields in the fundamental representation of the gauge group. We call this property separation-of-charge or " S_c " confinement. We are considering physical states containing isolated sources whose color charge is not screened by the matter field, and for this purpose it is understood that the $V(x, y; U)$ operator is a functional of the gauge field alone, with no dependence on matter fields. In QCD, $q\bar{q}$ states of this kind would contain, in addition to color electric fields collimated into flux tubes, also detectable Abelian electric fields emanating from fractional electric charges at points x , y, rather than emanating from a set of integer charged particles. The point is that, while physical states containing color charges unscreened by matter fields may be challenging to produce in practice and, if produced, would decay very rapidly into ordinary hadrons, states of this kind do exist in the physical Hilbert space, and it is reasonable to consider how their energy varies as the separation between the color sources increases. Indeed, if we imagine pair production followed by a very rapid separation of the quark and antiquark, then we expect that momentarily the physical state of the separated $q\bar{q}$ pair would have the form (1) . In the S_c phase the energy of the unscreened state increases with separation, and this is the mechanism which underlies the existence of linear Regge trajectories in QCD. Of course, such states exist only momentarily, until string breaking sets in. In a phase without the S_c property there is no reason to expect that the optimal Ψ_V would be associated with linear Regge trajectories, so the loss of linear Regge trajectories is to be expected in a transition from a S_c phase to a C confinement phase.

The alternative to S_c confinement, for SU(N) gauge theories with matter in $D = 2 + 1$ and $3 + 1$ dimensions, is color or "C" confinement, meaning that the asymptotic spectrum consists of color neutral particles. This is a much weaker condition than S_c confinement, and in fact it holds true in gauge-Higgs theory in the Higgs regime, where there are no linear Regge trajectories or metastable flux tubes whatsoever. There will still exist $V(x, y, U)$ operators such that $E_V(R)$ diverges with R. An example is a Wilson line running between points x , y . However, in the C confining phase (which we will identify with the Higgs phase) there also exist $V(x, y, U)$ operators such that $E_V(R)$ tends to a finite limit at large R.

It can be shown that a transition from S_c confinement (the "confining" phase) to C confinement (the "Higgs" phase) must exist in the SU(N) gauge-Higgs phase diagram [\[7](#page-10-5)]. It is natural to ask whether this transition is accompanied by the breaking of some symmetry.

B. Charged states and global center gauge symmetry

A state which is charged with respect to some symmetry of the Hamiltonian is a state which transforms covariantly, as a nonsinglet, under those symmetry transformations. So we might naively expect a state which is charged with respect to the gauge group to transform under gauge transformations, e.g., a state like $\bar{\psi}^a(x)\Psi_0$, where Ψ_0 is the ground state. But states of that type violate the Gauss law constraint and are hence unphysical. Thus we are looking for a state of the form $\bar{\psi}^a(x)\xi^a(x)\Psi_0$ which is invariant under infinitesimal gauge transformations, thereby satisfying the Gauss law, but still noninvariant under some subgroup of the gauge group.

Charged states of that type can be constructed; they are states which are charged with respect to the global center subgroup of the gauge group, i.e., which transform under space-invariant gauge transformations $g(x) = g$ where g is a center element. We note again that transformations in this global subgroup will not transform the gauge field. Our first example of a charged state is a static electric charge coupled to the quantized electromagnetic field. The lowest energy eigenstate of this system is as follows [\[8](#page-10-6)]:

where

$$
\rho(\mathbf{x};A) = \exp\left[-i\frac{e}{4\pi}\int d^3z A_i(z)\frac{\partial}{\partial z_i}\frac{1}{|\mathbf{x}-z|}\right].
$$
 (5)

 $|\Psi_{\text{chro}}\rangle = \bar{\psi}(x)\rho(x)|\Psi_0\rangle,$ (4)

The operator $\rho(x; A)$ is an example of what we have called a "pseudomatter" field, namely, a nonlocal functional of the gauge field which transforms like a matter field at point x , except under transformations in the global center subgroup (GCS) of the gauge group. It is in fact obvious that such an operator is invariant under the GCS, because the gauge field itself is invariant under such transformations. In the Abelian case, consider a U(1) gauge transformation $g(\mathbf{x}) = e^{i\theta(\mathbf{x})}$ on a time slice, with $\theta(x) = \theta_0 + \tilde{\theta}(x)$, where θ_0 is the zero mode of $\theta(x)$. The ground state Ψ_0 is invariant under this mode of $\theta(x)$. The ground state Ψ_0 is invariant under this transformation, and $\bar{\psi}(x) \rightarrow \bar{\psi}e^{-i\theta(x)}$, but

$$
\rho(x;A) \to e^{i\tilde{\theta}(x)}\rho(x,A). \tag{6}
$$

As a result, $|\Psi_{\text{chrg}}\rangle$ is not entirely gauge invariant, but transforms as $|\Psi_{\text{chrg}}\rangle \rightarrow e^{-i\theta_0}|\Psi_{\text{chrg}}\rangle$. In other words, it is covariant under transformations in the U(1) global center subgroup of the $U(1)$ gauge group.

If the theory contains a single-charged scalar field, then we may construct neutral states, invariant under the GCS, such as

$$
|\Psi_{\text{neutral}}\rangle = \bar{\psi}(x)\phi(x)|\Psi_0\rangle. \tag{7}
$$

Providing the global center symmetry is unbroken, charged and neutral states are necessarily orthogonal in the confined and massless phases. But this is no longer true if the $U(1)$ GCS is spontaneously broken, in which case we lose the sharp distinction between charged and neutral states.

