

## Study of scattered light in the main arms of the Einstein Telescope gravitational wave detector

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We present an estimation of the noise induced by scattered light inside the main arms of the Einstein Telescope (ET) gravitational wave detector. Both ET configurations for high- and low-frequency interferometers are considered, for which we propose baffle layouts. The level of scattered light and the ET laser beam clipping losses are intimately related to the baffle inner aperture. We discuss how this translates into minimum requirements on the vacuum pipe radius, a critical parameter in the ET design. The noise estimations are computed using analytical calculations complemented with numerical tools and depend on a number of baseline parameters we use as input in the calculations. We conclude that the scattered light noise can be maintained at acceptable levels such that it does not compromise the ET performance, provided some requirements are met.

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### I. INTRODUCTION

The discovery of gravitational waves (GWs) in 2015 [1] from a black hole binary merger by LIGO [2] started a new era in the exploration of the Universe. The addition of the Virgo antenna [3] into the network led in 2017 to the detection of a neutron star binary merger that could be followed in electromagnetic signals, representing the beginning of multimessenger astronomy. The Advanced LIGO, Advanced Virgo, and KAGRA [4] interferometers have detected in the O1–O3 observation periods up to a total of 90 events corresponding to mergers of compact objects [5–7]. This number is expected to increase in the following joint observation runs, when the upgraded LIGO and Virgo detectors operate with improved sensitivity [8,9]. Further improvements are being planned to reach the ultimate sensitivity of the current infrastructures in the next few years. The GW community on ground-based interferometry is planning for third generation experiments to bring the GW field to the era of precision physics, increasing the sensitivity and the rate of detections by orders of magnitude. Nowadays, the two larger projects under consideration are the Cosmic Explorer in the USA [10–12] and the Einstein Telescope (ET) [13,14] in Europe. The results in this paper are based on ET.

The ET experiment, in its current design, is envisaged as an underground triangular-shaped experiment with 10 km of arm length, formed by two sets of three nested

interferometers optimized at different frequency ranges. In total, ET will be constituted by 12 independent Fabry-Perot (FP) resonators. The low-frequency (ET-LF) triangular configuration uses a 1550 nm laser, includes cryogenics for cooled mirrors operating at 10–20 K, and stores about 18 kW of laser power in the FP optical cavities. The high-frequency (ET-HF) triangular configuration uses a laser of 1064 nm, operates at room temperature, and accumulates about 3 MW of power in the optical cavities. Therefore, the optical characteristics are rather different and the studies in this paper are performed separately for each configuration.

The proper definition of the vacuum pipe hosting the laser beam in the main arms constitutes a major milestone in the design of the whole experiment, since it introduces strong constraints over the rest of the detector elements and, to a large extent, conditions the total cost of the project. Therefore, it is of utmost importance to perform a thorough study to ensure that the proposed solution fulfills all the requirements such that it does not compromise the projected sensitivity of the experiment (for details on the anticipated ET sensitivity, see Refs. [15,16]).

One of the most important aspects to take into account in establishing the parameters of the vacuum pipe is the control of stray light propagating in the optical cavities, which, if not properly addressed, has the potential to limit the interferometer sensitivity as a whole. To this end, the vacuum tube is equipped with baffles to absorb the stray light to avoid the couplings with the interferometer's main mode. In this paper, we study the various sources of noise due to scattered light inside the main arms of ET and list a

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TABLE I. Parameters of the ET main FP arm cavities used throughout this work. The values are extracted from Refs. [13,14].

FP optical cavity parameters				
Variable	ET-HF	ET-LF	Units	Description
$m$	200	211	(kg)	Mirror mass
$L$	10	10	(km)	Length of an arm
$\lambda$	1064	1550	(nm)	Wavelength of the laser
$R_m$	0.31	0.225	(m)	Radii of the mirrors
$\mathcal{R}_1$	5070	5580	(m)	Radius of curvature input mirror
$\mathcal{R}_2$	5070	5580	(m)	Radius of curvature end mirror
$P_{\text{circ}}$	3000	18	(kW)	Circulating power in the cavity
$R$	0.5	0.5	(m)	Radius of the vacuum pipe

number of actions to mitigate or suppress their impact. This naturally leads to a discussion on the required apertures and the beam pipe radius, as it is closely related to the induced scattered light noise levels.

The work is based on analytical calculations and the results of the pioneering work carried out at LIGO [17,18] and Virgo [19]. In addition, numerical simulations of the light fields inside the interferometer are performed using the STATIC INTERFEROMETER SIMULATIONS (SIS) software package [20], which is a fast Fourier transform (FFT) MATLAB code. As discussed below, this allows for a more refined estimation of the scattered light noise, especially in the case of the backscattering of light out of the baffles inside the vacuum pipe. We use default parameters for the ET-LF and ET-HF optical configurations, as detailed in Refs. [13,14] and collected in Table I.<sup>1</sup>

## II. NOTES ON THE TUBE OPTICAL APERTURE AND TOTAL RADIUS

One of the aspects that conditions the size of the vacuum tube is the optical aperture required to maintain at negligible levels the clipping losses inside the cavity. In the ET optical cavities, the laser beam has a Gaussian-shaped transverse profile, with maximum beam sizes close to the mirrors at both ends of the FP cavity. In a configuration with the laser beam centered inside the vacuum tube, the intensity decreases exponentially with the distance to the tube longitudinal axis, and the beam losses are dictated by the finite apertures inside the vacuum tube.

The Gaussian beam profile only depends on the optical parameters. The irradiance in cylindrical coordinates is determined by the expression [21]

$$I(r, z) = I_0 \left( \frac{w_0}{w(z)} \right)^2 \exp \left( \frac{-2r^2}{w(z)^2} \right), \quad (1)$$

where  $I_0 = 2P_{\text{circ}}/\pi w_0^2$ ,  $P_{\text{circ}}$  is the circulating power inside the cavity,  $w_0$  is the beam waist, and  $w(z)$  is the beam size at position  $z$ . The beam waist and beam size can be computed from the optical parameters as [21]

$$w_0 = \left[ \left( \frac{\lambda}{\pi} \right)^2 \frac{L(\mathcal{R}_1 - L)(\mathcal{R}_2 - L)(\mathcal{R}_1 + \mathcal{R}_2 - L)}{(\mathcal{R}_1 + \mathcal{R}_2 - 2L)^2} \right]^{1/4} \quad (2)$$

and (with  $\mathcal{R}_1 = \mathcal{R}_2$ )

$$w(z) = w_0 \sqrt{1 + \left( \frac{z - L/2}{z_R} \right)^2}, \quad (3)$$

respectively, with  $z_R = \pi w_0^2/\lambda$ , where we adopt the nomenclature for which  $z = 0$  corresponds to the position of the input mirror in the FP cavity. This expression allows one to find the power of the beam inside a radius  $r$  at position  $z$  as

$$P(r, z) = \int_0^{2\pi} \int_0^r I(r', z) r' dr' d\varphi, \quad (4)$$

leading to the profile

$$P(r, z) = P_{\text{circ}} \left[ 1 - \exp \left( \frac{-2r^2}{w(z)^2} \right) \right]. \quad (5)$$

Defining the clipping losses as  $L_c = 1 - P/P_{\text{circ}}$ , the profile of the beam for a given level of losses can be expressed using Eq. (5) as

$$r(z, L_c) = \frac{w(z)}{\sqrt{2}} \sqrt{\ln \left( \frac{1}{L_c} \right)} + r_{\text{offset}}, \quad (6)$$

where  $r_{\text{offset}}$  is an additional term to include eventual offsets of the beam with respect to the pipe longitudinal axis. Following the expressions above, Fig. 1 presents isoloss curves as a function of the  $z$  position in the optical cavity for both ET-HF and ET-LF interferometers, with  $r_{\text{offset}} = 0$ . As expected, the largest beam radius is reached near the mirrors. In a vacuum tube design with constant radius, the required minimal apertures are determined by the losses close to the mirrors. We adopt the criteria that the clipping losses will be maintained at the level  $L_c < 10^{-8}$ . Evaluating  $r(z = 0, L_c = 10^{-8})$  yields  $r_{\text{ET-HF}} \simeq 0.42$  and  $r_{\text{ET-LF}} \simeq 0.31$  m, after including a beam offset of  $r_{\text{offset}} = 0.05$  m, as inspired by LIGO and Virgo early studies. These numbers represent the minimum possible inner apertures for the ET-HF and ET-LF interferometers. As discussed in Sec. III, baffles are installed inside the vacuum pipe to prevent noise due to scattered light from the mirrors reaching the inner walls of the vacuum pipe and

<sup>1</sup>Here we consider a Cartesian right-handed coordinate system with origin in the input mirror of the optical cavity and the  $z$  axis along the laser beam line. The azimuthal angle  $\varphi$  is measured around the beam axis and the polar angle  $\theta$  is measured with respect to the  $z$  axis.

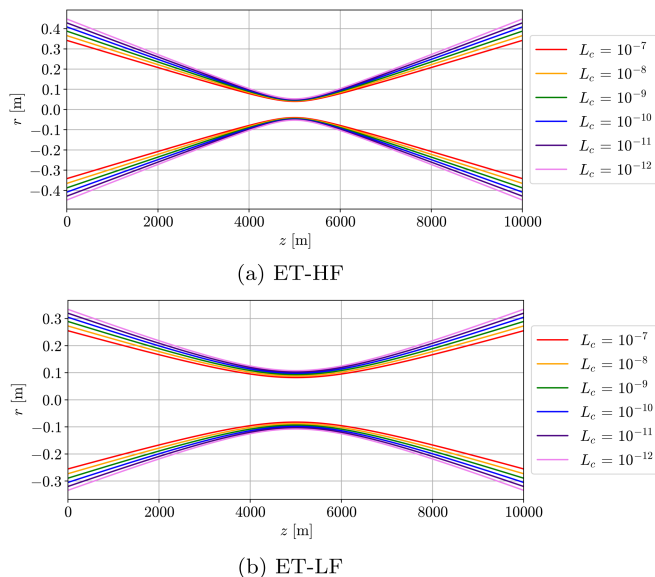


FIG. 1. Beam profile as a function of the position in the arm  $z$  at different levels of clipping losses  $L_c$  for (a) ET-HF and (b) ET-LF interferometers.

recoupling to the interferometer main laser mode. Therefore, the figures above also represent the minimum baffle inner apertures.

