

Defining eccentricity for gravitational wave astronomy

Md Arif Shaikh^{1,2,*} Vijay Varma^{3,4,†} Harald P. Pfeiffer³ Antoni Ramos-Buades³ and Maarten van de Meent^{3,5}

¹*Department of Physics and Astronomy, Seoul National University, Seoul 08826, Korea*

²*International Centre for Theoretical Sciences, Tata Institute of Fundamental Research, Bangalore 560089, India*

³*Max Planck Institute for Gravitational Physics (Albert Einstein Institute), D-14476 Potsdam, Germany*

⁴*Department of Mathematics, Center for Scientific Computing and Data Science Research, University of Massachusetts, Dartmouth, Massachusetts 02747, USA*

⁵*Niels Bohr International Academy, Niels Bohr Institute, Blegdamsvej 17, 2100 Copenhagen, Denmark*



(Received 23 February 2023; accepted 22 September 2023; published 3 November 2023)

Eccentric compact binary mergers are significant scientific targets for current and future gravitational wave observatories. To detect and analyze eccentric signals, there is an increasing effort to develop waveform models, numerical relativity simulations, and parameter estimation frameworks for eccentric binaries. Unfortunately, current models and simulations use different internal parametrizations of eccentricity in the absence of a unique natural definition of eccentricity in general relativity, which can result in incompatible eccentricity measurements. In this paper, we adopt a standardized definition of eccentricity and mean anomaly based solely on waveform quantities and make our implementation publicly available through an easy-to-use Python package, `gw_eccentricity`. This definition is free of gauge ambiguities, has the correct Newtonian limit, and can be applied as a postprocessing step when comparing eccentricity measurements from different models. This standardization puts all models and simulations on the same footing and enables direct comparisons between eccentricity estimates from gravitational wave observations and astrophysical predictions. We demonstrate the applicability of this definition and the robustness of our implementation for waveforms of different origins, including post-Newtonian theory, effective-one-body, extreme mass ratio inspirals, and numerical relativity simulations. We focus on binaries without spin precession in this work, but possible generalizations to spin-precessing binaries are discussed.

DOI: [10.1103/PhysRevD.108.104007](https://doi.org/10.1103/PhysRevD.108.104007)

I. INTRODUCTION

The gravitational wave (GW) detectors LIGO [1] and Virgo [2] have observed a total of ~ 90 compact binary coalescences so far [3], which includes binary black holes (BHs) [4], binary neutron stars (NSs) [5], and BH-NS binaries [6]. One of the key goals of GW astronomy is to understand how such compact binaries form in nature. The astrophysical source properties inferred from the GW signals carry valuable clues about the origin of these binaries. In particular, the spins of the compact objects and the eccentricity of the orbit are powerful GW observables for this purpose.

If the spins are aligned with the orbital angular momentum, the orbital plane remains fixed throughout the evolution. If the spins are tilted, on the other hand, the spins interact with the orbit, causing the orbital plane to precess on a timescale of several orbits [7,8]. Spin precession leaves a direct imprint on the GW signal and can be used to

distinguish between possible binary formation mechanisms. For example, while isolated binaries formed in galactic fields are expected to have aligned spins [9], binaries formed via random encounters in dense stellar clusters can have randomly oriented spins [9]. To reliably extract this astrophysical information from GW signals, accurate waveform models [10–15] and GW data analysis methods [16–18] that capture the effects of spin precession have been developed.

By contrast, orbital eccentricity leads to bursts of GW radiation at every pericenter (point of closest approach) passage [19,20], which appear as orbital timescale modulations of the GW amplitude and frequency [21]. The eccentricity of GW signals carries information about the binary formation mechanism that is complementary to what can be learned from spin precession alone. For example, isolated galactic-field binaries are expected to become circularized via GW emission [19,20] before they enter the LIGO-Virgo frequency band [9]. Because eccentric signals are considered less likely for LIGO-Virgo, most analyses to date (e.g., Ref. [3]) ignore eccentricity. However, binaries formed via random encounters in dense clusters can merge before they can circularize, thereby

*arifshaikh.astro@gmail.com

†vijay.varma@aei.mpg.de

entering the LIGO-Virgo band with a finite eccentricity [9]. Similarly, in hierarchical triple systems, the tidal effect of the tertiary can excite periodic eccentricity oscillations of the inner binary [22], resulting in high-eccentricity mergers in the LIGO-Virgo band [23].

LIGO-Virgo observations can be used to ascertain whether the assumptions of small eccentricity are valid and to measure any nonzero eccentricity that may be present. Therefore, eccentricity measurements and/or upper limits from GW signals are highly sought after, and several groups have already analyzed the observed signals to obtain information on eccentricity [24–31]. As LIGO-Virgo, now joined by KAGRA [32], continue to improve [33], and with next-generation ground-based detectors expected in the 2030s [34–37], future observations will enable stronger constraints on eccentricity.

The case for eccentric signals is stronger for the future space-based GW observatory Laser Interferometer Space Antenna (LISA), which will see the earlier inspiral phase of some of the BH mergers observed by LIGO-Virgo [38–40], at which point they may still have larger eccentricity. Furthermore, mergers of supermassive black hole binaries observed by LISA may have significant eccentricity if triple dynamics played a role in overcoming the final parsec problem [41]. Finally, LISA will observe the mergers of stellar mass compact objects with supermassive black holes, the so-called extreme mass ratio inspirals (EMRIs). EMRIs are expected to primarily be formed through dynamical capture leading to high eccentricities when entering the LISA band [39].

Driven by these observational prospects, there has been an increasing effort to develop waveform models [42–61], gravitational self-force calculations [62–74], numerical relativity (NR) simulations [75–81], and source parameter estimation methods [24–31,40,82–89] that include the effects of eccentricity. In addition to these efforts, one important obstacle needs to be overcome in order to reliably extract eccentricity from GW signals: Eccentricity is not uniquely defined in general relativity [21], and, therefore, most waveform models and simulations use custom internal definitions that rely on gauge-dependent quantities like binary orbital parameters or compact object trajectories. As a result, the eccentricity inferred from GW signals can be riddled with ambiguity and can even be incompatible between different models [87]. Such ambiguities propagate into any astrophysical applications, including using eccentricity to identify the binary formation mechanism. To resolve this problem, there is a need for a standardized definition of eccentricity for GW applications.

In addition to eccentricity, one needs two more parameters to fully describe an eccentric orbit—one describing the current position of the bodies on the orbit relative to the previous pericenter passage and the other describing the size of the orbit. Mean anomaly [21,48,90], which is the fraction of the orbital period (expressed as an angle) that

has elapsed since the last pericenter passage, can be used as the first parameter.¹ The size of the eccentric orbit can be described, for example, by the semimajor axis a which is related to the orbital period P as $a^3 \propto P^2$ for a Keplerian orbit. For general relativistic orbits, the orbital period decreases as the binary inspirals, while the frequency increases. While the GW frequency itself can be non-monotonic for eccentric binaries, as we will discuss in Sec. II E, one can construct an orbit-averaged frequency that is monotonically increasing. Using such an orbit-averaged frequency, one can construct a one-to-one map between the orbit-averaged frequency and the orbital period (and, therefore, the semimajor axis). Thus, a reference frequency like the orbital-averaged frequency can be used to describe the size of the orbit.

A good definition of eccentricity should have the following features:

- (A) To fully describe an eccentric orbit at a given reference frequency, two parameters are required: eccentricity and mean anomaly. Therefore, the definition should include both eccentricity and mean anomaly.
- (B) To avoid gauge ambiguities, eccentricity and mean anomaly should be defined using only observables at future null infinity, like the gravitational waveform.
- (C) In the limit of large binary separation, the eccentricity should approach the Newtonian value, which is uniquely defined.
- (D) The standardized definition should be applicable over the full range of allowed eccentricities for bound orbits (0–1). It should return zero for quasi-circular inspirals and limit to one for marginally bound “parabolic” trajectories.
- (E) Because the eccentricity and mean anomaly vary during a binary’s evolution, one must pick a point in the evolution at which to measure them. This is generally taken to be the point where the GW frequency reaches a certain reference value f_{ref} (typically 20 Hz [3]). However, because eccentricity causes modulations in the GW frequency, the same f_{ref} can occur at multiple points. Therefore, the standardized definition should also prescribe how to select an unambiguous reference point for eccentric binaries.
- (F) As current GW detectors are sensitive to frequencies only above a certain f_{low} (typically 20 Hz [3]), when using time-domain waveforms, one typically discards all times below t_{low} , chosen so that the GW frequency crosses 20 Hz at t_{low} . Once again, because the GW frequency is nonmonotonic, the

¹While mean anomaly is the most convenient choice in our experience, other choices for the second parameter [91] like the “true anomaly” are also possible.

standardized definition should prescribe how to select t_{low} for eccentric binaries.

Additionally, the following features, while not strictly required, can be important for practical applications:

- (a) In the limit of large mass ratio, the eccentricity should approach the test particle eccentricity on a Kerr geodesic. Since the geodesic eccentricity is not uniquely defined, it is not strictly required that the standard definition of eccentricity matches the geodesic eccentricity defined in any particular coordinates. As described in Sec. IV A, the definition adopted in this work only approximately matches the geodesic eccentricity defined in the Boyer-Lindquist coordinates.
- (b) The eccentricity and mean anomaly computation should be computationally inexpensive and robust across binary parameter space and be applicable to a broad range of waveform models and NR simulations. Thus, most models and simulations can continue to rely on their internal eccentricity definitions, as it is most convenient to conduct source parameter estimation using the internal definitions. However, if the computation is cheap and robust, one can convert posterior samples from the internal definition to the standardized one as a postprocessing step, thus putting all models and simulations on the same footing.

In this paper, we adopt a standardized eccentricity and mean anomaly definition that meets all of the criteria in the first list of (required) features and also satisfies the criteria in the second list of (desired but not strictly required) features to a great extent. Over the past few years, there have been several attempts to standardize the definition of eccentricity [48,84,84,88] or map between different definitions [87], but these approaches either ignore mean anomaly or do not have the correct limits at large separation or large mass ratio [77]. More recently, Ref. [77] introduced a new definition that has the correct limits, which we adopt in this work. We rigorously test and demonstrate the robustness of our implementation on eccentric waveforms spanning the full range of eccentricities and different origins: post-Newtonian (PN) theory, NR, effective-one-body (EOB), and EMRIs.

While we focus on eccentric binaries without spin precession for simplicity, we include a discussion of how our methods can be extended to spin-precessing eccentric systems. In addition, we describe how f_{ref} and t_{low} should be generalized for eccentric binaries, along with a discussion on the benefit of using dimensionless reference points [92]. Our computation is very cheap, and our implementation can be used directly during source parameter estimation or as a postprocessing step. We make our implementation publicly available through an easy-to-use Python package `gw_eccentricity` [93].

This paper is organized as follows. In Sec. II, we describe the standardized eccentricity and mean anomaly definitions, along with a discussion of how to generalize f_{ref} and

f_{low} . In Sec. III, we provide implementation details, along with different choices for capturing the eccentricity modulations in waveforms. In Sec. IV, we demonstrate the robustness of our implementation on waveforms of different origins and over the full range of eccentricities. We finish with some concluding remarks in Sec. V.

II. DEFINING ECCENTRICITY

A. Notation and conventions

The component masses of a binary are denoted as m_1 and m_2 , with $m_1 \geq m_2$, total mass $M = m_1 + m_2$, and mass ratio $q = m_1/m_2 \geq 1$. The dimensionless spin vectors of the component objects are denoted as χ_1 and χ_2 and have a maximum magnitude of 1. For binaries without spin precession, the direction of the orbital angular momentum L is fixed and is aligned to the z axis by convention. For these binaries, the spins are constant and are aligned or antialigned to L , meaning that the only nonzero spin components are χ_{1z} and χ_{2z} .

The plus (h_+) and cross (h_\times) polarizations of GWs can be conveniently represented by a single complex time series $\hat{h} = h_+ - ih_\times$. The complex waveform on a sphere can be decomposed into a sum of spin-weighted spherical harmonic modes $\hat{h}_{\ell m}$, so that the waveform along any direction (ι, φ_0) in the binary's source frame is given by

$$\hat{h}(t, \iota, \varphi_0) = \sum_{\ell=2}^{\ell=\infty} \sum_{m=-\ell}^{m=\ell} \hat{h}_{\ell m}(t) {}_{-2}Y_{\ell m}(\iota, \varphi_0), \quad (1)$$

where ι and φ_0 are the polar and azimuthal angles on the sky in the source frame, respectively, and ${}_{-2}Y_{\ell m}$ are the spin $= -2$ weighted spherical harmonics. Unless the total mass and/or distance are explicitly specified, we work with the waveform at future null infinity scaled to unit total mass and distance for simplicity. We also shift the time array of the waveform such that $t = 0$ occurs at the peak of the amplitude of the dominant (2, 2) mode.² We note, however, that the implementation in `gw_eccentricity` [93] handles waveforms in arbitrary units and time conventions.

B. Eccentricity definitions used in PN, EOB, self-force, and NR

Because eccentricity is not uniquely defined in general relativity, a wide variety of definitions of eccentricity exists. At Newtonian order, eccentricity can be uniquely defined as [94]

$$e_{\text{Newt}} = \frac{r^{\text{a}} - r^{\text{p}}}{r^{\text{a}} + r^{\text{p}}}, \quad (2)$$

²When generalizing to spin-precessing binaries, this should be replaced by the total waveform amplitude, defined in Eq. (5) in Ref. [10].

where r^a and r^p are the separations at apocenter (point of furthest approach) and pericenter (point of closest approach), respectively. Starting at 1PN order, the Keplerian parametrization can be extended to the so-called *quasi-Keplerian* parametrization where three different eccentricity parameters are defined: the radial e_r , temporal e_t , and angular e_ϕ eccentricities, each of which has the same Newtonian limit [21]. These quantities can be defined in terms of the conserved energy and angular momentum but depend on the gauge used [50].

The Bondi energy and angular momentum of a binary can be accessed from the metric at future null infinity and are (nearly) gauge invariant. One might, therefore, hope to formulate a definition of eccentricity based purely on these two quantities that satisfies all of our requirements. Unfortunately, this will be challenging for the following reasons: Suppose we define eccentricity as some function $e(E, J)$ of the Bondi energy E and angular momentum J . The equation $e = 0$ will generically define a one-dimensional subset of the (E, J) plane, which would be shared by all quasicircular inspirals. However, the track followed by a quasicircular binary through the (E, J) plane depends on the mass ratio and the spins (e.g., see Ref. [95]). Consequently, a definition of eccentricity based purely on E and J cannot assign zero eccentricity to all quasicircular inspirals; i.e., it cannot satisfy requirement (D) above.

One might further hope to overcome this by adding an explicit dependence on the mass ratio and spins to the definition. But this cannot account for the fact that different inspiral models will, in general, still not agree on the location of the $e = 0$ locus. Consequently, whatever reference model is chosen as the basis for the definition, it will be unable to assign zero eccentricity to quasicircular inspirals produced by all models. This is made worse by the fact that the $e = 0$ locus represents the edge of the allowable range of E and J ; if the values of E and J for some model lie outside the range of the reference model (e.g., see Ref. [77]), analytically inverting the relationship with e would assign a complex value to e .

Finally, one might hope to cure this behavior by basing the definition of e on $E - E_{\text{qc}}$, where E_{qc} is the energy of the quasicircular counterpart with same angular momentum (and mass ratio and spins) as the model being measured. However, E_{qc} is not something that can be inferred from observables at null infinity [violating requirement (B)]. Furthermore, E_{qc} may not be straightforward to obtain in some models (e.g., numerical relativity), unless one relies on a reference model, which comes with the problems noted above. We, therefore, do not take this approach. Similar objections arise with gauge-invariant definitions of eccentricity based on the radial and azimuthal periods, as are commonly used to facilitate gauge-invariant comparisons between self-force and PN results for eccentric orbits [96–98].

In the EOB formalism, initial conditions for the dynamics are prescribed in terms of an eccentricity parameter defined within the quasi-Keplerian parametrization [46,47,99–101]. Thus, the gauge dependency of the eccentricity parameter also extends to the EOB waveforms [46,47]. In self-force calculations for EMRIs, one typically uses an eccentricity definition based on the turning points of the underlying geodesics [42,43,56–60]. This is inherently dependent on the coordinates used for the background spacetime and picks up further gauge ambiguities at higher orders in the mass ratio. For NR waveforms, the compact object trajectories are used to define eccentricity, typically by fitting to analytical PN (or Newtonian) expressions [102–105]. This also inherently depends on the gauge employed in the simulations.

C. Defining eccentricity using the waveform

A more convenient definition of eccentricity that can be straightforwardly applied to waveforms of all origins was proposed in Ref. [106]:

$$e_{\Omega_{\text{orb}}}(t) = \frac{\sqrt{\Omega_{\text{orb}}^p(t)} - \sqrt{\Omega_{\text{orb}}^a(t)}}{\sqrt{\Omega_{\text{orb}}^p(t)} + \sqrt{\Omega_{\text{orb}}^a(t)}}, \quad (3)$$

where $\Omega_{\text{orb}}^p(t)$ is an interpolant through the orbital frequency $\Omega_{\text{orb}}(t)$ evaluated at pericenter passages and likewise for $\Omega_{\text{orb}}^a(t)$ at apocenter passages. Because eccentricity causes a burst of radiation at pericenters, the times corresponding to pericenters are identified as local maxima in $\Omega_{\text{orb}}(t)$, while apocenters are identified as local minima. Equation (3) was used, for example, in Ref. [107] to analyze generic spin-precessing and eccentric binary BH waveforms. Unfortunately, because Ω_{orb} is computed using the compact object trajectories, Eq. (3) is also susceptible to gauge choices, especially for NR simulations.

Nevertheless, Eq. (3) has the important quality that it can be applied to waveforms of all origins. Furthermore, Eq. (3) has the correct Newtonian limit. This is easily seen using Kepler's second law $\Omega_{\text{orb}} \propto 1/r^2$, where r is the binary separation [94,108]. Using this relation in Eq. (3), one finds that $e_{\Omega_{\text{orb}}}$ matches e_{Newt} from Eq. (2).

