


# One-dimensional reflection in the quantum mechanical bootstrap

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We describe the application of the quantum mechanical bootstrap to the solution of one-dimensional scattering problems. By fixing a boundary and modulating the Robin parameter of the boundary conditions we are able to extract the reflection coefficient for various potentials and compare to physical expectations. This includes an application of semidefinite programming to solving a half line Schrodinger problem with arbitrary Robin boundary conditions. Finally, the WKB approximation is used to numerically determine the scattering behavior of the exponential potential of Liouville theory.

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## I. INTRODUCTION

The numerical bootstrap has been the subject of much recent work [1–7], applying techniques developed for the conformal bootstrap to other quantum-mechanical systems. In our previous work, we described the application of the numerical bootstrap to the solution of one-dimensional Hamiltonian bound-state problems with arbitrary wave function boundary conditions [8–10]. Here, we extend these ideas to the characterization of one-dimensional scattering. The problem of scattering in one dimension has been studied from multiple perspectives, from the development of approximation schema [11–15] to theorems relating the scattering phase shift to properties of the bound-state spectrum [16–18], though the focus of the literature on scattering is mainly on three dimensions.

For many applications of the quantum mechanical bootstrap to work, the moments of the probability distribution need to be finite, but the scattering problem does not have this property. The essential idea of this paper is that the scattering behavior of a potential may be determined by solving a related family of bound-state problems with the same potential, a family parametrized by the boundary conditions at some location. One places a geometric cutoff in the far scattering region and then solves the bound-state problem with all the possible boundary conditions at that cutoff. To determine the scattering phase, one uses the same boundary condition to match to the asymptotic (plane-wave) wave function. In this asymptotic region, if the

potential is not constant, we supplement the plane-wave solution by a WKB approximation to the desired order of precision.

We describe how one can determine scattering phase shifts using any numerical eigenenergy algorithm. In particular, these include the semidefinite programming (SDP) bootstrap algorithm of our previous work now applied to problems on the real half line [19]. This is similar in spirit to the program of determining scattering data by the energy spectrum at finite volume, which can be realized on a lattice computation [20].

To begin, consider a one-dimensional quantum system with a one-sided potential such that  $V(x) = 0$  for  $x \leq 0$  and  $V \rightarrow \infty$  as  $x \rightarrow \infty$  and with the Hamiltonian given by

$$H = -\partial_x^2 + V(x).$$

Physically, one understands that waves come in from  $x = -\infty$ , reflect off the potential barrier, and return to  $x = -\infty$  with a phase shift  $\delta(E)$  depending on the incident energy  $E$ . In this one-dimensional, one-sided system, this phase shift completely specifies the  $S$  matrix and hence the physics of scattering.

Let  $R(E) = \exp(i\delta)$  be the reflection coefficient for waves with energy  $E = k^2$ . One has

$$\psi(x) = A[e^{ikx} + R(E)e^{-ikx}]; \quad x \leq 0. \quad (1)$$

Imagine placing a hard boundary at  $x = 0$  and enforcing there a Robin boundary condition,

$$\psi(0) + a\psi'(0) = 0 \Rightarrow a = -\frac{\psi(0)}{\psi'(0)}. \quad (2)$$

Here  $a$ , the Robin parameter, is real “plus infinity” valued; the point  $a = \infty$  corresponds to the Neumann condition.

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The variable  $a$  parametrizes self-adjoint extensions of half line Schrodinger operators [21].

On the right-hand side  $x \geq 0$ , the boundary condition (2) defines a self-adjoint Hamiltonian and therefore a discrete spectrum of energies which changes continuously with  $a$ . Each value gives a spectrum  $E_n(a)$  which may be inverted to give a function  $a(E)$ . One then matches the value of the wave function and its derivative at the boundary  $x = 0$ . This gives a direct relationship between the reflection coefficient  $R(E)$  and the Robin parameter  $a(E)$ :

$$R(E) = \frac{ika(E) + 1}{ika(E) - 1}; \quad k = \sqrt{E} > 0. \quad (3)$$

One can therefore extract the  $S$  matrix by solving a succession of bound-state problems for different values of the Robin parameter  $a$ . Just as bound states can be considered as a suitably interfering set of plane waves, the data of plane wave scattering are equivalent to a continuous family of bound states.

