

## Quark chromoelectric dipole moment operator on the lattice

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We present a lattice QCD study of the contribution of the isovector quark chromoelectric dipole moment (qcEDM) operator to the nucleon electric dipole moments (nEDM). The calculation was carried out on four  $2 + 1 + 1$  flavor highly improved staggered quark (HISQ) ensembles generated by the MILC Collaboration. Wilson-clover quarks were used to construct correlation functions. This clover-on-HISQ formulation is not fully  $O(a)$  improved, and gives rise to additional systematics over and above those due to removing excited state contributions to getting ground-state matrix elements, and the final chiral and continuum extrapolations to get the physical result. We use the nonsinglet axial Ward identity (AWI) including corrections up to  $O(a)$  to show how to control the power-divergent mixing of the isovector qcEDM operator with the lower-dimensional pseudoscalar operator. The residual corrections are observed to give rise to  $O(25\%)$  violations in relations arising from the AWI. We devise three methods attempting to control the resulting uncertainty in the  $CP$ -violating form factor; each of these, however, can have large  $O(a^2)$  corrections. Preliminary results for the nEDM due to qcEDM are presented choosing the method giving the most uniform behavior.

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### I. INTRODUCTION

The observation of permanent electric dipole moments (EDMs) in nondegenerate systems requires the simultaneous breaking of parity ( $P$ ) and time reversal ( $T$ ), or, equivalently, the combination of charge conjugation and parity ( $CP$ ) [1]. Given the smallness of  $CP$ -violating ( $\mathcal{CP}$ ) contributions induced by quark mixing described by the Cabibbo-Kobayashi-Maskawa (CKM) matrix in the Standard Model (SM) [2],  $CP$  violation (CPV) in nucleon, nuclear, and atomic/molecular [3–9] systems provides very strong constraints on the SM  $\Theta$  term (currently constrained at the level of  $\Theta \sim 10^{-10}$ ) and new sources of CPV arising from physics beyond the Standard Model (BSM) [10,11].

New  $\mathcal{CP}$  interactions are ubiquitous in BSM models and may play a key role in relatively low-scale baryogenesis

mechanisms, such as electroweak baryogenesis (see [12] and references therein). Probing them through hadronic EDMs, however, requires including corrections due to the strong interactions between quark and gluon fields. These are analyzed using low-energy effective operators and require nonperturbative treatment. For hadronic systems such as the neutron, lattice QCD has emerged as the tool of choice to compute the contribution of these  $\mathcal{CP}$  operators to the EDMs. Furthermore, it has been shown quantitatively that improving the precision of these hadronic matrix elements will drastically improve the constraints that EDMs provide on  $\mathcal{CP}$  BSM interactions of the Higgs particle [13–15].

The outline of this paper is as follows. In Sec. IA, we review the need for new sources of  $\mathcal{CP}$ . The parametrization of  $\mathcal{CP}$  operators at the hadronic scale using effective field theory methods is presented in Sec. IB. In this study, we only calculate the EDMs of the neutron (nEDM) and proton (pEDM) induced by the isovector component of the quark chromoelectric dipole moment (qcEDM). The decomposition of the matrix elements of  $\mathcal{CP}$  operators within ground-state nucleons into vector form factors of the nucleons, and the phase conventions are given in Sec. II. In Sec. III, we describe the method for calculating these form factors using clover fermions on background highly improved staggered quark (HISQ) lattice ensembles provided by the MILC Collaboration [16,17]. The use of the axial Ward identity (AWI) to control the power law

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divergence due to mixing with the lower-dimension pseudoscalar operator is described in Sec. IV, and some preliminary numerical results are presented in Sec. V. In Sec. VI, we discuss the multiplicative renormalization of the qcEDM operator and the connection to the modified minimal subtraction ( $\overline{\text{MS}}$ ) scheme. Our conclusions are given in Sec. VII. Four appendixes present technical details: Appendix A discusses the nonsinglet AWI, Appendix B provides a complete list of dimension-five  $\mathcal{CP}$  operators, Appendix C details the nonperturbative procedure for the extraction of the coefficient,  $K_{X1}$ , needed to control the power-divergent mixing of the qcEDM and pseudoscalar operators, and Appendix D gives the chiral perturbation theory determination of the chiral phase  $\alpha_N$  for the nucleon.

### A. Baryogenesis and the need for new sources of CPV

The observed Universe has  $6.1_{-0.2}^{+0.3} \times 10^{-10}$  baryons for every black body photon [18], whereas in a baryon symmetric universe, we expect no more than about  $10^{-20}$  baryons and antibaryons for every photon [19]. It is difficult to include such a large excess of baryons as an initial condition in an inflationary cosmological scenario [20]. The way out of the impasse lies in generating the baryon excess (baryogenesis) dynamically during the evolution of the Universe.

In the early history of the Universe, if the matter-antimatter asymmetry was generated postinflation and reheating, then one has to satisfy Sakharov's three necessary conditions [21]: the process has to violate baryon number, evolution has to occur out of equilibrium, and  $CP$  (or, equivalently, time reversal invariance if  $CPT$  remains unbroken) has to be violated.

To probe sources of CPV, a very promising approach is to search for static EDMs of elementary particles, atoms, and nondegenerate states of molecules, all of which are necessarily proportional to their spin. Since under time reversal, the direction of spin reverses, but the EDM does not, a nonzero measurement would imply  $T$ , or equivalently  $CP$ , violation. Of the elementary particles, atoms and nuclei that are being investigated, nEDM and pEDM are the cleanest to analyze using lattice QCD.

CPV exists in the electroweak sector of the SM of particle interactions due to a phase in the CKM quark mixing matrix [2], and possibly by a similar phase in the leptonic sector [22,23], given that the neutrinos have mass and mix. The contribution of the  $\mathcal{CP}$  phase in the CKM quark mixing matrix [2] to nEDM is  $O(10^{-32})$  e-cm [24], much smaller than the current experimental bound  $d_n < 1.8 \times 10^{-26}$  e-cm (90% C.L.) [3]. This CPV is too small to explain baryogenesis [25–30]. Similarly, CPV due to a possible topological term [31] is unlikely to lead to appreciable baryon asymmetry [32]. For baryogenesis, BSM CPV would, therefore, need to have played a major

role. Most extensions of the SM have new sources of CPV. Each of these contributes to the nEDM, and for some models it can be as large as  $10^{-26}$  e-cm. Planned experiments aim to reach a sensitivity of  $d_n \sim 3 \times 10^{-28}$  e-cm [11].

In order to connect the reduction in the upper bounds or actual values from EDM searches to new sources of CPV and models of baryogenesis, robust calculations of the hadronic EDMs induced by low-energy effective quark and gluon operators are needed. Lattice QCD offers the most promising method with control over all uncertainties to provide the matrix elements of novel  $\mathcal{CP}$  operators between nucleon states that are needed to connect the experimental bound (or value!) of the EDMs to the  $\mathcal{CP}$  couplings in a given BSM theory. Here, we present the calculation of the isovector part of the qcEDM operator.

### B. CPV at low energy up to dimension five

At the hadronic scale ( $\lesssim 2$  GeV), the effects of BSM theories that involve heavy degrees of freedom at mass scales greater than the weak scale,  $\Lambda > M_W$ , can be described in terms of effective local operators composed of quarks and gluons. Using effective field theory techniques, one can organize all such  $\mathcal{CP}$  interactions based on symmetry and dimension. In general, operators with higher dimension are suppressed by increasing inverse powers of  $\Lambda$ . The couplings associated with these low-energy operators encode information about the BSM model at the  $\Lambda \sim \text{TeV}$  scale with the renormalization group providing their evolution from  $\Lambda$  to the hadronic scale. The nEDM induced by any  $\mathcal{CP}$  interaction can be obtained from the  $\mathcal{CP}$  form factor  $F_3$  of the electromagnetic current,  $J_\mu^{\text{EM}}$ , within the nucleon state, as discussed below.

At dimension five and lower, only three  $\mathcal{CP}$  local operators arise:

$$\begin{aligned} \mathcal{L}_{\text{QCD}} \longrightarrow \mathcal{L}_{\text{QCD}}^{\mathcal{CP}} = & \mathcal{L}_{\text{QCD}} + \frac{i}{32\pi^2} \Theta G_{\mu\nu} \tilde{G}_{\mu\nu} \\ & - \frac{i}{2} \sum_q d_q \bar{q} \sigma^{\mu\nu} \tilde{F}_{\mu\nu} q - \frac{i}{2} \sum_q \tilde{d}_q \bar{q} \sigma^{\mu\nu} \tilde{G}_{\mu\nu} q, \quad (1) \end{aligned}$$

where  $\tilde{F}^{\mu\nu} = \epsilon^{\mu\nu\alpha\beta} F_{\alpha\beta}/2$  is the dual of the electromagnetic field-strength tensor,  $\tilde{G}^{\mu\nu} = \epsilon^{\mu\nu\alpha\beta} G_{\alpha\beta}/2$  is the dual of the QCD field-strength tensor, and  $\sigma_{\mu\nu} = (i/2)[\gamma_\mu, \gamma_\nu]$  [33]. These three  $\mathcal{CP}$  operators are the  $d = 4$   $\Theta$  term and the  $d = 5$  quark EDM (qEDM) and the qcEDM with dimensionful coefficients  $d_q$  and  $\tilde{d}_q$ , respectively. Recent work on the dimension six gluonic operator (the Weinberg operator) [35] can be found in Refs. [36,37], while there has been less work done on the  $\mathcal{CP}$  four-fermion operators [38,39].

To the lowest order, the calculation of the qEDM is special; it reduces to the calculation of the flavor-diagonal tensor charges of the neutron. The methodology for this calculation, including disconnected contributions from up,

down, strange, and charm quark loops, is mature. First lattice results obtained by us are given in Ref. [40] and phenomenological consequences for a particular BSM theory [split supersymmetry] were analyzed in Ref. [41]. These results were updated in Ref. [42], and the status of various lattice calculations are reviewed by the Flavor Lattice Averaging Group in Refs. [43,44].

The calculation of nEDM induced by the  $\Theta$  term requires the matrix elements of the product of the gluonic operator with the  $J_\mu^{\text{EM}}$  within the ground state of the nucleon. While the computation is only slightly more expensive than of the three-point function with just  $J_\mu^{\text{EM}}$ , the calculation is still not under control due to both statistical errors and lack of a clear methodology to fully remove excited state contributions (ESC), especially from the low-lying tower of nucleon-pion states. Recent progress has been reported in Refs. [34,45–48].

Note that because of the anomaly in the AWI, the  $\Theta$  term can be rotated into a pseudoscalar mass term  $im_*(\Theta) \sum_q \bar{q} \gamma_5 q$  under a chiral transformation [49], and conversely, any phase arising in the determinant of the quark mass matrix can be traded for  $\Theta$ . Since the non-anomalous AWI allow us to remove the rest of the phases in the mass matrix, we will, henceforth, treat all quark masses as real and positive. The  $\Theta$  term is part of the SM, but is usually neglected under the assumption that some form of a Peccei-Quinn mechanism that promotes  $\Theta$  to a dynamical field relaxes it to the minimum of its effective action at  $\Theta = 0$  [50] in the absence of other  $\mathcal{CP}$  sources in the action. It is, however, important to note that in the presence of other  $\mathcal{CP}$  operators from BSM, the minimum, called  $\Theta_{\text{induced}}$  [51], of this effective potential is, in general, shifted by the disconnected contributions, i.e., those in which the quark fields in the operator are contracted to form a quark loop. This contribution to the minimum induced by the qEDM and qcEDM operators vanishes for the isovector combination in an isospin-symmetric theory. We, therefore, do not consider this effect here as we present only the connected contributions of the qcEDM operator.

Calculations of even the bare qcEDM operator are the most challenging computationally of the three  $D \leq 5$  operators. Furthermore, to get finite results in the continuum limit, one must resolve its divergent mixing with the  $D = 3$  pseudoscalar operator  $i \sum_q \bar{q} \gamma_5 q$  as discussed in Refs. [37,52,53]. Our analysis starts with the Hermitian flavor diagonal and isovector qcEDM operators defined as

$$\begin{aligned} C^{(q)} &\equiv -\frac{i}{2} \bar{q} \sigma_{\mu\nu} \tilde{G}_{\mu\nu} q = \frac{i}{2} \bar{q} \sigma_{\mu\nu} \gamma_5 G_{\mu\nu} q \\ C^{(a)} &\equiv i \bar{\psi} \sigma_{\mu\nu} \gamma_5 G_{\mu\nu} T^a \psi, \end{aligned} \quad (2)$$

where  $q$  denotes the quark field of a given flavor while  $\psi$  denotes the  $SU(N_f)$  multiplet in the fundamental

representation and  $T^a$  represents the generic Hermitian  $SU(N_f)$  generator, normalized as  $\text{Tr}[(T^a)^2] = \frac{1}{2}$ .

To the lowest order in  $\tilde{d}_q$ , the nEDM induced by the qcEDM operator requires calculating

$$\begin{aligned} \langle n | J_\mu^{\text{EM}} | n \rangle_{\mathcal{CP}}^{\text{qcEDM}} &= \langle n | J_\mu^{\text{EM}} \int d^4x \left( -\frac{i}{2} \right) \\ &\times \sum_{q \in u,d,s} \tilde{d}_q \bar{q} \sigma_{\alpha\beta} q \tilde{G}^{\alpha\beta} | n \rangle, \end{aligned} \quad (3)$$

where effects of the heavier quarks are ignored. This is a four-point function—the volume integral of the qcEDM operator correlated with the electromagnetic current inserted on each time slice between the nucleon source and sink. This can be calculated in two ways using lattice QCD: directly as a four-point function (Fig. 1) [54] or using the Schwinger source method discussed in [55,56]. Here, we continue to develop the latter.

In the Schwinger source method, the qcEDM operator, a bilinear in the quark fields, is added as a source term to the QCD action. Correlation functions with its insertion can then be calculated by taking derivatives with respect to its coupling  $\tilde{d}_q$ . We divide this calculation into two steps: first, regular,  $P$ , and modified,  $P_e$ , propagators are calculated by inverting the Dirac operator without and with the qcEDM term. Second, these two propagators are used to construct the three-point function with the insertion of the vector current between nucleon states as shown by the quark-line diagrams in Fig. 2.