The main limitation of Eq. (3) is that Ω_{orb} is gauge dependent. To remove such dependence, one must turn to the waveform at future null infinity, which is where our detectors are approximated to be with respect to the source. The emitted GWs can be obtained at future null infinity, for example, by evolving Einstein's equations along null slices [109–115]. While the waveform at future null infinity is unique up to Bondi-Metzner-Sachs (BMS) transformations, this freedom can be fixed using BMS charges [116]. In the rest of this paper, we assume this freedom has been fixed, but our method can also be applied to waveforms specified in any given frame.

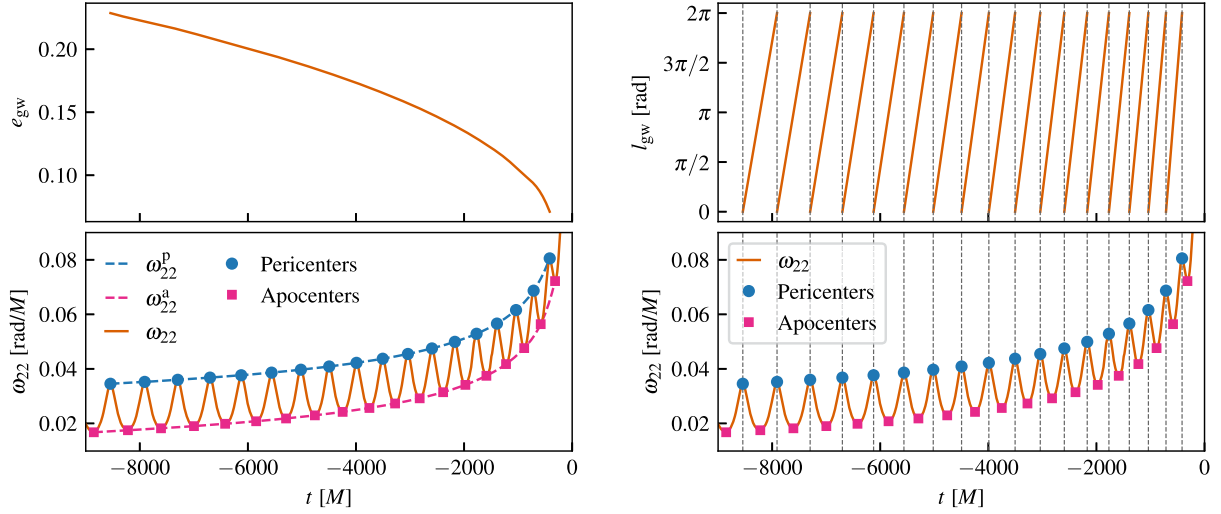


FIG. 1. Eccentricity and mean anomaly measured using the waveform from an equal-mass nonspinning eccentric NR simulation (SXS:BBH:2312 [48,117]). Left: time evolution of the eccentricity e_{gw} (upper panel) and frequency of the (2, 2) waveform mode ω_{22} (lower panel). $\omega_{22}^{\text{p}}(t)$ and $\omega_{22}^{\text{a}}(t)$ are interpolants through $\omega_{22}(t)$ evaluated at the pericenters (blue circles) and apocenters (pink squares), respectively. Equation (8) is used to compute $e_{\text{gw}}(t)$ given $\omega_{22}^{\text{p}}(t)$ and $\omega_{22}^{\text{a}}(t)$. Right: time evolution of the mean anomaly l_{gw} (upper panel) and ω_{22} (lower panel). The vertical dashed gray lines denote the pericenter times. $l_{\text{gw}}(t)$ grows linearly in time from 0 to 2π between successive pericenters [Eq. (10)].

For a gauge-independent definition of eccentricity, we seek an analog of Eq. (3) that depends on only the waveform $\mathcal{h}_{\ell m}$. The simplest possible generalization [46,48,84,88] is to replace the trajectory-dependent orbital frequency $\Omega_{\text{orb}}(t)$ in Eq. (3) with the frequency of the dominant (2,2) mode $\omega_{22}(t)$:

$$e_{\omega_{22}}(t) = \frac{\sqrt{\omega_{22}^{\text{p}}(t)} - \sqrt{\omega_{22}^{\text{a}}(t)}}{\sqrt{\omega_{22}^{\text{p}}(t)} + \sqrt{\omega_{22}^{\text{a}}(t)}}, \quad (4)$$

where $\omega_{22}^{\text{p}}(t)$ and $\omega_{22}^{\text{a}}(t)$ are interpolants through $\omega_{22}(t)$ evaluated at pericenters and apocenters, respectively. ω_{22} is obtained from \mathcal{h}_{22} as follows:

$$\mathcal{h}_{22}(t) = A_{22}(t)e^{-i\phi_{22}(t)}, \quad (5)$$

$$\omega_{22}(t) = \frac{d\phi_{22}(t)}{dt}, \quad (6)$$

where A_{22} is the amplitude and ϕ_{22} the phase of \mathcal{h}_{22} .

In Eq. (4), the pericenter and apocenter times can be chosen to correspond to local maxima and minima, respectively, in $\omega_{22}(t)$. This procedure is illustrated in the bottom-left panel in Fig. 1. It is not guaranteed that the local extrema of ω_{22} coincide with the local extrema of Ω_{orb} . Instead, we can *define* the local extrema of ω_{22} to correspond to pericenters and apocenters. Other choices for assigning pericenter and apocenter times and their impact on the eccentricity will be discussed in Sec. III.

Because of its simplicity and gauge-independent nature, Eq. (4) has been applied to parametrize eccentric

waveforms as well as GW data analysis [46,48,84,88]. However, as shown in Ref. [77], this definition of eccentricity does not have the correct Newtonian limit at large separations. In particular, in the small eccentricity limit at Newtonian order, one obtains [77]

$$\lim_{e_t \rightarrow 0} e_{\omega_{22}}^{\text{OPN}} = \frac{3}{4}e_t + \mathcal{O}(e_t^3), \quad (7)$$

where e_t is the temporal eccentricity used in PN theory, which matches the Newtonian eccentricity at Newtonian order [21].

This discrepancy can be resolved by using the following transformation [77]:

$$e_{\text{gw}} = \cos(\Psi/3) - \sqrt{3} \sin(\Psi/3), \quad (8)$$

where

$$\Psi = \arctan\left(\frac{1 - e_{\omega_{22}}^2}{2e_{\omega_{22}}}\right). \quad (9)$$

Equation (8) has the correct Newtonian limit over the full range of eccentricities [77], and we adopt this definition in this work. As we will show in Sec. IV A, e_{gw} also approximately matches the geodesic eccentricity in the extreme mass ratio limit, while $e_{\omega_{22}}$ does not.

The top-left panel in Fig. 1 shows an example evaluation of $e_{\text{gw}}(t)$ for an NR simulation produced using the Spectral Einstein Code [117,118] (SpEC), developed by the Simulating eXtreme Spacetimes (SXS) Collaboration [119]. As expected, e_{gw} monotonically decreases as the

binary approaches the merger ($t = 0$). However, while the waveform itself covers the full range of times shown, $e_{\text{gw}}(t)$ does not. This is because $e_{\text{gw}}(t)$ depends on the $\omega_{22}^{\text{p}}(t)$ and $\omega_{22}^{\text{a}}(t)$ interpolants in Eq. (4), which do not span the full time range, as shown in the bottom-left panel in Fig. 1. $\omega_{22}^{\text{p}}(t)$ is defined only between the first and last available pericenters, and $\omega_{22}^{\text{a}}(t)$ is defined only between the first and last available apocenters. Therefore, the first available time for $e_{\text{gw}}(t)$ is the maximum of the times of the first pericenter and first apocenter. Similarly, the last available time for $e_{\text{gw}}(t)$ is the minimum of the times of the last pericenter and last apocenter.

Furthermore, we find that $e_{\text{gw}}(t)$ near the merger can become nonmonotonic, which is not surprising as it becomes hard to define an orbit in this regime. To avoid this nonmonotonic behavior, we discard the last two orbits of the waveform before computing e_{gw} . As a result, the last available time for e_{gw} is the minimum of the times of the last pericenter and last apocenter in the remaining waveform, which falls at about two orbits before the peak amplitude. In addition, to successfully build the $\omega_{22}^{\text{p}}(t)$ and $\omega_{22}^{\text{a}}(t)$ interpolants in Eq. (4), we require at least two orbits in the remaining waveform. Therefore, the full waveform should include at least ~ 4 – 5 orbits to reliably compute e_{gw} .

1. Extending to spin-precessing and frequency-domain waveforms

Equations (4) and (8) use only the (2, 2) mode, as it is the dominant mode of radiation [120–122], at least for binaries without spin precession in which the direction of the orbital angular momentum is fixed (taken to be along \hat{z} by convention). On the other hand, for spin-precessing binaries, the orbital angular momentum direction varies, and the power of the (2, 2) mode leaks into the other $\ell = 2$ modes, meaning that there need not be a single dominant mode of radiation. For this reason, we restrict ourselves to binaries without spin precession in this work. We expect that our method can be generalized to spin-precessing binaries by using \mathcal{N}_{22} in the coprecessing frame [118,123,124], which is a noninertial frame that tracks the binary’s spin precession so that \hat{z} is always along the instantaneous orbital angular momentum. Alternatively, one could replace ω_{22} in Eq. (4) with a frame-independent angular velocity [125] that incorporates information from all available waveform modes.

We also restrict ourselves to time-domain waveforms in this work. One main difficulty for frequency-domain waveforms [54,55] is the identification of the frequencies at which pericenters and apocenters occur. This is complicated by the fact that, even for the (2, 2) mode, eccentricity excites higher harmonics that make it difficult to identify local extrema in the frequency domain (see, e.g., Fig. 3 in Ref. [54]). Alternatively, one could simply apply an inverse Fourier transform to first convert the

frequency-domain waveform to time-domain, although this can be computationally expensive for long signals.

D. Defining mean anomaly using the waveform

To fully describe an eccentric orbit at a given reference frequency, two parameters are required: eccentricity and mean anomaly [21,48,90], which is the fraction of the orbital period (expressed as an angle) that has elapsed since the last pericenter passage. Similar to e_{gw} , we seek a definition of mean anomaly that depends only on the waveform at future null infinity. This can be achieved by generalizing the Newtonian definition of mean anomaly to [48,77,84,90]

$$l_{\text{gw}}(t) = 2\pi \frac{t - t_i^{\text{p}}}{t_{i+1}^{\text{p}} - t_i^{\text{p}}}, \quad (10)$$

defined over the interval $t_i^{\text{p}} \leq t < t_{i+1}^{\text{p}}$ between any two consecutive pericenter passages t_i^{p} and t_{i+1}^{p} . l_{gw} grows linearly in time over the range $[0, 2\pi)$ between $t = t_i^{\text{p}}$ and $t = t_{i+1}^{\text{p}}$. In Newtonian gravity, the period of the orbit $T = t_{i+1}^{\text{p}} - t_i^{\text{p}}$ remains constant, while, in general relativity, radiation reaction cause T to decrease over time, making $l_{\text{gw}}(t)$ a stepwise linear function whose slope increases as the binary approaches the merger. As the times corresponding to pericenter passages are already determined when calculating e_{gw} , computing l_{gw} is straightforward. This procedure is illustrated in the right panel in Fig. 1.

We stress that the mean anomaly cannot be absorbed into a time or phase shift [48] and is, instead, an intrinsic property of the binary like the component masses, spins, and e_{gw} . This can be seen from the bottom-right panel in Fig. 1, showing $\omega_{22}(t)$. Consider the first pericenter occurring at $t \simeq -8500M$, for which $l_{\text{gw}} = 0$. First, because ω_{22} is insensitive to phase shifts, one cannot apply a phase shift to change the mean anomaly at $t \simeq -8500M$ away from $l_{\text{gw}} = 0$. Similarly, one cannot apply a time shift so that the mean anomaly at $t \simeq -8500M$ is changed without simultaneously also changing the frequency at that time [because the time shift also applies to $\omega_{22}(t)$]. In other words, to change the mean anomaly at a fixed time before the merger, one also needs to change the frequency at a fixed time before the merger, which results in a different physical system. Ignoring mean anomaly in waveform models and/or parameter estimation can result in systematic biases in the recovered source parameters [48,91,126].

E. Generalizing the reference frequency f_{ref}

Binary parameters like the component spin directions and orientation with respect to the observer, as well as eccentricity and mean anomaly, can vary during a binary evolution. Therefore, when measuring binary parameters from a GW signal, one needs to specify at which point of the evolution the measurement should be done. This is

typically chosen to be the point at which the GW frequency crosses a reference frequency f_{ref} , with a typical choice of $f_{\text{ref}} = 20$ Hz [3] as that is approximately where the sensitivity band of current ground-based detectors begins.

For quasicircular binaries without spin precession, the GW frequency increases monotonically, and f_{ref} can be uniquely associated with a reference time t_{ref} . For spin-precessing, quasicircular binaries, while ω_{22} in the inertial frame can be nonmonotonic, one can use the frequency computed in the coprecessing frame, which is always monotonically increasing [10,118]. Unfortunately, no such frame exists for eccentric binaries, and ω_{22} becomes nonmonotonic if eccentricity is sufficiently high (see Fig. 1).

Therefore, unique specification of a reference point via a frequency f_{ref} requires a generalization of ω_{22} that is monotonically increasing and approaches ω_{22} in the quasicircular limit. In the following, we discuss two different ways to accomplish this and point out why the second is superior.

1. Mean of $\omega_{22}^{\text{p}}(t)$ and $\omega_{22}^{\text{a}}(t)$

A simple method to compute a monotonically increasing frequency for eccentric binaries is to take the mean of the interpolants through the frequencies at pericenters [$\omega_{22}^{\text{p}}(t)$] and apocenters [$\omega_{22}^{\text{a}}(t)$], both of which are monotonically increasing functions of time:

$$\omega_{22}^{\text{mean}}(t) = \frac{1}{2} [\omega_{22}^{\text{p}}(t) + \omega_{22}^{\text{a}}(t)], \quad (11)$$

with the reference time defined as $\omega_{22}^{\text{mean}}(t_{\text{ref}}) = 2\pi f_{\text{ref}}$.

As $\omega_{22}^{\text{p}}(t)$ and $\omega_{22}^{\text{a}}(t)$ are already constructed when computing e_{gw} , there is no additional computational cost. Furthermore, as ω_{22}^{p} and ω_{22}^{a} approach ω_{22} in the quasicircular limit, so does $\omega_{22}^{\text{mean}}$. This method was used to set the reference frequency in Ref. [88]. Figure 2 shows examples of $\omega_{22}^{\text{mean}}(t)$ for waveforms produced using the SEOBNRv4EHM [46] eccentric EOB model, for three different values of the model's internal eccentricity parameter e_{eob} , defined at a time $t_0 = -4.93$ s before the peak amplitude.

2. Orbit-averaged ω_{22}

Alternatively, one can use the orbit average of ω_{22} in fixing the reference point. Between any two consecutive pericenters t_i^{p} and t_{i+1}^{p} , we define

$$\begin{aligned} \langle \omega_{22} \rangle_i^{\text{p}} &= \frac{1}{t_{i+1}^{\text{p}} - t_i^{\text{p}}} \int_{t_i^{\text{p}}}^{t_{i+1}^{\text{p}}} \omega_{22}(t) dt \\ &= \frac{\phi_{22}(t_{i+1}^{\text{p}}) - \phi_{22}(t_i^{\text{p}})}{t_{i+1}^{\text{p}} - t_i^{\text{p}}} \end{aligned} \quad (12)$$

and associate $\langle \omega_{22} \rangle_i^{\text{p}}$ with the midpoint between t_i^{p} and t_{i+1}^{p} :

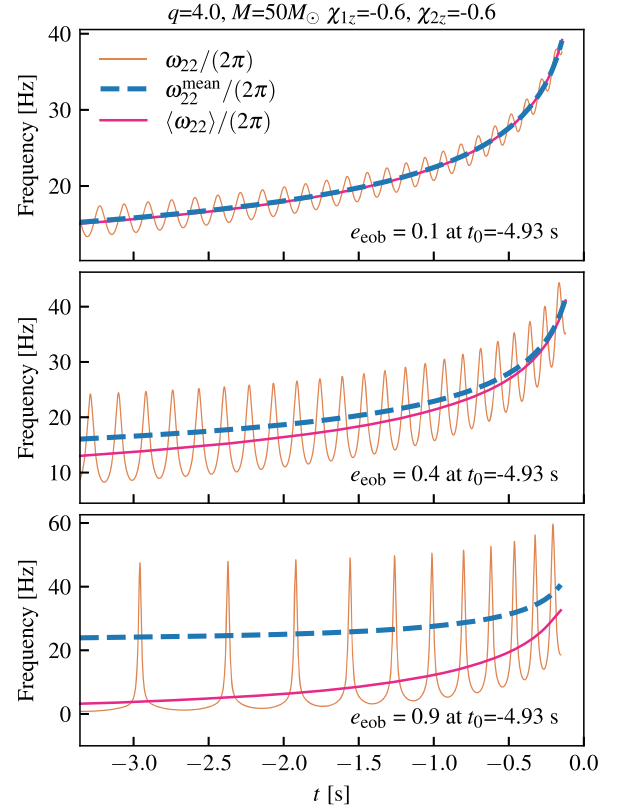


FIG. 2. Different methods to construct a monotonically increasing frequency to replace $\omega_{22}(t)$, in order to set the reference frequency f_{ref} for eccentric binaries. We consider two different approaches: (i) $\omega_{22}^{\text{mean}}(t)$, the mean of $\omega_{22}^{\text{p}}(t)$ and $\omega_{22}^{\text{a}}(t)$, and (ii) $\langle \omega_{22} \rangle(t)$, an interpolant through the orbit-averaged ω_{22} [Eq. (12)]. We show SEOBNRv4EHM waveforms with three different eccentricities; the binary parameters are given in the figure text. While the two approaches agree for small eccentricities, they deviate significantly at large eccentricities. We adopt $\langle \omega_{22} \rangle(t)$ as it captures the correct frequency scale in an orbit-averaged sense (Sec. II E).

$$\langle t \rangle_i^{\text{p}} = \frac{1}{2} (t_i^{\text{p}} + t_{i+1}^{\text{p}}). \quad (13)$$

Applying this procedure to all consecutive pairs of pericenter times, we obtain the set $\{(\langle t \rangle_i^{\text{p}}, \langle \omega_{22} \rangle_i^{\text{p}})\}$. Similarly, using all consecutive pairs of apocenter times t_i^{a} and t_{i+1}^{a} , we obtain the set $\{(\langle t \rangle_i^{\text{a}}, \langle \omega_{22} \rangle_i^{\text{a}})\}$. Taking the union of these two datasets, we build a cubic spline interpolant in time to obtain $\langle \omega_{22} \rangle(t)$.

