

Parity doublet model for baryon octets: Diquark classifications and mass hierarchy based on the quark-line diagram

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We construct $SU(3)_L \otimes SU(3)_R$ invariant parity doublet models within the linear realization of the chiral symmetry. Describing baryons as the superposition of linear representations should be useful description for transitions toward the chiral restoration. The major problem in the construction is that there are much more chiral representations for baryons than in the two-flavor cases. To reduce the number of possible baryon fields, we introduce a hierarchy between representations with good or bad diquarks (called soft and hard baryon representations, respectively). We use $(3, \bar{3}) + (\bar{3}, 3)$ and $(8, 1) + (1, 8)$ as soft to construct a chiral invariant Lagrangian, while the $(3, 6) + (6, 3)$ representations are assumed to be integrated out, leaving some effective interactions. The mass splitting associated with the strange quark mass is analyzed in the first and second order in the meson fields M in $(3, \bar{3}) + (\bar{3}, 3)$ representations. We found that the chiral $SU(3)_L \otimes SU(3)_R$ constraints are far more restrictive than the $SU(3)_V$ constraints used in conventional models for baryons. After extensive analyses within $(3, \bar{3}) + (\bar{3}, 3)$ and $(8, 1) + (1, 8)$ models, we found that models in the first order of M do not reproduce the mass hierarchy correctly, although the Gell-Mann–Okubo mass relation is satisfied. In the second order, the masses of the positive parity channels are reproduced well up to the first radial excitations, while some problem in the mass ordering remains in a negative parity channel. Apparently the baryon dynamics is not well-saturated by just $(3, \bar{3}) + (\bar{3}, 3)$ and $(8, 1) + (1, 8)$ representations, as indicated by the necessity of terms higher order in M .

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I. INTRODUCTION

Chiral symmetry in quantum chromodynamics (QCD) is the key symmetry to describe the low-energy hadron dynamics. Although the chiral symmetry is spontaneously broken by the formation of chiral condensates [1–3], the chiral symmetry in the underlying theory leaves a number of constraints on the low energy dynamics [4–7]. Effective Lagrangians for hadrons are constructed by grouping a set of fields in a chiral invariant way, modulo small explicit breaking associated with the current quark masses.

The most general construction of chiral Lagrangian is based on the nonlinear realization of the chiral symmetry [8,9] in which fields transform nonlinearly under chiral transformations. The great advantage of this construction is that pions accompany space-time derivatives appearing in powers of $\sim d/\Lambda_\chi$, where Λ_χ is the typical chiral symmetry breaking scale related to the pion decay constant f_π as $\Lambda_\chi \sim 4\pi f_\pi$ [10], which leads to the low-energy constants of the chiral perturbation theory to be $\sim \mathcal{O}(10^{-3})$ [11,12]. This power counting greatly systematizes the construction of effective Lagrangians.

While the nonlinear realization has an advantage in generality and systematics, it also has a disadvantage when we try to address the physics at energies near or greater than $\sim \Lambda_\chi$. One simple way of improving the description is to manifestly include massive degrees of freedom. The problem also occurs when we consider the chiral restoration in extreme environments; there, the denominators of the derivatives, $\sim \Lambda_\chi$, become small, invalidating the derivative expansion with only pions.

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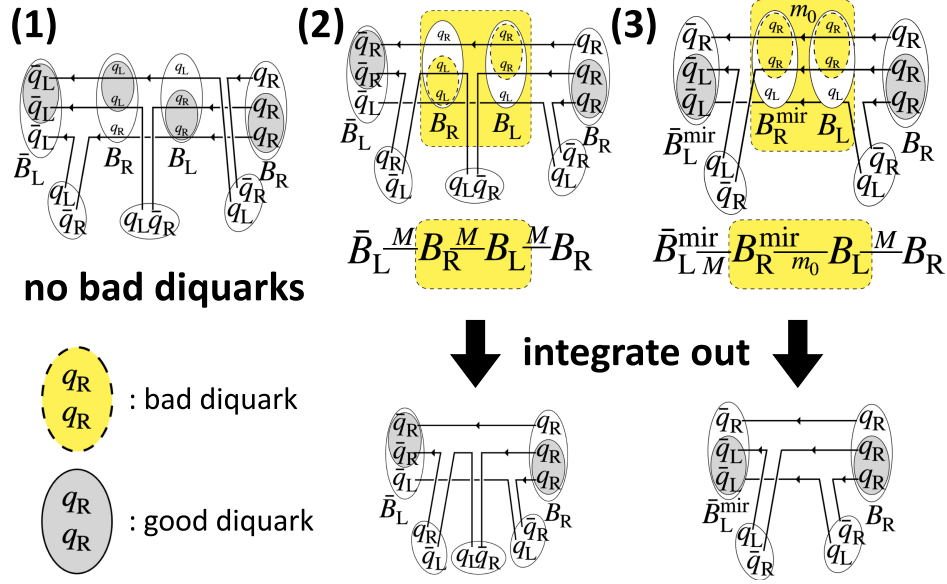


FIG. 1. Higher-order quark exchange diagrams. (1) is just combination of the first-order interaction without the bad diquarks. (2) has three quark exchanges (three meson fields) through the bad diquarks, while (3) has two quark exchanges (two meson fields). In (3), there is a mixing between naive and mirror representations.

A model of linear realization is less general but more suitable when we describe QCD in extreme environments (e.g., neutron stars [13]) with partial restoration of chiral symmetry. Near the chiral restored region the hadron spectra should recover chiral multiplets, e.g., $(\sigma, \vec{\pi})$. Implementing candidates of chiral multiplets from the beginning should simplify our descriptions; we do not have to dynamically generate relevant degrees of freedom.

In this work we consider a model of baryons in the linear realization of chiral symmetry, aiming at its application to dense QCD. We include the parity doublet structure which allows us to introduce the chiral invariant mass [14–20]. For increasing baryon densities, the existence of such mass has large impacts on the density dependence of baryon masses as well as baryon-meson couplings. Previously we analyzed models of two-flavors [21–24], but in this work we extend the model to the three-flavor case. This is necessary to analyze the dense baryonic matter with hyperons.

The extension from two-flavors to three-flavors, however, drastically complicates the construction of the chiral Lagrangian for baryons since there are so many possible representations. Combining three quarks in linear chiral representations, one can create several representations for baryons. For two-flavors, we start with quarks in $(2_L, 1_R)$ and $(1_L, 2_R)$, then the three products yield $(2_L, 1_R)$, $(4_L, 1_R)$, $(3_L, 2_R)$, and $L \leftrightarrow R$. When we include only nucleons, we may focus on $(2_L, 1_R)$ and $(1_L, 2_R)$, and the number of fields is manageable. For three flavors, we start with quarks in $(3_L, 1_R)$ and $(1_L, 3_R)$, and find much more representations for their products. Although there are several studies of baryons based on the models including possible chiral representations of baryons [25–33], to the

best of our knowledge, for three-flavors, the construction of a linearly realized chiral Lagrangian for baryons has not been established.

In order to keep the number of representations tractable, in this work we introduce dynamical assumptions based on the quark dynamics. We assume that baryons in representations including *good diquarks*, the representations $(\bar{3}_L, 1_R)$ or $(1_L, \bar{3}_R)$, are lighter than those including *bad diquarks*, the representations $(6_L, 1_R)$ or $(1_L, 6_R)$ [34–37]. In this paper we call baryon representations including good diquarks “soft baryons” and those with bad diquarks “hard baryons.” In this paper the hard baryons are integrated out and do not manifestly appear, but the consequence of such integration can be traced in effective vertices including high powers of M (Fig. 1).

Based on this idea we build the chiral Lagrangian for soft baryon fields in $(3_L, \bar{3}_R)$, $(8_L, 1_R)$ with $L \leftrightarrow R$. We include mesonic fields and the parity doublet structure in a chirally invariant way. Both the spectra of positive and negative baryons, as well as the first radial excitations, are studied. As usual in the linear realization, we do not have good rationals to restrict the power of mesonic fields, so we examine how important higher-order terms are.

The remarkable and unexpected finding in our construction is that the chiral symmetry and the above dynamic assumption give a very strong impact on baryon masses, especially the SU(3) flavor breaking due to the strange quark mass. For example, for models including only $(3_L, \bar{3}_R)$ and $(\bar{3}_L, 3_R)$, the usual baryon mass ordering based on the number of strange quarks does not hold, at least at the order of meson fields we have worked on. We then add $(8_L, 1_R)$ and $(1_L, 8_R)$ representations, finding

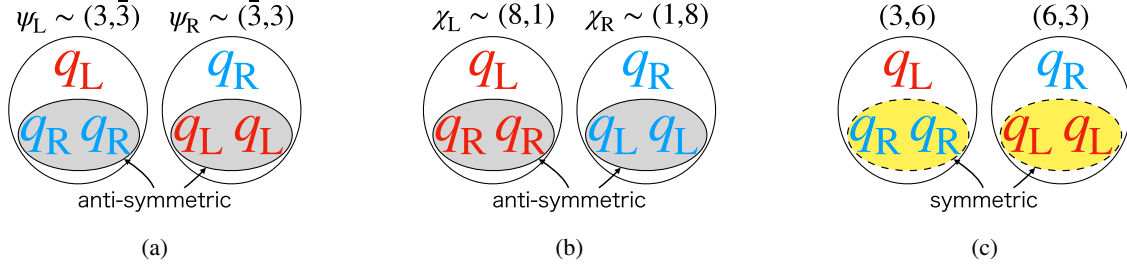


FIG. 2. Quark contents for each baryon representations: (a) $(3, \bar{3}) + (\bar{3}, 3)$, (b) $(8, 1) + (1, 8)$, and (c) $(3, 6) + (6, 3)$. The gray shaded diquark indicates flavor antisymmetric representation, while the yellow indicates symmetric one.

them to be insufficient. To improve the description of spectra, we are forced to increase the powers of mesonic fields up to the second order of Yukawa interactions.