Unlike analytic approaches to the  $S$  matrix, no assumptions are made about what happens when  $E$  becomes complex. The numerical problem we describe is only well defined for real energies. In fact, this is required by the self-adjointness of the Hamiltonian with the Robin boundary condition: the problem must have real eigenvalues. These are the output of the numerical code we describe.

In this paper we demonstrate this approach to determining the scattering phase shift of numerous potentials. We do so by applying the semidefinite programming bootstrap algorithm as well other numerical methods to determine the energy spectrum for half line problems with arbitrary Robin conditions, and from there to extract the expected reflection coefficients. We demonstrate the consistency of this approach by comparing the numerics to physical expectations for scattering. Finally, we use WKB methods to extend the approach to potentials which are not constant on the scattering region but that are instead slowly varying in the asymptotic regime and for which  $V(x) < k^2$  in the  $x < 0$  region. We show as an example that we can numerically reproduce the reflection coefficient of the zero-mode Liouville theory by this approach.

## II. HALF LINE SDP PROBLEMS

SDP is a class of convex optimization problems where the feasible domain is a subset of the cone of convex matrices. Their application to the bootstrap program has long been recognized, and as a numerical tool it has been applied with great success in the conformal bootstrap, the lattice Yang-Mills bootstrap, and in other spin-chain lattice theories [4,6,22].

In our previous work [19] we described how to formulate the one-dimensional quantum mechanical bootstrap as a semidefinite program. We showed how this could be applied to determine the spectrum of a polynomial potential

of arbitrary degree on the real line. The generalization of this approach to half line problems is straightforward, though one must include the anomaly terms discussed in [10] to properly handle the boundary conditions.

To see the role of the anomalies, consider a potential  $V(x)$  on the real half line  $x \geq 0$  and with a general Robin boundary condition at the origin  $x = 0$ , so that  $\psi_0 + a\psi'_0 = 0$ , writing  $\psi_x \equiv \psi(x)$ . To set up the semi-definite program one must first compute (recursively) some positional moments  $\langle x^k \rangle_\psi$  in some undetermined state  $\psi$ . Relations between these moments are furnished by the bootstrap constraints. Let  $H$  be the Hamiltonian and  $\mathcal{O}$  some operator; these constraints, true in energy eigenstates, are of the form

$$\langle [H, \mathcal{O}] \rangle + \langle (H^\dagger - H)\mathcal{O} \rangle = 0, \quad (4)$$

where the second term is the anomaly. The anomaly vanishes if  $\mathcal{O}$  leaves the domain of  $H$  invariant; these issues are discussed in depth in [10,23–25].

Many of these anomalies do happen to vanish. If the wave function associated with the eigenstate is  $\psi_x$ , the only nonzero anomalies<sup>1</sup> are given by

$$\mathcal{A}[nx^{n-1}] = -\frac{1}{M}\psi_0^2\delta_{n,1}, \quad (5)$$

$$2i\mathcal{A}[xp] = -\frac{1}{M}\psi_0\psi'_0, \quad (6)$$

$$2i\mathcal{A}[p] = \frac{1}{M}(\psi'_0)^2 + 2\psi_0^2(E - V(0)). \quad (7)$$

The last of the anomalies appears in the constraint (4) for the operator  $\mathcal{O} = p$  as

$$0 = -\langle V'(x) \rangle + 2i\mathcal{A}[p]. \quad (8)$$

This is a quantum-corrected version of Newton's second law which now includes an anomalous contribution from the boundary condition. With a polynomial potential  $V = \sum^d c_m x^m$  and Robin boundary conditions at  $x = 0$ , this lowest-level constraint allows one to determine the boundary value  $\psi_0^2$  in terms of the other moments:

$$\psi_0^2 = \left[ 2(E - c_0) + \frac{1}{a^2 M} \right]^{-1} \cdot \sum_{m=1}^d 2m c_m \langle x^{m-1} \rangle, \quad (9)$$

where we have additionally used the relation  $\psi'_0 = -\psi_0/a$ .