The requirement of maintaining the clipping losses in the range  $L_c < 10^{-8}$  could be regarded as too conservative. However, if the baffle inner aperture is chosen to be too narrow, harmful effects beyond the scattered light might appear. For example, diffraction losses caused by the finite inner aperture could increase, reducing the power and efficiency of the entire cavity; a mode mismatching could appear, as the transverse mode might not properly overlap with the cavity resonating mode, increasing the losses and the noise of the interferometer; a large sensitivity of the cavity to misalignments and baffle transverse motions due to microseisms could be introduced; thermal effects due to a larger than expected exposure of the baffle surfaces to the light in the cavity might appear together with instabilities in the cavity caused by the change of the optical paths of the photons that hit the baffles; and the sensitivity to any optical imperfection in the mirrors (roughness and possible defects and contamination) would be increased. Some of these effects are difficult to compute and constitute inherent potential risks for the ultimate sensitivity of the experiment.

For a typical baffle vertical height in the range of 0.08–0.1 m [18,19], the required aperture translates into a minimum beam pipe radius of  $R_{\text{ET-HF}} \simeq 0.5$  and  $R_{\text{ET-LF}} \simeq 0.4$  m. The current ET conceptual design includes a vacuum pipe in the main arms with an inner radius of 0.5 m for both ET-LF and ET-HF configurations. As presented above, this meets the requirements (but with no additional margin) in the case of ET-HF and leaves a large margin (about 0.1 m) in the case of ET-LF.

For completeness, additional results for ET-HF, corresponding to a 0.6 m beam pipe inner radius, are also presented in the following sections and in Appendix A.

### III. BAFFLE CONFIGURATION

The currently operating LIGO and Virgo ground-based experiments implemented a complete set of conical baffles inside the main interferometer arms, with the purpose of mitigating the scattered light noise by geometrically shielding the vacuum tube (and any internal structure on its surface) from photons scattered by the main mirrors in the FP cavities. A simplified scheme of the geometry of an ET arm is displayed in Fig. 2. Table II collects relevant baffle parameters.<sup>2</sup>

The distances  $a_i$  in Fig. 2 are taken from ET design reports in Refs. [14,22] and correspond to the following:

- (i)  $a_1$ : Distance between the mirror and the beginning of the main arm tube (2.35 m).
- (ii)  $a_2$ : Distance between the end of the tower and the beginning of the cryotrap area (20 m).
- (iii)  $a_3$ : Length of the cryotrap area (10 m for ET-HF and 50 m for ET-LF).
- (iv)  $a_4$ : Distance between the end of the cryotrap area and the first baffle in the main arm. In this work, the first baffle is assumed to be placed right after the cryotrap.

With the values for  $a_i$  above, we establish the position of the first baffle. These positions are  $z_0 = 32.35$  and  $z_0 = 72.35$  m for ET-HF and ET-LF, respectively. It is important to note this work is limited to describe the baffle layout in the bulk of the interferometer's main arms. No attempt is made to discuss the stray light mitigation strategy close to the mirrors and inside the cryotrap areas, which constitutes the subject of future studies. From Fig. 2, the geometric relation

$$\tan(\alpha) = \frac{W}{z_{n+1}} = \frac{\cos(\phi)(H - dH)}{-\sin(\phi)(H - dH) + z_{n+1} - z_n} \quad (7)$$

is extracted, which leads to the recursive formula for the baffle position along the  $z$  axis,

$$z_{n+1} = \frac{W[z_n + \sin(\phi)(H - dH)]}{W - \cos(\phi)(H - dH)}. \quad (8)$$

Setting  $\phi = 0$ , corresponding to baffles perpendicular to the beam line, one recovers Eq. (3) of Ref. [23]. The distance  $W$  represents the farthest point from which a photon should be shielded. We follow the conservative approach in Ref. [23] and adopt the maximum possible value corresponding to the sum of the beam pipe radius ( $R$ ) plus half of the radius of the mirror ( $R_m$ ),  $W = R + R_m/2$ .

<sup>2</sup>In the case of ET-HF, a vacuum pipe radius of  $R = 0.6$  m will be also considered, leading to  $A_b = 1.04$  m.

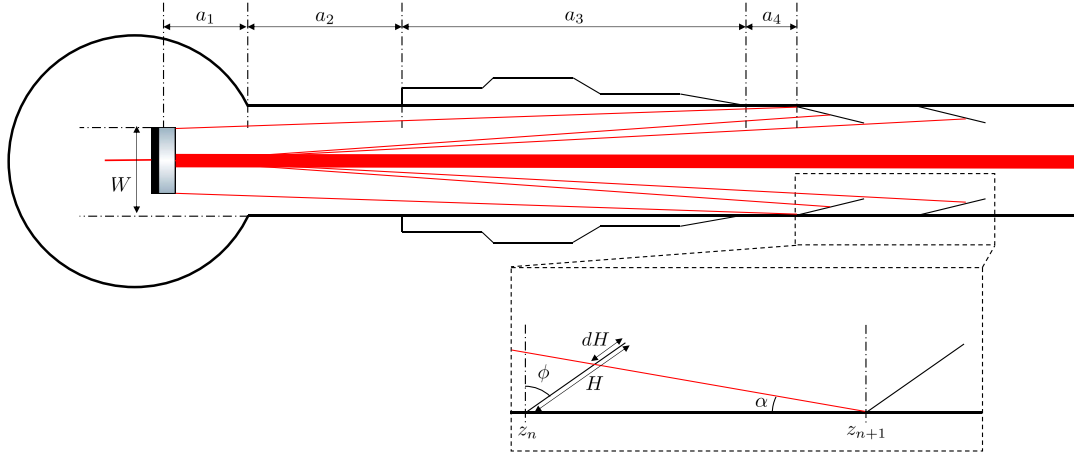


FIG. 2. Simplified diagram showing the area of the ET main arm close to the mirror and the geometry and parameters determining the position and shape of the conical baffles (see body of the text).

The expressions above correspond to a baffle layout configuration with the minimum possible number of baffles, and it is driven by pure geometrical arguments. The distance between consecutive baffles rapidly increases with the distance from the mirror such that baffles would be mostly placed in the area closer to the mirrors. In practice, the approach followed by LIGO and Virgo has been that of placing baffles between some of the vacuum pipe sections. This is a more conservative approach from the point of view of scattered light noise mitigation, and it is also motivated by other considerations (going beyond the scope of this publication), related to an efficient massive production and integration of baffles in the vacuum sections, and the presence of equipment, such as sensors or vacuum pumps, that need to be placed behind baffles to avoid new sources of light scattered noise.

As seen in Fig. 2, the angle of inclination of the baffle  $\phi$  is chosen such that any photon being scattered at large angles  $\theta$  (i.e., from the closest mirror) are deflected at large angles, forcing them to bounce several times before they can reach any of the mirrors. This increases the probability of being absorbed before reaching any of the ends of the vacuum tube. This criterion sets the angle of inclination to be restricted in the range  $\phi \in (45^\circ, 90^\circ)$ . Lower angles

would imply a possible direct back reflection, severely compromising the sensitivity. In this work, we adopted the value for  $\phi$  used by Virgo in the past [24] and assumed that, with this  $\phi$  parameter choice, only backscattering and diffraction noise contributions become relevant. The baffle orientation is symmetric with respect to the middle of the tube. The baffles in the first half of the tube will have  $\phi = +55^\circ$ , while the ones in the other half of the tube will be inclined with  $\phi = -55^\circ$ . The baffles that will receive more light are those exposed to lower  $\theta$  values, situated at the far ends of the tube.

As discussed in Sec. II, the inner aperture of the tube and the baffles, determined as

$$A_b = 2(R - H \cos(\phi)), \quad (9)$$

is a critical parameter that dictates the level of clipping losses in the cavity. We compute the required number of baffles as a function of  $A_b$ , separately for ET-HF and ET-LF and in different configurations, using the parameters in Table II. Figures 3 and 4 present the results for ET-HF and ET-LF, respectively, either assuming that the minimal set of baffles are installed as needed (driven by pure geometrical arguments) or that baffles are installed as needed close to the mirrors and also at the end of some of the vacuum pipe sections. For the latter we tentatively assume a baffle-to-baffle separation far from the mirrors  $l_{\text{sec}}$  of 50 m. This is a working parameter that approximately corresponds to the installation of a baffle at the edge of one of every three vacuum sections, each of them with an expected length of about 15–18 m. Figure 5 shows the  $z$  position of the baffles and their separation ( $\Delta z$ ) along the tube in each scenario and for both ET-HF and ET-LF configurations. As already mentioned, in the case of the minimal configuration, the separation between baffles rapidly increases with the distance from the mirror located at  $z = 0$  m. The number of baffles per arm required in each scenario are

TABLE II. Parameters of the baffles inside the ET vacuum tube used throughout this work (see Fig. 2).