We try to reproduce the ground and first radially excited states for positive and negative baryon octets. Our modeling works for positive parity baryons, but for negative baryons some of mass ordering related to the strange quark appears to be inconsistent with the picture based on the constituent quark models [38]. This situation persists even after our extensive survey for parameter space.

Some comments are in order for comparison with the previous studies. The textbook example of the octet mass formula [39] is based on the $SU(3)$ symmetry with the explicit breaking as perturbation, but the underlying Hamiltonian does not have the chiral symmetry. There are some previous studies for the parity doublet model including hyperons [25–33]. For example, in Ref. [30], current quark masses are incorporated into a parity doublet model based on the $SU(3)_L \times SU(3)_R$ chiral symmetry, and the pion-nucleon $\Sigma_{\pi N}$ and kaon-nucleon Σ_{KN} terms are studied. In Ref. [31], explicit breaking is effectively introduced into the masses without explicit forms of the Lagrangian terms to study the difference of behavior in hot matter. However, to the best of our knowledge, there is no analysis of mass spectra of baryons including hyperons in a chiral invariant model.

This paper is structured as follows. In Sec. II, the chiral representations of $(3_L, \bar{3}_R) + (\bar{3}_L, 3_R)$ and $(8_L, 1_R) + (1_L, 8_R)$ for octet baryons are defined. In Sec. III, we study a Lagrangian up to the first order of Yukawa interactions, and found that the mass hierarchy of the baryon octet cannot be reproduced. In Sec. IV, we classify hadronic effective interactions based on quark diagrams. Then, in Sec. V, we construct the second-order Yukawa-type interactions which should be induced by integrating out hard baryons. In Sec. VI, we perform numerical fit of baryon spectra. Section VII is devoted to the summary.

II. CHIRAL REPRESENTATION FOR HADRON

In three-flavor chiral symmetry $SU(3)_L \times SU(3)_R$, quark fields are defined as the fundamental representations, namely left-handed $(q_L)^l \sim (3, 1)$ and right-handed

$(q_R)^r \sim (1, 3)$, with upper indices $l, r = 1, 2, 3 = u, d, s$. The antiquark fields are defined as the dual representations $(\bar{q}_L)_l \sim (\bar{3}, 1)$ and $(\bar{q}_R)_r \sim (1, \bar{3})$ with lower indices l, r . The scalar meson field is defined as $(M)_r^l \sim (q_L)^l \otimes (\bar{q}_R)_r \sim (3, \bar{3})$ in this paper.

Since baryons consist of three valence quarks, the baryon fields are related with the tensor products of three quark fields. We define the left-handed baryon field as a product of a spectator left-handed quark and left- or right-handed diquark, while the right-handed baryon has a right-handed spectator quark. Taking irreducible decomposition, the left-handed baryon can be expressed as the following representations

$$q_L \otimes (q_L \otimes q_L + q_R \otimes q_R) \sim (1, 1) + (8, 1) + (8, 1) + (10, 1) + (3, \bar{3}) + (3, 6). \quad (1)$$

The octet baryons are included in $(3, \bar{3})$, $(8, 1)$, and $(3, 6)$, which can be illustrated as in Fig. 2. The representations $(3, \bar{3})$ and $(8, 1)$ contain flavor-antisymmetric diquarks $\sim \bar{3}$ which are called “good” diquarks, while $(3, 6)$ contains flavor-symmetric diquarks ~ 6 called “bad” diquarks. We call baryon representations including good diquarks *soft baryons*, and those with bad diquark *hard baryons*. In this paper the hard baryons are integrated out and do not manifestly appear, but the consequence of such integration can be traced in effective vertices including high powers of M . The detailed discussions are given in Sec. V.

The baryon fields denoted as ψ and χ are related with the quark fields as follows: For example, the left-handed baryons have the relations,

$$(\psi_L)^{[l_1 r_2]} \sim (q_L)^{l_1} \otimes (q_R)^{[r_1} \otimes (q_R)^{r_2]}, \quad (2)$$

$$(\chi_L)^{l_1 [l_2 l_3]} \sim (q_L)^{l_1} \otimes (q_L)^{[l_2} \otimes (q_L)^{l_3]}, \quad (3)$$

where $[\]$ implies that two indices in the bracket are antisymmetrized. The relations can be rewritten as

$$(\psi_L)_r^l \sim \varepsilon^{rr_1 r_2} (q_L)^l \otimes (q_R)^{r_1} \otimes (q_R)^{r_2}, \quad (4)$$

$$(\chi_L)_r^l \sim \varepsilon^{l_1 l_2} (q_L)^l \otimes (q_L)^{l_1} \otimes (q_L)^{l_2}, \quad (5)$$

where ε_{ijk} is the totally asymmetric tensor. For these baryon fields, upper indices are interpreted as the ones of quarks, and lower indices are as the ones of good diquarks. For example, $(\psi_L)^{l[r_1 r_2]}$ consists of a left-handed quark with upper index l and two antisymmetrized right-handed quarks with upper indices r_1 and r_2 , while $(\psi_L)_r^l$ consists of a left-handed quark with upper index l and a scalar right-handed diquark ($\bar{3}$ representation) with lower index r . The baryon fields with three indices and the ones with two indices are equivalent through the following relations:

$$(\psi_L)_r^l = \frac{1}{2} \varepsilon_{rr_1 r_2} (\psi_L)^{l[r_1 r_2]}, \quad (6)$$

$$(\psi_L)^{l[r_1 r_2]} = \varepsilon^{rr_1 r_2} (\psi_L)_r^l, \quad (7)$$

and there are also the same relations for χ . We call the baryon fields with three indices ‘‘three-index notation’’ [Eqs. (2) and (3)], and the ones with two indices ‘‘two-index notation’’ [Eqs. (4) and (5)] in this paper.

The two-index notation is often used to calculate as usual, because it is directly related with the adjoint representation matrices as

$$(\chi)_j^i \sim \begin{bmatrix} \frac{1}{\sqrt{2}} \Sigma^0 + \frac{1}{\sqrt{6}} \Lambda & \Sigma^+ & p \\ \Sigma^- & -\frac{1}{\sqrt{2}} \Sigma^0 + \frac{1}{\sqrt{6}} \Lambda & n \\ \Xi^- & \Xi^0 & -\frac{2}{\sqrt{6}} \Lambda \end{bmatrix}, \quad (8)$$

$$(\psi)_j^i \sim \frac{1}{\sqrt{3}} \Lambda_0 + \begin{bmatrix} \frac{1}{\sqrt{2}} \Sigma^0 + \frac{1}{\sqrt{6}} \Lambda & \Sigma^+ & p \\ \Sigma^- & -\frac{1}{\sqrt{2}} \Sigma^0 + \frac{1}{\sqrt{6}} \Lambda & n \\ \Xi^- & \Xi^0 & -\frac{2}{\sqrt{6}} \Lambda \end{bmatrix}, \quad (9)$$

for left-handed and right-handed, respectively. To distinguish ψ and χ explicitly, we treat a flavor of a baryon as an index of ψ or χ fields, e.g., $\psi_3^1 = \psi_p$, $\chi_3^1 = \chi_p$, $\psi_2^3 = \psi_{\Xi^0}$, $\psi_3^3 = \psi_{\Lambda_0}/\sqrt{3} - 2\psi_{\Lambda}/\sqrt{6}$, and so on. For simplicity, we define the isospin vectors as

$$\psi_N \equiv (\psi_p, \psi_n), \quad (10)$$

$$\psi_{\Sigma} \equiv (\psi_{\Sigma^-}, \psi_{\Sigma^0}, \psi_{\Sigma^+}), \quad (11)$$

$$\psi_{\Xi} \equiv (\psi_{\Xi^-}, \psi_{\Xi^0}). \quad (12)$$

We also define the same notations for χ .

In the three-index notation, it is easy to distinguish the antiquark ($\bar{3}$) and the diquark (also $\bar{3}$), because it has a one-to-one correspondence between the indices of the baryon field and the ones of the quark fields, as in Eqs. (2) and (3).

In addition, we can easily see the charge of $U(1)_A$ symmetry in the three-index notation. For example, if one wants to make a contraction of the baryon field $\psi_R \sim (\bar{3}, 3)$ and the meson field $M \sim (3, \bar{3})$ as

$$(\psi_R)_i^r (M)_r^l = \text{tr}(\psi_R M), \quad (13)$$

which is invariant under $SU(3)_L \times SU(3)_R$ but not invariant under $U(1)_A$, because there are three left-handed quarks but there are no left-handed antiquarks. The transformation property is the same as

$$(\psi_R)_i^r (M)_r^l \sim (q_R)^r \varepsilon_{ll_1 l_2} (q_L)^{l_1} (q_L)^{l_2} (q_L)^l (\bar{q}_R)_r, \quad (14)$$

where the left and right components are $SU(3)$ singlet but the left handed one has a finite $U(1)_A$ charge. We emphasize that such term actually appears in the form of a specific combination with other terms [Eq. (44) in Sec. V D]. This property also leads to a correspondence between the quark diagrams and the hadronic effective interactions, as will be explained in Sec. IV.

Next, let us define the parity doubling partners ψ^{mir} and χ^{mir} for ψ and χ respectively as the opposite assigns for the chiral representations (‘‘mirror’’ assignment) as

$$(\psi_L)_r^l \sim (3, \bar{3}), \quad (\psi_L^{\text{mir}})_r^l \sim (\bar{3}, 3), \quad (15)$$

$$(\chi_L)_{l_2}^{l_1} \sim (8, 1), \quad (\chi_L^{\text{mir}})_{r_2}^{r_1} \sim (1, 8), \quad (16)$$

and these fields have opposite parity respectively as

$$\psi \xrightarrow{\text{parity}} +\gamma^0 \psi, \quad \psi^{\text{mir}} \xrightarrow{\text{parity}} -\gamma^0 \psi^{\text{mir}}, \quad (17)$$

$$\chi \xrightarrow{\text{parity}} +\gamma^0 \chi, \quad \chi^{\text{mir}} \xrightarrow{\text{parity}} -\gamma^0 \chi^{\text{mir}}. \quad (18)$$

The right-handed ones are also defined in the similar way.