Since the modified propagator inserts arbitrary powers of the qcEDM operator, one gets uncontrolled divergences if the continuum limit is taken holding  $\tilde{d}_q$  fixed. We, therefore, scale  $\tilde{d}_q$  appropriately to keep the contribution in the linear regime as we take the continuum limit. For convenience, we will express most quantities in terms of the dimensionless ratios

$$\epsilon_q \equiv -\frac{2\tilde{d}_q}{ar} \quad q = u, d, s, \quad (4)$$

where  $a$  is the lattice spacing and  $r$  is a dimensionless parameter in the Wilson discretization of the fermion action, as described in Eq. (16).

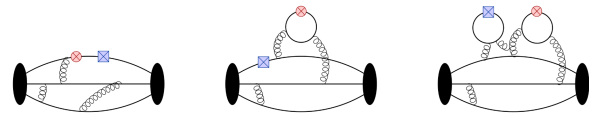


FIG. 1. Illustration of one of the connected quark-line diagrams contributing to the qcEDM (left), the one-loop disconnected diagram (middle), and the two loop disconnected diagram (right). The circle indicates the insertion of the electromagnetic current, and the square the qcEDM operator.

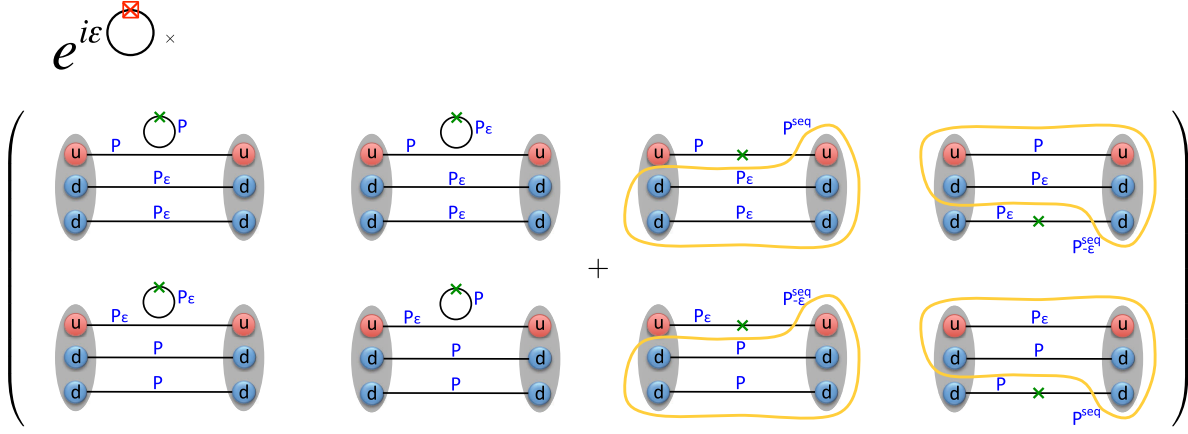


FIG. 2. The full calculation requires the reweighting factor times the sum of the connected and disconnected diagrams.

## II. FORM FACTORS DECOMPOSITION OF THE ELECTROMAGNETIC CURRENT MATRIX ELEMENT IN THE PRESENCE OF CPV

The nucleon matrix element of the electromagnetic current  $J_\mu^{\text{EM}} = \sum_q e_q \bar{q} \gamma_\mu q$ , where  $e_q$  is the charge of the quark, in the presence of parity violating interactions can be parametrized in terms of the most general set of form factors consistent with the symmetries of the theory. Working in the Euclidean space [57] we have

$$\langle N(p') | J_\mu^{\text{EM}} | N(p) \rangle = \bar{u}_N(p') \left[ \gamma_\mu F_1 + \frac{1}{2M_N} \sigma_{\mu\nu} q_\nu (F_2 - iF_3 \gamma_5) + \frac{F_A}{M_N^2} (\not{q} q_\mu - q^2 \gamma_\mu) \gamma_5 \right] u_N(p), \quad (5)$$

where  $M_N$  is the neutron mass,  $q = p' - p$  is the momentum carried by the electromagnetic current,  $\sigma_{\mu\nu} = (i/2)[\gamma_\mu, \gamma_\nu]$ , and  $u_N(p)$  represents the free neutron spinor of momentum  $p$  obeying  $(i\not{p} + M_N)u_N(p) = 0$ .  $F_1$  and  $F_2$  are the Dirac and Pauli form factors, in terms of which the Sachs electric and magnetic form factors are  $G_E = F_1 - (q^2/4M_N^2)F_2$  and  $G_M = F_1 + F_2$ , respectively. The anapole form factor  $F_A$  and the electric dipole form factor  $F_3$  violate parity  $P$ ; and  $F_3$  violates  $CP$  as well. The zero  $q^2$  limit of these form factors gives the charges and dipole moments: the electric charge is  $G_E(0) = F_1(0)$ , and the magnetic dipole moment is  $F_2(0)/2M_N$ . The nEDM is obtained from  $F_3(q^2)$  as follows:

$$d_n = \lim_{q^2 \rightarrow 0} \frac{F_3(q^2)}{2M_N}. \quad (6)$$

In what follows we will specialize to the isovector qcEDM  $\tilde{d} \equiv \tilde{d}_u = -\tilde{d}_d$  (implying  $\epsilon \equiv \epsilon_u = -\epsilon_d$ ), which corresponds to

$$\mathcal{L}^{\text{CP}} = \tilde{d}(C^{(u)} - C^{(d)}) = \tilde{d}C^{(3)} = -\frac{ar\epsilon}{2}C^{(3)}. \quad (7)$$

All lattice results will be presented in terms of the dimensionless coefficient  $X_c$  relating the nEDM to  $\tilde{d} \equiv \tilde{d}_u$ ,

$$d_n = X_c \tilde{d} = -X_c \frac{ar}{2} \epsilon, \quad (8)$$

where

$$X_c = \frac{-F_3(q^2 = 0)}{ar\epsilon M_N}, \quad (9)$$

with  $r = 1$  in the Wilson-clover action we use.

Subtleties related to the phase convention for the neutron interpolating field in the presence of CPV have been discussed and clarified in Refs. [34,54,59]. For completeness, we discuss the relevant issues here from a slightly different perspective.

The usual representation of the free Dirac equation  $(i\not{p} + m)u^s(p) = 0$  is invariant under the Lorentz transformation and the discrete symmetries  $C$ ,  $P$ , and  $T$  with the familiar expressions for their generators [60]. The asymptotic in and out states of an interacting field theory are free states, and hence obey the symmetries of the free theory even when the underlying theory does not preserve these. There is, however, an important distinction between the cases when the full theory preserves the symmetry and when the symmetries only appear asymptotically.

There is a freedom of representation in the free Dirac equation: an arbitrary transformation  $u \rightarrow Xu$ ,  $\Gamma \rightarrow \Gamma_X \equiv X\Gamma X^{-1}$ , with  $X$  a fixed arbitrary matrix and  $\Gamma$  an element of the Clifford algebra of the  $\gamma$  matrices, preserves the form of the free Dirac equation; but all the symmetry generators need to be written using  $\Gamma_X$  instead of  $\Gamma$ . In a theory where the symmetries are preserved, the same generators can be chosen to implement the symmetries on all states, and, hence, interpolating operators can be

chosen so that  $X = 1$  for all asymptotic fermionic states without solving for the dynamics. In a general theory, however, the symmetry operations on each asymptotic state that an interpolating operator couples to will have a different  $X$ , and the interpolating operators cannot be chosen to make all of them unity.

In particular, consider an interpolating field that produces asymptotic states described by the conventional  $u^s$  spinors when parity is conserved. When parity is broken, the asymptotic states that this operator couples to will instead be solutions of the rotated equation  $(i\not{p} + m) \exp(i\alpha\gamma_5)u^s = 0$ , for some *a priori* unknown  $\alpha$ . To determine the value of this constant, one can study the propagator, i.e., two-point function of the interpolating field  $N$ . Because of Lorentz symmetry, a spin- $\frac{1}{2}$  field  $N$  will have a propagator given by [61]

$$\langle TN\bar{N} \rangle = e^{i\alpha(p^2)\gamma_5} [iA(p^2)\not{p} + \Sigma(p^2)]^{-1} e^{i\alpha^*(p^2)\gamma_5}. \quad (10)$$

The asymptotic large-time behavior of this propagator is given by the residues of its poles. The residue of the pole at  $p^2 = -M_N^2$  (i.e.,  $p_{\text{Minkowski}}^2 = M_N^2$ ) is given by

$$Z e^{i\alpha(-m_N^2)\gamma_5} (-i\not{p} + m) e^{i\alpha^*(-m_N^2)\gamma_5} = Z \sum_s \tilde{u}^s(p) \bar{\tilde{u}}^s(p), \quad (11)$$

where

$$\tilde{u}^s(p) \equiv e^{i\alpha(-m_N^2)\gamma_5} u^s(p) \quad (12)$$

is the spinor in the rotated representation.

After obtaining  $\alpha_N \equiv \alpha(-M_N^2)$  in this way, one has two options: First, continue to use this representation cognizant of the fact that this leads to a “rotated” Dirac equation, and hence all the symmetry operators and projectors need to be written using the rotated  $\gamma$  matrices. In particular, in the coefficients of the  $F_2$  and  $F_3$  form factors in Eq. (5) we need to replace  $[\gamma_\mu, \gamma_\nu]$  by  $e^{i\alpha_N\gamma_5} [\gamma_\mu, \gamma_\nu] e^{i\alpha_N\gamma_5}$ . We follow this strategy since we do not calculate the full  $4 \times 4$  Dirac structure of the three-point function needed for the second option.

Conceptually, the much simpler alternative is to rotate the interpolating field  $N$  to  $N_{\alpha_N} \equiv e^{-i\alpha_N\gamma_5} N$ , or equivalently, rotate all the correlation functions constructed from  $N$  as

$$\begin{aligned} \langle N\mathcal{O}\bar{N} \rangle &\rightarrow \langle N_{\alpha_N} \mathcal{O} \bar{N}_{\alpha_N} \rangle \\ &= e^{-i\alpha_N\gamma_5} \langle N\mathcal{O}\bar{N} \rangle e^{i\alpha_N\gamma_5}. \end{aligned} \quad (13)$$

The residue of the two-point function of the rotated field  $N_{\alpha_N}$  at the pole  $p^2 = -M_N^2$  can be written in terms of the standard spinors  $u^s(p)$  on which the discrete symmetries  $P$ ,  $T$ , and  $C$  are realized in the usual form. Then the analysis of the three-point function  $\langle N_{\alpha_N} \mathcal{O} \bar{N}_{\alpha_N} \rangle$  proceeds in the

standard ways, and, in particular, the coefficient  $F_3$  of  $\sigma_{\mu\nu} q_\nu$  is the  $CP$ -odd form factor.

It is important to note that, in general, the ground state and each excited state will have a different value of  $\alpha$ , i.e., the rotation depends on the state we choose to study [62]. Here, we will need  $\alpha_N$  and  $\alpha_N^5$  corresponding to the nucleon ground state with the insertion of qcEDM and pseudoscalar operators, respectively.

In summary, as explained in Ref. [34], the rotation phase  $\alpha_N$  can be determined from the long-distance behavior of the neutron propagator:

$$\begin{aligned} \langle \Omega | N(\vec{p}, t) \bar{N}(\vec{p}, 0) | \Omega \rangle &= \sum_N |A_N|^2 e^{-E_N t} \tilde{u}_N \bar{\tilde{u}}_N \\ &= \sum_N |A_N|^2 e^{-E_N t} e^{i\alpha_N \gamma_5} \\ &\quad \times (-i\not{p} + m_N) e^{i\alpha_N \gamma_5}, \end{aligned} \quad (14)$$

where the vacuum-to- $N$  transition matrix element of the interpolating operator  $N$ ,  $A_N \equiv \langle \Omega | N | \Omega \rangle$ , and the phase angles  $\alpha_N$  depend on the state, the interpolating operator, and  $CP$  violating couplings. Here, and henceforth, we have also assumed  $PT$  symmetry, so that  $\alpha_N$  is real. These phases can then be used to rotate the three-point functions as specified in Eq. (13), to the standard basis in which the form factors are given by Eq. (5). As explained above, we use the first method instead in which the coefficient of  $F_2$  and  $F_3$  in Eq. (5) are rotated. For further details, we refer the reader to Ref. [34].

### III. METHOD FOR CALCULATING THE MATRIX ELEMENTS OF THE QCEDM OPERATOR

The calculations presented here use the nonunitary clover-on-HISQ formulation, in which correlation functions are calculated using Wilson-clover fermions on four ensembles of background gauge configurations generated with  $2 + 1 + 1$  flavors HISQ by the MILC Collaboration [16,17]. The lattice parameters are specified in Table I, and the gauge fields are smoothed using hypercubic-smearing [63] before calculating the correlation functions. Three of these ensembles,  $a12m310$ ,  $a09m310$ , and  $a06m310$ , have

TABLE I. The parameters of the four HISQ ensembles generated by the MILC Collaboration [16,17] used in the analysis, including the valence pion mass on them. For each lattice configuration, the observables are measured using 128 randomly chosen source positions.

ID	$a$ (fm)	$M_\pi$ (MeV)	$L^3 \times T$	$N_{\text{conf}}$
$a12m310$	0.1207(11)	310.2(2.8)	$24^3 \times 64$	1013
$a12m220L$	0.1189(09)	227.6(1.7)	$40^3 \times 64$	475
$a09m310$	0.0888(08)	313.0(2.8)	$32^3 \times 96$	447
$a06m310$	0.0582(04)	319.3(0.5)	$48^3 \times 144$	72

a pion mass of  $M_\pi \approx 315$  MeV and three different lattice spacings,  $a \approx 0.12, 0.09,$  and  $0.06$  fm, respectively, to study the continuum limit. The fourth ensemble,  $a12m220L$ , at  $a \approx 0.12$  fm,  $M_\pi \approx 225$  MeV provides a first check on the dependence on  $M_\pi^2$ . For the valence quarks, the clover coefficient was fixed at its tadpole-improved value  $c_{sw} = 1/u_0^3$ , where  $u_0$  is the fourth root of the plaquette on the smoothed lattices. Further details of the ensembles, the quark mass parameters, methodology, statistics, and the interpolating operator used in the construction of two- and three-point correlators are given in our previous publications [34,64–66].