All of this extends to non-Abelian gauge theories. In the case of SU(N) gauge-Higgs theories, the GCS is the global Z_N center subgroup of the gauge group.¹ Then we may construct charged states in lattice gauge theory of the form

$$
|\Psi_{\text{chrg}}\rangle = \bar{\psi}^a(x)\xi^a(x;U)|\Psi_0\rangle,\tag{8}
$$

where $\xi^a(x; U)$ is a nonlocal functional of the link variables only. This functional transforms like a field in the fundamental representation of the gauge group *except* under transformations in the GCS, i.e., it is a pseudomatter field. Thus, under a gauge transformation $g(x) = z\mathbb{1}, z \in Z_N$,

$$
|\Psi_{\text{chrg}}\rangle \to z^* |\Psi_{\text{chrg}}\rangle. \tag{9}
$$

Examples of non-Abelian pseudomatter fields include the eigenstates $\zeta_n^a(x;U)$ of the covariant Laplacian operator,
 $-D^2\zeta = -\frac{1}{2}\zeta$ where² $-D^2\zeta_n = \lambda_n\zeta_n$, where²

$$
(-D^{2})_{xy}^{ab} = \sum_{k=1}^{3} \left[2\delta^{ab}\delta_{xy} - U_{k}^{ab}(x)\delta_{y,x+\hat{k}} - U_{k}^{\dagger ab}(x-\hat{k})\delta_{y,x-\hat{k}} \right].
$$
\n(10)

As in the Abelian theory, one can also construct neutral states in which the charge of the fermion is entirely shielded by the Higgs field: $|\Psi_{\text{neutral}}\rangle = \bar{\psi}^a(x)\phi^a(x)|\Psi_0\rangle$, and these are invariant with respect to the GCS. Assuming that the GCS is not spontaneously broken, then it is obvious that $\langle |\Psi_{\text{neutral}}| \Psi_{\text{chrg}} \rangle = 0$. The phase in which this is no longer true, and there is no longer a sharp distinction between charged and neutral states, is the Higgs phase.

C. Order parameter

It is not hard to construct an order parameter for the spontaneous breaking of a GCS. Define

$$
e^{-H(\phi,U)/kT]} = \langle \phi, U | e^{-\tilde{H}/kT} | \phi, U \rangle
$$

=
$$
\sum_{n} |\Psi_n(\phi, U)|^2 e^{-E_n/kT}
$$
 (11)

where H is the Hamiltonian operator, the Ψ_n are energy eigenstates, T is temperature, and $H(\phi, U)$ is a functional of the field variables. Let

$$
Z[U] = \int D\phi(x) e^{-H(U,\phi)/kT}
$$
 (12)

and introduce

$$
\bar{\phi}(x;U) = \frac{1}{Z(U)} \int D\phi \, \phi(x) e^{-H(U,\phi)/kT}.\tag{13}
$$

Then the GCS is spontaneously broken in the background U field if $\bar{\phi}(x; U) \neq 0$. Since the background U breaks translation symmetry we can expect that the spatial average of $\bar{\phi}(x; U)$ will vanish even in the broken phase. So it is convenient to introduce

$$
\Phi[U] = \frac{1}{V} \sum_{x} |\bar{\phi}(x; U)|. \tag{14}
$$

Now we take the expectation value $\Omega = \langle \Phi | U | \rangle$. If $\Omega \neq 0$, then the global center subgroup of the gauge group is spontaneously broken in the full theory. So Ω is the desired order parameter. The central result of [\[1\]](#page-10-0) is that the spontaneous breaking of the global center subgroup of the gauge group, as detected by the order parameter $Ω$, is accompanied by a transition from S_c to C confinement.³ Briefly, when $\phi(x; U)$ is nonzero, it may be used to define a gauge in which $\bar{\phi}(x; U)$ points everywhere in a given color direction. Then one can construct a $V(x, y; U)$ operator from the product of gauge transformations to this gauge, one transformation at point x , and the conjugate at point y . It can be shown that, for this V operator, Ψ_V is no longer orthogonal to neutral states in which color is screened by the Higgs field, and $E_V(R)$ tends to a finite constant as

¹In the special case of SU(2) gauge-Higgs theory, there is a larger global SU(2) symmetry, known as "custodial symmetry," which transforms the Higgs but not the gauge field. This symmetry includes the GCS symmetry.

Pseudomatter fields of this type can be combined to construct transformations to gauges which avoid the Gribov ambiguity, cf. [\[9\]](#page-10-7).

³Here we have ignored some technicalities. Formally there is no spontaneous symmetry breaking of a global symmetry in a finite volume; the rigorous procedure is to introduce a term, proportional to some parameter h , which explicitly breaks the global symmetry. We then evaluate Ω first taking the thermodynamic limit and then the $h \to 0$ limit. The rigorous statement is that the GCS is broken if $Ω$ is nonzero after the two limits, taken in this order. We refer the reader to [[1](#page-10-0)] for a detailed discussion of the breaking term, but for the numerical treatment these formalities will not be necessary.

 $R \to \infty$. Then by definition the broken symmetry phase is not an S_c confining phase. For details, cf. [[1](#page-10-0)].

Of course, one can still ask whether an order parameter constructed from the bare fields of the lattice Lagrangian can have any relevance to the infrared physics of the theory, but this is not so different from the use of nonrenormalized Polyakov lines on the lattice to detect the spontaneous breaking of a 1-form Z_N symmetry in pure gauge theories. That symmetry breaking, associated with the high temperature deconfinement transition, is certainly concerned with infrared physics. The point here is that, if the expectation value of some operator, however it is constructed, must necessarily be zero if a global symmetry of the Lagrangian is unbroken, and if it turns out that this expectation value is nonzero in some region of the phase diagram, then that symmetry must be spontaneously broken in that region. And the breaking of GCS symmetry is definitely associated with infrared effects, as stressed in [[1](#page-10-0)] and also here.

