

High-frequency gravitational wave detection via optical frequency modulation

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High frequency gravitational waves can be detected by observing the frequency modulation they impart on photons. We discuss fundamental limitations to this method related to the fact that it is impossible to construct a perfectly rigid detector. We then propose several novel methods to search for $\mathcal{O}(\text{MHz} - \text{GHz})$ gravitational waves based on the frequency modulation induced in the spectrum of an intense laser beam, by applying optical frequency demodulation techniques, or by using optical atomic clock technology. We find promising sensitivities across a broad frequency range.

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I. INTRODUCTION

Our Universe is filled with gravitational waves (GWs) which render space and time themselves highly nonstatic. Photons traveling through such an environment are affected by GW-induced spacetime ripples in manifold ways, reminiscent of the way a watercraft is affected by rough seas.

Here we focus on modulations of the photon frequency which arise due to variations of the gravitational field along the photon trajectory and due to boundary conditions imposed by the photon emitter and absorber, such as Doppler shift. The goals of this paper are twofold: first, we discuss the physics underlying GW-induced photon frequency modulation and calculate its magnitude, with a focus on the distinction between detectors composed of free-falling test masses and detectors that are rigid. While we find that in the latter case the sensitivity grows as $\omega_g L$ (where ω_g is the angular frequency of the GW and L is the size of the detector), we demonstrate that this effect is spurious in the limit of large ω_g . In the second part of this work we propose several promising new methods for searching for high frequency GWs, based on experimental methods from quantum optics: (i) detection of sidebands in the spectrum of an intense laser; (ii) optical frequency demodulation to convert frequency shifts into an amplified electrical signal; (iii) an “optical rectifier” to ensure that the

detected photons have a nonzero net frequency shift which can be measured using atomic clock techniques.

The impact of GWs on photons has previously been studied in Refs. [1–9], while using optical atomic clock technology to search for GWs has been proposed in Refs. [10–12], albeit for much lower frequencies.

II. PHOTON FREQUENCY SHIFT

We are interested in comparing the frequency of a photon, ω_γ , as measured by two different observers which we will denote source (S) and detector (D), respectively. We define the origin of our coordinate system to be the spacetime point at which the photon is emitted. We assume D is placed on the positive x^1 -axis, and that the photon has initial 4-momentum $p^\mu|_{t=0} = (\omega_0, \omega_0, 0, 0)$ in the frame of a free-falling observer. In a frame with metric $g_{\mu\nu}$, an observer moving with four-velocity u^μ will measure a photon frequency $\omega_\gamma = -g_{\mu\nu} p^\mu u^\nu$.

Here we want to investigate possible effects due to tiny space-time perturbations induced by a GW that passes through S and D initially at rest. We write

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu} \quad (1)$$

$$p^\mu = (\omega_0, \omega_0, 0, 0) + \delta p^\mu \quad (2)$$

$$u^\mu = (1, 0, 0, 0) + \delta u^\mu, \quad (3)$$

with $\eta_{\mu\nu} = \text{diag}(-1, 1, 1, 1)$, and with $h_{\mu\nu}$, δp^μ , and δu^μ denoting $\mathcal{O}(h)$ corrections to the corresponding quantities, where h is the GW amplitude (“strain”). We thus obtain

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$$\omega_\gamma = \omega_0(1 + \delta u^0 - \delta u^1 - h_{00} - h_{01}) + \delta p^0 + \mathcal{O}(h^2), \quad (4)$$

where p^0 obeys the geodesic equation

$$\frac{dp^0}{d\lambda} = -\Gamma_{\mu\nu}^0 p^\mu p^\nu = -\omega_0^2(\Gamma_{00}^0 + 2\Gamma_{10}^0 + \Gamma_{11}^0) + \mathcal{O}(h^2). \quad (5)$$