One can therefore express all the nonzero anomalies as linear combinations of moments  $\langle x^k \rangle$  of order  $k \leq d - 1$ . Higher moments  $\langle x^k \rangle$  with  $k \geq d$  can then be computed

<sup>1</sup>If the boundary condition were at some  $x \neq 0$ , the anomalies would continue to persist at higher orders in the recursion.

recursively using the constraints (4). Like in the real line case, the higher moments may be written as linear functions of the  $d-1$  primal moments  $\langle x \rangle, \langle x^2 \rangle, \dots, \langle x^{d-1} \rangle$ . Their coefficients will depend (nonlinearly) on the energy  $E$  and the Robin parameter  $a$ .

The formulation and solution of the SDP then proceeds as described in [19], with the salient modification being the required positivity of two  $K \times K$  moment matrices:

$$M_{ij} = \langle x^{i+j} \rangle, \quad M'_{ij} = \langle x^{1+i+j} \rangle; \quad 0 \leq i, j, \leq K-1. \quad (10)$$

The necessity of these two positivity constraints can be shown as follows. Consider an operator  $\mathcal{O} = \sum c_n x^n$  for arbitrary complex  $c_n$ . Furthermore, on the half line  $x \geq 0$ , we can construct the well-defined operator  $\mathcal{O}' = \sqrt{x}\mathcal{O}$ . The positivity constraints on  $M, M'$  then follow from the inequalities

$$\langle \mathcal{O}^\dagger \mathcal{O} \rangle = \sum_{n,m} c_n^* \langle x^{n+m} \rangle c_m \geq 0, \quad (11)$$

$$\langle \mathcal{O}'^\dagger \mathcal{O}' \rangle = \sum_{n,m} c_n^* \langle x^{1+n+m} \rangle c_m \geq 0, \quad (12)$$

which must hold for any complex  $c_n$ .

One maximizes the minimal eigenvalue of both of these matrices simultaneously, defining the optimization problem:

$$\begin{aligned} & \text{maximize } t \\ & \text{subject to } \begin{bmatrix} M & 0 \\ 0 & M' \end{bmatrix} - t \text{Id} \geq 0, \end{aligned} \quad (13)$$

at fixed energy  $E$  and Robin parameter  $a$ . This is a linear semidefinite program with  $d$  primal variables  $(t, \langle x \rangle, \dots, \langle x^{d-1} \rangle)$  written in the dual or linear-matrix-inequality form. Pairs  $(E, a)$  are deemed physically allowable at size  $K$  if the optimal objective  $t_*$  is positive. The convergence property of the bootstrap ensures that if a pair is unphysical at some  $K$  it will continue to be unphysical at all higher matrix sizes.

It should be noted that the *Mathematica* function `NDEigensystem` now supports Robin (or generalized Neumann) boundary values. At the level of machine precision, this finite element-based algorithm runs orders of magnitude more efficiently than the SDP algorithm described here, which runs on a multiple-precision solver [26]. As a demonstration of the application to half line problems, some of the data in the following sections are generated via the bootstrap algorithm. However, the discussion of the scattering phenomenology and role of the Robin parameter is independent of the algorithm used. It depends only on being able to numerically solve the spectrum of a one-sided differential eigensystem with a Robin boundary condition.

### III. BOOTSTRAPPING PURE REFLECTION

In the case of pure reflection, the quantity of interest is the reflection coefficient. In terms of the wave number  $k = \sqrt{E} > 0$  and Robin parameter  $a$  it is given by

$$R(k) = \frac{ika + 1}{ika - 1} = e^{i\delta(k)}. \quad (14)$$

The phase shift is the complex argument of  $R(k)$ ; using the identity

$$\arg \frac{1+it}{1-it} = 2 \tan^{-1}(t), \quad (15)$$

one may write the phase shift  $\delta(k)$  as

$$\delta(k) = 2 \tan^{-1}(ka). \quad (16)$$

By determining  $a(E)$  one has determined the phase shift, and hence all the information about scattering off the one-dimensional, one-sided potential.

#### A. The half-harmonic wall

In the case of a half-harmonic potential wall one can compute the function  $a(E)$ —and therefore the phase shift—exactly; we compare this to data obtained by solving a succession of bound-state problems using the SDP algorithm described previously.