 \cdots and \cdots symmetry breaking in a spin glass The order parameter we have constructed is very closely analogous to the Edwards-Anderson order parameter [\[2\]](#page-10-1) devised to detect spontaneous symmetry breaking in a spin glass. The model is a lattice of Ising spins with random (ferro- and antiferromagnetic) couplings, whose Hamiltonian is

$$
H_{\text{spin}} = -\sum_{ij} J_{ij} s_i s_j - h \sum_i s_i, \tag{15}
$$

where $s_i = \pm 1$, the sum is (usually) over nearest neighbors, and the J_{ij} are a set of random couplings with probability distributions $P(J_{ij})$, e.g., Gaussian distributions, or equal likelihood for nearest neighbor couplings $J_{ij} = \pm 1$. The system appears to be disordered even at low temperatures, in the sense that the spin variable, averaged over the lattice, cannot acquire a nonzero expectation value, i.e.,

$$
\lim_{h \to 0} \lim_{\mathcal{V} \to \infty} \left\langle \frac{1}{\mathcal{V}} \sum_{i} s_i \right\rangle = 0, \tag{16}
$$

where V is lattice volume. On the other hand, for $h = 0$, the Hamiltonian is invariant under the global transformation $s_i \rightarrow -s_i$. Hence the system in this limit has a global Z_2 symmetry. This is analogous to the situation in gauge-Higgs theory, where the action has a GCS symmetry, but the field variable ϕ which transforms under that symmetry has a vanishing expectation value, at least in the absence of gauge fixing. In the case of the spin glass, an order parameter for the symmetry breaking transition can be constructed as follows:

$$
Z_{\rm spin}(J) = \sum_{\{s\}} e^{-H_{\rm spin}/kT},\tag{17}
$$

$$
\bar{s}_i(J) = \frac{1}{Z_{\text{spin}}(J)} \sum_{\{s\}} s_i e^{-H_{\text{spin}}/kT},\tag{18}
$$

$$
q(J) = \frac{1}{V} \sum_{i} \bar{s}_{i}^{2}(J),
$$

$$
\langle q \rangle = \int \prod_{ij} dJ_{ij}q(J)P(J).
$$
 (19)

 $q(J)$ is called the Edwards-Anderson order parameter [\[2\]](#page-10-1) for a spin glass. The Z_2 symmetry is spontaneously broken, and the system is in the spin glass phase, if $\langle q \rangle$ is non-zero in the thermodynamic limit, followed by the $h \to 0$ limit. The obvious correspondence to our construction in gauge-Higgs theory is

$$
Z_{\text{spin}}(J) \leftrightarrow Z(U), \qquad \bar{s}_i(J) \leftrightarrow \bar{\phi}(x;U),
$$

$$
q(J) \leftrightarrow \Phi[U], \qquad \langle q \rangle \leftrightarrow \Omega.
$$
 (20)

Again, the problem in the spin glass and gauge-Higgs theories is the same: there is a global symmetry which transforms the spins (Higgs field), but their expectation values vanish in any phase due to interaction with the random coupling J (gauge field). The Edwards-Anderson construction circumvents this difficulty.

D. GCS and S_c confinement in SU(3)

This finally brings us to the question we would like to address. In an SU(N) gauge-Higgs theory, it is stated in the standard texts, e.g.,[\[10\]](#page-10-8), that the Higgs mechanism "breaks" the SU(N) gauge symmetry to SU(N-1). More precisely, what can be seen perturbatively in unitary gauge is that the Lagrangian in this gauge supplies a mass term for some of the gauge bosons, leaving the bosons corresponding to the generators of an SU(N-1) group massless, at least at the perturbative level. The implication is that, in $D \leq 4$ dimensions, confinement does not disappear entirely; it should remain for the quark components which transform among themselves via the SU(N-1) group. But what is really meant by the word "confinement" in this situation? We have already defined confinement in a gauge theory with matter fields as S_c confinement, as opposed to the weaker property of C confinement. Then the question is whether S_c confinement can be seen in some way in the SU(N-1) subgroup. For example, in an SU(3) gauge theory with a unimodular constraint $\phi^{\dagger} \phi = 1$, in a unitary gauge which sets

$$
\phi(x) = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix},\tag{21}
$$

then the fermion components orthogonal to the ϕ color direction are simply the first two color components of the

 $\psi^a(x)$ field, namely, $a = 1$ and $a = 2$. These are the color components which, in unitary gauge, are said to be "confined" by the unbroken SU(2) gauge symmetry.We will refer to these components as quarks q . The gauge-invariant generalization is the fermion field multiplied by a color projection operator $P^{ab}(x)$,

$$
q^{a}(x) = \left[\delta^{ab} - \phi^{a}(x)\phi^{\dagger b}(x)\right]\psi^{b}(x)
$$

$$
= P^{ab}(x)\psi^{b}(x), \qquad (22)
$$

and we consider the energy $E_V^q(R)$ of physical states of the form form

$$
|\Psi'_{V}\rangle \equiv \bar{q}^{a}(x)V^{ab}(x,y;U)q^{b}(y)|\Psi_{0}\rangle.
$$
 (23)

If in the Higgs phase $E_V^q(R)$ diverges with R regardless of V,
then there is S, confinement of quarks transforming in the then there is S_c confinement of quarks transforming in the "unbroken" sector. On the contrary, if we can find some V operators such that $E_V^q(R)$ converges to a finite constant at large R then it is hard to make sense of the claim that the large R , then it is hard to make sense of the claim that the quarks are confined, in any sense other than the property of C confinement which holds for all fermion components and not just the quarks.