The resulting orbit-averaged frequency $\langle \omega_{22} \rangle(t)$ is also monotonically increasing and reduces to $\omega_{22}(t)$ in the quasicircular limit. The reference time associated with a reference frequency is now determined via

$$\langle \omega_{22} \rangle(t_{\text{ref}}) = 2\pi f_{\text{ref}}. \quad (14)$$

This method was used in Refs. [77,126]. Compared to $\omega_{22}^{\text{mean}}(t)$, $\langle \omega_{22} \rangle(t)$ has the added costs of computing orbit

averages and constructing a new interpolant. The orbit averages are very cheap to compute, as they can be written in terms of phase differences [Eq. (12)]. The cost of the interpolant scales with the number of orbits, but it is generally also cheap to construct.

Figure 2 also shows $\langle\omega_{22}\rangle(t)$ for the same SEOBNRv4EHM waveforms. While $\omega_{22}^{\text{mean}}(t)$ and $\langle\omega_{22}\rangle(t)$ agree at small eccentricities, they deviate significantly at large eccentricities. Unlike $\omega_{22}^{\text{mean}}(t)$, $\langle\omega_{22}\rangle(t)$ has the additional property, albeit only in an orbit-averaged sense, that at the time t_{ref} , where $\langle\omega_{22}\rangle(t_{\text{ref}}) = 2\pi f_{\text{ref}}$, one GW cycle occurs over a timescale of $1/f_{\text{ref}}$. This also explains why, for the high-eccentricity case in Fig. 2 (bottom panel), $\langle\omega_{22}\rangle$ follows the general trend of ω_{22} more closely than $\omega_{22}^{\text{mean}}$. For these reasons, we will adopt $\langle\omega_{22}\rangle$ and Eq. (14) in the rest of the paper.

F. Selecting a good reference point

Given a reference frequency f_{ref} , Sec. II E describes how that can be used to pick a reference time t_{ref} in the binary's evolution. Another important choice is what frequency to use for f_{ref} . Most current analyses for ground-based detectors use $f_{\text{ref}} = 20$ Hz [3], but we argue that this may not be suitable for eccentric binaries. Setting $f_{\text{ref}} = 20$ Hz means that the reference time is chosen to be the point where the observed GW frequency (or its orbit average) at the detector crosses 20 Hz. However, the observed GW signals are redshifted because of cosmological expansion, and the observed GW frequency depends on the distance between the source and detector. Two identical binaries placed at different distances would, therefore, reach an observed frequency of 20 Hz at different points in their evolution. Because the eccentricity varies during the evolution, the measured eccentricities for these binaries will be different when they reach $f_{\text{ref}} = 20$ Hz at the detector. This is particularly problematic for applications like constraining the astrophysical distribution of eccentricities of GW sources, as the same source can be mistaken to have two different eccentricities.

All binary parameters that vary during a binary's evolution, like spin directions, could be prone to this problem. However, because spin tilts vary over spin-precession timescales spanning many orbits, this has not been a significant issue so far when constraining the astrophysical spin distribution [127], with the exception of Ref. [128], where this effect was found to be important when modeling the full 6D spin distribution. Eccentricity, on the other hand, can change rapidly on an orbital timescale, especially in the late stages near the merger (see Fig. 1).

One way to avoid this problem is to use the GW frequency defined in the source frame instead of the detector frame. However, this requires assuming a cosmological model to compute the redshift between the two frames. This can be problematic for applications like

independently extracting cosmological parameters like the Hubble parameter from GW signals [129]. Alternatively, one can use a dimensionless reference frequency Mf_{ref} or time t_{ref}/M as proposed by Ref. [92], where M is the total mass in the detector frame. Both of these choices have the benefit of not depending on the distance to the source, as the total mass measured in the detector frame is also redshifted and exactly cancels out the redshift of f_{ref} and t_{ref} . Reference [92] proposed reference points of $t_{\text{ref}}/M = -100$ (where $t = 0$ is at the peak of the GW amplitude) and $Mf_{\text{ref}} = 6^{-3/2}$ [the Schwarzschild innermost-circular-orbit (ISCO) frequency], as these always occur close to the merger for comparable mass binaries, and certain spin parameters like the orbital-plane spin angles are best measured near the merger. For measuring eccentricity, an earlier dimensionless time or frequency may be more appropriate, as eccentricity can be radiated away before the binary approaches merger.

A more straightforward approach could be to set the reference point at a fixed number of orbits before a fixed dimensionless time (t_{ref}/M) or dimensionless orbit-averaged frequency ($M\langle\omega_{22}\rangle$). Here, we define one orbit as the period between two pericenter passages, as measured from the waveform. As the number of orbits defined with respect to a dimensionless time or frequency is also unaffected by the redshift, this serves the same purpose as a dimensionless time or frequency. The number of orbits also scales more naturally to EMRI systems, while dimensionless time and frequency may not. A similar approach was recently adopted by Ref. [86].

Another advantage of using a fixed number of orbits before a dimensionless time or frequency is that, by using pericenters to define the number of orbits, we can always measure eccentricity at a fixed mean anomaly of $l_{\text{gw}} = 0$. This can make it simpler to report posteriors for eccentric GW signals by reducing the dimensionality by one. Similarly, this can make it easier to connect GW observations to astrophysical predictions for GW populations, as the predictions would just need to be made at a single mean anomaly value. However, we stress that mean anomaly would still need to be included as a parameter in waveform models and parameter estimation, and it is only when computing the eccentricity from the waveform predictions in postprocessing that this simplification occurs.

In summary, while the most appropriate choice will need to be determined by analyzing eccentric GW signals in a manner similar to Ref. [92], we propose that the reference point be chosen to be a fixed number of orbits (e.g., 10) before a fixed dimensionless time (e.g., $t_{\text{ref}}/M = -100$) or a fixed dimensionless orbit-averaged frequency (e.g., $M\langle\omega_{22}\rangle = 2\pi 6^{-3/2}$, the Schwarzschild ISCO frequency). While not all GW signals will enter the detector frequency band with ~ 10 orbits to go before the merger, this can be achieved by always generating GW templates with at least

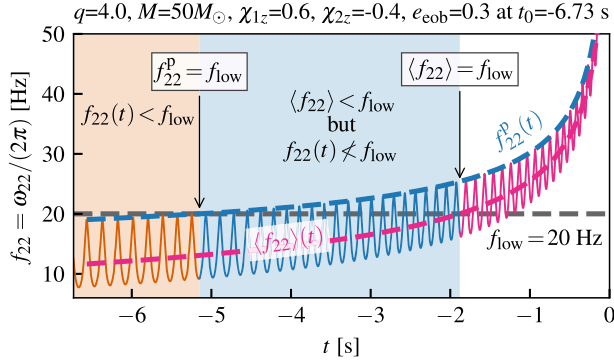


FIG. 3. How to truncate time-domain eccentric waveforms while retaining all frequencies above $f_{\text{low}} = 20$ Hz. The orange, blue, and pink curves show different sections of $\omega_{22}(t)$ for an eccentric SEOBNRv4EHM waveform (with binary parameters shown in the title). If we discard all times below the point where the orbit-averaged frequency $\langle f_{22} \rangle \equiv \langle \omega_{22} \rangle / (2\pi)$ (pink dashed curve) crosses $f_{\text{low}} = 20$ Hz, only the pink section is retained, and the blue section is discarded even though it contains some frequencies above 20 Hz. On the other hand, using $f_{22}^p \equiv \omega_{22}^p / (2\pi)$ (blue dashed curve) to pick this time ensures that the discarded region (orange) contains no frequencies above 20 Hz.

ten orbits when analyzing the GW signals. One important question that remains is whether using a reference point that falls outside the detector band leads to systematic biases or complications during parameter estimation. We expect that, as long as the number of orbits by which the reference point falls outside the band is small, such effects should be small, but we leave this investigation to future work.

G. Truncating eccentric time-domain waveforms

GW detectors are most sensitive over certain frequency bands (~ 20 to $\sim 10^3$ Hz for LIGO-Virgo), and waveform predictions need to include all physical GW frequencies present in this region. For frequency-domain waveform models, this is achieved by evaluating the model starting at initial frequency $f_{\text{low}} = 20$ Hz. On the other hand, time-domain waveform models need to be evaluated starting at an initial time t_{low} , chosen so that the GW signal at earlier times does not contain any frequencies above f_{low} . In other words, the part of the time-domain waveform that is not included ($t < t_{\text{low}}$) does not contribute to the GW signal in the detector frequency band.

For quasicircular waveform models with only the (2, 2) mode, t_{low} can be chosen to be the time when

$$\omega_{22}(t_{\text{low}}) = 2\pi f_{\text{low}}. \quad (15)$$

Because $\omega_{22}(t)$ is a monotonically increasing function for quasicircular binaries, frequencies $> f_{\text{low}}$ occur only at times $> t_{\text{low}}$. This is no longer the case for eccentric

binaries, as $\omega_{22}(t)$ can be nonmonotonic. An example is shown in Fig. 3, where we see that $\omega_{22}(t)/(2\pi)$ crosses $f_{\text{low}} = 20$ Hz at several different times. One could choose the earliest of these crossings as t_{low} , but this works only if the original waveform is long enough to include all such crossings. If the original waveform includes only a subset of the crossings, this approach cannot guarantee that the discarded waveform contains only frequencies $< f_{\text{low}}$. To ensure all frequencies above f_{low} are included, we need to generalize Eq. (15) to eccentric binaries.

A seemingly natural choice is to replace $\omega_{22}(t)$ in Eq. (15) with the monotonically increasing $\langle \omega_{22} \rangle(t)$ from Eq. (12):

$$\langle \omega_{22} \rangle(t_{\text{low}}) = 2\pi f_{\text{low}}. \quad (16)$$

The pink dashed line in Fig. 3 shows $\langle \omega_{22} \rangle / (2\pi)$, and the frequencies retained when setting t_{low} using Eq. (16) are also marked in pink. However, in this approach, the section colored in blue is discarded, even though it still includes some frequencies above $f_{\text{low}} = 20$ Hz.

Instead, we propose that t_{low} should be set using the interpolant through pericenter frequencies, $\omega_{22}^p(t)$, which is already constructed when evaluating Eqs. (4) and (8):

$$\omega_{22}^p(t_{\text{low}}) = 2\pi f_{\text{low}}. \quad (17)$$

Because $\omega_{22}^p(t)$ represents the upper envelope of $\omega_{22}(t)$, this approach guarantees that the discarded waveform ($t < t_{\text{low}}$) does not contain any frequencies $> f_{\text{low}}$. This is demonstrated in Fig. 3, where we see that the blue section is included if Eq. (17) is used to set t_{low} .

So far, we considered only the (2, 2) mode when determining t_{low} . The frequency of the (ℓ, m) waveform mode [Eq. (1)] can be approximated during the inspiral as $\omega_{\ell m}(t) \sim (m/2)\omega_{22}(t)$ [21]. Therefore, for models containing higher modes, Eq. (17) should be replaced with

$$\omega_{22}^p(t_{\text{low}}) = \left(\frac{2}{m_{\text{max}}} \right) 2\pi f_{\text{low}}, \quad (18)$$

where m_{max} is the largest m among all included modes.

H. Summary

Our procedure to compute the eccentricity and mean anomaly from the waveform can be summarized as follows:

- (1) Find the times corresponding to the pericenters and apocenters, which we denote as $\{t_i^p\}$ and $\{t_i^a\}$, respectively. In the example in Fig. 1, $\{t_i^p\}$ and $\{t_i^a\}$ are identified as the local maxima and minima, respectively, of ω_{22} , but other methods for locating these times will be discussed in Sec. III.
- (2) Evaluate $\omega_{22}(t)$ at $\{t_i^p\}$ and $\{t_i^a\}$ to get the frequencies at pericenters and apocenters and construct

interpolants in time, $\omega_{22}^p(t)$ and $\omega_{22}^a(t)$, using these data. We use cubic splines for interpolation.³

- (3) Obtain $e_{\omega_{22}}(t)$ using $\omega_{22}^p(t)$ and $\omega_{22}^a(t)$ in Eq. (4). Finally, apply the transformation in Eq. (8) to obtain the eccentricity $e_{\text{gw}}(t)$.
- (4) Use the pericenter times $\{t_i^p\}$ in Eq. (10) to compute the mean anomaly $l_{\text{gw}}(t)$.
- (5) To get the eccentricity and mean anomaly at a reference frequency f_{ref} , first use the orbit-averaged frequency $\langle\omega_{22}\rangle(t)$ [Eq. (12)] to get the corresponding t_{ref} . However, instead of using a fixed f_{ref} in hertz, a fixed dimensionless frequency or time or a fixed number of orbits before a dimensionless frequency or time might be a better choice for eccentric binaries (Sec. II F).
- (6) Use $\omega_{22}^p(t)$ [Eq. (18)] to truncate time-domain signals at a given start frequency f_{low} so that the discarded waveform does not contain any frequencies above f_{low} .

III. METHODS TO LOCATE PERICENTERS AND APOCENTERS

In Sec. II and Fig. 1, the pericenter and apocenter times are taken to correspond to local extrema in $\omega_{22}(t)$. Identifying these times is a crucial step in our definitions of eccentricity and mean anomaly, as well as the generalizations of f_{ref} and f_{low} . In this section, we explore several different alternatives for identifying the pericenter and apocenter times and their benefits and drawbacks. Instead of $\omega_{22}(t)$, these methods set extrema in various other waveform quantities (like the amplitude) as the pericenter and apocenter times. Therefore, the pericenter and apocenter times can depend on the method used, and each of these alternatives should be viewed as a new *definition* of eccentricity and mean anomaly. However, all of these methods satisfy the criteria listed in Sec. II for a good definition of eccentricity, and as we will show in Sec. IV the differences between the different methods are generally small. We denote the waveform quantity whose extrema are used as $U(t)$. Given $U(t)$, we use the `find_peaks` routine within SciPy [130] to locate the extrema.

A. Frequency and amplitude

The most straightforward choice for $U(t)$ is

$$U(t) = \omega_{22}(t), \quad (19)$$

as considered in Fig. 1. The local maxima in $U(t)$ are identified as the pericenters while the local minima are

³When the number of pericenters or apocenters is not sufficient to build a cubic spline, the order of the spline is reduced accordingly.

identified as apocenters. We refer to this method as the frequency method.

Because $\omega_{22}(t)$ relies on a time derivative—see Eq. (6)—it can be noisy in some cases, especially for NR waveforms. Such noise can lead to spurious extrema in $\omega_{22}(t)$ that can be mistaken for pericenters and apocenters. Such problems can be avoided by locating the extrema of the amplitude of the (2, 2) mode, i.e.,

$$U(t) = A_{22}(t). \quad (20)$$

We refer to this method as the amplitude method and recommended it over the frequency method.

The simplicity of the frequency and amplitude methods comes with the drawback that these methods fail for small eccentricities, as illustrated in Fig. 4. The top two rows show ω_{22} and A_{22} for an eccentric SEOBNRv4EHM [46] waveform. While local extrema can be found at early times, as eccentricity is radiated away, the prominence of the extrema decreases until local extrema cease to exist. The onset of this breakdown is signaled by the pericenters and apocenters converging toward each other, as seen in the figure insets. This occurs because, at small eccentricity, the secular growth in ω_{22} and A_{22} dominates the modulations due to eccentricity. We find that, for eccentricities $e_{\text{gw}} \lesssim 10^{-2} \dots 10^{-3}$ (see Sec. IV), the frequency and amplitude methods can fail to measure the eccentricity. This breakdown point can be approximately predicted by the following order-of-magnitude estimate.

1. Estimating the breakdown point of the frequency method

The inspiral rate of a binary in quasicircular orbit at Newtonian order is given by (e.g., [21])

$$\frac{d\omega_{22}^{\text{circ}}}{dt} = \frac{192}{5} \nu \frac{1}{M^2} \left(\frac{M\omega_{22}^{\text{circ}}}{2} \right)^{11/3}, \quad (21)$$

where $\nu = q/(1+q)^2$ is the symmetric mass ratio.

For small eccentricities, eccentricity induces an oscillatory component to the frequency,

$$\omega_{22}(t) \approx \omega_{22}^{\text{circ}}(t) + A \sin(\omega_r t), \quad (22)$$

where ω_r denotes the radial oscillation frequency. The amplitude A of the oscillations can be related to eccentricity by substituting into Eq. (4) and expanding to first order in A , yielding $A = 2e_{\omega_{22}}\omega_{22}^{\text{circ}}$. For a given short time interval, we take A to be constant.

Extrema in $\omega_{22}(t)$ correspond to zeros of the time derivative

$$\frac{d\omega_{22}}{dt} \approx \frac{d\omega_{22}^{\text{circ}}}{dt} + A\omega_r \cos(\omega_r t). \quad (23)$$

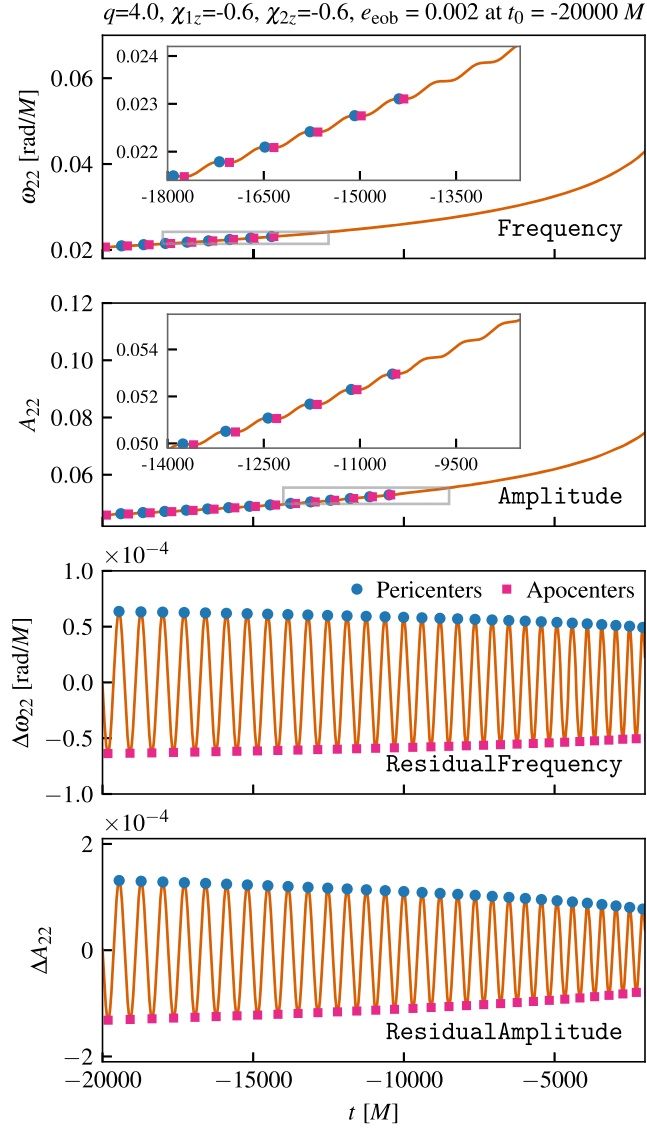


FIG. 4. Limitations of the amplitude and frequency methods in identifying pericenters (blue circles) and apocenters (pink squares) for a low eccentricity waveform. These methods (top two rows) detect only the first few pericenters or apocenters and fail once sufficient eccentricity is radiated away. On the other hand, the residual amplitude and residual frequency methods (bottom two rows) can detect all of the pericenters or apocenters present. The waveform is generated using SEOBNRv4EHM, and the binary parameters are given in the title.