To be precise, let  $V(x) = x^2$  for  $x \geq 0$  and zero otherwise. Consider the half line  $x \geq 0$  where the Schrodinger equation is

$$-\psi''(x) + x^2\psi(x) = E\psi(x) \quad (17)$$

in units where  $\hbar = 2m = 1, \omega = 2$ . The general solution is given by parabolic cylinder functions  $U(a, z)$  (see Chapter 12 in [27]) as

$$\psi(x) = c_1 U(-E/2, \sqrt{2}x) + c_2 U(E/2, i\sqrt{2}x). \quad (18)$$

Asymptotically, one finds that only the solution with real argument is normalizable as  $x \rightarrow \infty$ . Up to a formal normalization constant, the feasible solution is

$$\psi(x) \sim U(-E/2, \sqrt{2}x). \quad (19)$$

The values of the function  $U$  and its derivative at zero are known; to construct the Robin function  $a(E)$  we take their ratio. This no longer depends on the normalization and is given by

$$a(E) = -\frac{\psi(0)}{\psi'(0)} = \frac{1}{2} \frac{\Gamma(\frac{1}{4} - \frac{E}{4})}{\Gamma(\frac{3}{4} - \frac{E}{4})}. \quad (20)$$

This function already contains all the spectral information of the harmonic oscillator. The full harmonic potential on  $\mathbb{R}$

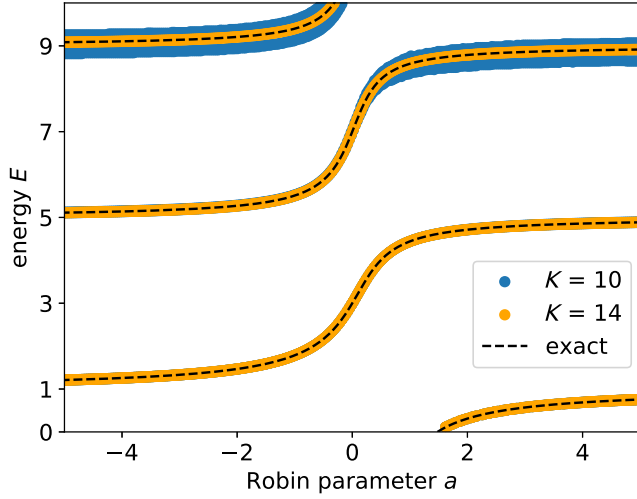


FIG. 1. Bootstrapped harmonic spectrum versus Robin parameter for two depths,  $K = 10, 14$ . At higher energies the intervals of positivity are larger; their size shrinks as  $K$  increases. The exact relation (20) is shown in black.

is even, so all states are of odd or even parity. These states satisfy Dirichlet (D) or Neumann (N) conditions at the origin  $x = 0$ , respectively. Therefore, the odd states of the harmonic oscillator should correspond to  $a = 0$  and the even states to  $a = \infty$ .

The Robin function (20) is zero precisely when the gamma function in the denominator diverges and infinite when the numerator diverges. This leads to two quantization rules for the energies  $E_n$  depending on the boundary condition in question:

$$E_n = \begin{cases} 3 + 4n; & \text{(D),} \\ 1 + 4n; & \text{(N),} \end{cases} \quad (21)$$

for  $n \geq 0$  in each. Together these rules describe the entire harmonic spectrum, which is at  $E_n = 2n + 1$  for  $n \geq 0$  in our units.

We may compare the function  $a(E)$  determined here to the bootstrap results. Using the SDP algorithm described in the previous section, we determine the first few harmonic energy levels  $E_n(a) \in [0, 10]$  for a range of Robin parameter values  $a \in [-5, 5]$ . We then invert the spectrum  $E_n(a)$  to find the (single-valued) function  $a(E)$ . These data and the exact curve are shown in Fig. 1, showing excellent agreement.

### B. Resonant scattering

The function  $a(E)$  contains information not just about bound states but also metastable ones. Consider the Hamiltonian

$$H = p^2 + \frac{1}{2}x^2(x-3)^2 \quad (22)$$

on the half line  $x \geq 0$ . This potential has a (local) minimum at  $x = 3$  where  $V = 0$ . Such a local minimum will tend to

confine states for a short amount of time, with tunneling to  $x < 0$  leading to metastability.

It is generally known that bound and metastable states are reflected in the analytic structure of the  $S$  matrix or, in our case, the reflection coefficient [28]. In the complex  $E$  plane, bound states correspond to purely imaginary poles in the upper half plane and metastable states to poles in the lower half plane. Our numerical method does not assume this property, but the intuition on metastable states does.