Note that, the mirror assigned fields can be interpreted as spatially excited states in the following sense. One choice of the interpolating fields for ψ_L is $q_L (q_R^T C q_R) = P_L q d_R$, where $C = i\gamma^2 \gamma^0$ is the charge conjugate matrix, $P_L = (1 - \gamma^5)/2$ is the chiral projection matrix, and $d_R = q_R^T C q_R$ is the scalar diquark field. One possible choice of interpolating field for its excited state is $(\gamma_\mu \partial^\mu q_R) (q_L^T C q_L) = P_L (\gamma_\mu \partial^\mu q) d_L$, which has the same chirality but the opposite chiral representation.

Introducing the mirror fields ψ^{mir} and χ^{mir} , there are mixing terms between the naive and the mirror fields as

$$\begin{aligned} \mathcal{L}^{\text{CIM}} = & -m_0^{\psi} (\bar{\psi}_L \gamma_5 \psi_R^{\text{mir}} + \bar{\psi}_R \gamma_5 \psi_L^{\text{mir}}) + \text{H.c.} \\ & -m_0^{\chi} (\bar{\chi}_L \gamma_5 \chi_R^{\text{mir}} + \bar{\chi}_R \gamma_5 \chi_L^{\text{mir}}) + \text{H.c.}, \end{aligned} \quad (19)$$

where the transformation properties, e.g., $\psi_L \rightarrow U_L \psi_L$ and $\psi_R^{\text{mir}} \rightarrow U_L \psi_R^{\text{mir}}$, with $U_{L,R} \in SU(3)_{L,R}$, make the above

mass terms chiral invariant. This parameters $m_0^{\psi,\chi}$ corresponds to the chiral invariant mass, since this mixing terms are chiral symmetric.

III. MODELS WITH FIRST-ORDER YUKAWA INTERACTIONS

In this section, we study the mass hierarchy of octet baryons in models with first-order Yukawa interactions. First, in Sec. III A, we review the Gell-Mann–Okubo mass relation for octet baryons, which is derived from the flavor symmetry. Next, in Secs. III B and III C, we deal with models based on the chiral $U(3)_L \times U(3)_R$ symmetry which include ψ s and χ s given in the previous section with first-order Yukawa interactions, and see that these models have some problems to satisfy the Gell-Mann–Okubo mass relation. Consequently, we will see that the minimal chiral model for octet baryons must include second-order Yukawa interactions.

A. Review of Gell-Mann–Okubo mass relation

A basic model with flavor $SU(3)$ symmetry is

$$\mathcal{L}^V = -a \text{tr} \bar{B}B - b \text{tr} \bar{B}MB - c \text{tr} \bar{B}BM, \quad (20)$$

where B is a 3×3 matrix of the octet baryon fields, and a, b, c are real parameters. We emphasize that this Lagrangian, commonly appearing in textbooks of group theories, has the $SU(3)$ flavor symmetry but does not possess the $SU(3)_L \times SU(3)_R$ symmetry.

Assuming that the meson field M has a vacuum expectation value (VEV) $\langle M \rangle = \text{diag}(\alpha, \beta, \gamma)$ and isospin symmetry $\alpha = \beta$, the masses of octet baryons are obtained as

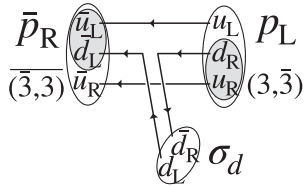
$$m_N = a + b\alpha + c\gamma, \quad (21)$$

$$m_\Sigma = a + b\alpha + c\alpha, \quad (22)$$

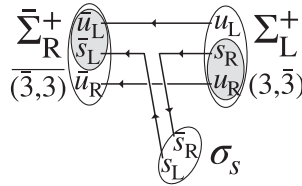
$$m_\Xi = a + b\gamma + c\alpha, \quad (23)$$

$$m_\Lambda = a + b \frac{\alpha + 2\gamma}{3} + c \frac{\alpha + 2\gamma}{3}. \quad (24)$$

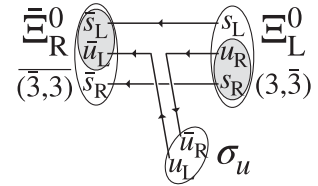
Erasing the parameters a, b and c , we have the following relation:



(a)



(b)



(c)

FIG. 3. Yukawa couplings between $(3, \bar{3})$ and $(\bar{3}, 3)$ baryon fields.

$$\frac{m_N + m_\Xi}{2} = \frac{3m_\Lambda + m_\Sigma}{4}, \quad (25)$$

which is called the Gell-Mann–Okubo mass relation for octet baryons.

In a naive quark mass counting, the Gell-Mann–Okubo mass relation is satisfied by assuming $M_u \simeq M_d, m_N \sim 3M_u, m_\Xi \sim M_u + 2M_s, m_\Lambda \sim 2M_u + M_s$, and $m_\Sigma \sim 2M_u + M_s$, where M_q ($q = u, d, s$) are the constituent quark masses. These estimates hold for typical constituent quark models. On the other hand, these quark counting is sufficient but not necessary conditions; the Gell-Mann–Okubo mass relation is a weaker condition than that deduced from the quark counting.

B. Model 1: Only $(3, \bar{3}) + (\bar{3}, 3)$

First we consider a model including only the $(3, \bar{3}) + (\bar{3}, 3)$ representations for octet baryons, ψ , and the $(3, \bar{3})$ representation of mesons, M , which generates the chiral variant mass of baryons through the spontaneous chiral symmetry breaking. The chiral invariant term for Yukawa interactions at the first order in M is

$$\mathcal{L}^{\text{model}(1)} = -g[\epsilon^{l_1 l_2 l_3} \epsilon_{r_1 r_2 r_3} (\bar{\psi}_L)_{l_1}^{r_1} (M^\dagger)_{l_2}^{r_2} (\psi_R)_{l_3}^{r_3} + \epsilon_{l_1 l_2 l_3} \epsilon^{r_1 r_2 r_3} (\bar{\psi}_R)_{r_1}^{l_1} (M)_{r_2}^{l_2} (\psi_L)_{r_3}^{l_3}], \quad (26)$$

where ϵ_{ijk} is the totally asymmetric tensor.

Equation (26) can be represented graphically in Fig. 3. In this model, σ_s ($\propto (M)_{\bar{3}}^3$, or $\propto (\bar{s}s)$) contributes only to the Σ baryons. This implies that the Ξ baryons must be degenerated with the nucleons in this model, and therefore, this model cannot reproduce the octet baryon masses correctly.

It should be instructive to mention the difference from the two-flavor case where we have only nucleons for which we use $(2_L, 1_R)$ and $(1_L, 2_R)$ representations. The $(2_L, 1_R)$ representations may be constructed as $q_L(q_L)^2$ or $q_L(q_R)^2$. Here good diquarks are the $SU(2)$ singlet, but in the context of three-flavor, these diquarks are $\bar{3}$ representation in $SU(3)$. To construct baryon octet analogous to nucleons in two-flavor models, we need to add more representations in $SU(3)$.

C. Model 2: $(3, \bar{3}) + (\bar{3}, 3)$ and $(8, 1) + (1, 8)$

Next we add the representation $(8, 1) + (1, 8)$, χ , to models of $(3, \bar{3}) + (\bar{3}, 3)$. We emphasize that, at the first (and second) orders in M , there are no Yukawa interactions that couple χ_L and χ_R fields. This is because the χ contains three valence quarks with all left-handed or right-handed so that Yukawa interactions with χ should include three quark exchanges that flip the chirality of all three quarks. In other words, since $U(1)_A$ -charges for χ_L, χ_R , M are $-3, 3$, and -2 respectively, a $U(1)_A$ symmetric term cannot be constructed unless we consider the cubic orders, M^3 or $(M^\dagger)^3$.

There are, however, the first order Yukawa interactions between ψ and χ . The simplest Lagrangian, at the leading order in M , is

$$\mathcal{L}^{\text{model}(2)} = \mathcal{L}^{\text{model}(1)} - g' \text{tr}[\bar{\chi}_L M \psi_R + \bar{\chi}_R M^\dagger \psi_L + \text{H.c.}] \quad (27)$$

This additional interaction $\text{tr}(\bar{\chi}_R M^\dagger \psi_L)$ can be interpreted as in Fig. 4. The strange quark contributes to Ξ baryons through this interaction yields splitting between Σ and Ξ .