Working within the framework of the Schwinger source method to calculate the contribution of qcEDM to the nEDM [55] allows us to recast the challenging calculation of the four-point function given in Eq. (3) and depicted in Fig. 1 to a still difficult but well-exercised calculation of three-point functions [55]. The quark-level diagrams needed to calculate  $\langle N | J_\mu^{\text{EM}}(q) | N \rangle$  in the presence of qcEDM interactions are illustrated in Fig. 2. The steps in the calculation are as follows [67]:

- (1) Calculate propagators, labeled  $P$  in Fig. 2, using the standard Wilson-clover Dirac operator, which in the continuum effective field theory (EFT) notation reads as

$$\begin{aligned} O_D &= D_L + m_W \\ D_L &= \not{D} - a \left( \frac{r}{2} D^2 + \kappa_{\text{SW}} \sigma \cdot G \right) \\ \kappa_{\text{SW}} &= \frac{r}{4} c_{\text{SW}}, \end{aligned} \quad (15)$$

with  $m_W \equiv \frac{1}{2\kappa} - 4$  the Wilson mass,  $r$  the Wilson parameter which we set to unity, and  $c_{\text{SW}} = 1 + O(g^2)$  the Sheikholeslami-Wohlert coefficient. This calculation of  $P$  uses the same methodology as in our previous publications [65]. We assume isospin symmetry so the propagators for  $u$  and  $d$  quarks are numerically the same.

- (2) Calculate a second set of propagators that include the qcEDM term with coefficient  $\epsilon_q \equiv -(2\tilde{d}_q)/(ar)$ . This is done by modifying the clover term in the Dirac matrix:

$$\frac{rac_{\text{SW}}}{4} \sigma^{\mu\nu} G_{\mu\nu} \rightarrow \frac{ra}{4} \sigma^{\mu\nu} (c_{\text{SW}} + i\epsilon_q \gamma_5) G_{\mu\nu}. \quad (16)$$

Keeping  $\epsilon_q$  flavor dependent shows what would need to be done to study flavor diagonal qcEDM insertions in future work. Choosing  $\epsilon_u = -\epsilon_d = \epsilon$  corresponds to inserting the isovector qcEDM operator  $C^{(3)}$  with coefficient  $\tilde{d} = -(ar\epsilon)/2$ ; see Eq. (7). These propagators, labeled  $P_\epsilon$ , include the full effect of inserting the qcEDM operator at all possible intermediate points. Naively, the cost of this inversion is larger by about 7% with respect to  $P$ ; however, using  $P$  as

the starting guess in the inversion for  $P_\epsilon$  reduces the number of iterations by 20%–40% depending on the quark mass. Overall, the average cost of calculating  $P_\epsilon$  is about 80% of  $P$ .

- (3) As discussed below, in order to remove power divergences from the isovector qcEDM operator, we need to also calculate insertions of the isovector pseudoscalar density operator  $P^{(3)} = i\bar{\psi}\gamma_5 T^3 \psi$ . We implement this with the replacement

$$am_W \rightarrow am_W - 2i\epsilon_5 \gamma_5 T_3 \quad (17)$$

in the up and down quark propagators. This prescription corresponds to inserting the operator  $P^{(3)}$  with the coefficient given by  $(-2\epsilon_5/a)$ .

- (4) Using  $P$  and  $P_\epsilon$ , we construct four kinds of sequential sources, labeled  $P_u^{\text{seq}}$ ,  $P_d^{\text{seq}}$ ,  $P_{-\epsilon,u}^{\text{seq}}$ , and  $P_{-\epsilon,d}^{\text{seq}}$ . These sources are at the sink time slice and include the insertion of a neutron at zero momentum and the spin projection operator  $(1 + \gamma_4)(1 + i\gamma_5 \gamma_3)/2$ . The subscripts  $u$  or  $d$  in  $P_u^{\text{seq}}$  and  $P_d^{\text{seq}}$ , and similarly in  $P_{-\epsilon,u}^{\text{seq}}$  and  $P_{-\epsilon,d}^{\text{seq}}$ , denote the flavor of the free spinor in this neutron source. For the backward moving sequential propagators with qcEDM insertion, the coupling gets a minus sign, i.e.,  $-\epsilon$ .
- (5) In our implementation, a number of calculations are done in the same computer job by placing independent sources with maximal separation in time. The corresponding sequential sources are added together to obtain the coherent sequential source [64,68], which is then used in the construction of the four types of sequential propagators listed above and illustrated in the four correlation functions shown in the right half of Fig. 2.
- (6) The connected three-point function is then calculated using the two original and the four sequential propagators, and with the insertion of  $J_\mu^{\text{EM}}$  separately on the  $u$  and  $d$  quark lines. This construction is similar to those used in our study of the  $CP$ -conserving form factors [64–66]. The difference here is the combinations involve propagators with and without the insertion of the  $\mathcal{CP}$  term as shown in the four three-point functions in the right half of Fig. 2.
- (7) Looking to the future, to construct the disconnected quark loop contribution, the electromagnetic current would be inserted in the quark loop, with and without the qcEDM term, and correlated with the appropriate nucleon two-point correlation functions as illustrated in the left half of Fig. 2. The loop term is integrated over the time slice  $t$  and should be calculated for each of the quark flavors,  $u, d, s, c,$  and  $b$ . In this first study, we neglect these disconnected diagrams since they are expensive to simulate and their effect is expected to be small.

- (8) For the full calculation, to include the neglected contribution of the qcEDM operator in the action during the generation of the gauge configurations, we need to reweight the configurations by the ratio of the determinants of the Dirac operators for the two theories:

$$\begin{aligned}
& \frac{\det\left(\not{D} + m_W - \frac{ra}{2}D^2 - \frac{ra}{4}\sigma^{\mu\nu}(c_{SW} + i\epsilon_q\gamma_5)G_{\mu\nu}\right)}{\det\left(\not{D} + m_W - \frac{r}{2}aD^2 - \frac{rc_{SW}}{4}\sigma^{\mu\nu}aG_{\mu\nu}\right)} \\
&= \exp \operatorname{Tr} \ln \left[ 1 - i\epsilon_q \frac{ra}{4}\sigma^{\mu\nu}\gamma_5 G_{\mu\nu} \left( \not{D} + m_W - \frac{r}{2}aD^2 - \frac{rc_{SW}}{4}\sigma^{\mu\nu}aG_{\mu\nu} \right)^{-1} \right] \\
&= \exp \left[ -i\epsilon_q \frac{ra}{4} \operatorname{Tr} \sigma^{\mu\nu}\gamma_5 G_{\mu\nu} \left( \not{D} + m_W - \frac{r}{2}aD^2 - \frac{rc_{SW}}{4}\sigma^{\mu\nu}aG_{\mu\nu} \right)^{-1} \right] + O(\epsilon_q^2). \tag{18}
\end{aligned}$$

This reweighting factor—exponential of the sum over flavors of  $i\epsilon_q$  times the volume integral of the quark loop with the corresponding qcEDM insertion—then multiplies the sum of the connected and disconnected contributions as illustrated by the overall factor in Fig. 2. For the isovector qcEDM operator inserted in this study,  $\epsilon_u = -\epsilon_d = \epsilon$ , the trace in the exponential cancels between the up and down quark contributions. In short, for the isovector qcEDM operator, all disconnected contributions are either neglected or cancel, so no reweighting is done.

- (9) The above calculation is repeated for different values of  $\epsilon$  to extract  $F_3(0)$  as a function of  $\epsilon$ . The contribution to the nEDM of the qcEDM operator is then given by the slope versus  $\epsilon$  for  $\epsilon$  in the linear regime.

#### A. The extraction of the $\mathcal{CP}$ phase $\alpha_N$

The first step in the calculation is to extract the  $\mathcal{CP}$  phase  $\alpha_N$ , defined through Eq. (10), from the nucleon two-point functions. Since this phase is state dependent, its value for the ground-state nucleon has to be extracted at large source-sink separations where ESC have died out as described in our previous work [34]. The data and the fits for the four ensembles and with the insertion of  $\epsilon$  and  $\epsilon_5$  are shown in Fig. 3, and the results are summarized in Table II. The behavior of  $\alpha_N$  versus  $\epsilon$  is shown in Fig. 4, which we use to select the value of  $\epsilon$  that is small enough to lie in the linear regime and yet large enough to give a good statistical signal. This value is highlighted in Fig. 4 and given in Table II.

To extract the phase, we calculate the ratio [34]

$$\begin{aligned}
r_\alpha(\tau) &\equiv \frac{\Im \operatorname{Tr} \gamma_5 \frac{1+\gamma_4}{2} \langle N(0) \bar{N}(\tau) \rangle}{\Re \operatorname{Tr} \frac{1+\gamma_4}{2} \langle N(0) \bar{N}(\tau) \rangle} \\
&\approx \tan \alpha_0 \times \frac{1 + \frac{\sin(2\alpha_1)}{\sin(2\alpha_0)} |\tilde{\mathcal{A}}_1|^2 e^{-(M_1-M_0)\tau}}{1 + \frac{\cos^2(\alpha_1)}{\cos^2(\alpha_0)} |\tilde{\mathcal{A}}_1|^2 e^{-(M_1-M_0)\tau}}, \tag{19}
\end{aligned}$$

which approaches  $\alpha_N \equiv \alpha_0$  at large  $\tau$  and allows us to extract  $\alpha_N$  from a fit [34].

## IV. PSEUDOSCALAR DENSITY VERSUS QCEDM INSERTIONS

In this section we discuss the connection between insertions of the isovector qcEDM operator and the isovector pseudoscalar density at *finite* lattice spacing  $a$ . The discussion is based on the framework of a continuum EFT for the lattice action and the AWIs, following Refs. [69,70]. We first discuss the nonsinglet AWI and the relation between qcEDM and pseudoscalar density that follows from it. We then present the lattice analysis to determine the relevant nonperturbative coefficients arising in the mixing.

### A. Nonsinglet AWI and implications

We will denote by  $O_n^{(d)}$ ,  $\tilde{O}_n^{(d)}$ ,  $O_n^{(d),\text{ren}}$  the set of bare, subtracted, and renormalized operators of dimension  $d$ , respectively. Subtracted operators, i.e. operators free of power divergences, are defined as

$$\tilde{O}_{n'}^{(d)} = O_{n'}^{(d)} - \sum_{d' < d, k} \frac{\beta_{n'k}^{(d)}}{a^{d-d'}} \tilde{O}_k^{(d')}, \tag{20}$$

with the sum over  $k$  running over all operators of dimension  $d'$ . The finite (renormalized) operators are given by

$$O_n^{(d),\text{ren}} = Z_{nn'} \tilde{O}_{n'}^{(d)}. \tag{21}$$

The presence of  $\tilde{O}_k^{(d')}$  and not  $O_k^{(d')}$  in Eq. (20) is needed to avoid ambiguities in the definition of coefficients  $\beta_{n'k}^{(d)}$  of lower-dimensional operators.

As derived in Appendix A, under the axial transformation on the quark fields collectively defined by  $\psi^T = (u, d, s, c)$ ,

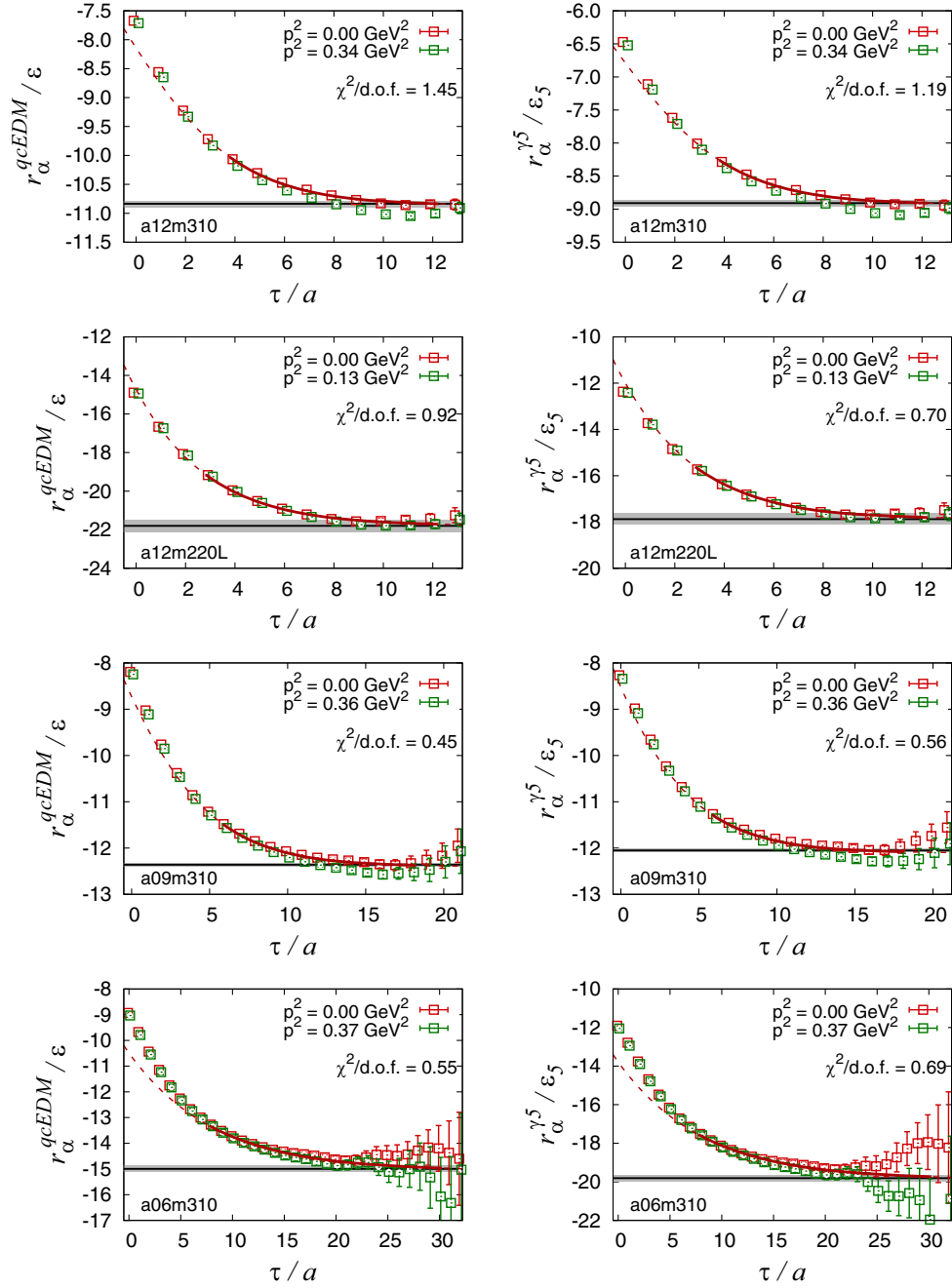


FIG. 3. A two-state fit to the ratio  $r_\alpha$  defined in Eq. (19) used to extract the isovector  $\mathcal{CP}$  phase  $\alpha$  for the insertion of the qcEDM (left) and pseudoscalar (right) operators. The phase is independent of the momentum of the state, and the results are summarized in Table II.

$$\begin{aligned}\psi(x) &\rightarrow (1 + i\xi^{(a)}(x)T^a\gamma_5)\psi(x) \\ \bar{\psi}(x) &\rightarrow \bar{\psi}(x)(1 + i\xi^{(a)}(x)T^a\gamma_5),\end{aligned}\quad (22)$$

where  $\xi^{(a)}(x)$  is the local chiral transformation parameter and  $T^a$  are the generators of flavor  $SU(4)$ , the flavor nonsinglet AWI for the expectation value of  $O$  is given by

$$\begin{aligned}\int d^4x \langle O(x_1, \dots, x_n) [-\bar{\psi}(x) \{m, T^a\} \gamma_5 \psi(x) (1 + O(am)) \\ - aiK_{X1} \tilde{C}^{(a)}] \rangle \\ = - \int d^4x \left\langle \frac{\delta O(x_1, \dots, x_n)}{\delta (i\xi^{(a)}(x))} \right\rangle.\end{aligned}\quad (23)$$

This is correct up to  $O(a^2)$  corrections when applied to the Wilson-clover fermion action that includes  $O(a)$  hard



TABLE II. The couplings  $\epsilon$  and  $\epsilon_5$  used in the simulations with the qcEDM and pseudoscalar operators, and the corresponding neutron phases,  $\alpha$  and  $\alpha_5$ , obtained on each ensemble from fits shown in Fig. 3.