The signatures of these metastable poles are reflected in the phase shift  $\delta(E)$ , and in particular its derivative. Let such a metastable pole occur at  $E_m = E_0 - i\Gamma$  where  $\Gamma > 0$  is the *width* of the metastable state, its characteristic lifetime in the time-dependent picture. For a narrow resonance, the pole is close to the real axis. Near  $E \approx E_m$ , the reflection coefficient  $R(E)$  should reflect this singular structure and be a pure phase. On general grounds, this implies that near  $E_m$  one has

$$R(E) \approx \frac{E - E_0 - i\Gamma}{E - E_0 + i\Gamma}. \quad (23)$$

The phase shift is  $\delta = \arg R$ ; with the identity (15) one finds that the derivative of  $\delta(E)$  near the resonant energy  $E_m = E_0 - i\Gamma$  behaves as

$$\delta'(E) \approx \frac{2\Gamma}{(E - E_0)^2 + \Gamma^2}, \quad (24)$$

forming a Lorentz/Cauchy distribution centered at  $E_0$  with width  $\Gamma$ . In the context of decay widths, this is often referred to as the Breit-Wigner distribution, and is studied in numerous texts [28,29].

As before, the phase shift may be numerically determined by the spectral flow of  $H$  for various values of the Robin parameter  $a$ , with the results shown in Fig. 2.

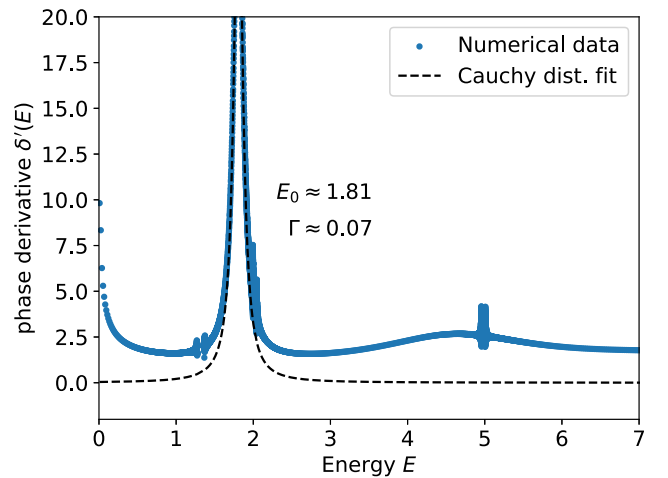


FIG. 2. A strong energy resonance in the phase shift for a potential with metastable states. A regression to a Cauchy distribution is included in black. In blue, the transformation of spectral numerical data.

Here, we do the numerics using the *Mathematica* function `NDEigensystem` to solve for the lowest three eigenstates of the potential (22) at a range of Robin parameter values  $a \in [-20, -20]$ . We invert the spectrum to obtain the function  $a(E)$ , then construct the phase shift using Eq. (16) and its derivative numerically, using a spline interpolation to decrease noise. An energy resonance is clear in Fig. 2, corresponding to the existence of a metastable state of the potential and consistent with physical expectations. Namely, in a harmonic oscillator potential at the minimum  $x = 3$ , one would expect an approximate metastable ground state with energy around  $\omega/2 \simeq 2.1$  where  $\omega^2 = 18$ . It must also have a negative correction to the energy arising from second order perturbation theory. The fit to a Breit-Wigner resonance is a good approximation to the data. At maximum value, the energy is close to the back of the envelope prediction in energy and displays the expected negative correction.

#### IV. LIOUVILLE SCATTERING

The potentials considered in the previous sections are unique and hand picked in that they are identically zero on the negative real axis. This allows an exact solution of the Schrodinger equation in the  $x < 0$  region and subsequent matching of boundary conditions to extract the phase shift from dependence of the Robin parameter on the energy.

In many situations, the potential may be nonzero but slowly varying and weak at large negative  $x$ . This is the case for the exponential potential which famously arises as the potential in the semiclassical Liouville theory [30,31]. To be precise, after canonical quantization the zero-mode Hamiltonian is

$$H_0 = \frac{p^2}{2} + 2\pi\mu e^{2bx}, \quad (25)$$

ignoring any zero-point energy. From the perspective of the fundamental dilaton field this Hamiltonian follows by considering spatially uniform solutions: the ‘‘mini-superspace’’ approximation. We are not concerned with the physical origin of the theory so much as applying the tools of the previous section to the one-sided exponential potential.