Such zeros exist only if the oscillatory component dominates over the inspiral part, $A\omega_r \gtrsim d\omega_{22}^{\text{circ}}/dt$, i.e., for sufficiently large eccentricities:

$$e_{\text{gw}} \gtrsim \frac{48}{5} \nu \left(\frac{M\omega_{22}}{2} \right)^{5/3} \frac{\omega_{22}}{2\omega_r}. \quad (24)$$

Here, we have dropped the subscript “circ,” as $\omega_{22}^{\text{circ}} \approx \omega_{22}$ at leading order in the assumed small eccentricity.

Neglecting pericenter advance, i.e., setting $\omega_{22}/(2\omega_r) = 1$ and noting that, for small eccentricity, $e_{\omega_{22}} \approx (3/4)e_{\text{gw}}$ [Eq. (7)], we find that local extrema in $\omega_{22}(t)$ are present only if

$$e_{\text{gw}} \gtrsim \frac{192}{15} \nu \left(\frac{M\omega_{22}}{2} \right)^{5/3}. \quad (25)$$

The systems considered in this paper have $\omega_{22} \sim 0.02/M \dots 0.1/M$ (e.g., Fig. 1 or 4), so that, for comparable mass binaries, Eq. (25) predicts a breakdown of the frequency method for $e_{\text{gw}} \sim 10^{-3} \dots 10^{-2}$.

This motivates us to consider alternative methods to detect local extrema that also work for small eccentricities. In the following, we will consider different methods that first subtract the secular growth in ω_{22} or A_{22} and use the remainder as $U(t)$.

B. Residual frequency and residual amplitude

We begin with a simple extension of the frequency method, which we refer to as the residual frequency method:

$$U(t) = \Delta\omega_{22}(t) \equiv \omega_{22}(t) - \omega_{22}^{\text{circ}}(t), \quad (26)$$

and likewise the residual amplitude method:

$$U(t) = \Delta A_{22}(t) \equiv A_{22}(t) - A_{22}^{\text{circ}}(t), \quad (27)$$

where $\omega_{22}^{\text{circ}}$ and A_{22}^{circ} are the frequency and amplitude, respectively, of the (2, 2) mode for a quasicircular counterpart of the eccentric binary. We define the quasicircular counterpart as a binary with the same component masses and spins but with zero eccentricity. The time array of the quasicircular waveform is shifted so that its peak time coincides with that of the eccentric waveform. Once again, the local maxima in $U(t)$ are identified as the pericenters, while the local minima are identified as apocenters.

Equations (26) and (27) are motivated by the observation [48] that the quasicircular counterpart waveform captures the secular trend of the eccentric waveform, when the peak times of the waveforms are aligned. This is demonstrated for an example eccentric SEOBNRv4EHM waveform in Fig. 5. The quasicircular counterpart falls approximately at the midpoint between the peaks and troughs of amplitude and frequency of the eccentric waveform. We find this to be the case for the full range of eccentricities and waveforms of all origins.

For an eccentric waveform model, the quasicircular counterpart can be easily generated by evaluating the model with eccentricity set to zero while keeping the other parameters fixed. For eccentric NR waveforms, such a quasicircular NR waveform may not exist and one can use a quasicircular waveform model to generate the quasicircular

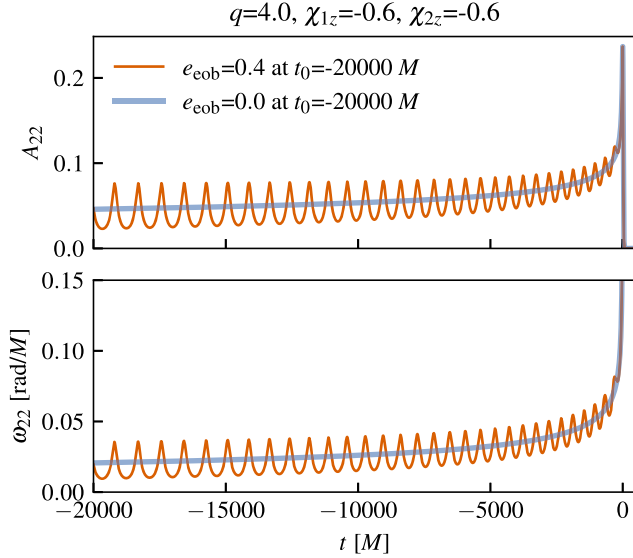


FIG. 5. Comparison of the amplitude (top) and the frequency (bottom) of an eccentric SEOBNRv4EHM waveform to those of its quasicircular counterpart. The binary parameters are shown in the figure text. Both waveforms are aligned so that $t = 0$ occurs at the peak of A_{22} . The quasicircular counterpart captures the secular growth in the amplitude and frequency of the eccentric waveform.

counterpart. In this paper, we use the IMRPhenomT [14] quasicircular waveform model to generate quasicircular counterparts of NR waveforms and IMRPhenomT is currently set as the default choice in `gw_eccentricity` [93], as it supports a wide range of values for the binary parameters. One can also use more accurate models like the NR surrogate model NRHybSur3dq8 [131] whenever the parameters fall within the regime of validity of the surrogate model. Similarly to how the different methods to locate extrema are part of the eccentricity definition, the choice of quasicircular model should also be considered to be a part of the definition. The impact of the choice of the quasicircular model on eccentricity is generally small and will be explored further in Sec. IV D.

By first subtracting the secular growth in the eccentric waveform, the residual frequency and residual amplitude methods can detect local extrema even for small eccentricities. The bottom two rows in Fig. 4 show an example where these methods succeed while the frequency and amplitude methods fail. Once again, between residual frequency and residual amplitude, we recommend residual amplitude, as it is less prone to numerical noise for NR waveforms. While the residual frequency and residual amplitude are robust and straightforward to implement, their main drawback is that they require the evaluation of a quasicircular waveform, which increases the computational expense. We consider the next set of methods to model the secular trend without relying on additional waveform evaluations.

C. Frequency fits and amplitude fits

The residual amplitude and residual frequency methods described in Sec. III B have the disadvantage that they require a quasicircular reference waveform for subtraction. Such a reference waveform may not be available, or deviations in the reference waveform may lead to differences in the recovered eccentricity (see Sec. IV D).

The frequency fits method avoids the need for a reference waveform by self-consistently fitting the envelopes $\omega_{22}^p(t)$ (for pericenters) and $\omega_{22}^a(t)$ (for apocenters) that appear in Fig. 1, an idea introduced in Lewis *et al.* [107]. To simplify the explanation, we will first describe this method when applied to locate pericenters. The idea lies in considering a *local* stretch of data $\omega_{22}(t)$ for $t \in [t_L, t_R]$, in which we identify the times T_α (labeled by α) as local maxima of the envelope-subtracted frequency [Eq. (28)] while self-consistently constructing the envelope fit $\omega_{22}^{\text{fit,p}}(t)$ through $\omega_{22}(t)$ evaluated at T_α . The fit $\omega_{22}^{\text{fit,p}}(t)$, the local maxima times T_α , and the interval $[t_L, t_R]$ are iteratively refined, and the central T_α is identified as a pericenter time.

To make this idea precise, we start by choosing a time \hat{t} , which will roughly correspond to the middle of the fitting interval. We now seek to determine a fitting function $\omega_{22}^{\text{fit,p}}(t)$ through the pericenter frequencies, valid in a time interval $[t_L, t_R]$ encompassing \hat{t} , as well as times $T_\alpha \in [t_L, t_R]$, $\alpha = 0, \dots, 2N$ [with $N = 3$, as explained after Eq. (31)]. These quantities are determined in a self-consistent manner such that the following conditions are all satisfied:

- (1) T_α are local maxima of the envelope-subtracted frequency $U(t)$ given by

$$U(t) = \omega_{22}(t) - \omega_{22}^{\text{fit,p}}(t). \quad (28)$$

- (2) $\omega_{22}^{\text{fit,p}}(t)$ is a fit through the $2N + 1$ evaluations of $\omega_{22}(t)$ at times T_α , i.e., $(T_\alpha, \omega_{22}(T_\alpha))$ in the interval $[t_L, t_R]$,

$$\omega_{22}^{\text{fit,p}}(T_\alpha) \approx \omega_{22}(T_\alpha), \quad \alpha = 0, \dots, 2N. \quad (29)$$

- (3) The time interval $[t_L, t_R]$ contains precisely $2N + 1$ local maxima of $U(t)$ where the first N are before \hat{t} and the others after.

If these conditions are met, then the extremum in the middle, $(T_N, \omega_{22}(T_N))$, will be identified as a pericenter passage and included in the overall list of pericenters for the inspiral.

This procedure is illustrated in Fig. 6. The top panel shows $\omega_{22}(t)$ in orange, for a configuration with eccentricity so small that $\omega_{22}(t)$ does not have extrema. The locations of the identified local maxima $(T_\alpha, \omega_{22}(T_\alpha))$ are indicated by blue circles, with the middle one

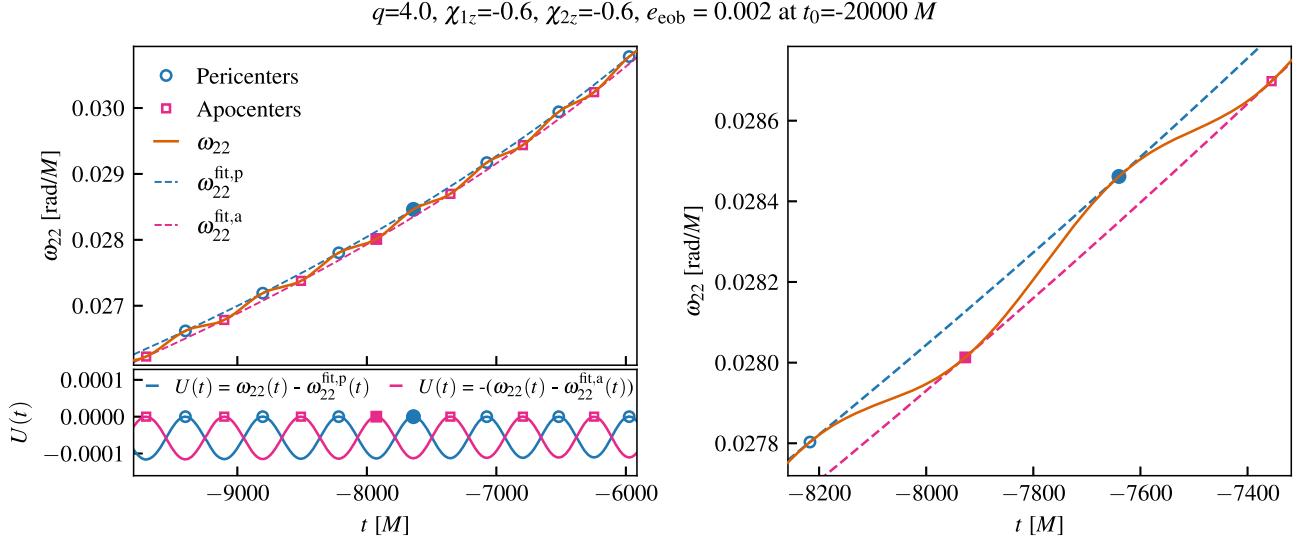


FIG. 6. Illustration of the frequency fits method. Left: the blue circles indicate the $2N + 1 = 7$ extrema through which the fitting function Eq. (30) passes. The lower panel shows the envelope-subtracted data from which the extrema T_α are determined. The solid blue circle indicates the central extremum, whose parameters are used for the eccentricity definition. The pink square and the pink dashed line show the analogous construction for the apocenter passages. Right: enlargement of the region around the solid markers in the upper panel on the left. The waveform is generated using SEOBNRv4EHM, and the binary parameters are given in the title.

(corresponding to T_N) being filled. The lower panel shows the envelope subtracted function, whose maxima determine the T_α .

In practice, the fitting function is chosen to have the functional form

$$\omega_{22}^{\text{fit,p}}(t; A, n, t_{\text{merg}}) = A(t_{\text{merg}} - t)^n, \quad (30)$$

with fit parameters $\{A, n, t_{\text{merg}}\}$. The form of Eq. (30) is inspired by the leading-order PN behavior of a quasicircular binary inspiral, which has the form of Eq. (30) with exponent $-3/8$ [21]. In addition, Eq. (30) ensures monotonicity by construction. To reduce correlations between the parameters A and n , the fitting function is reparametrized by $\{f_0, f_1, t_{\text{merg}}\}$, where f_0 and f_1 represent the function value and first time derivative at a time t_{mid} , respectively:

$$f_0 = A(t_{\text{merg}} - t_{\text{mid}})^n, \quad (31a)$$

$$f_1 = -nA(t_{\text{merg}} - t_{\text{mid}})^{n-1} = -n \frac{f_0}{t_{\text{merg}} - t_{\text{mid}}}. \quad (31b)$$

Equation (31) are readily inverted to yield

$$n = -\frac{f_1(t_{\text{merg}} - t_{\text{mid}})}{f_0}, \quad (32a)$$

$$A = f_0(t_{\text{merg}} - t_{\text{mid}})^{-n}. \quad (32b)$$

The fit for $\{f_0, f_1, t_{\text{merg}}\}$ is performed with the `curve_fit` routine of the SciPy [130] library. Because there are three

free parameters, at least three local maxima are needed to perform the fit; we choose $2N + 1 = 7$ maxima for increased robustness. The concrete choice for t_{mid} is found to be not critical; we choose the time in the middle of the entire waveform to be analyzed.

To analyze an entire waveform, we proceed from the start of the waveform toward the merger. At the first, ‘‘cold’’ initialization at the start of the waveform, we choose t_L to be the start of the waveform, \hat{t} to be N orbits later (as judged by the accumulated ϕ_{22}), and t_R to be $2N$ orbits later. We initialize a first guess for $\omega_{22}^{\text{fit,p}}$ through a fit to $\omega_{22}(t)$ during the first ten orbits of the waveform.

In order to satisfy conditions 1–3 self-consistently, an iterative procedure is applied: Local maxima of $U(t)$ are calculated using `find_peaks`, and the interval $[t_L, t_R]$ is adjusted to achieve the desired number of extrema on either side of \hat{t} .⁴ Now an improved $\omega_{22}^{\text{fit,p}}$ is computed by fitting to the extrema [Eq. (29)], and the procedure is iterated until the changes in the extrema T_α and fitting parameters $\{f_0, f_1, T\}$ fall below a tolerance, typically 10^{-8} . At the initial cold start, this typically takes 3–5 iterations.

We then shift the analyzed region by one pericenter passage at a time, i.e., $\hat{t} \rightarrow \hat{t} = (T_N + T_{N+1})/2$, $t_L \rightarrow (T_0 + T_1)/2$, $t_R \rightarrow T_{2N} + 1.5 \times (T_{2N} - T_0)/(2N)$, and repeat the iterative procedure to satisfy conditions 1–3, using the current $\omega_{22}^{\text{fit,p}}$ as the initial guess. Because of the improved guess for $\omega_{22}^{\text{fit,p}}$, each successive pericenter

⁴For the very first application of this procedure at the start of the waveform, t_L cannot be reduced to before the start of the waveform, so if needed we increase \hat{t} instead.

passage needs only 2–3 iterations to converge. We stop the procedure when t_L reaches the end of the waveform or when all three conditions can no longer be simultaneously satisfied. For instance, in rare cases, the iterative procedure settles into a limiting cycle, which switches between two different results for the interval $[t_L, t_R]$, the extrema T_α , and the fit $\omega_{22}^{\text{fit,p}}$.

Equation (28) identifies local maxima of $\omega_{22}(t) - \omega_{22}^{\text{fit,p}}(t)$, i.e., pericenter passages. To identify apocenter passages, we *change the sign* of the right-hand side of Eq. (28) while keeping the remainder of the algorithm unchanged. The algorithm will then generate a fit to the apocenter points, $\omega_{22}^{\text{fit,a}}$, as indicated in pink in Fig. 6.

The procedure outlined above also works if we fit the amplitude A_{22} in place of ω_{22} , since, at leading post-Newtonian order, the amplitude also has the form of Eq. (30) with exponent $-1/4$ [21]. We refer to the method of finding the pericenters and apocenters by fitting to A_{22} as amplitude fits. Once again, frequency fits is more prone to numerical noise as it relies on ω_{22} . Therefore, we recommend amplitude fits over frequency fits.

IV. ROBUSTNESS TESTS

In this section, we check the robustness of our eccentricity definition and the different methods to locate pericenters and apocenters by putting our implementation through various tests.