III. NUMERICAL RESULTS

The SU(3) lattice action is

$$
S = -\frac{\beta}{3} \sum_{\text{plaq}} \text{ReTr} [UUU^{\dagger} U^{\dagger}]
$$

$$
- \gamma \sum_{x,\mu} \text{Re} [\phi^{\dagger}(x) U_{\mu}(x) \phi(x + \hat{\mu}], \qquad (24)
$$

where we impose, for convenience, the unimodular condition $|\phi(x)| = 1$. At $\gamma = \infty$ and unitary gauge this reduces to SU(2) gauge theory, since all but the SU(2) degrees of freedom are frozen. In this limit, the theory is certainly confining. But are the quark degrees of freedom also confined at finite γ and, if not, how is confinement regained as $\gamma \to \infty$ at fixed β ?⁴

We begin with simulations at $\beta = 6.0$ and a variety of γ values on 164 lattice volumes, followed by simulations at $\beta = 3.6$, which is in the strong-coupling regime of pure SU(3) lattice gauge theory. A few details about the link updates, which greatly improve efficiency at large γ , are provided in the Appendix.

A. Symmetry breaking transition

The first question is at which value of γ the symmetry breaking transition takes place, according to the order parameter Ω , and this is determined numerically as follows: The SU(3) gauge and scalar fields are updated in the usual way, but each data-taking sweep (separated by 100 update sweeps) actually consists of a set of n_{sym} sweeps in which the spacelike links $U_i(\mathbf{x}, 0)$ are held fixed on the $t = 0$ time slice. So data taking is, in a sense, a "Monte Carlo simulation in a Monte Carlo simulation." Let $\phi(x, t)$ $(0, n)$ be the scalar field at site x on the $t = 0$ time slice at the nth sweep. Then we compute $\bar{\phi}(x, U)$ from the average over n_{sym} sweeps,

$$
\bar{\phi}(x, U) = \frac{1}{n_{\text{sym}}} \sum_{n=1}^{n_{\text{sym}}} \phi(x, 0, n), \tag{25}
$$

and $\Phi_{n_{\text{sym}}}(U)$ from [\(14\).](#page-2-0) Here it is important to indicate the dependence on n_{sym} . Then the procedure is repeated, updating links and the scalar field together, followed by another computation of $\Phi_{n_{\text{sym}}}(U)$ from a simulation with spatial links at $t = 0$ held fixed, and so on. Averaging the $\Phi_{n_{\text{sym}}}$ (U) obtained by these means results in an estimate for $\langle \Phi_{n_{\text{sym}}} \rangle$. Since $\Phi_{n_{\text{sym}}} (U)$ is a sum of moduli, it cannot be zero. Instead, on general statistical grounds, we expect

$$
\langle \Phi_{n_{\text{sym}}} \rangle = \Omega + \frac{\kappa}{\sqrt{n_{\text{sym}}}},\tag{26}
$$

where κ is some constant. By computing $\langle \Phi_{n_{\text{sym}}} \rangle$ in independent runs at a range of n_{sym} values, and fitting the results to [\(26\),](#page-4-1) we obtain an estimate for $Ω$ at any point in the β , γ plane of lattice couplings.

In principle, in a finite volume, one should include an explicit GCS symmetry breaking term in the action, proportional to some parameter h, and then compute $Ω$ by first taking the thermodynamic and then the $h \to 0$ limit. For the numerical simulations this procedure is not really necessary, and we may set the symmetry breaking parameter h to zero, providing n_{sym} is not too large. Of course, at $h = 0$ and finite volume, $\langle \Phi \rangle$ must vanish at $n_{sym} \to \infty$, since a symmetry cannot break at finite volume. Nevertheless, for n_{sym} in the range we have used, [\(26\)](#page-4-1) turns out to be a good fit to the data, and the extrapolation to $n_{sym} = \infty$ should be reliable. Figure [1](#page-5-0) shows typical data for $\langle \Phi(n_{sym}) \rangle$ vs $1/\sqrt{n_{sym}}$ at $\gamma = 1.0$ and $\gamma = 1.3$, with $\langle \Phi \rangle$
determined from the intercent of the straight line fit with the determined from the intercept of the straight line fit with the y axis. The transition point, where $\langle \Phi \rangle$ moves away from zero, appears to be for γ somewhere between $\gamma = 1.1$ and $\gamma = 1.15$, as shown in Fig. [2](#page-5-1).

It is worth noting that there does not appear to be any thermodynamic transition at $\beta = 6.0$ at any γ , as we see from a plot of the link susceptibility χ_L vs γ in Fig. [3,](#page-5-2) where

$$
L = \sum_{x,\mu} \text{Re} \left[\phi^{\dagger}(x) U_{\mu}(x) \phi(x) \right] \tag{27}
$$

⁴Note that this is by no means a line of constant physics, since the theory with some degrees of freedom frozen, at the $\gamma = \infty$ limit, is clearly distinct from the theory at moderate values of γ .

FIG. 1. Computation of the order parameter $\langle \Phi(n_{\text{sym}}) \rangle$ vs $1/\sqrt{n_{\text{sym}}}$ where n_{sym} is number of Monte Carlo sweeps (see text). Also shown is the extrapolation to $n_{sym} = \infty$. Data were taken at $\beta = 6$ for $\gamma = 1.0$ and $\gamma = 1.3$. Extrapolation to $\langle \Phi \rangle = 0$ indicates that the system is in the S_c confined phase, while extrapolation to $\langle \Phi \rangle > 0$ means that the system is in the Higgs phase.