The Schrodinger equation corresponding to the Hamiltonian (25) is

$$-\frac{1}{2}\psi''(x) + 2\pi\mu e^{2bx}\psi(x) = \frac{k^2}{2}\psi(x), \quad (26)$$

where now we use the dispersion  $E = k^2/2$ . This is solved by a linear combination of Bessel functions whose properties are well known. By demanding regularity at  $x = \infty$  and matching to the plane wave ansatz (1), one finds that the reflection coefficient  $R(k)$  is given by

$$R(k) = -(\pi\mu/b^2)^{-ik/b} \frac{\Gamma(1 + ik/b)}{\Gamma(1 - ik/b)}. \quad (27)$$

We will reproduce this, at least approximately, by combining the bound-state approach with a WKB approximation to the wave function. One sets a boundary and solves a one-sided bound-state problem with Robin boundary condition on the right-hand side of the boundary, as before. Placing the boundary at some modestly large, negative position  $L$  then ensures that the semiclassical (WKB) approximation is valid and accurate to the left of the boundary, at least for potentials which smoothly go to zero. This is certainly true for the exponential potential  $V = e^{2bx}$ , which, along with its derivative, goes to zero very quickly as  $x \rightarrow -\infty$ . For our purposes, we need a regime where  $k^2 \gg V(x)$  and  $V$  varies slowly on the scale of  $k$  [any feature of  $V$  needs to occur on a region that is long compared to local wavelength  $1/k(x) \sim 1/k$ ].

#### A. The WKB wave function

Any wave function can be written in the form

$$\psi(x) \propto \exp(\lambda(x) + i\omega(x)). \quad (28)$$

In the WKB approximation, the functions  $\lambda(x)$ ,  $\omega(x)$  (modulating the amplitude and phase, respectively) are expanded in powers of the formally small parameter  $\hbar$  as

$$\omega(x) = \frac{1}{\hbar}S_0(x) + \hbar S_2(x) + \mathcal{O}(\hbar^3), \quad (29)$$

$$\lambda(x) = S_1(x) + \hbar^2 S_3(x) + \mathcal{O}(\hbar^4). \quad (30)$$

The functions  $S_n(x)$  satisfy a hierarchy of equations found by substituting the ansatz (28) into the Schrodinger equation and solving order by order in  $\hbar$ . In particular, the lowest-order correction  $S_0(x)$  solves the eikonal equation

$$[S'_0(x)]^2 = 2(E - V(x)). \quad (31)$$

If one takes  $V \rightarrow 0$  in this equation, one finds  $S_0(x) = \pm\sqrt{2E}x = \pm kx$ , reproducing the free plane-wave solution seen earlier.

Because the potential is real, on general grounds the semiclassical (WKB) wave function is given by the linear combination,

$$\psi_{scl} = Ae^{\lambda(x)}e^{i\omega(x)} + Be^{\lambda(x)}e^{-i\omega(x)}. \quad (32)$$

The data of the reflection coefficient  $R(k)$  are contained in the asymptotic relative normalization of these two solutions: roughly the ratio  $B/A$  as  $x \rightarrow -\infty$ . The semiclassical wave function interpolates between the asymptotic limit, where the  $S$  matrix is defined, and a finite value  $L < 0$ , where the potential is slowly varying. In the region where the WKB approximation is valid we place a boundary at  $x = L < 0$ . On the right-hand side, one defines a bound-state problem with Robin boundary condition

$$\psi(L) + a_L \psi'(L) = 0. \quad (33)$$

Solving this determines a spectrum  $E_n(a_L)$  which is subsequently inverted to obtain a function  $a_L(E)$  as described in the previous section.

By continuity of the wave function and its derivative at the point  $x = L$ , the semiclassical wave function should define the same Robin parameter:

$$a_L = -\frac{\psi_{scL}(L)}{\psi'_{scL}(L)}. \quad (34)$$

Substituting Eq. (32), one can determine a relation between the ratio of coefficients  $B/A$  of the semiclassical wave function and the Robin parameter  $a_L$  at finite  $L$ :

$$B/A = -e^{2i\omega(L)} \frac{1 + a_L \lambda'(L) + ia_L \omega'(L)}{1 + a_L \lambda'(L) - ia_L \omega'(L)}, \quad (35)$$

where the  $a_L, \lambda(x)$ , and  $\omega(x)$  all implicitly depend on the energy  $E$ .