Here, $\Gamma_{\mu\nu}^\rho$ denote the Christoffel symbols and λ is the affine parameter that parameterizes the photon geodesic, with $\lambda=0$ corresponding to $t=0$. At leading order in h , it is sufficient to evaluate the Christoffel symbols at $x^\mu = x_{\lambda,0}^\mu \equiv (\lambda\omega_0, \lambda\omega_0, 0, 0)$. Hence, we find

$$\delta p^0 = -\omega_0^2 \int_0^{\lambda_D} d\lambda' [\Gamma_{00}^0 + 2\Gamma_{10}^0 + \Gamma_{11}^0]_{x^\mu = x_{\lambda',0}^\mu}, \quad (6)$$

with λ_D being the value of λ at the spacetime point where the photon is detected. Plugging the above expression into Eq. (4), and performing some algebra, we arrive at our master formula for the observed frequency shift,

$$\frac{\omega_\gamma^D - \omega_\gamma^S}{\omega_\gamma^D} = -\frac{\omega_0}{2} \int_0^{\lambda_D} d\lambda' \partial_0 [h_{00} + 2h_{10} + h_{11}]_{x^\mu = x_{\lambda',0}^\mu} + [\delta u^0 - \delta u^1]_{(\lambda_D)} - [\delta u^0 - \delta u^1]_{(\lambda_S)}. \quad (7)$$

Here, ω_γ^S is the frequency with which the photon is emitted by the source S at $t=0$, and ω_γ^D is its frequency as measured by D . Let us stress that this result is fully general, and in fact valid for any weak gravitational field. The terms in the first line describe the effect of a varying gravitational field along the entire photon trajectory. The terms in the second line describe additional effects due to source and detector motion.

III. FREE-FALLING DETECTORS

We start with the case of S and D being in free fall. (In practice, one only needs to require that they can move freely in the direction of photon propagation.) This situation is most easily described in the transverse–traceless (TT) gauge, defined by the conditions

$$h_{\mu 0}^{TT} = 0, \quad \partial^i h_{ij}^{TT} = 0, \quad \eta^{ij} h_{ij}^{TT} = 0. \quad (8)$$

In this gauge, observers initially at rest remain at rest, and hence $\delta u^0 = \delta u^i = 0$ [13]. Also the metric perturbation takes a particularly simple form, with

$$h_{11}^{TT}(x^\mu) = h_+ s_\vartheta^2 \cos[\omega_g(x^0 - c_\vartheta x^1 - s_\vartheta x^3) + \varphi_0] \quad (9)$$

for a plane GW propagating in the x^1 – x^3 plane at an angle ϑ from the x^1 axis. Here, φ_0 is the GW phase at $\mathbf{x} = \mathbf{0}$ when the photon is emitted, and $s_\vartheta \equiv \sin \vartheta$ and $c_\vartheta \equiv \cos \vartheta$. The GW strain is denoted by h_+ , with the ‘+’ sign indicating a polarization where one of the two quadrupole axes is aligned with the x^2 axis. GWs with the orthogonal (‘×’)

polarization will not affect photons propagating along the x^1 axis. From Eq. (7), we directly obtain

$$\frac{\omega_\gamma^D - \omega_\gamma^S}{\omega_\gamma^D} = h_+ c_{\vartheta/2}^2 \{\cos \varphi_0 - \cos[\omega_g L(1 - c_\vartheta) + \varphi_0]\}, \quad (10)$$

consistent with previous results [2,8,12]. Here, $L = x_D^0 + \mathcal{O}(h)$ is the coordinate distance between the photon source and detector.

It is instructive to repeat the same calculation in the *proper detector frame*, where spatial coordinates are defined by the distances an observer using a rigid ruler would measure [13,14]. While a source placed at the origin will remain at rest also in this frame, x_D changes with time due to the force exerted by the GW. As expected and explicitly demonstrated in the SM, deriving Eq. (10) in the proper detector frame gives the same result as in the TT frame. However, this calculation reveals an intriguing cancellation between terms coming from the first and second line in Eq. (7). Remarkably, the additional terms that eventually cancel generically grow with $\omega_g L$, that is, if the cancellation could be avoided, they would lead to strongly enhanced experimental sensitivities for $\omega_g \gg 1/L$.