Ensemble	qcEDM		$\gamma_5$	
	$\epsilon$	$\alpha/\epsilon$	$\epsilon_5$	$\alpha_5/4\epsilon_5$
a12m310	0.0080	-10.835(55)	0.0024	-8.908(45)
a12m220L	0.0010	-21.80(31)	0.0003	-17.88(24)
a09m310	0.0080	-12.360(36)	0.0024	-12.052(34)
a06m310	0.0080	-15.00(12)	0.0012	-19.82(16)

breaking of chiral symmetry. Note that  $K_{X1}$  is a non-perturbative constant that characterizes the  $O(a)$  breaking of chiral symmetry, and vanishes if the theory is fully  $O(a)$  improved. The rhs of Eq. (23) does not contribute to on shell matrix elements, and hence to the form factor  $F_3$ . In this case, insertions of the isovector pseudoscalar density are proportional to insertions of the subtracted isovector qcEDM operator. In the next subsection, we show how an analysis of the unintegrated version of this equation given in Eq. (A8) allows us to determine the needed nonperturbative factor  $K_{X1}$  relating the two operators.

## B. Determining the nonperturbative parameters

We now specialize to the isovector case, corresponding to the flavor index  $a = 3$ . We also work in the isospin limit and denote the common light quark masses by  $m_l$ . Taking

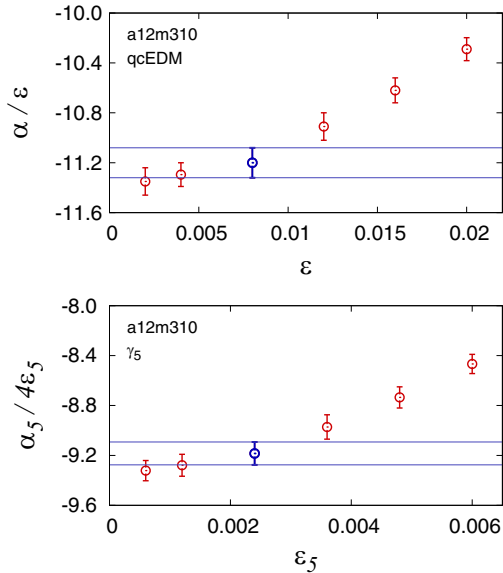


FIG. 4. The value of the phase  $\alpha$  ( $\alpha_5$ ) as a function of the qcEDM (pseudoscalar) coupling  $\epsilon$  ( $\epsilon_5$ ). For small enough  $\epsilon$ , the  $O(\epsilon^2)$  contributions become negligible, and  $\alpha/\epsilon$  should be a constant for different choices of  $\epsilon$ . The blue data points within the linear region show the  $\epsilon$  used in the main simulation. The data shown are obtained using 50 configurations of the a12m310 ensemble.

the pion field to be  $P^{(3)} \equiv i\bar{\psi}\gamma_5 T^3 \psi$ , and specializing to  $O(x_1, \dots, x_n) \rightarrow O(z)$ , Eq. (A8) becomes

$$\begin{aligned} \langle O(z)[Z_A(1 + b_A m_l a)\partial_\mu A_\mu^{(3)}(x) + iaZ_A c_A \partial^2 P^{(3)}(x) \\ + 2m_l i P^{(3)}(x) - aiK_{X1}\tilde{C}^{(3)}(x)] \rangle \\ = -\left\langle \frac{\delta O(z)}{\delta(i\xi^3(z))} \right\rangle, \end{aligned} \quad (24)$$

up to  $O(a^2)$  corrections. In order to determine  $K_{X1}$ , we need to first define  $\tilde{C}^{(3)}$ .

### 1. Defining the subtracted qcEDM operator $\tilde{C}^{(3)}$

As explained in Appendix B, under isospin symmetry and when applied to on shell zero-momentum correlators, Eq. (20), which relates the subtracted qcEDM operator to the bare unsubtracted one used in the lattice calculation, reduces to

$$\tilde{C}^{(3)}(x) = C^{(3)}(x) - \frac{A}{a^2} P^{(3)}(x), \quad (25)$$

where  $A$  is  $O(\alpha_s)$  with  $O(am)$  and convention-dependent  $O(a^2)$  corrections. To determine  $A$ , we can, for example, define  $\tilde{C}^{(3)}$  by demanding  $\langle \Omega | \tilde{C}^{(3)} | \pi(\vec{p} = 0) \rangle = 0$ . This is particularly simple to implement on the lattice—we, in the two-point functions  $C_{\pi P^{(3)}}(t) = \langle TP^{(3)}(t)\pi(0) \rangle$  and  $C_{\pi C^{(3)}}(t) = \langle TC^{(3)}(t)\pi(0) \rangle$ , place the pseudoscalar and qcEDM interpolating operators at the sink and use the same pion source,  $\pi(0)$ . Since the pion ground state dominates these two-point functions at long distances, the coefficient  $A$  is given by the asymptotic behavior of their ratio:

$$A = \lim_{t \rightarrow \infty} \frac{a^2 C_{\pi C^{(3)}}(t)}{C_{\pi P^{(3)}}(t)}. \quad (26)$$

Other choices of correlation functions used to determine  $A$  change only the convention-dependent  $O(a^2)$  contributions. As shown in Table III and Fig. 5, this construction gives a very precise determination of  $A$ . Though formally  $O(\alpha_s)$ , its value is close to unity at values of the lattice spacing where current simulations have been done.

TABLE III. Determination of  $A$  defined in Eq. (25) from an average over the plateau region ( $t$  range) of ratios of two-point functions.

Ensemble	$c_{\text{sw}}$	$a$ (fm)	$t$ range	$A$
a12m310	1.05094	0.1207(11)	6–14	1.21374(62)
a12m220L	1.05091	0.1189(09)	7–14	1.21800(33)
a09m310	1.04243	0.0888(08)	8–22	0.99621(30)
a06m310	1.03493	0.0582(04)	14–30	0.77917(24)

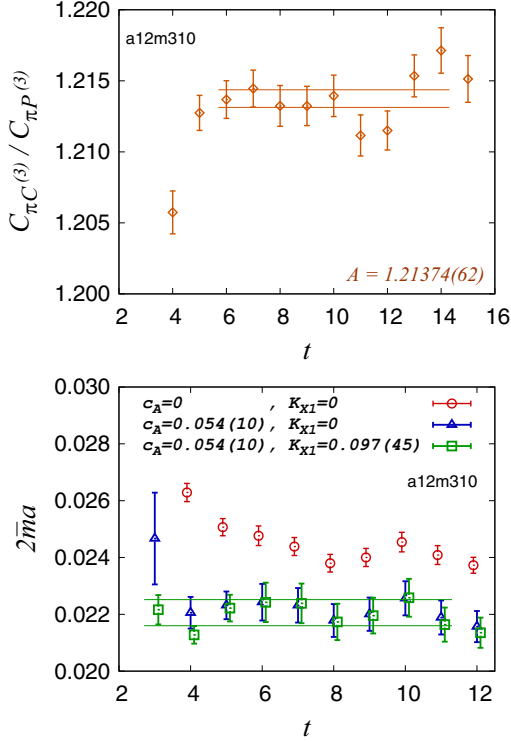


FIG. 5. Data from a12m310 ensemble showing the determination of the subtraction coefficient  $A$  using Eq. (26) (upper panel), and the coefficients  $\bar{c}_A$ ,  $\bar{K}_{X1}$ , and  $2\bar{m}a$  using Eq. (29) (lower panel).

We can use this determination of  $A$  to perform a consistency check on the phases  $\alpha_N^5$  and  $\alpha_N$  induced by the  $\mathcal{CP}$  operators  $P^{(3)}$  and  $C^{(3)}$ , respectively. As described in Appendix D, at leading order in chiral perturbation theory ( $\chi$ PT),  $\tilde{C}^{(3)}$  defined in Eq. (25) gives no contribution to  $\alpha_N$ . Then, from the right-hand side of Eq. (25) we expect the relation [see Eq. (D8)]

$$\frac{1}{A} \frac{\alpha_N}{\alpha_N^5} = 1 + O\left(\frac{m_\pi^2}{\Lambda_\chi^2}\right). \quad (27)$$

Since  $\alpha$  is state dependent, the determination of  $\alpha_N$  ( $\alpha_N^5$ ) are straightforward only when extracted at asymptotically long Euclidean times where ESC are negligible. Since the signal to noise in nucleon correlators degrades exponentially, this asymptotic region cannot be reached with current statistics. Instead, we analyze  $r_\alpha$  defined in Eq. (19) that includes the lowest excited state contributions. Data in Fig. 6 for the four ensembles show that the relation [Eq. (27)] is satisfied to within 10% by the  $\alpha$  we determine.

## 2. Determination of parameter $K_{X1}$

In terms of the unsubtracted  $C^{(3)}$ , the nonsinglet AWI, Eq. (24), can now be cast as follows:

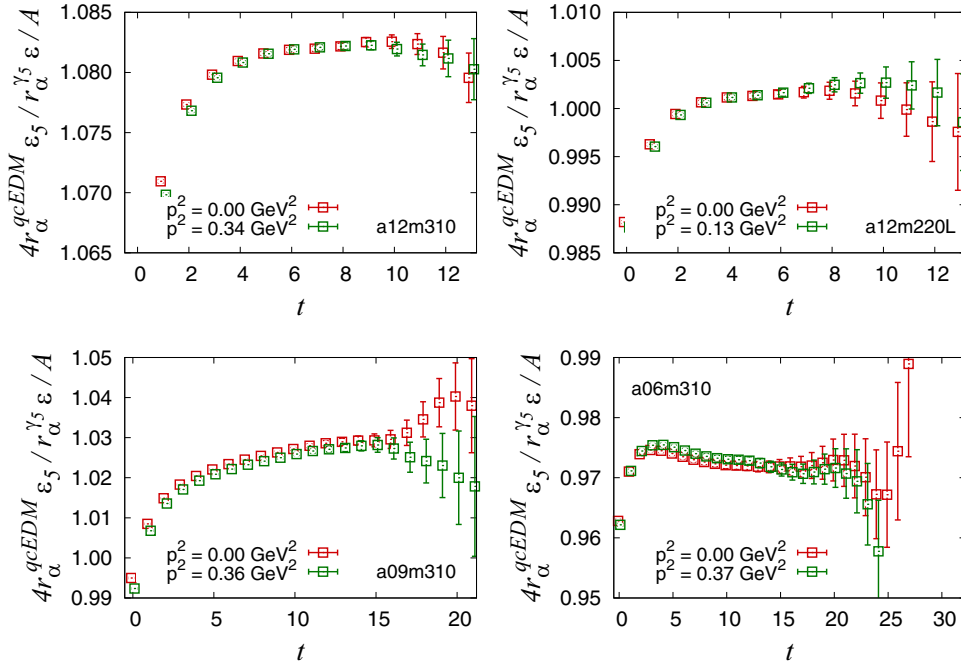


FIG. 6. Test of the relation given in Eq. (27). The ratios  $r_\alpha$ , defined in Eq. (19), give the  $\mathcal{CP}$  phases  $\alpha_N$ . The mixing coefficient  $A$  is defined in Eq. (25).

$$\begin{aligned} & \left\langle O(z) \left[ Z_A(1 + b_A m a) \partial_\mu A_\mu^{(3)}(x) + i a Z_A c_A \partial^2 P^{(3)}(x) \right. \right. \\ & \quad \left. \left. + i 2 m P^{(3)}(x) - i K_{X1} \left( a C^{(3)}(x) - \frac{A}{a} P^{(3)} \right) \right] \right\rangle \\ & = - \left\langle \frac{\delta O(z)}{\delta(i \xi^{(3)}(x))} \right\rangle. \end{aligned} \quad (28)$$

To calculate  $K_{X1}$  and  $m$ , consider the two-point functions  $C_{\pi A_\mu^{(3)}}(t)$ ,  $C_{\pi P^{(3)}}(t)$ , and  $C_{\pi C^{(3)}}(t)$  of  $A_4^{(3)}(t)$ ,  $P^{(3)}(t)$ , and  $aC^{(3)}(t)$  with a common source  $\pi(0)$ . Further, let  $\Delta$  and  $\Delta^2$  define the symmetric lattice first and second derivatives in the time direction: e.g.,  $(\Delta C)(t) \equiv [C(t+1) - C(t-1)]/2$  and  $(\Delta^2 C)(t) \equiv [C(t+1) + C(t-1) - 2C(t)]$ . Then, on shell, i.e., at  $t > 1$ , we have

$$\frac{i a \Delta C_{\pi A_4^{(3)}}(t) - \bar{c}_A a^2 \Delta^2 C_{\pi P^{(3)}}(t) + \bar{K}_{X1} (a^2 C_{\pi C^{(3)}}(t) - A C_{\pi P^{(3)}}(t))}{C_{\pi P^{(3)}}(t)} = 2\bar{m}a + O(a^2 m^2, a^2 \Lambda_{\text{QCD}}^2), \quad (29)$$

where

$$\bar{c}_A = \frac{c_A}{(1 + b_A m a)} \quad (30a)$$

$$\bar{K}_{X1} = \frac{K_{X1}}{Z_A(1 + b_A m a)} \quad (30b)$$

$$\bar{m} = \frac{m}{Z_A(1 + b_A m a)}. \quad (30c)$$

Thus, one can extract the coefficients  $\bar{c}_A$  and  $\bar{K}_{X1}$  by fitting the left-hand side and requiring it to be independent of  $t$  and the pion interpolating field  $\pi$ . Simultaneously, the fit provides  $2\bar{m}a$ , and thus  $K_{X1}/2ma$ , which is required next.