This model still contains problems in reproducing the spectra of octet baryons. To see this, let us calculate the mass eigenvalues for the ground-state octet baryons in this model by taking the VEV as $\langle M \rangle = \text{diag}(\alpha, \beta, \gamma)$ with $\alpha = \beta$ as before. We note that α, β , and γ correspond to the contribution from $\langle \bar{u}u \rangle$, $\langle \bar{d}d \rangle$, and $\langle \bar{s}s \rangle$, and $\alpha = \beta$ is assured by the isospin symmetry. According to the linear sigma model, when the pion and kaon decay constants are $f_\pi \approx 93$ MeV and $f_K \approx 110$ MeV, its value is $\langle M \rangle \propto \text{diag}(f_\pi, f_\pi, 2f_K - f_\pi) \approx \text{diag}(93, 93, 127)$ MeV. Using the VEV of M , the Lagrangian can be decomposed as

$$\begin{aligned} \mathcal{L}^{\text{model}(2)} = & -(\bar{\psi}_N \quad \bar{\chi}_N) \hat{M}_N \begin{pmatrix} \psi_N \\ \chi_N \end{pmatrix} - (\bar{\psi}_\Sigma \quad \bar{\chi}_\Sigma) \hat{M}_\Sigma \begin{pmatrix} \psi_\Sigma \\ \chi_\Sigma \end{pmatrix} \\ & - (\bar{\psi}_\Xi \quad \bar{\chi}_\Xi) \hat{M}_\Xi \begin{pmatrix} \psi_\Xi \\ \chi_\Xi \end{pmatrix} + (\text{terms for } \Lambda \text{ baryons}), \end{aligned} \quad (28)$$

where $\hat{M}_N, \hat{M}_\Sigma$ and \hat{M}_Ξ are 2×2 mass matrices given by

$$\begin{aligned} \hat{M}_N &= \begin{pmatrix} -g\alpha & h\alpha \\ h\alpha & 0 \end{pmatrix}, \\ \hat{M}_\Sigma &= \begin{pmatrix} -g\gamma & h\alpha \\ h\alpha & 0 \end{pmatrix}, \\ \hat{M}_\Xi &= \begin{pmatrix} -g\alpha & h\gamma \\ h\gamma & 0 \end{pmatrix}. \end{aligned} \quad (29)$$

The strange quark contributions for Σ baryons, which enters the diagonal components in the mass matrix, corresponds to Fig. 3(b). The one for Ξ baryons, which enters the off-diagonal components, corresponds to Fig. 4(c). The mass eigenvalues for the ground-state octet members can be written as

$$m[N] = m(|g\alpha|, |h\alpha|), \quad (30)$$

$$m[\Sigma] = m(|g\gamma|, |h\alpha|), \quad (31)$$

$$m[\Xi] = m(|g\alpha|, |h\gamma|), \quad (32)$$

where $m(x, y) \equiv \sqrt{(x/2)^2 + y^2} - x/2$ is an eigenvalue of the matrix $\begin{pmatrix} x & y \\ y & 0 \end{pmatrix}$.

Here we note $|g\alpha| < |g\gamma|$ and $\partial_x m(x, y) < 0$; this means that this model leads to $m[N] > m[\Sigma]$. Therefore, somewhat counterintuitively, this model cannot reproduce the octet baryon masses correctly.

Note that, when we neglect the mixing with the singlet Λ baryon, the mass term is expressed as

$$-(\bar{\psi}_\Lambda \quad \bar{\chi}_\Lambda) \hat{M}_\Lambda \begin{pmatrix} \psi_\Lambda \\ \chi_\Lambda \end{pmatrix}, \quad (33)$$

with

$$\hat{M}_\Lambda = \begin{pmatrix} -\frac{g}{3}(4\alpha - \gamma) & \frac{h}{3}(\alpha + 2\gamma) \\ \frac{h}{3}(\alpha + 2\gamma) & 0 \end{pmatrix}. \quad (34)$$

From this, the mass of the octet Λ baryon can be calculated as

$$m[\Lambda] = m(|g(4\alpha - \gamma)|/3, |h(\alpha + 2\gamma)|/3). \quad (35)$$

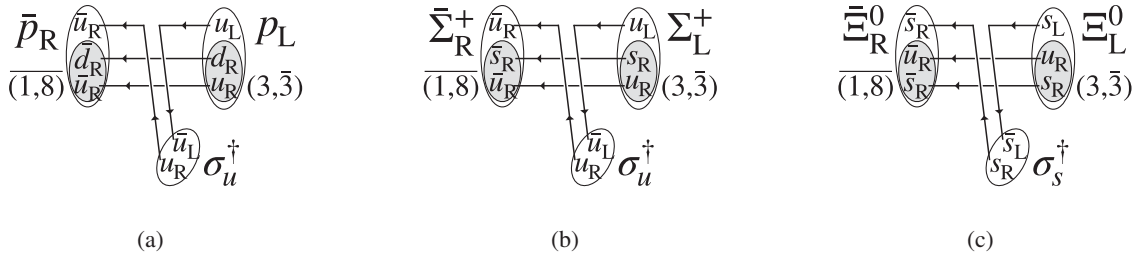


FIG. 4. Yukawa couplings between $(3, \bar{3})$ and $(1, 8)$ baryon fields.

We stress that the mass matrices of octet baryons satisfy the Gell-Mann–Okubo mass relation as

$$\frac{1}{2}[\hat{M}_N + \hat{M}_\Xi] = \frac{1}{4}[3\hat{M}_\Lambda + \hat{M}_\Sigma]. \quad (36)$$

(See Sec. VI B for details.) However, the mass eigenvalues can satisfy the Gell-Mann–Okubo mass relation only up to first order of strange quark breaking $\mathcal{O}(\gamma - \alpha)$.

To summarize this section, we found that simple models based on the baryon octet with good diquarks do not reproduce the baryon octet masses correctly, unless we go beyond the lowest order in M . We need to add more representations including bad diquarks or go to higher orders in M .

IV. QUARK DIAGRAM AND CHIRAL YUKAWA INTERACTION

In the previous section we have found that the simplest version of the $(3, \bar{3}) + (\bar{3}, 3)$ and $(8, 1) + (1, 8)$ model does not work well. We have to go beyond the leading order, in other word, we need to include two or more M fields in Yukawa interaction terms. Since there are many possible terms for Yukawa interactions at higher order, we need to set up some rules for systematic treatments.

In this paper, we propose to use quark diagrams to classify Yukawa interactions. Quark fields in baryon and meson fields are connected to manifestly conserve the quantum numbers. At the first order of M (the first order Yukawa interactions), there are only two types of chiral Yukawa interaction. Here, although the first order Yukawa terms were treated in the last section, we repeat the analysis of the graph in terms of meson-diquark and meson-spectator couplings. The coupling constants are expressed as $g_{1,2}^a$ and $g_{1,2}^s$, respectively. Here, the subscript 1, 2 refers to two baryon fields in the parity doublet model for a given representation, $\psi_{1,2}$ and $\chi_{1,2}$. In the next section (Sec. V), we will deal with “second-order” Yukawa interactions, which include two quark exchanges (two meson fields).

A. Correspondence between quark diagrams and hadronic effective interactions

We explain how to find the hadronic effective interaction corresponding to a given quark diagram. To find the correspondence, the three-index notation [Eqs. (2) and (3)] for baryons is more convenient than the two-index notation. The two-index notation is useful for notational simplicity, though.

As shown in Fig. 5, one draws a quark diagram in which each pair of \bar{q}_i and q_i ($i = L, R$) is connected though a quark line. Along quark lines, charges in the $U(3)_L \times U(3)_R$ symmetry are conserved. Baryonic fields with different chirality are connected by inserting mesonic fields.

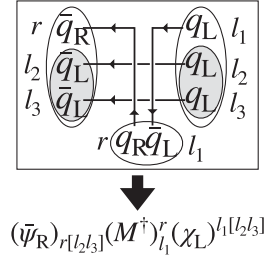


FIG. 5. Correspondence between a quark diagram and a hadronic effective interaction.

According to our dynamical assumption based on diquarks, the chirality flipping processes involving bad diquarks are assumed to be suppressed. Integrating such intermediate states involves at least the second order in M . The second-order contribution to the baryon mass is about $\sim \langle M \rangle^2 / (M_{\text{hard}} - M_{\text{soft}})$ where $\langle M \rangle$ is the VEV of the meson field and M_{hard} and M_{soft} are the masses for hard- and soft-baryons, respectively. The mass scale $M_{\text{hard}} - M_{\text{soft}}$ is the order of $M_\Delta - M_N \sim 300$ MeV. The major assumption in this paper is that, the approximation $\langle M \rangle / (M_{\text{hard}} - M_{\text{soft}}) \ll 1$, which should become increasing valid toward the chiral restoration with $\langle M \rangle \rightarrow 0$, also sheds light on baryons in the vacuum. Under this assumption the second-order contributions in $\langle M \rangle$ are suppressed compared with the first-order contributions.

Meanwhile, the direct coupling between soft baryons (baryons with good diquarks) yields soft intermediate states which cannot be treated perturbatively; the Hamiltonian for soft baryonic fields must be fully diagonalized. The full diagonalization involves iterations of soft baryon graphs; to avoid the double counting, from the list of higher-order terms in M we must pick up terms in which only hard baryons (baryons with bad-diquarks) appear in the intermediate states. In the following we dictate how to organize interactions between ψ and χ fields.

B. “First-order” Yukawa interaction

We begin with the first order Yukawa interactions. For soft baryon fields there are only two possible processes:

- (i) *Coupling to diquarks*—The Yukawa interaction couples to a quark q_R in $(3_L, \bar{3}_R)$ representation, $\psi_L \sim q_L [q_R q_R]$. In other words, the matrix M couples to one of quarks forming a good diquark. After the chiral flipping, the $(\bar{3}_L, 3_R)$ representation, $\psi_R \sim [q_L q_L] q_R$ is formed. This case was discussed in Sec. III B. The same is true after exchanges of L and R .
- (ii) *Coupling to a spectator quark*—A spectator quark q_L in $(3_L, \bar{3}_R)$ representation, $\psi_L \sim q_L [q_R q_R]$, couples to M and flips the chirality. The resulting representation is $(1_L, 8_R)$, $\psi_R \sim q_R [q_R q_R]$. This case was discussed in Sec. III C. The same is true after exchanges of L and R .

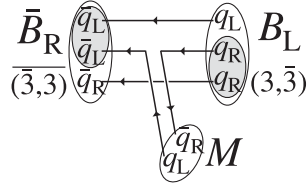


FIG. 6. First-order chiral Yukawa interaction between $(\bar{3}, 3)$ and $(3, \bar{3})$.

The same arguments are applied to the mirror representations. Below we write down the effective Lagrangian for these couplings.