IV. RIGID EXPERIMENTAL SETUPS

Partially motivated by this observation, we next consider a situation where S and D are not free-falling, but are kept at rest in the proper detector frame, i.e., $\delta u^0 = \delta u^i = 0$. This leads to a frequency shift that contains terms growing with $\omega_g L$. In the most favorable case of an incoming GW perpendicular to the laser beam, $\vartheta = \pi/2$, the general result given in the SM simplifies to

$$\frac{\omega_\gamma^D - \omega_\gamma^S}{\omega_\gamma^D} = \frac{h_+}{2} \left\{ \cos \varphi_0 - \omega_g L \sin(\omega_g L + \varphi_0) + \left(\frac{1}{2} \omega_g^2 L^2 - 1 \right) \cos(\omega_g L + \varphi_0) \right\}. \quad (11)$$

At face value this would imply detection prospects that are even enhanced as $\sim (\omega_g L)^2$ at high GW frequencies. To the experienced reader, this may sound too good to be true. And indeed, constructing a perfectly rigid ruler on such scales turns out to be impossible. To show this, we model the material separating the photon source and detector as a chain of harmonic oscillators in the x^1 -direction. Writing the displacement of an oscillator at x^1 from its rest position as ξ , the oscillator equation for such a system is [13]

$$\ddot{\xi} - \frac{\omega_0^2 L^2}{\pi^2} \xi'' + \gamma \dot{\xi} = \frac{1}{2} x^1 \ddot{h}_{11}^{TT}, \quad (12)$$

where $\ddot{\xi} \equiv d^2 \xi / dt^2$, $\xi'' \equiv d^2 \xi / d(x^1)^2$, ω_0 is the resonance frequency of the fundamental mode of the system, γ is a

damping coefficient, and we have again used $\vartheta = \pi/2$. Note that Eq. (12) is the equation of motion of an oscillator in the proper detector frame, even though the metric perturbation is the much simpler one from the TT frame. In the limit $\omega_g \gg \omega_0, \gamma$, the last two terms on the left hand side become negligible, since $\xi \propto hL$, $\dot{\xi} \propto \omega_g hL$, $\ddot{\xi} \propto \omega_g^2 hL$, $\xi'' \propto h/L$, and $\ddot{h}_{11}^{TT} \sim \omega_g^2 h$. In this limit, the equation therefore becomes identical to that of a free-falling test mass in the PD frame (see SM), with correction terms suppressed by ω_0^2/ω_g^2 . At large ω_g , the two extremities of our “rigid” setup are thus responding to the GW just like free-falling test masses would, which is quite the opposite of what one would expect from a rigid system. (In the opposite limit $\omega_g \ll \omega_0$, on the other hand, we recover the expected behavior of a rigid ruler [13].)

The fact that Eq. (11) cannot be naïvely applied for $\omega_g L \gtrsim v_s$, where v_s is the velocity of sound in the detector, is by itself an important observation for the construction of high frequency GW detectors and one of the main results of this letter. Our explicit calculations above assume a very simple setup, i.e., a source and detector separated by some material. Similar arguments will however also apply to more complex systems, such as electronic equipment used to generate “static” electromagnetic fields in electromagnetic GW detectors [15,16]. Moreover, even though using the idealized setup of a rigid ruler, our discussion illustrates the general importance of the boundary conditions in Eq. (7). Suitable choices of material and suspension thus have the potential of influencing the sensitivity of high frequency GW searches, and need to be studied carefully on a case-by-case basis.

V. FREQUENCY MODULATION OF A LASER BEAM

We now consider a continuous flux of photons in a laser beam. In Eqs. (10) and (11), the photon frequency is then modulated by the phase $\varphi_0(t) = \omega_g t$ of the GW at the time of photon emission, where (without loss of generality) we have set the phase to zero at $t = 0$. As we observe the photons arrive at the detector over some finite time interval, φ_0 oscillates with frequency ω_g . For a photon coherence length $\gg 1/\omega_g$, this leads to sidebands at $\omega_\gamma^\pm \equiv \omega_\gamma^S \pm \omega_g$ in the spectrum. Quantitatively, the emitted photon wave takes the form $A(t, 0) = A_\gamma \cos(\omega_\gamma^S t + \phi_\gamma)$, with amplitude A_γ and phase ϕ_γ . After propagation, this becomes