Baffle parameters				
Variable	ET-HF	ET-LF	Units	Description
$A_b$	0.84	0.84	(m)	Baffle inner aperture ( $R = 0.5$ m)
$A_b$	1.04		(m)	Baffle inner aperture ( $R = 0.6$ m)
$H$	0.14	0.14	(m)	Baffle length
$dH$	0.0244	0.0244	(m)	Baffle overlapping factor
$\phi$	55	55	(deg)	Inclination angle of the baffles
$\frac{dP}{d\Omega_{bs}}$	$10^{-4}$	$10^{-4}$	( $\text{str}^{-1}$ )	BRDF of the baffles



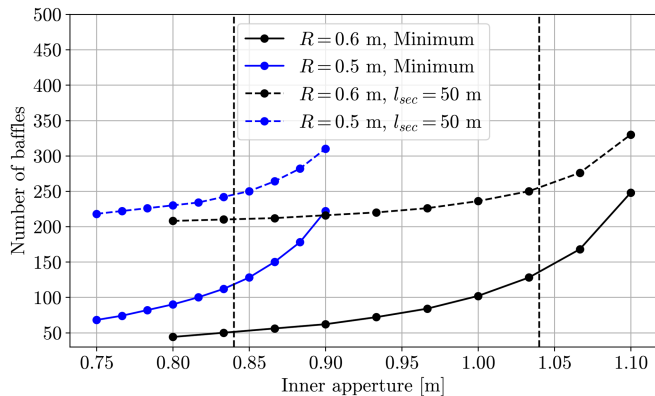


FIG. 3. Number of baffles per arm in ET-HF as a function of the baffle inner aperture for a vacuum tube radius of 0.5 or 0.6 m. The vertical lines indicate the corresponding inner apertures. Results are provided for both the minimal configuration and after taking into consideration baffles at the end of vacuum pipe sections with a baffle-to-baffle separation of 50 m (see body of the text).

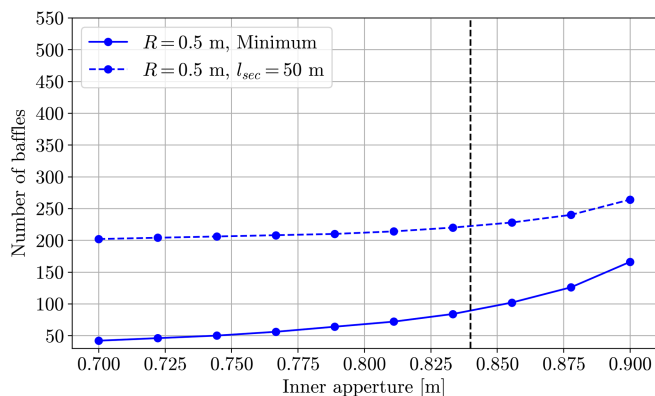


FIG. 4. Number of baffles per arm in ET-LF as a function of the baffle inner aperture for a vacuum tube radius of 0.5 m. The vertical line indicates the corresponding inner aperture. Results are provided for both the minimal configuration and after taking into consideration baffles at the end of vacuum pipe sections with a baffle-to-baffle separation of 50 m (see body of the text).

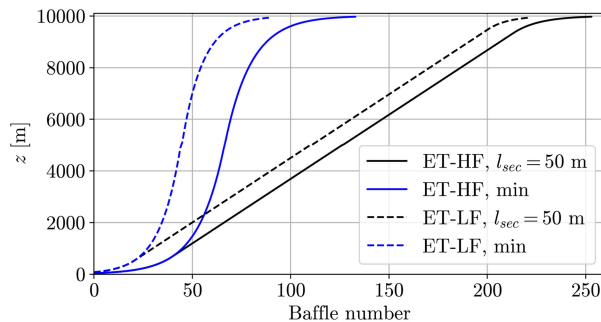


TABLE III. Number of baffles for the ET-HF (using  $R = 0.5$  m and  $R = 0.6$  m) and ET-LF in different scenarios and assuming different baffle-to-baffle separations (see body of the text). ITF refers to “interferometer”.

ITF	Minimum	$l_{sec} = 50$ m	$l_{sec} = 100$ m
ET-HF (0.5 m)	118	244	162
ET-HF (0.6 m)	134	254	172
ET-LF	90	222	136

collected in Table III, where, in addition and for illustration purposes, results are also presented for a baffle-to-baffle separation of 100 m. The final baffle-to-baffle separation in ET will depend on the final length of the individual vacuum pipe sections and the very details in the distribution of the vacuum pipe services along the tube.

In the following sections, we describe the elements entering the estimation of the scattered light noise produced in the arms. In this study, we adopt as benchmark the scenario with 244 baffles per arm for ET-HF and 222 baffles per arm for the ET-LF (see Table III).

#### IV. MIRROR'S QUALITY

The main source of scattered light noise that we consider here is the one generated by the light scattered by the mirrors. This includes two contributions: the mirror's finite aperture and its surface aberration. Any deviation from the perfect surface causes photons to follow a different path than that intended. To study this effect and characterize the mirror surface defects, mirror maps or phase maps are used. These are  $n \times n$  arrays containing the height deviation from the perfect spherical surface. They are defined by a resolution  $\Delta = R_m/n$ , which will limit the maximum scattering angles that can be resolved. The mirror phase map is typically measured by a Zygo Fizeau interferometer, with a nominal resolution in the range 0.2–0.4 mm.

A measure of the surface map quality is the spatial power spectral density (PSD), related to the two-dimensional Fourier transform of the function  $\delta(x, y)$  describing the height of the mirror surface at a given  $x - y$  location.

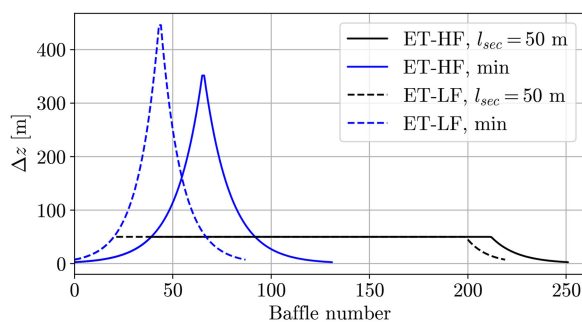


FIG. 5. Left: position of the baffles along the  $z$  axis. Right: the separation between consecutive baffles  $\Delta z$  for ET-HF (solid lines) and ET-LF (dashed lines) as a function of the baffle number. Results are provided for both the minimal configuration and after taking into consideration baffles at the end of vacuum pipe sections with a baffle-to-baffle separation of 50 m (see body of the text).

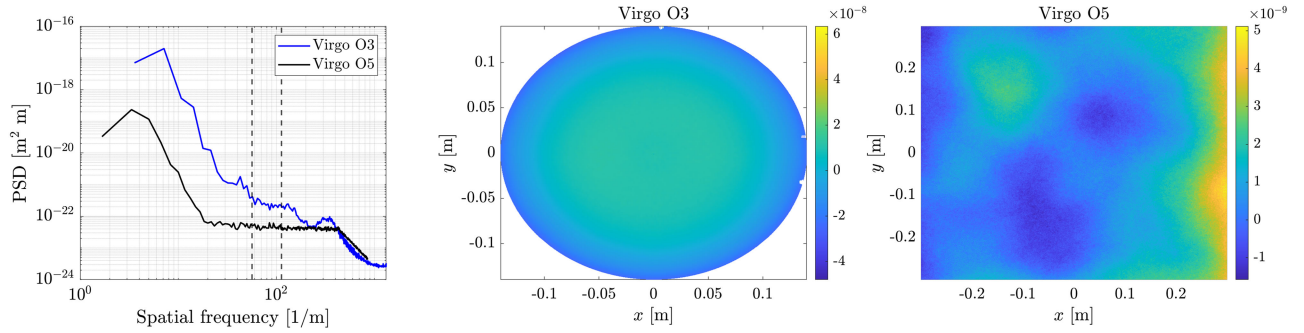


FIG. 6. Left: one-dimensional PSDs of Virgo O3 and the projected values for O5 mirror maps. Vertical dashed lines correspond to the spatial frequencies associated with  $z = L$  and  $z = L/2$  having assumed  $\lambda = 1064$  nm. Middle: height of the deviation from a perfect surface in meters for the north end arm of Virgo during O3. Right: height of the deviation from a perfect surface in meters projected for O5.

A one-dimensional PSD is obtained from the two-dimensional version as explained in Refs. [25,26], which takes into account that the surface structure is not necessarily cylindrically symmetric and integrates over the azimuthal angle in the  $x$ - $y$  plane. Denoting a spatial frequency of this one-dimensional PSD by  $\xi$ , the scattering angle associated with it takes the form  $\theta \sim \lambda\xi$  [27]. The maximum spatial frequency that can be resolved from the mirror map is the Nyquist frequency,  $\xi_{\max} = 1/(2\Delta)$ , while the minimum is  $\xi_{\min} = 1/R_m$  [28]. The scattering angles relevant for our study are the ones established by the first and last baffles in the vacuum pipe,

$$\theta_{\max} = \frac{R}{z_1} \sim 10^{-2} \text{ rad}, \quad \theta_{\min} = \frac{R}{z_{N_B}} \sim 10^{-5} \text{ rad}, \quad (10)$$

which correspond to spatial frequencies (for  $\lambda = 1064$  nm)  $\xi_{\min} \sim 10^1$  and  $\xi_{\max} \sim 10^4 \text{ m}^{-1}$ . As an approximation, and since the scattered light is larger for lower angles, we compute the contribution of the far half of the tube and double the result, following the approach adopted in Ref. [29].