FIG. 2. Order parameter $\langle \Phi \rangle = \Omega$ at $n_{sym} = \infty$, computed by extrapolations of the kind shown in Fig. [1](#page-5-0), vs γ at fixed $\beta = 6.0$.

and

$$
\chi_L = 4\mathcal{V}(\langle L^2 \rangle - \langle L \rangle^2),\tag{28}
$$

where V is the lattice volume. The work of $[11–13]$ $[11–13]$ $[11–13]$ $[11–13]$ tells us that the confinement and Higgs regions are not entirely isolated from one another by a line of thermodynamic transition, so while there could have been such a thermodynamic transition at $β = 6$ and some γ, this is not required. Note that $\Phi(U)$ is a nonlocal functional of the gauge field, and the expectation value of nonlocal functionals can have nonanalytic behavior even when the free energy is analytic in that region.

FIG. 3. Gauge-invariant link susceptibilities χ vs γ at $\beta = 6$ and several lattice volumes.

B. E_V across the transition

We first consider the S_c criterion with no projection to the quark fields. Let

$$
|\Psi_n(R)\rangle = Q_n(R)|\Psi_0\rangle, \qquad (29)
$$

where

$$
Q_0(R) = \left[\bar{\psi}^a(x)\phi^a(x)\right] \times \left[\phi^{\dagger b}(y)\psi^b(y)\right],
$$

\n
$$
Q_n(R) = \left[\bar{\psi}^a(x)\zeta_n^a(x)\right] \times \left[\zeta_n^{\dagger b}(y)\psi^b(y)\right] \quad (n > 0). \quad (30)
$$

For $n > 0$, the Q_n are of the form $\bar{\psi}V\psi$ with factorizable $V(x, y; U)$ operators

$$
V^{ab}(\mathbf{x}, \mathbf{y}; U) = \zeta_n^a(\mathbf{x}) \zeta_n^{\dagger b}(\mathbf{y}), \tag{31}
$$

where ζ_n is the *n*th eigenstate of the covariant Laplacian operator [\(10\)](#page-2-1). The fermions are static and propagate only in the time direction. For continuous time, the energy expectation value above the vacuum energy \mathcal{E}_0 is

$$
E_n = -\lim_{t \to 0} \frac{d}{dt} \log \left[\langle \Psi_n | e^{-(H-\mathcal{E}_0)t} | \Psi_n \rangle \right]
$$

=
$$
-\lim_{t \to 0} \frac{d}{dt} \log \left[\langle Q_n^{\dagger}(R, t) Q_n(R, 0) \rangle \right],
$$
 (32)

where $Q_n(R, t)$ is shown in [\(30\),](#page-5-3) with operators on the right-hand side defined on time slice t . The corresponding expression in discretized time is

$$
E_n = -\log\left[\frac{\langle \mathcal{Q}_n^{\dagger}(R,1)\mathcal{Q}_n(R,0)\rangle}{\langle \mathcal{Q}_n^{\dagger}(R,0)\mathcal{Q}_n(R,0)\rangle}\right].
$$
 (33)

After integrating out the heavy quark fields and dropping an *R*-independent constant, we have, for $n > 0$,

$$
\langle \mathcal{Q}_n^{\dagger}(R,1)\mathcal{Q}_n(R,0)\rangle = \langle \left[\zeta_n^{\dagger}(x,0)U_0(x,0)\zeta_n(x,1)\right] \left[\zeta_n^{\dagger}(y,1)U_0^{\dagger}(y,0)\zeta_n(y,0)\right] \rangle, \langle \mathcal{Q}_n^{\dagger}(R,0)\mathcal{Q}_n(R,0)\rangle = \langle \left[\zeta_n^{\dagger}(x,0)\zeta_n(x,0)\right] \left[\zeta_n^{\dagger}(y,0)\zeta_n(y,0)\right] \rangle.
$$
\n(34)

The expressions are the same for $n = 0$, with ζ_n replaced by the Higgs field ϕ .

In Fig. [4](#page-6-0) we see $E_0(R)$ and $E_1(R)$ just below ($\gamma = 1.1$) and just above ($\gamma = 1.2$) the symmetry breaking transition. $E_0(R)$ is the energy of a pair of separated color neutral objects, and of course we do not expect any significant dependence on R , as we see in the figure. In the symmetric phase, the claim is that any $E_V(R)$ diverges with R, and this is certainly true for $E_1(R)$, as seen in Fig. [4\(a\).](#page-6-0) The data for $E_1(R)$ are fit to the form

$$
E^{\text{fit}}(R) = a + bR - \frac{\pi}{12R},\qquad(35)
$$

and the coefficient of the linearly rising term is $b \approx 0.039$. This can be compared to the asymptotic string tension of the pure ($\gamma = 0$) SU(3) gauge theory at $\beta = 6.0$, which is $\sigma = 0.048$ [\[14\]](#page-10-11). It should be understood that at any finite γ the asymptotic string tension, as extracted from, e.g., Wilson loops or Polyakov line correlators, is zero, due to string breaking. This was the motivation to construct the S_c criterion. In the broken phase there is no prediction; $E_V(R)$ might diverge, or it might converge to a constant, depending on V. The claim is only that there must exist some V such that $E_V(R)$ becomes flat at large R. In fact $E_1(R)$ has this convergence property only a little past the transition, as seen in Fig. [4\(b\)](#page-6-0). If we were ignorant of the order parameter $\langle \Phi \rangle$, the behavior of $E_1(R)$ at $\gamma \geq 1.2$ would be sufficient to establish that the system is in the Higgs phase in that region.

It is instructive to look at $E_n(V)$ for other *n*, below and above the symmetry breaking transition, and the results are seen in Fig. [5](#page-7-0). As predicted, all $E_{n>0}(R)$ rise with R in the symmetric region [Fig. [5\(a\)](#page-7-0)] at $\gamma = 1.1$. In the Higgs region at $\gamma = 1.2$, $E_1(R)$ flattens out, while the other $E_{n>0}$ continue to rise linearly [Fig. [5\(b\)](#page-7-0)]. At still higher γ , we find that more of the $E_n(R)$ become flat [Fig. [5\(c\)](#page-7-0)].