On the other side of the domain, relating the reflection coefficient  $R$  to the ratio  $B/A$  requires another matching formula. Define the angle  $\theta_0$  as the limit

$$\theta_0 \equiv \lim_{x \rightarrow -\infty} \omega(x) - kx, \quad (36)$$

recalling that here  $k = \sqrt{2E}$ . This limit is finite in general due to the known asymptotic behavior of the function  $S_0(x)$ , which dominates the expansion of the function  $\omega(x)$  at large negative  $x$ . With this angle appearing as a decorating phase, the asymptotic reflection coefficient is

$$R_{scL}(k) = -e^{-2i\theta_0} e^{2i\omega(L)} \frac{1 + a_L \lambda'(L) + ia_L \omega'(L)}{1 + a_L \lambda'(L) - ia_L \omega'(L)}. \quad (37)$$

Taking the argument of this function yields the WKB-corrected phase shift as a function of the wave number  $k = \sqrt{2E}$ :

$$\begin{aligned} \delta_{scL}(k) = & -\pi + 2 \tan^{-1} \left( \frac{a_L \omega'(L)}{1 + a_L \lambda'(L)} \right) \\ & + 2(\omega(L) - \theta_0) \pmod{2\pi}. \end{aligned} \quad (38)$$

The WKB approximation may then be carried out to any order in calculating the functions  $\omega(x)$  and  $\lambda(x)$  to determine increasingly accurate expressions for the phase shift.

As the potential  $V \rightarrow 0$ , one finds that  $\lambda' \rightarrow 0$  while  $\omega' \rightarrow k$ . In this limit one also has  $\omega(L) - \theta_0 \rightarrow kL$ . This limit reproduces the expression (16) for a boundary at  $x \neq 0$ . In this way the zero-order WKB approximation reduces to the results determined earlier.

We note that generally there is a calculational trade-off in the location of the cutoff  $L$ . As one takes  $L \rightarrow -\infty$  and the potential weakens in strength, the WKB approximation grows increasingly accurate. However, the bound-state problem, defined on  $[L, \infty)$ , has low-lying eigenenergies with wavelengths of order  $|L|$ . It is these low-lying states for which this matching procedure determines the physics of scattering. Higher energy states require more computations to solve accurately. For example, in the SDP method one has to go to larger matrices to solve the problem (this is readily visible in Fig. 1 for  $K = 10$ , where the allowed regions grow larger at larger energy). The precision gained by making  $L$  larger is countered by the fact that one needs to perform the SDP algorithm with much larger matrices at the same value of  $E$ . A similar issue arises in other methods to determine energy eigenstates: higher energy eigenstates are more costly to produce with the same numerical accuracy as the lowest states. Similarly, one should make sure that one is well within a regime where the WKB is valid, so  $L$  cannot be too small either.

## B. The exponential potential

As a demonstration, we show the accuracy of the WKB approach to approximating the scattering phase (27), setting  $\mu = 1/2\pi$  and  $b = 1$  for definiteness. First, one can explicitly compute the lowest-order WKB functions  $S_n(x)$ , from which the amplitude and phase modulation may be constructed. Defining the semiclassical momentum

$$p(x) = \sqrt{2(E - V(x))} = \sqrt{2(E - e^{2x})}, \quad (39)$$

the first four WKB functions  $S_n(x)$  are given by

$$S_0(x) = p(x) - k \tanh^{-1} \left( \frac{p(x)}{k} \right), \quad (40)$$

$$S_1(x) = -\frac{1}{2} \log p(x), \quad (41)$$

$$S_2(x) = \frac{2E + 3e^{2x}}{24\sqrt{2}p(x)^3}, \quad (42)$$

$$S_3(x) = \frac{p''(x)}{8p(x)^3} - \frac{3p'(x)^2}{16p(x)^4}. \quad (43)$$

While the corrections  $S_1, S_3$  are universal, the corrections  $S_0, S_2$  depend on the potential. The correction  $S_0$  is by far the largest in absolute numerical terms and increases in size as  $x \rightarrow -\infty$ . A large phase is to be expected, as the eikonal or first-order WKB approximation is equivalent to a saddle point or stationary phase path integral.