1. Diquark interaction ($g_{1,2}^a$)

The first-order chiral Yukawa interaction corresponding to a diagram in Fig. 6 is written as

$$(\bar{\psi}_R)_{r_1[l_1 l_2]} (M)_{r_2}^{l_2} (\psi_L)^{l_1[r_1 r_2]}. \quad (37)$$

In the two-index notation, this is equivalent to

$$\varepsilon_{l_1 l_2 l_3} \varepsilon^{r_1 r_2 r_3} (\bar{\psi}_R)_{r_1}^{l_3} (M)_{r_2}^{l_2} (\psi_L)^{l_1}_{r_3}, \quad (38)$$

which was treated in Sec. III B. This expression is also equivalent to the following contribution:

$$\begin{aligned} & \text{tr}(\bar{\psi} M \psi) - \text{tr}[\bar{\psi} \psi (\text{tr}(M) - M)] + \text{tr}(\bar{\psi}) \text{tr}(M) \text{tr}(\psi) \\ & - \text{tr}(\bar{\psi}) \text{tr}(M \psi) - \text{tr}(\bar{\psi} M) \text{tr}(\psi). \end{aligned} \quad (39)$$

The traced baryonic fields $\text{tr} \psi$ or $\text{tr} \bar{\psi}$ represent the Λ_0 (flavor-singlet Λ baryon). Terms without Λ_0 are summarized in the first line of Eq. (39) which takes the same form as Eq. (20), so that the flavor-octet baryons satisfy the Gell-Mann–Okubo mass relation.

In the following sections, the coupling constants of the Yukawa interaction of the form given in Eq. (38) for naive representation is denoted as g_1^a and that for mirror representation is as g_2^a .

2. Spectator interaction ($g_{1,2}^s$)

Figure 7 shows that one of three quarks q_L included in the $(8_L, 1_R)$ representation flips its chirality to q_R . The corresponding effective interaction is written as

$$(\bar{\chi}_R)_{r_1[r_1 r_2]} (M^\dagger)_l^{r_1} (\psi_L)^{l[r_1 r_2]}. \quad (40)$$

In the two-index notation, this can be written as

$$\text{tr}(\bar{\chi}_R M^\dagger \psi_L). \quad (41)$$

We note that this term generates the contributions to the masses of octet baryons which satisfy the Gell-Mann–Okubo mass relation as shown in Eq. (36). We would like to

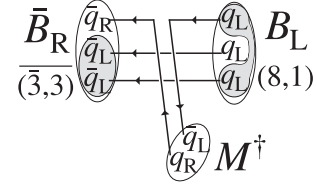
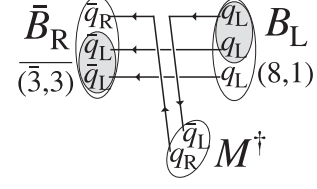
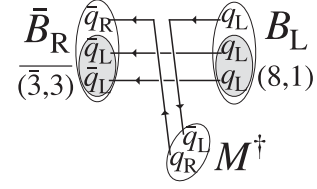


FIG. 7. First-order chiral Yukawa interactions connecting from $(8_L, 1_R)$ to $(\bar{3}_L, 3_R)$ representations. Although there are three patterns, all of them correspond to the same effective interaction, due to the traceless of χ .

stress that, even if any pair of quarks in χ forms a diquark, as seen in Fig. 7, the corresponding effective interaction is expressed by the term given in Eq. (40). This property is because of the traceless property of χ , or equivalently,

$$(\chi)^{i[jk]} + (\chi)^{j[ki]} + (\chi)^{k[ij]} = 0. \quad (42)$$

In the following sections, the coupling constants of the Yukawa interaction connecting χ and ψ are denoted as g_1^s and g_2^s .

V. INTEGRATING OUT BARYONS INCLUDING A BAD DIQUARK: SECOND-ORDER YUKAWA INTERACTION

In this section, we construct a minimal set of the second-order Yukawa interactions based on the quark diagram introduced in the previous section.

We omit the flavor singlet Λ baryons for simplicity, which may be heavier than the octet baryons due to the $U(1)_A$ anomaly. (See Sec. V E for the singlet baryons.)

As mentioned in Sec. IV A, it is important to omit terms which generate soft intermediate states. In this section we carefully pick out terms yielding only hard intermediate states.

A. Classification of the processes: Overview

We consider two sets of representations $\psi_{1,2}$ and $\chi_{1,2}$ for the parity doublet and examine how to combine them to

generate the second order in M . Single insertion of a meson line flips the chirality and change the chiral representation of baryon fields. As we have mentioned, we have to remove graphs which are simply iterations of the first order graph. For this purpose, the representations generated by the chirality flipping process must belong to hard baryons which include a bad diquark. As we stated in the previous section, the transition from soft to hard baryon intermediate states effectively introduce a factor $\langle M \rangle / (M_{\text{hard}} - M_{\text{soft}})$ as an expansion parameter.

In this paper we focus on the Yukawa interactions concerning the scalar and pseudoscalar mesons only. Then, from the structure of the Dirac spinor, the chirality of the baryon must flip at the interaction point. As we will show below, this is impossible without mirror representations. Thanks to the availability of the mirror representations in our framework, Yukawa interaction terms can be made SU(3) chiral invariant by using the mirror representation for one of baryon fields.

B. Transition $\psi_{1,2} \rightarrow \psi_{2,1}$

Let us consider the transition between the same chiral representations. First we examine $\psi_{1,2} \rightarrow \psi_{2,1}$. There are three possible processes.

1. Double spectator-meson interactions (ψ - ψ)

A spectator quark q_R of $\psi_R \sim q_R[q_L q_L]$ flips the chirality twice (Fig. 8). In this process hard baryons do not appear in the intermediate states, we must omit them to avoid the double counting.

2. Spectator-meson and diquark-meson interactions (h_1 , ψ - ψ)

Both a spectator quark and a quark forming a diquark flip the chirality once. The chirality flipping in a diquark destroys a good diquark in the initial state and generates a hard baryon in the intermediate states (Fig. 9). This interaction can be written as

$$(\bar{\psi}_R)_{r_1[l_1 l']}(M)_{r_2}^{l_1}(M^\dagger)_{l_2}^{r_1}(\psi_L^{\text{mir}})_{r_2[l_2 l']}. \quad (43)$$

In terms of the two-index notation, this is written as

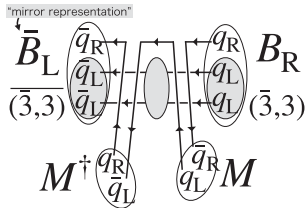


FIG. 8. Yukawa coupling between $(\bar{3}, 3)$ and $(\bar{3}, 3)$ where a spectator quark flips the chirality.

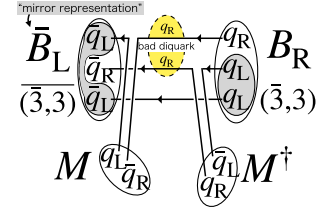


FIG. 9. Yukawa coupling between $(\bar{3}, 3)$ and $(\bar{3}, 3)$ where a spectator quark and a quark in the good-diquark flip the chirality.

$$\text{tr}(\bar{\psi}_R M^\dagger M \psi_L^{\text{mir}}) - \text{tr}(\bar{\psi}_R M^\dagger) \text{tr}(M \psi_L^{\text{mir}}). \quad (44)$$

The first term $\text{tr}(\bar{\psi}_R M^\dagger M \psi_L^{\text{mir}})$ satisfies the Gell-Mann–Okubo mass relation, while the second term $\text{tr}(\bar{\psi}_R M^\dagger) \text{tr}(M \psi_L^{\text{mir}})$ breaks it, because it is not the form of Eq. (20). However, when the flavor singlet Λ is omitted, this term contributes only to the octet member of Λ baryon and the breaking contribution is proportional to $(\gamma - \alpha)^2$. Therefore, this interaction satisfies the Gell-Mann–Okubo mass relation up to first-order of strange quark mass perturbation. We should stress that this term is possible only when there exists a mirror representation ψ_L^{mir} .

3. Double meson insertions into a single quark in a diquark (h_2 , ψ - ψ)

Figure 10 shows the diagram with double chirality flipping in a quark belonging to a diquark. The intermediate states are hard. This interaction can be written as

$$(\bar{\psi}_R)_{r_1[l_1 l']}(M M^\dagger)_{l_2}^{l_1}(\psi_L^{\text{mir}})_{r_2[l_2 l']}, \quad (45)$$

or in the index contracted notation,

$$\text{tr}[\bar{\psi}_R \psi_L^{\text{mir}} (\text{tr}(M M^\dagger) - M M^\dagger)], \quad (46)$$

which satisfies the Gell-Mann–Okubo mass relation.

C. Transition $\chi_{1,2} \rightarrow \chi_{2,1}$

Next, we examine $\chi_{1,2} \rightarrow \chi_{2,1}$. There are three possible processes. The processes are similar to the $\psi_{1,2} \rightarrow \psi_{2,1}$ transitions but the microphysics is not quite identical.

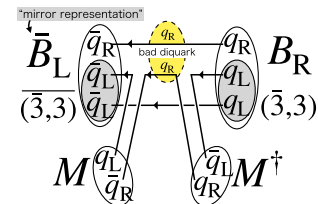


FIG. 10. Yukawa coupling between $(\bar{3}, 3)$ and $(\bar{3}, 3)$ where a spectator quark in the good-diquark flips the chirality.

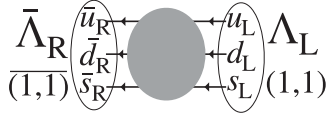


FIG. 14. Anomalous interaction between the chiral singlet baryons $\Lambda \sim (1, 1)$.

space. Since the spin wave functions cannot be totally antisymmetric, the space part of the wave functions should be in the excited level. This implies that Λ_0 cannot be a ground state.

In the present model a pair of Λ_0 s is included in the $(3, \bar{3})$ and $(\bar{3}, 3)$ representations, which may mix with some Λ baryons of the octet members when the flavor symmetry breaking is included. However, we note that Λ_0 baryon appears from chiral singlet Λ baryons of $(1, 1)$ representation, for which there exists a chiral symmetric mass term given by

$$-m_\Lambda (\bar{\Lambda}_L^{(1,1)} \Lambda_R^{(1,1)} + \bar{\Lambda}_R^{(1,1)} \Lambda_L^{(1,1)}), \quad (55)$$

corresponding to the quark diagram including $U(1)_A$ anomaly as in Fig. 14. The Λ baryon of $(1, 1)$ representation can be made heavy even before the spontaneous chiral symmetry breaking. When the chiral symmetry is spontaneously broken, this mixes with the flavor singlet Λ baryon belonging to $(3, \bar{3})$ and $(\bar{3}, 3)$ representations. Thus, we naturally expect that the flavor singlet Λ baryons are heavier than the flavor-octet Λ baryons.