A. The large mass ratio limit of e_{gw}

In Sec. I, we noted that one of the desired but not strictly required features of an ideal eccentricity definition is that, in the limit of large mass ratio, it should approach the test particle eccentricity on a Kerr geodesic. The geodesic eccentricity e_{geo} typically used for EMRI calculations [132,133] is given by

$$e_{\text{geo}} = \frac{r^{\text{a}} - r^{\text{p}}}{r^{\text{a}} + r^{\text{p}}}, \quad (33)$$

where r^{p} and r^{a} are the pericenter and apocenter separations, respectively, along the geodesic in Boyer-Lindquist coordinates. To test the test particle limit of e_{gw} , we compare e_{gw} and e_{geo} for an EMRI waveform with $q = \infty$ and nonspinning BHs but with varying eccentricities in the range $e_{\text{geo}} \in [0, 0.5]$. In the $q \rightarrow \infty$ limit, there is no orbital evolution, and the waveform is that of a test particle following a geodesic. For our comparisons, we use the waveforms computed within this framework in Ref. [77] using a frequency-domain Teukolsky code. Because there is no orbital evolution, these waveforms each have a constant value of eccentricity e_{geo} and orbit-averaged frequency $\langle \omega_{22} \rangle$.

Figure 7 shows the differences $|e_{\text{geo}} - e_{\text{gw}}|$ and $|e_{\text{geo}} - e_{\omega_{22}}|$, evaluated at different values of e_{geo} and $\langle \omega_{22} \rangle$. While e_{gw} does not exactly match e_{geo} in the test

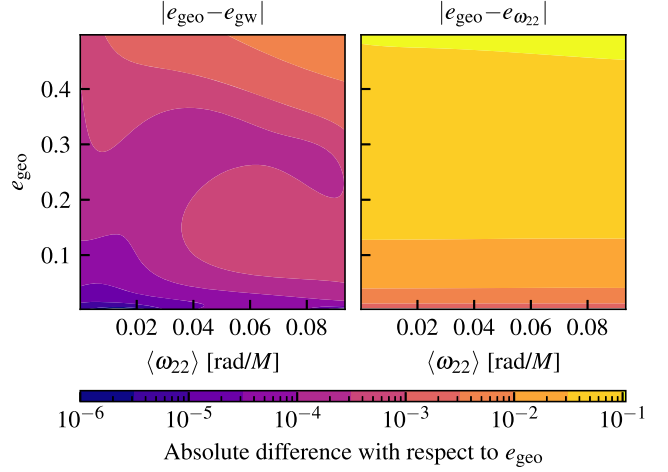


FIG. 7. Comparison of e_{gw} and $e_{\omega_{22}}$ to the geodesic eccentricity e_{geo} in the $q \rightarrow \infty$ limit, as a function of the orbit-averaged frequency $\langle \omega_{22} \rangle$. In the left panel, the colors show the absolute difference between e_{geo} and e_{gw} measured using Eq. (8) with the amplitude method. The right panel shows the same for $e_{\omega_{22}}$. e_{geo} is closer to e_{gw} than $e_{\omega_{22}}$ by about 2 orders of magnitude.

particle limit, the differences for e_{gw} lie in the range $\sim [10^{-6}, 6 \times 10^{-3}]$, whereas the differences for $e_{\omega_{22}}$ lie in the range $\sim [5 \times 10^{-4}, 10^{-1}]$. Therefore, e_{gw} is an improvement over $e_{\omega_{22}}$ in two ways: e_{gw} has the correct Newtonian limit (as shown by Ref. [46]) and is closer to e_{geo} in the test particle limit, by about 2 orders of magnitude.

B. Applicability for waveforms of different origins

Another criteria for the eccentricity definition identified in Sec. I that is desired but not strictly required is that it should be robust and applicable for waveforms of different origins, such as analytical PN waveforms [50–55], numerical waveforms from NR [48,75–80] simulations, semi-analytical EOB waveforms calibrated to NR [44–47], and EMRI [42,43,56–60,62–74] waveforms obtained by solving the Teukolsky equation.

In Fig. 8, we show examples of our e_{gw} implementation in `gw_eccentricity` [93] applied to waveforms of four different origins: PN (EccentricTD [52]), EOB (SEOBNRv4EHM [46]), NR (SpEC [48,117]), and EMRI (Ref. [77]). The binary parameters are arbitrarily chosen to cover a wider parameter space and are shown in the figure text. In each of the four subplots in Fig. 8, the lower panel shows the real part of \mathcal{H}_{22} , and the upper panel shows the measured e_{gw} . We consider three different methods to locate the pericenter and apocenter amplitude, residual amplitude, and amplitude fits, and e_{gw} is consistent between the three methods. For the residual amplitude method, for the PN, EOB, and EMRI cases, we use the same model evaluated at zero eccentricity for the quasicircular counterpart. For NR, we use the IMRPhenomT [14] model.

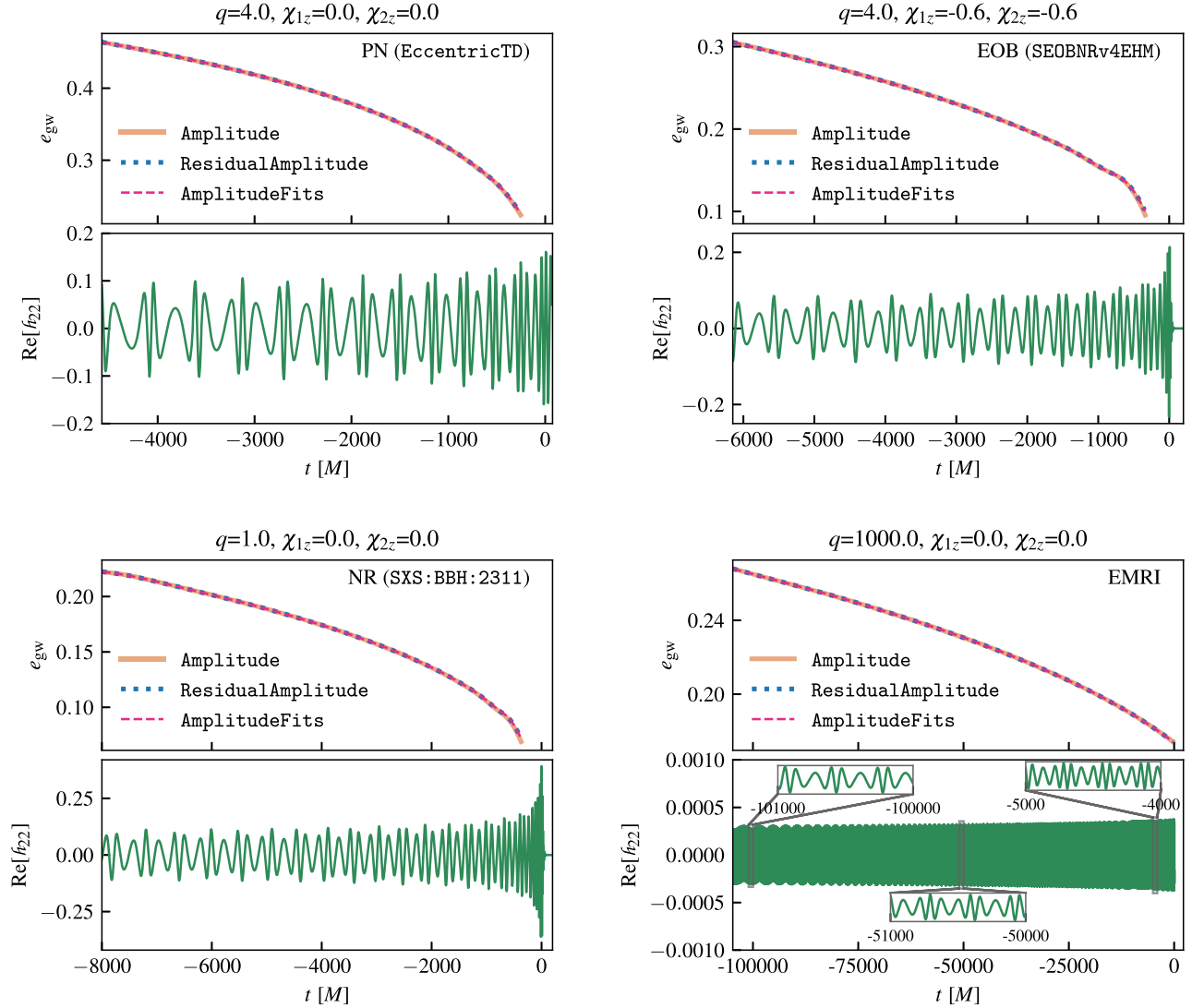


FIG. 8. Demonstration of the measurement of eccentricity using the `gw_eccentricity` [93] package for waveforms of different origins: PN, EOB, NR, and EMRI. The binary parameters are indicated in the figure text. In each subplot, the lower panel shows the real part of \mathcal{h}_{22} , and the upper panel shows the measured eccentricity. We consider three different methods for identifying the pericenters and apocenters: amplitude, residual amplitude, and amplitude fits.

In addition to Fig. 8, we have tested our implementation in `gw_eccentricity` [93] against eccentric SpEC NR waveforms from Refs. [48,77]. When testing against eccentric NR simulations from the RIT catalog [78,134], we are able to compute e_{gw} whenever the waveform contains at least ~ 4 – 5 orbits before the merger, for reasons explained in Sec. II C. Finally, we have conducted extensive robustness tests using the SEOBNRv4EHM model in different regions of the parameter space, including converting e_{eob} posterior samples to e_{gw} samples in a postprocessing step after parameter estimation.

C. Smoothness tests

In this section, we demonstrate that our implementation of e_{gw} varies smoothly as a function of internal definitions

of eccentricity used by waveform models. Specifically, we generate 50 waveforms using the SEOBNRv4EHM model [46], with the model’s internal eccentricity parameter varying from $e_{\text{eob}} = 10^{-7}$ to $e_{\text{eob}} = 0.9$,⁵ while keeping the other parameters fixed at $q = 4$ and $\chi_{1z} = \chi_{2z} = -0.6$. The eccentricity e_{eob} refers to the start of each waveform, which we choose to be at $t_0 = -20000M$ before the peak waveform amplitude.⁶ In addition to testing whether e_{gw} varies smoothly, this test also demonstrates that our

⁵The upper limit of $e_{\text{eob}} = 0.9$ is chosen based on the regime of validity of the SEOBNRv4EHM model [46], but some tests at higher eccentricity are included in Sec. IV E.

⁶To achieve the desired length of the inspiral, we adjust the start frequency of the SEOBNRv4EHM model accordingly.

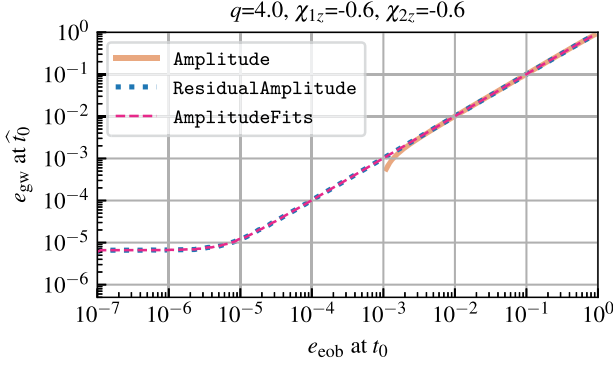


FIG. 9. e_{gw} vs e_{cob} at the initial time, for SEOBNRv4EHM waveforms with varying e_{cob} , but keeping the other binary parameters fixed (given in figure title). e_{cob} is the model’s internal eccentricity, specified at $t_0 = -20000M$. e_{gw} is evaluated at its first available time \hat{t}_0 . We consider three different methods for locating pericenters and apocenters: amplitude, residual amplitude, and amplitude fits. The amplitude method breaks down for small eccentricities ($e_{\text{cob}} \lesssim 10^{-3}$), while the residual amplitude and amplitude fits method follow the expected $e_{\text{gw}} = e_{\text{cob}}$ trend down to $e_{\text{cob}} = 10^{-5}$.

implementation in `gw_eccentricity` [93] works over a wide range of eccentricities. Both of these features are important for applications like converting posterior samples for e_{cob} to the standardized e_{gw} .

For simplicity, we restrict our consideration to the three preferred methods from Sec. III: amplitude, residual amplitude, and amplitude fits. The frequency, residual frequency, and frequency fits methods perform similarly to amplitude, residual amplitude, and amplitude fits methods, respectively, but can be prone to numerical noise.

I. e_{gw} vs e_{cob} at initial time

We first compare e_{cob} (which is defined at $t_0 = -20000M$) to e_{gw} at its first available time (which we denote as \hat{t}_0). As described in Sec. II C, the first available time for $e_{\text{gw}}(t)$ is the maximum of the times of the first pericenter and first apocenter, as starting at this time both $\omega_{22}^p(t)$ and $\omega_{22}^a(t)$ interpolants in Eq. (4) can be defined. For our dataset of SEOBNRv4EHM waveforms, this time varies from $\hat{t}_0 = -19250M$ for $e_{\text{cob}} = 10^{-7}$ to $\hat{t}_0 = -15250M$ for $e_{\text{cob}} = 0.9$. However, because the difference between \hat{t}_0 and t_0 is always within an orbit, and eccentricity does not change significantly over one orbit, comparing e_{gw} at \hat{t}_0 to e_{cob} at t_0 is reasonable.⁷ The ideal outcome for this test is that the eccentricity measured from the waveform e_{gw} matches the model’s eccentricity definition e_{cob} .

⁷This assumption breaks down at very high eccentricity; see Sec. IV E.

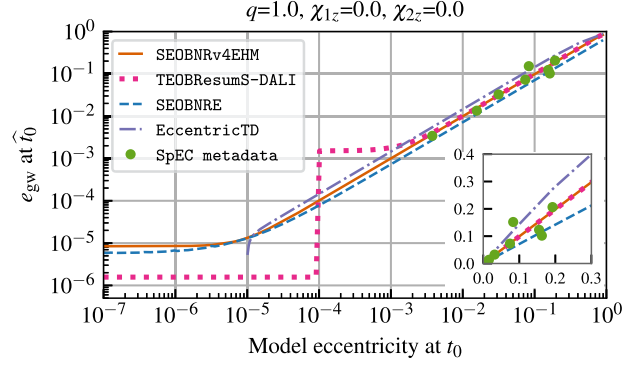


FIG. 10. e_{gw} vs the internal definition of eccentricity, for waveforms of different origin, for equal-mass nonspinning binaries with varying eccentricity. For the NR waveforms (SpEC), we compute the internal eccentricity at $t_0 = 1500M$ after the start of the simulation, while for the rest we use $t_0 = -20000M$ before peak waveform amplitude. In both cases, \hat{t}_0 is the first available time for $e_{\text{gw}}(t)$. The inset shows the same but on a linear scale and focuses on the $e_{\text{gw}} \leq 0.4$ region.

Figure 9 shows how e_{gw} at \hat{t}_0 varies with e_{cob} at t_0 , for the amplitude, residual amplitude, and amplitude fits methods. For sufficiently high eccentricities ($e_{\text{cob}} \gtrsim 5 \times 10^{-3}$), all three methods follow the expected trend of $e_{\text{gw}} = e_{\text{cob}}$. However, the amplitude method starts to deviate from this trend for smaller eccentricities, before completely breaking down for $e_{\text{cob}} \lesssim 10^{-3}$. This is expected as local extrema do not exist in A_{22} for such low eccentricities (see Sec. III).

By contrast, the residual amplitude and amplitude fits method follow the $e_{\text{gw}} = e_{\text{cob}}$ trend all the way down to $e_{\text{cob}} = 10^{-5}$. For smaller e_{cob} , the SEOBNRv4EHM model itself ceases producing waveforms for which the modulations due to eccentricity decrease with decreasing e_{cob} . For most practical applications, this is not problematic for SEOBNRv4EHM as $e_{\text{cob}} = 10^{-5}$ is very small. However, this exercise highlights how (in addition to testing our implementation) tests like this can help identify the limitations of eccentric waveform models.

In this spirit, we repeat this test for several different eccentric waveform models in Fig. 10. For an equal-mass nonspinning binary, we show how e_{gw} at \hat{t}_0 varies with the internal definitions of eccentricity (defined at $t_0 = -20000M$) used by the SEOBNRv4EHM [46], TEOBResumS-DALI [47,135], SEOBNRE [44,45], and EccentricTD [52] models. For simplicity, we consider only the residual amplitude method, where the quasicircular counterpart is obtained by evaluating the same model at zero eccentricity.

Figure 10 also shows the dependence of e_{gw} on the internal definition of eccentricity for a few eccentric equal-mass nonspinning NR simulations produced with the SpEC code [48,76,117] (with SXS IDs 2267, 2270, 2275, 2280, 2285, 2290, 2294, and 2300). In this case, we use the IMRPhenomT model [14] for the quasicircular counterpart.

The internal eccentricity for these simulations is computed using the orbital trajectories, following the method in Refs. [102,103]; we refer to this as the ‘‘SpEC metadata eccentricity’’ as the same method is used to report eccentricity in the metadata files accompanying the simulations [76,117]. However, because the publicly available SpEC metadata files [117] report eccentricity at different times for different simulations, we recompute the eccentricity at a fixed time t_0 using the same methods as Refs. [102,103]. Because the NR simulations are typically short, we choose $t_0 = 1500M$ after the start of the simulations, and \hat{t}_0 (where e_{gw} is plotted) is once again the first available time for $e_{\text{gw}}(t)$. Before computing $e_{\text{gw}}(t)$, the initial parts of the NR waveforms ($t < t_0$) are discarded to avoid spurious transients due to imperfect NR initial data.

In agreement with Fig. 9, we find that the SEOBNRv4EHM model follows the $e_{\text{gw}} = e_{\text{eob}}$ trend for $e_{\text{eob}} \gtrsim 10^{-5}$ in Fig. 10. While TEOBResumS-DALI follows the same trend at higher eccentricities, it deviates significantly from this trend at $e_{\text{eob}} \lesssim 5 \times 10^{-3}$ and breaks down at $e_{\text{eob}} \lesssim 10^{-4}$. This behavior of TEOBResumS-DALI was also noted in Ref. [87] and suggests that the model may need improvement in this region. Next, both SEOBNRE and EccentricTD models fall away from the $y = x$ line in Fig. 10, suggesting that the internal definitions of these models may need modifications. Finally, the SpEC metadata eccentricity has a scatter around the $y = x$ line. This behavior is not surprising, as the SpEC metadata eccentricity is not meant to be precise and is known to be sensitive to factors like the length of the time window used when fitting the orbital trajectories to PN expressions [76,102,103]. Furthermore, because the orbital trajectories in NR simulations are gauge dependent, the eccentricity reported in the SpEC metadata can also be gauge dependent. To get a precise and gauge-independent eccentricity estimate from NR, one must use waveform-defined quantities like e_{gw} .