Most applications of the WKB method include only the first two corrections:  $S_0, S_1$ . Indeed we find that these corrections are more than sufficient to reproduce the exact phase shift law (27) by solving bound-state problems and applying the approximation of Eq. (38). These data are

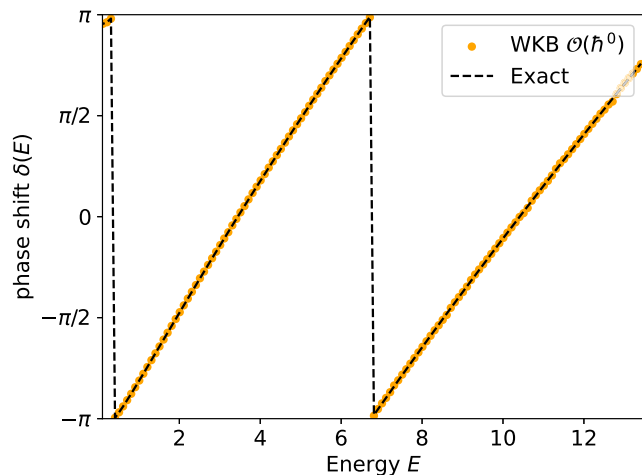


FIG. 3. Phase shift due to reflection off the exponential potential reconstructed with the first-order WKB approximation (orange) compared to the exact result (dashed, black). With a boundary at  $L = -5$ , we solve the bound-state problem on the right to define the Robin function  $a_L(E)$ . In blue, the naive application of Eq. (16) with  $a_L(E)$ . In orange, the correction functions  $S_0(x), S_1(x)$  give the approximate semiclassical phase shift with good accuracy.

shown in Fig. 3 along with the exact curve for a boundary at  $L = -5$ .

## V. CONCLUSION

In this paper we have described how to apply numerical solutions to bound-state problems, with Robin boundary conditions, to the numerical solution of scattering off one-sided potentials. The intuitive picture is that just as bound states are equivalent to properly interfering sets of scattering states, the information of scattering states can be represented as continuous families of bound states. We gave examples of this correspondence, comparing numerical and analytical results. We also described a semiclassical generalization using the WKB approximation.

In the context of previous work on the quantum-mechanical bootstrap, this paper adds control over the problem of scattering, thereby almost completing the bootstrap’s application to “textbook” one-dimensional quantum mechanics.

We say *almost* as there are problems naturally suggested here that we have not addressed. Most notably these include computing not only reflection but also transmission amplitudes, and from these constructing a nontrivial  $S$  matrix. From the perspective of this work, determining transmission and reflection would involve solving a bound-state problem on a finite interval, rather than on the half line. The same problem would occur when considering reflection in a rotationally symmetric scattering problem in higher dimensions: one would need to solve a bound-state problem on the radial direction on a finite interval  $(0, L)$  with an angular momentum barrier. On such a domain—the traditional home of Sturm-Liouville theory—anomalies arise at both boundaries dependent on the (independent) conditions ascribed to each boundary. In principle, solving bound-state problems on the finite interval is well understood, and is easily approached by finite-element methods or by spectral methods. In order to complete the discussion of scattering one should be able to characterize both reflection and transmission entirely in terms of a bound-state problem in an interval in analogy to the present discussion of pure reflection. This generalization is beyond the scope of this paper.

Finally, let us point out that it would be very interesting if one could extend the numerical methods presented here to complex energy  $E$  in order to better understand the  $S$  matrix. With the methods known to us, problems with complex energy  $E$  do not fall into the category of semi-definite programming problems that our implementation of the numerical bootstrap can handle. From the perspective of the SDP solution of the bound-state problem, the positivity constraint  $M \geq 0$  is inherited from unitarity which is in turn inherited from self-adjointness of the Hamiltonian  $H$ . The difficulty lies in that we are fundamentally using the Hermitian properties of the Hamiltonian (with boundary conditions) and the connection between its eigenvalues and eigenstates to solve the problem. These eigenvalues, which characterize  $E(a)$ , must be real.

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