C. Quark E_V across the transition

The intent of using $\bar{\psi}V\psi$ operators to create physical states is to exclude "broken string" states, in which the color of the fermion is neutralized by the scalar. In the confinement phase the corresponding $E_V(R)$ diverges with R, and a few examples were presented in the previous section. The question of interest is whether the Higgs phase could still be a confinement phase for the quark operators q , whose color orientation is, by definition, orthogonal to that of the scalar field. Therefore we consider just replacing the $\bar{\psi}\psi$ operators by $\bar{q}q$ operators and computing the energy expectation values $E_n^q(R)$ of states

$$
\begin{aligned} |\Psi_n^q(R)\rangle &= \bar{q}(x)V_n(x,y)q(y)|\Psi_0\rangle \\ &= Q_n^q(R)|\Psi_0\rangle, \end{aligned} \tag{36}
$$

where this time

$$
Q_n^q(R) = \left[\bar{q}^a(\mathbf{x})\zeta_n^a(\mathbf{x})\right] \times \left[\zeta_n^{\dagger b}(\mathbf{y})q^b(\mathbf{y})\right] \tag{37}
$$

FIG. 4. Energy expectation values $E_0(R)$ and $E_1(R)$ for unprojected fermion states (a) just below and (b) just above the confinementto-Higgs transition. E_0 corresponds to a state where the fermionic color charges are neutralized by the Higgs field, while all $E_n(R)$ with $n > 0$ are derived from operators $V(x, y; U)$ built from eigenstates of the lattice Laplacian operator. The fact that $E_1(R)$ diverges with R is required in the confinement phase, while the fact that $E_1(R)$ converges to a constant, while not required, implies that the system is in the Higgs phase. The term "unprojected" means that the fermions are not projected to the quark states, with color orthogonal to the Higgs field.

FIG. 5. Same as Fig. [4](#page-6-0) for E_n with $n = 1-5$ at (a) $\gamma = 1.1$, in the confined phase; and (b) $\gamma = 1.2$, (c) $\gamma = 1.8$ both in the Higgs phase. Note that while only E_1 goes "flat" at $\gamma = 1.2$, also E_2 and E_3 are flat at the higher $\gamma = 1.8$ coupling, where E_0 is also displayed for comparison.

and $Q_0^q = 0$ by definition. The superscript q indicates that there is a color projection to the quark operators. Then we there is a color projection to the quark operators. Then we have

$$
E_n^q(R) = -\log\left[\frac{\langle Q^q_n^{\dagger}(R,1)Q_n^q(R,0)\rangle}{\langle Q^q_n^{\dagger}(R,0)Q_n^q(R,0)\rangle}\right]
$$
(38)

as before, but this time, after integrating out the heavy quark fields,

$$
\langle Q^{q}{}^{\dagger}_{n}(R,1)Q^{q}_{n}(R,0)\rangle
$$

=
$$
\langle [\zeta^{\dagger a}_{n}(\mathbf{x},0)P^{ab}(\mathbf{x},0)U^{bc}_{0}(\mathbf{x},0)P^{cd}(\mathbf{x},1)\zeta^{d}_{n}(\mathbf{x},1)] \times [\zeta^{\dagger e}_{n}(\mathbf{y},1)P^{ef}(\mathbf{y},1)U^{q}_{0}(\mathbf{y},0)P^{gh}(\mathbf{y},0)\zeta^{h}_{n}(\mathbf{y},0)] \rangle, (39)
$$

and

$$
\langle Q^{q}{}_{n}^{\dagger}(R,0)Q_{n}^{q}(R,0)\rangle = \Big\langle \big[\zeta_{n}^{\dagger a}(x,0)P^{ab}(x,0)\zeta_{n}^{b}(x,0)\big] \times \big[\zeta_{n}^{\dagger e}(y,0)P^{ef}(y,0)\zeta_{n}^{f}(y,0)\big] \Big\rangle, \tag{40}
$$

where P^{ab} is the color projection operator defined in [\(22\)](#page-4-2). Then the question is whether the energies $E_n^q(R)$ of the $\bar{q}q$
states satisfy the S_s criterion in the Higgs phase. If so this states satisfy the S_c criterion in the Higgs phase. If so, this would supply a gauge-invariant meaning to the claim that confinement somehow exists, in the Higgs phase, in an unbroken SU(2) subgroup.

At $\beta = 6.0$ $\beta = 6.0$ $\beta = 6.0$ there is no evidence for this claim. Figure 6 shows the results of a calculation of $E_0(R)$ (as defined above) along with $E_1^q(R)$ using quark sources, both in the confine-
ment $(\gamma - 1, 1)$ and Higgs $(\gamma - 1, 2)$ phases. As in Fig. 4. ment ($\gamma = 1.1$) and Higgs ($\gamma = 1.2$) phases. As in Fig. [4](#page-6-0), $E_1^q(R)$ rises linearly in the confined phase, with a string
tension lextracted from a fit to (35)] of $\sigma \approx 0.04$. But in the tension [extracted from a fit to [\(35\)](#page-6-1)] of $\sigma \approx 0.04$. But in the Higgs phase $E_1^q(R)$ is flat, with no discernable linear
component as found in Fig. 4 for the unprojected fermion component, as found in Fig. [4](#page-6-0) for the unprojected fermion field. This implies the absence of S_c confinement for quarks

FIG. 6. Same as Fig. [4,](#page-6-0) this time for fermions projected to quark components orthogonal to the color direction of the Higgs field. The important point here is that in contrast to (a) in the confined phase, $E_1(R)$ goes flat in the Higgs phase (b), meaning that the quarks which transform into themselves under an $SU(2)$ subgroup do not have the S_c confinement property.