Figure 10 also shows that, for the same e_{gw} , different models have different internal values of eccentricity. Therefore, the eccentricity inferred from GW signals via Bayesian parameter estimation using two different models can also be different, highlighting the need for using a waveform-defined eccentricity like e_{gw} . In particular, posterior samples obtained using different models can be put on the same footing by evaluating e_{gw} and l_{gw} using the model’s waveform prediction. This approach was recently taken in Ref. [88], albeit restricted to only e_{gw} .

2. Smoothness of the time evolution of e_{gw}

We now consider a more stringent smoothness test: Using the same dataset of 50 SEOBNRv4EHM waveforms, we test whether the time evolution of e_{gw} changes smoothly when varying e_{eob} at $t_0 = -20000M$. Figure 11 shows $e_{\text{gw}}(t)$ for the amplitude, residual amplitude, and amplitude fits methods. Even though the waveform data start at

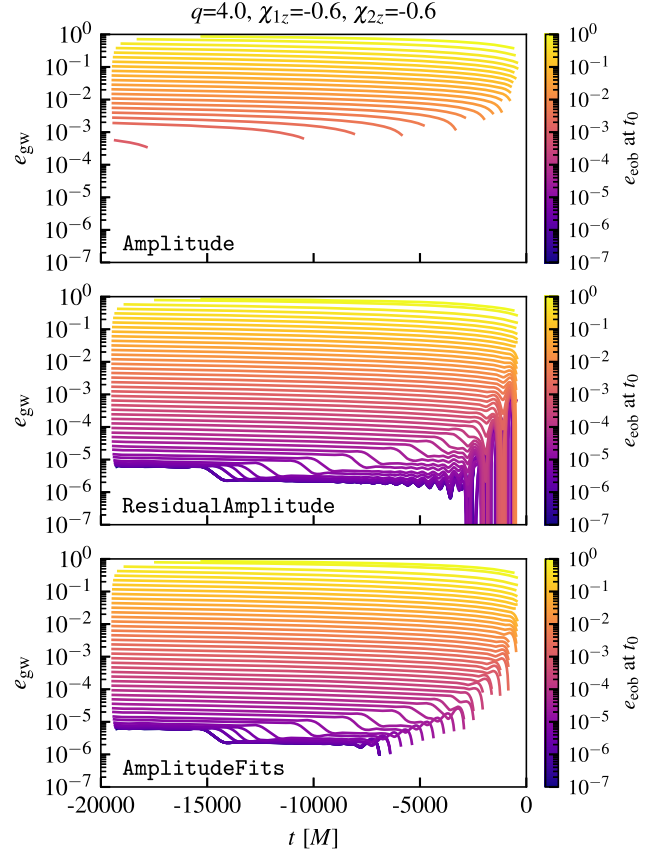


FIG. 11. $e_{\text{gw}}(t)$ for SEOBNRv4EHM waveforms with varying e_{eob} but keeping the other binary parameters fixed (given in the figure title). The method used to locate pericenters and apocenters is indicated in the figure text. The colors indicate the value of e_{eob} , defined at $t_0 = -20000M$. The amplitude method breaks down for small eccentricities $e_{\text{gw}} \lesssim 10^{-3} \dots 10^{-2}$, especially as one approaches the merger. The residual amplitude and amplitude fits methods continue to compute the eccentricity until $e_{\text{gw}} \sim 10^{-5}$. The features at $e_{\text{gw}} \sim 10^{-5}$ arise from the waveform model itself (see Fig. 12).

$t_0 = -20000M$, the $e_{\text{gw}}(t)$ is available only for $t \geq \hat{t}_0$, the maximum of the times of the first pericenter and apocenter. In Fig. 9, only eccentricities at the first available time $e_{\text{gw}}(\hat{t}_0)$ are considered, while in Fig. 11 we consider the full time evolution.

In Fig. 11, we once again find that the amplitude method breaks down for small eccentricities $e_{\text{gw}} \lesssim 10^{-3} \dots 10^{-2}$, especially as one approaches the merger as eccentricity is continuously radiated away. The amplitude method fails when the local extrema in A_{22} cease to exist, which is why the curves with smaller initial e_{gw} are shorter. By contrast, the residual amplitude and amplitude fits methods continue to compute the eccentricity until $e_{\text{gw}} \sim 10^{-5}$. While the residual amplitude method successfully computes $e_{\text{gw}}(t)$ up to the last available orbit (we discard the last two orbits before the merger as explained in Sec. II C), the amplitude

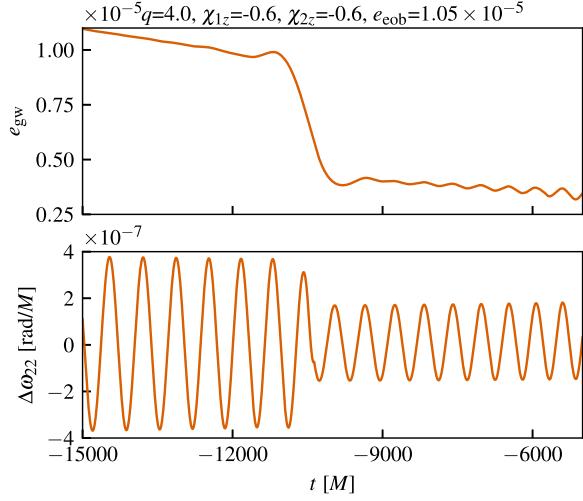


FIG. 12. Tracing the noisy features in Fig. 11 to the behavior of the SEOBNRv4EHM model at small eccentricities. The top panel shows e_{gw} for the case with $e_{\text{cob}} = 1.05 \times 10^{-5}$ at $t_0 = -20000M$, from the middle panel in Fig. 11. The bottom panel shows the corresponding $\Delta\omega_{22}$ [Eq. (26)], which helps highlight the modulations due to eccentricity. The drop in e_{gw} occurs at the same time as an abrupt drop in the eccentricity modulations in $\Delta\omega_{22}$ that arises from a transition function applied to the dynamical variables entering the NQC corrections in SEOBNRv4EHM [46].

fits method misses some extrema near the merger, especially when the eccentricity becomes small. However, as we will see below, the residual amplitude method can depend on the choice of the quasicircular waveform in the same region.

In most regions in Fig. 11, we find that the time evolution of e_{gw} varies smoothly with e_{cob} . However, for the residual amplitude and amplitude fits methods, for small eccentricities and near the merger, we find that $e_{\text{gw}}(t)$ can be noisy. Rather than a limitation of these methods, this behavior arises from the SEOBNRv4EHM model itself. Figure 12 focuses on one of the noisy $e_{\text{gw}}(t)$ curves from the middle panel in Fig. 11. The bottom panel in Fig. 12 shows the corresponding $\Delta\omega_{22}(t)$ from Eq. (26), which helps highlight the modulations due to eccentricity. The fall in $e_{\text{gw}}(t)$ is associated with an abrupt fall in the amplitude of the eccentricity modulations in $\Delta\omega_{22}(t)$.

Such jumps in $\Delta\omega_{22}(t)$ at small eccentricities arise from a transition function in SEOBNRv4EHM [46] that orbit averages the dynamical variables entering the nonquasicircular (NQC) corrections of the waveform. The orbit average is carried out between the local maxima of the oscillations in \dot{p}_{r^*} (see Appendix B in Ref. [46] for details). After the last available maximum, a window is applied to transition from the orbit-averaged variables to the plunge dynamics [see Eq. (B2) in Appendix B in Ref. [46]]. This transition causes the jump in $\Delta\omega_{22}$ shown in Fig. 12, as well as the noisy features at small eccentricity in Fig. 11.

Because the last available maximum occurs at earlier times for smaller eccentricities—analogue to Eq. (25)—these features also start at earlier times for smaller eccentricities in Fig. 11. While this behavior is noticeable in our studies, Ref. [126] shows that this causes no significant biases in parameter estimation and can be addressed in future versions of SEOBNRv4EHM. Nevertheless, Fig. 11 once again highlights the importance of such smoothness tests, not only to check our implementation of e_{gw} but also to identify potential issues in waveform models.

D. Dependence of e_{gw} on extrema-finding methods

For the final robustness test, we consider how strongly e_{gw} depends on the method used to locate extrema. We will consider only the residual amplitude and amplitude fits methods for simplicity. From Figs. 9 and 11, we already see that e_{gw} is broadly consistent between different methods. We now quantify the differences in Fig. 13, for the same dataset of 50 SEOBNRv4EHM waveforms from Sec. IV C.

The top-left panel in Fig. 13 shows $e_{\text{gw}}(t)$ for these waveforms when using the residual amplitude method and the colors represent the instantaneous absolute difference with respect to the $e_{\text{gw}}(t)$ obtained from the amplitude fits method. Here, we use SEOBNRv4EHM evaluated at zero eccentricity for the quasicircular counterpart required for residual amplitude. The gray region represents the parts where residual amplitude can compute $e_{\text{gw}}(t)$, but amplitude fits cannot. However, we note that this occurs only for small eccentricities $e_{\text{gw}} \lesssim 5 \times 10^{-3}$ and close to the merger. This region also coincides with the region where SEOBNRv4EHM exhibits the noisy behavior discussed in Fig. 12.

Next, the top-right panel in Fig. 13 illustrates the difference in $e_{\text{gw}}(t)$ between different choices of quasicircular counterpart for the residual amplitude method. The curves once again represent $e_{\text{gw}}(t)$ evaluated using residual amplitude with the quasicircular counterpart obtained from SEOBNRv4EHM (the same model used to produce the eccentric waveforms). The colors represent the instantaneous absolute difference with respect to the $e_{\text{gw}}(t)$ obtained from the residual amplitude method with the quasicircular counterpart obtained from the IMRPhenomT model instead. The gray region represents the parts where residual amplitude using SEOBNRv4EHM for the quasicircular counterpart can compute $e_{\text{gw}}(t)$, but residual amplitude using IMRPhenomT cannot. Once again, this occurs only for small eccentricities and near the merger. In this regime, the small differences between SEOBNRv4EHM (in the quasicircular limit) and IMRPhenomT, especially near the merger, become important, and IMRPhenomT does not accurately capture the secular growth in SEOBNRv4EHM.

In the regions where both residual amplitude and amplitude fits methods successfully compute $e_{\text{gw}}(t)$ in

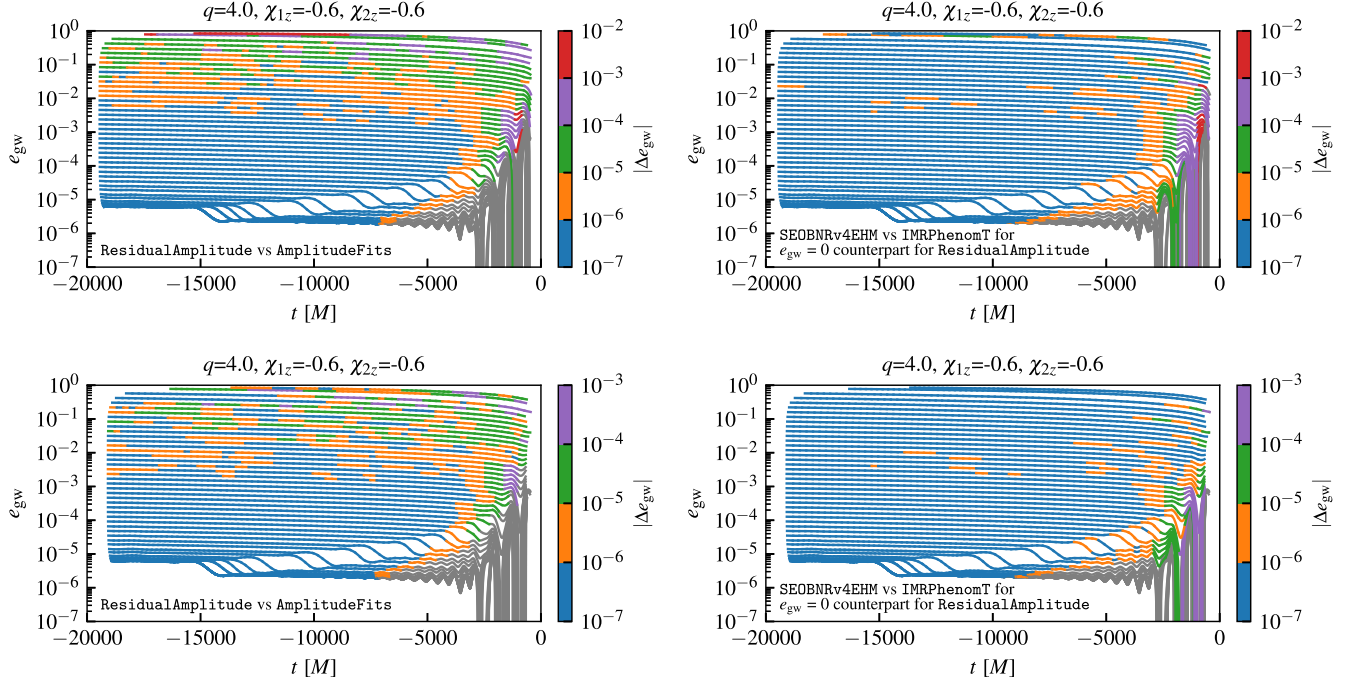


FIG. 13. Differences in $e_{\text{gw}}(t)$ due to different methods used to locate pericenters and apocenters, for the same SEOBNRv4EHM waveforms as Fig. 11. Top left: the curves show $e_{\text{gw}}(t)$ obtained using the residual amplitude method with the quasicircular counterpart also obtained from SEOBNRv4EHM. The colors represent the absolute difference with respect to the $e_{\text{gw}}(t)$ obtained using the amplitude fits method, and the gray region shows the parts where the second method fails to compute $e_{\text{gw}}(t)$. Top right: the same, but now the colors show the difference with respect to the $e_{\text{gw}}(t)$ obtained with residual amplitude method with the quasicircular counterpart obtained from the IMRPhenomT model. In both top panels, the different choices for locating pericenters and apocenters lead to broadly consistent results for $e_{\text{gw}}(t)$, with the only notable differences occurring for (i) small eccentricities ($e_{\text{gw}} \lesssim 5 \times 10^{-3}$) and near the merger, where the SEOBNRv4EHM model also has known issues (see Fig. 12), and (ii) large eccentricities ($e_{\text{gw}} \sim 0.9$), where locating apocenters is problematic. The bottom panels show the same as the top panels but when identifying the midpoints between pericenters as apocenters. This leads to more consistent results between different methods, and the largest differences in e_{gw} decrease by an order of magnitude.

the top-left panel in Fig. 13, the biggest differences are of the order of 10^{-2} . These differences occur either for small eccentricities near the merger or for very large eccentricities ($e_{\text{gw}} \sim 0.9$). At such high eccentricities, the waveform is characterized by sharp bursts at pericenter passages alternating with wide valleys that include the apocenter passages (see the bottom panel in Fig. 2, for example). As a result, it is easy to identify the pericenter times but not the apocenter times for these waveforms. This can be resolved by only identifying the pericenter times and *defining* the apocenter times to be the midpoints between consecutive pericenters. The assumption employed here is that the radiation reaction is not strong enough that the times taken for the first and second halves of an orbit are significantly different. While this assumption is broken near the merger, we already discard the last two orbits before the merger when computing e_{gw} (Sec. II C).

The bottom panels in Fig. 13 show the same as the top panels but when identifying the midpoints between pericenters as apocenters. We find that the largest differences

between residual amplitude and amplitude fits, as well as the largest differences between residual amplitude with different quasicircular counterparts, are now an order of magnitude smaller. This suggests that identifying the midpoints between pericenters as apocenters may be a more robust choice than directly locating apocenters, especially for large eccentricities. We provide this as an option in `gw_eccentricity` [93].

In summary, the different choices for locating extrema in Fig. 13 lead to broadly consistent results for $e_{\text{gw}}(t)$, with the only notable differences occurring for (i) small eccentricities ($e_{\text{gw}} \lesssim 5 \times 10^{-3}$) and near the merger, where the SEOBNRv4EHM model also has known issues (see Fig. 12), and (ii) large eccentricities ($e_{\text{gw}} \sim 0.9$), where locating apocenters is problematic. As discussed in Sec. III, such differences are expected, and the different methods to locate extrema should be regarded as different definitions of eccentricity. However, identifying the midpoints between pericenters as apocenters, rather than directly locating apocenters, can lead to more consistent results between different methods.

E. Applicability for the high-eccentricity regime

The tests we have conducted so far have been restricted to $e_{\text{gw}} \leq 0.9$. In this section, we focus on testing our implementation in the high-eccentricity regime, $e_{\text{gw}} > 0.9$. While the eccentricity definition adopted in this work is, in principle, valid at all eccentricities in the range $(0 - 1)$, high eccentricity comes with additional challenges:

- (i) As $e_{\text{gw}} \rightarrow 1$, the separation in time between pericenters increases (see Fig. 2), making it challenging to produce waveforms with enough extrema to construct the $\omega_{22}^{\text{p}}(t)$ and $\omega_{22}^{\text{a}}(t)$ interpolants required in Eq. (4). This limits the practical applicability of e_{gw} for high-eccentricity NR simulations like those in Ref. [78]. However, as we will see below, if sufficiently long waveforms can be produced, this definition of eccentricity and our implementation still work at high eccentricities.
- (ii) The first available time \hat{t}_0 for $e_{\text{gw}}(t)$ is the maximum of the times of the first pericenter and first apocenter (Sec. II C), which occurs up to an orbit after the start of the waveform, t_0 . As the duration of orbits increases with eccentricity, so does the difference between t_0 and \hat{t}_0 . As eccentricity also evolves during this time, a non-negligible amount of eccentricity may be radiated away before the first available time for the $e_{\text{gw}}(t)$ measurement (see an example below).
- (iii) As discussed in Sec. IV D, locating apocenters becomes challenging at high eccentricities. Therefore, in the test below, we identify the midpoints between pericenters as apocenters rather than directly locating apocenters.