The results in this paper are based on projected mirror maps taken from the Advanced Virgo experiment, corresponding to new mirrors with improved quality, that are being fabricated and are planned for their installation in Virgo in time for the O5 observation run. The mirror map is constructed from a target PSD set by Laboratoire de Mécanique et d'Acoustique. The same projected mirror maps have been used in recent scattered light noise studies in Virgo [30,31]. Figure 6 presents the projected mirror maps and the one-dimensional PSD compared to that of the existing Virgo mirrors used during the O3 observation run. As clearly observed in the comparison between the measured O3 and the predicted O5 mirror maps, the latter should be regarded as a rather simplified model and constitutes a source of uncertainty in the scattered light noise calculations.

We estimate the scattering that each mirror would produce using the bidirectional reflectance distribution

function (BRDF), defined as the quotient between the differential surface radiance and the surface irradiance, which takes the form [27]

$$\text{BRDF} = \frac{1}{P_i \cos \theta_s} \frac{dP_s}{d\Omega_s}, \quad (11)$$

where the subscripts  $i$  and  $s$  denote the incident and scattered quantities, respectively. The quantity  $dP_s/d\Omega_s$  denotes the differential amount of power that scatters into the generic solid angle  $d\Omega_s$ . Since the relevant angles of scattering that are going to be considered in this analysis are  $\theta \ll 1$  rad [see Eq. (10)], the approximation  $\cos \theta_s \approx 1$  holds, making the BRDF directly proportional to the  $dP_s/d\Omega_s$  term. The incident power will be constant and equal to the circulating power inside the cavity. The probability of a photon being scattered from a mirror in a solid angle (measured from the mirror's surface)  $d\Omega_{ms}$  is  $d\mathcal{P}/d\Omega_{ms} = P_i^{-1} dP_s/d\Omega_s$ , implying that

$$\text{BRDF} = \frac{d\mathcal{P}}{d\Omega_{ms}}. \quad (12)$$

In the most generic case, the BRDF is a function of both the polar and azimuthal angles,  $\theta$  and  $\varphi$ , respectively. A common simplification is to only consider the azimuthal dependence. The BRDF and the two-dimensional PSD of the surface  $S(f_x, f_y)$  are related as [27]

$$\text{BRDF} = \frac{16\pi^2}{\lambda^4} \cos(\theta_i) \cos(\theta_s) Q S(f_x, f_y), \quad (13)$$

where  $Q$  is the geometric mean of the specular reflectances of the surface measured at the incident and scattered azimuthal angles. Equation (13) describes the scenario of one single reflection from the mirror surface with a flat incident beam and does not take into account resonator effects and the beam profile inside the cavity.

The simulated BRDF in the resonating cavity, as determined using SIS, is presented in Fig. 7 for ET-HF

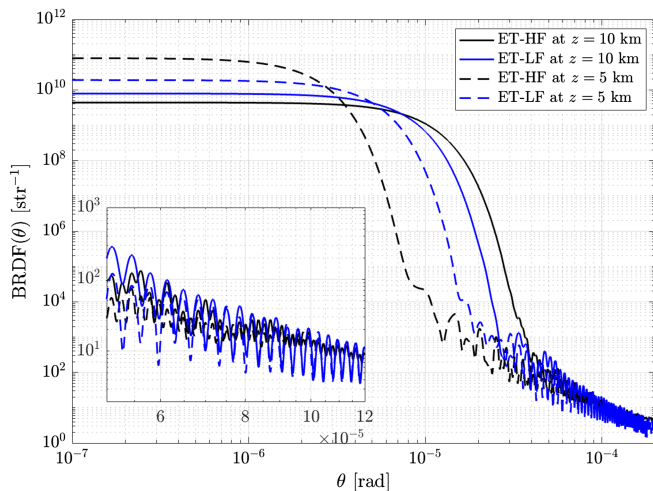


FIG. 7. Simulated BRDF, as computed using SIS and using the Virgo mirror maps foreseen for O5 as input, for both the ET-HF and ET-LF. The results are presented at a distance  $z = 10$  km (solid lines) and  $z = 5$  km (dashed lines) from the input mirror. The latter corresponds to the middle of the vacuum cavity.

and ET-LF. They are computed at a distance  $z = 5$  km (at the middle of the cavity) and  $z = 10$  km from a given mirror and are based on the quality of the projected O5 mirror maps.<sup>3</sup> The flat BRDF distribution at very low angles is driven by the power distribution in the resonant cavity. In the case of ET-LF, the BRDF distribution shows a clear oscillatory pattern at large angles. This is attributed to aperture effects, with the scattering being dominated by the finite size of the mirror (see Appendix B) and for which the effect of the mirror's surface aberration is subdominant. Such an oscillatory pattern is less pronounced in the case of ET-HF, indicating a major contribution from the mirror's roughness. The BRDF levels obtained at 5 and 10 km distance from the mirror tend to converge at very large angles, indicating the power distribution observed by the baffles at those fixed locations is similar.

## V. SEISMIC DISPLACEMENT

The photons scattered from the mirrors, away from the main path in the cavity, illuminate the vacuum pipe baffles.<sup>4</sup> These are vibrating surfaces, due to seismic noise, that introduce changes in the photon's phase and mimic a gravitational wave signal if the photons recouple to the interferometer's main mode. As already pointed out, no

<sup>3</sup>The SIS simulation does not include the effect of disturbances in the field inside the cavity due to the presence of the baffles themselves. However, this effect is small and is not expected to change the conclusions.

<sup>4</sup>As pointed out, the baffle layout inside the vacuum tube is designed to avoid that photons scattered from any point in the mirror can reach the inner surface of the bare vacuum tube.

attempt is made to include other contributions originating from scattering areas in the vicinity of the mirrors or inside the cryotrap, which will require a separate study.

In general, the relevant quantity is the longitudinal motion of the baffles [17]. This quantity is related to the seismic motion of the ground  $X(f)$  as

$$X_{\text{baff}}(f) = H_{\text{tube} \rightarrow \text{baff}}(f) H_{\text{ground} \rightarrow \text{tube}}(f) X(f), \quad (14)$$

where  $H_{\text{ground} \rightarrow \text{tube}}(f)$  denotes the transfer function describing how the ground motion translates into a motion of the vacuum tube, and  $H_{\text{tube} \rightarrow \text{baff}}(f)$  is the transfer function describing the propagation of the vacuum tube motion to the baffles. Those transfer functions are not known at the moment, but can be simulated and need to be measured once a prototype of the beam pipe is constructed. In this work,  $H_{\text{tube} \rightarrow \text{baff}}(f) = H_{\text{ground} \rightarrow \text{tube}}(f) = 1$  are used. A good mechanical design of the coupling between the vacuum tube and the baffles will contribute to damping the seismic vibrations and reducing the scattering noise contributions.

Turning now into the seismic noise, in this study we considered two possible locations for hosting ET: the Sos Enattos mine area in Sardegna, Italy [32,33] and the Euregio Rhein Maas [34] area in the borders between Belgium, Netherlands, and Germany.<sup>5</sup> Thanks to thorough campaigns carried out in both sites to characterize the seismic motion, seismic data are available and will be input to our calculations. The data available take the form of PSDs of either the velocity ( $\text{PSD}_{\text{vel}}$ ) or the acceleration noise ( $\text{PSD}_{\text{acc}}$ ). The relations in the frequency domain between these noises and the displacement noise ( $\text{PSD}_{\text{dis}}$ ) are

$$\text{PSD}_{\text{acc}}(f) = (2\pi f)^2 \text{PSD}_{\text{vel}}(f) = (2\pi f)^4 \text{PSD}_{\text{dis}}(f). \quad (15)$$

If the seismic displacement is similar to or greater than the optical wavelength, a phenomenon known as phase wrapping occurs. To account for this effect, an up-conversion or fringe wrapping of the noise is performed. As stated in Ref. [35], this can be computed as

$$X(f) = \frac{\lambda}{4\pi} \sqrt{\text{PSD}[S(t)] + \text{PSD}[C(t)]}, \quad (16)$$

<sup>5</sup>In this paper, we have considered Sardegna and Euregio sites for our studies as the two formally declared candidates for hosting ET. Recently, seismic measurements have also been performed in the region of Lusatia (Germany), in the framework of initial studies for hosting a low noise underground laboratory relevant for ET research and development activities. The preliminary results, which have not yet been done at full depth, already indicate seismic levels comparable to those obtained at Sardegna and Euregio sites.

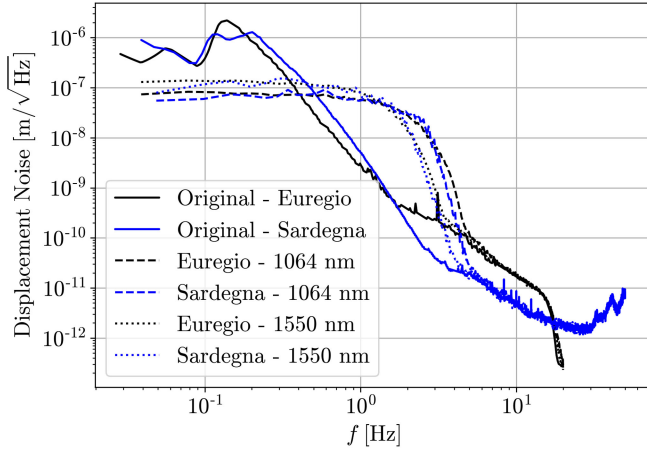


FIG. 8. Original seismic noise for the Euregio and Sardegna sites and the up-converted ones for  $\lambda = 1064$  and  $\lambda = 1550$  nm.

with

$$\mathcal{S}(t) = \sin\left(\frac{4\pi}{\lambda}X(t)\right) \quad (17)$$

and

$$\mathcal{C}(t) = \cos\left(\frac{4\pi}{\lambda}X(t)\right). \quad (18)$$

The input data must be expressed in the time domain. Based on the PSD of the seismic noise, we generate mock time domain data with the same spectrum using

$$X(t) = \sum_{f=f_{\min}}^{f_{\max}} A(f) \sin(2\pi ft + \Phi), \quad (19)$$

where  $A(f) = \sqrt{2\text{PSD}(f)\Delta f}$  and  $\Phi \sim \text{Uniform}(0, 2\pi)$ . The frequency vector  $f$  is sampled at a constant step  $\Delta f$ . The time domain data  $t \in [0, (M-1)\Delta t]$  are formed by  $M$  samples equally spaced by  $\Delta t = 1/(M\Delta f)$ . Figure 8 displays the original PSDs at both locations and the resulting PSDs after the noise has been phase wrapped. As expected, the noise at low frequencies is reduced and the one at large frequencies is enhanced. This result is input as the effective displacement in our scattered light noise calculations. As shown in Fig. 8, the results from the Euregio site are limited to frequencies below 20 Hz, whereas the Sardegna spectrum extends up to about 50 Hz.