We implemented the identity [Eq. (29)] numerically by choosing  $\pi$  to be the pseudoscalar interpolating operator  $P^{(3)}$  constructed using Wuppertal-smear sources for quark propagators with various radii and at various momentum. As discussed in Appendix C, these three-parameter fits are very unstable with our statistics. We, therefore, proceeded by noting that the factor multiplying  $\bar{K}_{X1}$  vanishes once the contribution of the excited states vanishes. Thus, within our overall bootstrap procedure, we first make a two-parameter fit to the large- $t$  region ignoring  $\bar{K}_{X1}$  to determine  $\bar{m}$  and  $c_A$ . For each of these bootstrap samples, we then determine  $\bar{K}_{X1}$  by extending the region to smaller  $t$  without changing the value of  $c_A$  determined in the large- $t$  fit. This

procedure propagates the 20%–25% uncertainties in the determination of  $c_A$  without destabilizing the fits. The results are given in Table IV.

### C. Implications for the nEDM

The relation in Eq. (23) implies that, up to  $O(a^2)$  effects, insertions of the subtracted isovector qcEDM and the pseudoscalar operators at zero four-momentum transfer and between on shell states are proportional to each other. Furthermore, Eq. (28), for zero-momentum on shell matrix elements, gives  $\langle P^{(3)} \rangle = [K_{X1}/(2ma + AK_{X1})] \langle a^2 C^{(3)} \rangle$ , a relation between unsubtracted operators. Thus, we have the following relations between subtracted and unsubtracted isovector operators up to  $O(a^2)$ :

$$a\tilde{C}^{(3)} = \frac{2am P^{(3)}}{K_{X1} a} \quad (31a)$$

$$a\tilde{C}^{(3)} = \left( \frac{2am}{2am + AK_{X1}} \right) aC^{(3)}. \quad (31b)$$

Note that even though both the quantities  $K_{X1} \sim O(\alpha_s)$  and  $2am$  are small, for values of the lattice spacing  $a$  used in current simulations, their ratio is  $O(1)$ . In the continuum limit,  $P^{(3)}$  can be rotated away, but Eq. (31a) shows that at  $a \sim 0.1$  fm, the effect of the lattice operators  $P^{(3)}/a$  and  $aC^{(3)}$  are comparable.

TABLE IV. The determination of  $\bar{K}_{X1}$  and  $2\bar{m}a$ , and their ratios  $2ma/K_{X1}$  and  $2ma/(2ma + AK_{X1})$  using Eq. (29) and the discussion below it.

Ensemble	Fit-range		$\chi^2/d.o.f.$		$c_A$	$\bar{K}_{X1}$	$2\bar{m}a$	$\frac{2ma}{K_{X1}}$	$\frac{2ma}{2ma + AK_{X1}}$
	$c_A$	$\bar{K}_{X1}$	$c_A$	$\bar{K}_{X1}$					
a12m310	4–11	3–11	0.66	0.88	0.054(10)	0.097(45)	0.02205(46)	0.23(10)	0.158(58)
a12m220L	4–11	3–11	2.08	3.09	0.0342(77)	0.183(35)	0.01152(21)	0.063(12)	0.0491(86)
a09m310	5–15	4–15	0.99	1.09	0.0277(40)	0.047(15)	0.01684(15)	0.35(11)	0.263(61)
a06m310	6–20	5–20	0.29	1.53	0.0093(17)	0.0272(60)	0.010460(37)	0.385(87)	0.331(50)

Furthermore, if  $c_{\text{SW}}$  is nonperturbatively tuned, there are no  $O(a)$  effects and  $K_{X1}$  vanishes,  $P^{(3)}$  gives no contributions, and  $\tilde{C}^{(3)} = C^{(3)}$  to this order. Finally, we remark that, in the chiral-continuum limit  $a \rightarrow 0$ ,  $m \rightarrow 0$ , chiral symmetry is broken only by the qcEDM term; therefore, its entire effect can be rotated away, i.e.,  $\tilde{C}^{(3)}$  gives no contribution to physics in these limits [52]. This limit is, however, subtle. As can be seen from the discussion following Eq. (18) in Ref. [52] when the vacuum chiral condensates are primarily from the mass term rather than the  $\mathcal{CP}$  terms in the action, the mass suppression vanishes, i.e., the contribution is no longer proportional to mass and therefore does not vanish as  $m \rightarrow 0$ . Our subtracted qcEDM operator, as explained in Appendix D, produces no vacuum expectation value, and the chiral properties of the vacuum are given by the mass term and the lattice artifacts of the Wilson-clover action. As a result, we do not expect the nEDM to vanish in the chiral-continuum limit. For this reason, we do not impose this constraint on our final chiral-continuum fit.

## V. EXPLORATORY NUMERICAL CALCULATIONS

We use the method previously described in Ref. [34] for calculating the contribution of  $\mathcal{CP}$  interactions that are based on extracting the form factor  $F_3$ . In this method, it is essential to remove the ESC in going from three-point functions to matrix elements as discussed for the  $\mathcal{CP}$   $\Theta$  term in Ref. [34]. The methods we use are described in [34,71–73].

We also caution the reader that, here on, all the data for the  $\mathcal{CP}$  form factor  $F_3$  will be presented in terms of  $\tilde{F}_3$  defined in [34]. It can be extracted more reliably on the lattice and  $\tilde{F}_3(0) = F_3(0)$  in the limit of interest,  $Q^2 = 0$ .

In Fig. 7, we show examples of fits used to remove ESC in correlation functions with the insertion of  $V_4$  in the presence of the qcEDM and  $\gamma_5$  operators from which  $\tilde{F}_3$  is extracted. In these fits, we consider two possible values for the first excited-state energy as discussed in Ref. [34] for the similar case of the  $\Theta$  term: (i) the “standard” fit with the energy given by the two-point function, and (ii) the “ $N\pi$ ” fit using the noninteracting energy of the  $N\pi$  state. While the results depend sensitively on the excited-state spectrum used in the analysis, the fits have similar  $\chi^2/d.o.f.$ , i.e., the fits do not provide an objective selection criteria. The data in Fig. 8 and the final results in Sec. VI highlight the size of this uncontrolled systematic, which needs to be addressed in future calculations.

We now discuss the calculation of the parameter  $K_{X1}$ , which arises if there is residual  $O(a)$  chiral symmetry breaking, and the extraction of  $\tilde{F}_3$ . The data are from the “standard” method for removing excited state contamination.

From Eqs. (31a) and (31b), we note that the ratio  $\tilde{F}_3^{\gamma_5} / \tilde{F}_3^{\text{qcEDM}} = K_{X1} / (2am + AK_{X1}) + O(a^2)$ . We, however, notice that the  $O(a^2)$  terms are likely to be large; in fact, the  $O(a^2 \Lambda_{\text{QCD}}^2) \sim 0.01\text{--}0.04$  corrections on the right-hand side of Eq. (29) may provide a substantial correction to the leading term,  $O(2am) \sim 0.01$ . We study this by noting that under the assumption that corrections are small, all numbers in a given row of Table V should agree. This is roughly true for the different  $Q^2$ , but the  $O(25\%)$  difference between  $\tilde{F}_3^{\gamma_5} / \tilde{F}_3^{\text{qcEDM}}$  and  $K_{X1} / (2am + AK_{X1})$  is indicative of  $O(a^2)$  effects. A similar effect is anticipated in  $\tilde{F}_3(Q^2)$  calculated using the three subtraction schemes defined in Sec. IV, and shown in Fig. 8. The determinations using Eqs. (31a) and (31b) are close; however, they could both have large  $O(a^2)$  effects coming from the AWI. The

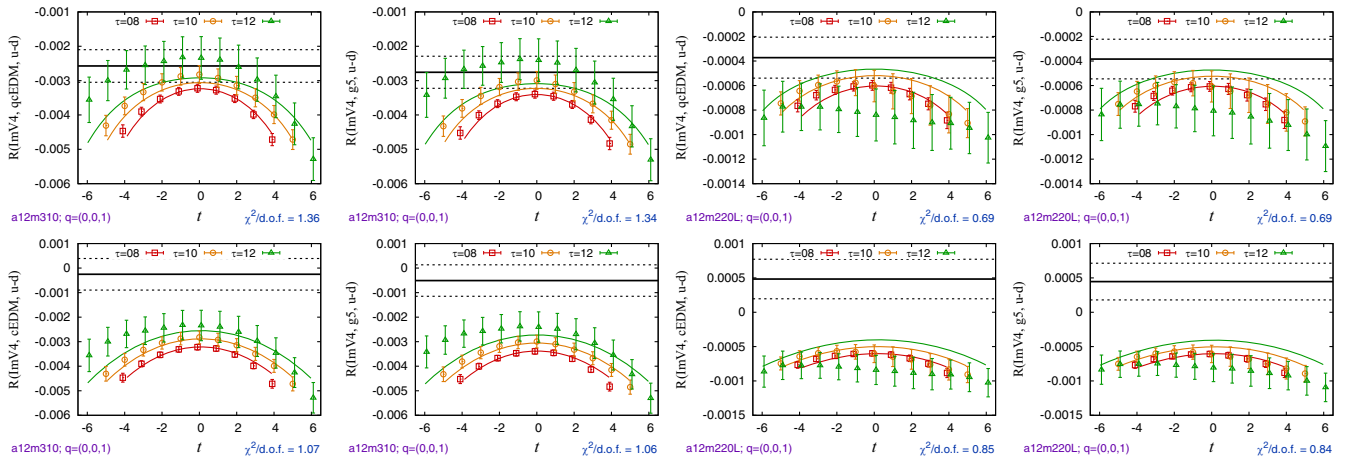


FIG. 7. Fits to remove ESC and obtain ground-state matrix elements from correlation functions with the insertion of qcEDM and  $\gamma_5$  operators along with the  $V_4$  current at momentum transfer  $q = (0, 0, 1)$ . Data are shown for the a12m310 and a12m220L ensembles. Top: “standard” excited state fit with the mass gaps taken from fits to the two-point function. Bottom: “ $N\pi$ ” excited-state fits assuming the first excited state is  $N(0, 0, 1)\pi(0, 0, 0)$ , i.e., the energy gap is  $M_\pi$ . The form factor  $\tilde{F}_3$  is extracted from such matrix elements.

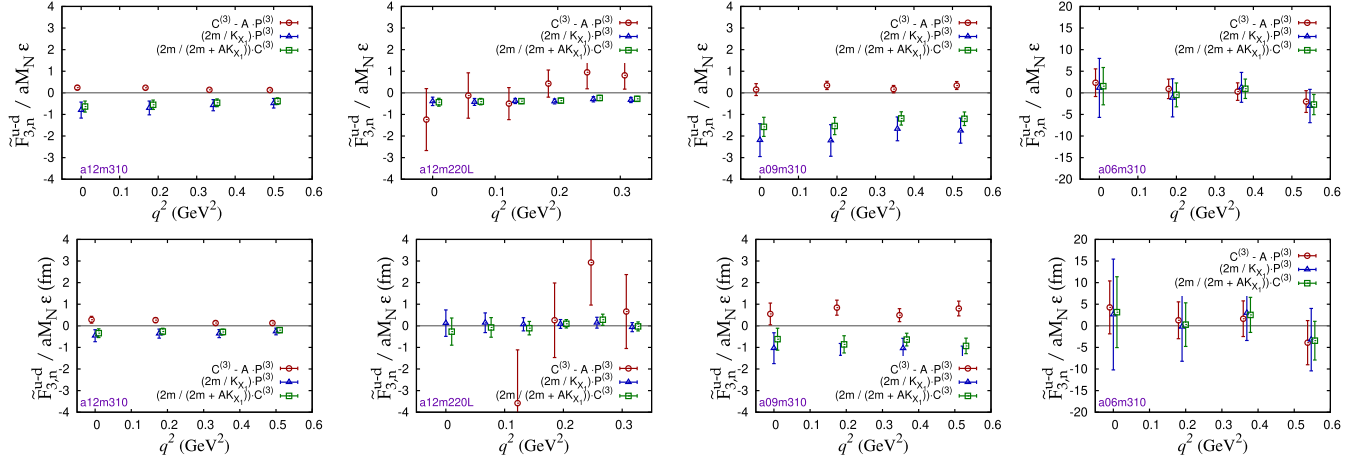


FIG. 8. The form factor  $\tilde{F}_{3,n}^{u-d}/aM_N\epsilon$  using the subtracted qcEDM operator for the three different subtraction approaches specified in the labels and given in Eqs. (25), (31a), and (31b). Top figures are the results from the four ensembles using the “standard” excited-state fit, and the bottom figures are the result of using the “ $N\pi$ ” excited-state fits as explained in the text. Differences between the three approaches specified by the labels are due to residual  $O(a)$  artifacts in our action, which vanish in the continuum limit. As explained in the text, we consider estimates using  $C^{(3)} - AP^{(3)}$  (red circles) are the least reliable.

direct determination, Eq. (25), can also have large  $O(a^2)$  effects and is a difference of two numbers of similar size. Furthermore, any difference between estimates using Eqs. (31a) and (31b) will get magnified by the large factor  $AK_{X1}/2am$ , to give a large difference from the direct determination, Eq. (25), value. In short, at this stage, we do not have control over  $O(a^2)$  errors. Looking at Fig. 8, estimates from the direct determination have large fluctuations on the  $a12m220L$  ensemble, are consistent with the other two on  $a06m310$ , and show a large difference on the remaining two. Estimates from Eqs. (31a) and (31b) are consistent. Of these, we choose the results from Eq. (31b) in our final analyses because they have lower statistical errors.