VI. NUMERICAL FIT TO MASS SPECTRA

A. Model

The entire Lagrangian which we use in this work consists of the following sectors:

$$\mathcal{L}_{\text{total}} = \mathcal{L}_{\text{kin}} + \mathcal{L}_{\text{CIM}} + \mathcal{L}_{\text{Yukawa}} + \mathcal{L}_{\text{2nd}}. \quad (56)$$

The kinetic term is just the ordinal one for ψ , ψ^{mir} , χ , and χ^{mir} . The chiral invariant mass terms are expressed as

$$\mathcal{L}_{\text{CIM}} = -m_0 (\bar{\psi} \gamma_5 \psi^{\text{mir}}) - m_0 (\bar{\chi} \gamma_5 \chi^{\text{mir}}) + \text{H.c.}, \quad (57)$$

where we suppose the chiral invariant masses for ψ and χ are the same for simplicity.

The first-order Yukawa interactions are given by

$$\begin{aligned} \mathcal{L}_{\text{Yukawa}} = & -g_1^a [-\varepsilon_{r_1 r_2 r_3} \varepsilon^{l_1 l_2 l_3} (\bar{\psi}_L)_{l_1}^{r_1} (M^\dagger)_{l_2}^{r_2} (\psi_R)_{l_3}^{r_3} + \text{H.c.}] \\ & -g_2^a [-\varepsilon_{l_1 l_2 l_3} \varepsilon^{r_1 r_2 r_3} (\bar{\psi}_L^{\text{mir}})_{r_1}^{l_1} (M)_{r_2}^{l_2} (\psi_R^{\text{mir}})_{r_3}^{l_3} + \text{H.c.}] \\ & -g_1^s [\text{tr}(\bar{\psi}_L M \chi_R + \bar{\psi}_R M^\dagger \chi_L + \text{H.c.})] \\ & -g_2^s [\text{tr}(\bar{\psi}_L^{\text{mir}} M^\dagger \chi_R^{\text{mir}} + \bar{\psi}_R^{\text{mir}} M \chi_L^{\text{mir}} + \text{H.c.})]. \end{aligned} \quad (58)$$

The second-order terms introduced in the previous section are summarized as

$$\begin{aligned} \mathcal{L}_{\text{2nd}} = & -\frac{g_1^d}{f_\pi} [\text{tr}(\bar{\psi}_L \chi_R^{\text{mir}} \hat{O}^\dagger) - \text{tr}(\bar{\psi}_R \chi_L^{\text{mir}} \hat{O}) + \text{H.c.}] - \frac{g_2^d}{f_\pi} [\text{tr}(\bar{\chi}_L \psi_R^{\text{mir}} \hat{O}) - \text{tr}(\bar{\chi}_R \psi_L^{\text{mir}} \hat{O}^\dagger) + \text{H.c.}] \\ & -\frac{h_1}{f_\pi} \{ \text{tr}(\bar{\psi}_L M M^\dagger \psi_R^{\text{mir}}) - \text{tr}(\bar{\psi}_L M) \text{tr}(M^\dagger \psi_R^{\text{mir}}) - \text{tr}(\bar{\psi}_R M^\dagger M \psi_L^{\text{mir}}) + \text{tr}(\bar{\psi}_R M^\dagger) \text{tr}(M \psi_L^{\text{mir}}) + \text{H.c.} \} \\ & -\frac{h_2}{f_\pi} \{ \text{tr}[\bar{\psi}_L \psi_R^{\text{mir}} (\text{tr}(M M^\dagger) - M^\dagger M)] - \text{tr}[\bar{\psi}_R \psi_L^{\text{mir}} (\text{tr}(M M^\dagger) - M M^\dagger)] + \text{H.c.} \} \\ & -\frac{h_3}{f_\pi} \{ \text{tr}[\bar{\chi}_L \chi_R^{\text{mir}} (\text{tr}(M^\dagger M) - M M^\dagger)] - \text{tr}[\bar{\chi}_R \chi_L^{\text{mir}} (\text{tr}(M^\dagger M) - M^\dagger M)] + \text{H.c.} \} \\ & -\frac{h_4}{f_\pi} \{ -\text{tr}(\bar{\chi}_L M M^\dagger \chi_R^{\text{mir}}) + \text{tr}[\bar{\chi}_L \chi_R^{\text{mir}} (\text{tr}(M M^\dagger) - M M^\dagger)] + \text{tr}(\bar{\chi}_R M^\dagger M \chi_L^{\text{mir}}) \\ & - \text{tr}[\bar{\chi}_R \chi_L^{\text{mir}} (\text{tr}(M^\dagger M) - M^\dagger M)] + \text{H.c.} \}, \end{aligned} \quad (59)$$

with \hat{O} defined in Eq. (54). We take the mean field approximation for the scalar meson $\langle M \rangle = \text{diag}(\alpha, \beta, \gamma)$, assuming the isospin symmetry $\alpha = \beta$. It is convenient to introduce a unified notation for the chiral representations of baryons as $\Psi_i = (\psi_i, \chi_i, \gamma_5 \psi_i^{\text{mir}}, \gamma_5 \chi_i^{\text{mir}})^T$ ($i = N, \Lambda, \Sigma, \Xi$). By using this, mass terms of baryons are written as

$$\tilde{\mathcal{L}} = - \sum_{i=N, \Lambda, \Sigma, \Xi} \bar{\Psi}_i \hat{M}_i \Psi_i, \quad (60)$$

where the mass matrices \hat{M}_i ($i = N, \Lambda, \Sigma, \Xi$) is defined as

TABLE I. Mass matrices for the nucleons, the Σ baryons, the Ξ baryons, and the Λ baryons. See Table II for α and γ .

	$g_{1,2}^a$	$g_{1,2}^s$	$g_{1,2}^d$	h_1	h_2, h_3	h_4
$M_N = M(\dots)$	α	α	$2\alpha^2$	α^2	$2\alpha^2$	α^2
$M_\Sigma = M(\dots)$	γ	α	$2\alpha\gamma$	α^2	$\alpha^2 + \gamma^2$	γ^2
$M_\Xi = M(\dots)$	α	γ	$2\alpha\gamma$	γ^2	$\alpha^2 + \gamma^2$	α^2
$M_\Lambda = M(\dots)$	$\frac{4\alpha-\gamma}{3}$	$\frac{\alpha+2\gamma}{3}$	$2\frac{\alpha\gamma+2\alpha^2}{3}$	$\frac{4\alpha\gamma-\alpha^2}{3}$	$\frac{5\alpha^2+\gamma^2}{3}$	$\frac{4\alpha^2-\gamma^2}{3}$

$$\hat{M}(x^a, x^s, x^d, x^{h1}, x^{h23}, x^{h4}) \equiv \begin{pmatrix} g_1^a x^a & g_1^s x^s & m_0 + \frac{h_1}{f_\pi} x^{h1} + \frac{h_2}{f_\pi} x^{h23} & \frac{g_1^d}{f_\pi} x^d \\ 0 & \frac{g_2^d}{f_\pi} x^d & m_0 + \frac{h_3}{f_\pi} x^{h23} + \frac{h_4}{f_\pi} x^{h4} & \\ & g_2^s x^s & & g_2^s x^s \\ & & & 0 \end{pmatrix} \quad (61)$$

with x^a, \dots, x^{h4} defined in Table I. We note that the matrix \hat{M} is symmetric, so we omitted some components in Eq. (61).

Diagonalizing the 4×4 matrix \hat{M}_i in Eq. (61), we obtain four mass eigenvalues, $m_i^{g.s.}$, $m_i^{(1)}$, $-m_i^{(2)}$, and $-m_i^{(3)}$, and the corresponding mass eigenstates, $B_i^{g.s.}$, $B_i^{(1)}$, $\gamma_5 B_i^{(2)}$ and $\gamma_5 B_i^{(3)}$, where $B_i^{(2)}$ and $B_i^{(3)}$ are negative parity baryons. As a result, the mass term is rewritten as

$$\tilde{\mathcal{L}} = -\sum_i [m_i^{g.s.} \bar{B}_i^{g.s.} B_i^{g.s.} + m_i^{(1)} \bar{B}_i^{(1)} B_i^{(1)} + m_i^{(2)} \bar{B}_i^{(2)} B_i^{(2)} + m_i^{(3)} \bar{B}_i^{(3)} B_i^{(3)}]. \quad (62)$$

B. Gell-Mann–Okubo mass relation for mass matrices

As seen in Sec. VA, all interactions except the h_1 term satisfy the Gell-Mann–Okubo mass relation. The breaking term is proportional to ϵ^2 , where ϵ is defined in the VEV of the meson field as $\langle M \rangle = \text{diag}(\alpha, \alpha, \alpha + \epsilon)$. Therefore, assuming $\epsilon \ll \alpha$, the Gell-Mann–Okubo mass relations among the mass matrices for N , Λ , Σ , and Ξ are approximately satisfied as

$$\frac{\hat{M}_N + \hat{M}_\Xi}{2} - \frac{3\hat{M}_\Lambda + \hat{M}_\Sigma}{4} = \mathcal{O}((\epsilon/\alpha)^2). \quad (63)$$

Let \hat{D}_i be the diagonalized matrices of \hat{M}_i , and let \hat{U}_N be the unitary matrix which diagonalizes \hat{M}_N . Then the perturbations for ϵ of the mass eigenvalues are

$$\hat{D}_f = \hat{D}_N + \text{diag} \left[\hat{U}_N^\dagger \frac{d\hat{M}_f}{d\epsilon} \Big|_{\epsilon=0} \hat{U}_N \right] \epsilon + \mathcal{O}(\epsilon^2), \quad (64)$$

TABLE II. Physical inputs of the decay constants for pion and kaon [40], and the VEV of the meson field $\langle M \rangle = \text{diag}(\alpha, \beta, \gamma)$ with assuming isospin symmetry $\alpha = \beta$.

f_π	93 MeV
f_K	110 MeV
α	$f_\pi (= 93 \text{ MeV})$
γ	$2f_K - f_\pi (= 127 \text{ MeV})$

where $f = \Lambda, \Sigma, \Xi$, and $\text{diag}[\hat{X}]$ is the diagonal part of \hat{X} . Therefore, Eq. (63) implies that the mass eigenvalues in this model can satisfy the Gell-Mann–Okubo mass relation for small ϵ as

$$\frac{\hat{D}_N + \hat{D}_\Xi}{2} - \frac{3\hat{D}_\Lambda + \hat{D}_\Sigma}{4} = \mathcal{O}((\epsilon/\alpha)^2). \quad (65)$$

This argument implies that the Gell-Mann–Okubo mass relation is satisfied for small ϵ/α in this model. However, as seen in the previous sections, it is rather difficult to reproduce the mass ordering for hyperons.