## VI. ANALYTIC STUDY

An estimation of the scattered light noise is performed using an analytical approach. Here, four sources of noise are considered [19]:

- (i) **Diffraction.** The limited inner apertures of the baffles produce a diffraction pattern that can limit the sensitivity of the interferometer. This noise contribution depends on the number of baffles placed inside the vacuum tube and their geometry, as the single noise contributions from each baffle can pile up coherently. The main mitigation strategy is to break this coherence, which can be achieved by serrating the edges of the baffles as has been done in the case of LIGO and Virgo [17–19,23,36,37]. This reduces by about 2 orders of magnitude its contribution to the scattered light noise budget. Additionally, extra radiation pressure to the test masses can be relevant at low frequencies.
- (ii) **Backscattering.** This is caused by photons that, after being scattered by one of the mirrors, reach another surface, such as a baffle, where they scatter again and reach any of the mirrors. These photons can recombine with the main beam and, bringing a different phase, limit the sensitivity of the interferometer. Again, extra radiation pressure to the test masses becomes relevant at low frequencies.
- (iii) **Baffle edge back reflection.** Baffles are inclined exposing to photons their edges. In principle, photons can be reflected on these edges and reach any of the mirrors. A simple solution to virtually eliminate this noise contribution is also that of serrating the baffle edges.
- (iv) **Shinning facet.** In principle, any piece of reflective material inside the vacuum tube can be a source of significant scattered light noise, as any strayed photon can be directly reflected back to any of the mirrors. Such reflective surfaces can be produced during the machining of the tube or by equipment placed inside it. This effect is totally suppressed by placing baffles such that no strayed photon can reach the bare structure of the vacuum tube.

Following the considerations above, only the backscattered and diffraction noise contributions will be further analyzed. The rest of the noise sources are orders of magnitude smaller, provided the recommendations related to the baffle layout inside the vacuum tube and the serration of the baffle edges are followed.

### A. Diffraction noise

The finite inner aperture produces in each baffle a diffraction pattern that adds up coherently when the baffle has smooth edges. As stated in Ref. [36], the noise generated by the clear aperture of smooth baffles in a centered mirror cavity can be expressed as

$$\tilde{h}_{\text{smooth}}(f) = \frac{\kappa\lambda X(f)}{\sqrt{2}LR} \sqrt{N_B}, \quad (20)$$



where the contributions from  $N_B$  baffles are added with no interference, and  $\kappa$  is a parameter extracted from a fit to the tail of the mirror's BRDF using the functional form  $\text{BRDF} = \kappa\theta^{-2}$  for  $\theta \in [\theta_{\min}, \theta_{\max}]$ . The values obtained for  $\kappa$  are  $\kappa = 1.32 \times 10^{-7} \text{ str}^{-1}$  for ET-HF and  $\kappa = 1.58 \times 10^{-7} \text{ str}^{-1}$  for ET-LF.

As already pointed out, the solution adopted by LIGO and Virgo to mitigate the diffraction noise is to break the coherence in the diffraction pattern by adding a particular triangular serration to the inner edge of the baffles. The peak to valley serration height, denoted by  $\Delta H$ , must be large when compared to the width of a Fresnel zone [36],

$$\mathcal{W}(z) = \frac{\lambda}{2LR} z(L-z). \quad (21)$$

The maximum Fresnel zone is found at  $z = L/2$  and equals  $\mathcal{W}_{\max} = \frac{\lambda L}{8R}$ , which translates into values of 2.66 and 3.88 mm for ET-HF and ET-LF, respectively. A peak to valley serration of 1 cm is chosen to ensure that  $\Delta H/\mathcal{W} \gg 1$ . In order to completely break the coherence, this peak to valley height should be randomized by an amount  $\gtrsim 2\mathcal{W}$ . This translates into a final peak to valley height of  $\Delta H = \overline{\Delta H} + \text{Uniform}(-\mathcal{W}, \mathcal{W})$ , where the mean height  $\overline{\Delta H}$  is kept as 1 cm and  $\mathcal{W} = 4$  mm is chosen to fulfill the requirements for the most restrictive case, corresponding to the baffle placed at the middle of the vacuum tube in the ET-LF configuration. Finally, this serration criteria imposes a lower bound on the baffle length safety margin  $dH$ , as the valleys of the serration could allow photons to reach the tube if the condition

$$dH \geq \frac{\overline{\Delta H} + \mathcal{W}}{\cos(\theta)} \quad (22)$$

is not fulfilled. Altogether, this results into setting the value  $dH = 2.44$  cm in Table II.

The level of diffraction noise in the case of serrated baffles, as defined above, takes the form [36]

$$\tilde{h}_{\text{random}}(f) = \frac{\kappa \lambda X(f) \sqrt{N_B}}{LR} \left[ \frac{\lambda L}{8\pi R \Delta H} \right] \left[ \frac{\sqrt{\lambda L/4}}{2\pi R} \right]^{1/2}. \quad (23)$$

To account for the radiation pressure on the mirrors, the diffraction noise must be modified by

$$\tilde{h}_{\text{diff}}(f) = \tilde{h}_{\text{smooth|random}}(f) \sqrt{1 + \left( \frac{8\Gamma P_{\text{circ}}}{cM\pi f^2} \right)^2 \frac{1}{\lambda^2}}, \quad (24)$$

where  $\tilde{h}_{\text{smooth|random}}$  denotes the diffraction noise in Eq. (20) or Eq. (23),  $\Gamma$  represents the gain of the cavity formed by the FP input mirror and the signal recycling mirror [29], and  $M$  is the mass of the mirror. The value for  $\Gamma$  is estimated as in Ref. [29],

$$\Gamma = \frac{1 - r_i r_s}{1 - r_i r_s - r_i + r_s}, \quad (25)$$

with  $r_i$  and  $r_s$  being the amplitude reflectivity of the input mirror and the signal recycling mirror, respectively. In this study, we adopt the value  $\Gamma = 15.7$ . Equation (24) is used to determine the diffraction noise levels for ET-HF and ET-LF configurations.

## B. Backscattering

In order to quantify the noise contribution related to this effect, we first follow the work in Refs. [17,18,23,36], with the differential strain noise defined as

$$d\tilde{h}^2(f) = \frac{1}{L^2} \left( \frac{\lambda}{z} \right)^2 \left( \frac{d\mathcal{P}}{d\Omega_{ms}} \right)^2 \frac{d\mathcal{P}}{d\Omega_{bs}} X^2(f) \delta\Omega_{ms}, \quad (26)$$

where  $z$  is the distance from one mirror to the position where the backscattering takes place (for example, the baffle locations),  $d\mathcal{P}/d\Omega_{bs}$  represents the probability of a photon being backscattered by this surface into the solid angle  $\Omega_{bs}$ , and  $\delta\Omega_{ms}$  is the solid angle seen by the photon being scattered off the mirror [see Eqs. (18) and (28) in Ref. [23]]. The latter expression only considers phase noise contributions and neglects the amplitude noise related to the radiation pressure generated by the backscattered photons. As shown in Ref. [29], this effect might not be negligible at low frequencies. In order to take into account this contribution, the differential strain noise expression is modified as

$$d\tilde{h}^2(f) = \frac{1}{L^2} \left[ \lambda^2 + \left( \frac{8\Gamma P_{\text{circ}}}{cM\pi f^2} \right)^2 \right] \frac{d\mathcal{P}}{d\Omega_{bs}} X^2(f) dK, \quad (27)$$

with

$$dK = \frac{1}{z^2} \left( \frac{d\mathcal{P}}{d\Omega_{ms}} \right)^2 \delta\Omega_{ms}. \quad (28)$$

Integrating Eq. (27) one obtains

$$\tilde{h}_{\text{back}}^2(f) = \frac{1}{L^2} \left[ \lambda^2 + \left( \frac{8\Gamma P_{\text{circ}}}{cM\pi f^2} \right)^2 \right] \frac{d\mathcal{P}}{d\Omega_{bs}} X^2(f) \int_{\theta_{\min}}^{\theta_{\max}} dK. \quad (29)$$

In Refs. [17,18,23], the integral is performed assuming that the solid angle is  $\delta\Omega_{ms} = 2\pi\theta d\theta$ . This approach ignores the shielding among two consecutive baffles. Instead, we perform the integral as

$$\int_{\theta_{\min}}^{\theta_{\max}} dK \simeq \sum_{i=1}^{N_B} \frac{1}{z_i^2} \left( \frac{d\mathcal{P}^i}{d\Omega_{ms}} \right)^2 \delta\Omega_{ms}^i. \quad (30)$$

The term  $z_i$  is the position of each baffle, the sum runs over the number of baffles  $N_B$ , and the effective solid angle for each baffle is

$$\delta\Omega_{ms}^i = \begin{cases} \frac{2\pi H \cos \phi}{z_i^2} (R - H \cos \phi) & \text{if } i = 1 \\ \frac{2\pi(R-H \cos \phi)^2}{z_i} \left[ \frac{1}{z_{i-1}} - \frac{1}{z_i} \right] & \text{if } i \neq 1 \end{cases} \quad (31)$$

The term  $d\mathcal{P}^i/d\Omega_{ms}$  can be computed analytically, using the BRDF shown in Sec. IV, or via numerical simulations as explained below.