To test our implementation at high eccentricities, we construct a new dataset of SEOBNRv4EHM waveforms with eccentricities $0.9 \leq e_{\text{cob}} \leq 0.999$ defined at $t_0 = -5 \times 10^6 M$,⁸ for a system with parameters $q = 1$, and $\chi_{1z} = \chi_{2z} = 0$. For this dataset, the waveforms include 154 (672) pericenters before merger for $e_{\text{cob}} = 0.999$ ($e_{\text{cob}} = 0.9$) at t_0 , allowing us to easily measure $e_{\text{gw}}(t)$ even for such high eccentricities. Figure 14 shows the eccentricities $e_{\text{gw}}(t)$ measured using these waveforms; as expected, $e_{\text{gw}}(t)$ varies smoothly with varying e_{cob} even for high eccentricities. For the waveform with $e_{\text{cob}} = 0.999$ at t_0 , the measured eccentricity at the first available time $\hat{t}_0 \approx -2 \times 10^6 M$ is $e_{\text{gw}} \approx 0.99$. Because the gap between \hat{t}_0 and t_0 is very large ($\sim 3 \times 10^6 M$) for this case, it is unsurprising that the eccentricity decays to $e_{\text{gw}}(\hat{t}_0) = 0.99$. The choice of $t_0 = -5 \times 10^6 M$ was made for this dataset so that t_0 occurs early in the inspiral, where this decay is less drastic.

⁸Once again, we achieve the desired length of the inspiral by adjusting the start frequency of the SEOBNRv4EHM model accordingly.

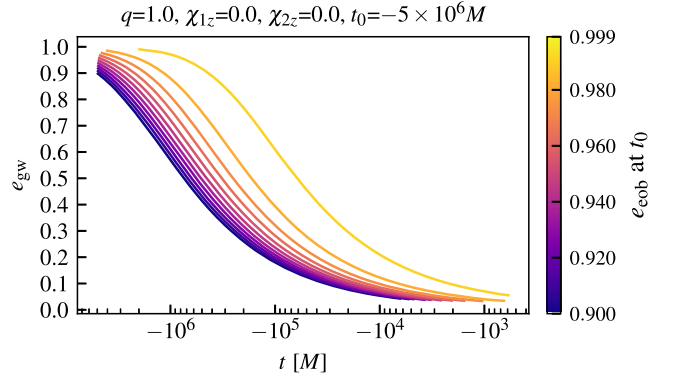


FIG. 14. A smoothness test for $e_{\text{gw}}(t)$ at very high eccentricities. The curves show the time evolution of e_{gw} for SEOBNRv4EHM waveforms with initial eccentricities $0.9 \leq e_{\text{cob}} \leq 0.999$ at $t_0 = -5 \times 10^6 M$. The colors represent e_{cob} at t_0 . The binary parameters are shown in the figure title. We use the residual amplitude method to locate pericenters and identify the midpoints between pericenters as apocenters.

V. CONCLUSION

We present a robust implementation of standardized definitions of eccentricity (e_{gw}) and mean anomaly (l_{gw}) that are computed directly from the gravitational waveform (Sec. II). Our method is free of gauge ambiguities, has the correct Newtonian limit, and is applicable for waveforms of all origins, over the full range of allowed eccentricities for bound orbits. However, as our method relies on computing the frequency at pericenter and apocenter passages, it requires waveforms with at least $\sim 4-5$ orbits.

Our method can be applied directly during source parameter estimation or as a postprocessing step to convert posterior samples from the internal definitions used by models and simulations to the standardized ones. This puts all models and simulations on the same footing while also helping connect GW observations to astrophysical predictions for GW populations. Finally, we propose how the reference frequency f_{ref} and start frequency f_{low} , that are used in GW data analysis, should be generalized for eccentric binaries (Secs. II E–II G).

One key aspect of computing e_{gw} and l_{gw} is identifying the times of pericenter and apocenter passages from the waveform. We provide different methods for this purpose that should be treated as different variants of the eccentricity definition. Among the provided methods (see Sec. III), the amplitude method is applicable when eccentricity is sufficiently high ($e_{\text{gw}} \gtrsim 10^{-3} \dots 10^{-2}$), while residual amplitude and amplitude fits are applicable for smaller eccentricities as well.

We demonstrate the robustness of our implementation by testing against waveforms of different origins, including PN, EOB, EMRIs, and NR (Sec. IV B). We further conduct smoothness tests that have the added benefit of identifying noisy features in waveform models (Sec. IV C). Our tests

include waveforms with eccentricities ranging from 10^{-5} to 0.999. We discuss the limitations of our approach for very high eccentricities (Sec. IV E), especially for NR simulations where including ~ 4 – 5 orbits can be challenging when eccentricity is high.

We make our implementation publicly available through an easy-to-use Python package, `gw_eccentricity` [93]. This work focuses on systems without spin precession, and the most important next step is to generalize our methods to spin-precessing eccentric binaries. We leave this to future work but discuss potential approaches (Sec. II C 1).

ACKNOWLEDGMENTS

We thank Peter James Nee and Leo C. Stein for useful discussions and Geraint Pratten, Isobel Romero-Shaw, Teagan Clarke, Paul Lasky, Eric Thrane, and Aditya Vijaykumar for comments on the manuscript. M. A. S.'s research was supported by the Department of Atomic

Energy, Government of India, and the National Research Foundation of Korea under Grant No. NRF-2021R1A2C2012473. M. A. S. acknowledges travel support from the Infosys Exchange Scholars program to visit Albert Einstein Institute (AEI), Potsdam and hospitality by AEI, Potsdam where a part of the work was completed. V. V. acknowledges support from the European Union's Horizon 2020 research and innovation program under the Marie Skłodowska-Curie Grant Agreement No. 896869. M. v. d. M. is supported by VILLUM FONDEN (Grant No. 37766) and the Danish Research Foundation. This material is based upon work supported by National Science Foundation's (NSF) LIGO Laboratory which is a major facility fully funded by the NSF. Most of the numerical calculations reported in this paper as well as the development of `gw_eccentricity` [93] were performed using the Alice cluster at International Centre for Theoretical Sciences, Tata Institute of Fundamental Research.

-
- [1] J. Aasi *et al.* (LIGO Scientific Collaboration), Advanced LIGO, *Classical Quantum Gravity* **32**, 074001 (2015).
 - [2] F. Acernese *et al.* (Virgo Collaboration), Advanced Virgo: A second-generation interferometric gravitational wave detector, *Classical Quantum Gravity* **32**, 024001 (2015).
 - [3] R. Abbott *et al.* (LIGO Scientific, VIRGO, and KAGRA Collaborations), GWTC-3: Compact Binary Coalescences Observed by LIGO and Virgo During the Second Part of the Third Observing Run, [arXiv:2111.03606](https://arxiv.org/abs/2111.03606) [Phys. Rev. X (to be published)].
 - [4] B. P. Abbott *et al.* (LIGO Scientific and Virgo Collaborations), Observation of Gravitational Waves from a Binary Black Hole Merger, *Phys. Rev. Lett.* **116**, 061102 (2016).
 - [5] Benjamin P. Abbott *et al.* (LIGO Scientific and Virgo Collaborations), GW170817: Observation of Gravitational Waves from a Binary Neutron Star Inspiral, *Phys. Rev. Lett.* **119**, 161101 (2017).
 - [6] R. Abbott *et al.* (LIGO Scientific, KAGRA, and VIRGO Collaborations), Observation of gravitational waves from two neutron star–black hole coalescences, *Astrophys. J. Lett.* **915**, L5 (2021).
 - [7] Theocharis A. Apostolatos, Curt Cutler, Gerald J. Sussman, and Kip S. Thorne, Spin-induced orbital precession and its modulation of the gravitational waveforms from merging binaries, *Phys. Rev. D* **49**, 6274 (1994).
 - [8] Lawrence E. Kidder, Coalescing binary systems of compact objects to post-Newtonian $5/2$ order. 5. Spin effects, *Phys. Rev. D* **52**, 821 (1995).
 - [9] Michela Mapelli, Binary black hole mergers: Formation and populations, *Front. Astron. Space Sci.* **7**, 38 (2020).
 - [10] Vijay Varma, Scott E. Field, Mark A. Scheel, Jonathan Blackman, Davide Gerosa, Leo C. Stein, Lawrence E. Kidder, and Harald P. Pfeiffer, Surrogate models for precessing binary black hole simulations with unequal masses, *Phys. Rev. Res.* **1**, 033015 (2019).
 - [11] Geraint Pratten *et al.*, Computationally efficient models for the dominant and subdominant harmonic modes of precessing binary black holes, *Phys. Rev. D* **103**, 104056 (2021).
 - [12] Serguei Ossokine *et al.*, Multipolar effective-one-body waveforms for precessing binary black holes: Construction and validation, *Phys. Rev. D* **102**, 044055 (2020).
 - [13] Rossella Gamba, Sarp Akçay, Sebastiano Bernuzzi, and Jake Williams, Effective-one-body waveforms for precessing coalescing compact binaries with post-Newtonian twist, *Phys. Rev. D* **106**, 024020 (2022).
 - [14] Héctor Estellés, Marta Colleoni, Cecilio García-Quirós, Sascha Husa, David Keitel, Maite Mateu-Lucena, Maria de Lluc Planas, and Antoni Ramos-Buades, New twists in compact binary waveform modeling: A fast time-domain model for precession, *Phys. Rev. D* **105**, 084040 (2022).
 - [15] Eleanor Hamilton, Lionel London, Jonathan E. Thompson, Edward Fauchon-Jones, Mark Hannam, Chinmay Kalaghatgi, Sebastian Khan, Francesco Pannarale, and Alex Vano-Vinuales, Model of gravitational waves from precessing black-hole binaries through merger and ring-down, *Phys. Rev. D* **104**, 124027 (2021).
 - [16] Benjamin Farr, Evan Ochsner, Will M. Farr, and Richard O'Shaughnessy, A more effective coordinate system for parameter estimation of precessing compact binaries from gravitational waves, *Phys. Rev. D* **90**, 024018 (2014).
 - [17] I. M. Romero-Shaw *et al.*, Bayesian inference for compact binary coalescences with Bilby: Validation and application to the first LIGO–Virgo gravitational-wave transient catalogue, *Mon. Not. R. Astron. Soc.* **499**, 3295 (2020).

- [18] J. Veitch *et al.*, Robust parameter estimation for compact binaries with ground-based gravitational-wave observations using the LALInference software library, *Phys. Rev. D* **91**, 042003 (2015).
- [19] P. C. Peters and J. Mathews, Gravitational radiation from point masses in a Keplerian orbit, *Phys. Rev.* **131**, 435 (1963).
- [20] P. C. Peters, Gravitational radiation and the motion of two point masses, *Phys. Rev.* **136**, B1224 (1964).
- [21] Luc Blanchet, Gravitational radiation from post-Newtonian sources and inspiralling compact binaries, *Living Rev. Relativity* **17**, 2 (2014).
- [22] Smadar Naoz, The eccentric Kozai-Lidov effect and its applications, *Annu. Rev. Astron. Astrophys.* **54**, 441 (2016).
- [23] Miguel A. S. Martinez, Giacomo Fragione, Kyle Kremer, Sourav Chatterjee, Carl L. Rodriguez, Johan Samsing, Claire S. Ye, Newlin C. Weatherford, Michael Zevin, Smadar Naoz, and Frederic A. Rasio, Black hole mergers from hierarchical triples in dense star clusters, *Astrophys. J.* **903**, 67 (2020).
- [24] Isobel M. Romero-Shaw, Paul D. Lasky, and Eric Thrane, Searching for eccentricity: Signatures of dynamical formation in the first gravitational-wave transient catalogue of LIGO and Virgo, *Mon. Not. R. Astron. Soc.* **490**, 5210 (2019).
- [25] Isobel M. Romero-Shaw, Paul D. Lasky, Eric Thrane, and Juan Calderon Bustillo, GW190521: Orbital eccentricity and signatures of dynamical formation in a binary black hole merger signal, *Astrophys. J. Lett.* **903**, L5 (2020).
- [26] V. Gayathri, J. Healy, J. Lange, B. O'Brien, M. Szczepanczyk, Imre Bartos, M. Campanelli, S. Klimentenko, C. O. Lousto, and R. O'Shaughnessy, Eccentricity estimate for black hole mergers with numerical relativity simulations, *Nat. Astron.* **6**, 344 (2022).
- [27] Juan Calderón Bustillo, Nicolas Sanchis-Gual, Alejandro Torres-Forné, José A. Font, Avi Vajpeyi, Rory Smith, Carlos Herdeiro, Eugen Radu, and Samson H. W. Leong, GW190521 as a Merger of Proca Stars: A Potential New Vector Boson of 8.7×10^{-13} eV, *Phys. Rev. Lett.* **126**, 081101 (2021).
- [28] Juan Calderón Bustillo, Nicolas Sanchis-Gual, Alejandro Torres-Forné, and José A. Font, Confusing Head-on Collisions with Precessing Intermediate-Mass Binary Black Hole Mergers, *Phys. Rev. Lett.* **126**, 201101 (2021).
- [29] Eamonn O'Shea and Prayush Kumar, Correlations in parameter estimation of low-mass eccentric binaries: GW151226 & GW170608, *arXiv:2107.07981*.
- [30] Rossella Gamba, Matteo Breschi, Gregorio Carullo, Piero Rettegno, Simone Albanesi, Sebastiano Bernuzzi, and Alessandro Nagar, GW190521 as a dynamical capture of two nonspinning black holes, *Nat. Astron.* **7**, 11 (2023).
- [31] Isobel M. Romero-Shaw, Paul D. Lasky, and Eric Thrane, Four eccentric mergers increase the evidence that LIGO-Virgo-KAGRA's binary black holes form dynamically, *Astrophys. J.* **940**, 171 (2022).
- [32] T. Akutsu *et al.* (KAGRA Collaboration), Overview of KAGRA: Detector design and construction history, *Prog. Theor. Exp. Phys.* **2021**, 05A101 (2021).
- [33] B. P. Abbott *et al.* (KAGRA, LIGO Scientific, and VIRGO Collaborations), Prospects for observing and localizing gravitational-wave transients with Advanced LIGO, Advanced Virgo and KAGRA, *Living Rev. Relativity* **21**, 3 (2018).
- [34] M. Punturo *et al.*, The Einstein Telescope: A third-generation gravitational wave observatory, *Classical Quantum Gravity* **27**, 194002 (2010).
- [35] S. Hild *et al.*, Sensitivity studies for third-generation gravitational wave observatories, *Classical Quantum Gravity* **28**, 094013 (2011).
- [36] Benjamin P. Abbott *et al.* (LIGO Scientific Collaboration), Exploring the sensitivity of next generation gravitational wave detectors, *Classical Quantum Gravity* **34**, 044001 (2017).
- [37] David Reitze *et al.*, Cosmic Explorer: The U.S. contribution to gravitational-wave astronomy beyond LIGO, *Bull. Am. Astron. Soc.* **51**, 035 (2019), <https://baas.aas.org/pub/2020n7i035/release/1>.
- [38] Alberto Sesana, Prospects for Multiband Gravitational-Wave Astronomy after GW150914, *Phys. Rev. Lett.* **116**, 231102 (2016).
- [39] Pau Amaro-Seoane *et al.*, Astrophysics with the laser interferometer space antenna, *Living Rev. Relativity* **26**, 2 (2023).
- [40] Antoine Klein *et al.*, The last three years: Multiband gravitational-wave observations of stellar-mass binary black holes, *arXiv:2204.03423*.
- [41] Matteo Bonetti, Francesco Haardt, Alberto Sesana, and Enrico Barausse, Post-Newtonian evolution of massive black hole triplets in Galactic nuclei—II. Survey of the parameter space, *Mon. Not. R. Astron. Soc.* **477**, 3910 (2018).
- [42] Niels Warburton, Sarp Akcay, Leor Barack, Jonathan R. Gair, and Norichika Sago, Evolution of inspiral orbits around a Schwarzschild black hole, *Phys. Rev. D* **85**, 061501 (2012).
- [43] Thomas Osburn, Niels Warburton, and Charles R. Evans, Highly eccentric inspirals into a black hole, *Phys. Rev. D* **93**, 064024 (2016).
- [44] Zhoujian Cao and Wen-Biao Han, Waveform model for an eccentric binary black hole based on the effective-one-body-numerical-relativity formalism, *Phys. Rev. D* **96**, 044028 (2017).
- [45] Xiaolin Liu, Zhoujian Cao, and Lijing Shao, Validating the effective-one-body numerical-relativity waveform models for spin-aligned binary black holes along eccentric orbits, *Phys. Rev. D* **101**, 044049 (2020).
- [46] Antoni Ramos-Buades, Alessandra Buonanno, Mohammed Khalil, and Serguei Ossokine, Effective-one-body multipolar waveforms for eccentric binary black holes with non-precessing spins, *Phys. Rev. D* **105**, 044035 (2022).
- [47] Alessandro Nagar, Alice Bonino, and Piero Rettegno, Effective one-body multipolar waveform model for spin-aligned, quasicircular, eccentric, hyperbolic black hole binaries, *Phys. Rev. D* **103**, 104021 (2021).
- [48] Tousif Islam, Vijay Varma, Jackie Lodman, Scott E. Field, Gaurav Khanna, Mark A. Scheel, Harald P. Pfeiffer, Davide Gerosa, and Lawrence E. Kidder, Eccentric binary