C. Traces of mass matrices

In this section, we would like to note that there are nontrivial relations among traces of the mass matrices. The explicit forms of traces are shown as follows¹:

$$\text{tr}(\hat{M}_N) = (g_1^a + g_2^a)\alpha, \quad (67)$$

$$\text{tr}(\hat{M}_\Sigma) = (g_1^a + g_2^a)\gamma = \text{tr}(\hat{M}_N) \frac{\gamma}{\alpha}, \quad (68)$$

$$\text{tr}(\hat{M}_\Xi) = (g_1^a + g_2^a)\alpha = \text{tr}(\hat{M}_N), \quad (69)$$

$$\text{tr}(\hat{M}_\Lambda) = (g_1^a + g_2^a) \frac{4\alpha - \gamma}{3} = \text{tr}(\hat{M}_N) \frac{(4\alpha - \gamma)/3}{\alpha}. \quad (70)$$

We determine the VEVs of the meson field M from the decay constants of pion and kaon as

$$\alpha = f_\pi, \quad \gamma = 2f_K - f_\pi. \quad (71)$$

In Table II, the input values of f_π and f_K are shown together with the determined values of α and γ . As for the baryon masses, we use the values listed in Table III picked up from the PDG table [40]. The value of the $\text{tr}[\hat{M}_N]$ is determined as

¹We note that these matrices satisfy the Gell-Mann–Okubo mass relation as

$$\frac{\text{tr}(\hat{M}_N) + \text{tr}(\hat{M}_\Xi)}{2} = \frac{3\text{tr}(\hat{M}_\Lambda) + \text{tr}(\hat{M}_\Sigma)}{4}. \quad (66)$$

TABLE III. Physical inputs for the four octet masses.

J^P	Mass inputs for octet members [MeV]			
	N	Λ	Σ	Ξ
$m_1: 1/2^+(\text{G.S.})$	$N(939): 939$	$\Lambda(1116): 1116$	$\Sigma(1193): 1193$	$\Xi(1318): 1318$
$m_2: 1/2^+$	$N(1440): 1440$	$\Lambda(1600): 1600$	$\Sigma(1660): 1660$	$\Xi(?):$
$m_3: 1/2^-$	$N(1535): 1530$	$\Lambda(1670): 1674$	$\Sigma(?):$	$\Xi(?):$
$m_4: 1/2^-$	$N(1650): 1650$	$\Lambda(1800): 1800$	$\Sigma(1750): 1750$	$\Xi(?):$

$$\begin{aligned} \text{tr}(\hat{M}_N) &= (939 + 1440 - 1530 - 1650) \text{ MeV} \\ &= -801 \text{ MeV}. \end{aligned} \quad (72)$$

Then, the trace values for the other flavors are also determined as the following:

$$\text{tr}(\hat{M}_\Sigma) = \text{tr}(\hat{M}_N) \frac{\gamma}{\alpha} = 1094 \text{ MeV}, \quad (73)$$

$$\text{tr}(\hat{M}_\Xi) = -801 \text{ MeV}, \quad (74)$$

$$\text{tr}(\hat{M}_\Lambda) = \text{tr}(\hat{M}_N) \frac{(4\alpha - \gamma)/3}{\alpha} = -703 \text{ MeV}. \quad (75)$$

We note that not all of four masses in a given baryon flavor, except N and Λ , are well-established as can be seen from Table III. The trace $\text{tr}(\hat{M}_\Lambda)$ with the experimental values

$$(1116 + 1600 - 1674 - 1800) \text{ MeV} = -758 \text{ MeV}. \quad (76)$$

This value is close to the value of -703 MeV in Eq. (75), with $\text{tr}(\hat{M}_N)$ and $\langle M \rangle$ as inputs. The agreement seems reasonably good. Actually the octet Λ mass has ambiguities related to the mixing with the singlet, so the saturation of the equality including only the octet Λ implies the mixing between the singlet and octet is not very large.

On the other hand, the trace of Σ and Ξ masses is not established experimentally. Hence, we need extra discussions about the usage of the trace formula. This is presented in the next section.

D. Numerical results

In this subsection, we numerically fit the model parameters to known mass spectra of light baryons, and also give some predictions.

Using twelve mass values in Table III, we fit the ten Yukawa couplings $g_1^a, g_2^a, g_1^s, g_2^s, g_1^d, g_2^d, h_1, h_2, h_3$, and h_4 by minimizing the following function:

$$f_{\min} = \sum_{i=1}^{12} \left(\frac{m_i^{\text{theory}} - m_i^{\text{input}}}{\delta m_i} \right)^2, \quad (77)$$

where errors δm_i are taken as $\delta m_i = 10 \text{ MeV}$ for the ground-state baryons and $\delta m_i = 100 \text{ MeV}$ for the excited baryons. The difference in δm_i is used since the masses for excited states generally contain more errors.

We select certain sets of parameters which provide reasonably good fit satisfying $f_{\min} < 1$. Since there still remain many sets of parameters, we further restrict parameters by requiring

$$\sum_i |\Delta_{\text{GO},i}| < 100 \text{ MeV}, \quad (78)$$

where

$$\Delta_{\text{GO},i} \equiv \frac{m_i[N] + m_i[\Xi]}{2} - \frac{3m_i[\Lambda] + m_i[\Sigma]}{4}, \quad (79)$$

with i indicating the octet members. We summarize the results of fitting in Fig. 15 by showing masses of baryons together with the $\Delta_{\text{GO},i}$. In this figure, black lines with error bars show the inputs listed in Table III, and blue points show the best fitted values of masses and $\Delta_{\text{GO},i}$.

Figure 15 shows that positive baryons with the ground and first excited states are reproduced well. Meanwhile, in the negative parity channel, the mass hierarchy between Σ and Ξ states look at odd with the counting based on the strange quark mass. Although this hierarchy is not rejected by the experiments, we regard it as a problem from the view of naturalness.²

We study the mixing structure of the ground-state nucleon, $N(939)$. In the present analysis, the mass eigenstate for $N(939)$ is expressed as

$$B_N^{\text{g.s.}} = c_\psi \psi + c_\chi \chi + c_\psi^{\text{mir}} \psi^{\text{mir}} + c_\chi^{\text{mir}} \chi^{\text{mir}}, \quad (80)$$

where $c_\psi, c_\chi, c_\psi^{\text{mir}}$, and c_χ^{mir} show the ratio of each wave function included in the ground-state nucleon with the normalization of $|c_\psi|^2 + |c_\chi|^2 + |c_\psi^{\text{mir}}|^2 + |c_\chi^{\text{mir}}|^2 = 1$. For

²We note that there is a two-fold ambiguity in identifying Ξ baryons with negative parity, which we call $\Xi(-)$ below. For some parameter choice, we found two solutions in which one of $\Xi(-)$ is identified as an octet-member with $N(1535)$ and another with $N(1650)$. In Fig. 15, we show one solution which provides smaller value of $|\Delta_{\text{GO},i}|$.

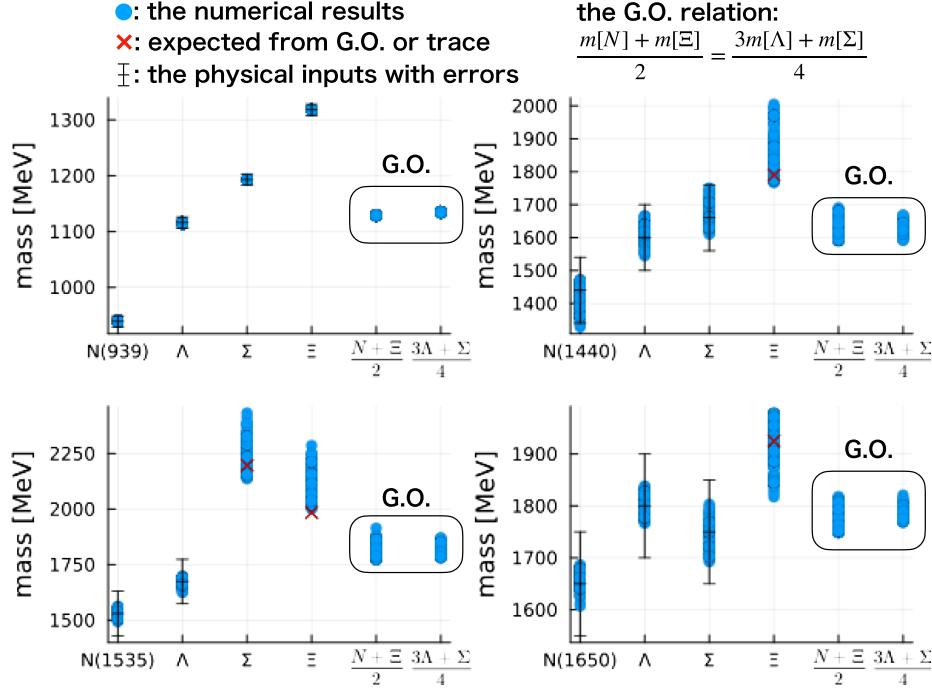


FIG. 15. Numerical results of the four octet masses for the chiral invariant mass $m_0 = 800$ MeV. They almost satisfy the Gell-Mann–Okubo mass relation ($\Delta_{\text{GO}} < 100$ MeV) and well reproduce the physical inputs. For other values of m_0 , there are solutions which satisfy the same conditions. In this model, the Σ baryon in the octet member of $N(1535)$ becomes heavier than the others.