The extraction of  $\tilde{F}_3$  at  $Q^2 = 0$  is carried out using an extrapolation linear in  $Q^2$  using data at the three smallest values of  $Q^2$  as shown in Fig. 9. To estimate the systematic uncertainty due to choosing the linear ansatz, we use the

difference between the extrapolated  $\tilde{F}_3(0)$  and the  $\tilde{F}_3(Q^2)$  at the smallest nonzero  $Q^2$ .

## VI. RENORMALIZATION AND CHIRAL-CONTINUUM EXTRAPOLATION

The subtracted isovector qcEDM operator  $\tilde{C}^{(3)}(a)$  is free of power divergences but still has logarithmic divergences as  $a \rightarrow 0$ . In the calculation of the nEDM, it is implicit that one has to work with QCD + QED since the operator  $\tilde{C}^{(3)}$  has to be inserted together with the electromagnetic current in the correlation functions. In this theory,  $\tilde{C}^{(3)}$  mixes with the qEDM operator  $E^{(3)}(a)$  defined in Eq. (B1c). One therefore needs to calculate the mixing and running of these two operators. Only the anomalous dimension matrix (universal) part of this has been calculated at  $O(\alpha_s)$  [52]. In this leading-logarithm approximation (tree-level

TABLE V. The ratio  $\tilde{F}_3^{\gamma_5}/\tilde{F}_3^{\text{qcEDM}}$  for the  $\gamma_5$  and qcEDM unsubtracted lattice operators for the five smallest values of  $Q^2$ . As expected, the ratios are, within errors, independent of  $Q^2$  and the quark mass, and close to the  $K_{X1}/(2am + AK_{X1})$  obtained from the pion correlators (last column) using Eq. (29). We do not find a significant signal in  $\tilde{F}_3^{\gamma_5}/\tilde{F}_3^{\text{qcEDM}}$  with the current data for the  $a06m310$ . The data for  $\tilde{F}_3$  are obtained using the “standard” method for removing excited-state contamination.

Ensemble	$\tilde{F}_3^{\gamma_5}/\tilde{F}_3^{\text{qcEDM}}$					$\frac{K_{X1}}{2am+AK_{X1}}$
	$Q^2 = 1$	$Q^2 = 2$	$Q^2 = 3$	$Q^2 = 4$	$Q^2 = 5$	
a12m310	0.879(17)	0.863(14)	0.867(18)	0.844(23)	0.864(13)	0.694(48)
a12m220L	0.81(10)	0.769(77)	0.869(75)	0.98(18)	0.94(11)	0.7807(70)
a09m310	1.063(35)	1.042(40)	1.078(45)	1.006(58)	1.039(44)	0.740(61)
a06m310						0.859(64)

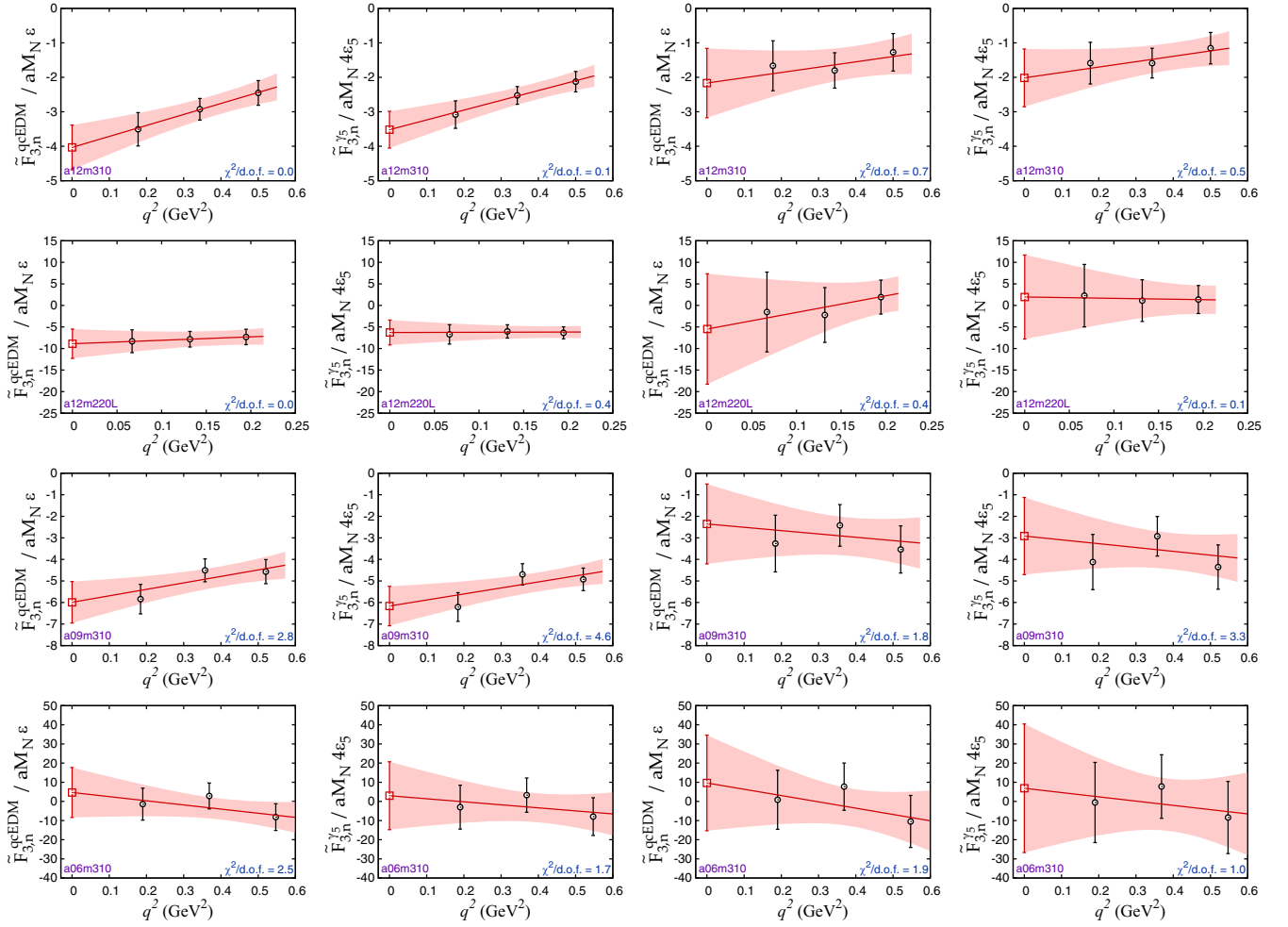


FIG. 9. Dependence of  $\vec{F}_3$  on  $Q^2$  for the neutron obtained from the insertion of the qcEDM and  $\gamma_5$  operators. Data in the left two columns are obtained using the “standard” fit to control ESC, and in the right two columns, using the “ $N\pi$ .” The latter have much larger errors.

matching and one-loop running), the lattice and  $\overline{\text{MS}}$  operators are related by

$$\vec{\mathcal{O}}_{\overline{\text{MS}}}(\mu) = U \begin{pmatrix} \left(\frac{\alpha_s(\mu)}{\alpha_s(a^{-1})}\right)^{-\gamma_{11}/\beta_0} & 0 \\ 0 & \left(\frac{\alpha_s(\mu)}{\alpha_s(a^{-1})}\right)^{-\gamma_{22}/\beta_0} \end{pmatrix} U^{-1} \vec{\mathcal{O}}(a), \quad (32)$$

where

$$\begin{aligned} \vec{\mathcal{O}}(a) &= \begin{pmatrix} \tilde{C}^{(3)}(a) \\ E^{(3)}(a) \end{pmatrix} \\ \vec{\mathcal{O}}_{\overline{\text{MS}}}(\mu) &= \begin{pmatrix} C_{\overline{\text{MS}}}^{(3)}(\mu) \\ E_{\overline{\text{MS}}}^{(3)}(\mu) \end{pmatrix} \\ U &= \begin{pmatrix} 1 & -\frac{\gamma_{12}}{\gamma_{11}-\gamma_{22}} \\ 0 & 1 \end{pmatrix}, \quad (33) \end{aligned}$$

$$\frac{\alpha_s(\mu)}{\alpha_s(a^{-1})} = \frac{1}{1 - \frac{\alpha_s(a^{-1})}{\pi} \beta_0 \log(\mu a)}. \quad (34)$$

The coefficients of the  $\alpha_s/\pi$  term in the beta function and the anomalous dimension matrix are

$$\begin{aligned} \beta_0 &= \frac{2N_F - 11N_C}{6}, \quad (35) \\ \gamma_{11} &= \frac{5C_F - 2C_A}{2}, \quad \gamma_{12} = 2C_F, \quad \gamma_{22} = \frac{C_F}{2}, \quad (36) \end{aligned}$$

with

$$C_F = \frac{N_C^2 - 1}{N_C}, \quad C_A = N_C. \quad (37)$$

Using  $N_F = 4$  we obtain

TABLE VI. Results for  $X_c \equiv d_N/\tilde{d}$ , renormalized in the  $\overline{\text{MS}}$  scheme at 2 GeV as explained in the text, are given for the two methods used for removing ESC—without (standard fit) and with a  $N\pi$  excited state. The results for the matrix elements of the qEDM operator, which are given by the tensor charges,  $g_T^u$  and  $g_T^d$ , are quoted from our published work [65].

Ensemble	qEDM		$X_c$ , Standard fit		$X_c$ , $N\pi$ fit	
	$g_T^u$	$g_T^d$	Lattice	2 GeV	Lattice	2 GeV
a12m310	0.859(12)	-0.206(7)	0.239(86)	0.205(85)	0.28(16)	0.25(16)
a12m220L	0.846(11)	-0.203(5)	-1.2(1.4)	-1.3(1.4)	-7.8(5.4)	-7.8(5.4)
a09m310	0.824(7)	-0.203(3)	0.15(28)	0.17(28)	0.55(50)	0.57(50)
a06m310	0.784(15)	-0.192(8)	2.3(3.2)	2.4(3.2)	4.3(6.1)	4.4(6.2)

$$-\frac{\gamma_{11}}{\beta_0} = \frac{2}{25}, \quad -\frac{\gamma_{22}}{\beta_0} = \frac{4}{25}, \quad U = \begin{pmatrix} 1 & 8 \\ 0 & 1 \end{pmatrix}. \quad (38)$$

Because the matching is done at tree level and followed by one-loop running, the renormalization process is insensitive to the scheme. Consequently the data for  $X_c \equiv -\tilde{F}_3(0)/aM_N\epsilon$  [see Eq. (9)] carry an unresolved uncertainty of order  $\alpha_s(\mu)/\pi$ . At this order, one can, therefore, choose to use either the renormalized or unrenormalized tensor charges. We have chosen to use the renormalized values given in Ref. [52].

The resulting renormalized values for  $X_c$  are given in Table VI for two ways of removing ESC—with and without including an  $N\pi$  excited state. Their extrapolation, linear in  $a$  and  $M_\pi$ , to the physical point is shown in Fig. 10. The data show no significant dependence on the lattice spacing  $a$ . The dependence on  $M_\pi^2$  is much larger with the  $N\pi$  analysis; however, it is important to note that this chiral behavior is predicated on a single point, i.e.,  $a12m220L$ . The final results in the continuum limit are  $X_c \equiv -F_3(0)/aM_N\epsilon = 2.6(2.9)$  for the “standard” excited-state fit, and 14(10) for the “ $N\pi$ ” excited-state fit, where the quoted errors are statistical.

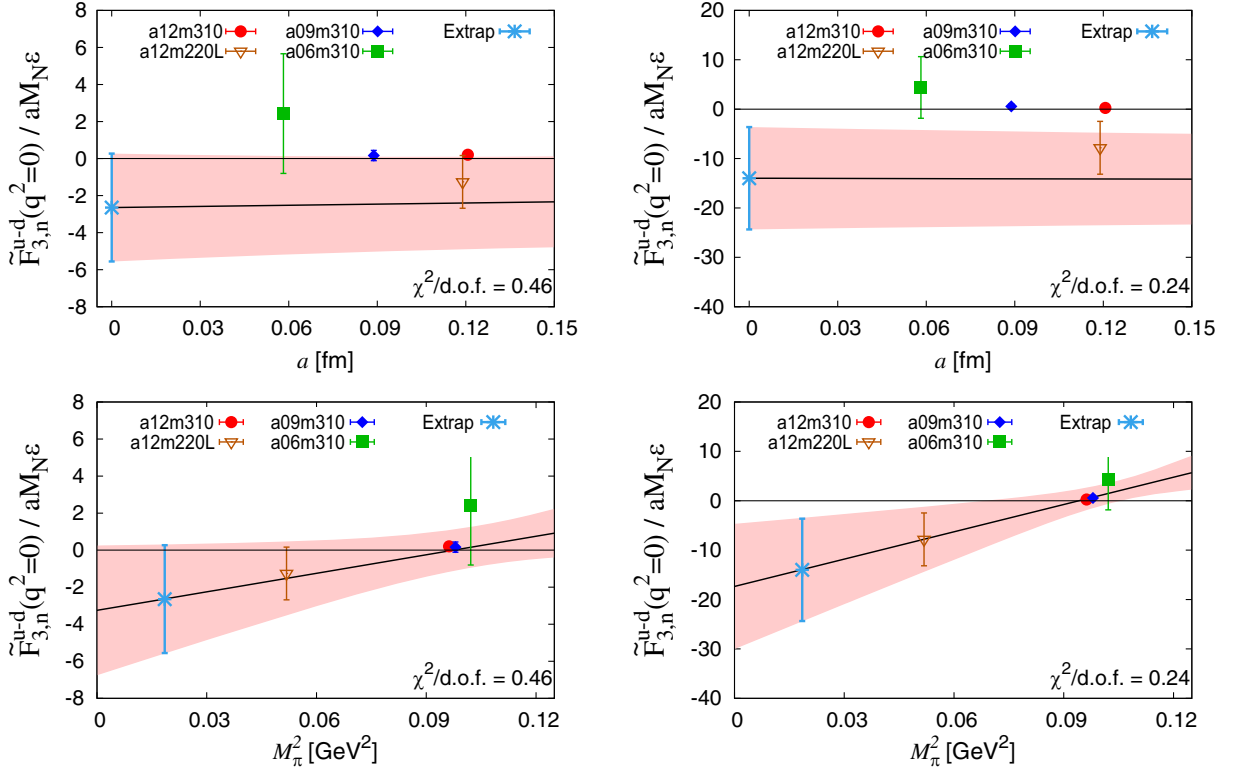


FIG. 10. Extrapolation to the continuum and physical pion mass limit using the fit ansatz  $c_1 + c_2M_\pi^2 + c_3a$ . Results from different ensembles are renormalized in  $\overline{\text{MS}}$  scheme at  $\mu = 2$  GeV using Eq. (32). Left column shows the results from the “standard” excited-state fit, and the right column shows the results from the “ $N\pi$ ” excited-state fits. Extrapolated values are  $\tilde{F}_3(0)/aM_N\epsilon = -2.6(2.9)$  for the “standard” excited-state fit, and  $\tilde{F}_3(0)/aM_N\epsilon = -14(10)$  for the “ $N\pi$ ” excited-state fit.