- black hole surrogate models for the gravitational waveform and remnant properties: Comparable mass, nonspinning case, *Phys. Rev. D* **103**, 064022 (2021).
- [49] Xiaolin Liu, Zhoujian Cao, and Zong-Hong Zhu, A higher-multipole gravitational waveform model for an eccentric binary black holes based on the effective-one-body-numerical-relativity formalism, *Classical Quantum Gravity* **39**, 035009 (2022).
- [50] Raoul-Martin Memmesheimer, Achamveedu Gopakumar, and Gerhard Schafer, Third post-Newtonian accurate generalized quasi-Keplerian parametrization for compact binaries in eccentric orbits, *Phys. Rev. D* **70**, 104011 (2004).
- [51] E. A. Huerta, Prayush Kumar, Sean T. McWilliams, Richard O’Shaughnessy, and Nicolás Yunes, Accurate and efficient waveforms for compact binaries on eccentric orbits, *Phys. Rev. D* **90**, 084016 (2014).
- [52] Sashwat Tanay, Maria Haney, and Achamveedu Gopakumar, Frequency and time domain inspiral templates for comparable mass compact binaries in eccentric orbits, *Phys. Rev. D* **93**, 064031 (2016).
- [53] Gihyuk Cho, Sashwat Tanay, Achamveedu Gopakumar, and Hyung Mok Lee, Generalized quasi-Keplerian solution for eccentric, nonspinning compact binaries at 4PN order and the associated inspiral-merger-ringdown waveform, *Phys. Rev. D* **105**, 064010 (2022).
- [54] Blake Moore, Travis Robson, Nicholas Loutrel, and Nicolas Yunes, Towards a Fourier domain waveform for non-spinning binaries with arbitrary eccentricity, *Classical Quantum Gravity* **35**, 235006 (2018).
- [55] Blake Moore and Nicolás Yunes, A 3PN Fourier domain waveform for non-spinning binaries with moderate eccentricity, *Classical Quantum Gravity* **36**, 185003 (2019).
- [56] Maarten van de Meent and Niels Warburton, Fast self-forced inspirals, *Classical Quantum Gravity* **35**, 144003 (2018).
- [57] Alvin J. K. Chua, Michael L. Katz, Niels Warburton, and Scott A. Hughes, Rapid Generation of Fully Relativistic Extreme-Mass-Ratio-Inspiral Waveform Templates for LISA Data Analysis, *Phys. Rev. Lett.* **126**, 051102 (2021).
- [58] Scott A. Hughes, Niels Warburton, Gaurav Khanna, Alvin J. K. Chua, and Michael L. Katz, Adiabatic waveforms for extreme mass-ratio inspirals via multivoice decomposition in time and frequency, *Phys. Rev. D* **103**, 104014 (2021).
- [59] Michael L. Katz, Alvin J. K. Chua, Lorenzo Speri, Niels Warburton, and Scott A. Hughes, Fast extreme-mass-ratio-inspiral waveforms: New tools for millihertz gravitational-wave data analysis, *Phys. Rev. D* **104**, 064047 (2021).
- [60] Philip Lynch, Maarten van de Meent, and Niels Warburton, Eccentric self-forced inspirals into a rotating black hole, *Classical Quantum Gravity* **39**, 145004 (2022).
- [61] Antoine Klein, EFPE: Efficient fully precessing eccentric gravitational waveforms for binaries with long inspirals, [arXiv:2106.10291](https://arxiv.org/abs/2106.10291).
- [62] Leor Barack and Norichika Sago, Gravitational self-force on a particle in eccentric orbit around a Schwarzschild black hole, *Phys. Rev. D* **81**, 084021 (2010).
- [63] Sarp Akcay, Niels Warburton, and Leor Barack, Frequency-domain algorithm for the Lorenz-gauge gravitational self-force, *Phys. Rev. D* **88**, 104009 (2013).
- [64] Seth Hopper and Charles R. Evans, Metric perturbations from eccentric orbits on a Schwarzschild black hole: I. Odd-parity Regge-Wheeler to Lorenz gauge transformation and two new methods to circumvent the Gibbs phenomenon, *Phys. Rev. D* **87**, 064008 (2013).
- [65] Thomas Osburn, Erik Forseth, Charles R. Evans, and Seth Hopper, Lorenz gauge gravitational self-force calculations of eccentric binaries using a frequency domain procedure, *Phys. Rev. D* **90**, 104031 (2014).
- [66] Maarten van de Meent and Abhay G. Shah, Metric perturbations produced by eccentric equatorial orbits around a Kerr black hole, *Phys. Rev. D* **92**, 064025 (2015).
- [67] Seth Hopper, Chris Kavanagh, and Adrian C. Ottewill, Analytic self-force calculations in the post-Newtonian regime: Eccentric orbits on a Schwarzschild background, *Phys. Rev. D* **93**, 044010 (2016).
- [68] Erik Forseth, Charles R. Evans, and Seth Hopper, Eccentric-orbit extreme-mass-ratio inspiral gravitational wave energy fluxes to 7PN order, *Phys. Rev. D* **93**, 064058 (2016).
- [69] Maarten van de Meent, Gravitational self-force on eccentric equatorial orbits around a Kerr black hole, *Phys. Rev. D* **94**, 044034 (2016).
- [70] Maarten van de Meent, Gravitational self-force on generic bound geodesics in Kerr spacetime, *Phys. Rev. D* **97**, 104033 (2018).
- [71] Christopher Munna, Charles R. Evans, Seth Hopper, and Erik Forseth, Determination of new coefficients in the angular momentum and energy fluxes at infinity to 9PN order for eccentric Schwarzschild extreme-mass-ratio inspirals using mode-by-mode fitting, *Phys. Rev. D* **102**, 024047 (2020).
- [72] Christopher Munna and Charles R. Evans, Eccentric-orbit extreme-mass-ratio-inspiral radiation II: 1PN correction to leading-logarithm and subleading-logarithm flux sequences and the entire perturbative 4PN flux, *Phys. Rev. D* **102**, 104006 (2020).
- [73] Christopher Munna and Charles R. Evans, High-order post-Newtonian expansion of the redshift invariant for eccentric-orbit nonspinning extreme-mass-ratio inspirals, *Phys. Rev. D* **106**, 044004 (2022).
- [74] Christopher Munna and Charles R. Evans, Post-Newtonian expansion of the spin-precession invariant for eccentric-orbit nonspinning extreme-mass-ratio inspirals to 9PN and e16, *Phys. Rev. D* **106**, 044058 (2022).
- [75] Ian Hinder, Lawrence E. Kidder, and Harald P. Pfeiffer, Eccentric binary black hole inspiral-merger-ringdown gravitational waveform model from numerical relativity and post-Newtonian theory, *Phys. Rev. D* **98**, 044015 (2018).
- [76] Michael Boyle *et al.*, The SXS Collaboration catalog of binary black hole simulations, *Classical Quantum Gravity* **36**, 195006 (2019).
- [77] Antoni Ramos-Buades, Maarten van de Meent, Harald P. Pfeiffer, Hannes R. Rüter, Mark A. Scheel, Michael Boyle, and Lawrence E. Kidder, Eccentric binary black holes: Comparing numerical relativity and small mass-ratio perturbation theory, *Phys. Rev. D* **106**, 124040 (2022).
- [78] James Healy and Carlos O. Lousto, Fourth RIT binary black hole simulations catalog: Extension to eccentric orbits, *Phys. Rev. D* **105**, 124010 (2022).

- [79] Sarah Habib and E. A. Huerta, Characterization of numerical relativity waveforms of eccentric binary black hole mergers, *Phys. Rev. D* **100**, 044016 (2019).
- [80] E. A. Huerta *et al.*, Physics of eccentric binary black hole mergers: A numerical relativity perspective, *Phys. Rev. D* **100**, 064003 (2019).
- [81] Abhishek V. Joshi, Shawn G. Rosofsky, Roland Haas, and E. A. Huerta, Numerical relativity higher order gravitational waveforms of eccentric, spinning, nonprecessing binary black hole mergers, *Phys. Rev. D* **107**, 064038 (2023).
- [82] Benjamin P. Abbott *et al.* (LIGO Scientific and Virgo Collaborations), Effects of waveform model systematics on the interpretation of GW150914, *Classical Quantum Gravity* **34**, 104002 (2017).
- [83] Marcus E. Lower, Eric Thrane, Paul D. Lasky, and Rory Smith, Measuring eccentricity in binary black hole inspirals with gravitational waves, *Phys. Rev. D* **98**, 083028 (2018).
- [84] Antoni Ramos-Buades, Sascha Husa, Geraint Pratten, Héctor Estellés, Cecilio García-Quirós, Maite Mateu-Lucena, Marta Colleoni, and Rafel Jaume, First survey of spinning eccentric black hole mergers: Numerical relativity simulations, hybrid waveforms, and parameter estimation, *Phys. Rev. D* **101**, 083015 (2020).
- [85] Antoni Ramos-Buades, Shubhanshu Tiwari, Maria Haney, and Sascha Husa, Impact of eccentricity on the gravitational wave searches for binary black holes: High mass case, *Phys. Rev. D* **102**, 043005 (2020).
- [86] Isobel Romero-Shaw, Davide Gerosa, and Nicholas Loutrel, Eccentricity or spin precession? Distinguishing subdominant effects in gravitational-wave data, *Mon. Not. R. Astron. Soc.* **519**, 5352 (2023).
- [87] Alan M. Knee, Isobel M. Romero-Shaw, Paul D. Lasky, Jess McIver, and Eric Thrane, A Rosetta Stone for eccentric gravitational waveform models, *Astrophys. J.* **936**, 172 (2022).
- [88] Alice Bonino, Rossella Gamba, Patricia Schmidt, Alessandro Nagar, Geraint Pratten, Matteo Breschi, Piero Rettengo, and Sebastiano Bernuzzi, Inferring eccentricity evolution from observations of coalescing binary black holes, *Phys. Rev. D* **107**, 064024 (2023).
- [89] Tao Yang, Rong-Gen Cai, Zhoujian Cao, and Hyung Mok Lee, Eccentricity of Long Inspiring Compact Binaries Sheds Light on Dark Sirens, *Phys. Rev. Lett.* **129**, 191102 (2022).
- [90] Patricia Schmidt, Ian W. Harry, and Harald P. Pfeiffer, Numerical relativity injection infrastructure, [arXiv:1703.01076](https://arxiv.org/abs/1703.01076).
- [91] Teagan A. Clarke, Isobel M. Romero-Shaw, Paul D. Lasky, and Eric Thrane, Gravitational-wave inference for eccentric binaries: The argument of periapsis, *Mon. Not. R. Astron. Soc.* **517**, 3778 (2022).
- [92] Vijay Varma, Maximiliano Isi, Sylvia Biscoveanu, Will M. Farr, and Salvatore Vitale, Measuring binary black hole orbital-plane spin orientations, *Phys. Rev. D* **105**, 024045 (2022).
- [93] Md Arif Shaikh, Vijay Varma, Harald Pfeiffer, Antoni Ramos-Buades, and Maarten van de Meent, `gw_eccentricity`: A Python package for measuring eccentricity from gravitational waves, https://pypi.python.org/pypi/gw_eccentricity/.
- [94] H. Goldstein, C. P. Poole, and J. L. Safko, *Classical Mechanics* (Addison-Wesley, New York, 2002).
- [95] Serguei Ossokine, Tim Dietrich, Evan Foley, Reza Katebi, and Geoffrey Lovelace, Assessing the energetics of spinning binary black hole systems, *Phys. Rev. D* **98**, 104057 (2018).
- [96] Leor Barack and Norichika Sago, Beyond the geodesic approximation: Conservative effects of the gravitational self-force in eccentric orbits around a Schwarzschild black hole, *Phys. Rev. D* **83**, 084023 (2011).
- [97] Sarp Akcay, Alexandre Le Tiec, Leor Barack, Norichika Sago, and Niels Warburton, Comparison between self-force and post-Newtonian dynamics: Beyond circular orbits, *Phys. Rev. D* **91**, 124014 (2015).
- [98] Sarp Akcay, David Dempsey, and Sam R. Dolan, Spin-orbit precession for eccentric black hole binaries at first order in the mass ratio, *Classical Quantum Gravity* **34**, 084001 (2017).
- [99] Tanja Hinderer and Stanislav Babak, Foundations of an effective-one-body model for coalescing binaries on eccentric orbits, *Phys. Rev. D* **96**, 104048 (2017).
- [100] Danilo Chiamarello and Alessandro Nagar, Faithful analytical effective-one-body waveform model for spin-aligned, moderately eccentric, coalescing black hole binaries, *Phys. Rev. D* **101**, 101501 (2020).
- [101] Mohammed Khalil, Alessandra Buonanno, Jan Steinhoff, and Justin Vines, Radiation-reaction force and multipolar waveforms for eccentric, spin-aligned binaries in the effective-one-body formalism, *Phys. Rev. D* **104**, 024046 (2021).
- [102] Alessandra Buonanno, Lawrence E. Kidder, Abdul H. Mroue, Harald P. Pfeiffer, and Andrea Taracchini, Reducing orbital eccentricity of precessing black-hole binaries, *Phys. Rev. D* **83**, 104034 (2011).
- [103] Abdul H. Mroue and Harald P. Pfeiffer, Precessing binary black holes simulations: Quasicircular initial data, [arXiv:1210.2958](https://arxiv.org/abs/1210.2958).
- [104] Antoni Ramos-Buades, Sascha Husa, and Geraint Pratten, Simple procedures to reduce eccentricity of binary black hole simulations, *Phys. Rev. D* **99**, 023003 (2019).
- [105] Alessandro Ciarfella, James Healy, Carlos O. Lousto, and Hiroyuki Nakano, Eccentricity estimation from initial data for numerical relativity simulations, *Phys. Rev. D* **106**, 104035 (2022).
- [106] Thierry Mora and Clifford M. Will, Numerically generated quasiequilibrium orbits of black holes: Circular or eccentric?, *Phys. Rev. D* **66**, 101501 (2002).
- [107] Adam G. M. Lewis, Aaron Zimmerman, and Harald P. Pfeiffer, Fundamental frequencies and resonances from eccentric and precessing binary black hole inspirals, *Classical Quantum Gravity* **34**, 124001 (2017).
- [108] Kepler's laws of planetary motion, https://en.wikipedia.org/wiki/Kepler's_laws_of_planetary_motion.
- [109] Jeffrey Winicour, Characteristic evolution and matching, *Living Rev. Relativity* **12**, 3 (2009).
- [110] Jordan Moxon, Mark A. Scheel, and Saul A. Teukolsky, Improved Cauchy-characteristic evolution system for high-precision numerical relativity waveforms, *Phys. Rev. D* **102**, 044052 (2020).

- [111] Christian Reisswig, Nigel T. Bishop, Chi Wai Lai, Jonathan Thornburg, and Bela Szilagyi, Numerical relativity with characteristic evolution, using six angular patches, *Classical Quantum Gravity* **24**, S327 (2007).
- [112] C. Reisswig, N. T. Bishop, D. Pollney, and B. Szilagyi, Characteristic extraction in numerical relativity: Binary black hole merger waveforms at null infinity, *Classical Quantum Gravity* **27**, 075014 (2010).
- [113] Christian Reisswig, Nigel T. Bishop, and Denis Pollney, General relativistic null-cone evolutions with a high-order scheme, *Gen. Relativ. Gravit.* **45**, 1069 (2013).
- [114] Nicholas W. Taylor, Michael Boyle, Christian Reisswig, Mark A. Scheel, Tony Chu, Lawrence E. Kidder, and Béla Szilágyi, Comparing gravitational waveform extrapolation to Cauchy-characteristic extraction in binary black hole simulations, *Phys. Rev. D* **88**, 124010 (2013).
- [115] Kevin Barkett, Jordan Moxon, Mark A. Scheel, and Béla Szilágyi, Spectral Cauchy-characteristic extraction of the gravitational wave news function, *Phys. Rev. D* **102**, 024004 (2020).
- [116] Keefe Mitman *et al.*, Fixing the BMS frame of numerical relativity waveforms with BMS charges, *Phys. Rev. D* **106**, 084029 (2022).
- [117] SXS Collaboration, The SXS Collaboration catalog of gravitational waveforms, <http://www.black-holes.org/waveforms>.
- [118] Michael Boyle, Robert Owen, and Harald P. Pfeiffer, A geometric approach to the precession of compact binaries, *Phys. Rev. D* **84**, 124011 (2011).
- [119] Simulating eXtreme Spacetimes, <http://www.black-holes.org/>.
- [120] Vijay Varma and Parameswaran Ajith, Effects of non-quadrupole modes in the detection and parameter estimation of black hole binaries with nonprecessing spins, *Phys. Rev. D* **96**, 124024 (2017).
- [121] Vijay Varma, Parameswaran Ajith, Sascha Husa, Juan Calderon Bustillo, Mark Hannam, and Michael Pürrer, Gravitational-wave observations of binary black holes: Effect of nonquadrupole modes, *Phys. Rev. D* **90**, 124004 (2014).
- [122] Collin Capano, Yi Pan, and Alessandra Buonanno, Impact of higher harmonics in searching for gravitational waves from nonspinning binary black holes, *Phys. Rev. D* **89**, 102003 (2014).
- [123] Patricia Schmidt, Mark Hannam, Sascha Husa, and P. Ajith, Tracking the precession of compact binaries from their gravitational-wave signal, *Phys. Rev. D* **84**, 024046 (2011).
- [124] R. O’Shaughnessy, B. Vaishnav, J. Healy, Z. Meeks, and D. Shoemaker, Efficient asymptotic frame selection for binary black hole spacetimes using asymptotic radiation, *Phys. Rev. D* **84**, 124002 (2011).
- [125] Michael Boyle, Angular velocity of gravitational radiation from precessing binaries and the corotating frame, *Phys. Rev. D* **87**, 104006 (2013).
- [126] A. Ramos-Buades *et al.*, Bayesian inference of binary black holes with inspiral-merger-ringdown waveforms using two eccentric parameters, [arXiv:2309.15528](https://arxiv.org/abs/2309.15528).
- [127] R. Abbott *et al.* (LIGO Scientific, VIRGO, and KAGRA Collaborations), The Population of Merging Compact Binaries Inferred Using Gravitational Waves through GWTC-3, *Phys. Rev. X* **13**, 011048 (2023).
- [128] Vijay Varma, Sylvia Biscoveanu, Maximiliano Isi, Will M. Farr, and Salvatore Vitale, Hints of Spin-Orbit Resonances in the Binary Black Hole Population, *Phys. Rev. Lett.* **128**, 031101 (2022).
- [129] R. Abbott *et al.* (LIGO Scientific, VIRGO, and KAGRA Collaborations), Constraints on the cosmic expansion history from GWTC-3, *Astrophys. J.* **949**, 76 (2023).
- [130] Pauli Virtanen *et al.* and SciPy 1.0 Contributors, SciPy 1.0: Fundamental algorithms for scientific computing in Python, *Nat. Methods* **17**, 261 (2020).
- [131] Vijay Varma, Scott E. Field, Mark A. Scheel, Jonathan Blackman, Lawrence E. Kidder, and Harald P. Pfeiffer, Surrogate model of hybridized numerical relativity binary black hole waveforms, *Phys. Rev. D* **99**, 064045 (2019).
- [132] Charles Darwin, The gravity field of a particle, *Proc. R. Soc. A* **249**, 180 (1959).
- [133] Charles Darwin, The gravity field of a particle. II, *Proc. R. Soc. A* **263**, 39 (1961).
- [134] <http://ccrg.rit.edu/RITCatalog/>.
- [135] Alessandro Nagar *et al.*, Time-domain effective-one-body gravitational waveforms for coalescing compact binaries with nonprecessing spins, tides and self-spin effects, *Phys. Rev. D* **98**, 104052 (2018).