FIG. 7. Same as Fig. [5](#page-7-0) but for fermions projected to quarks as described in the text. (a) $\gamma = 1.1$, in the confined phase; and (b) $\gamma = 1.2$, (c) $\gamma = 1.8$ both in the Higgs phase.

in the Higgs phase. For completeness we show, in Fig. [7,](#page-8-0) the results for $E_n^{\bar{q}}(R)$ in a range of $n \ge 1$, at $\gamma = 1.1, 1.2, 1.8$.
However the interpretation of these results is not entirely

However, the interpretation of these results is not entirely clear, due to the fact that a pure $SU(2)$ gauge theory on a $16⁴$ lattice, at $\beta = 6.0$, would be deep in the deconfined phase of the theory. Suppose we go to unitary gauge and assume that, in the Higgs phase, the gauge bosons of the SU(2) subgroup are approximately decoupled from the other gauge bosons of the SU(3) theory. Denote by \tilde{U}_μ the 2×2 submatrix of components U_{μ}^{ab} with indices a, b in the range 1,2. Then the part of the Lagrangian involving only the degrees of freedom of the SU(2) subgroup is

$$
S_{\text{eff}} \approx -\frac{\beta}{3} \sum_{\text{plaq}} \text{ReTr}[UUU^{\dagger}U^{\dagger}] = -\frac{\beta_{\text{eff}}}{2} \sum_{\text{plaq}} \text{Tr}[\tilde{U}\,\tilde{U}\,\tilde{U}^{\dagger}\tilde{U}^{\dagger}],\tag{41}
$$

with an effective SU(2) coupling

$$
\beta_{\rm eff} = \frac{2}{3}\beta \tag{42}
$$

which, at $\beta = 6$, corresponds to $\beta_{\text{eff}} = 4$. But at this effective $SU(2)$ coupling, on a 16⁴ lattice, the pure $SU(2)$ theory is in the deconfined phase. Hence the lack of S_c confinement might be attributable to finite size effects. To eliminate the source of ambiguity, we repeat our calculation for $\beta = 3.6$, which is in the strong-coupling regime of pure SU(3) gauge theory, but which corresponds to $\beta_{\text{eff}} = 2.4$. On a 16⁴ volume, at this effective coupling, pure SU(2) gauge theory is in the confined phase, and the test of S_c confinement is less susceptible to finite size effects.

D. Numerical results at β = 3.6

At $\gamma = \infty$ we expect that the SU(3) gauge-Higgs theory reduces exactly to a pure SU(2) gauge theory, with an effective SU(2) Wilson coupling β_{eff} of [\(42\)](#page-8-1), and this theory must have the property of S_c confinement for the quark degrees of freedom. The question is whether this property exists at finite γ in the Higgs phase and, if not, how the S_c property is approached in the continuum limit. For this purpose it is sufficient to compute the $E_n(R)$ at $\beta = 3.6, \beta_{\text{eff}} = 2.4$ and at γ values which are deep within the Higgs regime.

The data shown in Fig. [8](#page-8-2) for $E_1(R)$ at various γ , without projection to the quark states, show that there is there is no evidence for S_c confinement, even at very large γ values. In fact, these energies are not only R independent, but drop to zero as γ increases. This is unsurprising, since there is no projection here to the unbroken SU(2) subgroup.

The quark projection data are more interesting. From the data it is fairly clear that, as at $\beta = 6.0$, there is also no S_c confinement of quarks in the Higgs phase at $\beta = 3.6$, as we see from plots of $E_1(R)$ in Fig. [9](#page-9-1). At any of the γ values shown in the figure, up to a very large value of $\gamma = 200$, the S_c quark confinement criterion is violated for $E_V^q(R)$ by the V operator built from the lowest I aplacian eigenmode V operator built from the lowest Laplacian eigenmode

$$
V(\mathbf{x}, \mathbf{y}, U) = \zeta_1(\mathbf{x}) \zeta_1^{\dagger}(\mathbf{y}). \tag{43}
$$

But this raises the question of how the $\gamma \to \infty$ limit recovers S_c confinement. The first observation is that, while the energy $E_1^q(R)$ is seen to plateau at fairly small
separations R, the value of $F_q^q(R)$ at the plateau appears to separations R, the value of $E_1^q(R)$ at the plateau appears to

FIG. 8. $E_1(R)$ vs R for unprojected fermions in the Higgs phase, at $\beta = 3.6$ and various γ ranging up to $\gamma = 200$. Note that $E_1(R)$ converges to zero with increasing γ .

FIG. 9. $E_1(R)$ vs R for quarks in the Higgs phase, at $\beta = 3.6$ and various γ ranging up to $\gamma = 200$. At each γ there is no S_c confinement. However, the behavior with increasing *is oppo*site to the unprojected case, in that the value at which $E_1(R)$ flattens out tends to increase with γ .

increase with γ . It is reasonable to conclude that the plateau rises to infinity at $\gamma \to \infty$. But this is not sufficient; one would certainly expect there to be V operators, e.g., flux tube states of some kind, such that $E_V^q(R)$ rises linearly, at some slope compatible with the SU(2) string tension of some slope compatible with the SU(2) string tension of the effective SU(2) theory, as $\gamma \to \infty$. And we indeed see evidence of this happening in Fig. [10,](#page-9-2) where some of the $E_n^q(R)$ at larger *n* values appear to have exactly this behavior. Of course these are not minimal energy flux behavior. Of course, these are not minimal energy flux tube states, so the string tensions of the $E_n(R)$ must be greater than the string tension of the minimal energy flux tube state, which is $\sigma = 0.071$ in the $\gamma = \infty$ limit at $\beta_{\text{eff}} = 2.4$.