## VII. CONCLUSIONS

In the analysis of the contribution of the qcEDM operator to nEDM  $d_n$  presented here, we have focused on the issue of the power-divergent mixing of the qcEDM operator with the quark-pseudoscalar operator. This mixing is independent of the explicit breaking of the chiral symmetry on the lattice, and is generic to all hard cutoff schemes. For the isovector case, the pseudoscalar operator gives no physical effects in the continuum limit; at finite lattice spacing with Wilson-clover fermions, however, it results in an effect proportional to the qcEDM operator itself. This finite lattice spacing artifact is seen in explicit calculations with the isovector pseudoscalar operator, which gives a large nEDM signal on the lattice. More importantly, this proportionality turns the divergent mixing into an extra finite multiplicative renormalization of the qcEDM operator, an effect that survives in the continuum limit if the theory is not fully  $O(a)$  improved.

We further show that the uncertainty in this finite renormalization constant is substantial if  $c_{\text{SW}}$  is tuned using tree-level tadpole improvement, i.e., not fully  $O(a)$  improved. Any residual, even small,  $O(a)$  contribution gets divided by  $2am$ , an equally small number. We have devised a scheme to determine this finite constant nonperturbatively provided we can ignore  $O(a^2)$  corrections.

Unfortunately, our data show that at close to physical masses and at the values of  $a$  used in this study, the  $O(a^2)$  corrections can be as large as  $\approx 25\%$ . This leads to an irreducible uncertainty in the results, which is in addition to the uncertainty due to the continuum and chiral extrapolations. Using techniques like gradient flow that remove chiral symmetry breaking, this source of uncertainty can be avoided.

A  $\chi$ PT analysis presented in Appendix D predicts the ratio of the phase  $\alpha$  generated on the insertion of the qcEDM and pseudoscalar operators given in Eq. (27). Our data on the four ensembles (see Fig. 6) show agreement with this analysis within 10%.

To study excited state contributions in the extraction of ground-state matrix elements of the qcEDM and pseudoscalar operators, we have made fits with two choices of the mass of the first excited state, that from fits to the nucleon two-point correlation function and the  $N\pi$  state. The fits cannot be distinguished by the  $\chi^2/d.o.f.$ ; however, the results differ by a factor of 5.

To obtain a result for the isovector contribution of the qcEDM operator to nEDM, future work needs to address two challenges, in addition to issues of chiral and continuum extrapolation, exposed by this study: (i) possibly large  $O(a^2)$  effects as discussed in Sec. V and (ii) the difference in estimates between removing ESC with and without including  $N\pi$  excited states in the spectral decomposition of the correlation functions as discussed in Sec. VI.

## ACKNOWLEDGMENTS

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### APPENDIX A: NONSINGLET AWI AT $O(a)$

The starting point of the demonstration that, on shell, the effect of the isovector pseudoscalar operator is proportional to qcEDM is the nonsinglet AWI obtained by considering the axial transformation on the quark fields  $\psi^T = (u, d, s, c)$  defined in Eq. (22). We will be concerned with a rotation of the  $u$  and  $d$  quarks by equal and opposite amount, i.e.,  $T^a = \text{diag}(1, -1, 0, 0)/2$ , but for now we keep the notation generic. Denoting by  $O(x_1, \dots, x_n)$  any product of local operators, the nonsinglet AWI reads as

$$\begin{aligned} & \langle O(x_1, \dots, x_n) (\partial_x^\mu A_\mu^a(x) - \bar{\psi}(x) \{m_W, T^a\} \gamma_5 \psi(x) - X^a(x)) \rangle \\ &= - \left\langle \frac{\delta O(x_1, \dots, x_n)}{\delta(i\xi^a(x))} \right\rangle, \end{aligned} \quad (\text{A1})$$

where

$$A_\mu^a(x) = \bar{\psi}(x) T^a \gamma_\mu \gamma_5 \psi(x), \quad (\text{A2})$$

and  $X^a(x)$  is given by the variation of the Wilson-Clover term [69,75,76] [see Eq. (15)],

$$\frac{X^a}{2} = -a\bar{\psi}T^a \left( \frac{r}{2} D^2 + \kappa_{\text{SW}} \sigma \cdot G \right) \gamma_5 \psi. \quad (\text{A3})$$

Insertions of  $X^a(x)$  vanish at tree level in the continuum limit, but quantum effects induce power-divergent mixing with lower-dimensional operators, which has to be taken into account when taking the continuum limit. This is done by writing [69,75,76]

$$\begin{aligned} X^a(x) &= a\tilde{X}^a(x) - \bar{\psi}(x) \{T^a, m_{\text{sub}}\} \gamma_5 \psi(x) \\ &\quad - (Z_A - 1) \partial_x^\mu A_\mu^a(x), \end{aligned} \quad (\text{A4})$$



where  $\tilde{X}^a(x)$  is a ‘‘subtracted’’ dimension-five operator, i.e., lower-dimensional operators are subtracted from it so that it is free of power divergences, and the Green’s functions of  $a\tilde{X}^a(x)$  with elementary fields vanish in the continuum limit [77], and  $m_{\text{sub}}$  is a ‘‘mass’’ counterterm for the fermion that arises from the explicit chiral symmetry breaking in Wilson fermions [78].  $a\tilde{X}^a(x)$  has no impact on the analysis of the axial Ward identity with elementary fields, though it induces contact terms in the continuum limit of axial Ward identity involving composite fields [69,70].  $\tilde{X}^a(x)$  is however essential for our analysis of the qcEDM at finite  $a$ . Using the above expression in Eq. (A1), one arrives at

$$\begin{aligned} & \langle O(x_1, \dots, x_n) (Z_A \partial_x^\mu A_\mu^a(x) - \bar{\psi}(x) \{m, T^a\} \gamma_5 \psi(x) - a\tilde{X}^a(x)) \rangle \\ &= - \left\langle \frac{\delta O(x_1, \dots, x_n)}{\delta(i\zeta^a(x))} \right\rangle, \end{aligned} \quad (\text{A5})$$

with

$$m = m_W - m_{\text{sub}}. \quad (\text{A6})$$

Note that we can define the flavor-diagonal matrix that appears in the pseudoscalar operator on the lhs as  $\{m, T^a\} \equiv Z_A \bar{m} + O(am^2)$ , where  $\bar{m}$  is the standard definition [79] of the quark mass from AWI.

Next, we project the subtracted operator  $\tilde{X}^a$  on the basis of subtracted Hermitian dimension-five operators  $O_n^{(5)}$ , given in Ref. [52],

$$\tilde{X}^a = i \sum_n K_{Xn} \tilde{O}_n^{(5)}, \quad (\text{A7})$$

and analyze the consequences of Eq. (A7) for Eq. (A5). The basis of unsubtracted dimension-five operators  $O_n^{(5)}$  appearing on the rhs of Eq. (A7) for generic nonsinglet generator  $T^a$  and generic diagonal quark mass  $m$ , is given in Ref. [52]. As discussed in Appendix B, in our situation, however, only one subtraction coefficient,  $K_{X1}$  is needed: up to corrections of  $O(a^2m)$  and  $O(a\alpha_{\text{EM}}/\pi)$ , Eq. (A5) becomes

$$\begin{aligned} & \langle O(x_1, \dots, x_n) [Z_A (1 + b_A ma) \partial_x^\mu A_\mu^a(x) \\ & - a Z_A c_A \partial^2 (\bar{\psi} T^a \gamma_5 \psi) - \bar{\psi}(x) \{m, T^a\} \gamma_5 \psi(x) - ai K_{X1} \tilde{C}^a(x)] \rangle \\ &= - \left\langle \frac{\delta O(x_1, \dots, x_n)}{\delta(i\zeta^a(x))} \right\rangle. \end{aligned} \quad (\text{A8})$$

A detailed analysis in Appendix C shows that the proportionality coefficient  $K_{X1}$  is given by

$$\begin{aligned} K_{X1} &= \frac{r}{2} (c_{\text{SW}} - 1 - 2\beta_1^{(5)}(g)) \\ \beta_1^{(5)}(g) &= a_2 g^2 + O(g^4), \end{aligned} \quad (\text{A9})$$

and starts at  $O(g^2)$ . Finally, upon integration over  $\int d^4x$ , Eq. (A8) gives the final result in Eq. (23).

## APPENDIX B: DIMENSION-FIVE OPERATORS

To derive Eq. (A8) from Eq. (A5), we need to show that only four of the following full list of dimension-five CPV operators contribute [52,80]:

$$O_1^{(5)} \equiv C^{(a)} = i\bar{\psi} \sigma^{\mu\nu} \gamma_5 G_{\mu\nu} T^a \psi \quad (\text{B1a})$$

$$O_2^{(5)} \equiv \partial^2 P^{(a)} = \partial^2 (\bar{\psi} i \gamma_5 T^a \psi) \quad (\text{B1b})$$

$$O_3^{(5)} \equiv E^{(3)} = \frac{ie}{2} \bar{\psi} \sigma^{\mu\nu} F_{\mu\nu} \{Q, T^a\} \psi \quad (\text{B1c})$$

$$O_4^{(5)} = \text{Tr}[m Q^2 T^a] \frac{i}{2} \epsilon^{\mu\nu\alpha\beta} F_{\mu\nu} F_{\alpha\beta} \quad (\text{B1d})$$

$$O_5^{(5)} = \text{Tr}[m T^a] \frac{i}{2} \epsilon^{\mu\nu\alpha\beta} G_{\mu\nu}^b G_{\alpha\beta}^b \quad (\text{B1e})$$

$$O_6^{(5)} = i \text{Tr}[m T^a] \partial_\mu (\bar{\psi} \gamma^\mu \gamma_5 \psi) \quad (\text{B1f})$$

$$\begin{aligned} O_7^{(5)} &= \frac{i}{2} \partial_\mu (\bar{\psi} \gamma^\mu \gamma_5 \{m, T^a\} \psi) \\ &\quad - \frac{i}{3} \text{Tr}[m T^a] \partial_\mu (\bar{\psi} \gamma^\mu \gamma_5 \psi) \end{aligned} \quad (\text{B1g})$$

$$O_8^{(5)} = \frac{1}{2} \bar{\psi} i \gamma_5 \{m^2, T^a\} \psi \quad (\text{B1h})$$

$$O_9^{(5)} = \text{Tr}[m^2] \bar{\psi} i \gamma_5 T^a \psi \quad (\text{B1i})$$

$$O_{10}^{(5)} = \text{Tr}[m T^a] \bar{\psi} i \gamma_5 m \psi \quad (\text{B1j})$$

$$O_{11}^{(5)} = i \bar{\psi} \not{E} \gamma_5 T^a \psi_E \quad (\text{B1k})$$

$$O_{12}^{(5)} = \partial_\mu [\bar{\psi} \not{E} \gamma^\mu \gamma_5 T^a \psi + \bar{\psi} \gamma^\mu \gamma_5 T^a \psi_E] \quad (\text{B1l})$$

$$O_{13}^{(5)} = \bar{\psi} \gamma_5 \not{D} T^a \psi_E + \text{H.c.} \quad (\text{B1m})$$

$$O_{14}^{(5)} = \frac{ie}{2} \bar{\psi} \{Q, T^a\} \not{A}^{(\gamma)} \gamma_5 \psi_E + \text{H.c.} \quad (\text{B1n})$$

Here we have used the notation  $\psi_E \equiv (\not{D} + m)\psi$ . To simplify the discussion, we will start by assuming that the mass matrix is proportional to the identity and point out the minor modifications later on. Keeping in mind that  $O(x_1, \dots, x_n)$  has the structure  $N(x_1) J_{\text{EM}}^\mu(x_2) \bar{N}(x_3)$  with  $N$  the neutron source and sink operator and  $J_{\text{EM}}^\mu$  the electromagnetic current, the various  $\tilde{O}_n^{(5)}$  contribute to Eq. (A5) as follows.

(1)  $O_1^{(5)}$  is the isovector qcEDM operator itself and contributes an  $O(a)$  term to the lhs of Eq. (A5). In

fact, as shown below, this is the leading  $O(a)$  contribution.

- (2) Insertions of the operators  $O_{2,6,7}^{(5)}$  in Eq. (A5) effectively amount to an  $O(a)$  shift of the axial current, which [up to corrections of  $O(a(m_u - m_d))$  for  $T^a = T^3$ ] can be parametrized as

$$Z_A A_\mu^a \rightarrow (1 + b_A m a) Z_A [A_\mu^a - a c_A \partial_\mu (\bar{\psi} T^a \gamma_5 \psi)] \quad (\text{B2})$$

up to  $O(a^2)$ , where  $m$  denotes the light quark mass. In short, the three  $K_{X2}$ ,  $K_{X6}$ , and  $K_{X7}$  are reduced to  $b_A$  and  $c_A$ .