$$\begin{aligned} \frac{A(t, L)}{A_\gamma} &= \cos\left(\int_0^t \omega_\gamma^D(t') dt' + \phi_\gamma'\right) = \cos(\omega_\gamma^S t + \phi_\gamma') \\ &+ \frac{h_+ \omega_\gamma^S}{4 \omega_g} [\widetilde{\sin}(\omega_\gamma^S, -\omega_g L) + \widetilde{\sin}(\omega_\gamma^S, \omega_g L) + \widetilde{\sin}(\omega_\gamma^-) \\ &- \widetilde{\sin}(\omega_\gamma^-, \omega_g L) - \widetilde{\sin}(\omega_\gamma^+) + \widetilde{\sin}(\omega_\gamma^+, \omega_g L)], \quad (13) \end{aligned}$$

where we have introduced $\widetilde{\sin}(\omega, \varphi) \equiv \sin(\omega t + \phi_\gamma' + \varphi)$, denoting with ϕ_γ' the photon phase at $t = 0$ and $x = L$. For simplicity, we have assumed here and in the following that source and detector are freely falling, as in Eqs. (10) and (11), and that $\vartheta = \pi/2$. The first term on the right-hand side of Eqs. (13) is the carrier wave, i.e., the unperturbed photon signal. The first two terms in the second line describe tiny corrections to the amplitude of the carrier wave; these are irrelevant in practice. The remaining four terms generate the sidebands. In the following, we discuss three different ways that may allow the detection of such a signal.

VI. DIRECT OBSERVATION OF SIDEBANDS

For large ω_g , the sidebands in Eq. (13) are separated by a relatively large frequency gap from the carrier frequency ω_γ^S . However, their *intensity* is suppressed by h_+^2 . (Experimental attempts to detect the interference term, linear in h_+ , would have to deal with an overwhelming background of photons from the main carrier line. Heterodyne detection schemes, modulating the carrier line with a beat frequency such that the frequency difference between this beat frequency and the GW frequency becomes tractable for readout, may provide an interesting possibility to overcome this challenge and are left for future work.) Detecting such faint sidebands requires a powerful photon source that is highly monochromatic, complemented by a very efficient optical filter system that removes the carrier frequency after the photons have propagated to the detector.

In this respect, optical cavities or techniques from fiber optics may offer a promising avenue towards tabletop high frequency GW detectors. Let us consider a filter of width $\Delta\lambda$ which suppresses the main carrier frequency by $\alpha_T \ll 1$ while ensuring an $\mathcal{O}(1)$ transmission at the location of the sideband. In Fig. 1 we consider filter efficiencies of $\alpha_T = 10^{-10} \dots 10^{-20}$ and a bandwidth of $\Delta\lambda \simeq 100$ kHz, which may e.g., be achieved by employing optical cavities tuned to the sideband frequency [17], or potentially also by stacking multiple fiber Bragg gratings [18,19]. We will neglect propagation effects induced by the GW in this filtering system, noting that they can be suppressed by choosing a suitable geometry (e.g., parallel to the incoming GW).

We will further assume that the sensitivity to a gravitational wave signal is only limited by the requirement to find a sufficiently large number s of signal photons in the side bands. From Eq. (13), we have $s \simeq (P\tau/\omega_\gamma^S) \times h_+^2 (\omega_\gamma^S/\omega_g)^2 \min(1, \omega_g^2 L^2)$, where P is the laser power and τ is the signal duration or measurement time, whichever is shorter. The sensitivity curves we show as orange lines in Fig. 1 assume a mW laser emitting at a wave length of 1500 nm. They are based on requiring s to be larger than the square root of the number of spillover photons from the carrier mode, $n_{s.o.} \simeq \alpha_T P\tau/\omega_\gamma^S$ plus the number of photons due to thermal noise in the optical filter system,