## VII. NUMERICAL SIMULATIONS

The simulations are performed using SIS. The mirror maps in Sec. IV are used to specify the roughness of the surfaces, and the rest of the optical cavity is specified according to the parameters collected in Table I. We adopt the expression

$$\frac{d\mathcal{P}^i}{d\Omega_{ms}} \approx \frac{P^i/P_{\text{circ}}}{\delta\Omega_{ms}^i}, \quad (32)$$

where the term  $P^i$  indicates the amount of power hitting the  $i$ th baffle. As explained below, in computing this term, we add the power reaching the baffle only on the area not shielded by a previous baffle.

As an FFT-based code, SIS requires a spatial resolution to be specified that dictates the accuracy of the resulting simulations. We use a grid with resolution of 2 mm, which allows simulating scattering angles up to  $\theta \approx 5 \times 10^{-4}$  rad. The use of a much smaller spatial resolution would render the numerical calculations unfeasible and computationally very heavy. As a consequence, the power illuminating each of the baffles in the cavity from the scattering of a given mirror cannot be computed with the required level of accuracy. In particular, this affects baffles in the first half of the tube, closer to the given mirror, which correspond to larger scattering angles. As already pointed out in Sec. IV, small angle scattering dominates and the simulations are only performed for the second half of the baffles, far from the mirror and doubled to take into account the presence of both mirrors in the cavity. This approach is also supported by the symmetry of the beam profile and by the fact that the tilt of the baffles prevents any direct back reflection to the close-by mirrors.

In a locked FP resonating cavity, the circulating power is maximized and the field  $\psi(x, y, z)$  can be computed using SIS at any position. The power reaching the  $i$ th baffle follows the expression

$$P^i = \iint_{A^i} |\psi(x, y, z^i)|^2 dA^i, \quad (33)$$

where the term  $dA^i$  denotes the differential area  $dA^i = r dr d\phi$ . Only the nonshielded region of the baffle is integrated over [ $r \in (A_b/2, r_s^i)$  and  $\phi \in (0, 2\pi)$ ], where

$$r_s^i = (R - H \cos(\phi)) \frac{z_i}{z_{i-1}}, \quad (34)$$

which takes into account the shielding between two consecutive baffles. Numerically, the integrated power is approximated as

$$P^i \approx \sum_k \sum_j |\psi_{jk}|^2 A_{jk}, \quad (35)$$

where  $A_{jk}$  is the baffle area illuminated at each cell of the grid in SIS. Figure 9 shows a schematic of the FP cavity, together with the simulated power distributions at the FP mirrors, and at a baffle located at  $z = 8000$  m. The Gaussian profile of the light at the core of the mirrors is clearly observed. Random structures in the simulated power appear with increasing the transverse distance from the center of the laser beam, which are dominated by scattered light and are dictated by the details of the mirror maps. In particular, the power that reaches the baffles is totally dominated by the tails in the laser beam generated by scattered light.

## VIII. RESULTS

The estimated scattered light noise from diffraction or backscattering contributions are computed following the prescriptions presented in the previous sections. They are compared to the anticipated ET sensitivity for both ET-HF and ET-LF configurations.

In the case of the diffraction noise contributions, the results are computed adopting Eq. (23) and the seismic noise levels from the Euregio site. Similar results are obtained if the Sardegna seismic noise curve is used. For illustration purposes, the results are presented in Fig. 10 for both smooth (unserrated) and randomly serrated baffle edges, separately for ET-HF and ET-LF. As already pointed out, the serration of the baffle edges is mandatory and its implementation brings the diffraction noise to acceptable levels.

In the case of the backscattering contributions from the baffles, we use both the analytical BRDF and the numerical simulation tools described above to compute the power reaching the baffles. Following Eqs. (29) and (30), the terms  $K^i$  are computed using the baffles corresponding to the far half of the tube with respect to the given mirror. The results are presented in Fig. 11. As anticipated, the ET-LF result displays the oscillations  $\propto J_1^2(2\pi\theta R_m)/\theta^2$  sensitive to the finite size of the mirror, whereas for ET-HF the effect is less pronounced, indicating the presence of important contributions from mirror surface aberrations. At very large  $z$ , the values for  $K^i$  decrease significantly. The scattered light power distribution increases at low angles

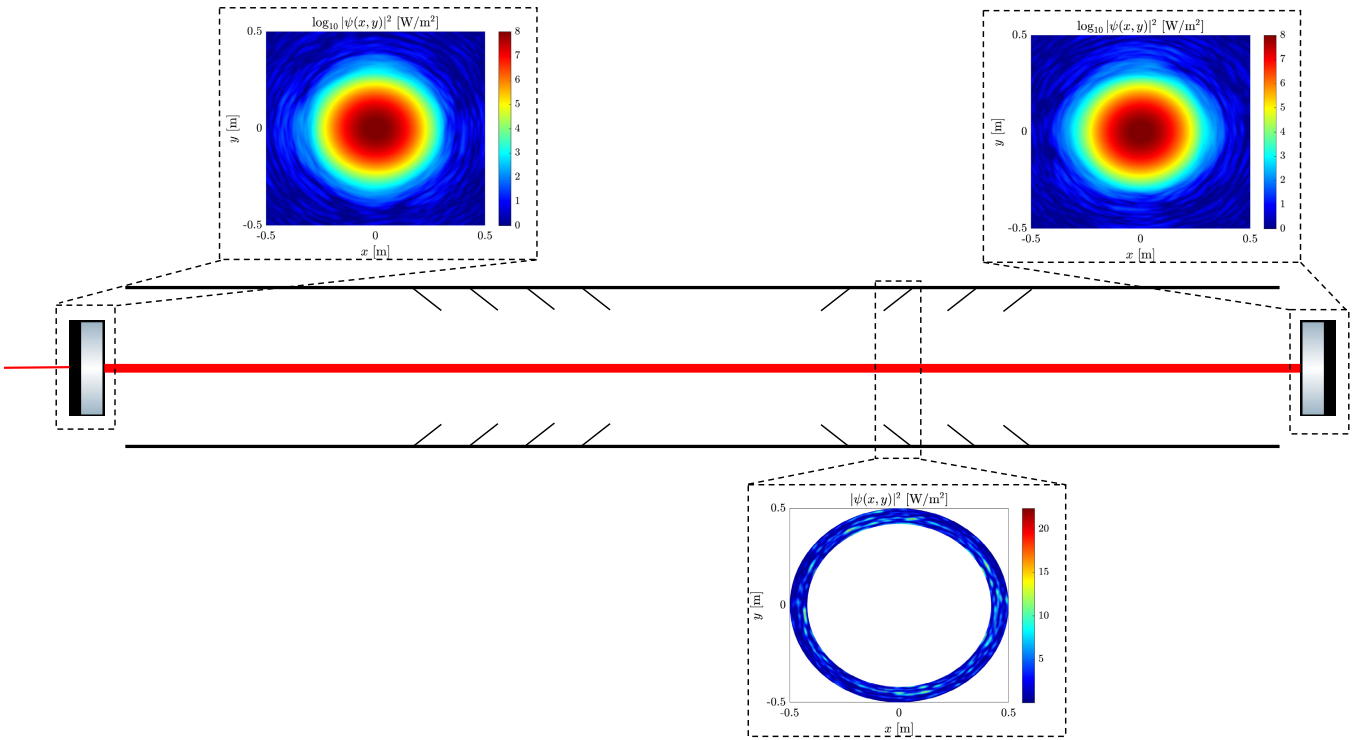


FIG. 9. Scheme showing the FP cavity simulated and the power distribution at the FP mirrors and at the position of a baffle at  $z = 8000$  m.

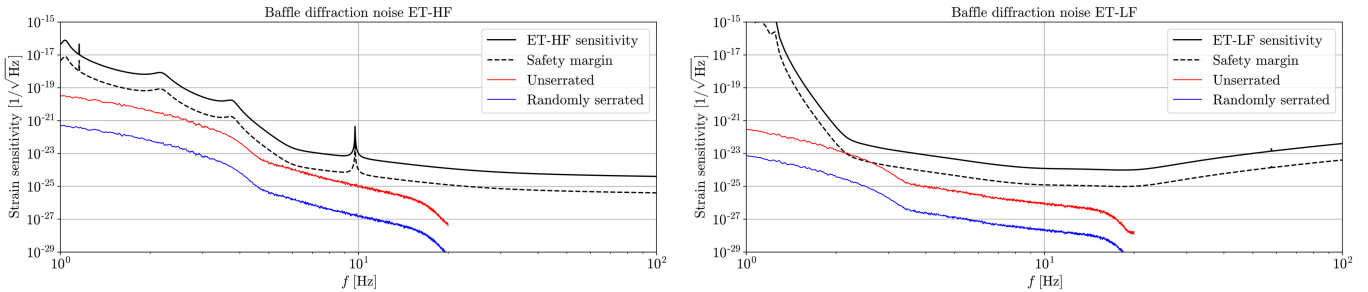


FIG. 10. Stray light noise due to diffraction effects as a function of frequency. The results for unserrated (red lines) and randomly serrated (blue lines) baffle edges are compared to the anticipated ET sensitivity (black lines) and the corresponding 1/10 safety margin (dashed lines). The results are presented separately for (left) ET-HF and (right) ET-LF configurations. The seismic noise data from the Euregio site are used.