- (3)  $O_{3,4}^{(5)}$  involve one and two powers of the electromagnetic field strength. In order to eliminate the photon field in the correlation functions in Eq. (A5), one needs electromagnetic loops, making the contribution of  $O_{3,4}^{(5)}$  to Eq. (A5) of  $O(a\alpha_{\text{EM}}/\pi)$ , and thus negligible to the order we are working.
- (4)  $O_5^{(5)}$  vanishes under the assumption that  $m \propto I$ . It otherwise provides a term of  $O(am)$  to the lhs of Eq. (A5). In the case of the isovector operator, the effect is  $O(a(m_u - m_d))$  and hence negligible.
- (5)  $O_{8,9}^{(5)}$  become  $m^2 \bar{\psi} i \gamma_5 t^a \psi$  when  $m \propto I$ . Therefore, their contributions have the same form of the pseudoscalar insertion in Eq. (A5), but suppressed by  $O(am)$ .
- (6)  $O_{10}^{(5)}$  vanishes under the assumption  $m \propto I$ . In the case of general flavor structure for  $m$ ,  $O_{10}^{(5)}$  contribute terms of  $O(am^2)$  to Eq. (A5). When considering isovector insertions, the new contribution scales as  $O(am(m_u - m_d))$ , which can be safely neglected.
- (7) The operators  $O_{11,12,13,14}^{(5)}$  vanish by using the quark equations of motion but can contribute contact terms to the lhs of Eq. (A5). However, it turns out that none of them actually contributes to the order we are working.  $O_{11}^{(5)}$  contains two equation of motion operators. Therefore, when inserted into Eq. (A5), it will always involve a contraction with a quark field in the neutron source or sink operator, and thus it will not contribute to the residue of the neutron pole.  $O_{12}^{(5)}$  is a total derivative and drops out of Eq. (A5).  $O_{13}^{(5)}$  is gauge-variant operator and drops out of Eq. (A5) as long as  $O(x_1, \dots, x_n)$  is a gauge singlet, which is the case for  $O(x_1, x_2, x_3) \propto N(x_1) J_{\text{EM}}^\mu(x_2) \bar{N}(x_3)$ .  $O_{14}^{(5)}$  involves the photon field and can contribute only at  $O(a\alpha_{\text{EM}}/\pi)$  to Eq. (A5).

## APPENDIX C: ORIGIN OF THE ARTIFACT $K_{X1}$

In this appendix, we give a more explicit form of  $\tilde{X}^a$  and identify the coefficient  $K_{X1}$  given in Eq. (A9). This is done by manipulating the rhs of Eq. (A3) and comparing it to Eq. (A4). In order to reexpress the first term on the rhs of Eq. (A3), we note the identity

$$\bar{\psi} T^a D^2 \gamma_5 \psi = \bar{\psi} T^a \left[ \gamma_5 D_L^2 - \frac{1}{2} \sigma \cdot G \gamma_5 \right] \psi - a O_6^a, \quad (\text{C1})$$

where  $D_L$  is defined in Eq. (15) and  $O_6^a$  is a dimension-six operator with tree-level matrix elements of  $O(a^0)$ . We next introduce subtracted operators  $\tilde{O}_6^a$  and  $-i\tilde{O}_1^{(5)} \equiv (\bar{\psi} T^a \sigma \cdot G \gamma_5 \psi)_{\text{sub}}$  by introducing subtraction coefficients  $\beta$  and  $\tilde{\beta}$  as follows:

$$a O_6^a = a \tilde{O}_6^a + \frac{\beta_1}{a^2} \bar{\psi} T^a \gamma_5 \psi + \frac{\beta_4}{a} \partial_\mu A_\mu^a - i \sum_n \beta_n^{(5)} \tilde{O}_n^{(5)} \quad (\text{C2a})$$

$$-i O_1^{(5)} = -i \tilde{O}_1^{(5)} + \frac{\tilde{\beta}_1}{a^2} \bar{\psi} T^a \gamma_5 \psi + \frac{\tilde{\beta}_4}{a} \partial_\mu A_\mu^a, \quad (\text{C2b})$$

where the  $\tilde{O}_n^{(5)}$  are the subtracted versions of  $O_n^{(5)}$  given in Eq. (B1). By using Eq. (C2) in Eq. (C1) and defining

$$O_{\text{EOM}}^a = \bar{\psi} T^a \gamma_5 (D_L - m_W)(D_L + m_W) \psi, \quad (\text{C3})$$

one arrives at

$$\begin{aligned} a \bar{\psi} T^a D^2 \gamma_5 \psi &= -a^2 \tilde{O}_6^a + a O_{\text{EOM}}^a + ia \left( \frac{1}{2} + \beta_1^{(5)} \right) \tilde{O}_1^{(5)} \\ &\quad + ia \sum_{n \neq 1} \beta_n^{(5)} \tilde{O}_n^{(5)} - \left( \beta_4 + \frac{\tilde{\beta}_4}{2} \right) \partial_\mu A_\mu^a \\ &\quad - \frac{1}{a} \left( \beta_1 + \frac{\tilde{\beta}_1}{2} - (am_W)^2 \right) \bar{\psi} T^a \gamma_5 \psi. \end{aligned} \quad (\text{C4})$$

Using the above results one can write  $X^a$  as follows:

$$\begin{aligned} X^a &= ra^2 \tilde{O}_6^a + i \frac{r}{2} (c_{\text{SW}} - 1 - 2\beta_1^{(5)}) a \tilde{O}_1^{(5)} \\ &\quad - iar \sum_{n \neq 1} \beta_n^{(5)} \tilde{O}_n^{(5)} - ar O_{\text{EOM}}^a - \frac{r}{2} (\tilde{\beta}_4 (c_{\text{SW}} - 1) \\ &\quad - 2\beta_4) \partial_\mu A_\mu^a - \frac{r}{2a} (\tilde{\beta}_1 (c_{\text{SW}} - 1) - 2\beta_1 \\ &\quad + 2(am_W)^2) \bar{\psi} T^a \gamma_5 \psi. \end{aligned} \quad (\text{C5})$$

Comparing Eqs. (A4) and (C5) we see that the first line of Eq. (C5) provides an explicit representation for the

subtracted dimension-five operator  $a\tilde{X}^a$  appearing in Eq. (A4) with the identification  $(r/2)(c_{\text{SW}} - 1 - 2\beta_1^{(5)}) = K_{X1}$  as in Eq. (A9).

#### APPENDIX D: DETERMINATION OF $\alpha_N$ USING $\chi$ PT

Consider computing correlation functions of the local nucleon interpolating field

$$N_{\text{chiral}}(x) = \varepsilon^{abc} q^{aT}(x) C \gamma_5 i \tau_2 q^b(x) q^c(x) \quad (\text{D1})$$

in the presence of  $\mathcal{CP}$  isovector pseudoscalar and qcEDM terms in the Lagrangian

$$-\frac{\varepsilon_5}{a} \bar{q} i \gamma_5 \tau_3 q - \frac{1}{4} (ar) \varepsilon \bar{q} i \gamma_5 \sigma^{\mu\nu} \gamma_5 G_{\mu\nu} \tau_3 q. \quad (\text{D2})$$

As discussed in [81,82], this field has good chiral properties, and transforms linearly under an isovector axial rotation. In particular, it could eliminate the pseudoscalar interaction from the Lagrangian. The only effect would be to replace

$$N_{\text{chiral}}(x) \rightarrow \left(1 + i \frac{\varepsilon_5}{2\bar{m}a} \gamma_5 \tau_3\right) N_{\text{chiral}}(x), \quad (\text{D3})$$

plus  $\mathcal{O}(a)$  corrections. In  $\chi$ PT, the nucleon field  $N_\chi$  transforms as [81]

$$N_\chi \rightarrow \left(1 + i \frac{\boldsymbol{\pi} \cdot \boldsymbol{\tau}}{2F_\pi}\right) N_\chi(x). \quad (\text{D4})$$

Similarly, we build the chiral Lagrangian to include the contribution of the pseudoscalar and chromoelectric interactions to the correlation functions. At lowest order, these interactions induce pion tadpole terms, of the form

$$\mathcal{L}_\pi = m_\pi^2 \left( \frac{\varepsilon_5}{ma} + \varepsilon \frac{r}{4ma} \tilde{r} \right) F_\pi \pi_3, \quad (\text{D5})$$

where  $\tilde{r}$  is the ratio of the vacuum matrix elements of the chromomagnetic operator and scalar density

$$\tilde{r} = \frac{a^2 \langle 0 | \bar{\psi} \sigma^{\mu\nu} G_{\mu\nu} \psi | 0 \rangle}{\langle 0 | \bar{\psi} \psi | 0 \rangle} = \mathcal{O}(a^2 \Lambda_\chi^2) + \mathcal{O}(\alpha_s). \quad (\text{D6})$$

The  $\mathcal{O}(\alpha_s)$  corrections arise from the power-divergent mixing of the chromomagnetic and scalar operators and depend on the regularization and renormalization scheme chosen for the chromomagnetic operator, and typically suffer from renormalon ambiguities when calculated perturbatively. This power-divergent subtraction is present in hard-cutoff schemes like the lattice or gradient flow, but is not needed in dimensional regularization. In the  $\overline{\text{MS}}$

scheme,  $\tilde{r}$  is, therefore, related only to  $m_0^2$ , the ratio of chromomagnetic and scalar condensates typically used in QCD sum rules literature [10,59,83–85] by  $\tilde{r} = a^2 m_0^2$  noting that  $g G_{\text{sum rule}}^{\mu\nu} = G_{\text{our definition}}^{\mu\nu}$ . The sum rule estimate is  $m_0^2 \approx 0.8 \text{ GeV}^2$ . Only preliminary lattice QCD calculations of this ratio in the gradient flow scheme exist [53,85]. In our calculations, we, however, use a subtracted qcEDM operator  $\tilde{C}_3$  [see Eq. (26)] which has  $\tilde{r}|_{\tilde{C}_3} = 0$  at leading order in chiral perturbation theory. For the isovector case, such a subtraction does not change any physical matrix elements in the continuum theory, but it does affect the phase  $\alpha_N$  that depends on the interpolating operator.

The leading contribution to this phase comes from diagrams in which a pion is emitted by the nucleon interpolating field given in Eq. (D4), and annihilated by Eq. (D5), leading to

$$\alpha_N = -\frac{1}{2} \left( \frac{\varepsilon_5}{ma} + \varepsilon \frac{r}{4ma} (\tilde{r} + \mathcal{O}(a^2 m_\pi^2)) \right), \quad (\text{D7})$$

where the corrections arise from subleading pion- and pion-nucleon interactions induced by the chromoelectric operator, and depend on additional nonperturbative matrix elements of the chromoelectric/chromomagnetic operators. In Eq. (D7), we assumed that the  $\mathcal{O}(\alpha_s)$  terms in Eq. (D6) are smaller or comparable to the  $\mathcal{O}(a^2)$  piece.

As discussed above, in Sec. IV, we defined a subtracted chromoelectric operator by imposing its matrix element between a pion and vacuum state to vanish. The subtraction leads to an  $\varepsilon_5 \equiv \varepsilon/A$  in Eq. (D7), and the  $\tilde{r}|_{\tilde{C}_3}$  relevant to the subtracted operator reduces to zero. This means that the ratio between the phases induced by the subtracted and unsubtracted operators, which we denote by  $\tilde{\alpha}_N$  and  $\alpha_N$  respectively, is

$$\frac{\tilde{\alpha}_N}{\alpha_N} \sim \frac{a^2 m_\pi^2}{\tilde{r}} = \mathcal{O}\left(\frac{m_\pi^2}{\Lambda_\chi^2}\right), \quad (\text{D8})$$

so that  $\tilde{\alpha}_N$  is a  $\sim 10\%$  correction to the phase obtained from the subtraction piece alone. This expectation is confirmed by the explicit calculation illustrated in Fig. 6.

With just the pseudoscalar operator [first term in Eq. (D5)], one gets  $\alpha_5^{\text{chiral}} = -\varepsilon_5/2ma$  from Eq. (D7) if the nucleon interpolating operator has the same chiral properties as the operator in Eq. (D1). In our calculations, the quark fields  $q$  are smeared, and the source used is

$$N(x) = \varepsilon^{abc} q^{aT}(x) C \gamma_5 i \tau_2 \frac{1 + \gamma_4}{2} q^b(x) q^c(x), \quad (\text{D9})$$

which suppresses parity mixing. Consequently, one expects a smaller  $\alpha_5$ . To check the chiral analysis, we calculated the two-point function with the  $N_{\text{chiral}}$  interpolating operator, but with smeared quark fields. Instead of  $r_\alpha$ , we also used

$$\bar{r}_\alpha(\tau) \equiv \frac{\Im \text{Tr} \gamma_5 \langle N(0) \bar{N}(\tau) \rangle}{\Re \text{Tr} \langle N(0) \bar{N}(\tau) \rangle} \xrightarrow{\tau \rightarrow \infty} \tan 2\alpha_0 \quad (\text{D10})$$

for this calculation. The results in Table VII show that  $\alpha_5 ma/\epsilon_5$  remains close to its value of  $-0.5$  in Eq. (D7), i.e., smearing has a small effect on the chiral analysis.

TABLE VII. Verifying  $\chi$ PT for  $\alpha_5^{\text{chiral}}$ .

Ens. ID	$\alpha_5^{\text{chiral}}/\epsilon_5$	$am$	$\alpha_5^{\text{chiral}} ma/\epsilon_5$
a12m310	-41.260(76)	0.012106(92)	-0.4995(39)
a12m220L	-85.19(28)	0.006178(46)	-0.5263(43)
a09m310	-55.80(12)	0.008472(24)	-0.4727(17)
a06m310	-91.60(52)	0.0052860(99)	-0.4842(29)

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$$\begin{aligned} \mathcal{L}_{\text{QCD}} &= \frac{g^2}{32\pi^2} \Theta G_{\mu\nu} \tilde{G}_{\mu\nu} \\ &- i \frac{e}{2} \sum_q d_q \bar{q} \sigma^{\mu\nu} \gamma_5 F_{\mu\nu} q \\ &- i \frac{g}{2} \sum_q \tilde{d}_q \bar{q} \sigma^{\mu\nu} \gamma_5 G_{\mu\nu} q, \end{aligned}$$

in Minkowski space with the conventional normalization for the gauge fields.

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- expression. Since in our  $PT$  symmetric theory,  $\alpha(p^2)$  is real, we ignore this subtlety except to note that this might necessitate extra factors of  $\gamma_5$  for some states in Eqs. (11) and (13) when  $PT$  symmetry is broken.
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