clarifying the mixing structure, we define the “naive-mirror ratio” as

$$|c_\psi|^2 + |c_\chi|^2 (= 1 - |c_\psi^{\text{mir}}|^2 - |c_\chi^{\text{mir}}|^2), \quad (81)$$

and “ $\psi - \chi$ ratio” as

$$|c_\psi|^2 - |c_\chi|^2 (= 1 - |c_\chi^{\text{mir}}|^2 - |c_\psi^{\text{mir}}|^2). \quad (82)$$

The results of mixing structure are summarized in Fig. 16 and the couplings used are summarized in Table. IV. It is remarkable that, in most cases, the “ $\psi - \chi$ ratio” is around 50%, which implies that the ground-state nucleon is provided by the maximally mixed state of $(3_L, \bar{3}_R) + (\bar{3}_L, 3_R)$ and $(1_L, 8_R) + (8_L, 1_R)$ representations. We still note that, for $m_0 = 100$ MeV, there are some solutions for which $(1_L, 8_R) + (8_L, 1_R)$ representation is dominated.

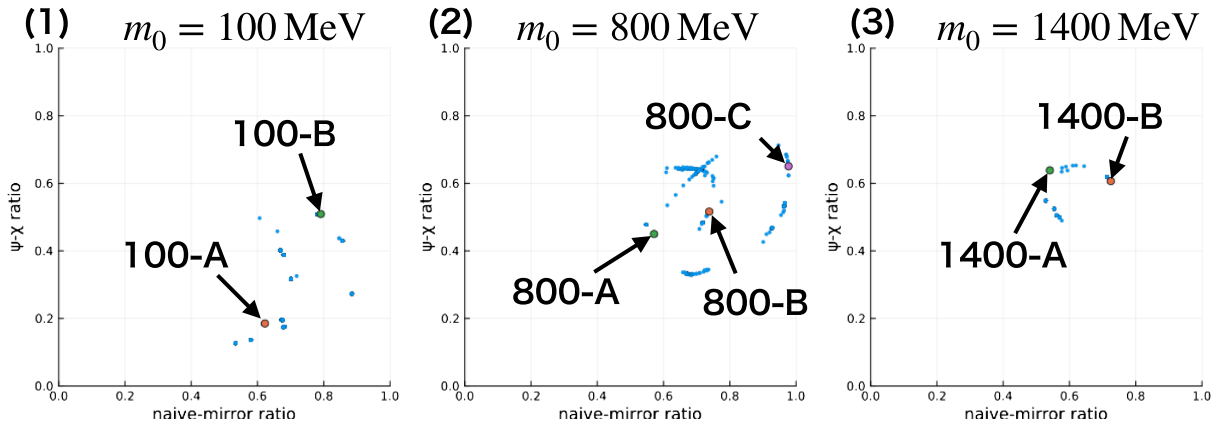


FIG. 16. The some solutions for (1) $m_0 = 100$ MeV, (2) $m_0 = 800$ MeV, and (3) $m_0 = 1400$ MeV. The horizontal axis is naive-mirror ratio of $N(939)$. 0 indicates that all are mirror (ψ^{mir} or χ^{mir}), and 1 indicates that all are naive (ψ or χ). The vertical axis is $\psi - \chi$ ratio of $N(939)$. 0 indicates that all are χ or χ^{mir} , and 1 indicates that all are ψ or ψ^{mir} . There are seven samples: 100-A and 100-B in panel (1), 800-A, 800-B, and 800-C in panel (2), and 1400-A and 1400-B in panel (3), which are shown in Table IV.

TABLE IV. Some sample solutions, which correspond to the indicated points in Fig. 16. $g_{1,2}^s$ or $g_{1,2}^d$ have values of around 5–10, which is not small. This implies the mixing between $\psi^{(\text{mir})}$ and $\chi^{(\text{mir})}$ is not small.

	100-A	100-B	800-A	800-B	800-C	1400-A	1400-B
m_0 [MeV]	100	100	800	800	800	1400	1400
g_1^a (ψ - ψ)	-12.63	-8.77	-3.98	-8.7	3.69	-1.86	-3.59
g_2^a (ψ^{mir} - ψ^{mir})	3.35	-0.04	-5.26	-0.75	-12.46	-7.13	-5.5
g_1^s (ψ - χ)	7.57	9.56	2.12	7.95	7.44	5.79	0.57
g_2^s (ψ^{mir} - χ^{mir})	-10.97	-12.44	3.78	11.19	-8.95	6.88	0.82
g_1^d (ψ - χ^{mir})	-3.83	0.01	7.09	-1.04	-4.78	-6.14	-6.58
g_2^d (χ - ψ^{mir})	-5.1	0.66	-6.17	-1.47	-4.15	6.19	6.89
h_1 (ψ - ψ^{mir})	5.88	-3.38	7.35	1.33	1.17	2.35	4.25
h_2 (ψ - ψ^{mir})	-3.73	5.61	-5.64	-9.51	-4.01	-7.24	-7.39
h_3 (χ - χ^{mir})	-1.6	3.56	-1.65	1.51	0.78	-2.42	-2.36
h_4 (χ - χ^{mir})	-2.85	1.4	0.33	-0.18	-0.53	-3.7	-2.71
Naive ratio of $N(939)$	0.62	0.79	0.57	0.74	0.98	0.72	0.54
ψ ratio of $N(939)$	0.19	0.51	0.45	0.52	0.65	0.61	0.64

VII. SUMMARY AND DISCUSSION

We proposed a systematic way to construct models of baryons based on the chiral $U(3)_L \times U(3)_R$ symmetry. The symmetry constraints are far stronger than in models assuming only the $SU(3)$ flavor symmetry, and the chiral Yukawa interactions appear in very specific ways. We assume that chiral representations $(3_L, 6_R)$ and $(6_L, 3_R)$ with a bad diquark are heavier than $(3_L, \bar{3}_R)$, $(\bar{3}_L, 3_R)$, $(1_L, 8_R)$, and $(8_L, 1_R)$. We showed that the inclusion of the first-order Yukawa interactions for the four representations satisfies the Gell-Mann–Okubo mass relation, but cannot reproduce the mass ordering of octet members of the ground states; the quark graphs convincingly explain why, at the first order, the strange quark mass does not appear to reproduce the correct mass ordering. Then, we expanded our systematic analyses to the second-order Yukawa interactions, and showed that the mass ordering problem is cured for the ground state of positive parity baryons. The state is found to be a maximally mixed state of $(3_L, \bar{3}_R) + (\bar{3}_L, 3_R)$ and $(1_L, 8_R) + (8_L, 1_R)$ representations. The results imply that the quark diagrams are very useful to constrain the possible types of Yukawa interactions.

In the present analyses up to the second order, while the mass ordering in the positive parity ground state is reproduced correctly, in the negative parity we found the unnatural mass ordering of the ground state; the mass of Σ including a single strange quark is heavier than Ξ with two strange quarks. Although such ordering is not fully excluded because these two negative parity states have not been confirmed experimentally, we feel unlikely that Σ is heavier than Ξ . After extensive parameter searches, we have reached somewhat unexpected conclusion that the second order Yukawa interactions are not sufficient. This sort of

difficulties has not been manifest within the analyses for two-flavor models. Further studies are mandatory.

This work is partially motivated from the hope to saturate the $U(3)_L \times U(3)_R$ dynamics of baryons within a few chiral representations, as done for mesons (π, σ, ρ, a_1) by Weinberg. We introduced a hierarchy based on good and bad diquarks to pick up chiral representations which are supposed to be important, but our analyses indicate the necessity to include at least the second order of Yukawa interactions; the descriptions based on $U(3)_L \times U(3)_R$ chiral representations are much more involved than those requiring only the $SU(3)$ -flavor symmetry. Clearly our analyses need improvement. One possibility is the $SU(3)$ breaking introduced in the present analysis might be too large. However, changing it is not enough to reproduce the mass hierarchy in the first order as shown in Sec. III. Here, we list several possibilities:

- (i) It is possible that the classification of chiral representations based on good and bad diquarks is not very effective. If this is the case, we need to explicitly include several additional chiral representations, such as $(3_L, 6_R)$ and $(6_L, 3_R)$. The necessity to include baryons with bad diquarks raises questions whether we should manifestly include the decuplet baryons such as Δ and the interactions with the octet baryons. This would greatly increase the number of couplings at the tree level. On the other hand, it is possible that including massive resonances at the leading order reduces the importance of Yukawa interactions at higher orders.
- (ii) Another possibility is that the linear realization, even after superposing many chiral representations, is not sufficient to explain baryons in vacuum. If we are indeed required to include infinite number of the

Nambu-Goldstone (NG) bosons around baryons, the nonlinear realization is a more natural choice for baryons in vacuum, although the description near the chiral restoration should become more complicated.

- (iii) The extreme limit of infinite number of NG bosons around a baryon leads to the description of a baryon in the chiral soliton models. Here a coherent pion cloud represents the baryon charge at the core in the same way as electric fields around an electron allow us to infer the existence of the electric charge. If including many NG bosons is indeed essential, the physical baryons would be hardly saturated by a few chiral representations.

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