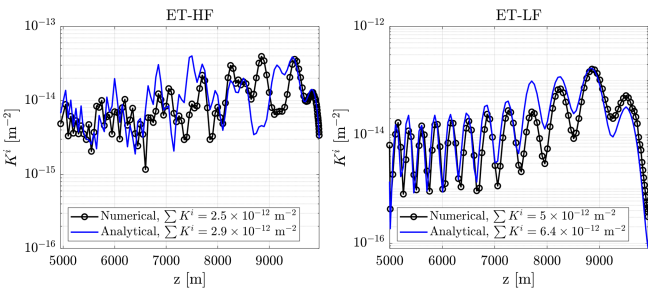


FIG. 11. The  $K^i$  values as a function of baffle position  $z_i$  as computed for the different baffles at the far end of the tube, using the analytical BRDF and the numerical simulations (see body of the text) for (left) ET-HF and (right) ET-LF.

and the baffles far away from the mirror should be exposed to much more light. However, they are shielded by the presence of the rest of the baffles in the vacuum tube resulting in a very small contribution to the noise.

We sum all the  $K^i$  values for different  $z_i$  and multiply the result by 2 in order to effectively account for the whole cavity. In the case of ET-HF, we obtain values for  $\sum_{i=1}^{N_B} K^i$  equal to  $2.9 \times 10^{-12}$  m<sup>-2</sup> using the analytical approach and  $2.6 \times 10^{-12}$  m<sup>-2</sup> from numerical simulations. Similarly, in the case of ET-LF, we obtain  $6.4 \times 10^{-12}$  m<sup>-2</sup> using the analytical approach and  $5.0 \times 10^{-12}$  m<sup>-2</sup> from numerical simulations. The observed 10%–30% difference between analytical and numerical results are expected given the

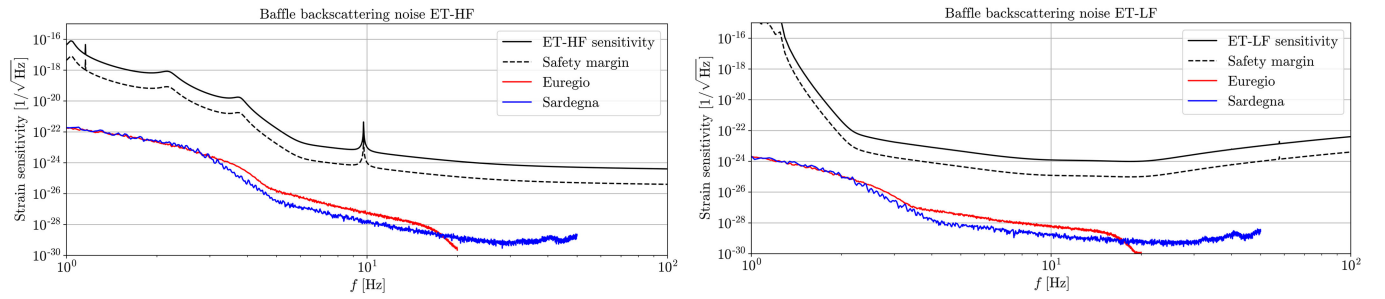


FIG. 12. Stray light noise due to backscattering effects as a function of frequency. The results are computed using the seismic noise data from the Euregio (red lines) and the Sardegna (blue lines) sites and are compared to the anticipated ET sensitivity (black lines) and the corresponding 1/10 safety margin (dashed lines). The results are presented separately for (left) ET-HF and (right) ET-LF configurations.

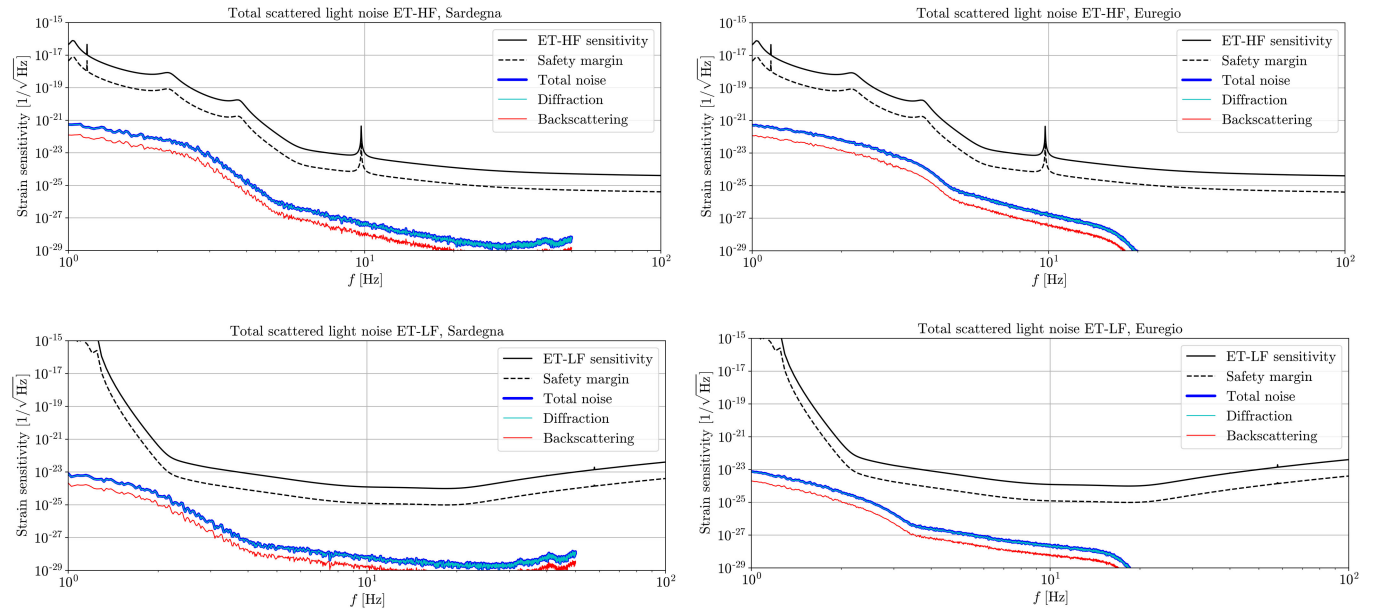


FIG. 13. Stray light noise due to diffraction effects (cyan lines), backscattering effects (red lines), and the total noise (blue lines) as a function of frequency compared to the anticipated (top) ET-HF and (bottom) ET-LF sensitivity curves (black lines) and the corresponding 1/10 safety margin (dashed lines). The results are computed using the seismic noise data from (left) the Sardegna site and (right) the Euregio site.

assumptions adopted in the analytical estimations and the uncertainties in the modeling of the scattered light and its contribution to the noise in the interferometer.

Figure 12 shows the resulting backscattering noise separately for ET-HF and ET-LF configurations. In both cases, the predicted noise curve is more than 1 order of magnitude below the expected ET sensitivity [16], indicating that the scattered light noise in the main cavities, dressed with baffles, should not be a limiting factor for the sensitivity of the experiment.

The final results, including both diffraction- and backscattering-related contributions, are shown in Fig. 13, separately for ET-LH, ET-HF, and for each site. We conclude that, in all cases and for the baffle layout strategy proposed inside the main vacuum pipes, the

expected noise levels remain well below the expected Einstein Telescope sensitivity.

The scattering noise is recomputed in Appendix A for a modified ET-HF configuration with a larger vacuum tube radius and larger baffle apertures, for which the induced total scattering noise is further reduced. Finally, Appendix C considers the scenario of a possible ET-LF upgrade with larger mirrors with slightly different radius of curvature, which does not translate into a significant change in the induced total scattering noise.

## IX. CONCLUSIONS AND OUTLOOK

We present a comprehensive study of the stray light noise contributions expected in the vacuum pipe for the



main arms of the low- and high-frequency nominal configurations of the Einstein Telescope. Following a similar approach already implemented in previous experiments, we discuss a noise mitigation strategy based on the installation of conical baffles inside the vacuum pipe. For a configuration with the laser beam centered in the cavity, we discuss the results in terms of the baffle positions inside the tube, the number of baffles required, and their inner apertures. We compute the dominant contributions to the stray light noise from diffraction by the baffle apertures and the backscattering in the baffle surfaces. In the case of the diffraction-related noise, it is reduced to a negligible level once randomly serrated conical baffles are implemented. In the case of backscattering noise contributions, we performed a detailed study using analytical expressions and numerical simulations. The calculations depend on a number of parameters related to the optical quality of the mirrors, the expected seismic noise levels, and the optical quality of the baffles implemented, for which we use default values that can be considered conservative. We also explore the effect of an increased beam pipe dimension and the implementation of larger mirrors in the high- and low-frequency configurations, respectively. We conclude that, for the baffle layout strategy proposed inside the main vacuum pipes, the expected backscattering noise levels remain well below the expected Einstein Telescope sensitivity.

Our studies leave room for the optimization of the different elements dictating the scattered light noise. As an example, larger than expected seismic noise levels or particularly large light exposures can be locally mitigated by the implementation of dampers or suspended baffles, and the noise budget can be easily reduced by a factor of 2 by increasing the quality of the polishing and/or antireflective coating of the baffle surfaces. Moreover, the interface with other details related to the vacuum system will condition also the exact location of baffles inside the pipes.

Work is in progress to determine the scattered light noise levels in other scenarios, including significant laser beam offsets, misalignments, and the presence of defects and point absorbers in the mirrors. Finally, the scope of this study does not include crucial considerations on the stray light background levels originating in the vicinity of the main mirrors, inside the cryotrap areas attached to the vacuum towers hosting the mirror's suspensions, or inside the vacuum towers themselves. This is the subject of a separate study.