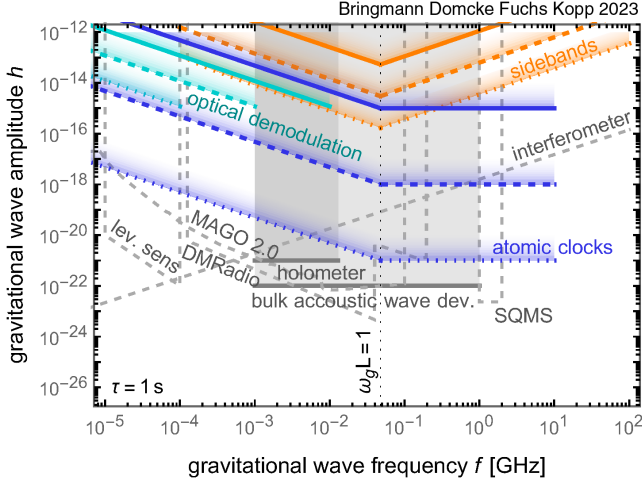


FIG. 1. Sensitivity estimates for the three novel high frequency GW detection methods proposed here (colored lines). For each proposal we show the sensitivity under conservative (solid), realistic (dashed), and optimistic (dotted) assumptions for the achievable experimental sensitivity, in particular $(\alpha_{\tau}, \alpha_{\text{th}}) = \{(10^{-10}, 10^{-15}), (10^{-15}, 10^{-17}), (10^{-20}, 10^{-19})\}$, $\sigma = 10, 1, 0.1$ MHz and $\delta = 10^{-15}, 10^{-18}, 10^{-21}$. In all cases we have set $\tau = 1$ s, $L = 1$ m, $\omega_{\gamma}^S/2\pi = 2 \times 10^{14}$ Hz and $P = \text{mW}$. The gray shaded regions indicate other existing (solid) and proposed (dashed) experiments, in particular interferometers (extrapolated from Ref. [22]), levitated sensors [23], axion haloscopes such as DMRadio GUT [16], the holometer experiment [24], bulk acoustic wave devices [25] and microwave cavities like SQMs [15] and MAGO 2.0 [26].

$n_{\text{th}} \simeq p^{\text{th}}(\omega_{\gamma}^S + \omega_g, \alpha_{\text{th}})P\tau/\omega_{\gamma}^S$. Here, we take the thermal noise spectrum $p^{\text{th}}(\omega, \alpha_{\text{th}})$ to be a Lorentzian centered at ω and with relative width α_{th} . Optical fiber links with noise levels below $\alpha_{\text{th}} \simeq 10^{-17}$ have been described in Refs. [20,21].

VII. OPTICAL DEMODULATION

A GW modulates the frequency of a propagating photon in the same way an FM radio transmitter modulates the frequency of an FM carrier, for which advanced demodulation techniques exist. Inspired by Refs. [27,28], we propose here to split the incoming photon beam and filter it through two slightly detuned optical cavities (alternatively, a setup using fiber Bragg gratings could be envisioned). The system is adjusted such that the carrier frequency lies exactly between the transmission peaks of the two cavities, and the two filtered components interfere destructively in a photon detector. A slight frequency shift due to the modulation will then enhance the signal in one cavity with respect to the other, disrupting the destructive interference and thus creating a nonzero signal in the detector. This signal is converted into a voltage whose evolution with time carries the information originally encoded in the optical wave.

The main challenge is the tiny $\mathcal{O}(h)$ amplitude of the frequency modulation. Notably, the relative width of the carrier mode should be smaller than h —in which case the Heisenberg uncertainty principle dictates coherence times much longer than $2\pi/\omega_g$. Another relevant consideration is laser shot noise. The degree to which destructive interference between the two filtered beams can be realized is subject to Poisson fluctuations in the intensity of each mode. Assuming transmission profiles shifted by half of the profile width σ to either side of the carrier frequency, we estimate the number of signal photons after interference as $s \simeq h_+ P\tau \min(\omega_g L, 1)/\sigma$. Notably, the number of signal photons now scales linearly and no longer quadratically with the GW amplitude. Requiring s to be larger than the square root of the shot noise, $n_{\text{sh}} \simeq P\tau/\omega_{\gamma}^S$, yields the sensitivity estimates shown in Fig. 1 (cyan lines). In the plot, we have considered values of σ between 10 MHz and 0.1 MHz. In this case thermal noise is subdominant as long as $\alpha_{\text{th}}\omega_{\gamma}^S \ll \sigma$. Note that small σ implies long integration times (high finesse); for $\omega_g \gtrsim \sigma$, the photons’ retention time inside the cavity would be $> 1/\omega_g$, and the signal would average to zero, as indicated by the endpoint of the cyan lines in Fig. 1.