It is interesting to compare Fig. [10](#page-9-2) with the same results at $\gamma = \infty$. In unitary gauge, this simply amounts to

FIG. 10. E_n^q at selected values of *n* at $\beta = 3.6$ and a large $\gamma = 100$ We observe that while $F_n(R)$ rises abruntly and flattens $\gamma = 100$. We observe that, while $E_1(R)$ rises abruptly and flattens out at $E_1 = 8$, $E_{19}(R)$ rises linearly in the range shown, with string tension $\sigma_{19} = 1.19(4)$, while $E_{33}(R)$ also rises linearly in this range, with string tension $\sigma_{33} = 0.172(1)$. For comparison, in the $\gamma \to \infty$ limit, the coupling of the effective SU(2) pure gauge theory is $\beta_{SU(2)} = 2.4$, and the corresponding string tension of the minimal energy flux tube state is $\sigma = 0.071$ [[15](#page-10-12)] in lattice units.

FIG. 11. E_n^q at selected values of *n* at $\beta = 3.6$ at $\gamma = \infty$.

initializing link variables to a 3×3 unit matrix and then only updating the upper left 2×2 matrix (the first step of link updates described in the Appendix). The results at $\beta = 3.6 \Rightarrow \beta_{\text{eff}} = 2.4$ are shown in Fig. [11.](#page-9-3) The most striking difference is in the behavior of $E_1(R)$ in the two figures. The distinction between the $\gamma = 100$ and $\gamma = \infty$ data is not so dramatic for $n = 19, 33$, but is still noticeable. The comparison suggests that, although the $\gamma \to \infty$ limit recovers confinement for the quarks, as expected, this limit is still not quite the same as $\gamma = \infty$.

IV. CONCLUSIONS

Confinement is a word that must be carefully defined in an SU(N) gauge theory with matter in the fundamental representation of the gauge group. Here and elsewhere [[1](#page-10-0)[,6\]](#page-10-4) we have argued that it is important to distinguish between color (C) confinement, which means that there is a color neutral particle spectrum, and the much stronger condition of separation-of-charge (S_c) confinement. The latter condition means that the energy of physical states with separated color charges unscreened by matter fields increases without limit as the color charge separation increases. The transition from the confining to the Higgs phase of an SU(N) gauge theory corresponds to a transition from S_c to C confinement.

The question we have addressed in this article is whether, in an SU(N) gauge-Higgs theory, the separationof-charge property persists in an SU(N-1) subgroup or, more precisely, for quark sources with color orthogonal to that of the scalar field, transforming among themselves, in a unitary gauge, via an SU(N-1) subgroup. The answer which we find numerically for SU(3) gauge-Higgs theory is that S_c confinement is lost in the Higgs phase also for the quarks transforming among themselves in the $SU(2)$ subgroup. Since it is certain that S_c confinement must reappear in a certain limit $[\gamma \rightarrow \infty]$ for the action in (24)], it is of interest to see how S_c confinement is regained in that limit. To investigate this, we have constructed quark-antiquark states with unscreened color, made gauge invariant by the use of eigenmodes of the covariant Laplacian operator. In the Higgs phase there are

 \sim $\overline{1}$

 \overline{r}

always quark-antiquark states which violate the S_c condition, i.e., the energy of such states rises to a value which is constant with charge separation. This constant value, however, rises with γ . There are other gauge-invariant states whose energy increases with charge separation, but which, for some range of quark separation, is less than that constant value. Thus the evidence suggests that, while there is strictly speaking no S_c confinement at all in the Higgs phase, including for quark-antiquark states, the energy of states which violate the S_c condition goes to infinity as $\gamma \to \infty$, leaving only states which satisfy the S_c condition.

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APPENDIX: LINK UPDATE PROCEDURE

This is a brief note about a slightly modified procedure for link updates which are useful at large γ . We first fix to unitary gauge

$$
\phi(x) = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \tag{A1}
$$

and update link variables as follows: From three stochastically generated SU(2) matrices with components r_{ij}, s_{ij}, t_{ij} we construct three SU(3) matrices R, S, T where

$$
R = \begin{bmatrix} r_{11} & r_{12} & 0 \\ r_{21} & r_{22} & 0 \\ 0 & 0 & 1 \end{bmatrix},
$$

\n
$$
S = \begin{bmatrix} s_{11} & 0 & s_{12} \\ 0 & 1 & 0 \\ s_{21} & 0 & s_{22} \end{bmatrix},
$$

\n
$$
T = \begin{bmatrix} 1 & 0 & 0 \\ 0 & t_{11} & t_{12} \\ 0 & t_{21} & t_{22} \end{bmatrix},
$$
 (A2)

and from those obtain an updated link in two steps. The average deviation of R , S , T from the unit matrix is controlled by a parameter z. In the first step, we generate a trial link = RU using a parameter $z = z₁$ for the R matrix, and accept or reject according to the usual Metropolis algorithm. In the second step the trial link is $\text{RSTU}^{(1)}$, where $U^{(1)}$ is the link obtained at the first step, and this time the deviation of the R , S , T matrices from the unit matrix is controlled by a parameter $z = z_2$. Parameters z_1 , z_2 are chosen to give a 50% acceptance at each of the two steps. The reason for the two parameters is that, in unitary gauge, at large γ , the matrix components U^{ij} with i, $j = 1, 2$ may deviate significantly from a 2×2 unit matrix, while $U^{33} \approx 1$, and the remaining components are close to zero. The first step only affects the U^{ij} with i, $j = 1, 2$ components, and therefore R in the first step is allowed to deviate significantly from a unit matrix. In the second step, which affects the remaining components, RST must be close to the unit matrix in order to have a reasonable acceptance rate.

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