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## APPENDIX A: EFFECT OF INCREASING THE TUBE RADIUS OF ET-HF

We study the effect in terms of the scattered light noise levels of enlarging the tube radius for the ET-HF interferometer. This is motivated by the fact that a larger aperture might provide an additional margin for operating the interferometer with large laser offsets in the arms. It will also mitigate the risk of larger than expected scattering noise due to the eventual presence of unforeseen (and difficult to model) point absorbers, point scatterers, or mirror defects that might change significantly the mirror BRDF and increase the amount of light illuminating the baffles. We consider an enlarged vacuum tube inner radius  $R = 0.6$  m and a baffle aperture of  $A_b = 1.04$  m. As shown in Fig. 3, this new configuration does not translate into a very significant increase in the number of baffles installed in the arms.

In terms of the calculation of the backscattering noise from the baffles, the new analytical and simulated estimations of  $K^i$  are displayed in Fig. 14. After including all

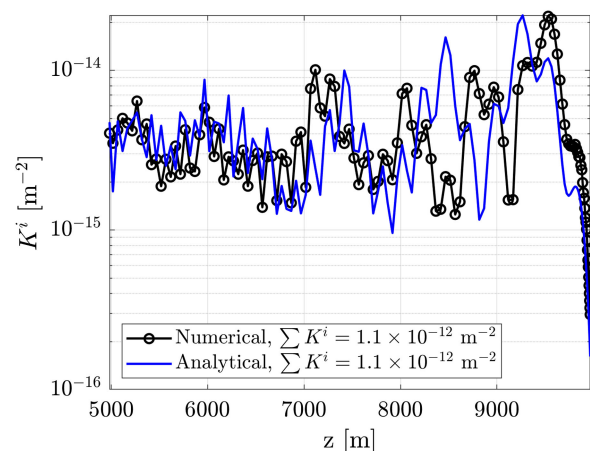


FIG. 14. The  $K^i$  values as a function of baffle position  $z_i$  as computed for the different baffles at the far end of the tube using the analytical model of the BRDF and the numerical simulations (see body of the text) for ET-HF and a vacuum tube radius of 0.6 m.

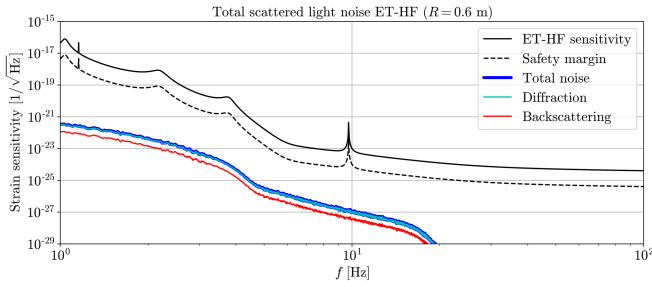


FIG. 15. Stray light noise due to diffraction effects (cyan lines), backscattering effects (red lines), and the total noise (blue lines) for 0.6 m vacuum tube radius as a function of frequency. The results are compared to the anticipated ET-HF sensitivity curve (black line) and the corresponding 1/10 safety margin (dashed line). The results are computed using the seismic noise data from the Euregio site.

the contributions from each individual baffle, we obtain  $\sum K^i = 1.1 \times 10^{-12} \text{ m}^{-2}$ . The diffraction noise is recomputed using the expressions in Eqs. (23) and (24) with new modified parameters. As expected, the larger aperture results in a large reduction in the scattered light arriving to the baffles. As presented in Fig. 15, it turns into a further 50% reduction in the predicted backscattering noise. The total noise is reduced by about 35%, dominated by the diffraction noise contribution.

## APPENDIX B: EFFECT OF THE FINITE MIRROR DIMENSION IN THE ET-LF BRDF

In order to illustrate the effect of the a finite mirror dimension in the mirror BRDF, we consider a perfect surface (i.e., no aberration) but finite in size, which will have the following mirror map:

$$\delta(x, y) = \begin{cases} h, & \text{if } x^2 + y^2 \leq R_m^2 \\ 0, & \text{if } x^2 + y^2 > R_m^2 \end{cases}. \quad (\text{B1})$$

The two-dimensional Fourier transform, defined as

$$F(f_x, f_y) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \delta(x, y) e^{i2\pi(xf_x + yf_y)} dx dy, \quad (\text{B2})$$

can be simplified if there is circular symmetry and is expressed in polar coordinates,

$$F(\xi, \varphi) = 2\pi \int_0^{\infty} r \delta(r) J_0(2\pi\xi r) dr, \quad (\text{B3})$$

where  $J_0$  is the zeroth Bessel function of first kind. Evaluating this integral for the mirror map defined in Eq. (B1) yields

$$F(\xi, \varphi) = hR_m \frac{J_1(2\pi\xi R_m)}{\xi}, \quad (\text{B4})$$

where the property

$$\int_0^\eta x J_0(x) dx = \eta J_1(\eta) \quad (\text{B5})$$

has been used. Since the PSD is defined as  $S(\xi, \varphi) = |F(\xi, \varphi)|^2$ , it can be stated that

$$S(\xi, \varphi) \propto \frac{J_1^2(2\pi\xi R_m)}{\xi^2} \quad (\text{B6})$$

and

$$\text{BRDF} \propto \frac{J_1^2(2\pi\theta R_m/\lambda)}{\theta^2}, \quad (\text{B7})$$

which is the pattern observed in Fig. 7 for the ET-LF interferometer.

## APPENDIX C: EFFECT OF LARGER MIRRORS IN ET-LF

We compute the stray light noise in an ET-LF configuration with larger mirrors than anticipated in the current design. This is motivated by future potential ET upgrades targeting the reduction of the thermal noise in the mirrors. Here we consider new mirrors with a radius of 31 cm and modified radius of curvature. Using the criteria of maintaining the same level of clipping losses due to the finite size of the mirror to about 3.63 ppm, the new radius of curvature is computed. If both mirrors have the same radius of curvature, this is related to the rest of the parameters of the cavity [21],

$$\mathcal{R} = \frac{2\pi^2 w_0^4}{\lambda^2 L} + \frac{L}{2}. \quad (\text{C1})$$

To determine the beam waist, we use Eq. (3) to relate it to the beam spot in the mirror

$$w(0)^2 = 2w_0^2 + \frac{L^2 \lambda^2}{4\pi^2 w_0^2}, \quad (\text{C2})$$

with solutions

$$w_0^2 = \frac{1}{2} \left( w(0)^2 \pm \sqrt{w(0)^4 - \frac{L^2 \lambda^2}{\pi^2}} \right), \quad (\text{C3})$$

where the negative one gives the correct beam waist.

The beam spot at a given level of clipping losses can be computed using Eq. (6). Finally, the radius of curvature is determined as

$$\mathcal{R} = \frac{\pi^2}{2\lambda^2 L} \left[ \frac{2R_m^2}{\ln(1/L_c)} - \sqrt{\frac{4R_m^4}{\ln^2(1/L_c)} - \frac{L^2 \lambda^2}{\pi^2}} \right]^2 + \frac{L}{2}. \quad (\text{C4})$$

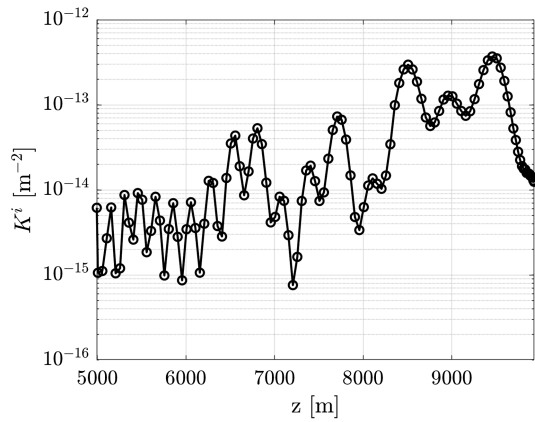


FIG. 16. The  $K^i$  values as a function of baffle position  $z_i$  as computed for the different baffles at the far end of the tube using numerical simulations (see body of the text) for ET-LF and considering larger mirrors.

Evaluating this expression for the new radius of the mirrors and the same clipping losses leads to a radius of curvature of  $\mathcal{R} = 5136$  m.

The new results for the  $K^i$  distributions, using the new cavity, are presented in Fig. 16 and correspond to a total of

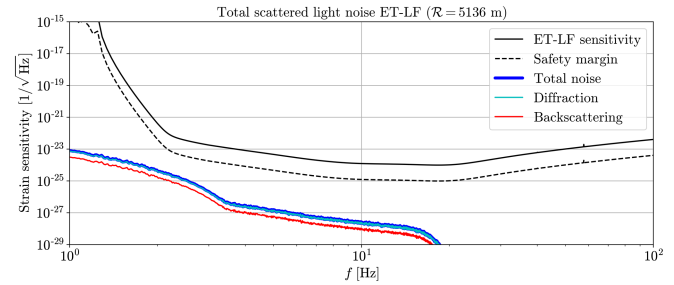


FIG. 17. Stray light noise due to diffraction effects (cyan lines), backscattering effects (red lines), and the total noise (blue lines) for a larger mirror size with 31 cm radius as a function of frequency. The results are compared to the anticipated ET-LF sensitivity curve (black line) and the corresponding 1/10 safety margin (dashed line). The results are computed using the seismic noise data from the Euregio site.

$\sum K^i = 1.2 \times 10^{-11} \text{ m}^{-2}$ . As before, the diffraction noise is recomputed using the expressions in Eqs. (23) and (24) with new modified parameters. Although this translates into an about factor 2 increase in the backscattering noise, the total scattering noise is dominated by the diffraction contribution that remains unchanged and well below the anticipated ET-LF sensitivity, as shown in Fig. 17.

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