VIII. ATOMIC CLOCK TECHNIQUES

The most powerful methods for detecting tiny frequency shifts in optical signals have been developed in the context of optical atomic clocks [29,30], allowing e.g., for gravitational redshift measurements over $\mathcal{O}(\text{mm})$ distances [31]. The techniques of Refs. [10–12], however, based on optical lattice clocks in a space-based GW detector, are not directly applicable for our purposes: achieving the desired frequency resolution would require extremely narrow optical lines, and hence long integration times beyond several seconds. The effect of a high frequency GW would average out over such time intervals.

Here, we propose to use an “optical rectifier” to prevent averaging. In the simplest case, this means blocking the optical signal during half of each GW period using a shutter. The net frequency shift of the photons will then be nonzero, $\Delta\omega_{\gamma} \sim h_+ \omega_{\gamma}^S \min(1, \omega_g L)$, and can be detected for instance by comparing the shifted photon frequency to an atomic reference transition using Ramsey spectroscopy [12]. By splitting off part of the beam before the optical rectifier, the laser can be locked to the atomic transition such that a passing GW appears as de-tuning of the beam passing the optical rectifier. Such an approach seemingly requires prior knowledge of the GW frequency and phase, which is of course not possible. However, if the GW signal features a broad, or time-varying, frequency spectrum, being sensitive in only a very narrow frequency interval is sufficient to make a detection. We propose to operate at least two detectors in parallel, with the phases of their optical rectifiers offset by $\pi/2$, such that at least one of them can observe a nonzero signal.

The resulting GW sensitivity shown in Fig. 1 (blue lines) is based on a sensitivity to frequency shifts of $\Delta\omega_\gamma/\omega_\gamma^S \simeq \delta \cdot (1 \text{ sec}/\tau)^{1/2}$, assuming that the measurement is limited by statistical uncertainty. Here, as before, $\Delta\omega_\gamma$ is given by Eq. (10). Accuracies of $\delta \simeq \{3 \times 10^{-18}, 9.7 \times 10^{-18}\}$ have been achieved with optical clock techniques in Refs. [31,32], respectively. With precision doubling roughly every year [30,33], significant future improvements seem possible. ^{229}Th nuclear clocks [34,35] are expected to reach even better precision. The method outlined here is limited at low frequencies by the stability of the laser and at high frequencies by the shutter speed, but we expect it to be feasible within the frequency range shown in Fig. 1.

IX. COMPARISON WITH OTHER LIMITS

Gray lines in Fig. 1 show existing (solid, shaded) and projected (dashed) limits on high frequency GWs from the literature. We caution that these serve to guide the eye only, due to different search strategies and assumptions on the signal duration. Concretely, for Refs. [22–25] quoting bounds or projected sensitivities in terms of a power spectral density, $(S_n)^{1/2}$, we show the limit on the amplitude of coherent signals obtained as $h < (S_n/\tau)^{1/2}$ whereas for Refs. [15,16,26] quoting bounds on the dimensionless gravitational wave amplitude based on an observation time T_{obs} , we rescale the limits as $(T_{\text{obs}}/\tau)^{1/4}$, assuming the coherence of the signal to be limited only by the signal duration. For recasting [16] we have assumed an observation time per frequency bin T_{obs} of 1 s, for [15] we work with the values quoted in those references. Finally, for [26] we show the limits on the GW amplitude as quoted therein since with the proposed scanning strategy, the sensitivity is limited by the measurement time per frequency and not the signal duration in most of the parameter space of interest. In Fig. 1 we adopted a common signal duration of $\tau = 1$ sec (in the SM we show, for comparison, the case of $\tau = 10^5$ sec). See Ref. [36] for details.

X. CONCLUSIONS

We revisited the frequency modulation of photons in a GW background, pointing out fundamental limits to detecting this effect and proposing three novel experimental setups which promise highly competitive sensitivities to high frequency GWs. We stress that the methods outlined here are in no way expected to be exhaustive of the possibilities of searching for GW induced frequency shifts in optical systems. In fact, our work aims to trigger more in-depth studies of these and related ideas. To further aid this development, we summarize in the SM some of our “failed attempts” of using electromagnetic precision experiments in this context, hoping that the lessons learned from these considerations might be instructive.

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